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# An arbitrary-order and compact-stencil discretization of diffusion on general meshes based on local reconstruction operators

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## Abstract

We develop an arbitrary-order primal method for diffusion problems on general polyhedral meshes. The degrees of freedom are scalar-valued polynomials of the same order at mesh elements and faces. The cornerstone of the method is a local (element-wise) discrete gradient reconstruction operator. The design of the method additionally hinges on a least-squares penalty term on faces weakly enforcing the matching between local element- and face-based degrees of freedom. The scheme is proved to optimally converge in the energy norm and in the  $L^2$ -norm of the potential for smooth solutions. In the lowest-order case, equivalence with the Hybrid Finite Volume method is shown. The theoretical results are confirmed by numerical experiments up to order 4 on several polygonal meshes.

**Keywords.** Diffusion, general meshes, arbitrary-order, gradient reconstruction

**Mathematics Subjects Classification.** 65N30, 65N08, 76R50

## 1 Introduction

Let  $\Omega \subset \mathbb{R}^d$ ,  $d \in \{2, 3\}$ , denote an open bounded connected polygonal/polyhedral domain. In this work we propose an arbitrary-order primal hybrid method for the model diffusion problem

$$\begin{aligned} -\Delta u &= f && \text{in } \Omega, \\ u &= 0 && \text{on } \partial\Omega, \end{aligned} \tag{1}$$

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where  $u$  denotes the potential and  $f$  a forcing term. More general boundary conditions and diffusion tensors could be considered, but we stick to the simpler case (1) for ease of presentation. For  $X \subset \overline{\Omega}$ , we respectively denote by  $(\cdot, \cdot)_X$  and  $\|\cdot\|_X$  the standard inner product and norm in  $L^2(X)$ , with the convention that the subscript is omitted whenever  $X = \Omega$  and that the same notation is used in  $L^2(X)^d$ . Classically, the weak formulation of (1) consists, for  $f \in L^2(\Omega)$ , in seeking  $u \in U_0 := H_0^1(\Omega)$  such that

$$(\nabla u, \nabla v) = (f, v) \quad \forall v \in U_0. \quad (2)$$

Approximation methods on general polyhedral meshes have received an increasing attention over the last few years, motivated by applications (e.g., in geosciences) where the mesh cannot be easily adapted to the needs of the numerical scheme. To handle general discretizations, a wide range of new numerical methods has been developed. We can cite, e.g., the Mimetic Finite Difference (MFD) [5], the Hybrid Finite Volume (HFV) [14], and the Mixed Finite Volume (MFV) [11] methods, that have been proved to be closely related in [12]. Another example is the recent framework of Compatible Discrete Operator (CDO) schemes [4], for which a correspondence is established with nodal MFD discretizations for vertex-based CDO schemes and with MFV for cell-based CDO schemes. All these methods share the particularity of being lowest-order, which may be sufficient for most practical cases. However, the emphasis has been recently set on the design of higher-order discretizations capable of handling comparably general meshes. Results in this direction include the polygonal and extended Finite Element (FE) methods [16, 17] where nonpolynomial shape functions are considered. Furthermore, high-order MFD schemes have been recently analyzed in [3]. Even more recently, the Virtual Element Method (VEM) was introduced in [2], broadening the ideas underpinning the MFD approach and, at the same time, allowing one to design arbitrary-order conforming finite element methods on polyhedral meshes, without the need to specify the additional nonpolynomial shape functions. The VEM also allows for higher-order continuity conditions between neighboring elements.

In the present work, we show how the schemes based on local reconstruction operators originally developed in the context of linear elasticity in [9] apply to the design of an arbitrary-order primal (as opposed to the mixed case considered in [8]) method for the model diffusion problem (1). The resulting scheme can be viewed as a high-order extension of the HFV method, or of the generalized Crouzeix–Raviart method introduced in [10] in the context of linear elasticity. For a given polynomial degree  $k \geq 0$ , we select as degrees of freedom (DOFs) scalar-valued polynomials at mesh elements and faces up to degree  $k$ . The associated interpolation operator maps potentials in  $H^1(\Omega)$  to their moments up to degree  $k$  at elements and faces. Then, the method is defined in two steps: (i) we devise a local discrete gradient reconstruction operator of order  $k$  in terms of the local DOFs by solving an inexpensive problem inside each element; (ii) we design a least-squares penalty term that is local to one element (i.e., it does not affect the stencil of the method), that weakly enforces the matching between local element- and face-based DOFs, and that preserves the order of the gradient reconstruction. A key ingredient in the design of the penalty term is a potential reconstruction of order  $(k+1)$  obtained by correcting element DOFs by a higher-order term inferred from the discrete gradient reconstruction. A major difference with

respect to VEM is that nodal unknowns are not present, which results in a more compact stencil (especially in three space dimensions). Additionally, as usual with the present choice of DOFs, static condensation allows one to solve a global system in terms of face unknowns only. The Hybrid Discontinuous Galerkin (HDG) method [6], which is devised on different ideas, also hinges on element- and face-based DOFs. The main difference is that the present choice of DOFs only involves scalar-valued polynomials attached to elements, which yields significant computational savings in the static condensation, especially in three space dimensions. The present hybrid high-order method is also expected to outperform Interior Penalty Discontinuous Galerkin (IPDG) methods. First, we notice that achieving the same accuracy with IPDG methods requires to use cell-based polynomials of order  $(k+1)$ . Thus, for  $d=3$ , the number of DOFs is  $\frac{1}{6}(k+2)(k+3)(k+4)$  times the number of cells for IPDG methods (growing as  $\frac{1}{6}k^3$ ), and  $\frac{1}{2}(k+1)(k+2)$  times the number of faces for the present method after static condensation (growing as  $\frac{1}{2}k^2$ ). Blocking DOFs at cells and faces, respectively, the stencil resulting from IPDG methods (consisting of cell neighbors in the sense of faces) is approximately twice more compact than that of the present method (consisting of face neighbors in the sense of cells), but, as mentioned above, the size of the blocks is (much) smaller for the present method, especially with high polynomial orders.

The paper is organized as follows. In Section 2 we introduce the method: we recall the notion of admissible mesh sequence, introduce the spaces of DOFs, derive the gradient reconstruction, present the discrete problem, study its well-posedness, and link our scheme to the HFV method in the lowest-order case. In Section 3 we perform the error analysis. We prove a convergence rate for smooth solutions of order  $(k+1)$  in the energy norm and of order  $(k+2)$  in the  $L^2$ -norm of the error, respectively. Incidentally, this latter result provides an optimal  $L^2$ -norm potential error estimate for HFV schemes with arbitrary penalty parameter (as opposed to [10], where a specific choice yielding continuity of the potential reconstruction at face barycenters is considered). Finally, in Section 4, we present numerical examples up to order 4 on various polygonal meshes confirming the theoretical predictions.

## 2 Description of the method

### 2.1 Admissible mesh sequences

We recall the notion of admissible mesh sequence of [7, Chapter 1]. Let  $\mathcal{H} \subset \mathbb{R}_*^+$  denote a countable set of meshsizes having 0 as its unique accumulation point. We consider  $h$ -refined mesh sequences  $(\mathcal{T}_h)_{h \in \mathcal{H}}$  where, for all  $h \in \mathcal{H}$ ,  $\mathcal{T}_h$  is a finite collection of nonempty disjoint open polygons/polyhedra (the elements)  $\mathcal{T}_h = \{T\}$  such that  $\bar{\Omega} = \bigcup_{T \in \mathcal{T}_h} \bar{T}$  and  $h = \max_{T \in \mathcal{T}_h} h_T$  ( $h_T$  stands for the diameter of the element  $T$ ). We call a face any hyperplanar closed connected subset  $F$  of  $\bar{\Omega}$  with positive  $(d-1)$ -dimensional measure and such that (i) either there exist  $T_1, T_2 \in \mathcal{T}_h$  such that  $F \subset \partial T_1 \cap \partial T_2$  (and  $F$  is an interface) or (ii) there exists  $T \in \mathcal{T}_h$  such that  $F \subset \partial T \cap \partial \Omega$  (and  $F$  is a boundary face). Interfaces are collected in the set  $\mathcal{F}_h^i$ , boundary faces in  $\mathcal{F}_h^b$ , and we let  $\mathcal{F}_h := \mathcal{F}_h^i \cup \mathcal{F}_h^b$ . The diameter of a face

$F \in \mathcal{F}_h$  is denoted by  $h_F$ . For all  $T \in \mathcal{T}_h$ ,  $\mathcal{F}_T := \{F \in \mathcal{F}_h \mid F \subset \partial T\}$  denotes the set of faces lying on the boundary of  $T$  and, for all  $F \in \mathcal{F}_T$ ,  $\mathbf{n}_{TF}$  is the unit normal to  $F$  pointing out of  $T$ . Finally, the  $l$ -dimensional Lebesgue measure,  $0 \leq l \leq d$ , is denoted by  $|\cdot|_l$ .

**Definition 1** (Admissible mesh sequence). *The mesh sequence  $(\mathcal{T}_h)_{h \in \mathcal{H}}$  is admissible if, for all  $h \in \mathcal{H}$ ,  $\mathcal{T}_h$  admits a matching simplicial submesh  $\mathfrak{T}_h$  and there exists a real number  $\varrho > 0$  independent of  $h$  such that, for all  $h \in \mathcal{H}$ , (i) for all simplex  $S \in \mathfrak{T}_h$  of diameter  $h_S$  and inradius  $r_S$ ,  $\varrho h_S \leq r_S$  holds and (ii) for all  $T \in \mathcal{T}_h$ , and all  $S \in \mathfrak{T}_h$  such that  $S \subset T$ ,  $\varrho h_T \leq h_S$  holds.*

In what follows, we often abbreviate as  $a \lesssim b$  the inequality  $a \leq Cb$  with  $C > 0$  independent of  $h$  but possibly depending on the mesh regularity parameter  $\varrho$ .

We next recall some basic results valid for admissible mesh sequences. First, according to [7, Lemma 1.42], for all  $h \in \mathcal{H}$ , all  $T \in \mathcal{T}_h$ , and all  $F \in \mathcal{F}_T$ ,  $h_F$  is comparable to  $h_T$  in the sense that

$$\varrho^2 h_T \leq h_F \leq h_T. \quad (3)$$

Moreover, [7, Lemma 1.41] shows that there exists an integer  $N_\varrho$  depending on  $\varrho$  and  $d$  such that

$$\forall h \in \mathcal{H}, \quad \max_{T \in \mathcal{T}_h} \text{card}(\mathcal{F}_T) \leq N_\varrho. \quad (4)$$

There also exist real numbers  $C_{\text{tr}}$  and  $C_{\text{tr,c}}$  depending on  $\varrho$  but independent of  $h$  such that the following discrete and continuous trace inequalities hold for all  $T \in \mathcal{T}_h$  and  $F \in \mathcal{F}_T$ , cf. [7, Lemmata 1.46 and 1.49]:

$$\|v\|_F \leq C_{\text{tr}} h_F^{-1/2} \|v\|_T \quad \forall v \in \mathbb{P}_d^l(T), \quad (5)$$

$$\|v\|_{\partial T} \leq C_{\text{tr,c}} (h_T^{-1} \|v\|_T^2 + h_T \|\nabla v\|_T^2)^{1/2} \quad \forall v \in H^1(T), \quad (6)$$

where, for  $X$  being an  $n$ -dimensional subset of  $\overline{\Omega}$  ( $n \leq d$ ),  $\mathbb{P}_n^l(X)$  is spanned by the restrictions to  $X$  of  $n$ -variate polynomials of total degree  $\leq l$ . Using [7, Lemma 1.40] together with the results of [13], one can prove that there exists a real number  $C_{\text{app}}$  depending on  $\varrho$  and  $l$  but independent of  $h$  such that, for all  $T \in \mathcal{T}_h$ , denoting by  $\pi_T^l$  the  $L^2$ -orthogonal projector on  $\mathbb{P}_d^l(T)$ , the following holds: For all  $s \in \{1, \dots, l+1\}$ , and all  $v \in H^s(T)$ ,

$$|v - \pi_T^l v|_{H^m(T)} + h_T^{1/2} |v - \pi_T^l v|_{H^m(\partial T)} \leq C_{\text{app}} h_T^{s-m} |v|_{H^s(T)} \quad \forall m \in \{0, \dots, (s-1)\}. \quad (7)$$

Finally, the following Poincaré inequality is valid for all  $T \in \mathcal{T}_h$  and all  $v \in H^1(T)$  such that  $\int_T v = 0$ :

$$\|v\|_T \leq C_P h_T \|\nabla v\|_T, \quad (8)$$

where  $C_P = \pi^{-1}$  for convex elements (cf. [1]). For more general element shapes,  $C_P$  can be estimated in terms of  $\varrho$ .

## 2.2 Degrees of freedom

Let a polynomial degree  $k \geq 0$  be fixed. For all  $T \in \mathcal{T}_h$ , we define the local space of DOFs as follows:

$$\mathbf{U}_T^k := \mathbb{P}_d^k(T) \times \left\{ \times_{F \in \mathcal{F}_T} \mathbb{P}_{d-1}^k(F) \right\}. \quad (9)$$

The global space of DOFs is obtained by patching interface values in (9):

$$\mathbf{U}_h^k := \left\{ \times_{T \in \mathcal{T}_h} \mathbb{P}_d^k(T) \right\} \times \left\{ \times_{F \in \mathcal{F}_h} \mathbb{P}_{d-1}^k(F) \right\}. \quad (10)$$

Boundary conditions can be embedded in the discrete space (10) by letting

$$\mathbf{U}_{h,0}^k := \left\{ \mathbf{v}_h = ((\mathbf{v}_T)_{T \in \mathcal{T}_h}, (\mathbf{v}_F)_{F \in \mathcal{F}_h}) \in \mathbf{U}_h^k \mid \mathbf{v}_F \equiv 0 \ \forall F \in \mathcal{F}_h^b \right\}. \quad (11)$$

For all  $T \in \mathcal{T}_h$ , we denote by  $\mathbf{L}_T : \mathbf{U}_h^k \rightarrow \mathbf{U}_T^k$  the restriction operator that maps the global DOFs in  $\mathbf{U}_h^k$  to the corresponding local DOFs in  $\mathbf{U}_T^k$ . The local interpolation operator  $\mathbf{l}_T^k : H^1(T) \rightarrow \mathbf{U}_T^k$  is such that, for all  $v \in H^1(T)$ ,

$$\mathbf{l}_T^k v := (\pi_T^k v, (\pi_F^k v)_{F \in \mathcal{F}_T}), \quad (12)$$

where  $\pi_F^k$  is the  $L^2$ -orthogonal projector on  $\mathbb{P}_{d-1}^k(F)$ . The corresponding global interpolation operator  $\mathbf{l}_h^k : H^1(\Omega) \rightarrow \mathbf{U}_h^k$  is such that, for all  $v \in H^1(\Omega)$ ,

$$\mathbf{l}_h^k v := ((\pi_T^k v)_{T \in \mathcal{T}_h}, (\pi_F^k v)_{F \in \mathcal{F}_h}).$$

When applied to functions in  $U_0$ ,  $\mathbf{l}_h^k$  maps onto  $\mathbf{U}_{h,0}^k$ .

## 2.3 Local gradient reconstruction

For all  $T \in \mathcal{T}_h$ , we define the local gradient reconstruction operator  $\mathbf{G}_T^k : \mathbf{U}_T^k \rightarrow \nabla \mathbb{P}_d^{k+1,0}(T)$  (where, for  $l \geq 1$ ,  $\mathbb{P}_d^{l,0}(T)$  stands for the space of  $d$ -variate polynomial functions of total degree  $\leq l$  that have zero average on  $T$ ) such that, for all  $\mathbf{v} := (\mathbf{v}_T, (\mathbf{v}_F)_{F \in \mathcal{F}_T}) \in \mathbf{U}_T^k$  and all  $w \in \mathbb{P}_d^{k+1,0}(T)$ ,

$$(\mathbf{G}_T^k \mathbf{v}, \nabla w)_T = (\nabla \mathbf{v}_T, \nabla w)_T + \sum_{F \in \mathcal{F}_T} (\mathbf{v}_F - \mathbf{v}_T, \nabla w \cdot \mathbf{n}_{TF})_F. \quad (13)$$

Since  $\mathbf{G}_T^k \mathbf{v} \in \nabla \mathbb{P}_d^{k+1,0}(T)$  means that there is  $v \in \mathbb{P}_d^{k+1,0}(T)$  such that  $\mathbf{G}_T^k \mathbf{v} = \nabla v$ , (13) corresponds to the local (well-posed) Neumann problem

$$(\nabla v, \nabla w)_T = (\nabla \mathbf{v}_T, \nabla w)_T + \sum_{F \in \mathcal{F}_T} (\mathbf{v}_F - \mathbf{v}_T, \nabla w \cdot \mathbf{n}_{TF})_F. \quad (14)$$

Solving (14) requires to invert the local stiffness matrix inside each element, which can be performed effectively via a Cholesky factorization.

**Remark 2** (Compatibility condition). *Observing that the right-hand side of the (discrete) Neumann problem (14) satisfies the usual compatibility condition for test functions in  $\mathbb{P}_d^0(T)$ , we infer that (13) and (14) hold in fact for all  $w \in \mathbb{P}_d^{k+1}(T)$ .*

We next introduce the potential reconstruction operator  $p_T^k : \mathbf{U}_T^k \rightarrow \mathbb{P}_d^{k+1}(T)$  such that, for all  $\mathbf{v} \in \mathbf{U}_T^k$ ,

$$\nabla p_T^k \mathbf{v} := \mathbf{G}_T^k \mathbf{v}, \quad \int_T p_T^k \mathbf{v} := \int_T \mathbf{v}_T. \quad (15)$$

In practice, the potential reconstruction  $p_T^k$  is computed once (14) has been solved, since, recalling that  $v$  has zero average on  $T$ , we infer that  $p_T^k \mathbf{v} = v + 1/|T|_d \int_T \mathbf{v}_T$ .

**Lemma 3** (Approximation properties for  $p_T^k \mathbf{l}_T^k$ ). *There exists a real number  $C > 0$ , depending on  $\varrho$  but independent of  $h_T$  such that, for all  $v \in H^{k+2}(T)$ ,*

$$\begin{aligned} \|v - p_T^k \mathbf{l}_T^k v\|_T + h_T^{1/2} \|v - p_T^k \mathbf{l}_T^k v\|_{\partial T} \\ + h_T \|\nabla(v - p_T^k \mathbf{l}_T^k v)\|_T + h_T^{3/2} \|\nabla(v - p_T^k \mathbf{l}_T^k v)\|_{\partial T} \leq Ch_T^{k+2} \|v\|_{H^{k+2}(T)}. \end{aligned} \quad (16)$$

*Proof.* Let  $v \in H^{k+2}(T)$ . Integrating by parts the right-hand side of (13) and using the definition (15) of the operator  $p_T^k$  together with the definition (12) of the interpolation operator  $\mathbf{l}_T^k$  yields, for all  $w \in \mathbb{P}_d^{k+1}(T)$  (cf. Remark 2),

$$\begin{aligned} (\nabla p_T^k \mathbf{l}_T^k v, \nabla w)_T &= (\mathbf{G}_T^k \mathbf{l}_T^k v, \nabla w)_T = -(\pi_T^k v, \nabla \cdot (\nabla w))_T + \sum_{F \in \mathcal{F}_T} (\pi_F^k v, \nabla w \cdot \mathbf{n}_{TF})_F \\ &= -(v, \nabla \cdot (\nabla w))_T + \sum_{F \in \mathcal{F}_T} (v, \nabla w \cdot \mathbf{n}_{TF})_F, \end{aligned}$$

since  $\nabla \cdot (\nabla w) \in \mathbb{P}_d^{k-1}(T) \subset \mathbb{P}_d^k(T)$  and  $\nabla w|_F \cdot \mathbf{n}_{TF} \in \mathbb{P}_{d-1}^k(F)$ . Performing a second integration by parts leads to

$$(\nabla v - \nabla p_T^k \mathbf{l}_T^k v, \nabla w)_T = 0 \quad \forall w \in \mathbb{P}_d^{k+1}(T). \quad (17)$$

The orthogonality condition (17) implies that

$$\|\nabla(v - p_T^k \mathbf{l}_T^k v)\|_T = \inf_{z \in \mathbb{P}_d^{k+1}(T)} \|\nabla v - \nabla z\|_T \lesssim h_T^{k+1} \|v\|_{H^{k+2}(T)}, \quad (18)$$

where we have used the approximation property (7) of  $\pi_T^{k+1}$  (with  $s = k + 2$  and  $m = 1$ ). Then, from (18), the fact that  $\int_T p_T^k \mathbf{l}_T^k v = \int_T \pi_T^k v = \int_T v$  owing to the second relation in (15) and the definition (12) of the local interpolation operator, and the Poincaré inequality (8), we infer that

$$\|v - p_T^k \mathbf{l}_T^k v\|_T \lesssim h_T^{k+2} \|v\|_{H^{k+2}(T)}. \quad (19)$$

The consecutive use of the continuous trace inequality (6) and (18)-(19) yields

$$h_T \|v - p_T^k \mathbf{l}_T^k v\|_{\partial T}^2 \lesssim \|v - p_T^k \mathbf{l}_T^k v\|_T^2 + h_T^2 \|\nabla(v - p_T^k \mathbf{l}_T^k v)\|_T^2 \lesssim h_T^{2(k+2)} \|v\|_{H^{k+2}(T)}^2.$$

Finally, the bound on  $h_T^{3/2} \|\nabla(v - p_T^k |^k v)\|_{\partial T}$  is obtained by introducing  $\pm \pi_T^k \nabla v$  inside the norm, using the triangle inequality, and concluding with the approximation property (7) of  $\pi_T^k$  (applied componentwise to  $\nabla v$  with  $s = k + 1$  and  $m = 0$ ), the discrete trace inequality (5), the bound (4) on  $\text{card}(\mathcal{F}_T)$ , the mesh regularity property (3), the fact that  $\nabla p_T^k |^k v \in [\mathbb{P}_d^k(T)]^d$  so that  $\|\pi_T^k \nabla v - \nabla p_T^k |^k v\|_T \leq \|\nabla(v - p_T^k |^k v)\|_T$ , and (18).  $\square$

## 2.4 Discrete problem and well-posedness

To discretize the left-hand side of (2), we introduce the following bilinear forms on  $\mathbf{U}_h^k \times \mathbf{U}_h^k$ :

$$a_h(\mathbf{u}_h, \mathbf{v}_h) := \sum_{T \in \mathcal{T}_h} a_T(\mathbf{L}_T \mathbf{u}_h, \mathbf{L}_T \mathbf{v}_h), \quad s_h(\mathbf{u}_h, \mathbf{v}_h) := \sum_{T \in \mathcal{T}_h} s_T(\mathbf{L}_T \mathbf{u}_h, \mathbf{L}_T \mathbf{v}_h), \quad (20)$$

where, for all  $T \in \mathcal{T}_h$ , the local bilinear forms  $a_T$  and  $s_T$  on  $\mathbf{U}_T^k \times \mathbf{U}_T^k$  are such that

$$a_T(\mathbf{u}, \mathbf{v}) := (\mathbf{G}_T^k \mathbf{u}, \mathbf{G}_T^k \mathbf{v})_T + s_T(\mathbf{u}, \mathbf{v}), \quad s_T(\mathbf{u}, \mathbf{v}) := \sum_{F \in \mathcal{F}_T} \frac{1}{h_F} (\pi_F^k(\mathbf{u}_F - P_T^k \mathbf{u}), \pi_F^k(\mathbf{v}_F - P_T^k \mathbf{v}))_F, \quad (21)$$

where the local potential reconstruction  $P_T^k : \mathbf{U}_T^k \rightarrow \mathbb{P}_d^{k+1}(T)$  is defined such that, for all  $\mathbf{v} \in \mathbf{U}_T^k$ ,

$$P_T^k \mathbf{v} := \mathbf{v}_T + (p_T^k \mathbf{v} - \pi_T^k p_T^k \mathbf{v}). \quad (22)$$

The term in parentheses can be interpreted as a higher-order correction of the element unknown  $\mathbf{v}_T$  derived from the discrete gradient reconstruction operator (13) (note that this correction is independent of the second relation in (15)). The stabilization bilinear form  $s_T$  defined by (21) introduces a least-squares penalty of the  $L^2$ -orthogonal projection on  $\mathbb{P}_{d-1}^k(F)$  of the difference between  $\mathbf{v}_F$  and  $(P_T^k \mathbf{v})|_F$ , cf. Remark 6 below. Introducing the global discrete gradient operator  $\mathbf{G}_h^k : \mathbf{U}_h^k \rightarrow \times_{T \in \mathcal{T}_h} \nabla \mathbb{P}_d^{k+1,0}(T)$  such that, for all  $\mathbf{v}_h \in \mathbf{U}_h^k$ ,

$$(\mathbf{G}_h^k \mathbf{v}_h)|_T := \mathbf{G}_T^k \mathbf{L}_T \mathbf{v}_h \quad \forall T \in \mathcal{T}_h, \quad (23)$$

we can reformulate the bilinear form  $a_h$  defined in (20) as

$$a_h(\mathbf{u}_h, \mathbf{v}_h) = (\mathbf{G}_h^k \mathbf{u}_h, \mathbf{G}_h^k \mathbf{v}_h) + s_h(\mathbf{u}_h, \mathbf{v}_h).$$

We define the local and global energy semi-norms as follows:

$$\|\mathbf{v}\|_{a,T}^2 := a_T(\mathbf{v}, \mathbf{v}) \quad \forall \mathbf{v} \in \mathbf{U}_T^k, \quad \|\mathbf{v}_h\|_{a,h}^2 := \sum_{T \in \mathcal{T}_h} \|\mathbf{L}_T \mathbf{v}_h\|_{a,T}^2 \quad \forall \mathbf{v}_h \in \mathbf{U}_h^k, \quad (24)$$

and observe that, owing to (20),

$$a_h(\mathbf{v}_h, \mathbf{v}_h) = \|\mathbf{v}_h\|_{a,h}^2. \quad (25)$$

The forcing term in (2) is discretized by means of the linear form on  $\mathbf{U}_h^k$  such that

$$l_h(\mathbf{v}_h) := \sum_{T \in \mathcal{T}_h} (f, \mathbf{v}_T)_T. \quad (26)$$



The discrete problem reads: Find  $\mathbf{u}_h \in \mathbf{U}_{h,0}^k$  such that, for all  $\mathbf{v}_h \in \mathbf{U}_{h,0}^k$ ,

$$a_h(\mathbf{u}_h, \mathbf{v}_h) = l_h(\mathbf{v}_h). \quad (27)$$

The stability of the method is expressed in terms of the following  $H_0^1(\Omega)$ -like discrete norm:

$$\|\mathbf{v}_h\|_{1,h}^2 := \sum_{T \in \mathcal{T}_h} \|\mathbf{L}_T \mathbf{v}_h\|_{1,T}^2 \quad \forall \mathbf{v}_h \in \mathbf{U}_{h,0}^k, \quad \|\mathbf{v}\|_{1,T}^2 := \|\nabla \mathbf{v}_T\|_T^2 + |\mathbf{v}|_{1,\partial T}^2 \quad \forall \mathbf{v} \in \mathbf{U}_T^k, \quad (28)$$

where  $|\mathbf{v}|_{1,\partial T}^2 := \sum_{F \in \mathcal{F}_T} h_F^{-1} \|\mathbf{v}_F - \mathbf{v}_T\|_F^2$ . Since the homogeneous Dirichlet boundary condition is embedded in the discrete space  $\mathbf{U}_{h,0}^k$  defined in (11), the map  $\|\cdot\|_{1,h}$  defines a norm on  $\mathbf{U}_{h,0}^k$ . Using a discrete Poincaré inequality in broken polynomial spaces, see [7, Corollary 5.4] and references therein, it is possible to show that for all  $\mathbf{v}_h \in \mathbf{U}_{h,0}^k$ ,  $\|\mathbf{v}_h\| \lesssim \|\mathbf{v}_h\|_{1,h}$ , where  $v_h$  is the piecewise polynomial function such that  $v_h|_T := \mathbf{v}_T$ , for all  $T \in \mathcal{T}_h$ .

**Lemma 4** (Norm equivalence). *There exists  $\eta > 0$  such that, for all  $T \in \mathcal{T}_h$  and all  $\mathbf{v} \in \mathbf{U}_T^k$ ,*

$$\eta^{-1} \|\mathbf{v}\|_{1,T}^2 \leq \|\mathbf{v}\|_{a,T}^2 \leq \eta \|\mathbf{v}\|_{1,T}^2. \quad (29)$$

Consequently, for all  $\mathbf{v}_h \in \mathbf{U}_h^k$ ,

$$\eta^{-1} \|\mathbf{v}_h\|_{1,h}^2 \leq \|\mathbf{v}_h\|_{a,h}^2 \leq \eta \|\mathbf{v}_h\|_{1,h}^2. \quad (30)$$

*Proof.* Let  $T \in \mathcal{T}_h$  and  $\mathbf{v} \in \mathbf{U}_T^k$ . Taking  $w = \mathbf{v}_T \in \mathbb{P}_d^k(T) \subset \mathbb{P}_d^{k+1}(T)$  in (13) (cf. Remark 2) yields

$$\|\nabla \mathbf{v}_T\|_T^2 = (\mathbf{G}_T^k \mathbf{v}, \nabla \mathbf{v}_T)_T - \sum_{F \in \mathcal{F}_T} (\mathbf{v}_F - \mathbf{v}_T, \nabla \mathbf{v}_T \cdot \mathbf{n}_{TF})_F \leq \|\mathbf{G}_T^k \mathbf{v}\|_T^2 + \frac{1}{2} \|\nabla \mathbf{v}_T\|_T^2 + N_\partial C_{\text{tr}}^2 |\mathbf{v}|_{1,\partial T}^2, \quad (31)$$

where we have used the Cauchy–Schwarz and Young’s inequalities, and applied the discrete trace inequality (5) and the bound (4) on  $\text{card}(\mathcal{F}_T)$  for the face term. Owing to (31), we infer that

$$\|\nabla \mathbf{v}_T\|_T^2 \lesssim \|\mathbf{G}_T^k \mathbf{v}\|_T^2 + |\mathbf{v}|_{1,\partial T}^2. \quad (32)$$

Let now  $F \in \mathcal{F}_T$ . Adding and subtracting  $\pi_F^k P_T^k \mathbf{v}$ , and using the triangle inequality yields

$$h_F^{-1/2} \|\mathbf{v}_F - \mathbf{v}_T\|_F \leq h_F^{-1/2} \|\pi_F^k (\mathbf{v}_F - P_T^k \mathbf{v})\|_F + h_F^{-1/2} \|\pi_F^k (P_T^k \mathbf{v} - \pi_T^k P_T^k \mathbf{v})\|_F, \quad (33)$$

where we have used the fact that both  $\mathbf{v}_F$  and  $\mathbf{v}_T|_F$  belong to  $\mathbb{P}_{d-1}^k(F)$  together with the definition (22) of  $P_T^k$ . The second term on the right-hand side of (33) can be estimated as

$$h_F^{-1/2} \|\pi_F^k (P_T^k \mathbf{v} - \pi_T^k P_T^k \mathbf{v})\|_F \leq C_{\text{tr}} h_F^{-1} \|P_T^k \mathbf{v} - \pi_T^k P_T^k \mathbf{v}\|_T \leq C_{\text{tr}} C_{\text{app}} \varrho^{-2} \|\mathbf{G}_T^k \mathbf{v}\|_T,$$

where we have used the discrete trace inequality (5), the approximation property (7) of  $\pi_T^k$  (with  $s = 1$  and  $m = 0$ ), the definition (15) of  $\nabla P_T^k \mathbf{v}$ , and the mesh regularity property (3). Hence,

$$h_F^{-1/2} \|\mathbf{v}_F - \mathbf{v}_T\|_F \lesssim h_F^{-1/2} \|\pi_F^k (\mathbf{v}_F - P_T^k \mathbf{v})\|_F + \|\mathbf{G}_T^k \mathbf{v}\|_T. \quad (34)$$

Squaring (34), summing over  $F \in \mathcal{F}_T$ , and using the bound (4) on  $\text{card}(\mathcal{F}_T)$  yields

$$|\mathbf{v}|_{1,\partial T}^2 \lesssim s_T(\mathbf{v}, \mathbf{v}) + \|\mathbf{G}_T^k \mathbf{v}\|_T^2. \quad (35)$$

The first inequality in (29) follows from (32) and (35). Turning to the second inequality, we deduce from (13), the Cauchy–Schwarz inequality, the discrete trace inequality (5), and the bound (4) on  $\text{card}(\mathcal{F}_T)$  that

$$\|\mathbf{G}_T^k \mathbf{v}\|_T = \sup_{w \in \mathbb{P}_d^{k+1,0}(T)} \frac{(\mathbf{G}_T^k \mathbf{v}, \nabla w)_T}{\|\nabla w\|_T} \leq \|\nabla \mathbf{v}_T\|_T + \sum_{F \in \mathcal{F}_T} C_{\text{tr}} h_F^{-1/2} \|\mathbf{v}_F - \mathbf{v}_T\|_F \lesssim \|\mathbf{v}\|_{1,T}.$$

Moreover, for all  $F \in \mathcal{F}_T$ , the triangle inequality and the fact that  $\pi_F^k$  is a projector yield

$$h_F^{-1/2} \|\pi_F^k(\mathbf{v}_F - P_T^k \mathbf{v})\|_F \leq h_F^{-1/2} \|\mathbf{v}_F - \mathbf{v}_T\|_F + h_F^{-1/2} \|\mathbf{v}_T - P_T^k \mathbf{v}\|_F,$$

and, owing to the definition (22) of  $P_T^k$ , the second term on the right-hand side is equal to  $h_F^{-1/2} \|p_T^k \mathbf{v} - \pi_T^k p_T^k \mathbf{v}\|_F$ . Using the mesh regularity property (3), the discrete trace inequality (5), and the approximation property (7) of  $\pi_T^k$  (with  $s = 1$  and  $m = 0$ ), we infer that

$$h_F^{-1/2} \|p_T^k \mathbf{v} - \pi_T^k p_T^k \mathbf{v}\|_F \lesssim h_T^{-1} \|p_T^k \mathbf{v} - \pi_T^k p_T^k \mathbf{v}\|_T \lesssim \|\nabla p_T^k \mathbf{v}\|_T = \|\mathbf{G}_T^k \mathbf{v}\|_T.$$

Combining the above bounds yields the second inequality in (29). Finally, summing (29) over  $T \in \mathcal{T}_h$  proves (30).  $\square$

**Corollary 5** (Well-posedness). *Problem (27) is well-posed.*

*Proof.* Combining (25) with Lemma 4 yields  $a_h(\mathbf{v}_h, \mathbf{v}_h) = \|\mathbf{v}_h\|_{a,h}^2 \geq \eta^{-1} \|\mathbf{v}_h\|_{1,h}^2$ . Since  $\|\cdot\|_{1,h}$  is a norm on  $\mathbf{U}_{h,0}^k$ , the well-posedness results from the Lax–Milgram Lemma.  $\square$

**Remark 6** (Stabilization). *The design of the local stabilization bilinear form  $s_T$  is tailored to ensure control of the  $\|\cdot\|_{1,T}$ -norm as reflected by the first inequality in (29), and, at the same time, yield the same convergence order as the gradient reconstruction, cf. the proof of Theorem 8 below, in particular (45). This is the reason why  $s_T$  is not set to be, e.g.,  $s_T(\mathbf{u}, \mathbf{v}) := \sum_{F \in \mathcal{F}_T} h_F^{-1} (\mathbf{u}_F - \mathbf{u}_T, \mathbf{v}_F - \mathbf{v}_T)_F$  (this choice trivially ensures control of the  $|\cdot|_{1,\partial T}$ -seminorm), but the (projections of the) high-order potential reconstructions  $\pi_F^k P_T^k \mathbf{u}$  and  $\pi_F^k P_T^k \mathbf{v}$  are used in place of  $\mathbf{u}_T$  and  $\mathbf{v}_T$ , respectively.*

## 2.5 Link with the HFV method for $k = 0$

In the lowest-order case ( $k = 0$ ), the proposed method shares strong links with the HFV method of [14]. We assume in this section that, for all  $h \in \mathcal{H}$  and all  $T \in \mathcal{T}_h$ ,  $T$  is star-shaped with respect to its barycenter  $\mathbf{x}_T$  and, for all  $F \in \mathcal{F}_T$ , one has

$$\tilde{\varrho} h_T \leq d_{T,F} \leq h_T, \quad (36)$$

where  $d_{T,F}$  denotes the orthogonal distance between  $\mathbf{x}_T$  and  $F$  and  $\tilde{\varrho} > 0$  is a mesh regularity parameter independent of  $h$ . The HFV discretization of problem (1) reads: Find  $\mathbf{u}_h \in \mathbf{U}_{h,0}^0$  such that, for all  $\mathbf{v}_h \in \mathbf{U}_{h,0}^0$ ,

$$\sum_{T \in \mathcal{T}_h} |T|_d (\mathcal{G}_T \mathbf{L}_T \mathbf{u}_h) \cdot (\mathcal{G}_T \mathbf{L}_T \mathbf{v}_h) + \sum_{T \in \mathcal{T}_h} \sum_{F \in \mathcal{F}_T} |T_F|_d (\mathcal{R}_{T,F} \mathbf{L}_T \mathbf{u}_h) (\mathcal{R}_{T,F} \mathbf{L}_T \mathbf{v}_h) = \sum_{T \in \mathcal{T}_h} |T|_d f_T \mathbf{v}_T, \quad (37)$$

where  $T_F$  denotes the pyramid of base  $F$  and apex  $\mathbf{x}_T$  such that  $|T_F|_d = |F|_{d-1} d_{T,F} / d$ ,  $f_T := |T|_d^{-1} \int_T f$  for all  $T \in \mathcal{T}_h$  and, for all  $\mathbf{v} \in \mathbf{U}_T^0$ , denoting by  $\mathbf{x}_F$  the barycenter of  $F \in \mathcal{F}_T$ ,

$$\mathcal{G}_T \mathbf{v} := \frac{1}{|T|_d} \sum_{F \in \mathcal{F}_T} |F|_{d-1} (\mathbf{v}_F - \mathbf{v}_T) \mathbf{n}_{TF}, \quad \mathcal{R}_{T,F} \mathbf{v} := \frac{d^{1/2}}{d_{T,F}} (\mathbf{v}_F - \mathbf{v}_T - \mathcal{G}_T \mathbf{v} \cdot (\mathbf{x}_F - \mathbf{x}_T)). \quad (38)$$

**Proposition 7** (Equivalence with the HFV discretization for  $k = 0$ ). *The discrete problem (37) coincides with (27) for  $k = 0$  and  $\mathbf{x}_T$  the barycenter of  $T$ , up to the (uniformly comparable) change of scaling  $d_{T,F} \leftarrow h_F$  in the penalty term  $\mathcal{R}_{T,F}$  defined by (38).*

*Proof.* To derive an explicit expression for  $\mathbf{G}_T^0 \mathbf{v}$ , we notice that

$$\nabla \mathbb{P}_d^{1,0} = [\mathbb{P}_d^0]^d = \text{span}\{\mathbf{e}_1, \dots, \mathbf{e}_d\},$$

where  $(\mathbf{e}_i)_{i \in \{1, \dots, d\}}$  denotes the canonical basis of  $\mathbb{R}^d$ . Then, for any  $T \in \mathcal{T}_h$ , testing (13) with  $w_i \in \mathbb{P}_d^{1,0}(T)$  such that  $\nabla w_i = \mathbf{e}_i$  for all  $i \in \{1, \dots, d\}$ , it is straightforward that, for any  $\mathbf{v} \in \mathbf{U}_T^0$ ,  $\mathbf{G}_T^0 \mathbf{v} \equiv \mathcal{G}_T \mathbf{v}$ . Additionally, for all  $\mathbf{x} \in T$ ,  $p_T^0 \mathbf{v}(\mathbf{x}) \equiv \mathcal{G}_T \mathbf{v} \cdot (\mathbf{x} - \mathbf{x}_T) + |T|_d^{-1} \int_T \mathbf{v}_T \in \mathbb{P}_d^1(T)$ , and hence  $P_T^0 \mathbf{v}(\mathbf{x}) \equiv \mathbf{v}_T + \mathcal{G}_T \mathbf{v} \cdot (\mathbf{x} - \mathbf{x}_T)$ , whose restriction to  $F$  belongs to  $\mathbb{P}_{d-1}^1(F)$ . As a consequence, for all  $F \in \mathcal{F}_T$ , we infer that  $\pi_F^0(\mathbf{v}_F - P_T^0 \mathbf{v}) = \mathbf{v}_F - \mathbf{v}_T - \mathcal{G}_T \mathbf{v} \cdot (\mathbf{x}_F - \mathbf{x}_T) = d_{T,F} d^{-1/2} \mathcal{R}_{T,F} \mathbf{v}$ . Plugging these expressions into (20), (21), and (26), and comparing (27) with (37), we observe that the only difference between the two discretizations lies in the scaling choice for the least-squares penalty term (the scaling is  $d_{T,F}^{-1}$  in (37) and  $h_F^{-1}$  in (27)). The two choices are uniformly comparable owing to (36) and (3).  $\square$

## 3 Error analysis

### 3.1 Energy-norm error estimate

**Theorem 8** (Discrete error estimate). *Let  $u \in U_0$  and  $\mathbf{u}_h \in \mathbf{U}_{h,0}^k$  denote the unique solutions to (2) and (27) respectively, and assume the additional regularity  $u \in H^{k+2}(\Omega)$ . Then, letting  $\hat{\mathbf{u}}_h := \mathbb{I}_h^k u$ , there exists a real number  $C > 0$  depending on  $\varrho$  but independent of  $h$  such that*

$$\eta^{-1/2} \|\hat{\mathbf{u}}_h - \mathbf{u}_h\|_{1,h} \leq \|\hat{\mathbf{u}}_h - \mathbf{u}_h\|_{a,h} \leq Ch^{k+1} \|u\|_{H^{k+2}(\Omega)}. \quad (39)$$

*Proof.* The first inequality in (39) results from the first inequality in (30). Moreover, using (25), (30), and the fact that  $\hat{\mathbf{u}}_h - \mathbf{u}_h \in \mathbf{U}_{h,0}^k$  yields

$$\|\hat{\mathbf{u}}_h - \mathbf{u}_h\|_{a,h} \leq \eta^{1/2} \frac{a_h(\hat{\mathbf{u}}_h - \mathbf{u}_h, \hat{\mathbf{u}}_h - \mathbf{u}_h)}{\|\hat{\mathbf{u}}_h - \mathbf{u}_h\|_{1,h}} \leq \eta^{1/2} \sup_{\mathbf{v}_h \in \mathbf{U}_{h,0}^k, \|\mathbf{v}_h\|_{1,h}=1} a_h(\hat{\mathbf{u}}_h - \mathbf{u}_h, \mathbf{v}_h).$$

Owing to (27), we infer that

$$\|\hat{\mathbf{u}}_h - \mathbf{u}_h\|_{a,h} \leq \eta^{1/2} \sup_{\mathbf{v}_h \in \mathbf{U}_{h,0}^k, \|\mathbf{v}_h\|_{1,h}=1} \mathcal{E}_h(\mathbf{v}_h), \quad (40)$$

where  $\mathcal{E}_h(\mathbf{v}_h) := a_h(\hat{\mathbf{u}}_h, \mathbf{v}_h) - l_h(\mathbf{v}_h)$  is the consistency error. We derive a bound for this quantity for a generic  $\mathbf{v}_h \in \mathbf{U}_{h,0}^k$ . Recalling that  $f = -\Delta u$  a.e. in  $\Omega$ , an element-wise integration by parts in (26) yields

$$l_h(\mathbf{v}_h) = \sum_{T \in \mathcal{T}_h} (\nabla u, \nabla \mathbf{v}_T)_T + \sum_{T \in \mathcal{T}_h} \sum_{F \in \mathcal{F}_T} (\mathbf{v}_F - \mathbf{v}_T, \nabla u \cdot \mathbf{n}_{TF})_F, \quad (41)$$

where we have used the fact that the flux is continuous at interfaces and that the homogeneous Dirichlet boundary condition is embedded in  $\mathbf{U}_{h,0}^k$  (cf. (11)) to introduce  $\mathbf{v}_F$  in the second term on the right-hand side of (41). Choosing  $w = \check{u}_T := p_T^k \mathbf{L}_T \hat{\mathbf{u}}_h = p_T^k \mathbf{l}_T^k(u|_T)$  in the definition (13) of  $\mathbf{G}_T^k \mathbf{L}_T \mathbf{v}_h$  for all  $T \in \mathcal{T}_h$  (recall from Remark 2 that the zero-mean condition is not needed on  $w$ ), and owing to (15) and (23), we infer that

$$(\mathbf{G}_h^k \hat{\mathbf{u}}_h, \mathbf{G}_h^k \mathbf{v}_h) = \sum_{T \in \mathcal{T}_h} (\nabla \check{u}_T, \nabla \mathbf{v}_T)_T + \sum_{T \in \mathcal{T}_h} \sum_{F \in \mathcal{F}_T} (\mathbf{v}_F - \mathbf{v}_T, \nabla \check{u}_T \cdot \mathbf{n}_{TF})_F. \quad (42)$$

Combining (42) with (41), we arrive at

$$\begin{aligned} \mathcal{E}_h(\mathbf{v}_h) &= \sum_{T \in \mathcal{T}_h} (\nabla(\check{u}_T - u), \nabla \mathbf{v}_T)_T + \sum_{T \in \mathcal{T}_h} \sum_{F \in \mathcal{F}_T} (\mathbf{v}_F - \mathbf{v}_T, (\nabla \check{u}_T - \nabla u) \cdot \mathbf{n}_{TF})_F \\ &\quad + \sum_{T \in \mathcal{T}_h} s_T(\mathbf{L}_T \hat{\mathbf{u}}_h, \mathbf{L}_T \mathbf{v}_h) := \mathfrak{T}_1 + \mathfrak{T}_2 + \mathfrak{T}_3. \end{aligned} \quad (43)$$

To estimate  $\mathfrak{T}_1$  and  $\mathfrak{T}_2$ , we use the Cauchy–Schwarz inequality followed by the approximation property (16) of  $p_T^k \mathbf{l}_T^k$  (and also the bound (4) on  $\text{card}(\mathcal{F}_T)$  for  $\mathfrak{T}_2$ ). Recalling (28), we infer that

$$|\mathfrak{T}_1| + |\mathfrak{T}_2| \lesssim h^{k+1} \|u\|_{H^{k+2}(\Omega)} \|\mathbf{v}_h\|_{1,h}. \quad (44)$$

To estimate  $\mathfrak{T}_3$ , let  $T \in \mathcal{T}_h$  and  $F \in \mathcal{F}_T$ . We observe that

$$\begin{aligned} h_F^{-1/2} \|\pi_F^k(\hat{\mathbf{u}}_F - P_T^k \mathbf{L}_T \hat{\mathbf{u}}_h)\|_F &= h_F^{-1/2} \|\pi_F^k(u - \check{u}_T) - \pi_T^k(u - \check{u}_T)\|_F \\ &\leq h_F^{-1/2} \|u - \check{u}_T\|_F + h_F^{-1} C_{\text{tr}} \|u - \check{u}_T\|_T \\ &\lesssim h_T^{k+1} \|u\|_{H^{k+2}(T)}, \end{aligned} \quad (45)$$

where we have used the definitions (22) and (12) of  $P_T^k$  and  $l_T^k$ , respectively, the fact that  $\pi_F^k \circ \pi_T^k = \pi_T^k$  on  $F$ , the discrete trace inequality (5), the approximation property (16) of  $p_T^k l_T^k$ , and the mesh regularity property (3). Finally, using Cauchy–Schwarz inequality with (45), the bound (4) on  $\text{card}(\mathcal{F}_T)$ , (24), and (30), we infer that

$$\begin{aligned} |\mathfrak{I}_3| &\leq s_h(\hat{\mathbf{u}}_h, \hat{\mathbf{u}}_h)^{1/2} s_h(\mathbf{v}_h, \mathbf{v}_h)^{1/2} \lesssim h^{k+1} \|u\|_{H^{k+2}(\Omega)} \|\mathbf{v}_h\|_{a,h} \\ &\lesssim h^{k+1} \|u\|_{H^{k+2}(\Omega)} \|\mathbf{v}_h\|_{1,h}. \end{aligned} \quad (46)$$

The conclusion of the proof then follows from (40), (43), (44), and (46).  $\square$

**Corollary 9** (Error estimate on the exact gradient). *Under the assumptions of Theorem 8, the following holds:*

$$\|\nabla u - \mathbf{G}_h^k \mathbf{u}_h\| \leq Ch^{k+1} \|u\|_{H^{k+2}(\Omega)}.$$

*Proof.* The triangle inequality and definition (24) yield

$$\|\nabla u - \mathbf{G}_h^k \mathbf{u}_h\| \leq \|\nabla u - \mathbf{G}_h^k \hat{\mathbf{u}}_h\| + \|\mathbf{G}_h^k(\hat{\mathbf{u}}_h - \mathbf{u}_h)\| \leq \|\nabla u - \mathbf{G}_h^k \hat{\mathbf{u}}_h\| + \|\hat{\mathbf{u}}_h - \mathbf{u}_h\|_{a,h}.$$

Use (16) and (39) to estimate the terms on the right-hand side and conclude.  $\square$

### 3.2 $L^2$ -norm error estimate

Adapting the techniques of [9, Section 4.2], we can also prove an optimal  $L^2$ -error estimate for the potential. To this end, we assume elliptic regularity in the following form: For all  $g \in L^2(\Omega)$ , the unique solution  $z \in U_0$  to

$$(\nabla z, \nabla v) = (g, v) \quad \forall v \in U_0, \quad (47)$$

satisfies the a priori estimate

$$\|z\|_{H^2(\Omega)} \leq C_{\text{ell}} \|g\|, \quad (48)$$

with a constant  $C_{\text{ell}} > 0$  only depending on  $\Omega$ .

**Theorem 10** ( $L^2$ -error estimate for the potential). *Under the assumptions of Theorem 8, assuming elliptic regularity (48) for problem (1) and that  $f \in H^1(\Omega)$  for  $k = 0$ , there exists a real number  $C > 0$  depending on the mesh regularity parameter  $\varrho$  but independent of  $h$  such that, for  $k \geq 1$ ,*

$$\|\hat{\mathbf{u}}_h - \mathbf{u}_h\| \leq Ch^{k+2} \|u\|_{H^{k+2}(\Omega)},$$

and for  $k = 0$ ,

$$\|\hat{\mathbf{u}}_h - \mathbf{u}_h\| \leq Ch^2 \|f\|_{H^1(\Omega)},$$

where  $\hat{\mathbf{u}}_h, \mathbf{u}_h$  are piecewise polynomial functions such that  $\hat{\mathbf{u}}_{h|T} := \hat{\mathbf{u}}_T = \pi_T^k u$  and  $\mathbf{u}_{h|T} := \mathbf{u}_T$  for all  $T \in \mathcal{T}_h$ .

*Proof.* We only sketch the proof, referring to [9, Section 4.2] for further insight. Let  $z$  solve (47) with  $g := \hat{u}_h - u_h$ . Set  $\hat{\mathbf{z}}_h := \mathbf{l}_h^k z$  and  $\mathbf{e}_h := \hat{\mathbf{u}}_h - \mathbf{u}_h$ . A straightforward computation shows that  $\|\hat{u}_h - u_h\|^2 = \mathfrak{T}_1 + \mathfrak{T}_2$  with

$$\begin{aligned}\mathfrak{T}_1 &:= \sum_{T \in \mathcal{T}_h} \left\{ (\nabla \mathbf{e}_T, \nabla z)_T + \sum_{F \in \mathcal{F}_T} (\mathbf{e}_F - \mathbf{e}_T, \nabla z \cdot \mathbf{n}_{TF})_F - a_T(\mathbf{L}_T \mathbf{e}_h, \mathbf{L}_T \hat{\mathbf{z}}_h) \right\}, \\ \mathfrak{T}_2 &:= \sum_{T \in \mathcal{T}_h} \left\{ -(f, \pi_T^k z)_T + a_T(\mathbf{L}_T \hat{\mathbf{u}}_h, \mathbf{L}_T \hat{\mathbf{z}}_h) \right\}.\end{aligned}$$

To bound  $\mathfrak{T}_1$ , we observe that, with  $\delta_T := z|_T - p_T^k \mathbf{l}_T^k z|_T$ ,

$$\mathfrak{T}_1 = \sum_{T \in \mathcal{T}_h} \left\{ (\nabla \mathbf{e}_T, \nabla \delta_T)_T + \sum_{F \in \mathcal{F}_T} (\mathbf{e}_F - \mathbf{e}_T, \nabla \delta_T \cdot \mathbf{n}_{TF})_F - s_T(\mathbf{L}_T \mathbf{e}_h, \mathbf{L}_T \hat{\mathbf{z}}_h) \right\},$$

whence we infer that

$$\begin{aligned}|\mathfrak{T}_1| &\leq \left\{ \|\mathbf{e}_h\|_{1,h}^2 + s_h(\mathbf{e}_h, \mathbf{e}_h) \right\}^{1/2} \left\{ \sum_{T \in \mathcal{T}_h} [\|\nabla \delta_T\|_T^2 + h_T \|\nabla \delta_T\|_{\partial T}^2] + s_h(\hat{\mathbf{z}}_h, \hat{\mathbf{z}}_h) \right\}^{1/2} \\ &\lesssim h^{k+1} \|u\|_{H^{k+2}(\Omega)} h \|z\|_{H^2(\Omega)} \lesssim h^{k+2} \|u\|_{H^{k+2}(\Omega)} \|\hat{u}_h - u_h\|,\end{aligned}$$

owing to the energy-norm error estimate and elliptic regularity, while the bound on  $\delta_T$  and  $s_h(\hat{\mathbf{z}}_h, \hat{\mathbf{z}}_h)$  is shown as in the proofs of Lemma 3 and Theorem 8, respectively. Turning to  $\mathfrak{T}_2$ , we observe that  $(f, \pi_T^k z)_T = (\pi_T^k f, z)_T$  and since  $(f, z) = (\nabla u, \nabla z)$ , we infer that

$$\mathfrak{T}_2 = (f - \pi_h^k f, z) - \sum_{T \in \mathcal{T}_h} \left\{ (\nabla u, \nabla z)_T - (\nabla p_T^k \mathbf{l}_T^k u, \nabla p_T^k \mathbf{l}_T^k z)_T \right\} + s_h(\hat{\mathbf{u}}_h, \hat{\mathbf{z}}_h),$$

where  $\pi_h^k$  denotes the global version of the local  $L^2$ -projector  $\pi_T^k$ . Denote by  $\mathfrak{T}_{2,1}, \mathfrak{T}_{2,2}, \mathfrak{T}_{2,3}$  the three terms on the right-hand side. If  $k \geq 1$ , we can write  $(f - \pi_h^k f, z) = (f - \pi_h^k f, z - \pi_h^1 z)$  so that

$$|\mathfrak{T}_{2,1}| \lesssim h^k \|f\|_{H^k(\Omega)} h^2 \|z\|_{H^2(\Omega)} \lesssim h^{k+2} \|u\|_{H^{k+2}(\Omega)} \|\hat{u}_h - u_h\|,$$

while for  $k = 0$ , we write  $(f - \pi_h^0 f, z) = (f - \pi_h^0 f, z - \pi_h^0 z)$  so that

$$|\mathfrak{T}_{2,1}| \lesssim h \|f\|_{H^1(\Omega)} h \|z\|_{H^1(\Omega)} \lesssim h^2 \|f\|_{H^1(\Omega)} \|\hat{u}_h - u_h\|.$$

Concerning  $\mathfrak{T}_{2,2}$ , we exploit the orthogonality property (17) to infer that

$$\mathfrak{T}_{2,2} = - \sum_{T \in \mathcal{T}_h} (\nabla u - \nabla p_T^k \mathbf{l}_T^k u, \nabla z - \nabla p_T^k \mathbf{l}_T^k z)_T,$$

whence  $|\mathfrak{T}_{2,2}| \lesssim h^{k+2} \|u\|_{H^{k+2}(\Omega)} \|\hat{u}_h - u_h\|$ . Finally, proceeding as above,  $\mathfrak{T}_{2,3}$  is bounded similarly, and this concludes the proof.  $\square$

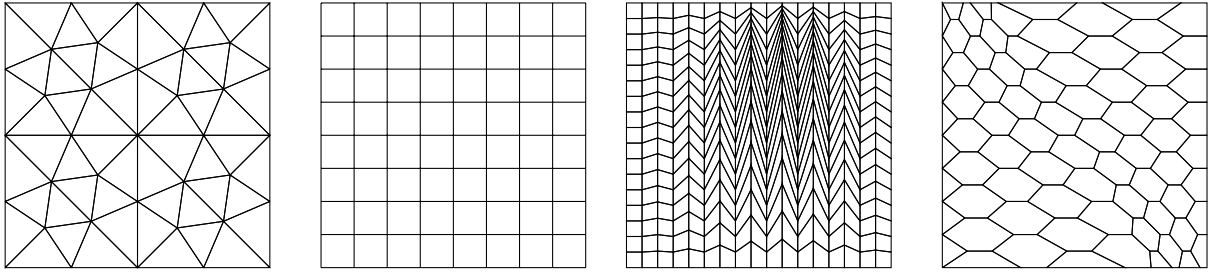


Figure 1: Triangular, Cartesian, Kershaw, and hexagonal-dominant meshes for the numerical tests of Section 4

## 4 Numerical tests

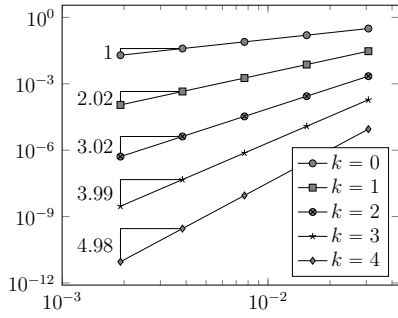
We solve the Dirichlet problem in the unit square with

$$u = \sin(\pi x_1) \sin(\pi x_2),$$

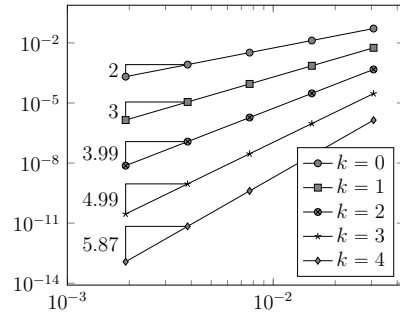
and corresponding right-hand side  $f = 2\pi^2 \sin(\pi x_1) \sin(\pi x_2)$  on the four mesh families depicted in Figure 1. The triangular, Cartesian, and Kershaw mesh families correspond, respectively, to the mesh families 1, 2, and 4.1 of the FVCA5 benchmark [15], whereas the (predominantly) hexagonal mesh family was first introduced in [10]. The implementation framework corresponds to the one described in [9, Section 5], to which we refer for further details. Figure 2 displays convergence results for the various mesh families and polynomial degrees up to 4. The measure for the gradient error is  $\|\hat{\mathbf{u}}_h - \mathbf{u}_h\|_{a,h}$  (in case of a nontrivial diffusion coefficient or tensor, this quantity is actually to be interpreted as a flux error), whereas the potential error is estimated as  $\|\hat{u}_h - u_h\|$ . In all cases, the numerical results show asymptotic convergence rates that match those predicted by the theory. The apparent super-convergence on the Kershaw mesh family (cf. Figures 2e–2f) is linked to the fact that the mesh quality improves when refining. Genuine super-convergence is on the other hand observed for the gradient on the Cartesian mesh family up to polynomial degree 2, cf. Figure 2c. For  $k = 4$ , round-off errors start to surface in the last refinement iteration for the Cartesian mesh family, as confirmed by a convergence rate slightly lower than expected, cf. Figure 2d.

## References

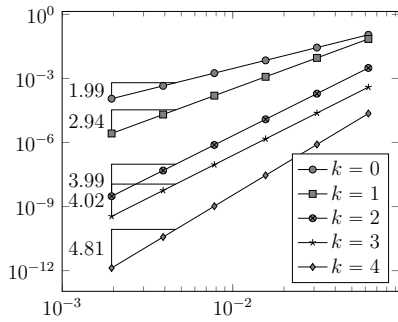
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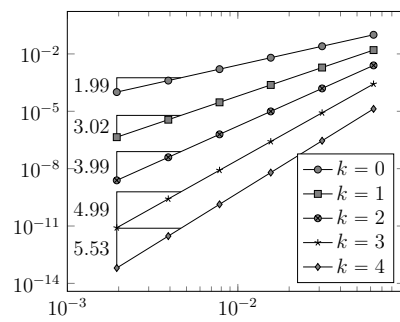
(a) Gradient error, triangular meshes



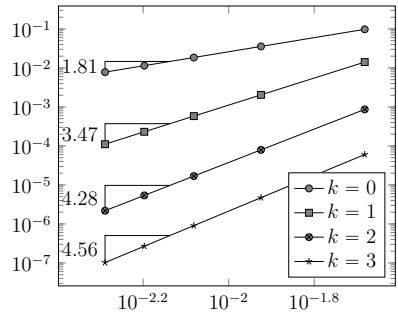
(b) Potential error, triangular meshes



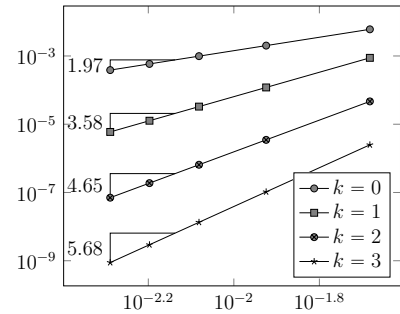
(c) Gradient error, Cartesian meshes



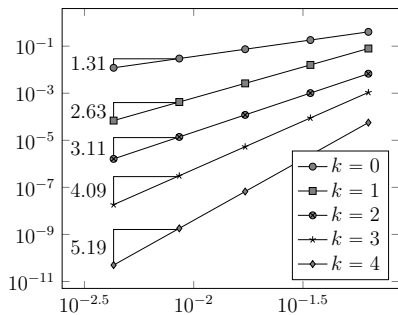
(d) Potential error, Cartesian meshes



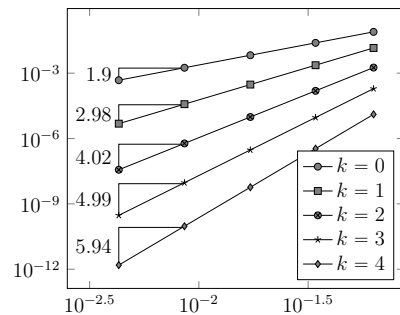
(e) Gradient error, Kershaw meshes



(f) Potential error, Kershaw meshes



(g) Gradient error, hexagonal meshes



(h) Potential error, hexagonal meshes

Figure 2: Errors vs.  $h$



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