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ON THE SEMIPRIMITIVITY OF CYCLIC CODES

YVES AUBRY AND PHILIPPE LANGEVIN

ABSTRACT. We prove, without assuming the Generalized Riemann Hypothesis, but with at most one exception, that an irreducible cyclic code $c(p, m, v)$ with v prime and p of index 2 modulo v is a two-weight code if and only if it is a semiprimitive code or it is one of the six sporadic known codes. The result is proved without any exception for index-two irreducible cyclic $c(p, m, v)$ codes with v prime not congruent to 3 modulo 8. Finally, we prove that these two results hold true in fact for irreducible cyclic code $c(p, m, v)$ such that there is three p -cyclotomic cosets modulo v .

1. INTRODUCTION

Irreducible cyclic codes are extensively studied in the literature. They can be defined by three parameters p , m and v and are denoted $c(p, m, v)$ (see section 2 for a precise definition). Such codes with only few different (Hamming) weights are highly appreciated, especially those with exactly two non-zero weights, called two-weight codes. The classification of two-weight codes is a classical problem in coding theory (see [3]); it is still an open problem but recent progress has been made. An infinite family, namely the semiprimitive codes (i.e. when -1 is a power of p modulo v), and eleven sporadic examples are known. Schmidt and White in [9] provided evidence to conjecture that this is the whole story:

Conjecture 1. *An irreducible cyclic code $c(p, m, v)$ is a two-weight code if and only if it is a semiprimitive code or it is one of the eleven sporadic known codes.*

They proved their conjecture, conditional on the Generalized Riemann Hypothesis (G.R.H.), for index-two codes, that is when p has index 2 modulo v . Note that semiprimitive codes have two non-zero weights and thus only the “only if” part had to be proved.

We considered in [1] the conjecture in the binary case and we proved it in a particular case without assuming G.R.H.. Our main result here is a proof of this conjecture without assuming G.R.H. but with at most one exception in the case where p has index 2 and v is prime. We prove before, using near-primitive root densities and conditionally on G.R.H., that for any prime number p there are infinitely many such codes namely index-two irreducible cyclic codes $c(p, m, v)$ with v prime.

We prove the conjecture without any exception (and without assuming G.R.H.) in the case where p has index 2 and v is a prime not congruent to 3 modulo 8. Finally, we remark that the results hold true in fact for irreducible cyclic codes $c(p, m, v)$ with v an integer such that there is three p -cyclotomic cosets modulo v .

2. IRREDUCIBLE CYCLIC CODES AND McELIECE WEIGHT-FORMULA

Let us introduce irreducible cyclic codes over a prime finite field (indeed, it is enough for our purpose, namely the classification of two-weight irreducible cyclic codes, to consider such codes over prime fields, as remarked in [9]).

Let p be a prime number and consider the finite field K with p elements. Let L be the extension of degree m of K , consider a divisor n of $p^m - 1$ and write $v = (p^m - 1)/n$ (thus v and p are coprime). Let ζ be a primitive n -th root of unity in L (i.e. ζ is a generator of the cyclic subgroup of order n of the multiplicative group L^*). We define the $c(p, m, v)$ code to be the image of the following map Φ_m :

$$\begin{aligned} \Phi_m: L &\longrightarrow K^n \\ t &\longmapsto (\text{Tr}_{L/K}(t\zeta^{-i}))_{i=0}^{n-1} \end{aligned}$$

where $\text{Tr}_{L/K}$ is the trace of the field L over K .

It is a code of length n and dimension $\text{ord}_n(p)$, the multiplicative order of p modulo n . Every irreducible cyclic code over K can be viewed as a $c(p, m, v)$ code (see [9]), so we can take $c(p, m, v)$ as the definition of irreducible cyclic codes over K of length n . The $c(p, m, v)$ codes are known to be projective or saturated according to whether $\text{gcd}(n, p-1) = 1$ or $\text{gcd}(n, p-1) = p-1$. As remarked in [9], we may assume the saturated situation.

Now we are interested in the weight $w(t)$ of a codeword $\Phi_m(t)$ of such a code, for $t \in L^*$. Let χ be a character of the multiplicative group L^* and let

$$(1) \quad \tau_L(\chi) = - \sum_{x \in L^*} \chi(x) e^{\frac{2i\pi}{p} \text{Tr}_{L/K}(x)}$$

be the Gauss sum associated with χ .

Let V be the subgroup of L^* of index v and let Γ be the subgroup of characters of L^* which are trivial both on V and K^* . Note that the order of Γ is equal to $v \text{gcd}(n, p-1)/(p-1)$ which is just equal to v in the saturated situation. We have the following McEliece formula:

Proposition 2. *For any $t \in L^*$, the weight $w(t)$ of the codeword $\Phi_m(t)$ is given by:*

$$(2) \quad w(t) = \frac{p-1}{pv} \left(p^m + \sum_{\chi \in \Gamma \setminus \{1\}} \tau_L(\chi) \bar{\chi}(t) \right).$$

And, conversely by Fourier inversion

$$(3) \quad \tau_L(\chi) = \frac{p}{p-1} \sum_{t \in L^*/V} w(t)\chi(t).$$

One says that p is semiprimitive modulo v when -1 is in the group generated by p in $(\mathbf{Z}/v\mathbf{Z})^*$, i.e. when $\text{ord}_v(p)$ is even. Note that in this case all the Gauss sums are rational and a $c(p, m, v)$ code is a two-weight code. In the paper we investigate the reciprocal: besides some sporadic known examples, is any two-weight irreducible cyclic code semiprimitive ?

3. THE CASE v SMALL

Before going further let us treat the case where v is small, i.e. $v = 2$ or 3 . We know that a $c(p, m, 2)$ code is a two-weight code, and that the weights can be expressed in term of quadratic Gauss sum (see [7]). In the same way, the weights of a $c(p, m, 3)$ code can be expressed by means of cubic Gauss sums. However, it is hard to give the exact values of the cubic Gauss sums (see [6]), and thus also the weights of such a code. Nevertheless, we have the following characterization:

Proposition 3. *A $c(p, m, 3)$ code has two weights if and only if it is semiprimitive (that is here, if and only if $p \equiv 2 \pmod{3}$).*

Proof. Let χ be a multiplicative character of L of order 3. The number of weights of a $c(p, m, 3)$ code is equal to the number of distinct values taken by the mapping:

$$L^* \ni t \mapsto f(t) = \tau_L(\chi)\chi(t) + \tau_L(\bar{\chi})\bar{\chi}(t).$$

Let $1 \neq j$ be a cubic root of unity. Let t be such that $\chi(t) = j$. It is easy to see that $f(1) = f(t)$ implies $\tau_L(\bar{\chi}) = j\tau_L(\chi)$, that $f(t) = f(t^2)$ implies $\tau_L(\bar{\chi}) = \tau_L(\chi)$ and that $f(1) = f(t^2)$ implies $\tau_L(\bar{\chi}) = j^2\tau_L(\chi)$. Therefore, the code has two weights if and only if there exists a cubic root of unity ω such that

$$(4) \quad \tau_L(\bar{\chi}) = \omega\tau_L(\chi).$$

In particular, since $\tau_L(\chi)^3$ is an algebraic integer of degree 2 and norm p^{3m} , we deduce that $\tau_L(\chi)^6 = \tau_L(\bar{\chi})^6 = p^{6m}$. Hence the Gauss sums $\tau_L(\chi)$ are pure Gauss sums (see [7] for a definition of a pure Gauss sum). It follows by a theorem of Baumert, Mills and Ward (see Theorem 11.6.4 of [7] for example) that p is semiprimitive modulo 3. \square

4. INFINITELY MANY INDEX-TWO $c(p, m, v)$ CODES WITH v PRIME

For the study of $c(p, m, v)$ codes with v prime and p of index two modulo v , we are interested in primitive and near-primitive root densities.

In 1927, Emil Artin made the following conjecture (called now the Artin's primitive root conjecture): for any integer $\alpha \neq \pm 1$ not a square, the natural density

$$\lim_{x \rightarrow +\infty} \frac{\#\{v \text{ prime} \mid v \leq x \text{ and } \alpha \text{ generates } \mathbf{F}_v^*\}}{\#\{v \text{ prime} \mid v \leq x\}}$$

exists and is positive. In 1967, Hooley proved this conjecture under the assumption of G.R.H.. In particular, he proved that if α is neither ± 1 nor a perfect square, then there are infinitely many primes v for which α is a primitive root modulo v .

If we ask α to generate only the squares of \mathbf{F}_v^* and not the whole group \mathbf{F}_v^* , i.e. to have index 2 and not index 1 modulo v , we come to the notion of near-primitive roots. Precisely, fix $\alpha \neq \pm 1$ not a perfect power and let v be a prime and t be an integer such that $v \equiv 1 \pmod{t}$. Consider

$$N_{\alpha,t}(x) = \#\{v \text{ prime} \mid v \leq x \text{ and } v \nmid \alpha \text{ and } \text{ind}_v(\alpha) = t\}.$$

Notice that for $t = 1$ this quantity is just the previous one studied by Artin and Hooley. In 2000, Moree introduced in [8] a weighting function depending on α and t and gave an estimation of $N_{\alpha,t}(x)$ assuming G.R.H.. In particular, for $\alpha = p$ a prime number and $t = 2$, he proved that

$$N_{p,2}(x) = \sum_{\substack{v \text{ odd prime} \\ v \leq x}} \frac{\varphi\left(\frac{v-1}{2}\right)}{v-1} + O\left(\frac{x \log \log x}{\log^2 x}\right).$$

This implies that there exist infinitely many primes v such that p has index 2 modulo v .

In particular, we have:

Proposition 4. *Conditionally on G.R.H., for any prime number p there are infinitely many index-two irreducible cyclic codes $c(p, m, v)$ with v prime.*

5. NECESSARY CONDITIONS ON TWO-WEIGHT CODES

The irreducible cyclic codes $c(p, m, v)$, with v a prime number and with p of index 2 modulo v , range in two families: the first one with $v \equiv 1 \pmod{4}$ and the second one with $v \equiv 3 \pmod{4}$. If $v \equiv 1 \pmod{4}$, then -1 is a square modulo v and since p generates the squares modulo v , we are reduced to the semiprimitive case. This lead us to consider the second case, where -1 is not a square modulo v . Moreover, in view of Proposition 3, we can suppose that v is greater than 3.

Hence, let us consider a prime number p and an integer v satisfying the following ($\#$) conditions:

- (a) v is a prime greater than 3,
- (b) $\text{ord}_v(p) = (v-1)/2$ i.e. p has index 2 modulo v ,
- (c) $v \equiv 3 \pmod{4}$.

Let f be the multiplicative order of p modulo v . Note that f divides m , and we set $s = m/f$. It is shown in [4] that if a $c(p, m, v)$ code with v satisfying the $(\#)$ conditions has two weights then:

$$(5) \quad \frac{v+1}{4} = p^{hs}.$$

We give, now, a more precise result:

Theorem 5. *If a $c(p, m, v)$ code with v satisfying the $(\#)$ conditions is a two-weight code then we have:*

$$m = \text{ord}_v(p).$$

Proof. Since p has index 2 modulo v , then p is a square modulo v , and

$$(p) = PP'$$

splits in the extension $\mathbf{Q}(\sqrt{-v})/\mathbf{Q}$. We have that the norm

$$N_{\mathbf{Q}(\sqrt{-v})/\mathbf{Q}}(P) = p$$

and that $P^h = (\alpha)$ is a principal ideal (since h is the ideal class number of $\mathbf{Q}(\sqrt{-v})$), with $\alpha = (a + b\sqrt{-v})/2$ (with $a, b \in \mathbf{Z}$) an algebraic integer of $\mathbf{Q}(\sqrt{-v})$. Taking norms, we obtain $p^h = (a^2 + vb^2)/4$ and since a and b cannot be zero in this situation, we conclude that

$$\frac{v+1}{4} \leq p^h.$$

But by (5) a $c(p, m, v)$ code with v satisfying the $(\#)$ conditions has two weights if and only if

$$(6) \quad \frac{v+1}{4} = p^{hs}.$$

Thus, $p^{hs} \leq p^h$ and $s = 1$. □

Then, the previously defined parameter s appearing in [4] and [9] is equal to 1 under the $(\#)$ conditions. In particular, we have:

Corollary 6. *If a $c(p, m, v)$ code with v satisfying the $(\#)$ conditions is a two-weight code then*

$$(7) \quad \frac{v+1}{4} = p^h.$$

where h is the class number of the imaginary quadratic number field $\mathbf{Q}(\sqrt{-v})$. In particular, such a code is completely defined by the parameter v .

Furthermore, we have the following necessary condition on p for two-weight $c(p, m, v)$ code with v satisfying the $(\#)$ conditions:

Corollary 7. *If a $c(p, m, v)$ code with v satisfying the $(\#)$ conditions has two weights, then p is the least prime which totally splits in the extension $\mathbf{Q}(\sqrt{-v})/\mathbf{Q}$, i.e. p is the least prime which is a square modulo v .*

Proof. Indeed, if ℓ is a prime which totally splits in $\mathbf{Q}(\sqrt{-v})/\mathbf{Q}$, then the previous proof implies that $\ell^h \geq \frac{v+1}{4} = p^h$ which gives $\ell \geq p$. \square

6. MAIN RESULTS

Using the previous section, we can state the following result which can also be derived from the proof of lemma 4.1. of [4].

Theorem 8. *There is no two-weight $c(p, m, v)$ code with v satisfying the (#) conditions and with $v \equiv 7 \pmod{8}$. Hence, Conjecture 1 holds true for index-two irreducible cyclic $c(p, m, v)$ codes with v a prime not congruent to 3 modulo 8.*

Proof. Since $v \equiv 7 \pmod{8}$, it follows that 2 is a square modulo v , and the ideal (2) splits in the extension $\mathbf{Q}(\sqrt{-v})/\mathbf{Q}$. By Corollary 7, we conclude that $p = 2$. But we proved in [1] that there exists no two-weight binary $c(p, m, v)$ code with v satisfying the (#) conditions, so we get the non-existence of such codes. Hence, this proves the conjecture since the case $v \equiv 1 \pmod{4}$ is trivial, as quoted in the previous section, and the last case $v \equiv 3 \pmod{4}$ is divided in two subcases : when $v \equiv 7 \pmod{8}$, which is now solved, and when $v \equiv 3 \pmod{8}$ which is the remainder case. \square

Actually, we will consider now a more general approach using the identity of Corollary 6 but with at most one exception.

If a $c(p, m, v)$ code with v satisfying the (#) conditions has two weights then we have the following relation

$$\frac{v+1}{4} = p^h,$$

where h is the class number of the imaginary quadratic number field $\mathbf{Q}(\sqrt{-v})$ (see Corollary 6).

In 1935, Siegel gave a non-effective lower bound on the residue at $s = 1$ of the L-function $L(s, \chi_v)$ associated to the primitive odd Dirichlet character χ_v of $\mathbf{Q}(\sqrt{-v})$. Tatzuzawa, in 1951, proved an effective lower bound of $L(1, \chi_v)$ but with at most one exception (see [10] and see also [5] for a simple proof): if $0 < \varepsilon < 1/2$ and $v \geq \max(e^{1/\varepsilon}, e^{11.2})$, then

$$L(1, \chi_v) \geq 0.655\varepsilon v^{-\varepsilon}.$$

Since the class number h of $\mathbf{Q}(\sqrt{-v})$ with $-v \equiv 1 \pmod{4}$ is linked to $L(1, \chi_v)$ by the following Dirichlet class number formula:

$$L(1, \chi_v) = \frac{\pi h}{\sqrt{v}},$$

we can use Tatzuzawa theorem to get an upper bound on v .

Proposition 9. *There exists at most one two-weight $c(p, m, v)$ code with $v \geq 10^8$ satisfying the (#) conditions.*

TABLE 1. Sporadic $c(p, m, v)$ codes with v satisfying the (#) conditions and $v \leq 10^8$.

v	11	19	67	107	163	499
p	3	5	17	3	41	5
h	1	1	1	3	1	3

Proof. Let $\varepsilon = 1/\log(10^8) \in (0, 1/2)$. For $v \geq \max(e^{1/\varepsilon}, e^{11.2}) = 10^8$, we have, with at most one exception:

$$L(1, \chi_v) \geq 0.655\varepsilon v^{-\varepsilon} = 0.035v^{-0.054}.$$

Now, $\frac{v+1}{4} = p^h \geq 2^h$ implies that $\log \frac{v+1}{4} \geq h \log 2$. By the Dirichlet class number formula, we get:

$$\log \frac{v+1}{4} \geq \frac{\sqrt{v}L(1, \chi_v)}{\pi} \log 2.$$

But, for $v \geq 10^8$, we have on one hand $\log \frac{v+1}{4} \geq 17.03$ and on the other hand $\frac{\sqrt{v}L(1, \chi_v)}{\pi} \log 2 > 28.55$ by Tatzuza theorem. Thus, there exists no $v \geq 10^8$ such that $\frac{v+1}{4} = p^h$, with at most one exception. \square

Now, we make an exhaustive research of the primes $v \leq 10^8$ such that $(v+1)/4$ is a power of a prime p . Then, for such primes v , we check whether $(v+1)/4 = p^{h(v)}$ holds true or not, with $h(v)$ the class number of $\mathbf{Q}(\sqrt{-v})$. Actually, we recover the following sporadic known examples of Table 1.

Thus, we have proved the following theorem:

Theorem 10. *Any two-weight irreducible cyclic $c(p, m, v)$ code where p has index two modulo a prime v and which is not one of the six sporadic examples of Table 1 is semiprimitive, with at most one exception. Hence, Conjecture 1 is true, with at most one exception, for all index-two $c(p, m, v)$ codes with v prime.*

7. CYCLOTOMIC COSETS

Let p be a prime. For any integer v prime to p , consider on the ring $\mathbf{Z}/v\mathbf{Z}$ the equivalence relation given by: for $a, b \in \mathbf{Z}/v\mathbf{Z}$, we set $a \sim b$ if and only if there exists $t \in \mathbf{Z}$ such that $a = bp^t$. The equivalence classes for this equivalence relation are the so-called p -cyclotomic cosets modulo v .

Recall that the order $\text{ord}_v(g)$ of an element g of the multiplicative group $(\mathbf{Z}/v\mathbf{Z})^*$ divides the order $\varphi(v)$ of this group, where φ is the Euler function. We denote by $\text{ind}_v(g)$ the index of g modulo v i.e.

$$\text{ind}_v(g) = \frac{\varphi(v)}{\text{ord}_v(g)}.$$

Then $\text{ind}_v(g) = [(\mathbf{Z}/v\mathbf{Z})^* : \langle g \rangle]$ where $\langle g \rangle$ denotes the subgroup of $(\mathbf{Z}/v\mathbf{Z})^*$ generated by g . But the number $\gamma(p, v)$ of p -cyclotomic cosets

modulo v is also equal to the number of irreducibles polynomials in the decomposition of the polynomial $X^v - 1$ over \mathbf{F}_p , thus it is equal to

$$(8) \quad \gamma(p, v) = \sum_{d|v} \frac{\varphi(d)}{\text{ord}_d(p)} = \sum_{d|v} \text{ind}_d(p)$$

with the convention that $\text{ind}_1(p) = 1$. For example, the condition $\gamma(p, v) = 2$ is equivalent to $\text{ind}_v(p) = 1$, that is p is a primitive root modulo v .

Proposition 11. *Let v be an integer. The ring $\mathbf{Z}/v\mathbf{Z}$ contains exactly 3 p -cyclotomic cosets if and only if one of the following holds:*

- (i) v is a prime and p has index 2 mod v ;
- (ii) v is the square of a prime and p has index 1 mod v .

Proof. By (8) we have $\gamma(p, v) = 3$ if and only if $\text{ind}_v(p) = 2$ and v has no proper divisor, or $\text{ind}_v(p) = 1$ and v has a unique proper divisor. The proposition is then proved. \square

Proposition 12. *Let v be an integer. If the ring $\mathbf{Z}/v\mathbf{Z}$ contains exactly three p -cyclotomic cosets then any $c(p, m, v)$ code has at most three non-zero weights.*

Proof. The result is in fact much general: the number of weights is less or equal than the number of cyclotomic cosets. It follows from the fact that the weight of a codeword of a $c(p, m, v)$ code is invariant under $t \mapsto t\zeta$ and under $t \mapsto t^p$; see Theorem 2.5 of [2] for a detailed proof. \square

The case (ii) of Proposition 11 falls into the semiprimitive case since p generates the whole group $(\mathbf{Z}/v\mathbf{Z})^*$ and thus contains -1 .

Finally, we have proved the following result:

Theorem 13. *If v is an integer such that there is three p -cyclotomic cosets modulo v then any two-weight irreducible cyclic code $c(p, m, v)$ which is not one of the six sporadic examples of Table 1 is semiprimitive, with at most one exception. Hence, Conjecture 1 holds true, with at most one exception, for all $c(p, m, v)$ codes with v an integer such that there is three p -cyclotomic cosets modulo v .*

Proof. If a binary irreducible cyclic code with three-cyclotomic cosets has two weights then it is semiprimitive. Indeed, by Proposition 11, an irreducible cyclic code with three-cyclotomic cosets leads to two cases. The first one leads $c(p, m, v)$ codes with v a square of a prime and p of index 1 modulo v which gives a semiprimitive code.

The other case leads to $c(p, m, v)$ codes with v a prime and p of index 2 modulo v (the so-called index-two codes). When $v \equiv 1 \pmod{4}$, we saw that we obtain a semiprimitive code. When $v \equiv 3 \pmod{4}$, we obtain $c(p, m, v)$ codes with v satisfying the (#) conditions. In the case

where $p = 2$, i.e. the binary case, we found in [1] that there is no two-weight codes. When $p \neq 2$, theorem 10 gives the result. \square

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