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INFINITESIMAL AND B_∞ -ALGEBRAS, FINITE SPACES, AND QUASI-SYMMETRIC FUNCTIONS

LOÏC FOISSY, CLAUDIA MALVENUTO, AND FRÉDÉRIC PATRAS

ABSTRACT. Finite topological spaces are in bijective correspondence with preorders on finite sets. We undertake their study using combinatorial tools that have been developed to investigate general discrete structures. A particular emphasis will be put on recent topological and combinatorial Hopf algebra techniques. We will show that the linear span of finite spaces carries generalized Hopf algebraic structures that are closely connected with familiar constructions and structures in topology (such as the one of cogroups in the category of associative algebras that has appeared e.g. in the study of loop spaces of suspensions). The most striking results that we obtain are certainly that the linear span of finite spaces carries the structure of the enveloping algebra of a B_∞ -algebra, and that there are natural (Hopf algebraic) morphisms between finite spaces and quasi-symmetric functions. In the process, we introduce the notion of Schur-Weyl categories in order to describe rigidity theorems for cogroups in the category of associative algebras and related structures, as well as to account for the existence of natural operations (graded permutations) on them.

1. INTRODUCTION

Finite topological spaces, or finite spaces, for short, that is, topologies on finite sets, have a long history, going back at least to P.S. Alexandroff [2]. He was the first to investigate, in 1937, finite spaces from a combinatorial point of view and relate them to preordered sets. Indeed, finite spaces happen to be in bijective correspondence with preorders on finite sets and it is extremely tempting to undertake their study using the combinatorial tools that have been developed to investigate general discrete structures. However, quite surprisingly, such an undertaking does not seem to have taken place so far, and it is the purpose of the present article to do so.

A particular emphasis will be put on recent topological and combinatorial Hopf algebra techniques. We will show that the set of finite spaces carries naturally (generalized) Hopf algebraic structures that are closely connected with usual topological constructions (such as joins or cup products) and familiar structures in topology (such as the one of cogroups in the category of associative algebras, or infinitesimal Hopf algebras, that have appeared e.g. in the study of loop spaces of suspensions and the Bott-Samelson theorem [8, 7]). Let us mention that the operation underlying the Hopf algebra co-product is less standard and amounts to the “extraction” of open subsets

out of finite spaces (Definition 18). The most striking results that we obtain are certainly that, first, the linear span \mathcal{F} of finite spaces carries the structure of the enveloping algebra of a B_∞ -algebra (Theorem 19). Second, that there is a (surjective, structure preserving) Hopf algebra morphism from \mathcal{F} to the algebra of quasi-symmetric functions (Theorem 21). In the process, we introduce the notion of Schur-Weyl categories to describe rigidity theorems for cocommutative cogroups in the category of associative algebras (or, equivalently, infinitesimal bialgebras) and related structures such as shuffle bialgebras or their dual bialgebras. Here, rigidity has to be understood in the sense of Livernet [20]: a generalized bialgebraic structure, such as a cogroup in the category of associative algebras, is rigid if it is free as an algebra and cofree as a coalgebra.

Let us point out that operations such as cup products are usually defined “locally”, that is, inside a chain or cochain algebra associated to a *given* topological space, whereas the structures we introduce hold “globally” over the linear span of all finite spaces. Although we will not investigate systematically in the present article this interplay between “local” and “global” constructions, it is certainly one of the interesting phenomena showing up in the study of finite topological spaces.

From the historical perspective, a systematic homotopical investigation of finite spaces did not occur till the mid-60’s, with breakthrough contributions by R.E. Stong [34] and M.C. McCord [23, 24]. These investigations were revived in the early 2000s, among others under the influence of P. May; we refer to [3] for details. These studies focussed largely on problems such as reduction methods (methods to remove points from finite spaces without changing their strong or weak homotopy type and related questions such as the construction of minimal spaces, see e.g. [5, 13]), as such they are complementary to the ones undertaken in the present article.

The article is organized as follows: in the next two sections, we review briefly the links between finite spaces and preorders, introduce the *Com-As* structure on finite spaces and study its properties (freeness, involutivity, compatibility with homotopy reduction methods). Sections 4 and 5 revisit the equivalent notions of free algebras and cofree coalgebras, cocommutative cogroups in the category of associative algebras and infinitesimal bialgebras [7, 21, 20]. We extend in particular results of Livernet and relate these algebras to shuffle bialgebras and their dual bialgebras. In the following section, we show how these ideas apply to finite spaces, showing in particular that their linear span carries the structure of a cofree connected coalgebra and, more precisely, is the enveloping algebra of a B_∞ -algebra. The last section investigates the links between finite topologies and quasi-symmetric functions.

In the present article, we study “abstract” finite spaces, that is, finite spaces up to homeomorphisms: we identify two topologies \mathcal{T} and \mathcal{T}' on the finite sets X and Y if there exists a set map f from X to Y inducing an isomorphism between \mathcal{T} and \mathcal{T}' . The study of “decorated” finite spaces

(that is, without taken into account this identification) is interesting for other purposes (e.g. enumerative and purely combinatorial ones). These questions will be the subject of another article [14].

All vector spaces and algebraic structures (algebras, coalgebras...) are defined over a field K of arbitrary characteristic. By linear span of a set X , we mean the vector space freely generated by X over this ground field. Unless otherwise stated, the objects we will consider will always be \mathbb{N} -graded (shortly, graded) and connected (connectedness meaning as usual that the degree 0 component of a graded vector space is the null vector space or is the ground field for a graded algebra, coalgebra or bialgebra). Because of this hypothesis, the two notions of Hopf algebras and bialgebras will agree (see e.g. [19]); we will use them equivalently and without further comments.

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2. TOPOLOGIES ON FINITE SETS

2.1. Notation and definitions. Let X be a set. Recall that a topology on X is a family \mathcal{T} of subsets of X , called the open sets of \mathcal{T} , such that:

- (1) $\emptyset, X \in \mathcal{T}$.
- (2) The union of an arbitrary number of elements of \mathcal{T} is in \mathcal{T} .
- (3) The intersection of a finite number of elements of \mathcal{T} is in \mathcal{T} .

When X is finite, these axioms simplify: a topology on X is a family of subsets containing the empty set and X and closed under unions and intersections. In particular, the set of complements of open sets (the closed sets for \mathcal{T} , which is automatically closed under unions and intersections) defines a dual topology \mathcal{T}^* as $\mathcal{T}^* := \{F \subset X, \exists O \in \mathcal{T}, F = X - O\}$. We will write sometimes σ for the duality involution, $\sigma(\mathcal{T}) := \mathcal{T}^*$, $\sigma^2 = Id$.

Two topologies $\mathcal{T}, \mathcal{T}'$, on finite sets respectively X and Y , are homeomorphic if and only if there exists a bijective map f between X and Y such that $f_*(\mathcal{T}) = \mathcal{T}'$ (where we write f_* for the induced map on subsets of X and Y). We call *finite spaces* the equivalence classes of finite set topologies under homeomorphisms and write $\overline{\mathcal{T}}$ for the finite space associated to a given topology \mathcal{T} on a finite set X . In order to avoid terminological ambiguities, we will a finite set X equipped with a topology a *finite topological set* (instead of finite topological space).

Every finite space $\overline{\mathcal{T}}$ can be represented by a (non-unique) topology $\overline{\mathcal{T}}_n$ on the set $[n] := \{1, \dots, n\}$ (in particular, $[0] = \emptyset$); we call $\overline{\mathcal{T}}_n$ a standard representation of $\overline{\mathcal{T}}$. The duality involution goes over to finite spaces, its action on finite spaces is still written σ (or with a $*$).

Let us recall now the bijective correspondence between topologies on a finite set X and preorders on X (see [12]).

- (1) Let \mathcal{T} be a topology on the finite set X . The relation $\leq_{\mathcal{T}}$ on X is defined by $i \leq_{\mathcal{T}} j$ if any open set of \mathcal{T} which contains i also contains j . Then $\leq_{\mathcal{T}}$ is a preorder, that is to say a reflexive, transitive relation. Moreover, the open sets of \mathcal{T} are the ideals of $\leq_{\mathcal{T}}$, that is to say the sets $I \subseteq X$ such that, for all $i, j \in X$:

$$(i \in I \text{ and } i \leq_{\mathcal{T}} j) \implies j \in I.$$

- (2) Conversely, if \leq is a preorder on X , the ideals of \leq form a topology on X denoted by \mathcal{T}_{\leq} . Moreover, $\leq_{\mathcal{T}_{\leq}} = \leq$, and $\mathcal{T}_{\leq_{\mathcal{T}}} = \mathcal{T}$. Hence, there is a bijection between the set of topologies on X and the set of preorders on X . A map between finite topologies (i.e. topologies on finite sets) is continuous if and only if it is preorder-preserving.
- (3) Let us define for each point $x \in X$ the set U_x to be the minimal open set containing x . The U_x form a basis for the topology of X called the minimal basis of \mathcal{T} . The preorder that has just been introduced can be equivalently defined by $x \leq_{\mathcal{T}} y \Leftrightarrow y \in U_x$. Notice that the opposite convention (defining a preorder from a topology using the requirement $x \in U_y$) would lead to equivalent results.
- (4) Let \mathcal{T} be a topology on X . The relation $\sim_{\mathcal{T}}$ on X , defined by $i \sim_{\mathcal{T}} j$ if $i \leq_{\mathcal{T}} j$ and $j \leq_{\mathcal{T}} i$, is an equivalence relation on X . Moreover, the set $X / \sim_{\mathcal{T}}$ is partially ordered by the relation defined on the equivalence classes \bar{i} by $\bar{i} \leq_{\mathcal{T}} \bar{j}$ if $i \leq_{\mathcal{T}} j$. Consequently, we shall represent preorders on X (hence, topologies on X) by the Hasse diagram of $X / \sim_{\mathcal{T}}$, the vertices being the equivalence classes of $\sim_{\mathcal{T}}$.
- (5) Duality between topologies is reflected by the usual duality of preorders: $i \leq_{\mathcal{T}^*} j \Leftrightarrow j \leq_{\mathcal{T}} i$. In particular, the Hasse diagram of \mathcal{T}^* is obtained by reversing (turning upside-down) the Hasse diagram of \mathcal{T} .
- (6) A topological space is T_0 if it satisfies the separation axiom according to which the relation \sim is trivial (equivalence classes for \sim are singletons, that is, for any two points $x, y \in X$, there always exists an open set containing only one of them). At the level of $\leq_{\mathcal{T}}$ this amounts requiring antisymmetry: the preorder $\leq_{\mathcal{T}}$ is then a partial order. In other terms, finite T_0 -spaces are in bijection with isomorphism classes of finite partially ordered sets (posets).

For example, here are the topologies on $[n]$, $n \leq 3$:

$$\begin{aligned} 1 &= \emptyset; \cdot 1; \cdot 1 \cdot 2, \mathbf{1}_1^2, \mathbf{1}_2^1, \cdot 1, 2; \\ &\cdot 1 \cdot 2 \cdot 3, \mathbf{1}_1^2 \cdot 3, \mathbf{1}_1^3 \cdot 2, \mathbf{1}_2^1 \cdot 3, \mathbf{1}_2^3 \cdot 1, \mathbf{1}_3^1 \cdot 2, \\ &\mathbf{1}_3^2 \cdot 1, {}^2\mathbf{V}_1^3, {}^1\mathbf{V}_2^3, {}^1\mathbf{V}_3^2, {}_2\mathbf{A}_3^1, {}_1\mathbf{A}_3^2, {}_1\mathbf{A}_2^3, \mathbf{1}_1^3, \mathbf{1}_1^2, \mathbf{1}_2^3, \mathbf{1}_2^1, \mathbf{1}_3^1, \mathbf{1}_3^2, \\ &\cdot 1, 2 \cdot 3, \cdot 1, 3 \cdot 2, \cdot 2, 3 \cdot 1, \mathbf{1}_{1,2}^3, \mathbf{1}_{1,3}^2, \mathbf{1}_{2,3}^1, \mathbf{1}_3^{1,2}, \mathbf{1}_2^{1,3}, \mathbf{1}_1^{2,3}, \cdot 1, 2, 3. \end{aligned}$$

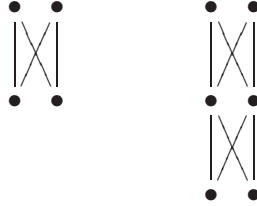
The two topologies on $[3]$, ${}^2\mathbf{V}_1^3$ and ${}_2\mathbf{A}_3^1$, are dual.

A finite space will be represented by an unlabelled Hasse diagram. The cardinalities of the equivalence classes of $\sim_{\mathcal{T}}$ are indicated on the diagram

associated to \mathcal{T} if they are not equal to 1. Here are the finite spaces of cardinality ≤ 3 :

$$1 = \emptyset; \bullet; \dots, \mathbf{1}, \cdot_2; \dots, \mathbf{1}, \vee, \wedge, \mathbf{1}, \cdot_2, \mathbf{1}_2, \mathbf{1}^2, \cdot_3.$$

The (minimal) finite space realization, up to weak homotopy equivalence, of the circle and of the 2-dimensional sphere (see e.g. [4])



are examples of self-dual finite spaces.

The number t_n of topologies on $[n]$ is given by the sequence A000798 in [31]:

n	1	2	3	4	5	6	7	8	9	10
t_n	1	4	29	355	6 942	209527	9 535 241	642 779 354	63 260 289 423	8 977 053 873 043

The set of topologies on $[n]$ will be denoted by \mathbf{T}_n , and we put $\mathbf{T} = \bigsqcup_{n \geq 0} \mathbf{T}_n$.

The number f_n of finite spaces with n elements is given by the sequence A001930 in [31]:

n	1	2	3	4	5	6	7	8	9	10
f_n	1	3	9	33	139	718	4 535	35 979	363 083	4 717 687

The set of finite spaces with n elements will be denoted by \mathbf{F}_n , and we put $\mathbf{F} = \bigsqcup_{n \geq 0} \mathbf{F}_n$. The vector space with basis given by the set of all finite

spaces is written \mathcal{F} and its (finite dimensional) degree n component, the subspace generated by finite spaces with n elements, \mathcal{F}_n . We will be from now on interested in the fine structure of \mathcal{F} in relation to classical topological properties and constructions.

2.2. Homotopy types. The present section and the following survey the links between finite spaces and topological notions such as homotopy types. We refer to Stong's seminal paper [34] and to Barmak's thesis [3] on which this account is based for further details and references.

For a finite topological set, the three notions of connectedness, path-connectedness and order-connectedness agree (the later being understood as connectedness of the graph of the associated preorder).

For f, g continuous maps between finite topological sets X and Y , we set

$$f \leq g \Leftrightarrow \forall x \in X, f(x) \leq g(x) \text{ for the order of } Y.$$

This preorder on the (finite) mapping space Y^X is the one associated to the compact-open topology. It follows immediately, among others, that

two comparable maps are homotopic and that a space with a maximal or minimal element is contractible (since the constant map to this point will be homotopic to any other map – in particular the identity map).

For the same reason, given a finite topological set X , there exists a homotopy equivalent finite topological set X_0 which is T_0 (the quotient space $X/\sim_{\mathcal{T}}$ considered in the previous section, for example). Therefore, since [2], the study of homotopy types of finite spaces is in general restricted to T_0 spaces. Characterizing homotopies (inside the category of finite topological sets) is also a simple task: two maps f and g are homotopic if and only if there exists a sequence:

$$f = f_0 \leq f_1 \geq f_2 \leq \dots \geq f_n = g.$$

In the framework of finite topological sets, a reduction method refers to a combinatorial method allowing the removal of points without changing given topological properties (such as the homotopy type). Stong's reduction method allows a simple and effective construction of representatives of finite homotopy types [34]. Stong first defines the notions of linear and colinear points (also called up beat points and down beat points in a later terminology): a point $x \in X$ is linear if $\exists y \in X, y > x$ and $\forall z > x, z \geq y$. Similarly, $x \in X$ is colinear if $\exists y \in X, y < x$ and $\forall z < x, z \leq y$. It follows from the combinatorial characterization of homotopies that, if x is a linear or colinear point in X , then X is homotopy equivalent to $X - \{x\}$.

Together with the fact that any finite topological set is homotopy equivalent to a T_0 space, the characterization of homotopy types follows. A space is called a core (or minimal finite space) if it has no linear or colinear points. By reduction to a T_0 space and recursive elimination of linear and colinear points, any finite topological set X is homotopy equivalent to a core X_c that can be shown to be unique up to homeomorphism [34, Thm. 4].

2.3. Simplicial realizations. Another important tool to investigate topologically finite spaces is through their connection with simplicial complexes. We survey briefly the results of McCord, following [24, 3].

Recall that a weak homotopy equivalence between two topological spaces X and Y is a continuous map $f : X \rightarrow Y$ such that for all $x \in X$ and all $i \geq 0$, the induced map $f_* : \pi_i(X, x) \rightarrow \pi_i(Y, f(x))$ is an isomorphism (of groups for $i > 0$). The finiteness requirement enforces specific properties of finite spaces: for example, contrary to what happens for CW-complexes (Whitehead's theorem), there are weakly homotopy equivalent finite spaces with different homotopy types.

The key to McCord's theory is the definition of functors between the categories of finite topological sets and simplicial complexes (essentially the categorical nerve and the topological realization). Concretely, to a finite topological set X is associated the simplicial complex $\mathcal{K}(X)$ of non empty chains of $X/\sim_{\mathcal{T}}$ (that is, sequences $x_1 < \dots < x_n$ in $X/\sim_{\mathcal{T}}$). Conversely, to the simplicial complex $\mathcal{K}(X)$ is associated its topological

realization $|\mathcal{K}(X)|$: the points x of $|\mathcal{K}(X)|$ are the linear combinations $x = t_1x_1 + \dots + t_nx_n$, $\sum_{i=1}^n t_i = 1$, $t_i > 0$. Setting $Sup(x) := x_1$, McCord's fundamental theorem states that:

$$Sup : |\mathcal{K}(X)| \longmapsto X / \sim_{\mathcal{T}}$$

is a weak homotopy equivalence. In particular, $|\mathcal{K}(X)|$ is weakly homotopy equivalent to X . Notice also that $\mathcal{K}(X)$ and $\mathcal{K}(X^*)$, resp. $|\mathcal{K}(X)|$ and $|\mathcal{K}(X^*)|$ are canonically isomorphic: a finite space is always weakly homotopy equivalent to its dual.

3. SUMS AND JOINS

We investigate from now on operations on finite spaces. Besides their intrinsic interest and their connections to various classical topological constructions, they are meaningful for the problem of enumerating finite spaces (see e.g. [32, 30, 12]). They will also later underly the construction of B_{∞} -algebra structures.

Notation. Let $O \subseteq \mathbb{N}$ and let $n \in \mathbb{N}$. The set $O(+n)$ is the set $\{k + n \mid k \in O\}$.

Definition 1. Let $\mathcal{T} \in \mathbf{T}_n$ and $\mathcal{T}' \in \mathbf{T}_{n'}$ be standard representatives of $\overline{\mathcal{T}} \in \mathbf{F}_n$ and $\overline{\mathcal{T}'} \in \mathbf{F}_{n'}$.

- (1) The topology $\mathcal{T}.\mathcal{T}'$ is the topology on $[n + n']$ for which open sets are the sets $O \sqcup O'(+n)$, with $O \in \mathcal{T}$ and $O' \in \mathcal{T}'$. The finite space $\overline{\mathcal{T}.\mathcal{T}'}$ is $\overline{\mathcal{T}.\mathcal{T}'}$.
- (2) The topology $\mathcal{T} \succ \mathcal{T}'$ is the topology on $[n + n']$ for which open sets are the sets $O \sqcup [n'](+n)$, with $O \in \mathcal{T}$, and $O'(+n)$, with $O' \in \mathcal{T}'$. The finite space $\overline{\mathcal{T} \succ \mathcal{T}'}$ is $\overline{\mathcal{T} \succ \mathcal{T}'}$.

We omit the proof that the products $\overline{\mathcal{T}.\mathcal{T}'}$ and $\overline{\mathcal{T} \succ \mathcal{T}'}$ are well-defined and do not depend on the choice of a standard representative.

The first product is the sum (disjoint union) of topological spaces.

The second one deserves to be called the join. Recall indeed that the join $A * B$ of two topological spaces A and B is the quotient of $[0, 1] \times A \times B$ by the relations $(0, a, b) \sim (0, a, b')$ and $(1, a, b) \sim (1, a', b)$. For example, the join of the n and m dimensional spheres is the $n + m + 1$ -dimensional sphere. When it is defined that way, the join is not an internal operation on finite spaces. However, recall that the join of two simplicial complexes K and L is the simplicial complex $K * L := K \coprod L \coprod \{\sigma \cup \beta, \sigma \in K, \beta \in L\}$ and that the join operation commutes with topological realizations in the sense that (up to canonical isomorphisms) $|K * L| = |K| * |L|$. It follows therefore from McCord's theory that, up to a weak homotopy equivalence, the product \succ is nothing but (a finite spaces version of) the topological join.

We extend linearly the two products defined earlier to \mathcal{F} . Note that these products define linear maps from $\mathcal{F}_m \times \mathcal{F}_n$ to \mathcal{F}_{m+n} for all $m, n \geq 0$.

By a slight abuse of notation, we will allow ourselves to denote finite spaces using the notation (X, Y, \dots) that was reserved till now for finite topological sets. Similarly, we will not discuss systematically the fact that some constructions on finite spaces have to be done by choosing finite topological sets representatives of the corresponding finite spaces, performing the construction on these representatives, and then moving back to the corresponding homeomorphism classes.

Examples.

$${}^2\mathcal{V}_1^3 \cdot \mathbf{1}_{\frac{1}{2}} = {}^2\mathcal{V}_1^3 \mathbf{1}_{\frac{4}{5}}, \quad {}^2\mathcal{V}_1^3 \succ \mathbf{1}_{\frac{1}{2}} = {}^2\mathcal{V}_1^{\frac{4}{5}3}.$$

The join of two circles (see in the previous section the minimal finite space representation of a circle) is a 3-sphere:



Proposition 2. *These two products are associative, with $\emptyset = 1$ as a common unit. The first product is also commutative. They are compatible with the duality involution:*

$$X^* \cdot Y^* = (X \cdot Y)^*, \quad Y^* \succ X^* = (X \succ Y)^*.$$

The proof is left to the reader.

Definition 3. *Let $X \in \mathbf{F}$, different from 1. Notice that X is connected (or \cdot -indecomposable) if and only if it cannot be written in the form $X = X' \cdot X''$, with $X', X'' \neq 1$.*

- (1) *We shall say that X is join-indecomposable if it cannot be written in the form $X = X' \succ X''$, with $X', X'' \neq 1$.*
- (2) *We shall say that X is irreducible if it is both join-indecomposable and connected.*

Examples. Here are the irreducible spaces of cardinality ≤ 4 :

$$\cdot; \cdot_2; \cdot_3; \cdot_4, \quad \mathbf{N}.$$

The triple $(\mathcal{F}, \cdot, \succ)$ is a *Com – As* algebra, that is an algebra with a first commutative and associative product and a second, associative, product

sharing the same unit. This is a particular example of a 2-associative algebra [21], that is to say an algebra with two associative products sharing the same unit.

From now on, unless otherwise stated, space means finite space.

- Theorem 4.** (1) *The commutative algebra (\mathcal{F}, \cdot) is freely generated by the set of connected spaces.*
 (2) *The associative algebra (\mathcal{F}, \succ) is freely generated by the set of join-indecomposable spaces.*
 (3) *The Com – As algebra $(\mathcal{F}, \cdot, \succ)$ is freely generated by the set of irreducible spaces.*

Proof. Notice first the important property that the join-product of two non empty spaces is a connected space.

1. Any space can be written uniquely as a disjoint union of connected spaces.

2. Let X be an arbitrary space, and let us choose one of its representatives (still written X). Notice first that $X = Y \succ Z$ if and only if $Y <_{\mathcal{T}} Z$ (in the sense that, for arbitrary $y \in Y$, $z \in Z$, $y <_{\mathcal{T}} z$). That is,

$$X = Y \succ Z \Leftrightarrow X = Y \coprod Z \text{ and } Y <_{\mathcal{T}} Z.$$

Let us assume that $X = X_1 \succ X_2 \succ \dots \succ X_n = Y_1 \succ Y_2 \succ \dots \succ Y_m$ with the X_i and the Y_j join-indecomposable. Then, $X_1 \cap Y_1$ is not empty (this would imply for example that $Y_1 \subset X_2 \succ \dots \succ X_n >_{\mathcal{T}} X_1$, and similarly $X_1 >_{\mathcal{T}} Y_1$, which leads immediately to a contradiction). Moreover, $X_1 \cap Y_1 <_{\mathcal{T}} X_1 \cap (Y_2 \succ \dots \succ Y_m)$. A contradiction follows if $X_1 \cap Y_1 \neq X_1, Y_1$ since we would then have

$$X_1 = (X_1 \cap Y_1) \succ (X_1 \cap (Y_2 \succ \dots \succ Y_m)).$$

We get $X_1 = Y_1$ and $X_2 \succ \dots \succ X_n = Y_2 \succ \dots \succ Y_m$, and the statement follows by induction.

3. Let us describe briefly the free Com – As algebra $CA(S)$ over a set S of generators (we write \cdot and \succ for the two products). A basis $B = S \coprod B_C \coprod B_A$ of $CA(S)$ with $B_C = \coprod_{n \geq 2} B_{C,n}$, $B_A = \coprod_{n \geq 2} B_{A,n}$ can be constructed recursively as follows (in the following $B_{A,1} = B_{C,1} := S$ and the \cdot product is commutative so that $a \cdot b = b \cdot a$):

- $B_{C,n} := \coprod_{n_1 + \dots + n_k = n} \{a_1 \cdot \dots \cdot a_k, a_i \in B_{A,n_i}\}$
- $B_{A,n} := \coprod_{n_1 + \dots + n_k = n} \{a_1 \succ \dots \succ a_k, a_i \in B_{C,n_i}\}.$

Now, let X be a space, then one and only one of the three following cases holds

- (1) X is irreducible.
- (2) X is \cdot -indecomposable and join-decomposable, and then it decomposes uniquely into a product $X = X_1 \succ \dots \succ X_k$ of join-indecomposable spaces.

- (3) X is \cdot -decomposable and join-indecomposable, and then it decomposes uniquely into a sum $X = X_1 \cup \dots \cup X_k$ of connected spaces.

It follows by induction that the set of spaces identifies with the basis of the free $Com - As$ algebra over irreducible spaces: writing S for the latter set, the first case in the previous list corresponds to the case $X \in S$; the second to $X \in B_A$ with the X_i in B_C or S ; the third to $X \in B_C$ with the X_i in B_A or S . \square

As an application, it is possible to obtain the numbers p_n , q_n and r_n of, respectively, connected, join-indecomposable and irreducible spaces of cardinality n , by manipulating formal series. This gives:

n	1	2	3	4	5	6	7	8	9	10
p_n	1	2	6	21	94	512	3 485	29 515	314 474	4 255 727
q_n	1	2	4	14	62	373	2 722	24 591	275 056	3 860 200
r_n	1	1	1	2	17	167	1 672	18 127	226 447	3 398 240

The sequences (p_n) , (q_n) and (r_n) are A001928, A046911 and A046909 of [31], see also [35].

4. SCHUR-WEYL CATEGORIES

We will show, in forthcoming sections, that \mathcal{F} carries various bialgebraic structures. Rigidity theorems in the sense of [20] apply, making \mathcal{F} a cofree Hopf algebra and the B_∞ -enveloping algebra of a B_∞ -algebra (see Theorem 19).

There are various ways to give an algebraic and combinatorial characterization of cofree Hopf algebras, following ideas that are scattered in the litterature and seem to originate in the Bott-Samelson theorem, according to which $H_*(\Omega\Sigma X; K) = T^c(H_*(X; K))$, where Σ is the suspension functor acting on topological spaces and Ω the loop space functor, and in the work of Baues on the bar/cobar construction [6], [18, p. 48]. The paper [21] addresses the problem explicitly, but other approaches follow from [7, 16, 27, 20], and no unified treatment seems to have been given up to date. We take the opportunity of the present article and the existence of such structures on finite spaces to present such a short and self-contained treatment. In the process, we extend the results of Livernet [20] on cocommutative cogroups in the category of associative algebras and infinitesimal bialgebras and our study in [15] of natural operations on shuffle bialgebras.

In the present section, we focus on free associative algebras and cofree coassociative coalgebras. Recall that we work with graded connected structures: in the following, $Vect$ stands for the category of connected graded vector spaces $V = \bigoplus_{n \in \mathbb{N}} V_n$, where $V_0 = 0$ is the null vector space; if V and W are two objects of $Vect$, a morphism $f : V \rightarrow W$ in $Vect$ is a linear map, homogeneous of degree 0, that is to say $f(V_n) \subseteq W_n$ for all $n \geq 0$. We write $|v| = n$ if v is a (non-zero) homogeneous element of degree n in V . The

category of connected graded vector spaces augmented with the ground field K in degree 0 will be written $Vect^+$ (i.e., for $V = \bigoplus_{n \in \mathbb{N}} V_n \in Vect^+$, $V_0 = K$); if V and W are two objects in $Vect^+$, a morphism $f : V \rightarrow W$ is a linear map, homogeneous of degree 0, such that $f|_K = Id_K$. We write ϵ for the canonical projection to $V_0 = K$, $T(V) = \bigoplus_{n \in \mathbb{N}} T^n(V) := \bigoplus_{n \in \mathbb{N}} V^{\otimes n} \in Vect^+$, $\overline{T}(V) := \bigoplus_{n \in \mathbb{N}^*} V^{\otimes n} \in Vect$ and call $T(V)$ the tensor space over V (resp. $T^n(V)$ the space of tensors of length n over V). We use the shortcut notation $v_1 \dots v_n$ for $v_1 \otimes \dots \otimes v_n \in V^{\otimes n}$ and will call sometimes $v_1 \dots v_n$ a word (of length n) over V . Notice that the grading of $T(V)$ by the length differs from the grading $T(V) = \bigoplus_{n \in \mathbb{N}} T_n(V)$ canonically induced by the grading of V ($T_n(V)$ being generated by words $v_1 \dots v_k$ where the v_i s are homogeneous with $|v_1| + \dots + |v_k| = n$).

We are now in the position to recall the definition of the algebra of graded permutations. This algebra plays the role, for the categories of bialgebras that we are going to study, that the descent algebra plays for usual bialgebras (graded connected commutative or cocommutative bialgebras), see [26]. This point of view was developed in [15] for shuffle bialgebras and is extended here to other, naturally equivalent, categories. We write \mathfrak{S}_k for the symmetric group on k elements.

Definition 5. *Let us fix $k \in \mathbb{N}$. Let $\sigma \in \mathfrak{S}_k$ and $d : [k] \rightarrow \mathbb{N}_{>0}$. We define a linear endomorphism of $T(V)$ by:*

$$\Phi_{(\sigma, d)} : \begin{cases} v_1 \dots v_l & \longrightarrow v_{\sigma(1)} \dots v_{\sigma(l)} \text{ if } k = l \text{ and } |v_{\sigma(i)}| = d(i) \text{ for all } i, \\ & \longrightarrow 0 \text{ if not.} \end{cases}$$

The composition of graded permutations is given as follows: for all $(\sigma, d) \in \mathfrak{S}_k \times Hom([k], \mathbb{N}_{>0})$ and $(\tau, e) \in \mathfrak{S}_l \times Hom([l], \mathbb{N}_{>0})$,

$$\Phi(\sigma, d) \circ \Phi(\tau, e) = \begin{cases} \Phi(\tau \circ \sigma, d) & \text{if } k = l \text{ and } d = e \circ \sigma, \\ 0 & \text{if not.} \end{cases}$$

Notations. We put $\mathfrak{S} = \prod_{k \geq 0} \mathfrak{S}_k \times Hom([k], \mathbb{N}_{>0})$, and $\mathbf{S} = Vect(\mathfrak{S})$, the vector space generated by \mathfrak{S} . The composition of \mathfrak{S} , linearly extended, makes \mathbf{S} an algebra.

Following the method used in [25] to study nonlinear Schur-Weyl duality, we want to characterize natural transformations of the functor

$$(1) \quad T(V) := \bigoplus_{n \in \mathbb{N}} T_n(V) := \bigoplus_{n \in \mathbb{N}} V^{\otimes n}$$

viewed as a functor from $Vect$ to $Vect^+$. Concretely, we look for $V \in Vect$ -indexed families of graded linear maps μ_V from $T_n(V)$ to $T_n(V)$ where n is an arbitrary integer such that, for any map f of graded vector spaces from

V to W ,

$$(2) \quad T_n(f) \circ \mu_V = \mu_W \circ T_n(f).$$

Let us say that such a family μ_V satisfies graded Schur-Weyl duality in degree n (by extension of the classical case, where the ground field is of characteristic 0 and the problem is restricted to invertible endomorphisms f of a given non graded vector space V).

Proposition 6. *Let SW be the vector space spanned by families of linear maps that satisfy the graded Schur-Weyl duality in degree n , where n runs over \mathbb{N}^* . The SW is canonically isomorphic to \mathbf{S} . Moreover, the composition of natural transformations makes SW an algebra, and the canonical isomorphism from SW to \mathbf{S} is an algebra isomorphism.*

Proof. The action of \mathbf{S} on the tensor spaces $T(V)$ s is natural (it commutes with an arbitrary $T(f)$): \mathbf{S} is, as an algebra, canonically embedded in SW .

Let μ be a family of linear maps satisfying the graded Schur-Weyl duality in degree n . For any finite sequence $d = (d_1, \dots, d_n)$ of elements of $\mathbb{N}_{>0}$, let us put $X_d = \text{Vect}(x_1, \dots, x_n)$, where $|x_i| := d_i$ for all i . For an arbitrary family a_1, \dots, a_n of elements of a graded vector space V with $|a_i| = d_i$ for all i , the map $f(x_i) := a_i$ extends uniquely to a linear map from X_d to V . Then:

$$\mu_V(a_1 \dots a_n) = \mu_V \circ T(f)(x_1 \dots x_n) = T(f)(\mu_{X_d}(x_1 \dots x_n)),$$

so the knowledge of the elements $x_d := \mu_{X_d}(x_1 \dots x_n)$ for any d determines entirely μ .

Let us fix d . As $x_d \in T_n(X_d)$, we can write:

$$x_d = \sum_{\sigma: [n] \rightarrow [n]} a_{\sigma, d} x_{\sigma(1)} \dots x_{\sigma(n)}.$$

Let $i \in [n]$. We define $f_i : X_d \rightarrow X_d$ by $f_i(x_j) = x_j$ if $i \neq j$ and 0 if $i = j$. Then:

$$0 = \mu_{X_d} \circ T_n(f_i)(x_1 \dots x_n) = T_n(f_i)(x_d) = \sum_{\substack{\sigma: [n] \rightarrow [n] \\ i \notin \sigma([n])}} a_{\sigma, d} x_{\sigma(1)} \dots x_{\sigma(n)}.$$

Hence, if $\sigma([n]) \subsetneq [n]$, $a_{\sigma, d} = 0$, so:

$$x_d = \sum_{\sigma \in \mathfrak{S}_n} a_{\sigma, d} x_{\sigma(1)} \dots x_{\sigma(n)} = \sum_{(\sigma, e) \in \mathfrak{S}} a_{\sigma, e} \Phi_{(\sigma, e \circ \sigma)}(x_1 \dots x_n).$$

which implies that:

$$\mu = \sum_{(\sigma, e) \in \mathfrak{S}} a_{\sigma, e \circ \sigma^{-1}} \Phi_{(\sigma, e)},$$

and finally $SW = \Phi(\mathbf{S})$. □

Building on these results, we define Schur-Weyl categories.

Definition 7. A Schur-Weyl category is a category \mathcal{C} with a forgetful functor F to Vect^+ (i.e. whose objects are naturally equipped with a structure of graded vector spaces), with a functor P to Vect , and with natural isomorphisms:

$$\forall C \in \mathcal{C}, I(C) : T \circ P(C) \cong F(C)$$

(i.e. the objects of \mathcal{C} are naturally isomorphic to tensor spaces). In particular, due to Proposition 6, the objects of \mathcal{C} are naturally equipped with an action of \mathfrak{S} .

Recall now the definition of various algebraic structures on the tensor spaces $T(V)$. We point out that the Proposition 6 shows that these structures (which can be described as the composite of natural endomorphisms of the functors T_n with the natural isomorphisms $T_{m+n} \cong T_m \otimes T_n$) are naturally defined. More generally, the Proposition shows that the definition of *natural* (graded) algebraic structures on the tensor spaces is constrained by the graded Schur-Weyl duality phenomenon: in concrete terms, one has to use permutations to define such structures. At last, notice that these structures will lift automatically to Schur-Weyl categories.

- The tensor algebra over V is the tensor space over V equipped with the concatenation product:

$$v_1 \dots v_n \cdot w_1 \dots w_m := v_1 \dots v_n w_1 \dots w_m,$$

the tensor algebra is the free associative algebra over V .

- The tensor coalgebra over V is the tensor space over V equipped with the deconcatenation coproduct Δ , so that

$$\Delta(v_1 \dots v_n) := \sum_{i=0}^n v_1 \dots v_i \otimes v_{i+1} \dots v_n,$$

it is the cofree connected coassociative coalgebra over V (the general structure of cofree coalgebras is more subtle, see [19]).

- The shuffle algebra over V is the tensor space over V equipped with the shuffle product:

$$v_1 \dots v_n \sqcup w_1 \dots w_m := \sum_{\sigma} x_{\sigma^{-1}(1)} \dots x_{\sigma^{-1}(n+m)},$$

where $x_1 \dots x_{n+m} := v_1 \dots v_n w_1 \dots w_m$ and σ runs over the (n, m) -shuffles in \mathfrak{S}_{n+m} , that is over the permutations such that: $\sigma(1) < \dots < \sigma(n), \sigma(n+1) < \dots < \sigma(n+m)$. It is the free shuffle algebra over V (see below for a definition).

- The unshuffle coalgebra over V is the tensor space over V equipped with the unshuffle coproduct:

$$\delta(v_1 \dots v_n) := \sum_{I, J} v_{i_1} \dots v_{i_k} \otimes v_{j_1} \dots v_{j_{n-k}},$$

where $I = \{i_1, \dots, i_k\}, J = \{j_1, \dots, j_{n-k}\}$ run over all partitions of $[n]$ into two disjoint (and possibly empty) subsets. It is the cofree unshuffle coalgebra over V (see below for a definition).

Recall that, given an arbitrary coproduct map Δ from X to $X \otimes X$ in $Vect^+$, the associated vector space of primitive elements is defined by $Prim(X) := \{x \in X, \Delta(x) = x \otimes 1 + 1 \otimes x\}$. For the deconcatenation coproduct on $T(V)$, we have $Prim(T(V)) = V$, whereas for the unshuffle coproduct, over a field of characteristic 0, $Prim(T(V)) = Lie(V)$, the free Lie algebra over V , see e.g. [28].

5. SCHUR-WEYL CATEGORIES OF BIALGEBRAS

The objects of a Schur-Weyl category \mathcal{C} are naturally equipped with these four algebra and coalgebra structures. Let us go now one step further and investigate tensor spaces from the point of view of bialgebras. The four algebra/coalgebra maps give rise to three interesting bialgebra structures.

5.1. Shuffle bialgebras. Recall first from [29] that the shuffle product \sqcup is characterized abstractly in $Vect$ by the identity involving the left and right half-shuffles \prec, \succ (with $\sqcup = \prec + \succ$):

$$(3) \quad x \prec y = y \succ x, \quad (x \prec y) \prec z = x \prec (y \prec z + y \succ z).$$

This definition is extended to $Vect^+$ by requiring $x \prec 1 = x, 1 \prec x = 0$, see e.g. [15] for details.

Definition 8. (1) *A shuffle bialgebra is a commutative Hopf algebra whose product, written \sqcup is a shuffle product (that is, can be written $\sqcup = \prec + \succ$ in such a way that \prec and \succ satisfy the identities (3)) and, for $x, y \in Ker \epsilon$, the extra axiom:*

$$\begin{aligned} \Delta(x \prec y) &= x \prec y \otimes 1 + 1 \otimes x \prec y + x \otimes y + x \prec y' \otimes y'' \\ &\quad + x' \prec y \otimes x'' + x' \otimes x'' \sqcup y + x' \prec y' \otimes x'' \sqcup y'', \end{aligned}$$

where we use Sweedler's notation $\Delta(x) = x_1 \otimes x_2 = x \otimes 1 + 1 \otimes x + x' \otimes x''$. We shorten this axiom as:

$$\Delta(x \prec y) = x_1 \prec y_1 \otimes x_2 \sqcup y_2.$$

(2) *If A and B are two shuffle bialgebras, a morphism of shuffle bialgebras $f : A \rightarrow B$ is a Hopf algebra morphism from A to B , homogeneous of degree 0, such that for all $x, y \in Ker \epsilon$:*

$$f(x \prec y) = f(x) \prec f(y), \quad f(x \succ y) = f(x) \succ f(y).$$

The tensor space $T(V)$ is equipped with the structure of a shuffle bialgebra by the deconcatenation coproduct Δ and the left and right half-shuffle maps \prec, \succ (they add up to \sqcup) defined recursively by:

$$\begin{aligned} x_1 \prec y_1 &:= x_1 y_1, & x_1 \dots x_n \prec y_1 \dots y_m &:= x_1 (x_2 \dots x_n \sqcup y_1 \dots y_m), \\ x_1 \succ y_1 &:= y_1 x_1, & x_1 \dots x_n \succ y_1 \dots y_m &:= y_1 (x_1 \dots x_n \sqcup y_2 \dots y_m). \end{aligned}$$

Theorem 9. *A shuffle bialgebra B is isomorphic as a shuffle bialgebra to $T(\text{Prim}(B))$, where Prim stands for the functor of primitive elements from the category \mathcal{SB} of shuffle bialgebras to Vect . Furthermore, the category \mathcal{SB} is a Schur-Weyl category.*

The first part of the Theorem is a rigidity theorem (recall that $T(V)$ is free as a shuffle algebra and cofree as a coassociative coalgebra in Vect^+) and a consequence of a more general result [10, Prop. 15]. Another, direct, proof of this result, based on compositions in the free magmatic algebra, was obtained in [9][Appendix A]. Unfortunately, in spite of many important insights on the behaviour of shuffle bialgebras, the proof is not entirely conclusive (the composition of formal power series argument at the end of the Appendix does not apply). As the author pointed out recently to one of us, an alternative strategy of proof can however be developed sticking inside her magmatic approach.

The precise form of the Theorem, as stated here (including a construction of a natural isomorphism from $T(\text{Prim}(B))$ to B , as required in a Schur-Weyl category) is obtained in [15][Thm. 6.7] (the proof contains the effective construction of the natural isomorphism).

5.2. Unshuffle bialgebras. Dually, one can split the unshuffle coproduct $\delta = \delta_{\prec} + \delta_{\succ}$ on $\overline{T}(V)$: for $xX = xx_1\dots x_n$, $x, \dots, x_n \in V$,

$$\delta_{\prec}(x) := x \otimes 1, \quad \delta_{\succ}(x) := 1 \otimes x;$$

$$\delta_{\prec}(xX) := xX_1 \otimes X_2, \quad \delta_{\succ}(xX) := X_1 \otimes xX_2,$$

where we use Sweedler's notation $\delta(X) = X_1 \otimes X_2$. Notice that $V = \text{Prim}_{\prec}(T(V))$, where

$$\text{Prim}_{\prec}(T(V)) := \{b \in \overline{T}(V), \delta_{\prec}(b) = b \otimes 1\}.$$

The left and right half-unshuffles $\delta_{\prec}, \delta_{\succ}$ satisfy the identities:

$$(4) \quad \delta_{\prec} = \tau \circ \delta_{\succ}, \quad (\delta_{\prec} \otimes \text{Id}) \circ \delta_{\prec} = (\text{Id} \otimes \delta) \circ \delta_{\prec},$$

where τ stands for the switch map $\tau(x \otimes y) = y \otimes x$, and, on $\text{Ker}(\epsilon)$,

$$(5) \quad (\epsilon \otimes \text{Id}) \circ \delta_{\prec}(x) = 0, \quad (\text{Id} \otimes \epsilon) \circ \delta_{\prec}(x) = x,$$

where we recall that ϵ stands for the augmentation (the canonical projection to the ground field) in Vect^+ .

Definition 10. (1) *Using the shortcut $\delta_{\prec}(x) = x_1^{\prec} \otimes x_2^{\prec}$ (and similarly for δ_{\succ}), an unshuffle bialgebra is a bialgebra equipped with a coassociative cocommutative coproduct $\delta = \delta_{\prec} + \delta_{\succ}$ satisfying the above identities and an associative product \cdot such that furthermore, for $x, y \in \text{Ker} \epsilon$:*

$$(6) \quad \delta_{\prec}(x \cdot y) = x_1^{\prec} \cdot y_1 \otimes x_2^{\prec} \cdot y_2.$$

- (2) If A and B are two unshuffle algebras, a morphism of unshuffle algebras $f : A \rightarrow B$ is a bialgebra morphism from A to B , homogeneous of degree 0 such that:

$$\delta_{\prec} \circ f = (f \otimes f) \circ \delta_{\prec}, \quad \delta_{\succ} \circ f = (f \otimes f) \circ \delta_{\succ}.$$

The tensor space equipped with the concatenation product and the two half-unshuffles $\delta_{\prec}, \delta_{\succ}$ described previously is an unshuffle bialgebra.

The two notions of shuffle bialgebras and unshuffle bialgebras are strictly dual (in the graded sense - the graded dual of a vector space $V = \bigoplus_{n \in \mathbb{N}} V_n$ in $Vect^+$ being the direct sum of the duals $V^* = \bigoplus_{n \in \mathbb{N}} V_n^*$).

The rigidity theorem for unshuffle bialgebras follows by duality (this was first observed in [9][Appendix B]): they are isomorphic to free associative algebras and cofree unshuffle coalgebras. The natural isomorphisms defining Schur-Weyl duality can be obtained by dualizing the constructions in [15], in particular Corollary 3.3 on which the later proof of the structure theorem for shuffle bialgebras relies in that article. Let us sketch the proof of the analogue of this Corollary - the rest of the construction of the natural isomorphisms is left to the reader, we refer to [15] for details.

Let f, g be two endomorphisms of $T(V)$ in $Vect^+$. We set:

$$\begin{aligned} f \prec g(x) &:= f(x_1^{\prec})g(x_2^{\prec}), \quad f \succ g(x) := f(x_1^{\succ})g(x_2^{\succ}), \\ f \sqcup g(x) &:= f \prec g(x) + f \succ g(x). \end{aligned}$$

The two half-products \prec and \succ define the structure of a noncommutative shuffle algebra (or dendrimorphic) algebra on $End(T(V))$, that is they satisfy the identities

$$\begin{aligned} (f \prec g) \prec k &= f \prec (g \sqcup k), \quad (f \sqcup g) \succ k = f \succ (g \succ k), \\ (f \succ g) \prec k &= f \succ (g \prec k). \end{aligned}$$

The first of these identities follows directly, for example, from $(\delta_{\prec} \otimes Id) \circ \delta_{\prec} = (Id \otimes \delta) \circ \delta_{\prec}$, and similarly for the others. Let us write now π for the projection from $T(V)$ to V orthogonally to the other components.

Lemma 11. *We have, in $End(T(V))$,*

$$(7) \quad Id = \epsilon + \sum_{n \in \mathbb{N}^*} \pi \prec (\pi \prec (\dots(\pi \prec \pi)\dots)).$$

Indeed, for $X := v_1 \dots v_n \in V^{\otimes n}$, $\delta_{\prec}(X)$ equals $v_1 \otimes v_2 \dots v_n$ plus a remainder term R such that $\pi \otimes Id(R) = 0$. We get: $Id = \epsilon + \pi \prec Id$, from which the Lemma follows by a perturbative expansion. Let us mention that the latter equation can be investigated systematically, see for example [11].

When written in $End(B)$, for B an arbitrary unshuffle bialgebra, the equation (7) defines (implicitly) π . The iterated products $\pi \prec (\pi \prec (\dots(\pi \prec \pi)\dots))$ are then the analogues, on B , of the projections from $T(V)$ to the summand $V^{\otimes n}$ orthogonally to the other components.

Theorem 12. *An unshuffle bialgebra B is isomorphic as an unshuffle bialgebra to $T(\text{Prim}_{\prec}(B))$. Furthermore, the category \mathcal{UB} of unshuffle bialgebras is a Schur-Weyl category.*

5.3. Infinitesimal bialgebras. The coproduct $*$ in the category \mathcal{As} of (unital) associative algebras in Vect^+ , or free product, is obtained as follows: let $H_1 = K \oplus \overline{H}_1, H_2 = K \oplus \overline{H}_2$ be two such algebras, then:

$$H_1 * H_2 := K \oplus \bigoplus_{n \in \mathbb{N}^*} (H_1 * H_2)^{(n)} := K \oplus \bigoplus_{n \in \mathbb{N}^*} [(1, H^{\otimes n}) \oplus (2, H^{\otimes n})],$$

where $(1, H^{\otimes n})$ (resp. $(2, H^{\otimes n})$) denotes alternating tensor products of \overline{H}_1 and \overline{H}_2 of length n starting with \overline{H}_1 (resp. \overline{H}_2). For example, $(2, H^{\otimes 4}) = \overline{H}_2 \otimes \overline{H}_1 \otimes \overline{H}_2 \otimes \overline{H}_1$. The product of two tensors $h_1 \otimes \dots \otimes h_n$ and $h'_1 \otimes \dots \otimes h'_m$ in $H_1 * H_2$ is defined as the concatenation product $h_1 \otimes \dots \otimes h_n \otimes h'_1 \otimes \dots \otimes h'_m$ when h_n and h'_1 belong respectively to \overline{H}_1 and \overline{H}_2 (or to \overline{H}_2 and \overline{H}_1), and otherwise as: $h_1 \otimes \dots \otimes (h_n \cdot h'_1) \otimes \dots \otimes h'_m$.

When $H_1 = T(V_1)$ and $H_2 = T(V_2)$, one gets $H_1 * H_2 = T(V_1 \oplus V_2)$. Moreover, by universal properties of free algebras, the linear map ι from V to $T(V) * T(V)$ defined by

$$(8) \quad \iota(v) := (1, v) + (2, v)$$

induces an algebra map from $T(V)$ to $T(V) * T(V)$ which is associative, unital ($\iota(x) = (1, x) + (2, x) + z$ with $z \in \bigoplus_{n \geq 2} (H_1 * H_2)^{(n)}$) and cocommutative.

Equivalently, $T(V)$ is a cocommutative cogroup in \mathcal{As} .

Definition 13. (1) *An infinitesimal bialgebra is, equivalently*

- *A cogroup in the category of associative unital algebras in Vect^+ ,*
- *An associative unital algebra with product \cdot and a coassociative counital coalgebra with coproduct Δ in Vect^+ such that furthermore, with the notation $\Delta(x) = x_1 \otimes x_2$,*

$$(9) \quad \Delta(x \cdot y) = x \cdot y_1 \otimes y_2 + x_1 \otimes x_2 \cdot y - x \otimes y$$

- (2) *If A and B are two infinitesimal bialgebras, a morphism of infinitesimal bialgebras $f : A \rightarrow B$ is a linear map from A to B , homogeneous of degree 0, both an algebra and a coalgebra morphism.*

The equivalence between these two definitions is not widely known: it is due to Livernet [20]; it is similar (in all respects) to the equivalence between cocommutative cogroups in the category of commutative algebras in Vect^+ and bicommutative bialgebras. The equivalence follows from the observation that the structure map $\phi : H \rightarrow H * H$ of such a cocommutative cogroup is entirely determined by its restriction $\overline{\Delta}$ to its image on the component $(1, H \otimes H) \cong \overline{H} \otimes \overline{H}$ of $H * H$. Namely,

$$(10) \quad \phi(a) = \sum_{n \geq 1} (1, \overline{\Delta}^{[n-1]}(a)) + (2, \overline{\Delta}^{[n-1]}(a)),$$

where $\overline{\Delta}^{[n-1]}$ stands for the iterated (coassociative) coproduct from \overline{H} to $\overline{H}^{\otimes n}$. Using the notation $\overline{\Delta}(x) = \overline{x}_1 \otimes \overline{x}_2$ (and more generally $\overline{\Delta}^{[n-1]}(x) = \overline{x}_1 \otimes \dots \otimes \overline{x}_n$), the coproduct $\overline{\Delta}$ satisfies the identity

$$(11) \quad \overline{\Delta}(x \cdot y) = x \otimes y + x \cdot \overline{y}_1 \otimes \overline{y}_2 + \overline{x}_1 \otimes \overline{x}_2 \cdot y$$

so that, for $\Delta(x) := \overline{\Delta}(x) + x \otimes 1 + 1 \otimes x$, with the notation $\Delta(x) = x_1 \otimes x_2$ we get the identity (9). Note that in the case of $T(V)$, Δ is the deconcatenation coproduct.

Conversely, the identity (11) is enough to ensure that (with the notation $\overline{\Delta}^{[0]}(x) = x = \overline{x}$)

$$\begin{aligned} \overline{\Delta}^{[k]}(x \cdot y) &= \sum_{i=1}^k \overline{x}_1 \otimes \dots \otimes \overline{x}_i \otimes \overline{y}_1 \otimes \dots \otimes \overline{y}_{k+1-i} \\ &\quad + \sum_{i=1}^{k+1} \overline{x}_1 \otimes \dots \otimes \overline{x}_i \cdot \overline{y}_1 \otimes \dots \otimes \overline{y}_{k+2-i}, \end{aligned}$$

from which it follows that ϕ , as defined by the equation (10) defines a cogroup structure on H .

Theorem 14. *An infinitesimal bialgebra B is isomorphic as an infinitesimal bialgebra to $T(\text{Prim}(B))$. Furthermore, the category \mathcal{IB} of infinitesimal bialgebras is a Schur-Weyl category.*

The first rigidity statement is Bernstein's structure theorem for cocommutative cogroups in categories of associative algebras [7, Cor. 2.6] and Thm. 2.6 of [21]. It implies that an infinitesimal bialgebra is free as an associative algebra and cofree as a coassociative coalgebra. The second statement follows from the proof of Theorem 2.6 in [21].

5.4. Equivalence between Schur-Weyl categories of bialgebras. We already noticed that any object of a Schur-Weyl category is naturally equipped with the structures of an associative algebra, of a shuffle algebra, of a coassociative coalgebra and of an unshuffle coalgebra. The same arguments show that it is naturally equipped with the structure of a shuffle bialgebra, of an unshuffle bialgebra and of an infinitesimal bialgebra.

The three structure theorems for shuffle, unshuffle and infinitesimal bialgebras imply the fundamental structure theorem:

Theorem 15. *The categories of shuffle bialgebras, unshuffle bialgebras and infinitesimal bialgebras are isomorphic over Vect^+ . They are all equipped with a natural action of the algebra of graded permutations \mathbf{S} .*

By *isomorphic over Vect^+* , we mean that the three categories are equivalent, and that the natural equivalences can be realized as natural isomorphisms of graded vector spaces (concretely, an object of any of the three categories viewed as an element of Vect^+ can be equipped naturally with the other two bialgebra structures).

Proof. Let us define for example the equivalence between the category of infinitesimal bialgebras and the category of shuffle bialgebras. Let A be an infinitesimal bialgebra. Denoting by V the graded space of primitive elements, there exists a unique morphism of infinitesimal bialgebras $f_A : A \rightarrow T(V)$. As $T(V)$ is also a shuffle bialgebra, via the bijection f_A , A becomes an infinitesimal bialgebra in a unique way. This defines the image of A by the equivalence. \square

Let us show concretely how this process can be realized in practice on the example of infinitesimal bialgebras and unshuffle bialgebras – we will explain later on how this example allows an improvement of the understanding of one of Bernstein’s key notions: the one of the underlying algebra of a cocommutative cogroup in the category of associative algebras in $Vect^+$.

Let H be such a cocommutative cogroup. The structure map $\phi : H \rightarrow H * H$ gives rise to two “half-coproducts” $\delta_{\prec}, \delta_{\succ}$ from H to $H \otimes H$ defined as follows. Let $h_1 \otimes \dots \otimes h_n \in (H * H)^{(n)}$, we set:

$$\pi_1(h_1 \otimes \dots \otimes h_n) := 1_{h_1 \otimes \dots \otimes h_n \in (1, H^{\otimes n})} h_1 \cdot h_3 \cdot \dots \cdot h_{n-1} \otimes h_2 \cdot h_4 \cdot \dots \cdot h_n$$

$$\pi_2(h_1 \otimes \dots \otimes h_n) := 1_{h_1 \otimes \dots \otimes h_n \in (2, H^{\otimes n})} h_2 \cdot h_4 \cdot \dots \cdot h_n \otimes h_1 \cdot h_3 \cdot \dots \cdot h_{n-1}$$

if n is even and otherwise

$$\pi_1(h_1 \otimes \dots \otimes h_n) := 1_{h_1 \otimes \dots \otimes h_n \in (1, H^{\otimes n})} h_1 \cdot h_3 \cdot \dots \cdot h_n \otimes h_2 \cdot h_4 \cdot \dots \cdot h_{n-1},$$

$$\pi_2(h_1 \otimes \dots \otimes h_n) := 1_{h_1 \otimes \dots \otimes h_n \in (2, H^{\otimes n})} h_2 \cdot h_4 \cdot \dots \cdot h_{n-1} \otimes h_1 \cdot h_3 \cdot \dots \cdot h_n.$$

Then,

$$\delta_{\prec}(h) := \pi_1 \circ \phi(h), \quad \delta_{\succ}(h) := \pi_2 \circ \phi(h).$$

Maps π_i , $i = 1, 2, 3$ from $H * H * H$ to $H \otimes H \otimes H$ are defined similarly. That is, distinguishing notationally between the three copies of H by writing $H * H * H = H_1 * H_2 * H_3$, π_1 acts non trivially on $h_1 \otimes \dots \otimes h_n \in H_1 * H_2 * H_3$ if and only if $h_1 \in H_1$, and so on.

Proposition 16. *The half-coproducts $\delta_{\prec}, \delta_{\succ}$ together with the associative product define (functorially) on H the structure of an unshuffle bialgebra.*

The identity $\delta_{\prec} = \tau \circ \delta_{\succ}$ follows from the cocommutativity of ϕ . The identity $(\delta_{\prec} \otimes Id) \circ \delta_{\prec} = (Id \otimes \delta) \circ \delta_{\prec}$ follows by observing that both maps act as $\pi_1 \circ \phi^{[3]}$ on H , where $\phi^{[3]}$ is the iterated coproduct from H to $H * H * H$. The identity (6) follows from the fact that ϕ is a morphism of algebras.

Bernstein’s notion of underlying Hopf algebra of a cogroup in $\mathcal{A}s$ [7] is obtained by composing this functor with the forgetful functor from unshuffle bialgebras to classical bialgebras. Proposition 16 unravels why this notion of underlying Hopf algebra of a cogroup could prove in the end instrumental in his work (compare our approach to Bernstein’s original one).

6. B_∞ -ALGEBRAS AND FINITE SPACES

The notion of B_∞ -algebra was introduced by Getzler and Jones in the category of chain complexes [18], we consider here the simpler notion of B_∞ -algebra in the subcategory $Vect$.

A B_∞ -algebra structure on V is, by definition, a Hopf algebra structure on $T(V)$ equipped with the deconcatenation coproduct. That is, an associative algebra structure on $T(V)$ such that the product is a coalgebra map [18, p. 48]. Since $T(V)$ is cofree as a counital coalgebra in $Vect^+$ for the deconcatenation coproduct, the product map from $T(V) \otimes T(V)$ to $T(V)$ is entirely characterized by its projection to the subspace V . This yields another, equivalent, but less tractable and transparent, definition, of B_∞ -algebras in terms of structure maps $M_{p,q} : V^{\otimes p} \otimes V^{\otimes q} \mapsto V$, $p, q \geq 0$ satisfying certain compatibility relations that can be deduced from the associativity of the product – we refer again to [18] for details. It is natural to call the cofree Hopf algebra $T(V)$, for V a B_∞ -algebra, the B_∞ -enveloping algebra of V . The following corollary shows how Theorem 15 induces automatically various characterizations of B_∞ -enveloping algebras (compare with [21], where the third characterization was obtained).

Corollary 17. *The following statements are equivalent (as usual all underlying vector spaces belong to $Vect^+$):*

- (1) H is a Hopf algebra, cofree over the space of its primitive elements $V = \text{Prim}(H)$.
- (2) H is the B_∞ -enveloping algebra of a B_∞ -algebra V .
- (3) H is a Hopf algebra and can be equipped with the structure of an infinitesimal bialgebra whose coproduct is the coproduct of H .
- (4) H is a Hopf algebra and can be equipped with the structure of a shuffle bialgebra whose coproduct is the coproduct of H .

Let us show now how these ideas apply to finite topologies.

Notations. Let X be a finite set, and \mathcal{T} be a topology on X . For any $Y \subseteq X$, we denote by $\mathcal{T}|_Y$ the topology induced by \mathcal{T} on Y , that is to say:

$$\mathcal{T}|_Y = \{O \cap Y \mid O \in \mathcal{T}\}.$$

Definition 18. Let $\mathcal{T} \in \mathbf{T}_n$, $n \geq 1$. For $\overline{\mathcal{T}} \in \mathbf{F}_n$, the equivalence class of \mathcal{T} in \mathbf{F} , we put:

$$\Delta(\overline{\mathcal{T}}) := \sum_{O \in \mathcal{T}} \overline{\mathcal{T}|_{[n] \setminus O}} \otimes \overline{\mathcal{T}|_O} \in \mathcal{F} \otimes \mathcal{F}.$$

We let the reader check that this definition does not depend on the choice of a representative of $\overline{\mathcal{T}}$ in \mathbf{T} . The coproduct extends linearly to \mathcal{F} , the linear span of finite spaces.

- Theorem 19.**
- (1) $(\mathcal{F}, \cdot, \Delta)$ is a commutative Hopf algebra.
 - (2) $(\mathcal{F}, \succ, \Delta)$ is an infinitesimal bialgebra.

- (3) \mathcal{F} is the B_∞ -enveloping algebra of a B_∞ -algebra; more precisely it is a commutative cofree Hopf algebra.
- (4) It can be equipped with the structure of a shuffle bialgebra or of an unshuffle bialgebra.

Proof. In the Theorem, all structures are defined in \mathbf{Vect}^+ .

The last two assertions follow from Theorem 15 together with Corollary 17.

Let $\mathcal{T} \in \mathbf{T}_n$, $n > 0$. The coassociativity of Δ follows from the observations that:

- if O is open in \mathcal{T} , then the open sets of O are the open sets of \mathcal{T} contained in O ,
- if $O \in \mathcal{T}$ and $O' \in \mathcal{T}_{|[n] \setminus O}$, then $O \sqcup O'$ is an open set of \mathcal{T} ,
- if $O_1 \subseteq O_2$ are open sets of \mathcal{T} , then $O_2 \setminus O_1 \in \mathcal{T}_{|[n] \setminus O_1}$.

We get then:

$$\begin{aligned} (\Delta \otimes Id) \circ \Delta(\overline{\mathcal{T}}) &= \sum_{O \in \mathcal{T}, O' \in \mathcal{T}_{|[n] \setminus O}} \overline{(\mathcal{T}_{|[n] \setminus O})_{|[([n] \setminus O) \setminus O']} \otimes \overline{(\mathcal{T}_{|[n] \setminus O})_{|O'}} \otimes \overline{\mathcal{T}}_O} \\ &= \sum_{O \in \mathcal{T}, O' \in \mathcal{T}_{|[n] \setminus O}} \overline{\mathcal{T}_{|[n] \setminus (O \sqcup O')}} \otimes \overline{\mathcal{T}}_{|O'} \otimes \overline{\mathcal{T}}_{|O}. \end{aligned}$$

Putting $O_1 = O$ and $O_2 = O \sqcup O'$:

$$(\Delta \otimes Id) \circ \Delta(\overline{\mathcal{T}}) = \sum_{O_1 \subseteq O_2 \in \mathcal{T}} \overline{\mathcal{T}_{|[n] \setminus O_2}} \otimes \overline{\mathcal{T}}_{|O_2 \setminus O_1} \otimes \overline{\mathcal{T}}_{|O_1} = (Id \otimes \Delta) \circ \Delta(\overline{\mathcal{T}}).$$

This proves that Δ is coassociative. It is obviously homogeneous of degree 0. Moreover, $\Delta(1) = 1 \otimes 1$ and for any $\mathcal{T} \in \mathbf{T}_n$, $n \geq 1$:

$$\Delta(\overline{\mathcal{T}}) = \overline{\mathcal{T}} \otimes 1 + 1 \otimes \overline{\mathcal{T}} + \sum_{\emptyset \subsetneq O \subseteq [n]} \overline{\mathcal{T}_{|[n] \setminus O}} \otimes \overline{\mathcal{T}}_{|O}.$$

So Δ has a counit.

Let $\mathcal{T} \in \mathbf{T}_n$, $\mathcal{T}' \in \mathbf{T}_{n'}$, $n, n' \geq 0$. By definition of $\mathcal{T} \cdot \mathcal{T}'$:

$$\begin{aligned} \Delta(\overline{\mathcal{T} \cdot \mathcal{T}'}) &= \sum_{O \in \mathcal{T}, O' \in \mathcal{T}'} \overline{(\mathcal{T} \cdot \mathcal{T}')_{|[n+n'] \setminus O \cdot O'}} \otimes \overline{(\mathcal{T} \cdot \mathcal{T}')_{|O \cdot O'}} \\ &= \sum_{O \in \mathcal{T}, O' \in \mathcal{T}'} \overline{\mathcal{T}_{|[n] \setminus O} \cdot \mathcal{T}'_{|[n'] \setminus O'}} \otimes \overline{\mathcal{T}}_{|O} \cdot \overline{\mathcal{T}'}_{|O'} \\ &= \sum_{O \in \mathcal{T}, O' \in \mathcal{T}'} (\overline{\mathcal{T}_{|[n] \setminus O}} \otimes \overline{\mathcal{T}}_{|O}) \cdot (\overline{\mathcal{T}'_{|[n'] \setminus O'}} \otimes \overline{\mathcal{T}'}_{|O'}) \\ &= \Delta(\overline{\mathcal{T}}) \cdot \Delta(\overline{\mathcal{T}'}). \end{aligned}$$

Hence, $(\mathbf{F}, \cdot, \Delta)$ is a graded connected commutative Hopf algebra.

By definition of $\mathcal{T} \succ \mathcal{T}'$:

$$\begin{aligned}
\Delta(\overline{\mathcal{T}} \succ \overline{\mathcal{T}'}) &= \sum_{O \in \mathcal{T}, O \neq \emptyset} \overline{(\mathcal{T} \succ \mathcal{T}')|_{[n+n'] \setminus (O \succ [n'])}} \otimes \overline{(\mathcal{T} \succ \mathcal{T}')|_{O \succ [n']}} \\
&+ \sum_{O' \in \mathcal{T}', O' \neq [n']} \overline{(\mathcal{T} \succ \mathcal{T}')|_{[n+n'] \setminus O'(+n)}} \otimes \overline{(\mathcal{T} \succ \mathcal{T}')|_{O'(+n)}} \\
&+ \overline{(\mathcal{T} \succ \mathcal{T}')|_{[n+n'] \setminus [n'](+n)}} \otimes \overline{(\mathcal{T} \succ \mathcal{T}')|_{[n'](+n)}} \\
&= \sum_{O \in \mathcal{T}, O \neq \emptyset} \overline{\mathcal{T}|_{[n] \setminus O}} \otimes \overline{\mathcal{T}|_O} \succ \overline{\mathcal{T}'} \\
&+ \sum_{O' \in \mathcal{T}', O' \neq [n']} \overline{\mathcal{T}} \succ \overline{\mathcal{T}'|_{[n'] \setminus O'}} \otimes \overline{\mathcal{T}'|_{O'}} + \overline{\mathcal{T}} \otimes \overline{\mathcal{T}'} \\
&= \sum_{O \in \mathcal{T}, O \neq \emptyset} (\overline{\mathcal{T}|_{[n] \setminus O}} \otimes \overline{\mathcal{T}|_O}) \succ (1 \otimes \overline{\mathcal{T}'}) \\
&+ \sum_{O' \in \mathcal{T}', O' \neq [n']} (\overline{\mathcal{T}} \otimes 1) \succ (\overline{\mathcal{T}'|_{[n'] \setminus O'}} \otimes \overline{\mathcal{T}'|_{O'}}) + \overline{\mathcal{T}} \otimes \overline{\mathcal{T}'} \\
&= (\Delta(\overline{\mathcal{T}}) - \overline{\mathcal{T}} \otimes 1) \succ (1 \otimes \overline{\mathcal{T}'}) + (\overline{\mathcal{T}} \otimes 1) \succ (\Delta(\overline{\mathcal{T}'}) - 1 \otimes \overline{\mathcal{T}'}) + \overline{\mathcal{T}} \otimes \overline{\mathcal{T}'} \\
&= \Delta(\overline{\mathcal{T}}) \succ (1 \otimes \overline{\mathcal{T}'}) + (\overline{\mathcal{T}} \otimes 1) \succ \Delta(\overline{\mathcal{T}'}) - \overline{\mathcal{T}} \otimes \overline{\mathcal{T}'}.
\end{aligned}$$

Hence, $(\mathbf{F}, \succ, \Delta)$ is an infinitesimal Hopf algebra. \square

7. A FAMILY OF MORPHISMS TO QUASI-SYMMETRIC FUNCTIONS

7.1. The Hopf algebra of quasi-symmetric functions. Let us give some reminders on quasi-symmetric functions. Let $A = K[[x_1, x_2, \dots]]$ be the algebra of commutative formal series in the infinite countable set of indeterminates x_n , $n \geq 1$. A formal series $f \in A$ is quasisymmetric [17, 33] if for all strictly increasing maps $f : \mathbb{N}_{>0} \rightarrow \mathbb{N}_{>0}$, the coefficients of $x_1^{a_1} \dots x_n^{a_n}$ and $x_{f(1)}^{a_1} \dots x_{f(n)}^{a_n}$ in f are equal, for all $a_1, \dots, a_n \in \mathbb{N}$. The subalgebra of quasisymmetric formal series is denoted by **QSym**. For example, if $a = (a_1, \dots, a_n)$ is a composition, that is to say a finite sequence of elements of $\mathbb{N}_{>0}$, then the following formal series is quasisymmetric:

$$M_a = \sum_{i_1 < \dots < i_n} x_{i_1}^{a_1} \dots x_{i_n}^{a_n}.$$

By convention, $M_\emptyset = 1$. These elements form a basis of **QSym**, called the monomial basis. Moreover, **QSym** is a Hopf algebra [22] for the coproduct defined by:

$$\Delta(M_{(a_1, \dots, a_n)}) = \sum_{i=0}^n M_{(a_1, \dots, a_i)} \otimes M_{(a_{i+1}, \dots, a_n)},$$

for all compositions (a_1, \dots, a_n) .

7.2. Linear extensions of a finite topology. In this section, we will write without further comment \mathcal{T} for a representative of the finite space $\overline{\mathcal{T}}$.

Definition 20. Let \mathcal{T} be a topology on a finite set E .

- (1) A linear extension of \mathcal{T} is a map $f : E \rightarrow \mathbb{N}_{>0}$ such that for all $i, j \in E$,

$$(i \leq_{\mathcal{T}} j) \implies (f(i) \leq f(j)).$$

The set of linear extensions of \mathcal{T} is denoted by $Lin(\mathcal{T})$.

- (2) Let f be a linear extension of \mathcal{T} .
- (a) We shall say that f is standard if $f(E) = [k]$ for a certain integer k . The set of standard linear extensions of \mathcal{T} is denoted by $Lin_{Std}(\mathcal{T})$.
- (b) We denote $f(E) = \{i_1, \dots, i_k\}$, with $i_1 < \dots < i_k$. We put:

$$P(f) = (|f^{-1}(i_1)|, \dots, |f^{-1}(i_k)|).$$

Note that P is a map from $Lin(\mathcal{T})$ to the set of compositions.

- (c) We put:

$$\alpha(f) = |\{(i, j) \in E \times E \mid i <_{\mathcal{T}} j \text{ and } f(i) = f(j)\}|.$$

Recall that $i <_{\mathcal{T}} j$ if $i \leq_{\mathcal{T}} j$ and not $i \sim_{\mathcal{T}} j$. Note that α is a map from $Lin(\mathcal{T})$ to \mathbb{N} .

Remarks.

- (1) In other words, linear extensions of \mathcal{T} are continuous maps from E to $\mathbb{N}_{>0}$, with the topology induced by the usual total order on $\mathbb{N}_{>0}$.
- (2) If \mathcal{T} and \mathcal{T}' are homeomorphic, any homeomorphism induces a bijection from $Lin(\mathcal{T})$ to $Lin(\mathcal{T}')$, which preserves α and P .
- (3) If $f \in Lin(\mathcal{T})$ and $g : \mathbb{N}_{>0} \rightarrow \mathbb{N}_{>0}$ is strictly increasing, then $g \circ f \in Lin(\mathcal{T})$. Moreover, $\alpha(g \circ f) = \alpha(f)$ and $P(g \circ f) = P(f)$.
- (4) For all $f \in Lin(\mathcal{T})$, there exists a unique $f' \in Lin_{Std}(\mathcal{T})$, such that there exists a strictly increasing $g : \mathbb{N}_{>0} \rightarrow \mathbb{N}_{>0}$ with $g \circ f' = f$. This f' is denoted by $Std(f)$.

Theorem 21. Let $q \in K$. We put:

$$\phi_q : \begin{cases} \mathbf{F} & \longrightarrow \mathbf{QSym} \\ \overline{\mathcal{T}} & \longrightarrow \sum_{f \in Lin(\mathcal{T})} q^{\alpha(f)} \prod_{i \in E(\mathcal{T})} x_{f(i)}, \end{cases}$$

where $E(\mathcal{T})$ is the set underlying \mathcal{T} . This defines a surjective Hopf algebra morphism from $(\mathcal{F}, \cdot, \Delta)$ to \mathbf{QSym} . Moreover, for all finite spaces $\overline{\mathcal{T}}$:

$$\phi_q(\overline{\mathcal{T}}) = \sum_{f \in Lin_{Std}(\mathcal{T})} q^{\alpha(f)} M_{P(f)}.$$

Proof. By the first remark above, $\phi_q(\overline{\mathcal{T}})$ does not depend on the choice of the representative \mathcal{T} of $\overline{\mathcal{T}}$, so $\phi_q(\overline{\mathcal{T}})$ is well-defined, with values in $K[[x_1, x_2, \dots]]$.

By the second remark above, if $\overline{\mathcal{T}}$ is a finite space:

$$\begin{aligned} \phi_q(\overline{\mathcal{T}}) &= \sum_{f \in \text{Lin}_{\text{Std}}(\mathcal{T})} q^{\alpha(f)} \sum_{\substack{g: [\max(f)] \rightarrow \mathbb{N}_{>0}, \\ \text{strictly increasing}}} \prod_{i \in E(\mathcal{T})} x_{g \circ f(1)} \cdots x_{g \circ f(\max(f))} \\ &= \sum_{f \in \text{Lin}_{\text{Std}}(\mathcal{T})} q^{\alpha(f)} M_{(|f^{-1}(1)|, \dots, |f^{-1}(\max(f))|)} \\ &= \sum_{f \in \text{Lin}_{\text{Std}}(\mathcal{T})} q^{\alpha(f)} M_{P(f)}. \end{aligned}$$

So ϕ_q takes indeed its values in **QSym**.

Let $\mathcal{T}_1, \mathcal{T}_2$ be representatives of two finite spaces $\overline{\mathcal{T}}_1, \overline{\mathcal{T}}_2$ such that $E(\mathcal{T}_1) \cap E(\mathcal{T}_2) = \emptyset$. The set underlying $\mathcal{T}_1 \cdot \mathcal{T}_2$ is $E(\mathcal{T}_1) \sqcup E(\mathcal{T}_2)$. If $f_i : E(\mathcal{T}_i) \rightarrow \mathbb{N}_{>0}$ for $i = 1, 2$, we put:

$$f_1 \otimes f_2 : \begin{cases} E(\mathcal{T}_1 \cdot \mathcal{T}_2) & \rightarrow \mathbb{N}_{>0} \\ i & \rightarrow \begin{cases} f_1(i) & \text{if } i \in E(\mathcal{T}_1), \\ f_2(i) & \text{if } i \in E(\mathcal{T}_2). \end{cases} \end{cases}$$

Then:

$$\text{Lin}(\mathcal{T}_1 \cdot \mathcal{T}_2) = \{f_1 \otimes f_2 \mid (f_1, f_2) \in \text{Lin}(\mathcal{T}_1) \times \text{Lin}(\mathcal{T}_2)\}.$$

Moreover, $\alpha(f_1 \otimes f_2) = \alpha(f_1) + \alpha(f_2)$, as, if $i \leq_{\mathcal{T}_1 \cdot \mathcal{T}_2} j$, then $(i, j) \in E(\mathcal{T}_1)^2$ or $(i, j) \in E(\mathcal{T}_2)^2$. We obtain:

$$\begin{aligned} \phi_q(\overline{\mathcal{T}}_1 \cdot \overline{\mathcal{T}}_2) &= \sum_{f_1 \in \text{Lin}(\mathcal{T}_1), f_2 \in \text{Lin}(\mathcal{T}_2)} q^{\alpha(f_1) + \alpha(f_2)} \prod_{i \in E(\mathcal{T}_1) \sqcup E(\mathcal{T}_2)} x_{f_1 \otimes f_2(i)} \\ &= \sum_{f_1 \in \text{Lin}(\mathcal{T}_1), f_2 \in \text{Lin}(\mathcal{T}_2)} q^{\alpha(f_1) + \alpha(f_2)} \prod_{i \in E(\mathcal{T}_1)} x_{f_1(i)} \prod_{i \in E(\mathcal{T}_2)} x_{f_2(i)} \\ &= \left(\sum_{f_1 \in \text{Lin}(\mathcal{T}_1)} q^{\alpha(f_1)} \prod_{i \in E(\mathcal{T}_1)} x_{f_1(i)} \right) \left(\sum_{f_2 \in \text{Lin}(\mathcal{T}_2)} q^{\alpha(f_2)} \prod_{i \in E(\mathcal{T}_2)} x_{f_2(i)} \right) \\ &= \phi_q(\overline{\mathcal{T}}_1) \phi_q(\overline{\mathcal{T}}_2). \end{aligned}$$

This shows that ϕ_q is multiplicative.

Let $\overline{\mathcal{T}}$ be a finite space. We put:

$$\begin{aligned} A &= \{(I, f_1, f_2) \mid I \text{ open set of } \mathcal{T}, f_1 \in \text{Lin}_{\text{Std}}(\mathcal{T}_{|E(\mathcal{T})-I}), f_2 \in \text{Lin}_{\text{Std}}(\mathcal{T}_{|I})\}, \\ B &= \{(f, k) \mid f \in \text{Lin}_{\text{Std}}(\mathcal{T}), 0 \leq k \leq \max(f)\}. \end{aligned}$$

We put:

$$F : \begin{cases} B & \rightarrow A \\ (f, k) & \rightarrow (f^{-1}(\{k+1, \dots, \max(f)\}), \text{Std}(f_{|[k]}), \text{Std}(f_{|\{k+1, \dots, \max(f)\}})). \end{cases}$$

This is well-defined: we put $F(f, k) = (I, f_1, f_2)$.

- Let $i \in I$ and $j \geq_{\mathcal{T}} i$. Then $f(i) \geq k+1$. As $f \in \text{Lin}(\mathcal{T})$, $f(j) \geq f(i)$, so $f(j) \geq k+1$ and $j \in I$: I is an open set of \mathcal{T} .
- By restriction, f_1 is a linear extension of $\mathcal{T}|_{E(\mathcal{T})-I}$ and f_2 is a linear extension of $\mathcal{T}|_I$.

Moreover, F is injective: if $F(f, k) = F(g, l) = (I, f_1, f_2)$, then $k = l = \max(f_1)$. As f is standard, for all $i \in E(\mathcal{T})$:

- if $i \notin I$, $f(i) = g(i) = f_1(i)$,
- if $i \in I$, $f(i) = g(i) = f_2(i) + k$.

Finally, F is surjective: if $(I, f_1, f_2) \in A$, let $f : E(\mathcal{T}) \rightarrow \mathbb{N}_{>0}$, defined by:

- if $i \notin I$, $f(i) = f_1(i)$,
- if $i \in I$, $f(i) = f_2(i) + \max(f_1)$.

Let us prove that $f \in \text{Lin}(\mathcal{T})$. If $i \leq_{\mathcal{T}} j$ in $E(\mathcal{T})$, then:

- If $i \in I$, as I is an open set of \mathcal{T} , $j \in I$. As $f_2 \in \text{Lin}(\mathcal{T}|_I)$, then $f_2(i) \leq f_2(j)$, so $f(i) \leq f(j)$.
- If $i \notin I$ and $j \in I$, then $f(i) \leq k < f(j)$.
- If $i, j \notin I$, as $f_1 \in \text{Lin}(\mathcal{T}|_{E(\mathcal{T})-I})$, $f(i) = f_1(i) \leq f_1(j) = f(j)$.

f is clearly standard, and $F(f, \max(f_1)) = (I, f_1, f_2)$.

As a conclusion, F is bijective. Moreover, if $F(f, k) = (I, f_1, f_2)$, as if $i \in I$ and $j \notin I$, $f(i) \neq f(j)$, then $\alpha(f) = \alpha(f_1) + \alpha(f_2)$, and $P(f)$ is the concatenation of $P(f_1)$ and $P(f_2)$. So:

$$\begin{aligned}
(\phi_q \otimes \phi_q) \circ \Delta(\mathcal{T}) &= \sum_{(I, f_1, f_2) \in A} q^{\alpha(f_1) + \alpha(f_2)} M_{P(f_1)} \otimes M_{P(f_2)} \\
&= \sum_{(f, k) \in B} q^{\alpha(f)} M_{(|f^{-1}(1)|, \dots, |f^{-1}(k)|)} \otimes M_{(|f^{-1}(k+1)|, \dots, |f^{-1}(\max(f))|)} \\
&= \sum_{f \in \text{Lin}_{\text{Std}}(f)} q^{\alpha(f)} \Delta(M_{P(f)}) \\
&= \Delta \circ \phi_q(\mathcal{T}).
\end{aligned}$$

So ϕ_q is a Hopf algebra morphism.

Let (a_1, \dots, a_k) be a composition. Let \mathcal{T} be the topology on a set $A_1 \sqcup \dots \sqcup A_k$, with $|A_i| = a_i$ for all i , defined by $x \leq_{\mathcal{T}} y$ if, and only if, $x \in A_i$ and $y \in A_j$, with $i \leq j$. Then:

$$\phi_q(\overline{\mathcal{T}}) = M_{(a_1, \dots, a_k)} + R,$$

where R is in the linear span of the M_b , with $\text{length}(b) < k$. By a triangularity argument, ϕ_q is surjective. \square

Examples. Let $a, b, c \geq 1$.

$$\begin{aligned}
\phi_q(\bullet a) &= M_{(a)}, \\
\phi_q(\mathbf{!}_a^b) &= M_{(a,b)} + q^{ab} M_{(a+b)}, \\
\phi_q(\bullet a \bullet b) &= M_{(a,b)} + M_{(b,a)} + M_{(a+b)}, \\
\phi_q(\mathbf{!}_a^c) &= M_{(a,b,c)} + q^{ab} M_{(a+b,c)} + q^{bc} M_{(a,b+c)} + q^{ab+ac+bc} M_{(a+b+c)}, \\
\phi_q(\mathbf{!}_a^b \mathbf{!}_a^c) &= M_{(a,b,c)} + M_{(a,c,b)} + M_{(a,b+c)} + q^{ab} M_{(a+b,c)} \\
&\quad + q^{ac} M_{(a+c,b)} + q^{ab+ac} M_{(a+b+c)}, \\
\phi_q(\mathbf{!}_a^c \mathbf{!}_b^c) &= M_{(a,b,c)} + M_{(b,a,c)} + M_{(a+b,c)} + q^{ac} M_{(b,a+c)} \\
&\quad + q^{bc} M_{(a,b+c)} + q^{ac+bc} M_{(a+b+c)}, \\
\phi_q(\mathbf{!}_a^b \bullet c) &= M_{(a,b,c)} + M_{(a,c,b)} + M_{(c,a,b)} + M_{(a,b+c)} \\
&\quad + M_{(a+c,b)} + q^{ab} M_{(a+b,c)} + q^{ab} M_{(c,a+b)} + q^{ab} M_{(a+b+c)}, \\
\phi_q(\bullet a \bullet b \bullet c) &= M_{(a,b,c)} + M_{(a,c,b)} + M_{(b,a,c)} + M_{(b,c,a)} + M_{(c,a,b)} + M_{(c,b,a)} \\
&\quad + M_{(a+b,c)} + M_{(a+c,b)} + M_{(b+c,a)} \\
&\quad + M_{(a,b+c)} + M_{(b,a+c)} + M_{(c,a+b)} + M_{(a+b+c)}.
\end{aligned}$$

Proposition 22. We define a product \succ_q on \mathbf{QSym} by:

$$M_{(a_1, \dots, a_k)} \succ_q M_{(b_1, \dots, b_l)} = M_{(a_1, \dots, a_k, b_1, \dots, b_l)} + q^{a_k b_1} M_{(a_1, \dots, a_{k-1}, a_k + b_1, b_2, \dots, b_l)}.$$

Then $(\mathbf{QSym}, \succ_q, \Delta)$ is an infinitesimal bialgebra and ϕ_q is a morphism of infinitesimal bialgebras from $(\mathcal{F}, \succ, \Delta)$ to $(\mathbf{QSym}, \succ_q, \Delta)$.

Proof. Let $\overline{\mathcal{T}}_1, \overline{\mathcal{T}}_2$ be two nonempty finite spaces in \mathbf{T}_n , resp. \mathbf{T}_m . Let us prove that $\phi_q(\overline{\mathcal{T}}_1 \succ \overline{\mathcal{T}}_2) = \phi_q(\overline{\mathcal{T}}_1) \succ_q \phi_q(\overline{\mathcal{T}}_2)$. We choose standard representatives $\mathcal{T}_1, \mathcal{T}_2$. Let $f \in \text{Lin}(\mathcal{T}_1 \succ \mathcal{T}_2)$. We put $f_1 = f|_{[n]}$ and $f_2 = f|_{\{n+1, \dots, n+m\}}$. If $i \in [n]$ and $j \in \{n+1, \dots, n+m\}$, then $i \leq_{\mathcal{T}_1 \succ \mathcal{T}_2} j$, so $f(i) \leq f(j)$. Hence, $\max(f_1) \leq \min(f_2)$. We then define:

$$\begin{aligned}
A_{<} &= \{f \in \text{Lin}_{\text{Std}}(\mathcal{T}_1 \succ \mathcal{T}_2) \mid \max(f_1) < \min(f_2)\}, \\
A_{=} &= \{f \in \text{Lin}_{\text{Std}}(\mathcal{T}_1 \succ \mathcal{T}_2) \mid \max(f_1) = \min(f_2)\}.
\end{aligned}$$

We deduce from the preceding remark that $\text{Lin}_{\text{Std}}(\mathcal{T}_1 \succ \mathcal{T}_2) = A_{<} \sqcup A_{=}$. Let us consider the maps $F_{<} : \text{Lin}_{\text{Std}}(\mathcal{T}_1) \times \text{Lin}_{\text{Std}}(\mathcal{T}_2) \longrightarrow A_{<}$ and $F_{=} :$

$Lin_{Std}(\mathcal{T}_1) \times Lin_{Std}(\mathcal{T}_2) \longrightarrow A_=$ defined by:

$$F_{<}(f_1, f_2) : \begin{cases} [n+m] & \longrightarrow \mathbb{N}_{>0} \\ i & \longrightarrow \begin{cases} f_1(i) & \text{if } i \leq n, \\ f_2(i-n) + \max(f_1) & \text{if } i > n; \end{cases} \end{cases}$$

$$F_{=}(f_1, f_2) : \begin{cases} [n+m] & \longrightarrow \mathbb{N}_{>0} \\ i & \longrightarrow \begin{cases} f_1(i) & \text{if } i \leq n, \\ f_2(i-n) + \max(f_1) - 1 & \text{if } i > n. \end{cases} \end{cases}$$

Both are clearly bijections. Moreover, if $(f_1, f_2) \in Lin_{Std}(\mathcal{T}_1) \times Lin_{Std}(\mathcal{T}_2)$:

- $\alpha(F_{<}(f_1, f_2)) = \alpha(f_1) + \alpha(f_2)$ and:

$$\alpha(F_{=}(f_1, f_2)) = \alpha(f_1) + \alpha(f_2) + |f_1^{-1}(\max(f_1))||f_2^{-1}(\min(f_2))|,$$

the last term corresponding to the pairs $(i, j) \in [n] \times \{n+1, \dots, n+m\}$, with $f_1(i) = \max(f_1)$ and $f_2(j-n) = \min(f_2)$, as for such a pair (i, j) , $f(i) = f(j)$ and $i <_{\mathcal{T}_1 \succ \mathcal{T}_2} j$.

- If $P(f_1) = (a_1, \dots, a_k)$ and $P(f_2) = (b_1, \dots, b_l)$, then $P(F_{<}(f_1, f_2)) = (a_1, \dots, a_k, b_1, \dots, b_l)$ and $P(F_{=}(f_1, f_2)) = (a_1, \dots, a_k + b_1, \dots, b_l)$, so:

$$M_{P(F_{<}(f_1, f_2))} + q^{a_k b_1} M_{P(F_{=}(f_1, f_2))} = M_{P(f_1)} \succ_q M_{P(f_2)}.$$

This gives:

$$\begin{aligned} \phi_q(\overline{\mathcal{T}}_1 \succ \overline{\mathcal{T}}_2) &= \sum_{f \in A_{<}} q^{\alpha(f)} M_{P(f)} + \sum_{f \in A_{=}} q^{\alpha(f)} M_{P(f)} \\ &= \sum_{(f_1, f_2) \in Lin_{Std}(\mathcal{T}_1) \times Lin_{Std}(\mathcal{T}_2)} q^{\alpha(f_1) + \alpha(f_2)} M_{P(f_1)} \succ_q M_{P(f_2)} \\ &= \phi_q(\overline{\mathcal{T}}_1) \succ_q \phi_q(\overline{\mathcal{T}}_2). \end{aligned}$$

As ϕ_q is surjective and $(\mathcal{F}, \succ, \Delta)$ is an infinitesimal bialgebra, we obtain that $(\mathbf{QSym}, \succ_q, \Delta)$ is also an infinitesimal bialgebra. \square

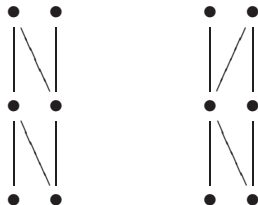
Remark. Theorem 4.1 of [1] gives an interpretation of the pair $(\mathbf{QSym}, \zeta_{\mathbf{QSym}})$ as a final object in the category of graded, connected Hopf algebras together with a character, where $\zeta_{\mathbf{QSym}}$ is the character of \mathbf{QSym} defined by $\zeta_{\mathbf{QSym}}(M_{(a_1, \dots, a_k)}) = \delta_{k,1}$ for all composition (a_1, \dots, a_k) of length $k \geq 1$. With this formalism, ϕ_q is the Hopf algebra morphism in this category associated to the character $\zeta_q = \zeta_{\mathbf{QSym}} \circ \phi_q$ of \mathcal{F} . For any finite space $\overline{\mathcal{T}}$, of degree n ,

$$\zeta_q(\overline{\mathcal{T}}) = q^{\alpha((n))} = q^{|\{(i,j) \in E(\mathcal{T}) | i <_{\tau} j\}|}.$$

In particular, $\zeta_1(\overline{\mathcal{T}}) = 1$ for all $\overline{\mathcal{T}}$; and for $q = 0$,

$$\zeta_0(\overline{\mathcal{T}}) = \begin{cases} 1 & \text{if } \mathcal{T} = \bullet_{a_1} \dots \bullet_{a_k} \text{ for a certain } (a_1, \dots, a_k), \\ 0 & \text{otherwise.} \end{cases}$$

Remark. The map ϕ_q is not injective. For example, if $\overline{\mathcal{T}}$ and $\overline{\mathcal{T}'}$ are the following two finite spaces:



then $\overline{\mathcal{T}} \neq \overline{\mathcal{T}'}$ but $\phi_q(\overline{\mathcal{T}}) = \phi_q(\overline{\mathcal{T}'})$ (this is a linear span of 204 terms). However, it is possible to prove that if \mathcal{T} and \mathcal{T}' are two topologies on the same set E , they are equal if, and only if, $Lin(\mathcal{T}) = Lin(\mathcal{T}')$.

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