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# Functional identities for $L$ -series values in positive characteristic <sup>\*</sup> <sup>†</sup>

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**Abstract.** In this paper we show the existence of functional relations for a class of  $L$ -series introduced by the second author in [13]. Our results will be applied to obtain a new class of congruences for Bernoulli-Carlitz fractions, and an analytic conjecture is stated, implying an interesting behavior of such fractions modulo prime ideals of  $\mathbb{F}_q[\theta]$ .

## 1 Introduction, results

Let  $\mathbb{F}_q$  be a finite field having  $q$  elements, let  $\theta$  be an indeterminate over  $\mathbb{F}_q$ ,  $A = \mathbb{F}_q[\theta]$  and  $K = \mathbb{F}_q(\theta)$ . In all the following, we denote by  $v_\infty$  the  $\theta^{-1}$ -adic valuation normalized by setting  $v_\infty(\theta) = -1$ . Let  $K_\infty$  be the completion of  $K$  for  $v_\infty$ , and let us consider the completion  $\mathbb{C}_\infty$  of an algebraic closure of  $K_\infty$  for the unique extension of this valuation, in which we embed an algebraic closure  $K^{\text{alg}}$  of  $K$ . Carlitz's exponential function is the surjective,  $\mathbb{F}_q$ -linear, rigid analytic entire function

$$\exp_C : \mathbb{C}_\infty \rightarrow \mathbb{C}_\infty$$

defined by

$$\exp_C(z) = \sum_{n \geq 0} \frac{z^{q^n}}{d_n},$$

where

$$d_0 = 1, \quad d_n = (\theta^{q^n} - \theta)(\theta^{q^n} - \theta^q) \cdots (\theta^{q^n} - \theta^{q^{n-1}}), \quad n > 0.$$

The kernel of this function, surjective, is equal to  $\tilde{\pi}A$ , where the period  $\tilde{\pi}$ , unique up to multiplication by an element of  $\mathbb{F}_q^\times$ , can be computed by using the following product expansion

$$\tilde{\pi} := \theta(-\theta)^{\frac{1}{q-1}} \prod_{i=1}^{\infty} (1 - \theta^{1-q^i})^{-1} \in (-\theta)^{\frac{1}{q-1}} K_\infty, \quad (1)$$

once a  $(q-1)$ -th root of  $-\theta$  is chosen.

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*Anderson-Thakur function.* This function, introduced in [2, Proof of Lemma 2.5.4 p. 177], is defined by the infinite product

$$\omega(t) = (-\theta)^{\frac{1}{q-1}} \prod_{i \geq 0} \left(1 - \frac{t}{\theta^{q^i}}\right)^{-1}, \quad (2)$$

with the same choice of the  $(q-1)$ -th root as in (1), converges for  $t \in \mathbb{C}_\infty$  such that  $|t| \leq 1$  (where  $|\cdot|$  is an absolute value associated to  $v_\infty$ ) and can be extended to a non-zero rigid analytic function over

$$\mathbb{C}_\infty \setminus \{\theta^{q^k}; k \geq 0\}$$

with simple poles at  $\theta^{q^k}$ ,  $k \geq 0$ .

Let  $t$  be a variable in  $\mathbb{C}_\infty$  and let us consider the  $\mathbb{F}_q$ -algebra homomorphism

$$\chi_t : A \rightarrow \mathbb{F}_q[t]$$

defined by formal replacement of  $\theta$  by  $t$ . In other words,  $\chi_t$  may be viewed as the unique  $\mathbb{F}_q$ -algebra homomorphism from  $A$  to the ring of rigid analytic functions  $\mathbb{C}_\infty \rightarrow \mathbb{C}_\infty$  such that  $\chi_t(\theta) = t$ . More generally, we shall consider  $s$  independent variables and the  $\mathbb{F}_q$ -algebra homomorphisms

$$\chi_{t_i} : A \rightarrow \mathbb{F}_q[t_1, \dots, t_s], \quad i = 1, \dots, s$$

defined respectively by  $\chi_{t_i}(\theta) = t_i$ . To simplify our notations, we will write  $\chi_\xi(a)$  for the evaluation at  $t = \xi$  of the polynomial function  $\chi_t(a)$  at a given element  $\xi \in \mathbb{C}_\infty$ . Let  $\alpha$  be a positive integer and let  $\beta_1, \dots, \beta_s$  be non-negative integers. The following formal series was introduced in [13]:

$$L(\chi_{t_1}^{\beta_1} \cdots \chi_{t_s}^{\beta_s}, \alpha) = \sum_{d \geq 0} \sum_{a \in A^+(d)} \chi_{t_1}(a)^{\beta_1} \cdots \chi_{t_s}(a)^{\beta_s} a^{-\alpha} \in K_\infty[[t_1, \dots, t_s]]. \quad (3)$$

Here and in all the following,  $A^+(d)$  denotes the set of monic polynomials of  $A$  of degree  $d$ . It is easy to see that this series is well defined. As claimed in [13, Remark 7], this series converges for all  $(t_1, \dots, t_s) \in \mathbb{C}_\infty^s$  to a rigid analytic entire function of  $s$  variables  $t_1, \dots, t_s$ ; see Proposition 6.

The residue of  $\omega(t)$  at  $t = \theta$  is  $-\tilde{\pi}$ :

$$\tilde{\pi} = -\lim_{t \rightarrow \theta} (t - \theta)\omega(t).$$

In [13, Theorem 1], it is proved that

$$L(\chi_t, 1) = \frac{\tilde{\pi}}{(\theta - t)\omega(t)}.$$

Taking into account the functional equation

$$\omega(t)^q = (t^q - \theta)\omega(t^q)$$

apparent in (2), this implies that, for  $m \geq 0$  integer,

$$V_{q^m, 1}(t) := \tilde{\pi}^{-q^m} \omega(t) L(\chi_t, q^m) = \frac{1}{(\theta^{q^m} - t)(\theta^{q^{m-1}} - t) \cdots (\theta - t)}.$$

This result provided an awaited connection between the function  $\omega$  of Anderson and Thakur and the “even” values of the *Goss zeta function* (or *Carlitz zeta values*)

$$\zeta(n) = \frac{BC_n \tilde{\pi}^n}{\Pi(n)}, \quad n > 0, \quad n \equiv 0 \pmod{q-1}$$

where  $BC_n$  and  $\Pi(n)$  denote respectively the  $n$ -th Bernoulli-Carlitz fraction and Carlitz’s factorial of  $n$ , see Goss’ book [11, Section 9.1]. Indeed, evaluating at  $t = \theta$ , we get

$$L(\chi_\theta, q^m) = \zeta(q^m - 1), \quad m \geq 1.$$

More generally, it is proved in [13, Theorem 2] that, if  $\alpha \equiv 1 \pmod{q-1}$  and  $\alpha \geq 1$ , then

$$\mu_\alpha = \tilde{\pi}^{-\alpha} L(\chi_t, \alpha) \omega(t)$$

is a rational function in  $\mathbb{F}_q(\theta, t)$ . In [13], it is suggested that this result could be a source of information in the study of the arithmetic properties of the Bernoulli-Carlitz fractions. However, the methods of loc. cit. (based on *deformations of vectorial modular forms* and Galois descent) are only partially explicit.

More recently, Perkins [14] investigated the properties of certain *special polynomials* associated to variants of the functions  $L(\chi_t^\beta, \alpha)$  with  $\alpha \leq 0$  which turn out to be polynomial. He notably studied the growth of their degrees. Moreover, by using *Wagner’s interpolation theory* for the map  $\chi_t$ , Perkins [15] obtained explicit formulas for the series

$$L(\chi_{t_1} \cdots \chi_{t_s}, \alpha), \quad \alpha > 0, \quad 0 \leq s \leq q, \quad \alpha \equiv s \pmod{q-1}.$$

We quote here a particular case of Perkins’ formulas for the functions  $L(\chi_t, \alpha)$  with  $\alpha \equiv 1 \pmod{q-1}$

$$L(\chi_t, \alpha) = \sum_{j=0}^{\mu} d_j^{-1} (t - \theta)(t - \theta^q) \cdots (t - \theta^{q^{j-1}}) \zeta(\alpha - q^j) L(\chi_t, q^j), \quad (4)$$

where  $\mu$  is the biggest integer such that  $q^\mu \leq \alpha$ . It seems difficult to overcome the threshold  $s \leq q$  giving at once expressions for  $L(\chi_{t_1} \cdots \chi_{t_s}, \alpha)$  with the effectiveness of Perkins’ results.

In the next Theorem, we extend the previous results beyond the mentioned threshold, providing at once new quantitative information. Let  $n$  be a non-negative integer, let us consider the expansion  $n = \sum_{i=0}^r n_i q^i$  in base  $q$ , where  $n_i \in \{0, \dots, q-1\}$ . We denote by  $\ell_q(n)$  the sum of the digits  $n_i$  of this expansion:  $\ell_q(n) = \sum_{i=0}^r n_i$ . We have:

**Theorem 1** *Let  $\alpha, s$  be positive integers, such that  $\alpha \equiv s \pmod{q-1}$ . Let  $\delta$  be the smallest non-negative integer such that, simultaneously,  $q^\delta - \alpha \geq 0$  and  $s + \ell_q(q^\delta - \alpha) \geq 2$ . The formal series:*

$$V_{\alpha, s}(t_1, \dots, t_s) = \tilde{\pi}^{-\alpha} L(\chi_{t_1} \cdots \chi_{t_s}, \alpha) \omega(t_1) \cdots \omega(t_s) \prod_{i=1}^s \prod_{j=0}^{\delta-1} \left(1 - \frac{t_i}{\theta q^j}\right) \in K_\infty[[t_1, \dots, t_s]] \quad (5)$$

is in fact a symmetric polynomial of  $K[t_1, \dots, t_s]$  of total degree  $\delta(\alpha, s)$  such that

$$\delta(\alpha, s) \leq s \left( \frac{s + \ell_q(q^\delta - \alpha)}{q-1} \right) - s.$$

This statement holds if  $\alpha = q^m$  and  $s \geq 2$  (so that  $\delta = m$ ) assuming that empty products are equal to one by convention. In this case, since  $s \equiv \alpha \pmod{q-1}$ , we have  $s + \ell_q(q^\delta - \alpha) \equiv 0 \pmod{q-1}$  so that in fact,  $s \geq \max\{2, q-1\}$ . The reader may have noticed that the choice  $\alpha = q^m$  and  $s = 1$  is not allowed in Theorem 1. However, as mentioned above, the computation of  $V_{q^m, 1}$  is completely settled in [13]. This discrimination of the case  $\alpha = q^m, s = 1$  should not be surprising neither; similarly, the Goss zeta function associated to  $A$  has value 1 at zero, but vanishes at all negative integers divisible by  $q-1$ .

In Section 3, we will be more specifically concerned with Bernoulli-Carlitz numbers. A careful investigation of the polynomials  $V_{1,s}$  and an application of the digit principle ([8]) to the function  $\omega$  will allow us to show that, for  $s \geq 2$  congruent to one modulo  $q-1$ ,

$$\mathbb{B}_s = \Pi(s)^{-1} V_{1,s}(\theta, \dots, \theta)$$

is a polynomial of  $\mathbb{F}_q[\theta]$  (Proposition 24) <sup>(1)</sup>. We don't know whether  $\mathbb{B}_s$  vanishes or not for general  $s$ . In all the following, a *prime* is by definition a monic irreducible polynomial in  $A$ . We shall then show the next Theorem, which highlights the interest of these polynomials in  $\theta$ .

**Theorem 2** *Let  $s \geq 2$ ,  $s \equiv 1 \pmod{q-1}$ . Let us consider the expansion  $s = \sum_{i=0}^r s_i q^i$  of  $s$  in base  $q$ . Let  $d$  be an integer such that  $q^d > s$  and let  $\mathfrak{p}$  be a prime of degree  $d$ . Then:*

$$\mathbb{B}_s \equiv \frac{(-1)^s BC_{q^d-s} \prod_{i=0}^r l_{d-i-1}^{s_i q^i}}{\Pi(q^d - s)} \pmod{\mathfrak{p}}.$$

In this result,  $l_d$  denotes the polynomial  $(-1)^d \prod_{i=1}^d (\theta^{q^i} - \theta)$ ; we observe that the latter polynomial is invertible modulo  $\mathfrak{p}$  just as  $\Pi(q^d - s)$ . The non-vanishing of  $\mathbb{B}_s$  for fixed  $s$  signifies the existence of an explicit constant  $c > 0$ , depending on  $s$  and  $q$ , such that for all  $d \geq c$ ,

$$BC_{q^d-s} \not\equiv 0 \pmod{\mathfrak{p}}, \quad \text{for all } \mathfrak{p} \text{ such that } \deg \mathfrak{p} = d. \quad (6)$$

However, the non-vanishing of  $\mathbb{B}_s$  is also equivalent to the fact that the function

$$L(\chi_{t_1} \cdots \chi_{t_s}, 1) \prod_{i=1}^s (t_i - \theta)^{-1},$$

entire of  $s$  variables as we will see, is a unit when identified to an element of  $\mathbb{C}_\infty[[t_1 - \theta, \dots, t_s - \theta]]$ ; we presently do not know how to prove this property for all  $s$ . Therefore, the property (6) is linked with the following conjecture of nature analogue of classical results on the simplicity of the zeroes of Goss zeta functions and  $L$ -series, which should be, we believe, true.

**Conjecture 3** *Let  $s \geq 2$  be congruent to one modulo  $q-1$ . Then, locally at  $t_1 = \dots = t_s = \theta$ , the set of the zeroes of the function  $L(\chi_{t_1} \cdots \chi_{t_s}, 1)$  is equal to the set of zeroes of the polynomial  $\prod_i (t_i - \theta)$ .*

Numerical computations on Bernoulli-Carlitz fractions made by Taelman provide some evidence to support this hypothesis. The Conjecture follows from Perkins results [15] in the case  $s \leq q$  and  $\alpha = s$ . The conjecture is also verified if  $\ell_q(s) = q$  and  $\alpha = 1$ , thanks to Corollary 26.

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<sup>1</sup>Note that  $\mathbb{B}_1$  is not well defined

## 2 Functional identities for $L$ -series

Let  $d, s$  be non-negative integers. We begin with the study of the vanishing of the sums

$$S_{d,s} = S_{d,s}(t_1, \dots, t_s) = \sum_{a \in A^+(d)} \chi_{t_1}(a) \cdots \chi_{t_s}(a) \in \mathbb{F}_q[t_1, \dots, t_s],$$

which are symmetric polynomials in  $t_1, \dots, t_s$  of total degree  $\leq ds$ , with the standard conventions on empty products. We recall that, for  $n \geq 0$ ,

$$\sum_{a \in \mathbb{F}_q} a^n$$

equals  $-1$  if  $n \equiv 0 \pmod{q-1}$  and  $n \geq 1$ , and equals  $0$  otherwise. We owe the next Lemma to D. Simon [7]. We give the proof here for the sake of completeness.

**Lemma 4 (Simon's Lemma)** *We have  $S_{d,s} \neq 0$  if and only if  $d(q-1) \leq s$ .*

*Proof.* Since

$$S_{d,s} = \sum_{a_0 \in \mathbb{F}_q} \cdots \sum_{a_{d-1} \in \mathbb{F}_q} \prod_{i=1}^s (a_0 + a_1 t_i + \cdots + a_{d-1} t_i^{d-1} + t_i^d),$$

the coefficient  $c_{v_1, \dots, v_s}$  of  $t_1^{v_1} \cdots t_s^{v_s}$  with  $v_i \leq ds$  ( $i = 1, \dots, s$ ) is given by the sum:

$$\sum_{a_0 \in \mathbb{F}_q} \cdots \sum_{a_{d-1} \in \mathbb{F}_q} a_{v_1} \cdots a_{v_s}.$$

The last sum can be rewritten as:

$$c_{v_1, \dots, v_s} = \left( \sum_{a_0 \in \mathbb{F}_q} a_0^{\mu_0} \right) \cdots \left( \sum_{a_{d-1} \in \mathbb{F}_q} a_{d-1}^{\mu_{d-1}} \right), \quad (7)$$

where  $\mu_i$  is the cardinality of the set of the indices  $j$  such that  $v_j = i$ , from which one notices that

$$\sum_{i=0}^{d-1} \mu_i \leq s$$

(notice also that  $s - \sum_i \mu_i$  is the cardinality of the set of indices  $j$  such that  $v_j = d$ ). For any choice of  $\mu_0, \dots, \mu_{d-1}$  such that  $\sum_i \mu_i \leq s$ , there exists  $(v_1, \dots, v_s)$  such that (7) holds.

If  $s < d(q-1)$ , for all  $(v_1, \dots, v_s)$  as above, there exists  $i$  such that, in (7),  $\mu_i < q-1$  so that  $S_{d,s} = 0$ . On the other hand, if  $s \geq d(q-1)$ , it is certainly possible to find  $(v_1, \dots, v_s)$  such that, in (7),  $\mu_0 = \cdots = \mu_{d-1} = q-1$  so that the sum does not vanish in this case.  $\square$

As an immediate corollary of Lemma 4, we see that the series

$$F_s = F_s(t_1, \dots, t_s) = \sum_{d \geq 0} S_{d,s} = \sum_{d \geq 0} \sum_{a \in A^+(d)} \chi_{t_1}(a) \cdots \chi_{t_s}(a)$$

defines a symmetric polynomial of  $\mathbb{F}_q[t_1, \dots, t_s]$  of total degree at most  $\frac{s^2}{q-1}$ . In the next Lemma, we provide a necessary and sufficient condition for the vanishing of the polynomial  $F_s$ .

**Lemma 5** *If  $s \geq 1$ , then,  $F_s = 0$  if and only if  $s \equiv 0 \pmod{q-1}$ .*

*Proof.* We will use several times the following elementary observation : let  $G \in \mathbb{C}_\infty[t_1, \dots, t_s]$  and let  $S_1, \dots, S_s \subset \mathbb{F}_q^{\text{alg}}$  ( $\mathbb{F}_q^{\text{alg}}$  denotes the algebraic closure of  $\mathbb{F}_q$ , embedded in  $\mathbb{C}_\infty$ ) be infinite sets such that  $G$  vanishes on  $S_1 \times \dots \times S_s$ . Then  $G = 0$ .

Let us assume first that  $s \equiv 0 \pmod{q-1}$ . The hypothesis on  $s$  implies that

$$\sum_{a \in A, \deg_\theta(a)=d} \chi_{t_1}(a) \cdots \chi_{t_s}(a) = -S_{d,s}.$$

We denote by  $A(\leq d)$  the set of polynomials of  $A$  of degree  $\leq d$  and we write

$$G_{d,s} = \sum_{a \in A(\leq d)} \chi_{t_1}(a) \cdots \chi_{t_s}(a).$$

We then have:

$$G_{\frac{s}{q-1}, s} = -F_s.$$

Let us choose now distinct primes  $\mathfrak{p}_1, \dots, \mathfrak{p}_s$  of respective degrees  $d_1, \dots, d_s \geq s/(q-1)$  and  $\mathfrak{f} = \mathfrak{p}_1 \cdots \mathfrak{p}_s$ . For all  $i = 1, \dots, s$ , we choose a root  $\zeta_i \in \mathbb{F}_q^{\text{alg}}$  of  $\mathfrak{p}_i$ . Let us then consider the Dirichlet character of the first kind  $\chi = \chi_{\zeta_1} \cdots \chi_{\zeta_s}$ . We have:

$$\begin{aligned} F_s(\zeta_1, \dots, \zeta_s) &= -G_{\frac{s}{q-1}, s}(\zeta_1, \dots, \zeta_s) \\ &= - \sum_{a \in A(\leq s/(q-1))} \chi(a) \\ &= - \sum_{a \in A(\leq d_1 + \dots + d_s)} \chi(a) \\ &= - \sum_{a \in (A/\mathfrak{f}A)^\times} \chi(a) \\ &= 0, \end{aligned}$$

by [16, Proposition 15.3]. By the observation at the beginning of the proof, this implies the vanishing of  $F_s$ . On the other hand, if  $s \not\equiv 0 \pmod{q-1}$ , then  $F_s(\theta, \dots, \theta) = \zeta(-s)$  the  $s$ -th Goss' zeta value which is non zero, see [10, Remark 8.13.8 1]. □

## 2.1 Analyticity

The functions  $L(\chi_{t_1} \cdots \chi_{t_s}, \alpha)$  are in fact rigid analytic entire functions of  $s$  variables. This property, mentioned in [13], can be deduced from the more general Proposition 6 that we give here for convenience of the reader.

Let  $a$  be a monic polynomial of  $A$ . we set:

$$\langle a \rangle = \frac{a}{\theta^{\deg_\theta(a)}} \in 1 + \theta^{-1}\mathbb{F}_q[[\theta^{-1}]].$$

Let  $y \in \mathbb{Z}_p$ , where  $p$  is the prime dividing  $q$ . Since  $\langle a \rangle$  is a 1-unit of  $K_\infty$ , we can consider its exponentiation by  $y$ :

$$\langle a \rangle^y = \sum_{j \geq 0} \binom{y}{j} (\langle a \rangle - 1)^j \in \mathbb{F}_q[[\theta^{-1}]].$$

Here, the binomial  $\binom{y}{j}$  is defined, for  $j$  a positive integer, by extending Lucas formula. Writing the  $p$ -adic expansion  $\sum_{i \geq 0} y_i p^i$  of  $y$  ( $y_i \in \{0, \dots, p-1\}$ ) and the  $p$ -adic expansion  $\sum_{i=0}^r j_i p^i$  of  $j$  ( $j_i \in \{0, \dots, p-1\}$ ), we are explicitly setting:

$$\binom{y}{j} = \prod_{i=0}^r \binom{y_i}{j_i}.$$

Note that, for  $a \in A_+$ , we have a continuous function:  $\mathbb{Z}_p \rightarrow K_\infty, y \mapsto \langle a \rangle^y$ . We also recall, from [11, Chapter 8], the topological group  $\mathbb{S}_\infty = \mathbb{C}_\infty^\times \times \mathbb{Z}_p$ . For  $(x, y) \in \mathbb{S}_\infty$  and  $d, s$  non-negative integers, we define the sum

$$S_{d,s}(x, y) = S_{d,s}(x, y)(t_1, \dots, t_s) = x^{-d} \sum_{a \in A^+(d)} \chi_{t_1}(a) \cdots \chi_{t_s}(a) \langle a \rangle^y \in x^{-d} K_\infty[t_1, \dots, t_s],$$

which is, for all  $x, y$ , a symmetric polynomial of total degree  $\leq ds$ .

Let us further define, more generally, for variables  $t_1, \dots, t_s \in \mathbb{C}_\infty$  and  $(x, y) \in \mathbb{S}_\infty$ , the series:

$$L(\chi_{t_1} \cdots \chi_{t_s}; x, y) = \sum_{d \geq 0} S_{d,s}(x, y)(t_1, \dots, t_s).$$

For fixed choices of  $(x, y) \in \mathbb{S}_\infty$ , it is easy to show that

$$L(\chi_{t_1} \cdots \chi_{t_s}; x, y) \in \mathbb{C}_\infty[[t_1, \dots, t_s]],$$

and with a little additional work, one also verifies that this series defines an element of the standard Tate  $\mathbb{C}_\infty$ -algebra  $\mathbb{T}_{t_1, \dots, t_s}$  in the variables  $t_1, \dots, t_s$ . Of course, if  $(x, y) = (\theta^\alpha, -\alpha)$  with  $\alpha > 0$  integer, we find

$$L(\chi_{t_1} \cdots \chi_{t_s}; \theta^\alpha, -\alpha) = L(\chi_{t_1} \cdots \chi_{t_s}, \alpha).$$

The next Proposition holds, and improves results of Goss; see [12, Theorems 1, 2]).

**Proposition 6** *The series  $L(\chi_{t_1}, \dots, \chi_{t_s}; x, y)$  converges for all  $(t_1, \dots, t_s) \in \mathbb{C}_\infty^s$  and for all  $(x, y) \in \mathbb{S}_\infty$ , to an entire function on  $\mathbb{C}_\infty^s \times \mathbb{S}_\infty$  in the sense of Goss [11, Definition 8.5.1].*

The proof of this result is a simple consequence of the Lemma below. The norm  $\|\cdot\|$  used in the Lemma is the supremum norm of  $\mathbb{T}_{t_1, \dots, t_s}$ .

**Lemma 7** *Let  $(x, y)$  be in  $\mathbb{S}_\infty$  and let us consider an integer  $d > (s-1)/(q-1)$ , with  $s > 0$ . Then:*

$$\|S_{d,s}(x, y)\| \leq |x|^{-d} q^{-q^{\lceil d - \frac{s}{q-1} \rceil - 1}},$$

where for  $x \in \mathbb{R}$ ,  $[x]$  denotes the integer part of  $x$ .

*Proof.* Let us write the  $p$ -adic expansion  $y = \sum_{n \geq 0} c_n p^n$ , with  $c_n \in \{0, \dots, p-1\}$  for all  $n$ . Collecting blocks of  $e$  consecutive terms (where  $q = p^e$ ), this yields a “ $q$ -adic” expansion, from which we can extract partial sums:

$$y_n = \sum_{k=0}^{en-1} c_k p^k = \sum_{i=0}^n u_i q^i \in \mathbb{Z}_{\geq 0},$$



where

$$u_i = \sum_{j=ei}^{e(i+1)-1} c_j p^{j-ei} \in \{0, \dots, q-1\}.$$

In particular, for  $n \geq 0$ , we observe that  $\ell_q(y_n) \leq (n+1)(q-1)$ . Since

$$\begin{aligned} S_{d,s}(x, y_n) &= \frac{1}{x^d \theta^{dy_n}} \sum_{a \in A^+(d)} \chi_{t_1}(a) \cdots \chi_{t_s}(a) a^{y_n} \\ &= \frac{1}{x^d \theta^{dy_n}} S_{d,r}(t_1, \dots, t_s, \underbrace{\theta, \dots, \theta}_{u_0 \text{ times}}, \underbrace{\theta^q, \dots, \theta^q}_{u_1 \text{ times}}, \dots, \underbrace{\theta^{q^n}, \dots, \theta^{q^n}}_{u_n \text{ times}}) \end{aligned}$$

with  $r = s + \ell_q(y_n)$ , if  $d(q-1) > s + \ell_q(y_n)$ , we have by Simon's Lemma 4:

$$S_{d,s}(x, y_n) = 0.$$

This condition is ensured if  $d(q-1) > s + (n+1)(q-1)$ .

Now, we claim that

$$\|S_{d,s}(x, y) - S_{d,s}(x, y_n)\| \leq |x|^{-d} q^{-q^{n+1}}.$$

Indeed,

$$S_{d,s}(x, y) - S_{d,s}(x, y_n) = x^{-d} \sum_{a \in A^+(d)} \chi_{t_1}(a) \cdots \chi_{t_s}(a) \sum_{j \geq 0} \left( \binom{y}{j} - \binom{y_n}{j} \right) (\langle a \rangle - 1)^j,$$

and  $\binom{y}{j} = \binom{y_n}{j}$  for  $j = 0, \dots, q^{n+1} - 1$  by Lucas' formula and the definition of the binomial, so that

$$\left| \sum_{j \geq 0} \left( \binom{y}{j} - \binom{y_n}{j} \right) (\langle a \rangle - 1)^j \right| \leq q^{-q^{n+1}}.$$

The Lemma follows by choosing  $n+2 = \lfloor d - \frac{s}{q-1} \rfloor$ . □

In particular, we have the following Corollary to Proposition 6 which generalizes [12, Theorem 1], the deduction of which, easy, is left to the reader.

**Corollary 8** *For any choice of an integer  $\alpha > 0$  and non-negative integers  $M_1, \dots, M_s$ , the function*

$$L(\chi_{t_1}^{M_1} \cdots \chi_{t_s}^{M_s}, \alpha) = \sum_{d \geq 0} \sum_{a \in A^+(d)} \chi_{t_1}(a)^{M_1} \cdots \chi_{t_s}(a)^{M_s} a^{-\alpha}$$

*defines a rigid analytic entire function  $\mathbb{C}_\infty^s \rightarrow \mathbb{C}_\infty$ .*

## 2.2 Computation of polynomials with coefficients in $K_\infty$

**Lemma 9** *For all  $d \geq 0$ , we have:*

$$S_d(-\alpha) = \sum_{a \in A^+(d)} a^{-\alpha} \neq 0.$$

*Proof.* This follows from [11, proof of Lemma 8.24.13].  $\square$

We introduce, for  $d, s, \alpha$  non-negative integers, the sum:

$$S_{d,s}(-\alpha) = \sum_{a \in A^+(d)} \chi_{t_1}(s) \cdots \chi_{t_s}(a) a^{-\alpha} \in K[t_1, \dots, t_s],$$

representing a symmetric polynomial of  $K[t_1, \dots, t_s]$  of exact total degree  $ds$  by Lemma 9. We have, with the notations of Section 2.1:

$$S_{d,s}(-\alpha) = S_{d,s}(\theta^\alpha, -\alpha).$$

From the above results, we deduce the following Proposition.

**Proposition 10** *Let  $\alpha$  be a fixed positive integer. Let  $l \geq 0$  be an integer such that  $q^l - \alpha \geq 0$  and  $\ell_q(q^l - \alpha) + s \geq 2$ . If  $\ell_q(q^l - \alpha) + s \leq d(q-1)$ , then:*

$$S_{d,s}(-\alpha) \equiv 0 \pmod{\prod_{j=1}^s (t_j - \theta^{q^l})}.$$

Furthermore, let us assume that  $s \equiv \alpha \pmod{q-1}$ . With  $l$  as above, let  $k$  be an integer such that  $k(q-1) \geq \ell_q(q^l - \alpha) + s$ . Then:

$$\sum_{d=0}^k S_{d,s}(-\alpha) \equiv 0 \pmod{\prod_{j=1}^s (t_j - \theta^{q^l})}.$$

*Proof.* Let us write  $m = \ell_q(q^l - \alpha)$ . We have  $s-1+m < d(q-1)$  so that, by Simon's Lemma 4,  $S_{d,s-1+m} = 0$ . Now, let us write the  $q$ -ary expansion  $q^l - \alpha = n_0 + n_1q + \cdots + n_rq^r$  with  $n_i \in \{0, \dots, q-1\}$  and let us observe that, since  $q^l - \alpha \geq 0$ ,

$$\begin{aligned} S_{d,s}(-\alpha)(t_1, \dots, t_{s-1}, \theta^{q^l}) &= \sum_{a \in A^+(d)} \chi_{t_1}(a) \cdots \chi_{t_{s-1}}(a) a^{q^l - \alpha} \\ &= \sum_{a \in A^+(d)} \chi_{t_1}(a) \cdots \chi_{t_{s-1}}(a) \chi_\theta(a)^{n_0} \chi_{\theta^q}(a)^{n_1} \cdots \chi_{\theta^{q^r}}(a)^{n_r} \\ &= S_{d,s-1+m}(t_1, \dots, t_{s-1}, \underbrace{\theta, \dots, \theta}_{n_0 \text{ times}}, \underbrace{\theta^q, \dots, \theta^q}_{n_1 \text{ times}}, \dots, \underbrace{\theta^{q^r}, \dots, \theta^{q^r}}_{n_r \text{ times}}) \\ &= 0. \end{aligned}$$

Therefore  $t_s - \theta^{q^l}$  divides  $S_{d,s}(-\alpha)$ . The first part of the Proposition follows from the fact that this polynomial is symmetric. For the second part, we notice by the first part, that the condition on  $k$  is sufficient for the sum  $S_{d,s}(-\alpha)(t_1, \dots, t_s)$  to be congruent to zero modulo  $\prod_{i=1}^s (t_i - \theta^{q^l})$  for all  $d \geq k$ . It remains to apply Lemma 5 to conclude the proof.  $\square$

**Proposition 11** *Let  $s, \alpha \geq 1$ ,  $s \equiv \alpha \pmod{q-1}$ . Let  $\delta$  be the smallest non-negative integer such that  $q^\delta \geq \alpha$  and  $s + \ell_q(q^\delta - \alpha) \geq 2$ . Then, the function of Theorem 1*

$$V_{\alpha,s}(t_1, \dots, t_s) = L(\chi_{t_1} \cdots \chi_{t_s}, \alpha) \omega(t_1) \cdots \omega(t_s) \tilde{\pi}^{-\alpha} \left( \prod_{i=1}^s \prod_{j=0}^{\delta-1} \left( 1 - \frac{t_i}{\theta^{q^j}} \right) \right)$$

is in fact a symmetric polynomial of  $K_\infty[t_1, \dots, t_s]$ . Moreover, its total degree  $\delta(\alpha, s)$  is not bigger than  $s \left( \frac{s + \ell_q(q^\delta - \alpha)}{q-1} \right) - s$ .

*Proof.* Let  $\delta$  be the smallest non-negative integer such that  $q^\delta - \alpha \geq 0$  and  $s + \ell_q(q^\delta - \alpha) \geq 2$ . We fix an integer  $k$  such that

$$k(q-1) \geq s + \ell_q(q^\delta - \alpha). \quad (8)$$

We also set:

$$N(k) = \delta + k - \frac{s + \ell_q(q^\delta - \alpha)}{q-1}.$$

Obviously,  $N(k) \geq \delta$ . Let  $l$  be an integer such that

$$\delta \leq l \leq N(k).$$

We claim that we also have

$$k(q-1) \geq s + \ell_q(q^l - \alpha).$$

Indeed, let us write the  $q$ -ary expansion  $\alpha = \alpha_0 + \alpha_1 q + \dots + \alpha_m q^m$  with  $\alpha_m \neq 0$ . Then,  $\delta = m$  if  $\alpha = q^m$  and  $s \geq 2$  and  $\delta = m + 1$  otherwise. If  $l$  is now an integer  $l \geq \delta$ , we have

$$\begin{aligned} q^l - \alpha &= q^l - q^\delta + q^\delta - \alpha \\ &= q^\delta (q-1) \left( \sum_{i=0}^{l-\delta-1} q^i \right) + q^\delta - \alpha, \end{aligned}$$

where the sum over  $i$  is zero if  $l = \delta$ , and

$$\ell_q(q^l - \alpha) = (q-1)(l-\delta) + \ell_q(q^\delta - \alpha)$$

because there is no carry over in the above sum. Now, the claim follows from (8).

By Proposition 10 we have, with  $k$  as above, that the following expression

$$W_{k,s,\alpha} := \left( \prod_{i=1}^s \prod_{j=\delta}^{N(k)} \left( 1 - \frac{t_i}{\theta q^j} \right)^{-1} \right) \sum_{d=0}^k S_{d,s}(-\alpha)$$

is in fact a symmetric polynomial in  $K[t_1, \dots, t_s]$ . By Lemma 9,  $S_{d,s}(-\alpha) \in K[t_1, \dots, t_s]$  is a symmetric polynomial of total degree  $ds$ ; indeed, the coefficient of  $t_1^d \dots t_s^d$  is exactly  $S_d(-\alpha)$ . Hence, the total degree of  $\sum_{d=0}^k S_{d,s}(-\alpha)$  is exactly  $ks$ . The total degree of the product

$$\prod_{i=1}^s \prod_{j=\delta}^{N(k)} \left( 1 - \frac{t_i}{\theta q^j} \right)$$

is equal to  $s(1 + N(k) - \delta)$  so that, by the definition of  $N(k)$ :

$$\begin{aligned} \deg(W_{k,s,\alpha}) &= sk - s - sN(k) + s\delta \\ &= sk - sk - s\delta + s\delta - s + s \left( \frac{s + \ell_q(q^\delta - \alpha)}{q-1} \right) \\ &= s \left( \frac{s + \ell_q(q^\delta - \alpha)}{q-1} \right) - s, \end{aligned}$$

independent on  $k$ . We now let  $k$  tend to infinity. The Proposition follows directly from the definition (2) of  $\omega$  as an infinite product, the fact that, in (2),  $\tilde{\pi}\theta^{-1/(q-1)} \in K_\infty$ , and the definition of  $L(\chi_{t_1} \cdots \chi_{t_s}, \alpha)$ .  $\square$

### 2.3 Preliminaries on Gauss-Thakur sums

We review quickly the theory of Gauss-Thakur sums, introduced by Thakur in [17].

Let  $\mathfrak{p}$  be an irreducible monic polynomial of  $A$  of degree  $d$ , let  $\Delta_{\mathfrak{p}}$  be the Galois group of the  $\mathfrak{p}$ -cyclotomic function field extension  $K_{\mathfrak{p}} = K(\lambda_{\mathfrak{p}})$  of  $K$ , where  $\lambda_{\mathfrak{p}}$  is a non zero  $\mathfrak{p}$ -torsion element of  $K^{\text{alg}}$  (the algebraic closure of  $K$  in  $\mathbb{C}_\infty$ ). Gauss-Thakur sums can be associated to the elements of the dual character group  $\widehat{\Delta}_{\mathfrak{p}}$  via the Artin symbol (see [11, Sections 7.5.5 and 9.8]). If  $\chi$  is in  $\widehat{\Delta}_{\mathfrak{p}}$ , we denote by  $g(\chi)$  the associated Gauss-Thakur sum. In particular, we have the element  $\vartheta_{\mathfrak{p}} \in \widehat{\Delta}_{\mathfrak{p}}$  obtained by reduction of the *Teichmüller character* [11, Definition 8.11.2], uniquely determined by a choice of a root  $\zeta$  of  $\mathfrak{p}$ , and the Gauss-Thakur sums  $g(\vartheta_{\mathfrak{p}}^{q^j})$  associated to its  $q^j$ -th powers, with  $j = 0, \dots, d-1$ , which can be considered as the building blocks of the Gauss-Thakur sums  $g(\chi)$  for general  $\chi \in \widehat{\Delta}_{\mathfrak{p}}$ .

**Definition 12** With  $\mathfrak{p}$ ,  $d$ ,  $\vartheta_{\mathfrak{p}}$  as above, the *basic Gauss-Thakur sum*  $g(\vartheta_{\mathfrak{p}}^{q^j})$  associated to this data is the element of  $K^{\text{alg}}$  defined by:

$$g(\vartheta_{\mathfrak{p}}^{q^j}) = \sum_{\delta \in \Delta_{\mathfrak{p}}} \vartheta_{\mathfrak{p}}(\delta^{-1})^{q^j} \delta(\lambda_{\mathfrak{p}}) \in \mathbb{F}_{\mathfrak{p}}[\lambda_{\mathfrak{p}}].$$

The same sum is denoted by  $g_j$  in [11, 17]. The basic Gauss-Thakur sums are used to define *general Gauss-Thakur sums* associated to arbitrary elements of  $\widehat{\Delta}_{\mathfrak{p}}$ . For instance, if  $\chi = \chi_0$  is the trivial character, then  $g(\chi) = 1$ .

The group  $\widehat{\Delta}_{\mathfrak{p}}$  being isomorphic to  $\Delta_{\mathfrak{p}}$ , it is cyclic; it is in fact generated by  $\vartheta_{\mathfrak{p}}$ . Let  $\chi$  be an element of  $\widehat{\Delta}_{\mathfrak{p}}$ . There exists a unique integer  $i$  with  $0 < i < q^d$ , such that  $\chi = \vartheta_{\mathfrak{p}}^i$ . Let us expand  $i$  in base  $q$ , that is, let us write  $i = i_0 + i_1q + \cdots + i_{d-1}q^{d-1}$  with  $i_j \in \{0, \dots, d-1\}$ . Then,  $\chi = \prod_{j=0}^{d-1} (\vartheta_{\mathfrak{p}}^{q^j})^{i_j}$ .

**Definition 13** The *general Gauss-Thakur sum*  $g(\chi)$  associated to  $\chi \in \widehat{\Delta}_{\mathfrak{p}}$  as above, is defined by:

$$g(\chi) = \prod_{j=0}^{d-1} g(\vartheta_{\mathfrak{p}}^{q^j})^{i_j}.$$

More generally, let us now consider a non-constant monic polynomial  $\mathfrak{a} \in A$ . We denote by  $\widehat{\Delta}_{\mathfrak{a}}$  the dual character group  $\mathbf{Hom}(\Delta_{\mathfrak{a}}, (\mathbb{F}_q^{\text{alg}})^\times)$ , where  $\Delta_{\mathfrak{a}}$  is the Galois group of the extension  $K_{\mathfrak{a}}$  of  $K$  generated by the  $\mathfrak{a}$ -torsion of the Carlitz module.

If  $\chi$  is in  $\widehat{\Delta}_{\mathfrak{a}}$ , we set:  $\mathbb{F}_q(\chi) = \mathbb{F}_q(\chi(\delta); \delta \in \Delta_{\mathfrak{a}}) \subset \mathbb{F}_q^{\text{alg}}$ . We also write

$$\mathbb{F}_{\mathfrak{a}} = \mathbb{F}_q(\chi; \chi \in \widehat{\Delta}_{\mathfrak{a}})$$

and we recall that  $\mathbf{Gal}(K_{\mathfrak{a}}(\mathbb{F}_{\mathfrak{a}})/K(\mathbb{F}_{\mathfrak{a}})) \simeq \Delta_{\mathfrak{a}}$ . We observe that  $\widehat{\Delta}_{\mathfrak{a}}$  is isomorphic to  $\Delta_{\mathfrak{a}}$  if and only if  $\mathfrak{a}$  is squarefree. If  $\mathfrak{a} = \mathfrak{p}_1 \cdots \mathfrak{p}_n$  with  $\mathfrak{p}_1, \dots, \mathfrak{p}_n$  distinct primes, then

$$\widehat{\Delta}_{\mathfrak{a}} \simeq \prod_{i=1}^n \widehat{\Delta}_{\mathfrak{p}_i}.$$

Let us then assume that  $\mathfrak{a}$  is non-constant and square-free. We want to extend the definition of the Gauss-Thakur sums to characters in  $\widehat{\Delta}_{\mathfrak{a}}$ . For  $\chi \in \widehat{\Delta}_{\mathfrak{a}}$ ,  $\chi \neq \chi_0$ , there exist  $r$  distinct primes  $\mathfrak{p}_1, \dots, \mathfrak{p}_r$  and characters  $\chi_1, \dots, \chi_r$  with  $\chi_j \in \widehat{\Delta}_{\mathfrak{p}_j}$  for all  $j$ , with

$$\chi = \chi_1 \cdots \chi_r.$$

**Definition 14** The *Gauss-Thakur sum* associated to  $\chi$  is the product:

$$g(\chi) = g(\chi_1) \cdots g(\chi_r).$$

The polynomial  $f_{\chi} = \mathfrak{p}_1 \cdots \mathfrak{p}_r$  is called the *conductor* of  $\chi$ ; it is a divisor of  $\mathfrak{a}$ . The degree of  $f_{\chi}$  will be denoted by  $d_{\chi}$ . If  $\mathfrak{a}$  itself is a prime  $\mathfrak{p}$  of degree  $d$ , then  $F_{\chi} = \mathfrak{p}$  and  $d_{\chi} = d$ .

The following result collects the basic properties of the sums  $g(\chi)$  that we need, and can be easily deduced from Thakur's results in [17, Theorems I and II].

**Proposition 15** *Let  $\mathfrak{a} \in A$  be monic, square-free of degree  $d$ . The following properties hold.*

1. For all  $\delta \in \Delta_{\mathfrak{a}}$ , we have  $\delta(g(\chi)) = \chi(\delta)g(\chi)$ .
2. If  $\chi \neq \chi_0$ , then  $g(\chi)g(\chi^{-1}) = (-1)^{d_{\chi}}f_{\chi}$ .

By the normal basis theorem,  $K_{\mathfrak{a}}$  is a free  $K[\Delta_{\mathfrak{a}}]$ -module of rank one. Gauss-Thakur's sums allow to determine explicitly generators of this module:

**Lemma 16** *Let us write  $\eta_{\mathfrak{a}} = \sum_{\chi \in \widehat{\Delta}_{\mathfrak{a}}} g(\chi) \in K_{\mathfrak{a}}$ . Then :*

$$K_{\mathfrak{a}} = K[\Delta_{\mathfrak{a}}]\eta_{\mathfrak{a}},$$

and

$$A_{\mathfrak{a}} = A[\Delta_{\mathfrak{a}}]\eta_{\mathfrak{a}},$$

where  $A_{\mathfrak{a}}$  is the integral closure of  $A$  in  $K_{\mathfrak{a}}$ .

Moreover, let  $\chi$  be in  $\widehat{\Delta}_{\mathfrak{a}}$ . Then, the following identity holds:

$$K_{\mathfrak{a}}(\mathbb{F}_{\mathfrak{a}})g(\chi) = \{x \in K_{\mathfrak{a}}(\mathbb{F}_{\mathfrak{a}}) \text{ such that for all } \delta \in \Delta_{\mathfrak{a}}, \delta(x) = \chi(\delta)x\}. \quad (9)$$

*Proof.* Let us expand  $\mathfrak{a}$  in product  $\mathfrak{p}_1 \cdots \mathfrak{p}_n$  of distinct primes  $\mathfrak{p}_i$ . To show that  $A_{\mathfrak{a}} = A[\Delta_{\mathfrak{a}}]\eta_{\mathfrak{a}}$  (this yields the identity  $K_{\mathfrak{a}} = K[\Delta_{\mathfrak{a}}]\eta_{\mathfrak{a}}$ ) one sees that

$$A_{\mathfrak{a}} \simeq A_{\mathfrak{p}_1} \otimes_A \cdots \otimes_A A_{\mathfrak{p}_n},$$

because the discriminants of the extensions  $A_{\mathfrak{p}_i}/A$  are pairwise relatively prime and the fields  $K_{\mathfrak{p}_i}$  are pairwise linearly disjoint (see [9, Property (2.13)]). One then uses [3, Théorème 2.5] to conclude with the second identity.

We now prove the identity (9). We recall that if we set, for  $\chi \in \widehat{\Delta}_{\mathfrak{a}}$ ,

$$e_{\chi} = \frac{1}{|\Delta_{\mathfrak{a}}|} \sum_{\delta \in \Delta_{\mathfrak{a}}} \chi(\delta)\delta^{-1} \in \mathbb{F}_q(\chi)[\Delta_{\mathfrak{a}}]$$

(well defined because  $p$ , the rational prime dividing  $q$ , does not divide  $|\Delta_{\mathfrak{a}}|$ ), then the following identities hold:

- $e_\chi e_\psi = \delta_{\chi,\psi} e_\chi$  (where  $\delta_{\chi,\psi}$  denotes Kronecker's symbol),
- for all  $\delta \in \Delta_{\mathfrak{a}}$ ,  $\delta e_\chi = \chi(\delta) e_\chi$ ,
- $\sum_{\chi \in \widehat{\Delta}_{\mathfrak{a}}} = 1$ .

This yields  $e_\chi \eta_{\mathfrak{a}} = g(\chi)$ . Now, by  $K_{\mathfrak{a}}(\mathbb{F}_{\mathfrak{a}}) = K_{\mathfrak{a}}(\mathbb{F}_{\mathfrak{a}}) \eta_{\mathfrak{a}}$ , we get  $e_\chi K_{\mathfrak{a}}(\mathbb{F}_{\mathfrak{a}}) = K_{\mathfrak{a}}(\mathbb{F}_{\mathfrak{a}}) g(\chi)$ . The second part of the Lemma then follows by observing that if  $M$  is an  $\mathbb{F}_{\mathfrak{a}}[\Delta_{\mathfrak{a}}]$ -module, then

$$e_\chi M = \{m \in M \text{ such that for all } \delta \in \Delta_{\mathfrak{a}}, \delta m = \chi(\delta) m\}.$$

□

## 2.4 An intermediate result on special values of Goss $L$ -functions

Let  $\chi$  be a Dirichlet character of the first kind, that is a character

$$\chi : (A/\mathfrak{a}A)^\times \rightarrow (\mathbb{F}_q^{\text{alg}})^\times,$$

where  $\mathfrak{a}$  is a non-constant squarefree monic element of  $A$  which we identify, by abuse of notation, to a character of  $\widehat{\Delta}_{\mathfrak{a}}$  still denoted by  $\chi$ , of conductor  $\mathfrak{f} = \mathfrak{f}_\chi$ , and degree  $d = \deg_\theta f$ .

Let  $s(\chi)$  be the *type* of  $\chi$ , that is, the unique integer  $s(\chi) \in \{0, \dots, q-2\}$  such that:

$$\chi(\zeta) = \zeta^{s(\chi)} \quad \text{for all } \zeta \in \mathbb{F}_q^\times.$$

We now consider the special value of Goss' *abelian  $L$ -function* [11, Section 8]:

$$L(\alpha, \chi) = \sum_{a \in A^+} \chi(a) a^{-\alpha}, \quad \alpha \geq 1.$$

The following result is inspired by the proofs of [1, Proposition 8, VII] and [4, Proposition 8.2]:

**Proposition 17** *Let  $\alpha \geq 1$ ,  $\alpha \equiv s(\chi) \pmod{q-1}$ . Then there exists an element  $B_{\alpha, \chi^{-1}}$  in  $\mathbb{F}_q(\chi)(\theta)$  such that :*

$$\frac{L(\alpha, \chi) g(\chi)}{\tilde{\pi}^\alpha} = \frac{B_{\alpha, \chi^{-1}}}{\Pi(\alpha)},$$

where  $\Pi(\alpha)$  is the Carlitz factorial of  $\alpha$  (see [11, chapter 9, section 1]).

*Proof.* The proposition is known to be true for the trivial character (see [11, Section 9.2]); in this case, we notice that:

$$B_{\alpha, \chi_0^{-1}} = BC_\alpha, \quad \alpha \geq 1, \quad \alpha \equiv 0 \pmod{q-1},$$

where we recall that  $BC_\alpha$  is the  $\alpha$ -th Bernoulli-Carlitz number (see [11, Definition 9.2.1]). We now assume that  $\chi \neq \chi_0$ . Since:

$$\exp_C(z) = z \prod_{a \in A \setminus \{0\}} \left(1 - \frac{z}{\tilde{\pi}a}\right),$$

We have:

$$\frac{1}{\exp_C(z)} = \sum_{a \in A} \frac{1}{z - \tilde{\pi}a}.$$

Let  $b \in A$  be relatively prime with  $\mathfrak{f}$  and let  $\sigma_b \in \mathbf{Gal}(K_{\mathfrak{f}}/K)$  be the element such that  $\sigma_b(\lambda_{\mathfrak{f}}) = \phi_b(\lambda_{\mathfrak{f}})$ . We have:

$$\frac{1}{\exp_C(z) - \sigma_b(\lambda_{\mathfrak{f}})} = - \sum_{n \geq 0} \frac{\mathfrak{f}^{n+1}}{\tilde{\pi}^{n+1}} \left( \sum_{a \in A} \frac{1}{(b + a\mathfrak{f})^{n+1}} \right) z^n.$$

Therefore, we obtain:

$$\sum_{b \in (A/\mathfrak{f}A)^\times} \frac{\chi(b)}{\exp_C(z) - \sigma_b(\lambda_{\mathfrak{f}})} = - \sum_{n \geq 0} \frac{\mathfrak{f}^{n+1}}{\tilde{\pi}^{n+1}} \left( \sum_{a \in A \setminus \{0\}} \frac{\chi(a)}{a^{n+1}} \right) z^n.$$

If  $n + 1 \not\equiv s(\chi) \pmod{q-1}$ , we get:

$$\sum_{a \in A \setminus \{0\}} \frac{\chi(a)}{a^{n+1}} = 0,$$

and if  $n + 1 \equiv s(\chi) \pmod{q-1}$ , we have:

$$\sum_{a \in A \setminus \{0\}} \frac{\chi(a)}{a^{n+1}} = -L(n+1, \chi).$$

Thus:

$$\sum_{b \in (A/\mathfrak{f}A)^\times} \frac{\chi(b)}{\exp_C(z) - \sigma_b(\lambda_{\mathfrak{f}})} = \sum_{i \geq 1, i \equiv s(\chi) \pmod{q-1}} \frac{\mathfrak{f}^i L(i, \chi)}{\tilde{\pi}^i} z^{i-1}. \quad (10)$$

But note that by the second part of Lemma 16:

$$\sum_{b \in (A/\mathfrak{f}A)^\times} \frac{\chi(b)}{\exp_C(z) - \sigma_b(\lambda_{\mathfrak{f}})} \in g(\chi^{-1})F_q(\chi)(\theta)[[z]].$$

Since by Proposition 15,

$$g(\chi)g(\chi^{-1}) = (-1)^d \mathfrak{f},$$

where  $d = \deg_{\theta} f_{\chi}$ , we get the result by comparison of the coefficients of the series expansion of both sides of (10).  $\square$

**Remark 18** In the above proof of Proposition 17, if we set  $\alpha = 1$  and we assume  $s(\chi) \equiv 1 \pmod{q-1}$ , we have, by comparison of the constant terms in the series expansions in powers of  $z$  in (10):

$$\tilde{\pi}^{-1} \mathfrak{f} L(1, \chi) = - \sum_{b \in (A/\mathfrak{f}A)^\times} \frac{\chi(b)}{\sigma_b(\lambda_{\mathfrak{f}})} \in g(\chi^{-1})\mathbb{F}_q(\chi)(\theta).$$

Assuming that  $\mathfrak{f}$  is not a prime, by [16, Proposition 12.6],  $\lambda_{\mathfrak{f}}$  is a unit in the integral closure  $A_{\mathfrak{f}}$  of  $A$  in  $K_{\mathfrak{f}}$ . Therefore,

$$\sum_{b \in (A/\mathfrak{f}A)^\times} \frac{\chi(b)}{\sigma_b(\lambda_{\mathfrak{f}})} \in g(\chi^{-1})\mathbb{F}_q(\chi)[\theta]$$

and we deduce that

$$\tilde{\pi}^{-1} L(1, \chi)g(\chi) \in \mathbb{F}_q(\chi)[\theta].$$

This remark will be crucial in the proof of Corollary 21.

## 2.5 Proof of Theorem 1

In [5, Theorem 3] (see also [6]), we noticed that the function  $\omega$  can be viewed as a *universal Gauss-Thakur sum*. We review this result, which will be used a little later.

**Theorem 19** *Let  $\mathfrak{p}$  be a prime element of  $A$  of degree  $d$  and  $\zeta$  a root of  $\mathfrak{p}$  as above. We have:*

$$g(\vartheta_{\mathfrak{p}}^{q^j}) = \mathfrak{p}'(\zeta)^{-q^j} \omega(\zeta^{q^j}), \quad j = 0, \dots, d-1.$$

In this theorem,  $\mathfrak{p}'$  denotes the derivative of  $\mathfrak{p}$  with respect to  $\theta$ .

The next Lemma provides a rationality criterion for a polynomial a priori with coefficients in  $K_\infty$ , again based on evaluation at roots of unity.

**Lemma 20** *Let  $F(t_1, \dots, t_s) \in K_\infty[t_1, \dots, t_s]$  such that for all  $\zeta_1, \dots, \zeta_s \in \mathbb{F}_q^{\text{alg}}$ , pairwise not conjugate over  $\mathbb{F}_q$ ,*

$$F(\zeta_1, \dots, \zeta_s) \in K(\zeta_1, \dots, \zeta_s).$$

*Then  $F(t_1, \dots, t_s) \in K[t_1, \dots, t_s]$ .*

*Proof.* We begin by pointing out that if elements  $a_1, \dots, a_s \in K_\infty$  are  $K \otimes_{\mathbb{F}_q} \mathbb{F}_q^{\text{alg}}$ -linearly dependent, then they also are  $K$ -linearly dependent. The proof proceeds by induction on  $s \geq 1$ . For  $s = 1$ , this is obvious. Now, let

$$\sum_{i=1}^s \lambda_i a_i = 0 \tag{11}$$

be a non-trivial relation of linear dependence with the  $\lambda_i \in K \otimes_{\mathbb{F}_q} \mathbb{F}_q^{\text{alg}} \setminus \{0\}$ . We may assume that  $\lambda_s = 1$  and that there exists  $i \in \{1, \dots, s-1\}$  such that  $\lambda_i \notin K$ . Then, there exists

$$\sigma \in \mathbf{Gal}(K_\infty \otimes \mathbb{F}_q^{\text{alg}} / K_\infty) \simeq \mathbf{Gal}(K \otimes \mathbb{F}_q^{\text{alg}} / K) \simeq \mathbf{Gal}(\mathbb{F}_q^{\text{alg}} / \mathbb{F}_q)$$

such that  $\sigma(\lambda_i) \neq \lambda_i$ . Applying  $\sigma$  on both left- and right-hand sides of (11) and subtracting, yields a non-trivial relation involving at most  $s-1$  elements of  $K_\infty$  on which we can apply the induction hypothesis.

We can now complete the proof of the Lemma. Let  $F$  be a polynomial in  $K_\infty[t_1, \dots, t_s]$  not in  $K[t_1, \dots, t_s]$ . It is easy to show that there exist  $a_1, \dots, a_m \in K_\infty$ , linearly independent over  $K$ , such that

$$F = a_1 P_1 + \dots + a_m P_m,$$

where  $P_1, \dots, P_m$  are non-zero polynomials of  $K[t_1, \dots, t_s]$ . Let us suppose by contradiction that there exists  $F \in K_\infty[t_1, \dots, t_s] \setminus K[t_1, \dots, t_s]$  satisfying the hypotheses of the Lemma. By the observation at the beginning of the proof of Lemma 5, there exists a choice of such roots of unity  $\zeta_1, \dots, \zeta_s$  and  $i \in \{1, \dots, m\}$  such that  $P_i(\zeta_1, \dots, \zeta_m) \neq 0$ . This means that  $a_1, \dots, a_m$  are  $K \otimes_{\mathbb{F}_q} \mathbb{F}_q^{\text{alg}}$ -linearly dependent, thus  $K$ -linearly dependent by the previous observations; a contradiction.  $\square$

*Proof of Theorem 1.* In view of Lemma 20, we want to show that the polynomial

$$V_{\alpha, s} = \tilde{\pi}^{-\alpha} L(\chi_{t_1} \cdots \chi_{t_s}, \alpha) \omega(t_1) \cdots \omega(t_s) \left( \prod_{i=1}^s \prod_{j=0}^{\delta-1} \left( 1 - \frac{t_i}{\theta q^j} \right) \right) \in K_\infty[t_1, \dots, t_s]$$



of Proposition 11 takes values in  $K(\zeta_1, \dots, \zeta_s)$  for all  $\zeta_1, \dots, \zeta_s \in \mathbb{F}_q^{\text{alg}}$  pairwise non conjugate over  $\mathbb{F}_q$ . Let  $(\zeta_1, \dots, \zeta_s)$  be one of such  $s$ -tuples of roots of unity and, for  $i = 1, \dots, s$ , let  $\mathfrak{p}_i \in A$  be the minimal polynomial of  $\zeta_i$ , so that  $\mathfrak{p}_1, \dots, \mathfrak{p}_s$  are pairwise relatively prime. We choose the characters  $\vartheta_{\mathfrak{p}_i}$  so that  $\vartheta_{\mathfrak{p}_i}(\sigma_\theta) = \zeta_i$  for all  $i$ . We construct the Dirichlet character of the first kind  $\chi$  defined, for  $a \in A$ , by

$$\chi(a) = \chi_{\zeta_1}(a) \cdots \chi_{\zeta_s}(a).$$

By Proposition 17, we have

$$\frac{L(\alpha, \chi)g(\chi)}{\tilde{\pi}^\alpha} = (-1)^{d_\chi} \frac{B_{\alpha, \chi^{-1}}}{\mathfrak{f}_\chi^{\alpha-1}} \in \mathbb{F}_q(\chi)(\theta).$$

Since

$$L(\alpha, \chi) = L(\chi_{\zeta_1} \cdots \chi_{\zeta_s}, \alpha),$$

we get:

$$\begin{aligned} V_{\alpha, s}(\zeta_1, \dots, \zeta_s) &= L(\alpha, \chi)\omega(\zeta_1) \cdots \omega(\zeta_s)\tilde{\pi}^{-\alpha} \\ &= \frac{L(\alpha, \chi)g(\chi)}{\tilde{\pi}^\alpha} \frac{\omega(\zeta_1) \cdots \omega(\zeta_s)}{g(\chi)} \\ &= (-1)^{d_\chi} \frac{B_{\alpha, \chi^{-1}}}{\mathfrak{f}_\chi^{\alpha-1}} \chi_{\zeta_1}(\mathfrak{p}'_1) \cdots \chi_{\zeta_s}(\mathfrak{p}'_s) \\ &\in K(\zeta_1, \dots, \zeta_s), \end{aligned}$$

where in the next to last step, we have used Theorem 19. The proof of Theorem 1 now follows from Lemma 20.  $\square$

### 3 Congruences for Bernoulli-Carlitz numbers

In this Section, we shall prove Theorem 2. This is possible because in Theorem 1, more can be said when  $\alpha = 1$ . In this case, one sees that the integer  $\delta$  of Theorem 1 is equal to zero and  $s \geq q$ , so that, with the notations of that result,

$$V_{1, s} = \tilde{\pi}^{-1} L(\chi_{t_1} \cdots \chi_{t_s}, 1)\omega(t_1) \cdots \omega(t_s).$$

In the next Subsection we will show that the above is a polynomial of  $A[t_1, \dots, t_s]$ .

#### 3.1 Functional identities with $\alpha = 1$

We begin with the following Corollary of Theorem 1. The main result of this subsection is Proposition 24.

**Corollary 21** *Let  $s \geq 2$  be such that  $s \equiv 1 \pmod{q-1}$ . Then the symmetric polynomial  $V_{1, s} \in K[t_1, \dots, t_s]$  of Theorem 1 is in fact a polynomial of  $A[t_1, \dots, t_s]$  of total degree  $\leq s^2/(q-1) - s$  in the variables  $t_1, \dots, t_s$ .*

*Proof.* Let  $\mathfrak{p}_1, \dots, \mathfrak{p}_s$  be distinct primes in  $A$ , let us write  $\mathfrak{a} = \mathfrak{p}_1 \cdots \mathfrak{p}_s$  and let us consider the Dirichlet character of the first kind  $\chi$  associated to  $\vartheta_{\mathfrak{p}_1} \cdots \vartheta_{\mathfrak{p}_s}$  that we also loosely identify with the corresponding element of  $\widehat{\Delta}_{\mathfrak{a}}$ . Since  $\mathfrak{a}$  is not a prime power, Remark 18 implies that

$$\tilde{\pi}^{-1}L(1, \chi)g(\chi) \in \mathbb{F}_q(\chi)[\theta]. \quad (12)$$

Now, specializing at  $t_i = \zeta_i$  the root of  $\mathfrak{p}_i$  associated to the choice of characters  $\vartheta_{\mathfrak{p}_i}$  for all  $i = 1, \dots, s$ , we obtain

$$V_{1,s}(\zeta_1, \dots, \zeta_s) = \tilde{\pi}^{-1}L(1, \chi)g(\chi) \in \mathbb{F}_q(\zeta_1, \dots, \zeta_s)[\theta],$$

and the result follows from an idea similar to that of Lemma 20, the bound on the degree agreeing with that of Theorem 1.  $\square$

### 3.1.1 Digit principle for the function $\omega$ and the $L$ -series

We denote by  $\mathbb{T}_t$  the standard Tate  $\mathbb{C}_{\infty}$ -algebra in the variable  $t$ . Let  $\varphi : \mathbb{T}_t \rightarrow \mathbb{T}_t$  be the  $\mathbb{C}_{\infty}$ -linear map defined by

$$\varphi \left( \sum_{n \geq 0} c_n t^n \right) = \sum_{n \geq 0} c_n t^{qn}, \quad c_n \in \mathbb{C}_{\infty}.$$

We also set, for  $N$  a non-negative integer with its expansion in base  $q$ ,  $N = N_0 + N_1q + \cdots + N_rq^r$ ,  $N_i \in \{0, \dots, q-1\}$ :

$$\omega_N(X) = \prod_{i=0}^r \varphi^i(\omega(X))^{N_i}.$$

We then have the next Lemma.

**Lemma 22** *Let  $\mathfrak{p}$  be a prime of  $A$  of degree  $d$  and let  $N$  be an integer such that  $1 \leq N \leq q^d - 1$ . The following identity holds:*

$$\omega_N(\zeta) = \vartheta_{\mathfrak{p}}(\sigma_{\mathfrak{p}^r})^N g(\vartheta_{\mathfrak{p}}^N),$$

where  $\zeta$  is the root of  $\mathfrak{p}$  that determines the character  $\vartheta_{\mathfrak{p}}$ .

*Proof.* This is a direct application of Theorem 19. Indeed,

$$\omega_N(\zeta) = \prod_{i=0}^{d-1} \omega(\zeta^{q^i})^{N_i} = \prod_{i=0}^{d-1} \vartheta_{\mathfrak{p}}(\sigma_{\mathfrak{p}^r})^{q^i N_i} g(\vartheta_{\mathfrak{p}}^{q^i})^{N_i}.$$

$\square$

Let  $X, Y$  be two indeterminates over  $K$ . We introduce a family of polynomials  $(G_d)_{d \geq 0}$  in  $\mathbb{F}_q[X, Y]$  as follows. We set  $G_0(X, Y) = 1$  and

$$G_d(X, Y) = \prod_{i=0}^{d-1} (X - Y^{q^i}), \quad d \geq 1.$$

This sequence is closely related to the sequence of polynomials  $G_n(y)$  of [2, Section 3.6]: indeed, the latter can be rewritten in terms of the former:

$$G_d(y) = G_d(T^{q^d}, y^q), \quad d \geq 1,$$

in both notations of loc. cit. and ours <sup>(2)</sup>. The polynomial  $G_d$  is monic of degree  $d$  in the variable  $X$ , and  $(-1)^d G_d$  is monic in the variable  $Y$  of degree  $(q^d - 1)/(q - 1)$ . We now define, for  $N = N_0 + N_1 q + \cdots + N_r q^r$  a non-negative integer expanded in base  $q$ , the polynomial

$$H_N(t) = \prod_{i=0}^r G_i(t^{q^i}, \theta)^{N_i} = \prod_{i=0}^r \prod_{j=0}^{i-1} (t^{q^i} - \theta^{q^j})^{N_i}.$$

We also define the quantities associated to  $N$  and  $q$ :

$$\begin{aligned} \mu_q(N) &= \sum_{i=0}^r N_i i q^i, \\ \mu_q^*(N) &= \frac{N}{q-1} - \frac{\ell_q(N)}{q-1}, \\ \ell'_q(N) &= \sum_{i=0}^r N_i i. \end{aligned}$$

**Lemma 23** *Let  $N$  be a non-negative integer. The following properties hold.*

1. *The polynomial  $H_N(t)$ , as a polynomial of the indeterminate  $t$ , is monic of degree  $\mu_q(N)$ .*
2. *As a polynomial of the indeterminate  $\theta$ ,  $H_N(t)$  has degree  $\mu_q^*(N)$  and the leading coefficient is  $(-1)^{\ell'_q(N)}$ .*
3. *We have  $H_N(\theta) = \Pi(N)$  and  $v_\infty(H_N(\theta)) = -\mu_q(N)$ , where  $v_\infty$  is the  $\infty$ -adic valuation of  $\mathbb{C}_\infty$ .*
4. *We also have, for all  $\zeta \in \mathbb{F}_q^{alg}$ ,  $v_\infty(H_N(\zeta)) = -\mu_q^*(N)$ .*

*Proof.* Easy and left to the reader. □

We observe that:

$$\varphi^d \omega(t) = \frac{1}{G_d(t^{q^d}, \theta)} \omega(t)^{q^d} = \omega_{q^d N}(t), \quad d \geq 0$$

so that, with  $N$  as above,

$$\omega_N(t) = \frac{\omega(t)^N}{\prod_{i=0}^r G_i(t^{q^i}, \theta)^{N_i}} = \frac{\omega(t)^N}{H_N(t)}. \quad (13)$$

The following Proposition was inspired by a discussion with D. Goss.

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<sup>2</sup>As an aside remark, we also notice that we recover in this way the coefficients of the formal series in  $K[[\tau]]$  associated to Carlitz's exponential and logarithm

$$\mathfrak{e} = \sum_{i \geq 0} d_i^{-1} \tau^i, \quad \mathfrak{l} = \sum_{i \geq 0} l_i^{-1} \tau^i,$$

because  $d_i = G_i(\theta^{q^i}, \theta)$  and  $l_i = G_i(\theta, \theta^{q^i})$ . Moreover, if  $\mathfrak{p}$  is a prime of  $A$  of degree  $d$ , we observe that

$$\mathfrak{p} = \prod_{i=1}^d (\theta - \zeta_i) = \prod_{j=0}^{d-1} (\theta - \vartheta_{\mathfrak{p}}(\sigma_{\theta^{q^j}})) = G_d(\theta, \vartheta_{\mathfrak{p}}(\sigma_\theta)).$$

**Proposition 24** *Let  $s \geq 2$  be an integer. Let  $M_1, \dots, M_s$  be positive integers such that  $M_1 + \dots + M_s \equiv 1 \pmod{q-1}$ . Then:*

$$W(t_1, \dots, t_s) = \tilde{\pi}^{-1} L(\chi_{t_1}^{M_1} \cdots \chi_{t_s}^{M_s}, 1) \omega_{M_1}(t_1) \cdots \omega_{M_s}(t_s) \in A[t_1, \dots, t_s].$$

For all  $i$ , the degree in  $t_i$  of  $W$  satisfies

$$\deg_{t_i}(W) \leq M_i \left( \frac{\sum_j M_j}{q-1} - 1 \right) - \mu_q(M_i)$$

*Proof.* We shall write

$$H = \prod_{i=1}^s H_{M_i}(t_i).$$

We know from Lemma 23 that  $\deg_{t_i}(H) = \mu_q(M_i)$ . Let us consider the function

$$V = \tilde{\pi}^{-1} L(\chi_{t_1}^{M_1} \cdots \chi_{t_s}^{M_s}, 1) \omega^{M_1}(t_1) \cdots \omega^{M_s}(t_s),$$

so that by (13),

$$V = WH.$$

Corollary 21 implies that:

$$V \in \mathbb{F}_q[\theta, t_1, \dots, t_s]$$

and we are done if we can prove that  $H$  divides  $V$  in  $\mathbb{F}_q[\theta, t_1, \dots, t_s]$ .

Let  $\mathfrak{p}_1, \dots, \mathfrak{p}_s$  be distinct primes of  $A$  such that  $|\mathfrak{p}_i| - 1 > M_i$ , and let  $\zeta_1, \dots, \zeta_s$  be respective roots of these polynomials chosen in compatibility with the characters  $\vartheta_{\mathfrak{p}_1}, \dots, \vartheta_{\mathfrak{p}_s}$ . Let us also write

$$\chi = \vartheta_{\mathfrak{p}_1}^{M_1} \cdots \vartheta_{\mathfrak{p}_s}^{M_s}.$$

By Lemma 22,

$$\omega_{M_1}(\zeta_1) \cdots \omega_{M_s}(\zeta_s) = \vartheta_{\mathfrak{p}_1}(\sigma_{\mathfrak{p}'_1})^{M_1} \cdots \vartheta_{\mathfrak{p}_s}(\sigma_{\mathfrak{p}'_s})^{M_s} g(\chi).$$

Therefore,

$$W(\zeta_1, \dots, \zeta_s) = \tilde{\pi}^{-1} L(1, \chi) g(\chi) \vartheta_{\mathfrak{p}_1}(\sigma_{\mathfrak{p}'_1})^{M_1} \cdots \vartheta_{\mathfrak{p}_s}(\sigma_{\mathfrak{p}'_s})^{M_s}.$$

By (12),  $\tilde{\pi}^{-1} L(1, \chi) g(\chi) \in \mathbb{F}_q(\chi)[\theta]$ , while  $\prod_{i=1}^s \vartheta_{\mathfrak{p}_i}(\sigma_{\mathfrak{p}'_i})^{M_i} \in \mathbb{F}_q(\chi)$  so that

$$W(\zeta_1, \dots, \zeta_s) \in \mathbb{F}_q(\chi)[\theta] = \mathbb{F}_q(\zeta_1, \dots, \zeta_s)[\theta].$$

Now,  $H$  is a polynomial in  $\theta$  with leading coefficient in  $\mathbb{F}_q^\times$  (see Lemma 23). Dividing  $V$  by  $H$  as polynomials in  $\theta$  we find

$$V = HQ + R,$$

where  $Q, R$  are polynomials in  $\mathbb{F}_q[\theta, t_1, \dots, t_s]$ , and  $\deg_\theta R < \deg_\theta H = \sum_i \mu_q^*(M_i)$  (the last inequality by Lemma 23). But for  $\zeta_1, \dots, \zeta_s$  as above, we must have  $Q(\theta, \zeta_1, \dots, \zeta_s) = W(\zeta_1, \dots, \zeta_s)$  and

$$R(\zeta_1, \dots, \zeta_s) = 0.$$

This implies  $R = 0$  and thus  $W = Q \in \mathbb{F}_q[\theta, t_1, \dots, t_s]$ . □

### 3.1.2 The polynomials $W_s$

By Proposition 24, the function

$$W_s(t) = \tilde{\pi}^{-1} L(\chi_t^s, 1) \omega_s(t) = \frac{L(\chi_t^s, 1) \omega(t)^s}{\tilde{\pi} H_s(t)}$$

is a polynomial of  $\mathbb{F}_q[t, \theta]$ . Furthermore, we have:

**Proposition 25** *Assuming that  $s \geq 2$  is an integer congruent to 1 modulo  $q-1$  and is not a power of  $q$ , the following properties hold.*

1. *The degree in  $t$  of  $W_s$  does not exceed  $s(s-1)/(q-1) - s - \mu_q(s)$ ,*
2. *the degree in  $\theta$  of  $W_s$  is equal to  $(\ell_q(s) - q)/(q-1)$ .*

By the remarks in the introduction, we know how to handle the case of  $s = q^i$ ; we then have

$$W_{q^i}(t) = \frac{1}{\theta - t^{q^i}}.$$

*Proof of Proposition 25.* The bound for the degree in  $t$  is a simple consequence of Proposition 24 and Lemma 23. To show the property of the degree in  $\theta$ , we first notice that, by Lemma 23, for all  $\zeta \in \mathbb{F}_q^{\text{alg}}$ ,

$$v_\infty(W_s(\zeta)) = -\frac{\ell_q(s) - q}{q-1}. \quad (14)$$

The computation of  $W_s(\zeta)$  is even explicit if  $\zeta \in \mathbb{F}_q$ . Indeed, with the appropriate choice of a  $(q-1)$ -th root of  $(\zeta - \theta)$ , the fact that  $\chi_\zeta = \chi_\zeta^s$ , [5, Lemma 12] and [13, Theorem 1],

$$\begin{aligned} W_s(\zeta) &= \frac{L(\chi_\zeta^s, 1) \omega(\zeta)^s}{\tilde{\pi} H_s(\zeta)} \\ &= \frac{L(\chi_\zeta, 1) \omega(\zeta)^s}{\tilde{\pi} H_s(\zeta)} \\ &= \frac{L(\chi_\zeta, 1) \omega(\zeta)^s}{\tilde{\pi} (\zeta - \theta)^{\frac{s - \ell_q(s)}{q-1}}} \\ &= (\zeta - \theta)^{-\frac{1}{q-1}} (\theta - \zeta)^{-1} (\zeta - \theta)^{\frac{s}{q-1}} (\zeta - \theta)^{\frac{\ell_q(s) - s}{q-1}} \end{aligned}$$

and

$$W_s(\zeta) = -(\zeta - \theta)^{\frac{\ell_q(s) - q}{q-1}}. \quad (15)$$

Let us write:

$$W_s(t) = \sum_{i=0}^g a_i t^i, \quad a_i \in A.$$

By (15), we have

$$a_0 = W_s(0) = -(-\theta)^{\frac{\ell_q(s) - q}{q-1}} \quad (16)$$

and for all  $\zeta \in \mathbb{F}_q^{\text{alg}}$  we have, by (14),

$$|W_s(\zeta)| = |a_0|.$$

This means that for  $i = 1, \dots, g$ ,  $|a_i| < |a_0|$ , and the identity on the degree in  $\theta$  follows as well.  $\square$

**Corollary 26** *If  $\ell_q(s) = q$ , then  $W_s = -1$ .*

*Proof.* It follows from (16) and the fact that  $|a_i| < |a_0|$  for  $i = 1, \dots, g$ . □

By Corollary 21, the function

$$V_{1,s}(t_1, \dots, t_s) = \tilde{\pi}^{-1} L(\chi_{t_1} \cdots \chi_{t_s}, 1) \omega(t_1) \cdots \omega(t_s)$$

is, for  $s \equiv 1 \pmod{q-1}$  and  $s \geq 2$ , a polynomial of  $A[t_1, \dots, t_s]$ . Since

$$\omega(t) = \frac{\tilde{\pi}}{\theta - t} + o(1),$$

where  $o(1)$  represents a function locally analytic at  $t = \theta$ , the function  $L(\chi_{t_1} \cdots \chi_{t_s}, 1)$  vanishes on the set

$$D = \bigcup_{i=1}^s D_i,$$

where

$$D_i = \{(t_1, \dots, t_{i-1}, \theta, t_{i+1}, \dots, t_s) \in \mathbb{C}_\infty\}.$$

In other words, in  $\mathbb{C}_\infty[[t_1 - \theta, \dots, t_s - \theta]]$ , we have

$$L(\chi_{t_1} \cdots \chi_{t_s}, 1) = \sum_{i_1, \dots, i_s \geq 1} c_{i_1, \dots, i_s} (t_1 - \theta)^{i_1} \cdots (t_s - \theta)^{i_s}, \quad c_{i_1, \dots, i_s} \in \mathbb{C}_\infty, \quad (17)$$

where on both sides, we have entire analytic functions (see Corollary 8). This can also be seen, alternatively, by considering the function  $F_{s-1}$  of Lemma 5, which vanishes, and observing that

$$L(\chi_{t_1} \cdots \chi_{t_s}, 1)|_{t_i=\theta} = F_{s-1}(t_1, \dots, t_{i-1}, t_{i+1}, \dots, t_s).$$

Let us focus on the coefficient  $c_{1, \dots, 1}$  in the expansion (17). We then have

$$c_{1, \dots, 1} = \left( \frac{d}{dt_1} \cdots \frac{d}{dt_s} L(\chi_{t_1} \cdots \chi_{t_s}, 1) \right) \Big|_{t_1 = \dots = t_s = \theta}$$

so that

$$V_{1,s}(\theta, \dots, \theta) = (-1)^s \tilde{\pi}^{s-1} \sum_{d \geq 0} \sum_{a \in A^+(d)} \frac{a'^s}{a} = (-1)^s \tilde{\pi}^{s-1} c_{1, \dots, 1} \in \mathbb{F}_q[\theta]$$

(by Corollary 8, the series on the right-hand side is convergent). Now, by Proposition 24,  $\Pi(s)$  divides the polynomial  $V_{1,s}(\theta, \dots, \theta)$  in  $A$ . We then set, as in the introduction:

$$\mathbb{B}_s = \frac{V_{1,s}(\theta, \dots, \theta)}{\Pi(s)} = G_s(\theta) \in A.$$

### 3.2 Proof of Theorem 2

Let  $\tau$  be the unique  $\mathbb{F}_q[t]$ -linear automorphism of  $\mathbb{T}_t$  which extends the automorphism of  $\mathbb{C}_\infty$  defined, for  $c \in \mathbb{C}_\infty$ , by  $c \mapsto c^q$ . If  $B$  is a polynomial of  $A[t]$  and if  $\mathfrak{p}$  is a prime of degree  $d > 0$ , then

$$\tau^d B \equiv B \pmod{\mathfrak{p}}.$$

The reason for this is that  $\mathfrak{p}$  divides the polynomial  $\theta^{q^d} - \theta$ . In particular,

$$(\tau^d B)(\theta) \equiv B(\theta) \pmod{\mathfrak{p}}. \quad (18)$$

Recalling the  $\mathbb{C}_\infty$ -linear operator  $\varphi$  of subsection 3.1.1, we have

$$\tau\varphi = \varphi\tau = \rho,$$

where  $\rho$  is the operator defined by  $\rho(x(t)) = x(t)^q$  for all  $x \in \mathbb{C}_\infty((t))$ . In particular, if  $s = \sum_{i=0}^r s_i q^i$  is expanded in base  $q$  and if  $d \geq r \geq i$ , from

$$\tau^d \varphi^i = \tau^{d-i} \tau^i \varphi^i = \tau^{d-i} \rho^i$$

we deduce

$$(\tau^d \omega_s)(t) = \prod_{i=0}^r ((\tau^{d-i} \omega)(t))^{s_i q^i},$$

so that

$$(\tau^d \omega_s)(t) = \omega(t)^s \prod_{i=0}^r G_{d-i}(t, \theta)^{s_i q^i}. \quad (19)$$

We can finish the proof of Theorem 2. By (18),

$$\mathbb{B}_s \equiv (\tau^d W_s)(\theta) \pmod{\mathfrak{p}}.$$

We shall now compute  $(\tau^d W_s)(\theta)$ . If  $d > r$ , then for  $i = 0, \dots, r$  we can write

$$G_{d-i}(t, \theta)^{s_i q^i} = (t - \theta)^{s_i q^i} \prod_{j=1}^{d-i-1} (t - \theta^{q^j})^{s_i q^i},$$

and

$$\prod_{i=0}^r G_{d-i}(t, \theta)^{s_i q^i} = (t - \theta)^s F(t),$$

where  $F(t)$  is a polynomial such that

$$F(\theta) = \prod_{i=0}^r l_{d-i-1}^{s_i q^i}.$$

Since

$$(\tau^d W_s)(t) = \tilde{\pi}^{-q^d} L(\chi_t^s, q^d)(t - \theta)^s \omega(t)^s F(t)$$

and  $\lim_{t \rightarrow \theta} (t - \theta)\omega(t) = -\tilde{\pi}$ , we get

$$\begin{aligned} \lim_{t \rightarrow \theta} (\tau^d W_s)(t) &= (-1)^s \tilde{\pi}^{-q^d} \zeta(q^d - s) \tilde{\pi}^s \prod_{i=0}^r l_{d-i-1}^{s_i q^i} \\ &= (-1)^s \frac{BC_{q^d-s}}{\Pi(q^d - s)} \prod_{i=0}^r l_{d-i-1}^{s_i q^i}. \end{aligned}$$

Our Theorem 2 follows at once. □

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