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▶ To cite this version:

Axel Bacher. Directed and multi-directed animals on the King's lattice. EuroComb 2013, Sep 2013, Pise, Italy. pp 535-541, $10.1007/978-88-7642-475-5_84$. hal-00989608

HAL Id: hal-00989608

https://hal.science/hal-00989608

Submitted on 12 May 2014

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Directed and multi-directed animals on the King's lattice

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Abstract. We define the *directed King's lattice* to be the square lattice with diagonal (next nearest neighbor) bonds and with the preferred directions $\{-, \cdot, \cdot\}$. We enumerate directed animals on this lattice using a bijection with Viennot's heaps of pieces. We also define and enumerate a superclass of directed animals, the elements of which are called multi-directed animals. This follows Bousquet-Mélou and Rechnitzer's work on the directed triangular and square lattices. Our final results show that directed and multi-directed animals asymptotically behave similarly to the ones on the triangular and square lattices.

1 Introduction

An animal on a lattice is a finite and connected set of vertices. The enumeration of animals (up to a translation) is a longstanding problem in statistical physics and combinatorics. The problem, however, is extremely difficult, and little progress has been made [16, 12]. A more realistic goal, therefore, is to enumerate natural subclasses of animals.

The class of *directed animals* is one of the most classical of these subclasses. Directed animals have been enumerated in a variety of lattices; let us cite, non-exhaustively, the square and triangular lattices [18, 14, 9, 11, 2], Bousquet-Mélou and Conway's lattices \mathcal{L}_n [4, 8], and the "strange" or *n*-decorated lattices [7, 3] (Figure 1). Unsolved lattices include, notably, the honeycomb lattice [13].

The class of *multi-directed animals* is a superclass of directed animals, first introduced by Klarner [15] on the square and triangular lattices. Bousquet-Mélou and Rechnitzer [5] clarified Klarner's definition and introduced a variant class on the square lattice. Moreover, they gave closed expressions for the generating function of multi-directed animals and showed that it is not D-finite.

The goal of this paper is to enumerate directed and multi-directed animals on a new lattice. We call *King's lattice* the square lattice with added diagonal bonds, or next nearest neighbor bonds. We also consider the preferred orientations $\{ , , \land, , , , , \}$ (Figure 1, right). Directed animals on the King's lattice are a superclass of directed animals on Bousquet-Mélou and Conway's lattice \mathcal{L}_3 , which has arcs $\{ \land, , , , \}$ [4].

Several techniques have been used to enumerate directed animals on the various lattices. Among them are direct bijections with other combinatorial objects [11], comparison with gas models [9, 3, 17, 1] and the use of Viennot's theory of heaps of pieces [20, 2, 8, 5, 21]. In this paper, we use the last method; we show that directed animals on the King's lattice are in bijection with heaps of segments, already defined in [6].

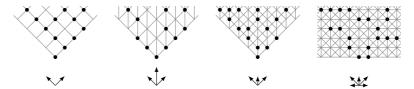


Fig. 1. Directed animals on a selection of lattices. From left to right: the square lattice, the triangular lattice, the lattice \mathcal{L}_3 , and the King's lattice.

2 Animals on the King's lattice and heaps of segments

2.1 Definitions

Definition 1. We call *segment* a closed real interval of the form [i, j], where i and j are integers such that j > i. Two segments are called *concurrent* if they intersect, even by a point. A *heap of segments* is a finite sequence of segments, considered up to commutation of non-concurrent segments.

The heaps of segments described here are the same as in [6], except that the segment reduced to a point is not allowed. More information on heaps of pieces in general can be found in [20]. Graphically, a heap is built by dropping segments in succession; a segment either falls on the ground or on another segment concurrent to it. Examples are shown in Figures 2 and 3.

2.2 Directed animals and pyramids of segments

Let A be an animal; we say that a site t of A is *connected* to another site s if there exists a directed path (i.e. respecting the preferred directions of the lattice) from s to t visiting only sites of A. We say that the animal A is *directed* of source s if every site t of A is connected to s. The source s is not unique; it may be any of the bottommost sites of A (see Figure 2, left). By convention, we call *source* of A the leftmost bottom site.

In Figure 2 is illustrated a bijection between directed animals and *pyramids* of segments (or heaps with only one segment lying on the ground). This bijection works identically to the classical bijection between directed animals on the square lattice and strict pyramids of dimers [20, 2].



Fig. 2. Left: a directed animal on the King's lattice (represented, for clarity, as a polyomino on the dual lattice) with its source circled. Right: the pyramid of segments obtained by replacing each maximal sequence of ℓ consecutive sites by a segment of length ℓ .

2.3 Multi-directed animals and connected heaps of segments

Let A be an animal. For any abscissa i, we denote by b(i) the ordinate of the bottommost site of A at abscissa i (or $b(i) = +\infty$ if there is no site of A at abscissa i). We call *source* of A a site that realizes a local minimum of b and *keystone* of A

a site that realizes a local maximum. In case several consecutive sites realize a minimum or maximum, the source or keystone is the leftmost one (Figure 3, left). This is a purely arbitrary choice that does not alter the definition.

Definition 2. Let *A* be an animal. The animal *A* is said *multi-directed* if it satisfies the two conditions:

- for every site t of A, there exists a source s such that t is connected to s;
- for every keystone t of A, there exist two sources s_{ℓ} and s_r , to the left and to the right of t respectively, such that t is connected to both s_{ℓ} and s_r . Moreover, the directed paths connecting t to s_{ℓ} and s_r do not go through a keystone at the same height as t.

As a directed animal has only one source and no keystone, every directed animal is multi-directed. Multi-directed animals are in bijection with *connected heaps of segments* (or heaps without an empty column). A multi-directed animal and its corresponding heap are depicted in Figure 3.

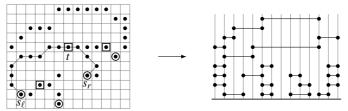


Fig. 3. Left: a multi-directed animal with four sources (circled) and three keystones (boxed). The directed paths connecting one keystone, denoted by t, to the sources s_{ℓ} and s_r are shown. Right: the corresponding connected heap of segments, with has four minimal pieces (one for each source of the animal).

Definition 2 can be adapted in the directed square and triangular lattices; the animals thus defined are in bijection with *connected heaps of dimers*. Bousquet-Mélou and Rechnitzer also defined multi-directed animals in bijection with connected heaps of dimers in [5], in a slightly different way. Our definition of multi-directed animals has the advantages of being more intrinsic and of having a vertical symmetry.

3 Enumeration of directed animals

In this section, we use the bijection with pyramids of segments to enumerate directed animals on the King's lattice. We call *half-animal* a directed animal with no site on the left side of its source. The associated pyramids are called *half-pyramids*. We adapt Bétréma and Penaud's methods [2] to decompose the pyramids of segments, which yields the following result.

Theorem 3. The generating functions S(t) and D(t) of half-animals and animals are:

$$S(t) = \frac{1 - 3t - \sqrt{1 - 6t + t^2}}{4t};$$
 $D(t) = \frac{1}{4} \left(\frac{1 + t}{\sqrt{1 - 6t + t^2}} - 1 \right).$

The decomposition of the half-pyramids is sketched in Figure 4. Interestingly, the generating function S(t) is already known in combinatorics. Its coefficients are the little Schröder numbers, **A001003** in the OEIS [19]. The coefficients of D(t) also appear as **A047781**. This is remindful of the triangular lattice, where the half-animals are enumerated by the Catalan numbers [2].

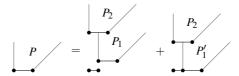


Fig. 4. Sketch of the two cases in the decomposition of half-pyramids. The generating function of the possible heaps P_1 and P_2 is 1 + S(t), while the generating function of the possible heaps P'_1 is S(t). This shows the identity $S = t(1+S)^2 + tS(1+S)$, from which we derive the value of S(t).

4 Enumeration of multi-directed animals

In this section, we enumerate multi-directed animals, or, equivalently, connected heaps of segments. To do this, we adapt the *Nordic decomposition*, invented by Viennot to enumerate connected heaps of dimers [21].

Theorem 4. Let M = M(t) be the generating function of multi-directed animals. Let S = S(t), D = D(t) be the power series defined in Theorem 3, R = S + t(1+S) and Q = 2(1-t)S - t. The generating function M is given by:

$$M = \frac{D}{1 - \sum_{k>0} S(1+S)^k \frac{QR^k}{1 - QR^k}}.$$

5 Asymptotic results

Finally, we derive asymptotic results from Theorems 3 and 4.

Theorem 5. Let D_n and M_n be the number of directed and multi-directed animals of area n, respectively. As n tends to infinity, we have:

$$D_n \sim \kappa (3 + \sqrt{8})^n n^{-1/2}; \qquad \qquad M_n \sim \lambda \mu^n,$$

with $\mu = 6.475...$ The average width of directed animals grows like \sqrt{n} , while the average width of multi-directed animals grows like n. Finally, the series M(t) is not D-finite.

The results on directed animals are a straightforward application of singularity analysis [10, Theorem VI.4]. The results on multi-directed animals are more involved. Similar results already exist on the square and triangular lattices, including the non-D-finiteness of the series M(t) [5].

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