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TIME-DECAY OF THE SEMIGROUP OF DISSIPATIVE SCHRÖDINGER OPERATORS

XUE PING WANG

ABSTRACT. We establish a representation formula for semigroup of contraction in terms of global limiting absorption principle from the upper-half complex plane. As applications, we prove time-decay estimates of the semigroup of contractions generated by -iH where H is a dissipative Schrödinger operator.

1. INTRODUCTION

Let $H = -\Delta + V(x)$ be the Schrödinger operator with a complex-valued potential V satisfying $V = V_1 - iV_2$, where V_1 and V_2 are real functions satisfying $V_2(x) \ge 0$ and $V_2(x) > 0$ on some non-trivial open set. Suppose that

$$|V_j(x)| \le C \langle x \rangle^{-\rho_0}, \quad x \in \mathbb{R}^n, \tag{1.1}$$

for some $\rho_0 > 1$. Here $\langle x \rangle = (1 + |x|^2)^{1/2}$. Mild local singularities can be included with little additional effort. Denote $H_0 = -\Delta$ and $H_1 = -\Delta + V_1$. H defined on $D(-\Delta)$ is maximally dissipative and the numerical range of H is contained in $\{z; \Re z \ge -R, -R \le \Im z \le 0\}$ for some R > 0.

2. Some abstract results

Let H_1 and V_2 be selfadjoint operators on some Hilbert space \mathcal{H} , with H_1 semibounded from below, $V_2 \geq 0$ and relatively compact with respect to H_1 . $H = H_1 - iV_2$ is maximally dissipative on \mathcal{H} . Let $S(t) := e^{-itH}$, $t \geq 0$, be the strongly continuous semigroup generated by -iH. In this Section, we give two results on S(t) based on the existence of a limiting absorption principle for H on the whole real axis. There results are to be applied in the next Section to a class of dissipative Schrödinger operators on \mathbb{R}^n , $n \geq 2$.

Since V_2 is H_1 compact, one sees that $\forall \delta_0 > 0$, $\exists R_1 > 0$ such that the numerical range of H is contained in the sector

$$\{z \in \mathbb{C}; \Re z > -R_1, -\delta_0 \le \arg(z+R_1) \le 0\}.$$

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Let $\delta_0 < \pi$ be fixed. For $\epsilon_0 > 0$ small enough and for all $\epsilon \in]0, \epsilon_0]$, the set $\{\lambda e^{i\epsilon}; \lambda \in \mathbb{R}, |\lambda| > R_1\}$ is contained in the resolvent set of H. Assume that there exists a dense subset $\mathcal{D} \subset \mathcal{H}$ such that $\{f \in \mathcal{D} \cap D(H); Hf \in \mathcal{D}\}$ is dense in \mathcal{H} and

• For any $\lambda \in \mathbb{R}$, the limit

$$\langle R(\lambda+i0)f,g\rangle = \lim_{\epsilon \to 0_+} \langle R(\lambda+i\epsilon)f,g\rangle$$
(2.1) ass1

exists for any $f, g \in \mathcal{D}$ and is continuous in $\lambda \in \mathbb{R}$.

• There exist R > 1, $k \in \mathbb{N}^*$, $\rho, \sigma > 0$ with $\rho + k\sigma > 1$ such that for any f, g in \mathcal{D} , $\lambda \to \langle R(\lambda + i0)f, g \rangle$ is C^k for $|\lambda| > R$ and

$$\frac{d^{j}}{d\lambda^{j}} \langle R(\lambda+i0)f,g\rangle | \le C_{f,g} \langle \lambda \rangle^{-\rho-j\sigma}, \qquad (2.2) \quad \text{[ass2]}$$

for j = 0, 1, ..., k and $|\lambda| > R$.

Theorem 2.1. Under the conditions $\begin{pmatrix} ass1\\ 2.1 \end{pmatrix}$ and $\begin{pmatrix} ass2\\ 2.2 \end{pmatrix}$, one has

$$\langle e^{-itH}f,g\rangle = \frac{1}{2\pi i} \int_{\mathbb{R}} e^{-it\lambda} \langle R(\lambda+i0)f,g\rangle d\lambda, \quad t>0,$$
 (2.3)

for $f, g \in \mathcal{D}$.

Proof. Denote $H_{\epsilon} = e^{-i\epsilon}H$, $\epsilon > 0$. Then the numerical range of H_{ϵ} is contained in

 $\mathcal{N}_{\epsilon} := \{ e^{-i\epsilon} z; \ \Re z > -R_1, -\delta_0 \le \arg(z+R_1) \le 0 \}$

for some $R_1 > 1$. Therefore for each $\epsilon > 0$ small enough, $H_{\epsilon} - iR_1\epsilon$ is maximally dissipative and strictly m-sectorial and $-iH_{\epsilon}$ generates a semigroup $e^{-itH_{\epsilon}}$, $t \ge 0$, which can be represented in a usual way (cf. [12], pp 489-491). For $R_0 > \epsilon R_1$, let $\Gamma_{\epsilon,R}$ be a contour in $\rho(H_{\epsilon})$ composed of the segment { $\Im z = R_0, \Re z \in [-R_1 - 1, R_1 + 1]$ } and the two rays $(-R_1 - 1 + iR_0) + e^{i(\pi + \epsilon/2)}\mathbb{R}_+$ and $(R_1 + 1 + iR_0) + e^{-i\epsilon/2}\mathbb{R}_+$ (running from the infinity with arg $z = -\pi + \frac{\epsilon}{2}$ to the infinity with arg $z = -\frac{\epsilon}{2}$). Then one has

$$e^{-itH_{\epsilon}} = \frac{1}{2\pi i} \int_{\Gamma_{\epsilon,R_0}} e^{-itz} (H_{\epsilon} - z)^{-1} dz := F_{\epsilon}(t), \qquad (2.4) \quad \text{Uepsilon}$$

for t > 0. In addition, one has the estimate

$$\|e^{-itH_{\epsilon}}\| \le e^{tR_{1}\epsilon}, \quad t \ge 0.$$

$$(2.5) \quad \texttt{norm1}$$

Under the condition $\binom{ass2}{2.2}$, by an argument of perturbation, one can deduce that for $j = 0, 1, \dots, k$,

$$\left|\frac{d^{j}}{d\lambda^{j}}\langle R(\lambda e^{i\eta})f,g\rangle\right| \le C_{f,g}\langle\lambda\rangle^{-\rho-j\sigma},\tag{2.6}$$

uniformly in $\lambda \geq 1$ and $\eta \geq 0$ small enough. Making use of techniques of oscillatory integrals, we deduce from (2.1) and (2.2) that the integral

$$\langle F(t)f,g\rangle := \frac{1}{2\pi i} \int_{\mathbb{R}} e^{-it\lambda} \langle R(\lambda+i0)f,g\rangle d\lambda, \qquad (2.7)$$

converges for $f, g \in \mathcal{D}$. By the same method, one can show that the integral defining $K_{\epsilon}(t)$ converges uniformly in $\epsilon > 0$ and one has

$$\langle F(t)f,g\rangle = \lim_{R,\epsilon \to 0_+} \langle F_{\epsilon}(t)f,g\rangle$$

for any $f, g \in \mathcal{D}$. The bound on $||F_{\epsilon}(t)||$ shows that $|\langle F(t)f, g \rangle| \leq ||f|| ||g||$. Therefore F(t) can be extended to a contraction on \mathcal{H} , still denoted by F(t) and $\lim_{\epsilon \to 0_+} F_{\epsilon}(t) = F(t)$ weakly for t > 0.

For $f \in \mathcal{D} \cap D(H)$ with $Hf \in \mathcal{D}$ and $g \in \mathcal{D}$, one has

$$\int_{\Gamma_{\epsilon,R_0}} \frac{d}{dt} \langle e^{-itz} (H_{\epsilon} - z)^{-1} f, g \rangle dz = -ie^{-i\epsilon} \int_{\Gamma_{\epsilon,R_0}} \langle e^{-itz} (H_{\epsilon} - z)^{-1} H f, g \rangle dz$$

converges uniformly in $\epsilon, R_0 > 0$ small. It follows that $\langle F(t)f, g \rangle$ is differentiable in t > 0 and

$$\langle \frac{dF(t)}{dt}f,g\rangle = \langle -iF(t)Hf,g\rangle = \langle -iHF(t)f,g\rangle.$$

By an argument of density, we deduce that for any $f \in D(H), t \to F(t)f$ is weakly differentiable and

$$\frac{dF(t)}{dt}f = -iF(t)Hf = -iHF(t)f.$$
(2.8)

To show that $F(t) = e^{-itH}$, we prove that $F(t) \to 1$ weakly as $t \to 0_+$. For each $t \in [0, 1]$, take $R_0 = t^{-1} \ge 1$. Making a change of variables, we obtain that

$$F_{\epsilon}(t) = \frac{1}{2\pi i} \int_{\Gamma_{\epsilon,1}} e^{-i\zeta} \zeta^{-1} (H_{\epsilon} - \frac{\zeta}{t})^{-1} \frac{d\zeta}{t}, \quad t \in]0, 1].$$

Noticing that

$$\frac{1}{2\pi i} \int_{\Gamma_{\epsilon,1}} e^{-i\zeta} \zeta^{-1} d\zeta = -1$$

for every $\epsilon > 0$, one deduces

$$\langle (F_{\epsilon}(t)-1)f,g\rangle = \frac{1}{2\pi i} \int_{\Gamma_{\epsilon,1}} e^{-i\zeta} \zeta^{-1} \langle (H-\frac{\zeta e^{i\epsilon}}{t})^{-1} Hf,g\rangle d\zeta$$
(2.9)

for $f \in D(H)$. For $\zeta \in \Gamma_{\epsilon,1}$, one has $|\zeta| \ge \frac{1}{2}$ and $|e^{-i\zeta}| \le C$ uniformly in $\epsilon > 0$ small. By the condition (2.2),

$$|\langle (H - \frac{\zeta e^{i\epsilon}}{t})^{-1} H f, g \rangle| \le C_{f,g}(\frac{t}{|\zeta|})^{\rho}, \quad t \in]0, 1],$$

for any $f, g \in vD$ with $Hf \in \mathcal{D}$, uniformly in $\epsilon > 0$. It follows that

$$|\langle (F_{\epsilon}(t) - 1)f, g \rangle| \le C' t^{\rho}, \quad t \in]0, 1], \tag{2.10}$$

uniformly in ϵ . Taking the limit $\epsilon \to 0$ in the above inequality, we obtain

$$|\langle (F(t) - 1)f, g \rangle| \le C' t^{\rho}.$$
(2.11)

This proves that $\lim_{t\to 0_+} \langle (F(t)-1)f,g \rangle = 0$ for any $g \in \mathcal{D}$ and $f \in \mathcal{D} \cap D(H)$ with $Hf \in \mathcal{D}$. Since $||F(t)-1|| \leq 2$, an argument of density shows that $F(t)f \to f$ weakly for any $f \in \mathcal{H}$ as $t \to 0_+$.

Now for any $f \in D(H)$, let f(t) = F(t)f and $u(t) = e^{-itH}f$. Then $f(t) \in D(H)$ for any t > 0 and one has

$$\frac{d}{ds}\langle F(s)e^{-i(t-s)H}f,g\rangle = \langle F(s)(iH-iH)e^{-i(t-s)H}f,g\rangle = 0, 0 < s \le t,$$

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for any $g \in \mathcal{H}$. Integrating the above equation gives that $\langle f(t), g \rangle - \langle u(t), g \rangle = c$ for some constant c. Since both f(t) and u(t) converge weakly to f as $t \to 0_+$, one has c = 0, hence $\langle f(t), g \rangle = \langle u(t), g \rangle$ for any $g \in \mathcal{H}$. This proves that $F(t)f = e^{-itH}f$ for any $f \in D(H)$. Since D(H) is dense in \mathcal{H} , F(t) coincides with the semigroup generated by -iH.

Another consequence of global limiting absorption principle is the Kato's smoothness estimate for semigroup of contractions which is useful for dissipative quantum scattering. We give below a simple proof, using the theory of selfadjoint dilation. See also [16] in some special case.

Let H be maximal dissipative on a Hilbert space \mathcal{H} . -iH is generator of a semigroup of contractions $T(s) = e^{-isH}$, $t \geq 0$. According to the theory of Foiaş-Sz. Nagy (Masson,1967, Ch.III, § 9), \exists a Hilbert space $\mathcal{G} \supset \mathcal{H}$ and a unitary group $U(t) = e^{-itG}$ on \mathcal{G} such that

$$\Pi_0 U(s)|_{\mathcal{H}} = T(s), \ s \ge 0, \tag{2.12}$$

where $\Pi_0 : \mathcal{G} \to \mathcal{H}$ is the projection. *G* is called a *selfadjoint dilation* of *H*.

Theorem 2.2. Assume that there exits $A : \mathcal{H} \to \mathcal{H}$ continuous such that

$$\sup_{\lambda \in \mathbb{R}, \delta \in [0,1]} \|A(H - (\lambda + i\delta))^{-1}A^*\| \le \gamma.$$
(2.13)

Then

$$\int_0^\infty (\|AT(s)f\|^2 + \|AT(s)^*f\|^2)ds \le 2\gamma \|f\|^2, \quad f \in \mathcal{H}.$$
 (2.14)

Proof. Let G be a selfadjoint dilation of H. Then

$$\Pi_0 (G-z)^{-1}|_{\mathcal{H}} = (H-z)^{-1}, \ \Pi_0 (G-\overline{z})^{-1}|_{\mathcal{H}} = (H^* - \overline{z})^{-1},$$

for $\Im z > 0$. Therefore

$$||(A\Pi_0)(G-z)^{-1}(A\Pi_0)^*|| \le \gamma, \quad 0 < |\Im z| \le 1.$$

By Kato's smoothness estimate for selfadjoint operators,

$$\int_{-\infty}^{\infty} \|A\Pi_0 U(s)g\|^2 \, ds \le C \|g\|^2, \quad g \in \mathcal{G},$$

with

$$C = \sup_{0 < \Im z \le 1} \| (A\Pi_0) [(G - z)^{-1} - (G - \overline{z})^{-1}) (A\Pi_0)^* \| \le 2\gamma.$$

For $g = f \in \mathcal{H}$, one has

$$\int_0^\infty (\|AT(s)f\|^2 + \|AT(s)^*f\|^2) \, ds \le 2\gamma \|f\|^2, \quad f \in \mathcal{H}.$$

3. Dissipative Schrödinger operators

Let $R(z) = (H - z)^{-1}$, $z \notin \sigma(H)$. Let $R_j(z) = (H_j - z)^{-1}$. Denote $L^{2,s} = L^2(\mathbb{R}^n; \langle x \rangle^s dx)$ and $\|f\|_s = \|f\|_{L^{2,s}}$. It is well know that if $n \geq 3$, the limit

$$F_0 = \lim_{z \to 0, z \notin \mathbb{R}_+} R_0(z) : L^{2,s} \to L^{2,-s}$$

exists if s > 1.

prop1 Proposition 3.1. Assume that $n \ge 3$ and $\rho_0 > 2$. Then one has

(a). $1 + F_0V$ is invertible on $L^{2,-s}$ for any $s \in]1, \rho_0/2[$ and there exists $c_0 > 0$ such that the limit

$$R(\lambda + i0) = \lim_{\epsilon \to 0_+} R(\lambda + i\epsilon) : L^{2,s} \to L^{2,-s}$$

exists for s > 1 and $\lambda \in [-c_0, c_0]$.

(b). Zero is not an accumulating point of the eigenvalues of H and there exists $\delta_0 > 0$ such that

$$\sigma(H) \subset \{z; -\pi + \delta_0 \le \arg z \le 0\}.$$
(3.1)

This result is proved by X.P. Wang 2009.

th1 Theorem 3.2. Assume that $n \ge 3$ and $\rho_0 > 2$. Then (a). For any s > 1

 ϵ

$$\sup_{\epsilon \geq 0,1], \lambda \in \mathbb{R}} \langle \lambda \rangle^{\frac{1}{2}} \| \langle x \rangle^{-s} R(\lambda + i\epsilon) \langle x \rangle^{-s} \| < \infty$$
(3.2) global-re

The limit

$$R(\lambda + i0) = \lim_{\epsilon \to 0_+} R(\lambda + i\epsilon) : \quad L^{2,s} \to L^{2,-s}$$

exists for s > 1 and is continuous on \mathbb{R} .

(b) Let $k \in \mathbb{N}$. Assume that $|(x \cdot \nabla)^j V(x)| \leq C \langle x \rangle^{-\rho_0}$, $j = 0, 1, \dots, k, \rho_0 > 2$. Then for $j = 0, 1, \dots, k+1$ and $s > j + \frac{1}{2}$, one has

$$\|\langle x \rangle^{-s} \frac{d^j}{d\lambda^j} R(\lambda + i0) \langle x \rangle^{-s} \| \le C_s \langle \lambda \rangle^{-\frac{j+1}{2}}, \tag{3.3}$$

for $\lambda > 1$.

Ideas. For $\lambda > 0$, one uses the equation $R(z) = (1 + R_0(z)V)^{-1}R_0(z)$ by the argument of S. Agmon (1975) ($\rho_0 > 1$). For more general situations (an abstract Mourre's theory for dissipative operators), see J. Royer (Commun. in PDE, to appear). For λ near 0, we apply Proposition . $n \geq 3$ and $\rho_0 > 2$ are needed.

prop1

4. Optimal time-decay rate

As an application of the representation of the semigroup, we can show the following dispersive estimate for dissipative Schrödinger operators.

dispersive Theorem 4.1. Assume n = 3 and $|V(x)| + |x \cdot \nabla V(x)| \le C \langle x \rangle^{-\rho_0}$, $\rho_0 > 2$. Then one has

$$\|e^{-itH}f\|_{L^{\infty}} \le Ct^{-\frac{3}{2}} \|f\|_{L^{1}}, \quad \forall f \in L^{1}(\mathbb{R}^{3}), t > 0.$$
(4.1) dispative

To prove the Theorem, it suffices to prove

$$|\langle U(t)u,v\rangle| \le C|t|^{-3/2} ||u||_{L^1} ||v||_{L^1}, \quad u,v \in C_0^{\infty}.$$

Distinguish two regimes: $\lambda \in [-R, R]$ and $|\lambda| > R$. Consider only the case $\lambda \ge 0$. By the change of variable $\lambda \to \lambda^2$ and an integration by parts, we are led to prove

$$\left|\int e^{-it\lambda^{2}}\rho(\lambda)\langle G'(\lambda)u,v\rangle \ d\lambda\right| \leq C|t|^{-1/2}\|u\|_{1}\|v\|_{1}.$$
(4.2) [est1]

Here ρ is an appropriate cut-off and $G(\lambda) = r(\lambda^2)$ which can be written as $G(\lambda) =$ $G_0(\lambda)(1 + VG_0(\lambda))^{-1}$.

One can calculate

$$G'(\lambda) = (1 - G_0(\lambda)V)G'_0(\lambda)(1 + VG_0(\lambda))^{-1}$$
(4.3)

and $G'_0(\lambda)$ is the operator with integral kernel: $i\frac{e^{i\lambda|x-y|}}{4\pi}$. Take ρ with support in $]\lambda_0 - \delta, \lambda_0 + \delta[, \delta > 0$ to be adjusted. If one replaces λ by λ_0 in $(1 - G_0(\lambda)V)$ and $(1 + VG_0(\lambda))^{-1}$ in (4.3), one sees that

$$\left|\int_{\mathbb{R}^3_x}\int_{\mathbb{R}^3_y}\int_R e^{-it\lambda^2+i\lambda|x-y|}\rho(\lambda)\tilde{u}(x)\overline{\tilde{v}(y)}d\lambda dxdy\right| \le C|t|^{-1/2}\|u\|_1\|v\|_1$$

Note that $VG_0(\lambda): L^1 \to L^1$ is Hölder-continuous in λ . For $\lambda \in supp \rho$, one expands

$$(1 + VG_0(\lambda))^{-1} = \sum_{k=0}^{\infty} (-1)^k (S_0 D(\lambda))^k S_0$$

with $S_0 = 1 + VG_0(\lambda_0), D(\lambda) = V(G_0(\lambda) - G_0(\lambda_0))$. The integral kernel of $D(\lambda)$ is

$$V(x)\frac{e^{i\lambda|x-y|} - e^{i\lambda_0|x-y|}}{4\pi|x-y|}$$

One can prove that

$$\int_{\mathbb{R}} \|\mathcal{F}_{\lambda \to \tau} \rho(\lambda) (S_0 D(\lambda))^k S_0 u\|_1 d\tau \le (C_V \delta^{\epsilon})^k \|u\|_1$$

It follows that

$$\left| \int_{\mathbb{R}} \langle G_0'(\lambda) e^{-it\lambda^2} \rho(\lambda) (S_0 D(\lambda))^k S_0 u, A(\lambda) v \rangle d\lambda \right| \le |t|^{-1/2} (C_V \delta^\epsilon)^k ||u||_1 ||v||_1.$$

$$A(\lambda) = 1 - V G_0(\lambda_0) \text{ or } D(\lambda).$$

Here $A(\lambda) = 1 - VG_0(\lambda_0)$ or $D(\lambda)$.

Taking δ s.t. $C_V \delta^{\epsilon} < 1$ and summing up in k, we obtain the desired estimate $\binom{\text{est1}}{4.2}$ for each fixed energy.

Little modification is needed when $\lambda_0 = 0$. The high energy estimate in the limiting absorption principle implies

$$||(VG_0(\lambda))^k u||_1 \le C^k \lambda^{-(k-2)} ||u||_1$$

We also need the following

Lemma 4.2. Let $f_k : \mathbb{R} \to \mathbb{R}$ be a sequence of continuous functions such that

$$|f_k(\lambda)| \le C^k \langle \lambda \rangle^{-k+2}, \quad \int_{\mathbb{R}} \langle \tau \rangle^{\epsilon_0} |\hat{f}_k(\tau)| d\tau \le C^k.$$

Then for χ a cut-off for the interval $[R, \infty]$, one has

$$\int |\widehat{\chi f_k}(\tau)| d\tau \le C_1^k \langle R \rangle^{-\frac{(k-2)\epsilon_0}{2(1+\epsilon_0)}}.$$

One uses the series for λ large

$$(1 + VG_0(\lambda))^{-1} = \sum_{k=0}^{\infty} (-1)^k (VG_0(\lambda))^k$$

By the decay condition on V, one has

$$\int \langle \tau \rangle^{\epsilon_0} \| \mathcal{F}_{\lambda \to \tau} \rho(\lambda) (VG_0(\lambda))^k u \|_1 d\tau \le C^k \| u \|_1$$

uniformly in R. Here ρ is a cut-off for $[R, \infty]$. The Lemma gives

$$\int_{\mathbb{R}} \|\mathcal{F}_{\lambda \to \tau} \rho(VG_0(\lambda))^k u\|_1 d\tau \le C^k R^{-\frac{(k-2)\epsilon_0}{2(1+\epsilon_0)}} \|u\|_1.$$

For R > 1 large enough,

$$C^k R^{-\frac{(k-2)\epsilon_0}{2(1+\epsilon_0)}} < \epsilon^k, \epsilon < 1$$

One can deduce that

$$\left|\int e^{-it\lambda^{2}}\rho(\lambda)\langle G_{0}'(\lambda)(VG_{0}(\lambda))^{k}u,A(\lambda)v\rangle d\lambda\right| \leq |t|^{-1/2}C\epsilon^{k}||u||_{1}||v||_{1}.$$

Taking the summation in k, we obtain the desired high energy estimate.

This result is to compare with the dispersive estimate for selfadjoint operator H_1 $(V_2 = 0)$. Assume that n = 3 and $|V_1(x)| \leq C \langle x \rangle^{-\rho_0}$, $\rho_0 > 2$ and that 0 is not an eigenvalue nor a resonance of H_1 . Then one has

$$\|e^{-itH_1}P_{ac}f\|_{L^{\infty}} \le Ct^{-\frac{3}{2}}\|f\|_{L^1}, \quad \forall f \in L^1(\mathbb{R}^3), t \neq 0,$$
(4.4)

where P_{ac} is the projection onto the absolutely continuous spectral subspace of H_1 . As a consequence of Theorem 4.1, one has

Corollary 4.3. Under the condition of Theorem $\frac{\text{dispersive}}{4.1, \text{ one has for any } s \in [0, 3/2]$ and s' > s,

$$\|\langle x \rangle^{-s'} e^{-itH} \langle x \rangle^{-s'} \|_{\mathcal{L}(L^2)} \le C \langle t \rangle^{-s}, \quad t > 0.$$

$$(4.5)$$

Proof. For s = 3/2 and s' > 3/2, it follows from Theorem 4.1 that the above estimate holds. The general case follows from an argument of interpolation.

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