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# A LINEAR CATEGORY OF POLYNOMIAL FUNCTORS (EXTENSIONAL PART)

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ABSTRACT. We construct a symmetric monoidal closed category of *polynomial endofunctors* (as objects) and *simulation cells* (as morphisms). This structure is defined using universal properties without reference to representing polynomial diagrams and is reminiscent of Day’s convolution on presheaves. We then make this category into a model for intuitionistic linear logic by defining an additive and exponential structure.

## INTRODUCTION

This note gives a functorial counterpart to [Hyv13], that is, a model for intuitionistic linear logic where formulas are interpreted by polynomial *functors* rather than polynomial *diagrams*. The difference between the two is subtle. Differentiation of plain polynomials over the real numbers provides a useful analogy. It can be defined in two different ways:

(1) on *representations* of polynomials with the formula:

$$P = \sum_{k=0}^n a_k X^k \quad \mapsto \quad P' = \sum_{k=1}^n k a_k X^{k-1} ,$$

(2) on polynomials *as functions* with the formula:

$$F \quad \mapsto \quad F' = x \mapsto \lim_{\varepsilon \rightarrow 0} \frac{F(x + \varepsilon) - F(x)}{\varepsilon} .$$

The first one is easier to work with for concrete polynomials but the second one applies to a larger class of functions.

The model of intuitionistic linear logic defined in [Hyv13] was “intensional”: just like point (1) above, it used polynomial diagrams, i.e., *representations* of polynomial functors. We give an “extensional” version of the model that applies to arbitrary functors, even though it needs not be defined for non polynomial ones. In other words, the category from [Hyv13]

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is equivalent (Proposition 2.6) to a subcategory of a more general category. Moreover, the tensor and its adjoint are always defined in this subcategory.

We first (Section 1) recall some notions about polynomial functors. We then define the category of polynomial functors with simulations and show that it is symmetric monoidal closed (Section 2). We relate this structure to a generalized version of Day’s convolution product on presheaves in Section 2.5. We finish by showing how the additive and exponential structure from [Hyv13] can be recovered.

**Related Works.** The starting point of this work is the characterization of strong natural transformations between polynomial functors due to N. Gambino and J. Kock [GK09]. However, our notion of morphism between polynomial functors is more general than what appears in [Koc09, GK09] or [AAG05, MA09] (where polynomial functors are called *indexed containers*). In particular, there can be morphisms between polynomial functors that do not share their domains and codomains.

Another inspiration is the model of “predicate transformers” from [Hyv04]. This is a model for *classical* linear logic where formulas are interpreted by monotonic operators on subsets and proofs are interpreted by “simulations”. We show here that “monotonic operator on  $\mathcal{P}(I)$ ” can be replaced by “functor on  $\mathbf{Set}/I$ ” with the following restrictions:

- we give up classical logic;
- we only consider *polynomial* functors.

## 1. PRELIMINARIES: POLYNOMIAL FUNCTORS

Basic knowledge about locally cartesian closed categories and their internal language (extensional dependent type theory) is assumed throughout the paper. Refer to Appendix A and B for the relevant definitions and notation. The first half of [GK09] is of crucial importance and we start by recalling some results, referring to the original article for details. From now on,  $\mathbb{C}$  will always denote a locally cartesian closed category.

### 1.1. Polynomials and Polynomial Functors.

**Definition 1.1.** If  $I$  and  $J$  are objects of  $\mathbb{C}$ , a *polynomial diagram* from  $I$  to  $J$  is a diagram  $P$  in  $\mathbb{C}$  of the shape

$$P : I \xleftarrow{n} D \xrightarrow{d} A \xrightarrow{a} J \quad .$$

We write  $\text{PolyDiag}_{\mathbb{C}}[I, J]$  for the collection of polynomial diagrams from  $I$  to  $J$ .

**Definition 1.2.** For each  $P \in \text{PolyDiag}_{\mathbb{C}}[I, J]$ , there is an associated functor  $\llbracket P \rrbracket$  from  $\mathbb{C}/I$  to  $\mathbb{C}/J$ :

$$\llbracket P \rrbracket : \mathbb{C}/I \xrightarrow{\Delta_n} \mathbb{C}/D \xrightarrow{\Pi_d} \mathbb{C}/A \xrightarrow{\Sigma_a} \mathbb{C}/J \quad .$$

$\llbracket P \rrbracket$  is called the *extension* of  $P$  and  $P$  is called a *representation* of  $\llbracket P \rrbracket$ . Any functor naturally isomorphic to some  $\llbracket P \rrbracket$  is called a *polynomial functor*. We write  $\text{PolyFun}_{\mathbb{C}}[I, J]$  for the collection of such functors.

When  $\mathbb{C}$  is  $\mathbf{Set}$  and  $I$  and  $J$  are the terminal object  $1$ , polynomial functors are exactly those of the form  $X \mapsto \sum_{k \in K} A_k X^{D_k}$ , where  $K$  is a set and  $(A_k)$  and  $(D_k)$  are  $K$ -indexed families of sets, hence the name. Those functors can be put in the form  $X \mapsto \sum_{b \in B} X^{E_b}$ , represented for example by the polynomial diagram  $1 \leftarrow \sum_{b \in B} E_b \rightarrow B \rightarrow 1$  where the middle morphism is the projection sending  $\langle b, e \rangle$  to  $b$ . When no confusion arises, we will often write  $P$  instead of  $[[P]]$ . We have:

**Proposition 1.3.** *The composition of two polynomial functors is a polynomial functor.*

*Sketch of proof.* The composition  $Q \circ P$  of  $P \in \text{PolyDiag}_{\mathbb{C}}[I, J]$  and  $Q \in \text{PolyDiag}_{\mathbb{C}}[J, K]$  uses no less than four pullbacks:

$$Q \circ P \stackrel{\text{def}}{=} \begin{array}{ccccccc} & & \bullet & \xrightarrow{\quad} & \bullet & \xrightarrow{\quad} & \bullet \\ & \swarrow & \downarrow & \swarrow & \downarrow & \swarrow & \downarrow \\ & \bullet & \xrightarrow{\quad} & \bullet & \xrightarrow{\quad} & \bullet & \xrightarrow{\quad} & \bullet \\ & \swarrow & \downarrow & \swarrow & \downarrow & \swarrow & \downarrow & \bullet \\ D & \xrightarrow{\quad} & A & \xrightarrow{\quad} & E & \xrightarrow{\quad} & B \\ \swarrow & & \searrow & & \swarrow & & \searrow & \\ I & & J & & & & & K \end{array} \quad (i) \quad (1.1)$$

where square (i) is a distributivity square (diagram (A.1) in Appendix A). One can then show that

$$[[Q]] \circ [[P]] \cong [[Q \circ P]]$$

by a sequence of Beck-Chevalley and distributivity isomorphisms (see Appendix A).  $\square$

The identity functor from  $\mathbb{C}/_I$  to itself is trivially the extension of the polynomial

$$I \xleftarrow{1} I \xrightarrow{1} I \xrightarrow{1} I \quad .$$

We obtain a *bicategory*  $\text{PolyDiag}_{\mathbb{C}}$  where objects are objects of  $\mathbb{C}$  and morphisms are polynomial diagrams; and a *category*  $\text{PolyFun}_{\mathbb{C}}$  where objects are slice categories and morphisms are polynomial functors.

**1.2. Strong Natural Transformations.** Each polynomial functor  $P$  from  $\mathbb{C}/_I$  to  $\mathbb{C}/_J$  is equipped with a *strength*:

$$\tau_{A,x} \quad : \quad A \odot P(x) \rightarrow P(A \odot x) \quad ,$$

naturally in  $A \in \mathbb{C}$  and  $x \in \mathbb{C}/_I$ , where  $A \odot x \stackrel{\text{def}}{=} \sum_x \Delta_x \Delta_I(A)$ . A natural transformation between two strong functors is itself *strong* when it is compatible with their strengths. This gives the category  $\text{PolyFun}_{\mathbb{C}}$  a 2-category structure: objects are slice categories, morphisms are polynomial functors and 2-cells are strong natural transformations. Note that when the base category  $\mathbb{C}$  is  $\mathbf{Set}$ , all endofunctors are strong, and so are all natural transformations.

The following proposition is crucial as it allows to represent strong natural transformations between polynomial functors by diagrams inside the category  $\mathbb{C}$  [GK09].

**Proposition 1.4.** *Every strong natural transformation  $\rho : P_1 \Rightarrow P_2$  between polynomial functors can be uniquely represented (up-to a choice of pullbacks) by a diagram*

$$\begin{array}{c}
 P_1 : \quad I \xleftarrow{n_1} D_1 \xrightarrow{d_1} A_1 \xrightarrow{a_1} J \\
 \quad \quad \quad \parallel \quad \quad \quad \uparrow \beta \quad \quad \quad \parallel \quad \quad \quad \parallel \\
 \quad \quad \quad \quad \quad \quad X \xrightarrow{f} A_1 \\
 \quad \quad \quad \quad \quad \quad \downarrow g \quad \quad \quad \downarrow \alpha \\
 P_2 : \quad I \xleftarrow{n_2} D_2 \xrightarrow{d_2} A_2 \xrightarrow{a_2} J
 \end{array} \quad . \quad (1.2)$$

The strong natural transformation associated to such a diagram is defined as

$$\begin{aligned}
 \Sigma_{a_1} \Pi_{d_1} \Delta_{n_1} &\implies \Sigma_{a_1} \Pi_{d_1} \Pi_{\beta} \Delta_{\beta} \Delta_{n_1} && \text{(unit of } \Delta_{\beta} \dashv \Pi_{\beta} \text{)} \\
 &\cong \Sigma_{a_1} \Pi_f \Delta_g \Delta_{n_2} && (n_1 \beta = n_2 g \text{ and } d_1 \beta = f) \\
 &\cong \Sigma_{a_1} \Delta_{\alpha} \Pi_{d_2} \Delta_{n_2} && \text{(Beck-Chevalley isomorphism)} \\
 &\cong \Sigma_{a_2} \Sigma_{\alpha} \Delta_{\alpha} \Pi_{d_2} \Delta_{n_2} && (a = b\alpha) \\
 &\implies \Sigma_{a_2} \Pi_{d_2} \Delta_{n_2} && \text{(counit of } \Sigma_{\alpha} \dashv \Delta_{\alpha} \text{)} .
 \end{aligned}$$

A corollary to Proposition 1.4 is

**Corollary 1.5.** *If  $\llbracket P_1 \rrbracket \cong \llbracket P_2 \rrbracket$  in  $\text{PolyFun}_{\mathbb{C}}$ , then  $P_1$  and  $P_2$  are related by*

$$\begin{array}{c}
 P_1 : \quad I \xleftarrow{n_1} D_1 \xrightarrow{d_1} A_1 \xrightarrow{a_1} J \\
 \quad \quad \quad \parallel \quad \quad \quad \uparrow \wr \quad \quad \quad \downarrow \wr \quad \quad \quad \parallel \\
 P_2 : \quad I \xleftarrow{n_2} D_2 \xrightarrow{d_2} A_2 \xrightarrow{a_2} J
 \end{array} \quad .$$

This is particularly important as it means that instead of working on polynomial functors up-to strong natural isomorphism, we can work on their representing polynomials.

**1.3. Spans and Polynomial Functors.** There are two ways to lift a span to a polynomial:

**Definition 1.6.** Given a span  $R = \langle f, g \rangle : I \leftarrow X \rightarrow J$ , we define two polynomials in  $\text{PolyDiag}_{\mathbb{C}}[I, J]$ :

$$\langle R \rangle \stackrel{\text{def}}{=} I \xleftarrow{f} X \xrightarrow{1} X \xrightarrow{g} J$$

and

$$[R] \stackrel{\text{def}}{=} I \xleftarrow{f} X \xrightarrow{g} J \xrightarrow{1} J \quad .$$

Any functor of the form  $\llbracket \langle R \rangle \rrbracket$  is called a *linear* polynomial functor.

The terminology “linear” comes from the fact that  $\llbracket \langle R \rangle \rrbracket$  commutes with arbitrary colimits. More precisely, we have

**Lemma 1.7.** *If one writes  $R^{\sim}$  for the span  $R$  with its “legs” reversed, we have an adjunction*

$$\llbracket \langle R \rangle \rrbracket \dashv \llbracket [R^{\sim}] \rrbracket \quad .$$

Composition of spans via pullbacks and composition of polynomials are compatible:

**Lemma 1.8.** *The operations  $\langle \_ \rangle$  and  $[\_]$  from  $\text{Span}_{\mathbb{C}}$  to  $\text{PolyDiag}_{\mathbb{C}}$  are functorial, in a “bicategorical” sense.*

## 2. SYMMETRIC MONOIDAL CLOSED STRUCTURE

**2.1. SMCC Structure for Polynomial Diagrams.** We start by recalling the main definition and result from [Hyv13].

**Definition 2.1.** The category  $\text{PDSim}_{\mathbb{C}}$  has:

- “endo” polynomial diagrams  $I \leftarrow D \rightarrow A \rightarrow I$  as objects
- equivalence classes of “simulation diagrams” as morphisms, where a simulation diagram from  $P_1 = I_1 \leftarrow D_1 \rightarrow A_1 \rightarrow I_1$  to  $P_2 = I_2 \leftarrow D_2 \rightarrow A_2 \rightarrow I_2$  is given by a diagram like

$$\begin{array}{c}
 P_1 : \quad I_1 \longleftarrow D_1 \longrightarrow A_1 \longrightarrow I_1 \\
 \begin{array}{ccccccc}
 & \uparrow r_1 & & \uparrow \beta & & \uparrow & \uparrow r_1 \\
 & \vdots & & \vdots & & \vdots & \vdots \\
 & R & \xleftarrow{\gamma} & \cdots & \xrightarrow{\quad} & R & \\
 & \vdots & & \vdots & & \vdots & \vdots \\
 & \downarrow r_2 & & \downarrow & & \downarrow \alpha & \downarrow r_2
 \end{array} \\
 P_2 : \quad I_2 \longleftarrow D_2 \longrightarrow A_2 \longrightarrow I_2 \quad .
 \end{array}$$

The equivalence relation between such diagrams is detailed in [Hyv13] and corresponds to the equivalence between spans that form the sides of simulations.

We have ([Hyv13]):

**Proposition 2.2.** *The operation  $\otimes$  that acts on objects in a pointwise manner:*

$$P_1 \otimes P_2 \stackrel{\text{def}}{=} I_1 \times I_2 \xleftarrow{n_1 \times n_2} D_1 \times D_2 \xrightarrow{d_1 \times d_2} A_1 \times A_2 \xrightarrow{a_1 \times a_2} J_1 \times J_2$$

*is a tensor product. It gives the category  $\text{PDSim}_{\mathbb{C}}$  a symmetric monoidal closed structure: there is a functor  $\_ \multimap \_ : \text{PDSim}_{\mathbb{C}}^{\text{op}} \times \text{PDSim}_{\mathbb{C}} \rightarrow \text{PDSim}_{\mathbb{C}}$  and an isomorphism*

$$\text{PDSim}_{\mathbb{C}}[P_1 \otimes P_2, P_3] \cong \text{PDSim}_{\mathbb{C}}[P_1, P_2 \multimap P_3],$$

*natural in  $P_1$  and  $P_3$ .*

**2.2. Simulations.** We start by characterizing simulations between polynomials as special 2-cells involving their extensions.

**Proposition 2.3.** *If  $P_1$  and  $P_2$  are polynomial diagrams and  $R$  is a span, any 2-cell*

$$\begin{array}{ccc}
 \mathbb{C}/I_1 & \xrightarrow{[P_1]} & \mathbb{C}/I_1 \\
 \downarrow [\langle R \rangle] & \swarrow \rho & \downarrow [\langle R \rangle] \\
 \mathbb{C}/I_2 & \xrightarrow{[P_2]} & \mathbb{C}/I_2
 \end{array}$$

(where  $\rho$  is a strong natural transformation) is uniquely represented (up-to a choice of pullbacks) by a diagram of the shape

$$\begin{array}{ccccc}
 P_1 : & I_1 & \xleftarrow{n_1} & D_1 & \xrightarrow{d_1} & A_1 & \xrightarrow{a_1} & I_1 \\
 & \uparrow r_1 & & \uparrow \beta & & \uparrow & & \uparrow r_1 \\
 & R & \xleftarrow{\gamma} & R \cdot D_2 & \dashrightarrow & R \cdot A_1 & \dashrightarrow & R \\
 & \uparrow r_2 & & \uparrow & & \uparrow \alpha & & \uparrow r_2 \\
 P_2 : & I_2 & \xleftarrow{n_2} & D_2 & \xrightarrow{d_2} & A_2 & \xrightarrow{a_2} & I_2
 \end{array} \quad . \tag{2.1}$$

*Proof.* As compositions of polynomial functors, both  $\llbracket \langle R \rangle \rrbracket \llbracket P_1 \rrbracket$  and  $\llbracket P_2 \rrbracket \llbracket \langle R \rangle \rrbracket$  are polynomial (Proposition 1.3). We can use Proposition 1.4 to represent the strong natural transformation  $\rho$  by the following diagram:

$$\begin{array}{ccccccc}
 I_1 & \xleftarrow{n_1} & D_1 & \xrightarrow{d_1} & A_1 & \xrightarrow{a_1} & I_1 \\
 \parallel & & \uparrow & \lrcorner & \uparrow & \lrcorner & \uparrow r_1 \\
 I_1 & & X & \longrightarrow & R \cdot A_1 & \longrightarrow & R \\
 \uparrow r_1 & & \uparrow & & \parallel & & \downarrow r_2 \\
 R & \xleftarrow{Y} & U & \dashrightarrow & R \cdot A_1 & & I_2 \\
 \uparrow & & \uparrow & \lrcorner & \downarrow & & \parallel \\
 R & \xleftarrow{\epsilon} & \bullet & \longrightarrow & \bullet & & \\
 \downarrow r_2 & & \downarrow & \lrcorner & \downarrow & & \parallel \\
 I_2 & \xleftarrow{n_2} & D_2 & \xrightarrow{d_2} & A_2 & \xrightarrow{a_2} & I_2
 \end{array} \quad . \tag{2.2}$$

where (i) is a distributivity square. The plain arrows represent the polynomials  $\langle R \rangle P_1$  and  $P_2 \langle R \rangle$ , whose extensions are  $\llbracket \langle R \rangle \rrbracket \llbracket P_1 \rrbracket$  and  $\llbracket P_2 \rrbracket \llbracket \langle R \rangle \rrbracket$ ; and the dashed arrows represent the strong natural transformation between them, as in diagram (1.2).

Going from diagram (2.2) to diagram (2.1) is easy: just follow the arrows and use the pullback lemma to show that square  $(U, R \cdot A_1, A_2, D_2)$  is a pullback and that  $U$  is indeed isomorphic to  $R \cdot D_2$ . The morphisms  $\alpha$ ,  $\beta$  and  $\gamma$  can be read on the diagram.

Going from diagram (2.1) to diagram (2.2) is slightly messier. We choose  $U$  to be  $R \cdot D_2$  and look at the following:

$$\begin{array}{ccccccc}
 I_1 & \xleftarrow{n_1} & D_1 & \xrightarrow{d_1} & A_1 & \xrightarrow{a_1} & J_1 \\
 \parallel & & \uparrow & \lrcorner & \uparrow & \lrcorner & \uparrow r_1 \\
 & & X & \longrightarrow & R \cdot A_1 & \longrightarrow & R \\
 & & \uparrow h & & \parallel & & \downarrow r_2 \\
 & & R \cdot D_2 & \dashrightarrow & R \cdot A_1 & & J_2 \\
 & \nearrow \gamma & \uparrow g & \lrcorner & \downarrow f & & \parallel \\
 I_1 & & Y & \xleftarrow{\epsilon} & \cdot & \longrightarrow & \cdot \\
 \uparrow l_1 & & \downarrow d & \lrcorner & \downarrow (i) & & \downarrow \alpha \\
 R & & & & & & \\
 \downarrow l_2 & & & & & & \\
 I_2 & \xleftarrow{n_2} & D_2 & \xrightarrow{d_2} & A_2 & \xrightarrow{a_2} & J_2
 \end{array}$$

The morphisms  $\alpha$ ,  $\beta$  and  $\gamma$  come from diagram (2.1).

To define  $f$  and  $h$ , write  $\varphi$  for the natural isomorphism  $\mathbb{C}/U[\Sigma_{k-}, -] \implies \mathbb{C}/V[-, \Delta_{k-}]$  and  $\psi$  for the natural isomorphism  $\mathbb{C}/V[\Delta_{k-}, -] \implies \mathbb{C}/U[-, \Pi_{k-}]$ . In particular,  $\epsilon$  is  $\psi^{-1}(1)$ . Then:

- $f$  is constructed from  $\alpha$  and  $\gamma$ :

$$\begin{aligned}
 & \gamma \in \mathbb{C}/I_2[\Sigma_{n_2} \Delta_{d_2}(\alpha), l_2] && \text{see diagram (2.1)} \\
 \Leftrightarrow & g \stackrel{\text{def}}{=} \varphi(\gamma) \in \mathbb{C}/D_2[\Delta_{d_2}(\alpha), \Delta_{n_2}(l_2)] \\
 \Leftrightarrow & f \stackrel{\text{def}}{=} \psi\varphi(\gamma) \in \mathbb{C}/A_2[\alpha, \Pi_{d_2} \Delta_{n_2}(l_2)].
 \end{aligned}$$

By naturality of  $\psi^{-1}$ , the following commutes:

$$\begin{array}{ccc}
 \mathbb{C}/A_2[\Pi_{d_2}(d), \Pi_{d_2}(d)] & \xrightarrow{\psi^{-1}} & \mathbb{C}/D_2[\Delta_{d_2} \Pi_{d_2}(d), d] \\
 \downarrow - \circ f & & \downarrow - \circ \vec{\Delta}_{d_2}(f) \\
 \mathbb{C}/A_2[\alpha, \Pi_{d_2}(d)] & \xrightarrow{\psi^{-1}} & \mathbb{C}/D_2[\Delta_{d_2}(\alpha), d]
 \end{array}$$

where  $\vec{\Delta}_{d_2}(-)$  is the action of the functor  $\Delta_{d_2}$  on morphisms. Starting from the identity, we get  $\psi^{-1}(1) \circ \vec{\Delta}_{d_2}(f) = \psi^{-1}(f)$ , i.e.,  $\epsilon \circ \vec{\Delta}_{d_2}(f) = g$ . This shows that the triangle (ii) commutes.

- We can then construct  $h$  from  $\beta$  by using the fact that  $X$  is a pullback.

The only remaining thing to check is that the upper left rectangle commutes. It follows from  $r_1 \gamma = n_1 \beta$  in diagram (2.1) and the construction.  $\square$



Proposition 2.3 makes it natural to define simulations for arbitrary endofunctors:

**Definition 2.4.** If  $F_1$  and  $F_2$  are two endofunctors over  $\mathbb{C}/I_1$  and  $\mathbb{C}/I_2$ , a *simulation from  $F_1$  to  $F_2$*  is given by a span  $I_1 \leftarrow R \rightarrow I_2$  and a 2-cell of the form

$$\begin{array}{ccc} \mathbb{C}/I_1 & \xrightarrow{F_1} & \mathbb{C}/I_1 \\ \downarrow \llbracket \langle R \rangle \rrbracket & \swarrow \rho & \downarrow \llbracket \langle R \rangle \rrbracket \\ \mathbb{C}/I_2 & \xrightarrow{F_2} & \mathbb{C}/I_2 \end{array}$$

where  $\rho$  is a natural transformation. A simulation  $(R, \rho)$  is *equivalent* to a simulation  $(R', \rho')$  iff  $F_2 \varepsilon \circ \rho = \rho' \circ \varepsilon F_1$  for some natural isomorphism  $\varepsilon : \llbracket \langle R \rangle \rrbracket \rightarrow \llbracket \langle R' \rangle \rrbracket$ .

The category  $\mathbf{FSim}_{\mathbb{C}}$  is defined with:

- endofunctors over slices of  $\mathbb{C}$  as objects,
- equivalence classes of simulations as morphisms.

It is routine to check that this gives a category. (Recall that the composition of two linear functors is again linear by Lemma 1.8). Note that the notion of equivalence of simulations is inherited from  $\mathbf{Span}_{\mathbb{C}}$ : by Corollary 1.5 an isomorphism between  $\llbracket \langle R \rangle \rrbracket$  and  $\llbracket \langle R' \rangle \rrbracket$  amounts to a span isomorphism between  $R$  and  $R'$ . As a particular subcategory, we have

**Definition 2.5.** The category  $\mathbf{PFSim}_{\mathbb{C}}$  is the subcategory of  $\mathbf{FSim}_{\mathbb{C}}$  with

- *polynomial* endofunctors over slices of  $\mathbb{C}$  as objects,
- equivalence classes of *strong* simulations as morphisms

where a simulation  $(R, \rho)$  is strong if and only if the natural transformation  $\rho$  is strong.

There is a functor from  $\mathbf{PDSim}_{\mathbb{C}}$  to  $\mathbf{PFSim}_{\mathbb{C}}$  that sends a polynomial diagram to its corresponding polynomial functor and a simulation diagram to its simulation cell. This functor is

- surjective on objects by the definition of polynomial functor,
- full and faithful by Proposition 2.3.

This implies that

**Proposition 2.6.** *The category  $\mathbf{PDSim}_{\mathbb{C}}$  and the category  $\mathbf{PFSim}_{\mathbb{C}}$  are equivalent.*

**2.3. Tensor Product.** Recall that the tensor of two polynomials is the “pointwise cartesian product”:

$$P_1 \otimes P_2 \stackrel{\text{def}}{=} I_1 \times I_2 \xleftarrow{n_1 \times n_2} D_1 \times D_2 \xrightarrow{d_1 \times d_2} A_1 \times A_2 \xrightarrow{a_1 \times a_2} I_1 \times I_2 \quad .$$

This gives rise to an operation on polynomial functors:  $\llbracket P_1 \rrbracket \otimes \llbracket P_2 \rrbracket \stackrel{\text{def}}{=} \llbracket P_1 \otimes P_2 \rrbracket$ . However, this definition is intensional because it acts on polynomial diagrams, i.e., on representations of polynomial functors. In particular, it doesn’t even make sense for functors that are not polynomial. We will now show that it is possible to characterize  $\llbracket P_1 \otimes P_2 \rrbracket$  by a universal property relying only on  $\llbracket P_1 \rrbracket$  and  $\llbracket P_2 \rrbracket$ , thus giving an extensional definition of the tensor of polynomial functors.

To avoid confusion, we will write  $\square : \mathbb{C}/A \times \mathbb{C}/B \rightarrow \mathbb{C}/_{A \times B}$  for the functor sending  $(x, y)$  in  $\mathbb{C}/A \times \mathbb{C}/B$  to  $x \times y$  in  $\mathbb{C}/_{A \times B}$  and  $(f, g)$  in  $\mathbb{C}/A[x, x'] \times \mathbb{C}/B[y, y']$  to  $f \times g$  in  $\mathbb{C}/_{A \times B}[x \times x', y \times y']$ , we have:

**Proposition 2.7.** *Let  $P_1$  and  $P_2$  be polynomial functors, the polynomial functor  $P_1 \otimes P_2$  is a left Kan-extension along  $\square$ : it is universal s.t.*

$$\begin{array}{ccc} \mathbb{C}/_{I_1} \times \mathbb{C}/_{I_2} & \xrightarrow{\square} & \mathbb{C}/_{I_1 \times I_2} \\ P_1 \times P_2 \downarrow & \nearrow \varepsilon & \downarrow P_1 \otimes P_2 \\ \mathbb{C}/_{I_1} \times \mathbb{C}/_{I_2} & \xrightarrow[\square]{} & \mathbb{C}/_{I_1 \times I_2} \end{array} .$$

More precisely,  $P_1 \otimes P_2 = \text{Lan}_{\square} (P_1(-) \square P_2(-))$  in the category of endofunctors with natural transformations.

**Corollary 2.8.** *If  $\mathbb{C}$  has copowers, denoted by  $\odot$ , we can express left Kan-extensions using coends. We then have*

$$P_1 \otimes P_2(r) = \int^{x,y} \mathbb{C}/_{I_1 \times I_2}[x \square y, r] \odot (P_1(x) \square P_2(y)) .$$

This definition is reminiscent of the tensor of predicate transformers (Definition 7 in [Hyv04]): if  $P_1 : \mathcal{P}(S_1) \rightarrow \mathcal{P}(S_1)$  and  $P_2 : \mathcal{P}(S_2) \rightarrow \mathcal{P}(S_2)$  are monotonic operators on subsets, then

$$\begin{array}{ccc} P_1 \otimes P_2 : \mathcal{P}(S_1 \times S_2) & \rightarrow & \mathcal{P}(S_1 \times S_2) \\ r & \mapsto & \bigcup_{x \times y \subseteq r} P_1(x) \times P_2(y) \end{array} .$$

The proof of proposition 2.7 makes heavy use of the internal language of locally cartesian closed categories. First note that a polynomial  $I \leftarrow D \rightarrow A \rightarrow I$  can be described by the following judgments:

- (1) “ $\vdash$   $I$  type” for the object  $I$  (slice over  $\mathbf{1}$ ),
- (2) “ $i : I \vdash A(i)$  type” for the slice  $a : A \rightarrow I$  in  $\mathbb{C}/_I$ ,
- (3) “ $i : I, a : A(i) \vdash D(i, a)$  type” for the slice  $d : D \rightarrow A$  in  $\mathbb{C}/_A$ ,
- (4) “ $i : I, a : A(i), d : D(i, a) \vdash n(i, a, d) : I$ ” for the morphism  $n : D \rightarrow I$ .

*Proof of proposition 2.7.* Because  $\Sigma_{a_1 \times a_2}(- \square -) = \Sigma_{a_1}(-) \square \Sigma_{a_2}(-)$  is a left-adjoint, it commutes with all colimits, including left Kan-extensions. We thus have

$$\begin{aligned} \text{Lan}_{\square} (P_1(-) \square P_2(-)) &= \text{Lan}_{\square} \left( \Sigma_{a_1} \Pi_{d_1} \Delta_{n_1}(-) \square \Sigma_{a_2} \Pi_{d_2} \Delta_{n_2}(-) \right) \\ &= \text{Lan}_{\square} \left( (\Sigma_{a_1} \square \Sigma_{a_2}) (\Pi_{d_1} \Delta_{n_1}(-) \square \Pi_{d_2} \Delta_{n_2}(-)) \right) \\ &= \text{Lan}_{\square} \left( \Sigma_{a_1 \times a_2} (\Pi_{d_1} \Delta_{n_1}(-) \square \Pi_{d_2} \Delta_{n_2}(-)) \right) \\ &= \Sigma_{a_1 \times a_2} \text{Lan}_{\square} \left( \Pi_{d_1} \Delta_{n_1}(-) \square \Pi_{d_2} \Delta_{n_2}(-) \right) . \end{aligned}$$

To save some parenthesis, we will write  $n_1 \cdot d_1$  instead of  $n_1(i_1, a_1, d_1)$  and similarly for  $n_2 \cdot d_2$ . We write  $F_1$  and  $F_2$  for  $\Pi_{d_1} \Delta_{n_1}$  and  $\Pi_{d_2} \Delta_{n_2}$ . Internally,  $F_1$  is thus  $X \mapsto \prod_{d_1} X(n_1 \cdot d_1)$  and  $F_1 \otimes F_2$  is  $R \mapsto \prod_{d_1, d_2} R(n_1 \cdot d_1, n_2 \cdot d_2)$ .

To reduce verbosity, we will ignore the dependency on  $A_1$  and  $A_2$ , i.e., we'll “pretend” both are equal to  $\mathbf{1}$ . To correct that, one simply needs to add “ $a_1:A_1(i_1), a_2:A_2(i_2)$ ” to all contexts and make the constructions depend on  $a_1$  and  $a_2$ . Recall that if  $X$  and  $V$  are families indexed by  $U$  and  $V$ ,  $X \square Y$  is the family “ $\lambda\langle u, v \rangle. X(u) \times Y(v)$ ” indexed by  $U \times V$ . We use the same notation for functions:  $f \square g$  stands for  $\lambda\langle u, v \rangle. \langle f(u), g(v) \rangle$ . We will

- (1) construct a natural transformation  $\varepsilon : F_1(-) \square F_2(-) \Longrightarrow F_1 \otimes F_2(- \square -)$ ,
- (2) show that  $\varepsilon$  is universal: if  $\rho : F_1(-) \square F_2(-) \Longrightarrow F(- \square -)$ , we construct a unique transformation  $\Theta : F_1 \otimes F_2(-) \Longrightarrow F(-)$  such that  $\Theta \varepsilon = \rho$ .

In the internal language, if  $F$  and  $G$  are two functors from  $\mathbb{C}/U$  to  $\mathbb{C}/V$ , a natural transformation  $\alpha$  from  $F$  to  $G$ , takes the form of

- families of functions  $v : V \vdash \alpha_X(v) : F(X)(v) \rightarrow G(X)(v)$  for any  $U$ -indexed type  $X$ ,
- subject to naturality: if  $f : X \rightarrow Y$ , then  $\alpha_Y F_f = G_f \alpha_X$ , i.e.,

$$\alpha_Y(v)(F_f(v)(y)) = G_f(v)(\alpha_X(v)(y))$$

whenever  $u : U \vdash X(u)$  and  $\vdash v : V$ .

The transformation  $\varepsilon : \prod_{d_1} \Delta_{n_1}(-) \square \prod_{d_2} \Delta_{n_2}(-) \Longrightarrow \prod_{d_1 \times d_2} \Delta_{n_1 \times n_2}(- \square -)$  is defined as follows: for families  $X$  and  $Y$  over  $I_1$  and  $I_2$  and  $\langle h_1, h_2 \rangle : \prod_{d_1} X(n_1 \cdot d_1) \times \prod_{d_2} Y(n_2 \cdot d_2)$ , we put

$$\vdash \varepsilon_{X,Y} \langle h_1, h_2 \rangle \stackrel{\text{def}}{=} \lambda \langle d_1, d_2 \rangle. \langle h_1(d_1), h_2(d_2) \rangle : \prod_{d_1, d_2} X(n_1 \cdot d_1) \times Y(n_2 \cdot d_2).$$

It is easy to check that this is natural. (Categorically speaking,  $\varepsilon_{X,Y} \langle h_1, h_2 \rangle$  is just  $h_1 \times h_2$ .)

To check universality, let  $\rho : \prod_{d_1} \Delta_{n_1}(-) \square \prod_{d_2} \Delta_{n_2}(-) \Longrightarrow F(- \square -)$  for some functor  $F$ . We define the natural transformation  $\Theta : \prod_{d_1 \times d_2} \Delta_{n_1 \times n_2}(-) \Longrightarrow F(-)$  in several steps:

- (1) define the type  $E_1$  indexed by  $I_1$  as “ $i : I_1 \vdash E_1(i) \stackrel{\text{def}}{=} \sum_{d_1 : D_1} \text{Id}(n_1 \cdot d_1, i)$ ” and similarly for “ $i : I_2 \vdash E_2(i)$ ”.<sup>1</sup>
- (2) Thus,  $\vdash \rho_{E_1, E_2} : \prod_{d_1} E_1(n_1 \cdot d_1) \square \prod_{d_2} E_2(n_2 \cdot d_2) \rightarrow F(E_1 \square E_2)$ .
- (3) Moreover, we have

$$\vdash f_1 \stackrel{\text{def}}{=} \lambda d_1. \langle d_1, \text{refl}(n_1 \cdot d_1) \rangle : \prod_{d_1} E_1(n_1 \cdot d_1)$$

and similarly for “ $\vdash f_2$ ”.

- (4) Given  $h$  of type  $\prod_{d_1, d_2} R(n_1 \cdot d_1, n_2 \cdot d_2)$ , we construct

$$h, i_1, i_2 \vdash \bar{h}(i_1, i_2) \stackrel{\text{def}}{=} \lambda \langle \langle d_1, e_1 \rangle, \langle d_2, e_2 \rangle \rangle. h \langle d_1, d_2 \rangle$$

of type  $\prod_{i_1, i_2} E_1(i_1) \times E_2(i_2) \rightarrow R(i_1, i_2)$ , i.e., of type  $E_1 \square E_2 \rightarrow R$ . It works because  $h \langle d_1, d_2 \rangle$  is of type  $R(n_1 \cdot d_1, n_2 \cdot d_2)$  and since  $e_k : \text{Id}(n_k \cdot d_k, i_k)$  ( $k = 1, 2$ ), we can substitute  $n_1 \cdot d_1$  and  $n_2 \cdot d_2$  for  $i_1$  and  $i_2$ .<sup>2</sup>

- (5) We can now define  $\Theta_R$ :

$$h \vdash \Theta_R(h) \stackrel{\text{def}}{=} F_{\bar{h}}(\rho_{E_1, E_2} \langle f_1, f_2 \rangle).$$

This is well typed because  $\rho_{E_1, E_2} \langle f_1, f_2 \rangle$  is of type  $F(E_1 \square E_2)$  by points 2, 3 and because  $F_{\bar{h}}$  is of type  $F(E_1 \square E_2) \rightarrow F(R)$ .

<sup>1</sup> $\text{Id}(\_, \_)$  is the extensional identity type. Its introduction rule is “ $a : A \vdash \text{refl}(a) : \text{Id}(a, a)$ ”.

<sup>2</sup>Strictly speaking, we need to compose with an isomorphism as substitution works only “up-to canonical isomorphisms”, see [Hof95].

We have  $\Theta\varepsilon = \rho$  because:

$$\begin{aligned} \Theta_{X \times Y}(\varepsilon_{X,Y} \langle h_1, h_2 \rangle) &= F_{\overline{h_1 \times h_2}}(\rho_{E_1, E_2} \langle f_1, f_2 \rangle) \\ &= \rho_{X,Y} \langle h_1, h_2 \rangle \end{aligned}$$

where the first equality is the definition of  $\Theta$  and the second follows from naturality of  $\rho$ :

$$\begin{array}{ccc} F_1(E_1) \square F_2(E_2) & \xrightarrow{F_1 \overline{h_1} \square F_2 \overline{h_2}} & F_1(X) \square F_2(Y) \\ \rho_{E_1, E_2} \downarrow & & \downarrow \rho_{X, Y} \\ F(E_1 \square E_2) & \xrightarrow{F_{\overline{h_1 \times h_2}}} & F(X \square Y) \end{array} .$$

It works because the action of  $F_1$  on morphisms is composition:  $F_1 f(h) = f \circ h$ , where  $f$  is of type  $\prod_i X(i) \rightarrow Y(i)$  and  $h : \prod_{d_1} X(n_1 \cdot d_1)$ . With that in mind, we find that

$$\begin{aligned} F_1 \overline{h_1} \square F_2 \overline{h_2} \langle f_1, f_2 \rangle &= \langle \overline{h_1} \circ f_1, \overline{h_2} \circ f_2 \rangle \\ &= \langle \lambda d_1. \overline{h_1} \langle d_1, \text{refl}(n_1 \cdot d_1) \rangle, \dots \rangle \\ &= \langle \lambda d_1. h_1(d_1), \dots \rangle \\ &= \langle h_1, h_2 \rangle . \end{aligned}$$

We now need to show that  $\Theta$  is unique with this property. It follows from the fact that  $\Theta$  is determined by its values on “rectangles”  $X \square Y$ :

$$\begin{aligned} \Theta_R(h) &= F_{\overline{h}}(\Theta_{E_1 \square E_2}(f_1 \times f_2)) \\ &= F_{\overline{h}}(\rho_{E_1 \square E_2} \langle f_1, f_2 \rangle) . \end{aligned}$$

The second equality comes from  $\Theta\varepsilon = \rho$  and the first one follows from the naturality square

$$\begin{array}{ccc} F_1 \otimes F_2(E_1 \square E_2) & \xrightarrow{(F_1 \otimes F_2) \overline{h}} & F_1 \otimes F_2(R) \\ \Theta_{E_1 \square E_2} \downarrow & & \downarrow \Theta_R \\ F(E_1 \square E_2) & \xrightarrow{F_{\overline{h}}} & F(R) \end{array}$$

where like above, we have  $(F_1 \otimes F_2) \overline{h}(f_1 \square f_2) = h$ .

This concludes the proof that  $F_1 \otimes F_2 = \text{Lan}_{\square}(F_1(-) \square F_2(-))$  and thus the proof that  $P_1 \otimes P_2 = \text{Lan}_{\square}(P_1(-) \square P_2(-))$ .  $\square$

This operation is a tensor product. This follows for example from the fact that it is functorial in  $\text{PDSim}_{\mathbb{C}}$  and that  $\text{PFSim}_{\mathbb{C}}$  is equivalent to it (Proposition 2.6), but a direct proof is also possible.

**2.4. SMCC Structure.** From Propositions 2.2, 2.6 and 2.7, we can deduce that

**Proposition 2.9.** *The category  $\text{PFSim}_{\mathbb{C}}$  with  $\otimes$  is symmetric monoidal closed, i.e., there is a functor  $_ \multimap _$  from  $\text{PFSim}_{\mathbb{C}}^{\text{op}} \times \text{PFSim}_{\mathbb{C}}$  to  $\text{PFSim}_{\mathbb{C}}$  with an adjunction*

$$\text{PFSim}_{\mathbb{C}}[P_1 \otimes P_2, P_3] \cong \text{PFSim}_{\mathbb{C}}[P_1, P_2 \multimap P_3],$$

natural in  $P_1$  and  $P_3$ .

The concrete intensional definition of  $P_2 \multimap P_3$ , either in its type theory version or its diagrammatic version is rather verbose (Definition 3.7 or Lemma 3.8 in [Hyv13]) and won't be needed here. However just as with the tensor, it is possible to define  $P_2 \multimap P_3$  without referring to the representing polynomial diagrams. Not surprisingly, it takes the form a right Kan-extension. To simplify the proof, we only state the result for the case  $\mathbb{C} = \text{Set}$ :

**Proposition 2.10.** *Given two polynomial endofunctors  $P_2$  and  $P_3$  respectively on  $\text{Set}/I_2$  and  $\text{Set}/I_3$ , the polynomial endofunctor  $P_2 \multimap P_3$  on  $\text{Set}/I_2 \times I_3$  is a right Kan-extension along  $\triangleright$ : it is universal such that*

$$\begin{array}{ccc} \text{Set}/I_2 \times \text{Set}/I_3 & \xrightarrow{\triangleright} & \text{Set}/I_2 \times I_3 \\ P_2 \times P_3 \downarrow & \swarrow \eta & \downarrow P_2 \multimap P_3 \\ \text{Set}/I_2 \times \text{Set}/I_3 & \xrightarrow{\triangleright} & \text{Set}/I_2 \times I_3 \end{array}$$

where  $\triangleright$  is defined as  $f \triangleright g \stackrel{\text{def}}{=} \Pi_{f \times 1}(1 \times g)$ , or equivalently,  $f \triangleright g \stackrel{\text{def}}{=} \Pi_{f \times 1} \Delta_{\pi_2}(g)$ . More precisely, we have  $P_2 \multimap P_3 = \text{Ran}_{\triangleright}(P_2(-) \triangleright P_3(-))$  in the category of endofunctors with natural transformations.

**Corollary 2.11.** *We have*

$$P_2 \multimap P_3(r) = \int_{y,z} \text{Set}/I_2 \times I_3[r, y \triangleright z] \pitchfork (P_2(y) \triangleright P_3(z))$$

where  $\pitchfork$  is the “power” operation.

Note that in the internal language,  $Y \triangleright Z$  is “ $i_2 : I_2, i_3 : I_3 \vdash Y(i_2) \rightarrow Z(i_3)$ ”. Before proving Proposition 2.10, we show:

**Lemma 2.12.** *There is a natural isomorphism*

$$\mathbb{C}/I_3[\langle r \rangle(y), z] \cong \mathbb{C}/I_2 \times I_3[r, y \triangleright z].$$

*Proof.* In the internal language, those homsets correspond to the types

- $\prod_{i_3} (\sum_{i_2} R(i_2, i_3) \times Y(i_2)) \rightarrow Z(i_3)$
- and  $\prod_{i_2, i_3} R(i_2, i_3) \rightarrow (Y(i_2) \rightarrow Z(i_3))$ ,

which are indeed isomorphic. □

*Proof of Proposition 2.10.* We will show, using the formulas for Kan extensions and the calculus of ends and coends [Lan98], that the adjoint to  $P_1 \otimes \_$  (as given by proposition 2.7) is necessarily the above right Kan-extension.

Suppose  $P_1, P_2$  and  $P_3$  are polynomial functors with domains  $I_1, I_2$  and  $I_3$ . Let  $R$  be a span between  $I_1 \times I_2$  and  $I_3$ . We write  $R'$  for the corresponding span between  $I_1$  and  $I_2 \times I_3$ . Besides the previous lemmas and propositions, we will use:

- if  $K_1 \dashv K_2$ , there is a natural isomorphism  $\text{Nat}(FK_2, G) \cong \text{Nat}(F, GK_1)$  for all functors  $F$  and  $G$  (note the inversion of left and right);
- the power  $X \pitchfork \_$  is right-adjoint to the copower  $X \odot \_$ ;
- if  $K_1 \dashv K_2$ , then  $[X \odot A, K_2(B)] \cong [X \odot K_1(A), B]$ , and similarly for  $\pitchfork$ ;
- $\langle \langle R'(x) \rangle \rangle (y) \cong \langle R \rangle (x \square y)$ : in the internal language, they are respectively

$$i_3 \vdash \sum_{i_2} \left( \sum_{i_1} R(i_1, i_2, i_3) \times X(i_1) \right) \times Y(i_2)$$

and

$$i_3 \vdash \sum_{i_1, i_2} R(i_1, i_2, i_3) \times (X(i_1) \times Y(i_2))$$

which are naturally isomorphic.

We have:

$$\begin{aligned} & \text{Nat} \left( \langle R' \rangle P_1, \text{Ran}_{\triangleright} (P_2(-) \triangleright P_3(-)) \langle R' \rangle \right) \\ & \cong \int_x \text{Set}_{/I_2 \times I_3} \left[ \langle R' \rangle P_1(x), \text{Ran}_{\triangleright} (P_2(-) \triangleright P_3(-)) \langle R' \rangle (x) \right] \\ & \cong \int_x \text{Set}_{/I_2 \times I_3} \left[ \langle R' \rangle P_1(x), \int_{y,z} \text{Set}_{/I_2 \times I_3} [\langle R' \rangle (x), y \triangleright z] \pitchfork (P_2(y) \triangleright P_3(z)) \right] \\ & \cong \int_{x,y,z} \text{Set}_{/I_2 \times I_3} \left[ \langle R' \rangle P_1(x), \text{Set}_{/I_2 \times I_3} [\langle R' \rangle (x), y \triangleright z] \pitchfork (P_2(y) \triangleright P_3(z)) \right] \\ & \cong \int_{x,y,z} \text{Set}_{/I_2 \times I_3} \left[ \text{Set}_{/I_2 \times I_3} [\langle R' \rangle (x), y \triangleright z] \odot \langle R' \rangle P_1(x), P_2(y) \triangleright P_3(z) \right] \\ & \cong \int_{x,y,z} \text{Set}_{/I_3} \left[ \text{Set}_{/I_3} [\langle \langle R' \rangle (x) \rangle (y), z] \odot \langle \langle R' \rangle P_1(x) \rangle P_2(y), P_3(z) \right] \\ & \cong \int_{x,y,z} \text{Set}_{/I_3} \left[ \text{Set}_{/I_3} [\langle R \rangle (x \square y), z] \odot \langle R \rangle (P_1(x) \square P_2(y)), P_3(z) \right] \\ & \cong \int_{x,y,z} \text{Set}_{/I_1 \times I_2} \left[ \text{Set}_{/I_1 \times I_2} [x \square y, [R^\sim](z)] \odot (P_1(x) \square P_2(y)), [R^\sim] P_3(z) \right] \\ & \cong \int_z \text{Set}_{/I_1 \times I_2} \left[ \int^{x,y} \text{Set}_{/I_1 \times I_2} [x \square y, [R^\sim](z)] \odot (P_1(x) \square P_2(y)), [R^\sim] P_3(z) \right] \\ & \cong \int_z \text{Set}_{/I_1 \times I_2} \left[ P_1 \otimes P_2([R^\sim](z)), [R^\sim] P_3(z) \right] \\ & \cong \text{Nat} \left( P_1 \otimes P_2 [R^\sim], [R^\sim] P_3 \right) \\ & \cong \text{Nat} \left( \langle R \rangle P_1 \otimes P_2 [R^\sim], P_3 \right) \end{aligned}$$

$$\cong \text{Nat}\left(\langle R \rangle P_1 \otimes P_2, P_3 \langle R \rangle\right).$$

Because in  $\text{Set}$ , all natural transformations are strong, these calculations show that there is a natural isomorphism between  $\text{PFSim}_{\text{Set}}[P_1 \otimes P_2, P_3]$  and  $\text{PFSim}_{\text{Set}}[P_1, \text{Ran}_{\triangleright}(P_2(-) \triangleright P_3(-))]$ . Note that because adjoints are unique up-to isomorphisms, the functor we just defined is necessarily isomorphic to the one defined on polynomial diagrams in [Hyv13]. This implies that  $P_2 \multimap P_2$  is indeed well defined and that the Kan extension exists.  $\square$

The previous proof relied on the fact that  $\mathbb{C}$  is  $\text{Set}$  in two ways:

- strong natural transformations and natural transformations are the same thing, so that strong natural transformations can be expressed as an end,
- $\text{Set}$  has powers and copowers, so that we can use the end / coend formulas for  $\_ \multimap \_$  and  $\_ \otimes \_$ .

Proposition 2.10 holds for arbitrary  $\mathbb{C}$ , but the sequence of computations needs to be rewritten to use only the universal properties of left and right Kan extensions, and we need to check that all the natural isomorphisms respect the strength.

**2.5. Special Case: Polynomial Presheaves and Day's Convolution.** When  $M$  is a small monoidal category, presheaves over  $M$  have a monoidal structure using Day's convolution product:

$$F \otimes G \stackrel{\text{def}}{=} \int^{a,b \in M} M[\_, a \otimes b] \times F(a) \times G(b)$$

whenever  $F, G : M^{\text{op}} \rightarrow \text{Set}$ . Moreover, this tensor has a right adjoint making presheaves a symmetric monoidal *closed* category. The category  $M = \text{Set}^{\text{op}}$  is monoidal but not small. For  $F, G : \text{Set} \rightarrow \text{Set}$ , the formula for Day's convolution becomes

$$F \otimes G \stackrel{\text{def}}{=} \int^{a,b \in \text{Set}} \text{Set}[a \times b, \_] \times F(a) \times G(b).$$

This coend needs not exist as it is indexed by a large category. However, when  $F$  and  $G$  are polynomial, this is just a special case of Corollary 2.8.

**Definition 2.13.** Call a presheaf  $P : \text{Set} \rightarrow \text{Set}$  polynomial if it is equivalent to

$$X \mapsto \sum_{a \in A} X^{D(a)}$$

for some set  $A$  and family  $D : A \rightarrow \text{Set}$ .

Corollary 2.8 implies that polynomial presheaves are closed under Day's convolution and we have the explicit formula:

$$\left( \sum_{a_1 \in A_1} X^{D_1(a_1)} \right) \otimes \left( \sum_{a_2 \in A_2} X^{D_2(a_2)} \right) \cong \sum_{(a_1, a_2) \in A_1 \times A_2} X^{D_1(a_1) \times D_2(a_2)}. \quad (2.3)$$

Moreover, the right-adjoint is also polynomial:

**Proposition 2.14.** *The category of polynomial endofunctors on  $\text{Set}$  with Day's convolution product is symmetric monoidal closed.*

*Proof.* The category of polynomial endofunctors on  $\mathbf{Set}$  with natural transformations between them is a (non full) subcategory of  $\mathbf{PFSim}_{\mathbf{Set}}$ . It is thus closed under  $_{-} \otimes _{-}$  and  $_{-} \multimap _{-}$ .

To show that  $\otimes$  and  $\multimap$  are still adjoint in this category, we can rewrite the same proof as for Proposition 2.10 and replace  $R$  everywhere by the trivial span  $\langle 1, 1 \rangle$ . The proof carries through.  $\square$

There is an explicit formula for the right-adjoint  $_{-} \multimap _{-}$ , but it is much less elegant than the formula for the tensor:

$$\left( \sum_{a_1 \in A_1} X^{D_1(a_1)} \right) \multimap \left( \sum_{a_2 \in A_2} X^{D_2(a_2)} \right) \cong \sum_{c \in C} X^{E(c)} \quad (2.4)$$

where

$$C \stackrel{\text{def}}{=} \sum_{f \in A_1 \rightarrow A_2} \prod_{a_1 \in A_1} D_1(a_1)^{D_2(f(a_1))} \quad \text{and} \quad E((f, \phi)) \stackrel{\text{def}}{=} \sum_{a_1 \in A_1} D_2(f(a_1)) .$$

(See [Hyv13].)

### 3. THE REST: ADDITIVE AND EXPONENTIAL STRUCTURE

**3.1. Additive Structure.** In [Hyv13], it was shown that the category of polynomial diagrams with simulations also has a cartesian / cocartesian structure whenever  $\mathbb{C}$  has a well behaved coproduct. The coproduct of two diagrams, which is also their product is defined as:

$$P_1 \oplus P_2 \stackrel{\text{def}}{=} I_1 + I_2 \xleftarrow{n_1+n_2} D_1 + D_2 \xrightarrow{d_1+d_2} A_1 + A_2 \xrightarrow{a_1+a_2} J_1 + J_2 \quad .$$

A category  $\mathbb{C}$  is *extensive* if the canonical functor  $\mathbb{C}/_{I_1} \times \mathbb{C}/_{I_2} \rightarrow \mathbb{C}/_{I_1+I_2}$  sending  $(f_1, f_2)$  to  $f_1 + f_2$  is an equivalence of category. It implies in particular the following:

**Lemma 3.1.** *If  $\mathbb{C}$  is locally cartesian closed and extensive, we have*

- $\Sigma_{f+g}(k+l) \cong \Sigma_f(k) + \Sigma_g(l)$ ,
- $\Delta_{f+g}(k+l) \cong \Delta_f(k) + \Delta_g(l)$ ,
- $\Pi_{f+g}(k+l) \cong \Pi_f(k) + \Pi_g(l)$

*whenever the expressions make sense.*

With that in mind, we have directly that  $[[P_1 \oplus P_2]](x+y) \cong [[P_1]](x) + [[P_2]](y)$  whenever  $x \in \mathbb{C}/_{I_1}$  and  $y \in \mathbb{C}/_{I_2}$ . We can thus express the additive structure on polynomial functors without referring to the underlying polynomials. We have

**Lemma 3.2.** *If  $\mathbb{C}$  is extensive with an initial object  $\mathbf{0}$ , then:*

- (1) *the unique functor from  $\mathbb{C}/_{\mathbf{0}}$  to itself is a zero object in  $\mathbf{FSim}_{\mathbb{C}}$ ,*
- (2) *if we define  $F_1 \oplus F_2$  on  $\mathbb{C}/_{I_1+I_2} \cong \mathbb{C}/_{I_1} \times \mathbb{C}/_{I_2}$  with*

$$F_1 \oplus F_2(x+y) \stackrel{\text{def}}{=} F_1(x) + F_2(y) ,$$

*then  $_{-} \oplus _{-}$  is a product as well as a coproduct in the category  $\mathbf{FSim}_{\mathbb{C}}$ .*

- (3)  *$\mathbf{0}$  and  $_{-} \oplus _{-}$  are a zero object and a product/coproduct in  $\mathbf{PFSim}_{\mathbb{C}}$  as well.*



*Proof.* The first point is direct. The second point boils down to the following: because  $\mathbb{C}$  is extensive, any span  $I_1 + I_2 \leftarrow R \rightarrow J$  is isomorphic to a span  $I_1 + I_2 \leftarrow R_1 + R_2 \rightarrow J$  where the left leg is  $r_1 + r_2$  with  $r_k : I_k \rightarrow R_k$  and the right leg is  $[s_1, s_2]$ , with  $s_k : R_k \rightarrow J$ . Let's write  $R_k$  for the obvious span  $I_k \leftarrow R_k \rightarrow J$ : its legs are  $r_k$  and  $s_k$ . Extensivity of  $\mathbb{C}$  implies that:

- $[[\langle R \rangle]](x + y) = [[\langle R_1 \rangle]](x), [[\langle R_2 \rangle]](y) : \mathbb{C}/J$  for any  $x + y : \mathbb{C}/_{I_1+I_2}$ ;
- $[[[R^\sim]]](z) = [[[R_1^\sim]]](z) + [[[R_2^\sim]]](z) : \mathbb{C}/_{I_1+I_2}$  for any  $z : \mathbb{C}/J$ . (Recall that  $[[[R^\sim]]]$  is the right adjoint of  $[[\langle R \rangle]]$  as per Lemma 1.7.)

We have:

$$\begin{aligned}
& \text{Nat}\left(\langle R \rangle F_1 \oplus F_2, G \langle R \rangle\right) \\
& \cong \text{Nat}\left(\langle R \rangle F_1 \oplus F_2 [R^\sim], G\right) \\
& \cong \int_{z \in \mathbb{C}/J} \mathbb{C}/J \left[ \langle R \rangle F_1 \oplus F_2 [R^\sim](z), G(z) \right] \\
& \cong \int_z \mathbb{C}/J \left[ \langle R \rangle F_1 \oplus F_2 \left( [R_1^\sim](z) + [R_2^\sim](z) \right), G(z) \right] \\
& \cong \int_z \mathbb{C}/J \left[ \langle R \rangle \left( F_1 [R_1^\sim](z) + F_2 [R_2^\sim](z) \right), G(z) \right] \\
& \cong \int_z \mathbb{C}/J \left[ \langle R_1 \rangle F_1 [R_1^\sim](z) + \langle R_2 \rangle F_2 [R_2^\sim](z), G(z) \right] \\
& \cong \int_z \mathbb{C}/J \left[ \langle R_1 \rangle F_1 [R_1^\sim](z), G(z) \right] \times \mathbb{C}/J \left[ \langle R_2 \rangle F_2 [R_2^\sim](z), G(z) \right] \\
& \cong \int_z \mathbb{C}/J \left[ \langle R_1 \rangle F_1 [R_1^\sim](z), G(z) \right] \times \int_z \mathbb{C}/J \left[ \langle R_2 \rangle F_2 [R_2^\sim](z), G(z) \right] \\
& \cong \text{Nat}\left(\langle R_1 \rangle F_1 [R_1^\sim], G\right) \times \text{Nat}\left(\langle R_2 \rangle F_2 [R_2^\sim], G\right) \\
& \cong \text{Nat}\left(\langle R_1 \rangle F_1, G \langle R_1 \rangle\right) \times \text{Nat}\left(\langle R_2 \rangle F_2, G \langle R_2 \rangle\right).
\end{aligned}$$

This shows that  $\oplus$  is indeed the coproduct in  $\text{FSim}_{\mathbb{C}}$ . Note that this proof doesn't rely on the functors  $F_1$  and  $F_2$  being polynomial. The proof that it is also a product is similar.

To get the last point, i.e., that  $\_ \oplus \_$  is also a coproduct in  $\text{PFSim}_{\mathbb{C}}$ , one needs to show that the natural isomorphisms given preserves the strengths of natural transformations. This is left as an exercise... Another way to prove the last point is simply to use Lemma 3.1 and the fact that  $\oplus$  is the product and coproduct in  $\text{PDSim}_{\mathbb{C}}$  [Hyv13].  $\square$

**3.2. Exponential Structure.** As hinted in [Hyv13], the category of  $\text{PDSim}_{\text{Set}}$  has free commutative  $\otimes$ -comonoids. For a set  $I$ , we write  $\mathcal{M}_f(I)$  for the collection of finite multisets of elements of  $I$ . The free commutative  $\otimes$ -comonoid for  $I \leftarrow D \rightarrow A \rightarrow I$  is given by

$$\mathcal{M}_f(I) \xleftarrow{c_I \circ n^*} D^* \xrightarrow{d^*} A^* \xrightarrow{c_I \circ a^*} \mathcal{M}_f(I) \quad (3.1)$$

where

- $_*$  :  $\text{Set} \rightarrow \text{Set}$  is the “list functor” sending a set  $X$  to the collection of finite sequences of elements in  $X$ ,
- $c_I : I^* \rightarrow \mathcal{M}_f(I)$  sends a sequence to its equivalence class under permutations (multiset).

**Conjecture 3.3.** In  $\text{PFSim}_{\text{Set}}$ , the free commutative  $\otimes$ -comonoid over  $F$  is given by

$$!F \stackrel{\text{def}}{=} \Sigma_{c_I} \circ F^* \circ \Delta_{c_I} .$$

This conjecture is a strengthening of the following lemma:

**Lemma 3.4.** *If we write  $\text{PFSim}_{\text{Set}\sim}$  for the category of polynomial functors and simulations, where two simulations  $(R, \rho)$  and  $(R', \rho')$  are identified when  $R \cong R'$ , then:*

- $\text{PFSim}_{\text{Set}\sim}$  with  $\otimes$  and  $- \circ$  is symmetric monoidal closed,
- $\text{PFSim}_{\text{Set}\sim}$  with  $\mathbf{0}$  and  $\oplus$  is cartesian and cocartesian,
- $\text{PFSim}_{\text{Set}\sim}$  has free commutative  $\otimes$ -comonoids given by

$$!F \stackrel{\text{def}}{=} \Sigma_{c_I} \circ F^* \circ \Delta_{c_I} .$$

*Proof.* The first two points follow from earlier results in the paper, and the third one is a consequence of the fact that (3.1) is the free commutative  $\otimes$ -comonoid in  $\text{PDSim}_{\text{Set}\sim}$  [Hyv13]. It gives the extensional definition of the lemma since it implies that  $[[!P]] = \Sigma_{c_I} \circ [[P]]^* \circ \Delta_{c_I}$ .  $\square$

It doesn't look too difficult to extend the proof that (3.1) gives the free commutative  $\otimes$ -comonoid in  $\text{PDSim}_{\text{Set}\sim}$  (Proposition 3.2 in [Hyv13]) to the whole of  $\text{PDSim}_{\text{Set}}$ . A complete and concise (or at least readable) proof of this fact would be most welcome and would prove the conjecture...

**3.3. Failure of Classical (Linear) Logic.** It is natural to ask if the resulting model for intuitionistic linear logic can be extended to a model for classical linear logic, i.e., if the monoidal closed structure of the category  $\text{PFSim}_{\text{Set}}$  can be extended to a  $*$ -autonomous structure. The answer is, perhaps unsurprisingly, no.

The full proof isn't very enlightening but let's look at what happens with the “natural” choice of  $\perp \stackrel{\text{def}}{=} 1 \leftarrow 1 \rightarrow 1 \rightarrow 1$  as a potential dualizing object. Take arbitrary objects  $A$  and  $B$  in  $\mathbb{C}$  and define the polynomial functor  $P_{A,B}(X) = A \times X^B$ . As in the case of presheaves on sets (page 15), there is a simple explicit formula for  $P_{A,B}^\perp = P_{A,B} - \circ \perp$ : we have  $P_{A,B}^\perp(X) = B^A \times X^A$  and

$$P_{A,B}^{\perp\perp}(X) = A^{B^A} X^{B^A} .$$

Asking that the canonical simulation from  $P_{A,B}$  to  $P_{A,B}^{\perp\perp}$  is an isomorphism would imply (by a variant of Corollary 1.5) that the canonical map from  $A$  to  $A^{B^A}$  in  $\mathbb{C}$  is an isomorphism. This is not possible in general:

**Lemma 3.5.** *Any cartesian closed category  $\mathbb{C}$  in which the canonical natural transformation from  $A$  to  $A^{B^A}$  is an isomorphism is posetal.*

*If  $\mathbb{C}$  is also cocartesian, then it becomes a (possibly large) “pre boolean algebra”.*

*Proof.* Because  $\mathbb{C}$  is cartesian closed, we may use simply typed  $\lambda$ -calculus as its internal language. We'll write  $A^B$  as  $B \Rightarrow A$  as is natural in type theory. Taking both  $A$  and  $B$  to be  $C \times C$  above, we have the following isomorphism:

$$\begin{aligned} \mathbb{C}[1, C \times C] &\cong \mathbb{C}[1, (C \times C \Rightarrow C \times C) \Rightarrow C \times C] \\ &\cong \mathbb{C}[C \times C \Rightarrow C \times C, C \times C] \end{aligned}$$

where each pair  $\langle x, y \rangle$  in  $\mathbb{C}[1, C \times C]$  is sent to  $\lambda f. \langle x, y \rangle$  in  $\mathbb{C}[C \times C \Rightarrow C \times C, C \times C]$ .

Take  $c_1, c_2$  in  $\mathbb{C}[1, C]$ , we have  $\varphi = \lambda f. f \langle c_1, c_2 \rangle$  in  $\mathbb{C}[C \times C \Rightarrow C \times C, C \times C]$  which satisfies  $\varphi \text{ id} = \langle c_1, c_2 \rangle$  and  $\varphi \text{ tw} = \langle c_2, c_1 \rangle$ , where  $\text{tw}$  in  $\mathbb{C}[C \times C, C \times C]$  exchanges the left and right components of a pair. However, Because of the isomorphism above,  $\varphi$  must be of the form  $\lambda f. \langle x, y \rangle$  for some  $x$  and  $y$  in  $\mathbb{C}[1, C]$ . This implies that  $\varphi \text{ id} = \varphi \text{ tw}$ , and thus, that  $c_1 = c_2$ . Because  $\mathbb{C}$  is cartesian closed, any  $f_1, f_2$  in  $\mathbb{C}[A, B]$  correspond precisely to constants  $\lceil f_1 \rceil$  and  $\lceil f_2 \rceil$  in  $\mathbb{C}[1, A \Rightarrow B]$  and are thus equal. The category  $\mathbb{C}$  is thus posetal.

Because  $\mathbb{C}$  is cartesian closed, it is thus a (possibly large) Heyting semi-lattice; and if  $\mathbb{C}$  is also cocartesian, it becomes a Heyting algebra. Now, the existence of a transformation from  $(A \Rightarrow B) \Rightarrow A$  to  $A$  amounts to saying the law of Peirce is satisfied. This makes the Heyting algebra boolean... □

Note however that the model of predicate transformers from [Hyv04] can be seen as a “proof irrelevant” variant of  $\text{PFSim}_{\text{Set}}$ :

- we collapse the categories  $\text{Set}/I$  into preorders, making each  $\text{Set}/I$  equivalent to the algebra of subsets of  $I$ ,
- we collapse the categories of spans into preorders, making each  $\text{Span}[I, J]$  equivalent to the algebra of relations between  $I$  and  $J$ ,
- we identify simulations  $(R, \alpha)$  and  $(R, \beta)$ .

This gives a non-trivial  $*$ -autonomous category:

- polynomial functors on  $\text{Set}/I$  become monotonic transformations on  $\mathcal{P}(I)$ , all of which are in fact “polynomial”,
- simulations become relations satisfying a closure property,
- duality gives  $P^\perp(x) = \overline{P(\overline{x})}$  whenever  $P : \mathcal{P}(I) \rightarrow \mathcal{P}(I)$ , where  $\overline{y}$  is the complement of  $y$  with respect to  $I$ .
- the dualizing object is  $\mathbf{1}$ , the unit of the tensor, i.e.,  $\mathbf{1} = \perp$ .
- However, the category is not compact closed as  $\_ \otimes \_$  is different from its dual.

**3.4. Future Work.** The first question that comes to mind is what happens if we consider analytic functors on  $\text{Set}$ , i.e., those of the form

$$X \mapsto \sum_{a \in A} X^{D(a)} /_{G(a)}$$

where each  $G(a)$  is a subgroup of the automorphisms of  $D(a)$ , acting in an obvious way on  $X^{D(a)}$ . Formula 2.3 from Section 2.5 has a natural generalization to this context. Does it work as an intensional formula for Day’s convolution? What about the analogous to formula 2.4?

## 4. THANKS

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## APPENDIX A. LOCALLY CARTESIAN CLOSED CATEGORIES

For a category  $\mathbb{C}$  with finite limits, we write “ $\mathbf{1}$ ” for its terminal object and “ $A \times B$ ” for the cartesian product of  $A$  and  $B$ . The “pairing” of  $f : C \rightarrow A$  and  $g : C \rightarrow B$  is written  $\langle f, g \rangle : C \rightarrow A \times B$ .

If  $f : A \rightarrow B$  is a morphism, it induces a pullback functor  $\Delta_f$  from slices over  $B$  to slices over  $A$ . This functor has a left adjoint  $\Sigma_f$  which is “pre-composition by  $f$ ”. When all the  $\Delta_f$ s also have a right adjoint, we say that  $\mathbb{C}$  is *locally cartesian closed*. The right adjoint is written  $\Pi_f$ . We thus have

$$\Sigma_f \dashv \Delta_f \dashv \Pi_f .$$

Besides the isomorphisms coming from the adjunctions, slices enjoy two fundamental properties:

- *the Beck-Chevalley isomorphisms:*

$$\Pi_g \Delta_l \cong \Delta_k \Pi_f \quad \text{and} \quad \Sigma_g \Delta_l \cong \Delta_k \Sigma_f$$

whenever

$$\begin{array}{ccc} \cdot & \xrightarrow{g} & \cdot \\ \downarrow l & \lrcorner & \downarrow k \\ \cdot & \xrightarrow{f} & \cdot \end{array}$$

is a pullback,

- *distributivity:* when  $b : C \rightarrow B$  and  $a : B \rightarrow A$ , we have a commuting diagram

$$\begin{array}{ccc} \cdot & \xrightarrow{a'} & \cdot \\ \swarrow \epsilon & \lrcorner & \downarrow u \stackrel{\text{def}}{=} \Pi_a(b) \\ C & \xrightarrow{u'} & B \\ \searrow b & & \xrightarrow{a} A \end{array} \quad (\text{A.1})$$

where  $\epsilon$  is the co-unit of  $\Delta_a \dashv \Pi_a$ . For such a diagram, we have

$$\Pi_a \Sigma_b \cong \Sigma_u \Pi_{a'} \Delta_\epsilon .$$

## APPENDIX B. DEPENDENT TYPE THEORY

In [See84], Seely showed how an extensional version of Martin L of’s theory of dependent types [ML84] could be regarded as the internal language for locally cartesian closed categories. A little later, Hofmann showed in [Hof95] that Seely’s interpretation works only “up-to canonical isomorphisms” and proposed a solution.

A type  $A$  in context  $\Gamma$ , written “ $\Gamma \vdash A$  type” is interpreted as a morphism  $a : \Gamma_A \rightarrow \Gamma$ , that is as an object in the slice over (the interpretation of)  $\Gamma$ . Then, a term of type  $A$  in context  $\Gamma$ , written “ $\Gamma \vdash t : A$ ” is interpreted as a morphism  $u : \Gamma \rightarrow \Gamma_A$  such that  $au = 1$ , i.e., a section of (the interpretation of) its type. When  $A$  is a type in context  $\Gamma$ , we usually write  $A(\gamma)$  to emphasize the dependency on the context and we silently omit irrelevant

parameters. If we write  $\llbracket \Gamma \vdash A \rrbracket = a$  to mean that the interpretation of type  $\Gamma \vdash A$  is  $a$ , the main points of the Seely semantics are:

$$\frac{\llbracket \Gamma \vdash A \rrbracket = a \quad \llbracket \Gamma, x : A \vdash B(x) \rrbracket = b}{\llbracket \Gamma \vdash \prod_{x:A} B(x) \rrbracket = \Pi_a(b)} \quad \text{product ,}$$

$$\frac{\llbracket \Gamma \vdash A \rrbracket = a \quad \llbracket \Gamma, x : A \vdash B(x) \rrbracket = b}{\llbracket \Gamma \vdash \sum_{x:A} B(x) \rrbracket = \Sigma_a(b)} \quad \text{sum ,}$$

$$\frac{\llbracket \Gamma \vdash \vec{u} : \Delta \rrbracket = f \quad \llbracket \Delta \vdash A(\vec{x}) \rrbracket = u}{\llbracket \Gamma \vdash A(\vec{u}) \rrbracket = \Delta_f(u)} \quad \text{substitution .}$$

Of particular importance is the distributivity condition (A.1) whose type theoretic version is an intensional version of the axiom of choice:

$$\Gamma \vdash \prod_{x:A} \sum_{y:B(x)} U(x, y) \quad \cong \quad \Gamma \vdash \sum_{f:\prod_{x:A} B(x)} \prod_{x:A} U(x, f(x)) . \quad (\text{B.1})$$

Extensional type theory has a special type for equality “proofs”. Its formation and introduction rules are as follows:

$$\frac{\Gamma \vdash u_1 : A \quad \Gamma \vdash u_2 : A}{\Gamma \vdash \text{Id}_A(u_1, u_2)} \quad \frac{\Gamma \vdash u : A}{\Gamma \vdash \text{refl}_A(u) : \text{Id}_A(u, u)} .$$

This type for equality is “extensional” because having an inhabitant of  $\text{Id}_X(u, v)$  implies that  $u$  and  $v$  are definitionally (extensionally) equal. This is reflected in its interpretation:

$$\frac{\llbracket \Gamma \vdash u_1 : A \rrbracket = f_1 \quad \llbracket \Gamma \vdash u_2 : A \rrbracket = f_2}{\llbracket \Gamma \vdash \text{Id}_X(x_1, x_2) \rrbracket = \text{eq}(f_1, f_2)} \quad \text{identity type}$$

where  $\text{eq}(f_1, f_2)$  is the equalizer of  $f_1$  and  $f_2$ . (This is indeed a slice over the interpretation of  $\Gamma$ .)