

# On the normal class of curves and surfaces Alfrederic Josse, Françoise Pene

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#### ON THE NORMAL CLASS OF CURVES AND SURFACES

ALFREDERIC JOSSE AND FRANÇOISE PÈNE

ABSTRACT. We are interested in the normal class of an algebraic surface  $\mathcal{S}$  of the complex projective space  $\mathbb{P}^3$ , that is the number of normal lines to  $\mathcal{S}$  passing through a generic point of  $\mathbb{P}^3$ . Thanks to the notion of normal polar, we state a formula for the normal class valid for a general surface  $\mathcal{S}$ . We give a generic result and we illustrate our formula with examples. We complete our work with a generalization of Salmon's formula for the normal class of a Plücker curve to any planar curve with any kind of singularity. This last formula gives directly the normal class of any cylinder and of any surface of revolution of  $\mathbb{P}^3$ .

#### INTRODUCTION

The notion of normal lines to an hypersurface of an euclidean space is extended here to the complex projective space  $\mathbb{P}^n$ . The aim of the present work is to study the normal class  $c_{\nu}(\mathcal{S})$ of a surface S of  $\mathbb{P}^3$ , that is the number of  $m \in S$  such that the projective normal line  $\mathcal{N}_m(S)$  to  $\mathcal{S}$  at m passing through a generic  $m_1 \in \mathbb{P}^3$  (see Section 1 for a precise definition of the projective normal lines and of the normal class of an hypersurface of  $\mathbb{P}^n$ ). The fact that  $PGL(n, \mathbb{C})$  does not preserve normal lines complicates our study compared to the study of tangent hyperplanes (see Section 1). We prove namely the following result valid for a wide family of surfaces of  $\mathbb{P}^3$ . We recall that the umbilical curve at infinity  $\mathcal{C}_{\infty}$  is the intersection of  $\mathcal{H}_{\infty}$  with any sphere (see Section 4.1 for a precise definition). Let S = V(F) be an irreducible surface of  $\mathbb{P}^3$ . We write  $S_{\infty} = S \cap \mathcal{H}_{\infty}$ . Observe that singular points of  $S_{\infty}$  correspond to points of tangency of S with  $\mathcal{H}_{\infty}$ . In the statement of our first result, we assume that  $\mathcal{S}$  is smooth and that  $\mathcal{S}_{\infty}$  has no worse singularities than ordinary multiple points and ordinary cusps.

**Theorem 1** (n=3). Let  $S \subset \mathbb{P}^3$  be a smooth irreducible surface of degree  $d_S$  such that:

- (i) S has a finite number of points of contact with  $\mathcal{H}_{\infty}$  and  $\mathcal{S}_{\infty}$  has a finite number of points of contact with  $\mathcal{C}_{\infty}$ ,
- (ii) any singular point of  $\mathcal{S}_{\infty}$  is either an ordinary multiple point or an ordinary cusp,
- (iii) at any (non singular) contact point of  $\mathcal{S}_{\infty}$  with  $\mathcal{C}_{\infty}$ , the contact is ordinary,
- (iv) at any singular point of  $S_{\infty}$  contained in  $C_{\infty}$ ,  $S_{\infty}$  meets  $C_{\infty}$  transversally.

Then the normal class of S is

$$c_{\nu}(\mathcal{S}) = d_{\mathcal{S}}^3 - d_{\mathcal{S}}^2 + d_{\mathcal{S}} - \sum_{k \ge 2} ((k-1)^2 m_{\infty}^{*(k)} + k(k-1)\tilde{m}_{\infty}^{(k)}) - 2\kappa_{\infty}^* - 3\tilde{\kappa}_{\infty} - c_{\infty}$$

where

- m<sub>∞</sub><sup>\*(k)</sup> (resp. m̃<sub>∞</sub><sup>(k)</sup>) is the number of ordinary multiple points of order k of S<sub>∞</sub> outside (resp. contained in) C<sub>∞</sub>,
  κ<sub>∞</sub><sup>\*</sup> (resp. κ<sub>∞</sub>) is the number of ordinary cusps of S<sub>∞</sub> outside (resp. contained in) C<sub>∞</sub>,

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**Example 2.** The surface  $S = V(xzt - tx^2 - zt^2 - xz^2 + y^3) \subset \mathbb{P}^3$  is smooth, its only contact point with  $\mathcal{H}_{\infty} = V(t)$  is P[1:0:0:0] which is an ordinary cusp of  $S_{\infty} = V(t, -xz^2 + y^3)$ . Moreover  $S_{\infty}$  has no contact point with  $\mathcal{C}_{\infty}$ . Hence the normal class of S is 27 - 9 + 3 - 2 = 19.

Actually we establish a general formula valid for a wider family of surfaces. The notion of normal polar plays an important role in our study. Given an irreducible surface  $\mathcal{S} \subset \mathbb{P}^3$  of degree  $d_{\mathcal{S}}$ , we extend the definition of the line  $\mathcal{N}_m(\mathcal{S})$  to  $m \in \mathbb{P}^3$ . In our approach, we use the Plücker embedding to identify a line with an element of  $\mathbb{G}(1,3) \subset \mathbb{P}^5$ . We then define a rational map  $\alpha : \mathbb{P}^3 \dashrightarrow \mathbb{P}^5$  corresponding to  $m \mapsto \mathcal{N}_m(\mathcal{S})$ . We write  $\mathcal{B}$  for the set of base points of this map  $\alpha$ , i.e. the set of points  $m \in \mathbb{P}^3$  at which the line  $\mathcal{N}_m(\mathcal{S})$  is not well defined. For any  $P \in \mathbb{P}^3$ , we will introduce the notion of **normal polar** of  $\mathcal{S}$  with respect to P as the set of  $m \in \mathbb{P}^3$  such that either  $\mathcal{N}_m(\mathcal{S})$  contains P or  $m \in \mathcal{B}$ . We will prove that, if dim  $\mathcal{B} \leq 1$ , then the normal polar has dimension 1 and degree  $d_{\mathcal{S}}^2 - d_{\mathcal{S}} + 1$  (for a generic  $P \in \mathbb{P}^3$ ) and we also prove the following result.

**Theorem 3.** Let S be an irreducible surface of  $\mathbb{P}^3$  with isolated singularities, admitting a finite number of contact points with  $\mathcal{H}_{\infty}$  and such that  $S_{\infty}$  has a finite number of (non singular) contact points with  $\mathcal{C}_{\infty}$ . Then the normal class  $c_{\nu}(S)$  of S is equal to  $d_{\mathcal{S}}(d_{\mathcal{S}}^2 - d_{\mathcal{S}} + 1)$  minus the intersection multiplicity of S with its generic normal polars at points  $m \in \mathcal{B} \cap S$ .

Theorem 1 is a consequence of Theorem 3. In a more general setting, we can replace  $\alpha$  in  $\tilde{\alpha} = \frac{\alpha}{H}$  (for some homogeneous polynomial H of degree  $d_H$ ) so that the set of base point  $\tilde{\mathcal{B}}$  of  $\tilde{\alpha}$  has dimension at most 1. In this case, we consider a notion of normal polars associated to  $\tilde{\alpha}$  which have generically dimension 1 and degree  $\tilde{d}_S^2 - \tilde{d}_S + 1$  (with  $\tilde{d}_S = d_S - d_H$ ).

**Theorem 4.** Let S be an irreducible surface of  $\mathbb{P}^3$ . If the set  $\tilde{\mathcal{B}} \cap S$  is finite, then the normal class  $c_{\nu}(S)$  of S is equal to  $d_{\mathcal{S}}(\tilde{d}_{\mathcal{S}}^2 - \tilde{d}_{\mathcal{S}} + 1)$  minus the intersection multiplicity of S with its generic normal polars at points  $m \in \tilde{\mathcal{B}} \cap S$ .

When the surface is a cylinder or a surface of revolution, its normal class is equal to the normal class of the corresponding basis planar curve. The normal class of any planar curve is given by the simple formula given in Theorem 5 below, that we give for completness. Let us recall that, when  $\mathcal{C} = V(F)$  is an irreducible curve of  $\mathbb{P}^2$ , the evolute of  $\mathcal{C}$  is the curve tangent to the family of normal lines to  $\mathcal{Z}$  and that the evolute of a line or a circle is reduced to a single point. Hence, except for lines and circles, the normal class of  $\mathcal{C}$  is simply the class (with multiplicity) of its evolute. The following result generalizes the result by Salmon [8, p. 137] proved in the case of Plücker curves (planar curves with no worse multiple tangents than ordinary double tangents, no singularities other than ordinary nodes and cusps) to any planar curve (with any type of singularities). We write  $\ell_{\infty}$  for the line at infinity of  $\mathbb{P}^2$ . We define I[1:i:0] and J[1:-i:0] in  $\mathbb{P}^2$ . Recall that I and J are the two cyclic points (i.e. the circular points at infinity).

**Theorem 5** (n=2). Let C = V(F) be an irreducible curve of  $\mathbb{P}^2$  of degree  $d \ge 2$  with class  $d^{\vee}$ . Then its normal class is

$$c_{\nu}(\mathcal{C}) = d + d^{\vee} - \Omega(\mathcal{C}, \ell_{\infty}) - \mu_{I}(\mathcal{C}) - \mu_{J}(\mathcal{C}),$$

where  $\Omega$  denotes the sum of the contact numbers between two curves and where  $\mu_P(\mathcal{C})$  is the multiplicity of P on  $\mathcal{C}$ .

In [3], Fantechi proved that the evolute map is birational from C to its evolute curve unless if  $F_x^2 + F_y^2$  is a square modulo F and that in this latest case the evolute map is 2 : 1 (if C is

<sup>&</sup>lt;sup>1</sup>We write [x:y:z] for the coordinates of  $m \in \mathbb{P}^2$  and  $F_x, F_y, F_z$  for the partial derivatives of F.

neither a line nor a circle). Therefore, the normal class  $c_{\nu}(\mathcal{C})$  of a planar curve  $\mathcal{C}$  corresponds to the class of its evolute unless  $F_x^2 + F_y^2$  is a square modulo F and in this last case, the normal class  $c_{\nu}(\mathcal{C})$  of  $\mathcal{C}$  corresponds to the class of its evolute times 2 (if  $\mathcal{C}$  is neither a line nor a circle).

The notion of focal loci generalizes the notion of evolute to higher dimension [9, 1]. The normal lines of an hypersurface  $\mathcal{Z}$  are tangent to the focal loci hypersurface of  $\mathcal{Z}$  but of course the normal class of  $\mathcal{Z}$  does not correspond anymore (in general) to the class of its focal loci (the normal lines to  $\mathcal{Z}$  are contained in but are not equal to the tangent planes of its focal loci).

As usual, we write elements of  $\mathbb{C}^{n+1}$  either by  $(x_1, \cdots, x_{n+1})$  or by  $\begin{pmatrix} x_1 \\ \vdots \\ x_{n+1} \end{pmatrix}$  (our convention

for line vectors is to write them  $(x_1 \cdots x_{n+1}))$ .

In Section 1, we define the projective normal lines, the normal class of an hypersurface  $\mathcal{Z}$  of  $\mathbb{P}^n$ . Sections 2 to 7 are devoted to the study of the normal class of surfaces of  $\mathbb{P}^3$ . In Section 2, we study the normal lines to a surface  $\mathcal{S}$  of  $\mathbb{P}^3$ , we introduce the rational map  $\alpha$  and the set  $\mathcal{B}$  of its base points. Section 3 deals with the notion of normal polars. In Section 4, we interpret geometrically the set  $\mathcal{B}_{\mathcal{S}} = \mathcal{B} \cap \mathcal{S}$  and we prove Theorems 3 and 4. In Section 5, we prove Theorem 1. In Section 6, we apply our results to compute the normal class of every quadric. In Section 7, we use our method to compute of the normal class of a cubic surface with singularity  $E_6$ . In Section 8, we prove Theorem 5. We end this paper with an appendix on the normal class of two particular kinds of surfaces: the cylinders and the surfaces of revolution.

#### 1. The projective normal lines to an hypersurface of $\mathbb{P}^n$

Let  $E_n$  be an euclidean affine *n*-space of direction the *n*-vector space  $\mathbf{E}_n$  (endowed with some fix basis). Let  $\mathbf{V} := (\mathbf{E}_n \oplus \mathbb{R}) \otimes \mathbb{C}$  (endowed with the induced basis  $\mathbf{e}_1, ..., \mathbf{e}_{n+1}$ ). We consider the complex projective space  $\mathbb{P}^n := \mathbb{P}(\mathbf{V})$  with projective coordinates  $x_1, ..., x_{n+1}$ . We denote by  $\mathcal{H}^{\infty} := V(x_{n+1}) \subset \mathbb{P}^n$  the hyperplane at infinity. Given  $\mathcal{Z} = V(F) \neq \mathcal{H}_{\infty}$  an irreducible hypersurface of  $\mathbb{P}^n$  (with  $F \in \mathbb{P}(Sym(\mathbf{V}^{\vee})) \equiv \mathbb{C}[x_1, ..., x_{n+1}])$ , we consider the rational map  $n_{\mathcal{Z}} : \mathbb{P}^n \dashrightarrow \mathbb{P}^n$  given by  $n_{\mathcal{Z}} = [F_{x_1} : \cdots : F_{x_n} : 0]$ .

**Definition 6. The projective normal line**  $\mathcal{N}_m \mathcal{Z}$  to  $\mathcal{Z}$  at  $m \in \mathcal{Z}$  is the line  $(m n_{\mathcal{Z}}(m))$  when  $n_{\mathcal{Z}}(m)$  is well defined in  $\mathbb{P}^n$  and not equal to m.

If F has real coefficients and if  $m \in \mathbb{Z} \setminus \mathcal{H}_{\infty}$  has real coordinates  $[x_1^{(0)} : \cdots : x_n^{(0)} : 1]$ , then  $\mathcal{N}_m \mathbb{Z}$  corresponds to the affine normal line of the affine hypersurface  $V(F(x_1, ..., x_n, 1)) \subset E_n$  at the point of coordinates  $(x_1^{(0)}, \cdots, x_n^{(0)})$ . The aim of this work is the study of the notion of normal class.

**Definition 7.** Let  $\mathcal{Z}$  be an irreducible hypersurface of  $\mathbb{P}^n$ . The normal class of  $\mathcal{Z}$  is the number  $c_{\nu}(\mathcal{Z})$  of projective normal lines of  $\mathcal{Z}$  through a generic  $m_1 \in \mathbb{P}^n$ , i.e. the number of  $m \in \mathcal{Z}$  such that  $\mathcal{N}_m(\mathcal{Z})$  contains  $m_1$  for a generic  $m_1$ .

We will observe that the projective normal lines are preserved by some changes of coordinates in  $\mathbb{P}^n$ , called **projective similitudes** of  $\mathbb{P}^n$  and defined as follows.

Recall that, for every field  $\Bbbk$ ,  $GO(n, \Bbbk) = \{A \in GL(n, \Bbbk); \exists \lambda \in \Bbbk^*, A \cdot t A = \lambda \cdot I_n\}$  is the **or-thogonal similitude group** (for the standard products) and that  $GOAff(n, \Bbbk) = \Bbbk^n \rtimes GO(n, \Bbbk)$  is the **orthogonal similitude affine group**. We have a natural monomorphism of groups

 $\kappa: Aff(n,\mathbb{R}) = \mathbb{R}^n \rtimes GL(n,\mathbb{R}) \longrightarrow GL(n+1,\mathbb{R})$  given by

$$\kappa(b,A) = \begin{pmatrix} a_{11} & \dots & a_{1n} & b_1 \\ a_{21} & \dots & a_{2n} & b_2 \\ & & & & \\ a_{n1} & \dots & a_{nn} & b_n \\ 0 & \dots & 0 & 0 & 1 \end{pmatrix}$$
(1)

and, by restriction,  $\kappa|_{GOAff(n,\mathbb{R})} : GOAff(n,\mathbb{R}) = \mathbb{R}^n \rtimes GO(n,\mathbb{R}) \longrightarrow GL(n+1,\mathbb{R})$ . Analogously we have a natural monomorphism of groups  $\kappa' := (\kappa \otimes 1)|_{GOAff(n,\mathbb{C})} : GOAff(n,\mathbb{C}) = \mathbb{C}^n \rtimes GO(n,\mathbb{C}) \longrightarrow GL(n+1,\mathbb{C})$ . Composing with the canonical projection  $\pi : GL(n+1,\mathbb{C}) \longrightarrow \mathbb{P}(GL(n+1,\mathbb{C}))$  we obtain the **projective complex similitude Group**:

$$Sim_{\mathbb{C}}(n) := (\pi \circ \kappa')(GOAff(n,\mathbb{C})).$$

which acts naturally on  $\mathbb{P}^n$ .

**Definition 8.** An element of  $\mathbb{P}(Gl(\mathbf{V}))$  corresponding to an element of  $Sim_{\mathbb{C}}(n)$  with respect to the basis  $(\mathbf{e}_1, \dots, \mathbf{e}_n)$  is called a **projective similitude of**  $\mathbb{P}^n$ .

The set of projective similitudes of  $\mathbb{P}^n$  is isomorphic to  $\widehat{Sim_{\mathbb{C}}(n)}$ .

Lemma 9. The projective similitudes preserve the normal lines.

More precisely, given an hypersurface  $\mathcal{Z} = V(F) \subset \mathbb{P}^n$ , for any projective similation  $\varphi$  and any  $m \in \mathcal{Z}$  such that  $n_{\mathcal{Z}}(m)$  is well defined so is  $n_{\varphi(\mathcal{Z})}(\varphi(m))$  and we have  $\varphi(n_{\mathcal{Z}}(m)) = n_{\varphi(\mathcal{Z})}(\varphi(m))$ . Hence, if  $\mathcal{N}_m(\mathcal{Z})$  is well defined, so is  $\mathcal{N}_{\varphi(m)}(\varphi(\mathcal{Z}))$  and

$$\varphi(\mathcal{N}_m(\mathcal{Z})) = \mathcal{N}_{\varphi(m)}(\varphi(\mathcal{Z})).$$

Proof. Let  $\varphi \in Gl(\mathbf{V})$  with matrix  $\kappa'(b, A)$  (in the basis  $(\mathbf{e}_1, \cdots, \mathbf{e}_n)$ ) of the form (1), with  $b \in \mathbb{C}^n$ and  $A \in GL(n, \mathbb{C})$  such that  $A^t A = \lambda \cdot I_n$ . Let  $\varphi$  the projective similitude of  $\mathbb{P}^n$  associated to  $\varphi$ . Observe that  $\varphi(\mathcal{Z}) = V(G)$  with  $G := F \circ \varphi^{-1}$ . We write

$$\Delta_{\mathbf{P}}H := x_1^{(0)}H_{x_1} + \dots + x_{n+1}^{(0)}H_{x_{n+1}}$$

for any  $\mathbf{P}(x_1^{(0)}, \dots, x_{n+1}^{(0)}) \in \mathbf{V}$  and  $H \in \mathbb{P}(Sym(\mathbf{V}^{\vee}))$ . Observe that, for every  $\mathbf{B}, \mathbf{P} \in \mathbf{V}$ , we have

$$\Delta_{\varphi(\mathbf{B})}(F \circ \varphi^{-1})(\varphi(\mathbf{P})) = \Delta_{\mathbf{B}}F(\mathbf{P}).$$
<sup>(2)</sup>

We consider a nonsingular point  $P \in \mathcal{Z}$  such that  $\mathcal{T}_P(\mathcal{Z}) \neq \mathcal{H}_\infty$ . We set  $\mathbf{e}'_i := \boldsymbol{\varphi}(\mathbf{e}_i)$  and  $\mathbf{P}' := \boldsymbol{\varphi}(\mathbf{P})$ . Due to (2), we obtain that  $\mathcal{T}_{\varphi(P)}(\varphi(\mathcal{Z})) \neq \mathcal{H}_\infty$ , hence  $n_{\varphi(\mathcal{S})}(\varphi(P))$  is also well defined. Moreover we have

$$\varphi(\mathbf{n}_{\mathcal{S}}(\mathbf{P})) = \varphi(\Delta_{\mathbf{e}_{1}}F(\mathbf{P})\cdot\mathbf{e}_{1}+\cdots+\Delta_{\mathbf{e}_{n}}F(\mathbf{P})\cdot\mathbf{e}_{n})$$
  
$$= \Delta_{\mathbf{e}_{1}}F(\mathbf{P})\cdot\mathbf{e}_{1}'+\cdots+\Delta_{\mathbf{e}_{n}}F(\mathbf{P})\cdot\mathbf{e}_{n}'$$
  
$$= \Delta_{\mathbf{e}_{1}'}G(\mathbf{P}')\cdot\mathbf{e}_{1}'+\cdots+\Delta_{\mathbf{e}_{n}'}G(\mathbf{P}')\cdot\mathbf{e}_{n}'.$$

Now the first coordinate of this vector is

$$\begin{aligned} [\varphi(\mathbf{n}_{\mathcal{S}}(\mathbf{P}))]_{1} &= \Delta_{\mathbf{e}_{1}'}G(\mathbf{P}') \cdot a_{1,1} + \dots + \Delta_{\mathbf{e}_{n}'}G(\mathbf{P}') \cdot a_{1,n} \\ &= \Delta_{a_{1,1}\cdot\mathbf{e}_{1}'+\dots+a_{1,n}\cdot\mathbf{e}_{n}'}G(\mathbf{P}') \\ &= \Delta_{\varphi(a_{1,1}\cdot\mathbf{e}_{1}+\dots+a_{1,n}\cdot\mathbf{e}_{n})}G(\mathbf{P}') \\ &= \Delta_{(A(a_{1,1},\dots,a_{1,n}),0)}G(\mathbf{P}') \\ &= \Delta_{(A(tA\mathbf{e}_{1}),0)}G(\mathbf{P}') \\ &= \Delta_{\lambda\cdot\mathbf{e}_{1}}G(\mathbf{P}') = \lambda \cdot \Delta_{\mathbf{e}_{1}}G(\mathbf{P}'). \end{aligned}$$

Treating analogously the other coordinates we obtain that

$$\varphi(\mathbf{n}_{\mathcal{S}}(\mathbf{P})) = \lambda \cdot (\Delta_{\mathbf{e}_{1}} G(\mathbf{P}') + \dots + \Delta_{\mathbf{e}_{n}} G(\mathbf{P}'))$$
$$= \lambda \cdot \mathbf{n}_{\varphi(\mathcal{S})}(\mathbf{P}')$$

and so  $\varphi(n_{\mathcal{S}}(P)) = n_{\varphi(\mathcal{S})}(\varphi(P)).$ 

**Remark 10.** It is worth noting that, due to this lemma, the normal class of surfaces of  $\mathbb{P}^3$  is invariant by projective similitudes of  $\mathbb{P}^3$ .

### 2. Projective normal lines to a Surface of $\mathbb{P}^3$

Let  $\mathbf{V}$  be a four dimensional complex vector space (endowed with a basis  $(\mathbf{e}_1, \mathbf{e}_2, \mathbf{e}_3, \mathbf{e}_4)$ ) and  $\mathbb{P}^3 := \mathbb{P}(\mathbf{V})$  with projective coordinates x, y, z, t. In  $\mathbb{P}^3$ , we consider an irreducible surface  $\mathcal{S} = V(F)$  with homogeneous  $F \in \mathbb{C}[x, y, z, t]$  of degree  $d_{\mathcal{S}}$ . Recall that we write  $\mathcal{H}^{\infty} = V(t)$  for the plane at infinity. For any nonsingular  $m \in \mathcal{S}$  (with coordinates  $\mathbf{m} = (x, y, z, t) \in \mathbb{C}^4$ ), we write  $\mathcal{T}_m \mathcal{S}$  for the tangent plane to  $\mathcal{S}$  at m. If  $\mathcal{T}_m \mathcal{S} \neq \mathcal{H}^{\infty}$ , then

$$n_{\mathcal{S}}(m) = [F_x(\mathbf{m}) : F_y(\mathbf{m}) : F_z(\mathbf{m}) : 0]$$
(3)

is well defined in  $\mathbb{P}^3$ . If moreover  $n_{\mathcal{S}}(m) \neq m$ , we define the projective normal line  $\mathcal{N}_m \mathcal{S}$  to  $\mathcal{S}$  at m as the line  $(m n_{\mathcal{S}}(m))$ . We will associate to a generic  $m \in \mathcal{S}$  a system of equations of  $\mathcal{N}_m \mathcal{S}$ . This will be done thanks to the Plücker embedding. Observe that  $n_{\mathcal{S}}$  given by (3) defines a rational map  $n_{\mathcal{S}} : \mathbb{P}^3 \dashrightarrow \mathbb{P}^3$ .

2.1. **Plücker embedding.** Let  $W \equiv \mathbf{V}^{\vee}$  be the set of homogeneous polynomials of degree one in  $\mathbb{C}[x, y, z, t]$ , endowed with  $(e_1^*, e_2^*, e_3^*, e_4^*)$  the dual basis of  $(\mathbf{e}_1, \mathbf{e}_2, \mathbf{e}_3, \mathbf{e}_4)$ . We consider the duality  $\delta: W \dashrightarrow \mathbb{P}^3$  given by  $\delta(ae_1^* + be_2^* + ce_3^* + de_4^*) = [a: b: c: d]$ . We define  $\delta^{(4)}: W^4 \dashrightarrow (\mathbb{P}^3)^4$  by

$$\delta^{(4)}(l_1, l_2, l_3, l_4) = (\delta(l_1), \delta(l_2), \delta(l_3), \delta(l_4)).$$

We consider now  $\Lambda^3 : \mathbf{V} \times \mathbf{V} \times W^4 \to W^4$  given by

$$\Lambda^{3}(\mathbf{u},\mathbf{v},\ell) = \left(\widetilde{M}_{1},-\widetilde{M}_{2},\widetilde{M}_{3},-\widetilde{M}_{4}\right),\,$$

where  $\widetilde{M}_j$  is the determinant of the minor obtained from  $M = (\mathbf{u} \mathbf{v} \ell)$  by deleting the *j*-th row of M.

Let  $\Delta$  be the diagonal of  $\mathbb{P}^3 \times \mathbb{P}^3$ . We define  $\mu : (\mathbb{P}^3 \times \mathbb{P}^3) \setminus \Delta \to (\mathbb{P}^3)^4$  by

$$\boldsymbol{\mu}(\mathbf{u},\mathbf{v}) = \delta^{(4)}(\Lambda^3(\mathbf{u},\mathbf{v},L)) \text{ where } L := \begin{pmatrix} e_1^* \\ e_2^* \\ e_3^* \\ e_4^* \end{pmatrix}.$$

Observe that when  $u \neq v$ ,  $\Lambda^3(\mathbf{u}, \mathbf{v}, L)$  gives four equations in  $\mathbb{C}[x, y, z, t]$  of the line (uv), i.e.

$$(u\,v) = \bigcap_{i=1}^{4} V(\langle \mu_i(u,v), \cdot \rangle) \subset \mathbb{P}^3,$$

where  $\mu(u, v) = (\mu_1(u, v), \mu_2(u, v), \mu_3(u, v), \mu_4(u, v))$  and where we write  $\langle \mathbf{u}, \mathbf{v} \rangle = \sum_{i=1}^4 u_i v_i$  for the complexification of the usual scalar product on  $\mathbb{R}^4$  to **V**.

We will express  $\nu$  in terms of the **Plücker embedding**  $(\mathbb{P}^3 \times \mathbb{P}^3) \setminus \Delta \xrightarrow{Pl} \mathbb{P}^5 = \mathbb{P}(\Lambda^2 \mathbb{C}^4)$  defined by

$$Pl(u,v) = [\mathbf{u} \wedge \mathbf{v}] = \begin{bmatrix} u_1 v_2 - v_1 u_2 \\ u_1 v_3 - v_1 u_3 \\ u_1 v_4 - v_1 u_4 \\ u_2 v_3 - v_2 u_3 \\ u_2 v_4 - v_2 u_4 \\ u_3 v_4 - v_3 u_4 \end{bmatrix}.$$

The image of the Plücker embedding is the quadric hypersurface (see [2])

$$\mathbb{G}(1,3) := Pl((\mathbb{P}^3)^2 \setminus \Delta) = V(w_1w_6 - w_2w_5 + w_3w_4) \subset \mathbb{P}^5.$$

We have a first commutative diagram (for i = 1, ..., 4)

$$(\mathbb{P}^{3})^{4} \xrightarrow{pr_{i}} \mathbb{P}^{3}$$

$$\stackrel{\mu}{\nearrow} \uparrow \Psi \xrightarrow{\psi_{i}} \qquad (4)$$

$$(\mathbb{P}^{3} \times \mathbb{P}^{3}) \backslash \Delta \stackrel{Pl}{\leftrightarrow} \mathbb{P}^{5} = \mathbb{P}(\Lambda^{2} \mathbf{V})$$

where  $pr_i$  is the canonical projection (on the *i*-th coordinate) and where  $\Psi = (\psi_1, \psi_2, \psi_3, \psi_4)$  is given on coordinates by

$$\Psi(w_1, w_2, w_3, w_4, w_5, w_6) = \left( \begin{pmatrix} 0 \\ w_6 \\ -w_5 \\ w_4 \end{pmatrix}, \begin{pmatrix} -w_6 \\ 0 \\ w_3 \\ -w_2 \end{pmatrix}, \begin{pmatrix} w_5 \\ -w_3 \\ 0 \\ w_1 \end{pmatrix}, \begin{pmatrix} -w_4 \\ w_2 \\ -w_1 \\ 0 \end{pmatrix} \right) \in \mathbf{V}^4.$$

Observe that the images of  $\Psi$  correspond to antisymmetric matrices (by identifying  $(\mathbf{u}, \mathbf{v}, \mathbf{w}, \mathbf{w}') \in \mathbf{V}^4$  with the matrix  $M_{\psi} := (\mathbf{u} \mathbf{v} \mathbf{w} \mathbf{w}')$ ).

2.2. Equations of projective normal lines. Now we come back to normal lines. Let  $j : \mathbb{P}^3 \to \mathbb{P}^3 \times \mathbb{P}^3$  be the morphism defined by  $j(m) = (m, n_{\mathcal{S}}(m))$ . We define  $\alpha : \mathbb{P}^3 \dashrightarrow \mathbb{P}^5$  by

$$\alpha := Pl \circ j.$$

We observe that the set of base points of  $\alpha$  is  $\mathcal{B} := j^{-1}(\Delta)$ , i.e.

$$\mathcal{B} = \{m \in \mathbb{P}^3; \operatorname{rank}(\mathbf{m}, \mathbf{n}_{\mathcal{S}}(\mathbf{m})) < 2\}.$$

We define also  $\nu := \mu \circ j$  which maps any  $m \in S \setminus \Delta$  to the coordinates of a system of four equations of the normal line  $\mathcal{N}_m S$  to S at m. We deduce from (4) a second commutative diagram

$$(\mathbb{P}^{3})^{4} \xrightarrow{pr_{i}} \mathbb{P}^{3}$$

$$\stackrel{\nu}{\nearrow} \uparrow \Psi \xrightarrow{\psi_{i}} \cdot$$

$$\mathbb{P}^{3} \backslash \mathcal{B} \stackrel{\alpha}{\hookrightarrow} \mathbb{P}^{5}$$

$$(5)$$

Observe that 
$$\alpha(x, y, z, t) = \begin{pmatrix} xF_y - yF_x \\ xF_z - zF_x \\ -tF_x \\ yF_z - zF_y \\ -tF_z \\ -tF_z \end{pmatrix}$$
 and so  

$$\nu(x, y, z, t) = \left( \begin{bmatrix} 0 \\ -tF_z \\ tF_y \\ yF_z - zF_y \end{bmatrix}, \begin{bmatrix} tF_z \\ 0 \\ -tF_x \\ zF_x - xF_z \end{bmatrix}, \begin{bmatrix} -tF_y \\ tF_x \\ 0 \\ xF_y - yF_x \end{bmatrix}, \begin{bmatrix} zF_y - yF_z \\ xF_z - zF_x \\ yF_x - xF_y \end{bmatrix} \right).$$

3. The normal polars of  $\mathcal{S} \subset \mathbb{P}^3$ 

Let  $m_1$  be a generic point of  $\mathbb{P}^3$ . By construction, for every  $m[x : y : z : t] \in \mathcal{S} \setminus \mathcal{B}$ , we have  $\mathcal{N}_m \mathcal{S} = \bigcap_{i=1}^4 V(\langle \boldsymbol{\nu}_i(\mathbf{m}), \cdot \rangle)$  where  $\boldsymbol{\nu}_i := pr_i \circ \boldsymbol{\nu}$ . For every  $A \in \mathbf{V}^{\vee}$ , we define **the normal polar**  $\mathcal{P}_{A,\mathcal{S}}$  of  $\mathcal{S}$  with respect to A by

$$\mathcal{P}_{A,\mathcal{S}} := \bigcap_{i=1}^{4} V(A \circ \nu_i) = \mathcal{B} \cup \left(\bigcap_{i=1}^{4} \nu_i^{-1} \mathcal{H}_A\right),$$

where  $\mathcal{H}_A := V(A) \subset \mathbb{P}^3$ . For every  $m \in \mathbb{P}^3$  and every  $A \in \mathbf{V}^{\vee}$ , we have

$$m \in \mathcal{P}_{A,\mathcal{S}} \Leftrightarrow m \in \mathcal{B} \text{ or } \delta(A) \in \mathcal{N}_m \mathcal{S}$$

extending the definition of  $\mathcal{N}_m \mathcal{S}$  from  $m \in \mathcal{S}$  to  $m \in \mathbb{P}^3$ . This can be summarized as follows:

$$m \in \mathcal{P}_{A,\mathcal{S}} \quad \Leftrightarrow \quad \delta(A) \in \mathcal{N}_m \mathcal{S}$$

by adding the natural convention  $\mathcal{N}_m \mathcal{S} = \mathbb{P}^3$  if  $m \in \mathcal{B}$ .

**Lemma 11** (The projective similitudes preserve the normal polars). Let  $S = V(F) \subset \mathbb{P}^3$  be a surface and  $\varphi$  be any projective similitude, then

$$\varphi(\mathcal{P}_{A,\mathcal{S}}) = \mathcal{P}_{\varphi(A),\varphi(\mathcal{S})} \tag{6}$$

(with the classical identification  $\mathbf{V}^{\vee} \cong \mathbf{V}$ ).

*Proof.* Due to the proof of Lemma 9,  $n_{\varphi(\mathcal{S})}(\varphi(m)) = \varphi(n_{\mathcal{S}}(m))$ . Hence  $\varphi(\mathcal{N}_m \mathcal{S}) = \mathcal{N}_{\varphi(m)}(\varphi(\mathcal{S}))$  which gives the result due to (6).

From the geometric point of view, due to (5), we observe that

$$\mathcal{P}_{A,S} = \bigcap_{i=1}^{4} V((A \circ \psi_i) \circ \alpha) = \mathcal{B} \cup \left(\bigcap_{i=1}^{4} \alpha^{-1} \mathcal{H}_{A,i}\right),$$

where  $\mathcal{H}_{A,i}$  is the hyperplane of  $\mathbb{P}^5$  given by

$$\mathcal{H}_{A,i} := V(A \circ \psi_i) = \text{Base}(\Psi_i) \cup \Psi_i^{-1}(\mathcal{H}).$$

**Lemma 12.** For every  $A \in \mathbf{V}^{\vee} \setminus \{0\}$ , the set  $\bigcap_{i=1}^{4} \mathcal{H}_{A,i}$  is a plane of  $\mathbb{P}^{5}$  contained in  $\mathbb{G}(1,3)$ .

*Proof.* Let  $A = ae_1^* + be_2^* + ce_3^* z + de_4^*$  be an element of  $\mathbf{V}^{\vee}$  and write  $B := \delta(A) = [a:b:c:d]$  and  $\mathbf{B} := (a, b, c, d) \in \mathbf{V}$ . Assume for example  $d \neq 0$  (the proof being analogous when  $a \neq 0, b \neq 0$  or

 $c \neq 0$ , for symetry reason). Observe that  $a \cdot A \circ \psi_1 + b \cdot A \circ \psi_2 + c \cdot A \circ \psi_3 + d \cdot A \circ \psi_4 = ({}^t\mathbf{B}) \cdot M_{\psi} \cdot \mathbf{B} = 0$ since  $M_{\psi}$  is antisymmetric. Hence

$$\bigcap_{i=1}^{4} \mathcal{H}_{A,i} = \bigcap_{i=1}^{3} \mathcal{H}_{A,i}.$$

Recall that  $A \circ \psi_1 = bw_6 - cw_5 + dw_4$ ,  $A \circ \psi_2 = -aw_6 + cw_3 - dw_2$  and  $A \circ \psi_3 = aw_5 - bw_3 + dw_1$ . Since  $d \neq 0$ , these three linear equations are linearly independent and so  $\bigcap_{i=1}^3 \mathcal{H}_{A,i}$  is a plane of  $\mathbb{P}^5$ . Moreover, we observe that

$$w_3 \cdot (A \circ \psi_1) + w_5 \cdot (A \circ \psi_1) + w_6 \cdot (A \circ \psi_3) = d(w_1 w_6 - w_2 w_5 + w_3 w_4)$$

and so, since  $d \neq 0$ , it follows that  $\bigcap_{i=1}^{3} \mathcal{H}_{A,i} \subset V(w_1w_6 - w_2w_5 + w_3w_4) = \mathbb{G}(1,3).$ 

Due to the proof of the previous lemma that, if  $d \neq 0$ , we have

$$\mathcal{P}_{A,\mathcal{S}} = \bigcap_{i=1}^{3} V(A \circ \nu_i).$$
(7)

**Proposition 13.** Assume  $d_{\mathcal{S}} \geq 2$  and  $\dim \mathcal{B} \leq 1$ . Then, for a generic  $A \in \mathbf{V}^{\vee}$ , we have  $\dim \mathcal{P}_{A,\mathcal{S}} = 1$  and  $\deg \mathcal{P}_{A,\mathcal{S}} = d_{\mathcal{S}}^2 - d_{\mathcal{S}} + 1$ .

Proof of Proposition 13. Up to a composition by a projective similitude of  $\mathbb{P}^3$ , we can suppose that  $F_x F_y F_z \neq 0$  in  $\mathbb{C}[x, y, z, t]$ . Let us notice that, since dim  $\mathcal{B} \leq 1$ , at least one of the following varieties has dimension less than one :  $V(tF_x, tF_y, F_z)$ ,  $V(tF_x, tF_z, F_y)$  and  $V(tF_y, tF_z, F_x)$ .

Indeed, dim  $V(tF_x, tF_y, F_z) \geq 2$  implies the existence of an irreducible  $G \in \mathbb{C}[c, y, z, t]$  dividing  $tF_x, tF_y$  and  $F_z$ . G divides  $F_x, F_y$  and  $F_z$  would contradict dim  $\mathcal{B} \leq 1$ . So dim  $V(tF_x, tF_y, F_z) \geq 2$  implies that t divides  $F_z$ . Hence if the three varieties above were of dimension larger than 1, t would divide  $F_x, F_y$  and  $F_z$ , which contradicts dim  $\mathcal{B} \leq 1$ .

Assume that dim  $V(tF_x, tF_y, F_z) \leq 1$  (the proof being analogous in the other cases). For  $A \in \mathbf{V}^{\vee}$ , we write  $[a:b:c:d] := \delta(A)$ . Assume that  $d \neq 0$ . Then  $\mathcal{P}_{A,S} = \bigcap_{i=1}^{3} V(A \circ \nu_i)$  with

$$A \circ \nu_{1} = t(cF_{y} - bF_{z}) + d(yF_{z} - zF_{y}) = F_{z}(dy - bt) + F_{y}(ct - dz),$$
  

$$A \circ \nu_{2} = t(aF_{z} - cF_{x}) + d(zF_{x} - xF_{z}) = F_{z}(at - dx) + F_{x}(dz - ct),$$
  

$$A \circ \nu_{3} = t(bF_{x} - aF_{y}) + d(xF_{y} - yF_{x}) = F_{y}(dx - at) + F_{x}(tb - dy).$$
(8)

We notice that

$$(ct - dz) \cdot A \circ \nu_3 = (dx - at) \cdot A \circ \nu_1 + (dy - bt) \cdot A \circ \nu_2.$$
(9)

Let us write  $\mathcal{H}_{c,d}$  for the plane  $V(ct - dz) \subset \mathbb{P}^3$ . Hence, due to (8), we have

$$\mathcal{P}_{A,\mathcal{S}} \setminus \mathcal{H}_{c,d} = V(A \circ \nu_1, A \circ \nu_2) \setminus \mathcal{H}_{c,d}$$
(10)

and

$$\mathcal{H}_{c,d} \cap V(A \circ \nu_1, A \circ \nu_2) = (\mathcal{H}_{c,d} \cap V(F_z)) \cup \{\delta(A)\}.$$
(11)

Observe that dim  $\mathcal{B} \leq 1$  implies that dim  $\mathcal{P}_{A,\mathcal{S}} = 1$  for a generic  $A \in \mathbf{V}^{\vee}$ . Since dim  $V(tF_x, tF_y, F_z) \leq 1$  and  $F_z \neq 0$ , for a generic  $A \in \mathbf{V}^{\vee}$ , we have dim $(F_z, A \circ \nu_3) = 1$  and  $\#(\mathcal{H}_{c,d} \cap V(F_z, A \circ \nu_3)) < \infty$ , i.e.  $\#(\mathcal{H}_{c,d} \cap \mathcal{P}_{A,\mathcal{S}}) < \infty$ . Due to (10) and (11), dim  $\mathcal{P}_{A,\mathcal{S}} = 1$  and  $\#(\mathcal{H}_{c,d} \cap \mathcal{P}_{A,\mathcal{S}}) < \infty$  implies that dim $(V(A \circ \nu_1, A \circ \nu_2)) = 1$ .

Let  $A \in \mathbf{V}^{\vee}$  be such that  $\dim \mathcal{P}_{A,\mathcal{S}} = 1$  and  $\#(\mathcal{H}_{c,d} \cap V(F_z, A \circ \nu_3)) < \infty$ . Observe that  $\dim(V(F_z) \cap \mathcal{H}_{c,d}) = 1$ . Let  $\mathcal{H}_0 \subset \mathbb{P}^3$  be a generic plane such that  $\mathcal{H}_0 \cap \mathcal{H}_{c,d} \cap \mathcal{P}_{A,\mathcal{S}} = \emptyset$  and

dim  $(\mathcal{H}_0 \cap V(A \circ \nu_1, A \circ \nu_2)) = 0$ . Hence  $\mathcal{H}_0$  does not contain  $\delta(A)$ . Due to (9) and to the Bezout theorem, we have

$$\deg \mathcal{P}_{A,S} = \sum_{P \in \mathcal{H}_0 \cap \mathcal{P}_{A,S}} i_P(\mathcal{H}_0, \mathcal{P}_{A,S})$$
$$= \sum_{P \in (\mathcal{H}_0 \cap \mathcal{P}_{A,S}) \setminus \mathcal{H}_{c,d}} i_P(\mathcal{H}_0, V(A \circ \nu_1, A \circ \nu_2))$$

since  $\mathcal{H}_0 \cap \mathcal{H}_{c,d} \cap \mathcal{P}_{A,S} = \emptyset$  and due to (9). Using (10), we obtain

$$\deg \mathcal{P}_{A,\mathcal{S}} = \sum_{P \in \mathcal{H}_0 \cap V(A \circ \nu_1, A \circ \nu_2)} i_P(\mathcal{H}_0, V(A \circ \nu_1, A \circ \nu_2)) - \sum_{P \in \mathcal{H}_0 \cap \mathcal{H}_{c,d} \cap V(A \circ \nu_1, A \circ \nu_2)} i_P(\mathcal{H}_0, V(A \circ \nu_1, A \circ \nu_2))$$

$$= d_{\mathcal{S}}^2 - \sum_{P \in \mathcal{H}_0 \cap \mathcal{H}_{c,d} \cap V(A \circ \nu_1, A \circ \nu_2)} i_P(\mathcal{H}_0, V(A \circ \nu_1, A \circ \nu_2, (ct - dz)A \circ \nu_3)))$$

$$= d_{\mathcal{S}}^2 - \sum_{P \in \mathcal{H}_0 \cap \mathcal{H}_{c,d} \cap V(A \circ \nu_1, A \circ \nu_2)} i_P(\mathcal{H}_0, \mathcal{H}_{c,d}, V(A \circ \nu_1, A \circ \nu_2))$$
(12)

due to (9) and since  $\mathcal{H}_0 \cap \mathcal{H}_{c,d} \cap \mathcal{P}_{A,S} = \emptyset$ . Moreover, due to (8),  $\mathcal{H}_0 \cap \mathcal{H}_{c,d} \cap V(A \circ \nu_1, A \circ \nu_2) = \mathcal{H}_0 \cap \mathcal{H}_{c,d} \cap V(F_z)$  and, for every  $P \in \mathcal{H}_0 \cap \mathcal{H}_{c,d} \cap V(A \circ \nu_1, A \circ \nu_2)$ , we have

$$i_P(\mathcal{H}_0, \mathcal{H}_{c,d}, V(A \circ \nu_1, A \circ \nu_2)) = i_P(\mathcal{H}_0, \mathcal{H}_{c,d}, V(F_z(dy - bt), F_z(at - dx)))$$
$$= i_P(\mathcal{H}_0, \mathcal{H}_{c,d}, V(F_z))$$

since  $\delta(A) \notin \mathcal{H}_0$  and so

$$\deg \mathcal{P}_{A,\mathcal{S}} = d_{\mathcal{S}}^2 - \sum_{P \in \mathcal{H}_0 \cap \mathcal{H}_{c,d} \cap V(F_z)} i_P(\mathcal{H}_0, V(F_z), \mathcal{H}_{c,d}) = d_{\mathcal{S}}^2 - (d_{\mathcal{S}} - 1).$$

**Proposition 14.** If dim  $\mathcal{B} = 2$ , then the two dimensional part of  $\mathcal{B}$  is  $V(H) \subset \mathbb{P}^3$  for some homogeneous polynomial  $H \in \mathbb{C}[x, y, z, t]$  of degree  $d_H$ . We write  $\alpha = H \cdot \tilde{\alpha}$ . Observe that the rational map  $\tilde{\alpha} : \mathbb{P}^3 \longrightarrow \mathbb{P}^3$  associated to  $\tilde{\alpha}$  coincide with  $\alpha$  on  $\mathbb{P}^3 \setminus V(H)$  and that the set  $\tilde{\mathcal{B}}$  of its base points has dimension at most 1. We then adapt our study by replacing  $\nu$  by  $\tilde{\nu}$  associated to  $\tilde{\nu}_i := \frac{\nu_i}{H}$  and by defining the corresponding polar  $\tilde{\mathcal{P}}_{A,\mathcal{S}}$ . Then, we have

$$\deg \tilde{\mathcal{P}}_{A,\mathcal{S}} = (d_{\mathcal{S}} - d_H)^2 - d_{\mathcal{S}} + d_H + 1.$$

Proof. We assume again (without any loss of generality) that  $F_x F_y F_z \neq 0$  in  $\mathbb{C}[x, y, z, t]$ . We explain how the proof of Proposition 13 can be adapted to prove this remark. We have  $\tilde{\mathcal{P}}_{A,S} = V(\tilde{A}_1, \tilde{A}_2, \tilde{A}_3)$ , with  $\tilde{A}_1, \tilde{A}_2, \tilde{A}_3 \in \mathbb{C}[x, y, z, t]$  such that  $A \circ \nu_i = H \cdot \tilde{A}_i$  for  $i \in \{1, 2, 3\}$ . Observe that, due to (9), we have

$$(ct - dz) \cdot \tilde{A}_3 = (dx - at) \cdot \tilde{A}_1 + (dy - bt) \cdot \tilde{A}_2.$$
(13)

Hence we have

$$\tilde{\mathcal{P}}_{A,\mathcal{S}} \setminus \mathcal{H}_{c,d} = V(\tilde{A}_1, \tilde{A}_2) \setminus \mathcal{H}_{c,d}.$$
(14)

We distinguish two cases.

• Assume first that H divides  $F_x$ ,  $F_y$  and  $F_z$ . Then, we define  $\tilde{F}_x, \tilde{F}_y, \tilde{F}_z \in \mathbb{C}[x, y, z, t]$  such that  $F_x = H \cdot \tilde{F}_x, F_y = H \cdot \tilde{F}_y$  and  $F_z := H \cdot \tilde{F}_z$ . We have

$$\tilde{A}_1 = \tilde{F}_z(dy - bt) + \tilde{F}_y(ct - dz), 
\tilde{A}_2 = \tilde{F}_z(at - dx) + \tilde{F}_x(dz - ct), 
\tilde{A}_3 = \tilde{F}_y(dx - at) + \tilde{F}_x(tb - dy)$$
(15)

and so

$$\mathcal{H}_{c,d} \cap V(\tilde{A}_1, \tilde{A}_2) = (\mathcal{H}_{c,d} \cap V(\tilde{F}_z)) \cup \{\delta(A)\}.$$
(16)

As in the proof of Proposition 13, we can assume that dim  $V(t\tilde{F}_x, t\tilde{F}_y, \tilde{F}_z) \leq 1$  and we take a generic  $A \in \mathbf{V}^{\vee}$  such that dim  $\tilde{\mathcal{P}}_{A,\mathcal{S}} = 1$  and  $\#(\mathcal{H}_{c,d} \cap \tilde{\mathcal{P}}_{A,\mathcal{S}}) < \infty$ . In particular, we have dim  $V(\tilde{A}_1, \tilde{A}_2) = 1$  and dim $(V(\tilde{F}_z) \cap \mathcal{H}_{c,d}) = 1$ . We consider a generic plane  $\mathcal{H}_0 \subset \mathbb{P}^3$  such that  $\mathcal{H}_0 \cap \mathcal{H}_{c,d} \cap \mathcal{P}_{A,\mathcal{S}} = \emptyset$  and dim $(\mathcal{H}_0 \cap V(\tilde{A}_1, \tilde{A}_2)) = 0$ . Following the proof of (12), we obtain that, for a generic plane  $\mathcal{H}_0 \subset \mathbb{P}^3$ 

$$\deg \tilde{\mathcal{P}}_{A,\mathcal{S}} = \deg(\tilde{A}_1)^2 - \sum_P i_P(\mathcal{H}_0, \mathcal{H}_{c,d}, V(\tilde{A}_1, \tilde{A}_2))$$
  
$$= \deg(\tilde{A}_1)^2 - \sum_P i_P(\mathcal{H}_0, \mathcal{H}_{c,d}, V(\tilde{F}_z)) = \deg(\tilde{A}_1)^2 - \deg \tilde{F}_z, \qquad (17)$$

due to (16), to (15) and since  $\delta(A) \notin \mathcal{H}_0$ .

• Assume now that H does not divide  $F_x$  or does not divide  $F_y$  or does not divide  $F_z$ . Due to the expression of  $\alpha$ , H divides  $tF_x$ ,  $tF_y$ ,  $tF_z$ ,  $xF_y - yF_x$ ,  $xF_z - zF_x$ ,  $yF_z - zF_y$ . Hence t divides  $xF_y - yF_x$ ,  $xF_z - zF_x$ ,  $yF_z - zF_y$ . This implies that  $d_S$  is even and the existence of a non null polynomial  $G \in \mathbb{C}[r]$  of degree  $d_S/2$  and of an homogeneous polynomial  $K \in \mathbb{C}[x, y, z, t]$  of degree  $d_S - 1$  such that

$$F(x, y, z, t) = G(x^{2} + y^{2} + z^{2}) + tK(x, y, z, t).$$
(18)

Hence t divides H, we set  $H_1 \in \mathbb{C}[x, y, z, t]$  such that  $H = tH_1$  and we define  $\tilde{F}_x, \tilde{F}_y, \tilde{F}_z \in \mathbb{C}[x, y, z, t]$  such that  $F_x = H_1 \cdot \tilde{F}_x, F_y = H_1 \cdot \tilde{F}_y$  and  $F_z := H_1 \cdot \tilde{F}_z$ . Observe that

$$\tilde{A}_1 = c\tilde{F}_y - b\tilde{F}_z + d\tilde{G}_{y,z} \text{ and } t\tilde{A}_1 = \tilde{F}_z(dy - bt) + \tilde{F}_y(ct - dz),$$

$$\tilde{A}_2 = a\tilde{F}_z - c\tilde{F}_x - d\tilde{G}_{x,z} \text{ and } t\tilde{A}_2 = \tilde{F}_z(at - dx) + \tilde{F}_x(dz - ct),$$

$$\tilde{A}_3 = b\tilde{F}_x - a\tilde{F}_y + d\tilde{G}_{x,y} \text{ and } t\tilde{A}_3 = \tilde{F}_y(dx - at) + \tilde{F}_x(tb - dy).$$
(19)

with  $\tilde{G}_{x,y}, \tilde{G}_{x,z}\tilde{G}_{z,y} \in \mathbb{C}[x,y,z,t]$  such that

$$\begin{aligned} xF_y - yF_x &= H \cdot \tilde{G}_{x,y} = H_1 \cdot (x\tilde{F}_y - y\tilde{F}_x) \\ xF_z - zF_x &= H \cdot \tilde{G}_{x,z} = H_1 \cdot (x\tilde{F}_z - z\tilde{F}_x) \\ yF_z - zF_y &= H \cdot \tilde{G}_{y,z} = H_1 \cdot (y\tilde{F}_z - z\tilde{F}_y). \end{aligned}$$

Observe that dim  $V(\tilde{F}_x, \tilde{F}_y, \tilde{F}_z) \leq 1$ . Moreover  $\tilde{F}_z \neq 0$ . Due to (18), it will be useful to notice that  $V(x,t) \not\subset V(F_y) \cup V(F_z)$  nor  $V(y,t) \not\subset V(F_x) \cup V(F_z)$  and  $V(z,t) \not\subset V(F_x) \cup V(F_y)$ . Hence we take  $A \in \mathbf{V}^{\vee}$  such that dim  $V(\tilde{F}_z, \tilde{A}_3) = \dim V(\tilde{A}_1, \tilde{A}_2) = 1$ and  $V(\tilde{A}_1) \cup V(\tilde{A}_2) \cup V(\tilde{A}_3)$  contains neither V(x,t) nor V(y,t) nor V(z,t) and such that a, b, c, d are non null and such that dim $(\mathcal{H}_{c,d} \cap V(\tilde{F}_z, \tilde{A}_3)) = 0$ . We consider a generic plane  $\mathcal{H}_0 \subset \mathbb{P}^3$  such that  $\delta(A) \notin \mathcal{H}_0, \mathcal{H}_0 \cap \mathcal{H}_{c,d} \cap V(\tilde{F}_z, \tilde{A}_3) = \emptyset$ , dim $(\mathcal{H}_0 \cap V(\tilde{A}_1, \tilde{A}_2)) = 0$ and  $\mathcal{H}_0 \cap V(\tilde{A}_1, \tilde{A}_2, z, t) = \emptyset$  so that, due to (19), we have

$$\mathcal{H}_0 \cap \mathcal{H}_{c,d} \cap V(\hat{A}_1, \hat{A}_2) = \mathcal{H}_0 \cap \mathcal{H}_{c,d} \cap V(\hat{F}_z) \setminus V(t)$$
(20)

(since  $\delta(A) \notin \mathcal{H}_0$  and since  $\mathcal{H}_0 \cap V(\tilde{A}_1, \tilde{A}_2, z, t) = \emptyset$ ). Hence  $\mathcal{H}_0 \cap \mathcal{H}_{c,d} \cap \tilde{\mathcal{P}}_{A,S} = \emptyset$ . Following the proof of (12), we obtain that, for a generic plane  $\mathcal{H}_0 \subset \mathbb{P}^3$ , we have

$$\deg \tilde{\mathcal{P}}_{A,\mathcal{S}} = \deg(\tilde{A}_1)^2 - \sum_{P \in \mathcal{H}_0 \cap \mathcal{H}_{c,d} \cap V(\tilde{A}_1, \tilde{A}_2)} i_P(\mathcal{H}_0, \mathcal{H}_{c,d}, V(\tilde{A}_1, \tilde{A}_2))$$

$$= \deg(\tilde{A}_1)^2 - \sum_{P \in \mathcal{H}_0 \cap \mathcal{H}_{c,d} \cap V(\tilde{F}_z) \setminus V(t)} i_P(\mathcal{H}_0, \mathcal{H}_{c,d}, V(t\tilde{A}_1, t\tilde{A}_2))$$

$$= \deg(\tilde{A}_1)^2 - \sum_{P \in \mathcal{H}_0 \cap \mathcal{H}_{c,d} \cap V(\tilde{F}_z) \setminus V(t)} i_P(\mathcal{H}_0, \mathcal{H}_{c,d}, V(\tilde{F}_z))$$

due to (20), to (15) and since  $\delta(A) \notin \mathcal{H}_0$ . Hence we have

$$\deg \tilde{\mathcal{P}}_{A,\mathcal{S}} = \deg(\tilde{A}_1)^2 - \deg \tilde{F}_z + i_{P_0}(\mathcal{H}_0, \mathcal{H}_{c,d}, V(\tilde{F}_z))$$
  
$$= \deg(\tilde{A}_1)^2 - \deg \tilde{F}_z + 1, \qquad (21)$$

where  $P_0$  is the point contained in  $\mathcal{H}_0 \cap V(z,t)$ . Indeed, if  $\mathcal{H}_0 = V(\alpha x + \beta y + \gamma z + \delta t)$ , a parametrization of  $\mathcal{H}_0 \cap \mathcal{H}_{c,d}$  at  $P_0[\beta : -\alpha : 0 : 0]$  is given by  $\psi(t) = [\beta : -\alpha - (\gamma ct/d) - \delta t : (c\beta t/d) : t\beta]$ . Moreover, since  $H_1(x, y, z, 0)$  divides  $G'(x^2 + y^2 + z^2)$ ,  $\tilde{F}_z$  has the form  $\tilde{G}_0(x^2 + y^2 + z^2)z + t\tilde{K}_0(x, y, z, t)$ , and so  $i_{P_0}(\mathcal{H}_0, V(\tilde{F}_z, ct - dz)) = \operatorname{val}_t \tilde{F}_z(\psi(t)) = \operatorname{val}_t(c\beta t\tilde{G}_0(\beta^2 + \alpha^2)/d + \beta t\tilde{K}_0(\beta, -\alpha, 0, 0)) = 1$ , for a generic  $\mathcal{H}_0$  (given a generic  $A \in \mathbf{V}^{\vee}$ such that  $c\tilde{G}_0(\beta^2 + \alpha^2) \neq d\tilde{K}_0(\beta, -\alpha, 0, 0)$  in  $\mathbb{C}[\alpha, \beta]$ ).

The remark follows from (17) and (21).

**Example 15.** Observe that the only irreducible quadrics  $S = V(F) \subset \mathbb{P}^3$  such that dim  $\mathcal{B} \geq 2$  are the spheres and cones, i.e. with F of the following form

$$F(x, y, z, t) = (x - x_0 t)^2 + (y - y_0 t)^2 + (z - z_0 t)^2 + a_0 t^2,$$

where  $x_0, y_0, z_0, a_0$  are complex numbers (it is a sphere if  $a_0 \neq 0$  and it is a cone otherwise).

Hence, due to Proposition 13, the degree of a generic normal polar of any irreducible quadric of  $\mathbb{P}^3$  which is neither a sphere nor a cone is 3.

Moreover, for a sphere or for a cone, applying Proposition 14 with H = t,  $\tilde{\mathcal{P}}_{A,S}$  is a line for a generic  $A \in \mathbf{V}^{\vee}$ .

4. A general formula for the normal class of surfaces of  $\mathbb{P}^3$ 

4.1. Geometric study of the base points. We set  $\mathcal{B}_{\mathcal{S}} := \mathcal{B} \cap \mathcal{S}$  for the base points of  $\alpha_{|\mathcal{S}}$ . We identify the set  $\mathcal{B}_{\mathcal{S}}$  thanks to the next proposition. We recall that the umbilical curve  $\mathcal{C}_{\infty}$  is the set of circular points at infinity, i.e.

$$\mathcal{C}_{\infty} := \{ [x:y:z:t] \in \mathbb{P}^3 : t = 0, \ x^2 + y^2 + z^2 = 0 \}.$$

We also set  $\mathcal{S}_{\infty} := \mathcal{S} \cap \mathcal{H}^{\infty}$ .

**Definition 16.** We denote by  $\operatorname{Sing}(S)$  the set of singular points of S.

The set of contact at infinity of S, denoted by  $\mathcal{K}_{\infty}(S)$ , is the set of points of S where the tangent plane is  $\mathcal{H}_{\infty}$ .

The set of umbilical contact of S, denoted by  $\mathcal{U}_{\infty}(S)$ , is the set of points of contact of  $S_{\infty}$  with the umbilical  $\mathcal{C}_{\infty}$ .

**Proposition 17.** The set of base points of  $\alpha_{|S}$  is  $\operatorname{Sing}(S) \cup \mathcal{K}_{\infty}(S) \cup \mathcal{U}_{\infty}(S)$ .

*Proof.* We have to prove that the base points of  $\alpha_{|S}$  are the singular points of S (i.e. the points of  $V(F_x, F_y, F_z, F_t)$ ), the contact points of S with  $\mathcal{H}^{\infty}$  (i.e. the points  $P \in S$  such that  $\mathcal{T}_P S = \mathcal{H}^{\infty}$ ) and the contact points of  $S_{\infty}$  with  $\mathcal{C}_{\infty}$  in  $\mathcal{H}_{\infty}$  (i.e. the points  $P \in S \cap \mathcal{C}_{\infty}$  such that the tangent lines  $\mathcal{T}_P(S_{\infty})$  and  $\mathcal{T}_P(\mathcal{C}_{\infty})$  are the same).

Let  $m \in \mathcal{S}$ . We have

$$m \in \mathcal{B}_{\mathcal{S}} \iff \mathbf{m} \wedge \mathbf{n}_{\mathcal{S}}(\mathbf{m}) = 0$$
  
$$\Leftrightarrow \mathbf{n}_{\mathcal{S}}(\mathbf{m}) = \mathbf{0} \text{ or } m = n_{\mathcal{S}}(m)$$
  
$$\Leftrightarrow m \in V(F_x, F_y, F_z) \text{ or } m = n_{\mathcal{S}}(m).$$

Now  $m \in V(F_x, F_y, F_z)$  means either that m is a singular point of S or that  $\mathcal{T}_m S = \mathcal{H}^{\infty}$ .

Let  $m = [x : y : z : t] \in S$  be such that  $m = n_S(m)$ . So  $[x : y : z : t] = [F_x : F_y : F_y : 0]$ . In particular t = 0. Due to the Euler identity, we have  $0 = xF_x + yF_y + zF_z + tF_t = F_x^2 + F_y^2 + F_z^2$ and so  $x^2 + y^2 + z^2 = 0$ . Hence m is in the umbilical curve  $\mathcal{C}_{\infty}$ . Observe that the tangent line  $\mathcal{T}_m \mathcal{C}_{\infty}$  to  $\mathcal{C}_{\infty}$  at m has equations T = 0 and  $\delta^{-1}([x : y : z : 0])$  and that the tangent line  $\mathcal{T}_m \mathcal{S}_{\infty}$ to  $\mathcal{S}_{\infty}$  at m has equations T = 0 and  $\delta^{-1}(n_S(m))$ . We conclude that  $\mathcal{T}_m \mathcal{C}_{\infty} = \mathcal{T}_m \mathcal{S}_{\infty}$ .

Conversely, if m = [x : y : z : 0] is a nonsingular point of  $\mathcal{S}_{\infty} \cap \mathcal{C}_{\infty}$  such that  $\mathcal{T}_m \mathcal{C}_{\infty} = \mathcal{T}_m \mathcal{S}_{\infty}$ , then the linear spaces Span((x, y, z, t), (0, 0, 0, 1)) and  $Span((F_x, F_y, F_z, F_t), (0, 0, 0, 1))$  are equal which implies that  $[x : y : z : 0] = [F_x : F_y : F_z : 0]$ .

In other words, the base points  $\mathcal{B}_{\mathcal{S}}$  of  $\alpha_{|\mathcal{S}}$  are the singular points of  $\mathcal{S}$  and the common points of  $\mathcal{S}$  with its dual surface<sup>2</sup> at infinity.

**Example 18.** For the saddle surface  $S_1 = V(xy - zt)$ , the set  $\mathcal{B}_{S_1}$  contains a single point [0:0:1:0] which is a point of contact at infinity of  $S_1$ .

For the ellipsoid  $\mathcal{E}_1 := V(x^2 + 2y^2 + 4z^2 - t^2)$ , the set  $\mathcal{B}_{\mathcal{E}_1}$  is empty.

For the ellipsoid  $\mathcal{E}_2 := V(x^2 + 4y^2 + 4z^2 - t^2)$ , the set  $\mathcal{B}_{\mathcal{E}_2}$  has two elements:  $[0:1:\pm i:0]$  which are umbilical contact points of  $\mathcal{E}_2$ .

#### 4.2. Proofs of Theorems 3 and 4.

Proof of Theorem 3. Let S be an irreducible surface of  $\mathbb{P}^3$  of degree  $d_S \geq 2$  such that  $\#\mathcal{B}_S < \infty$ . Let us prove that

$$c_{\nu}(\mathcal{S}) = d_{\mathcal{S}}^3 - d_{\mathcal{S}}^2 + d_{\mathcal{S}} - \sum_{P \in \mathcal{B}_{\mathcal{S}}} i_P\left(\mathcal{S}, \mathcal{P}_{A, \mathcal{S}}\right), \qquad (22)$$

for a generic  $A \in \mathbf{V}^{\vee}$ . We keep the notation  $\delta(A) = [a:b:c:d]$ . Observe that, for a generic  $A \in \mathbf{V}^{\vee}$ , since  $\overline{\alpha(S)}$  is irreducible of dimension at most 2, we have  $\# \bigcap_{i=1}^{4} \mathcal{H}_{A,i} \cap \overline{\alpha(S)} < \infty$  and so  $\# \mathcal{P}_{A,S} \cap S < \infty$  (since  $\mathcal{B}_{S} < \infty$ ). Since dim  $\mathcal{P}_{A,S} = 1$  and  $\#S \cap \mathcal{P}_{A,S} < \infty$  for a generic A in  $\mathbf{V}^{\vee}$ , due to Proposition 13 and to the Bezout formula, we have:

$$d_{\mathcal{S}}(d_{\mathcal{S}}^{2} - d_{\mathcal{S}} + 1) = \deg \left(\mathcal{S} \cap \mathcal{P}_{A,\mathcal{S}}\right) \\ = \sum_{P \in \mathcal{B}_{\mathcal{S}}} i_{P}\left(\mathcal{S}, \mathcal{P}_{A,\mathcal{S}}\right) + \sum_{P \in \mathcal{S} \setminus \mathcal{B}} i_{P}\left(\mathcal{S}, \mathcal{P}_{A,\mathcal{S}}\right).$$

Now let us prove that, for a generic  $A \in \mathbf{V}^{\vee}$ ,

$$\sum_{P \in \mathcal{S} \setminus \mathcal{B}} i_P \left( \mathcal{S}, \mathcal{P}_{A, \mathcal{S}} \right) = \# \left( \left( \mathcal{S} \cap \mathcal{P}_{A, \mathcal{S}} \right) \setminus \mathcal{B} \right).$$
(23)

<sup>2</sup>We call dual surface of S its image by the Gauss map.

Since  $\alpha$  is a rational map,  $\alpha(S)$  is irreducible and its dimension is at most 2.

Assume first that dim  $\overline{\alpha(S)} < 2$ . For a generic  $A \in \mathbf{V}^{\vee}$ , the plane  $\bigcap_{i=1}^{4} \mathcal{H}_{A,i} = \bigcap_{i=1}^{4} \psi_i^{-1}(\mathcal{H}_A)$  does not meet  $\overline{\alpha(S)}$  and so the left and right hand sides of (23) are both zero. So Formula (22) holds true with  $c_{\nu}(S) = 0$ .

Assume now that dim  $\overline{\alpha(S)} = 2$ . Then, for a generic  $A \in \mathbf{V}^{\vee}$ , the plane  $\bigcap_{i=1}^{4} \mathcal{H}_{A,i}$  meets  $\alpha(S)$  transversally (with intersection number 1 at every intersection point) and does not meet  $\overline{\alpha(S)} \setminus \alpha(S)$ . This implies that, for a generic  $A \in \mathbf{V}^{\vee}$ , we have  $i_P(S, \mathcal{P}_{A,S}) = 1$  for every  $P \in (S \cap \mathcal{P}_{A,S}) \setminus \mathcal{B}$  and so (23) follows. Hence, for a generic  $A \in \mathbf{V}^{\vee}$ , we have

$$d_{\mathcal{S}}(d_{\mathcal{S}}^{2} - d_{\mathcal{S}} + 1) = \sum_{P \in \mathcal{B}_{\mathcal{S}}} i_{P} \left( \mathcal{S}, \mathcal{P}_{A, \mathcal{S}} \right) + \# \{ P \in \mathcal{S} \setminus \mathcal{B} : \delta(A) \in \mathcal{N}_{m} \mathcal{S} \}$$
$$= \sum_{P \in \mathcal{B}_{\mathcal{S}}} i_{P} \left( \mathcal{S}, \mathcal{P}_{A, \mathcal{S}} \right) + c_{\nu}(\mathcal{S}),$$

which gives (22).

If dim  $\mathcal{B} = 2$ , we saw in Proposition 14 that we can adapt our study to compute the degree of the reduced normal polar  $\tilde{\mathcal{P}}_{A,S}$  associated to the rational map  $\tilde{\alpha} : \mathbb{P}^3 \dashrightarrow \mathbb{P}^3$  such that  $\alpha = H \cdot \tilde{\alpha}$ . Recall that we have denoted by  $\tilde{\mathcal{B}}$  the set of base points of this rational map. Using Proposition 14 and following the proof of Theorem 3, we obtain Theorem 4, which can be rewritten as follows.

**Theorem 4.** Assume that dim  $\mathcal{B} = 2$ , with two dimensional part  $V(H) \subset \mathbb{P}^3$  for some homogeneous polynomial  $H \in \mathbb{C}[x, y, z, t]$  of degree  $d_H$ . Assume moreover that  $\#(\mathcal{S} \cap \tilde{\mathcal{B}}) < \infty$ . Then, for a generic  $A \in \mathbf{V}^{\vee}$  we have

$$c_{\nu}(\mathcal{S}) = d_{\mathcal{S}} \cdot (\tilde{d}_{\mathcal{S}}^2 - \tilde{d}_{\mathcal{S}} + 1) - \sum_{P \in \tilde{\mathcal{B}} \cap \mathcal{S}} i_P \left( \mathcal{S}, \tilde{\mathcal{P}}_{A, \mathcal{S}} \right), \quad \text{with } \tilde{d}_{\mathcal{S}} := d_{\mathcal{S}} - d_H.$$

#### 5. Proof of Theorem 1

We apply Theorem 3. Since the surface is smooth,  $\mathcal{B}_{\mathcal{S}}$  consists of points of contact of  $\mathcal{S}$  with  $\mathcal{H}_{\infty}$  and of points of contact of  $\mathcal{S}_{\infty}$  with  $\mathcal{C}_{\infty}$ . It remains to compute the intersection multiplicity of  $\mathcal{S}$  with a generic normal polar at these points. Let us recall that, due to (7), we have

$$i_P(\mathcal{S}, \mathcal{P}_{A, \mathcal{S}}) = \dim_{\mathbb{C}} \left( (\mathbb{C}[x, y, z, t]/I)_P \right)$$

where I is the ideal  $(F, A_1, A_2, A_3)$  of  $\mathbb{C}[x, y, z, t]$ , with  $A_i := A \circ \nu_i$ .

To compute these quantities, it may be useful to make an appropriate change of coordinates with the use of a projective similitude of  $\mathbb{P}^3$ . Let us notice that:

\* The umbilical  $\mathcal{C}_{\infty}$  is stable under the action of the group of projective similitudes of  $\mathbb{P}^3$ . \* For any  $P \in \mathcal{H}_{\infty} \setminus \mathcal{C}_{\infty}$ , there exists a projective similitude  $\zeta$  of  $\mathbb{P}^3$  mapping [1:0:0:0] to P.

<sup>&</sup>lt;sup>3</sup>Let  $P[x_0 : y_0 : z_0 : 0]$  with  $x_0^2 + y_0^2 + z_0^2 = 1$ . Assume for example that  $z_0^2 \neq 1$  (up to a permutation of the coordinates) and take  $\zeta$  given by  $\kappa'(b, A)$  (for any  $b \in \mathbb{C}^n$ ) with A = (u v w) where  $u = (x_0, y_0, z_0)$  and  $v = (x_0^2 + y_0^2)^{-\frac{1}{2}}(y_0, -x_0, 0)$  and  $w = (x_0^2 + y_0^2)^{-\frac{1}{2}}(x_0 z_0, y_0 z_0, -x_0^2 - y_0^2)$ .

\* For any  $P \in \mathcal{C}_{\infty}$ , there exists a projective similitude  $\zeta$  of  $\mathbb{P}^3$  mapping [1:i:0:0] to P.<sup>4</sup>

We recall that a multiple point of order k of a planar curve is ordinary if its tangent cone contains k pairwise distinct lines and that an ordinary cusp of a planar curve is a double point with a single tangent line in the tangent cone, this tangent line being non contained in the cubic cone of the curve at this point.

- Let P be a (non singular) point of contact of S with  $\mathcal{H}_{\infty}$ . We prove the following:
  - (a)  $i_P(\mathcal{S}, \mathcal{P}_{A,\mathcal{S}}) = k^2$  for a generic  $A \in \mathbf{V}^{\vee}$  if P is an ordinary multiple point of order k+1 of  $\mathcal{S}_{\infty} \setminus \mathcal{C}_{\infty}$ .
  - (b)  $i_P(\mathcal{S}, \mathcal{P}_{A,\mathcal{S}}) = k(k+1)$  for a generic  $A \in \mathbf{V}^{\vee}$  if P is an ordinary multiple point of order k+1 of  $\mathcal{S}_{\infty}$  at which  $\mathcal{S}_{\infty}$  intersects  $\mathcal{C}_{\infty}$  transversally.
  - (c)  $i_P(\mathcal{S}, \mathcal{P}_{A, \mathcal{S}}) = 3$  for a generic  $A \in \mathbf{V}^{\vee}$  if P is an ordinary cusp of  $\mathcal{S}_{\infty}$  at which  $\mathcal{S}_{\infty}$ intersects  $\mathcal{C}_{\infty}$  transversally,
  - (d)  $i_P(\mathcal{S}, \mathcal{P}_{A,\mathcal{S}}) = 2$  for a generic  $A \in \mathbf{V}^{\vee}$  if P is an ordinary cusp of  $\mathcal{S}_{\infty} \setminus \mathcal{C}_{\infty}$ .

Due to Lemma 11, we assume that  $P[1:\theta:0:0]$  with  $\theta = 0$  (if  $P \in \mathcal{H}_{\infty} \setminus \mathcal{C}_{\infty}$ ) or  $\theta = i$  (if  $P \in \mathcal{C}_{\infty}$ ). Since  $\mathcal{T}_P \mathcal{S} = \mathcal{H}_{\infty}$ , we suppose that  $F_x(P) = F_y(P) = F_z(P) = 0$  and  $F_t(P) = 1$  (without any loss of generality). Recall that the Hessian determinant  $H_F$  of F satisfies<sup>5</sup>

$$H_F = \frac{(d_S - 1)^2}{x^2} \begin{vmatrix} 0 & F_y & F_z & F_t \\ F_y & F_{yy} & F_{yz} & F_{yt} \\ F_z & F_{yz} & F_{zz} & F_{zt} \\ F_t & F_{yt} & F_{zt} & F_{tt} \end{vmatrix}$$

Hence  $H_F(P) \neq 0 \Leftrightarrow [F_{uv}F_{zz} - F_{uz}^2](P) \neq 0$ . Due to (9), for a generic  $A \in \mathbf{V}^{\vee}$ , we have

$$\left(\frac{\mathbb{C}[x,y,z,t]}{I}\right)_P \cong \left(\frac{\mathbb{C}[x,y,z,t]}{(F,A_2,A_3)}\right)_P$$

Recall that  $A_2 = atF_z - ctF_x + d(zF_x - xF_z)$  and  $A_3 = -atF_y + btF_x + d(xF_y - yF_x)$ (with  $[a:b:c:d] = \delta(A)$ ). Using the Euler identity  $xF_x + yF_y + zF_z + tF_t = d_SF$ , we obtain that

$$\left(\frac{\mathbb{C}[x,y,z,t]}{I}\right)_P \cong \left(\frac{\mathbb{C}[x,y,z,t]}{(F,A_2',A_3')}\right)_P \cong \left(\frac{\mathbb{C}[y,z,t]}{(F_*,A_{2*}',A_{3*}')}\right)_{(0,0,0)}$$

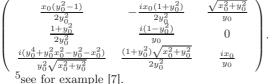
with

$$\begin{aligned} A'_2 &:= atxF_z + ct(yF_y + zF_z + tF_t) - d(z(yF_y + zF_z + tF_t) + x^2F_z), \\ A'_3 &:= -atxF_y - bt(yF_y + zF_z + tF_t) + d(x^2F_y + y(yF_y + zF_z + tF_t)) \end{aligned}$$

and with  $G_*(y, z, t) := G(1, \theta + y, z, t)$  for any homogeneous G. In a neighbourhood of  $(0,0,0), V(F_*)$  is given by  $t = \varphi(y,z)$  with  $\varphi(y,z) \in \mathbb{C}[[y,z]]$  and

$$\varphi_y(y,z) = -\frac{F_y(1,\theta+y,z,\varphi(y,z))}{F_t(1,\theta+y,z,\varphi(y,z))} \quad \text{and} \quad \varphi_z(y,z) = -\frac{F_z(1,\theta+y,z,\varphi(y,z))}{F_t(1,\theta+y,z,\varphi(y,z))}.$$
(24)

<sup>4</sup>Let  $P[x_0 : y_0 : z_0 : 0] \in \mathcal{C}_{\infty}$ . Assume for example that  $y_0 \neq 0$  and  $x_0^2 + y_0^2 \neq 0$  (up to a composition by a permutation matrix). A suitable  $\zeta$  is given by  $\kappa'(b, A)$  (for any  $b \in \mathbb{C}^n$ ) with A =



So

$$\left(\frac{\mathbb{C}[x, y, z, t]}{I}\right)_P \cong \frac{\mathbb{C}[[y, z]]}{(A'_{2**}, A'_{3**})}$$

with  $G_{**}(y,z) := G(1, \theta + y, z, \varphi(y,z))$ . Now due to (24), we have

$$H := A'_{2**} = (F_t)_{**} [-a\varphi\varphi_z + c\varphi(\varphi - (\theta + y)\varphi_y - z\varphi_z) + d(z((\theta + y)\varphi_y + z\varphi_z - \varphi) + \varphi_z)]$$
  
and

$$K := A'_{3**} = (F_t)_{**} [a\varphi\varphi_y - b\varphi(\varphi - (\theta + y)\varphi_y - z\varphi_z) - d(\varphi_y + (\theta + y)((\theta + y)\varphi_y + z\varphi_z - \varphi))].$$

Hence

$$i_P(\mathcal{S}, \mathcal{P}_{A,\mathcal{S}}) = i_{(0,0)}(\Gamma_H, \Gamma_K)_{\mathcal{S}}$$

where  $\Gamma_H$  and  $\Gamma_K$  are the analytic planar curves of respective equations H and K of  $\mathbb{C}[[y,z]].$ 

Observe that  $(H_y(0,0), H_z(0:0)) = d(\varphi_{yz}(0,0), \varphi_{zz}(0,0))$ . Analogously, we obtain  $(K_y(0,0), K_z(0,0)) = -d(1+\theta^2)(\varphi_{y,y}(0,0), \varphi_{yz}(0,0)).$ 

- (a) If  $P \notin \mathcal{C}_{\infty}$  and if P is an ordinary multiple point of order k + 1 of  $\mathcal{S}_{\infty}$ , with our change of coordinates we have P[1:0:0:0] (i.e.  $\theta = 0$ ) and  $V((\varphi_{k+1})_y)$  and  $V((\varphi_{k+1})_z)$  have no common lines.<sup>6</sup> Then the first homogeneous parts of H and K have order k and are  $H_k = d(\varphi_{k+1})_z$  and  $K_k = -d(\varphi_{k+1})_y$  respectively. Since  $\Gamma_{H_k}$ and  $\Gamma_{K_k}$  have no common lines, we conclude that  $\Gamma_H$  and  $\Gamma_K$  are transverse and so that  $i_P(\mathcal{S}, \mathcal{P}_{A, \mathcal{S}}) = k^2$ .
- (b) Assume now that  $P \in \mathcal{C}_{\infty}$  is an ordinary multiple point of  $\mathcal{S}_{\infty}$  at which  $\mathcal{S}_{\infty}$  intersects  $\mathcal{C}_{\infty}$  transversally. With our changes of coordinates, this means that P[1:i:0:0](i.e.  $\theta = i$ ) that y does divide  $\varphi_{k+1}$  (since V(y) is the tangent line to  $\mathcal{C}_{\infty}$  at P) and that  $V((\varphi_{k+1})_y)$  and  $V((\varphi_{k+1})_z)$  have no common lines.

We observe that the first homogeneous parts of H and K have respective orders kand k+1 and are respectively  $H_k = d(\varphi_{k+1})_z$  and

$$K_{k+1} = -di[2y(\varphi_{k+1})_y + z(\varphi_{k+1})_z - \varphi_{k+1}] \\ = -di\left[\left(2 - \frac{1}{k+1}\right)y(\varphi_{k+1})_y + \left(1 - \frac{1}{k+1}\right)z(\varphi_{k+1})_z\right],$$

due to the Euler identity applied to  $\varphi_{k+1}$ . Hence  $i_P(\mathcal{S}, \mathcal{P}_{A,\mathcal{S}}) = k(k+1)$  if  $\Gamma_H$  and  $\Gamma_K$  are transverse, that is if  $V((\varphi_{k+1})_z)$  and  $V(y(\varphi_{k+1})_y)$  have no common lines, which is true since  $V((\varphi_{k+1})_z)$  and  $V((\varphi_{k+1})_y)$  have no common lines and since y does not divide  $(\varphi_{k+1})_z$ .

(c) Assume that  $P \in \mathcal{C}$  is an ordinary cusp (of order 2) of  $\mathcal{S}_{\infty}$  at which  $\mathcal{S}_{\infty}$  intersects  $\mathcal{C}_{\infty}$  transversally. With our changes of coordinates, this means that P[1:i:0:0](i.e.  $\theta = i$ ), that  $H_F(P) = 0$  and  $F_{zz}(P) \neq 0$  (since V(y) is the tangent line to  $\mathcal{C}_{\infty}$ at P).

We observe that  $H = -d(F_{yz}(P)y + F_{zz}(P)z) + \dots$  In a neighbourhood of (0,0),

$$\begin{split} H(y,z) &= 0 \iff z = h(y) \text{ with} \\ h(y) &= -\frac{F_{yz}(P)}{F_{zz}(P)}y - \frac{F_{zzz}(P)F_{yz}(P)-2F_{yzz}(P)F_{yz}(P)F_{zz}(P)+F_{yyz}(P)F_{zz}^2(P)}{F_{zz}^3(P)}y^2 + \dots \\ \text{and we obtain that val}_y K(y,h(y)) &= 3 \text{ if } P \notin V(F_{yyy}F_{zz}^3 - 3F_{yyz}F_{zz}^2F_{yz} + 3F_{yzz}F_{zz}F_{yz}^2 - 3F_{yyz}F_{zz}^2F_{yz} + 3F_{yzz}F_{zz}F_{yz}^2 - 3F_{yzz}F_{zz}F_{yz} + 3F_{yzz}F_{zz}F_{yz}^2 - 3F_{yzz}F_{zz}F_{yz} + 3F_{yzz}F_{zz}F_{yz}^2 - 3F_{yzz}F_{zz}F_{yz} + 3F_{yzz}F_{zz}F_{yz}^2 - 3F_{yzz}F_{zz}F_{yz} + 3F_{yzz}F_{zz}F_{yz}^2 - 3F_{yzz}F_{zz}F_{yz}^2 - 3F_{yzz}F_{zz}F_{yz} + 3F_{yzz}F_{zz}F_{yz}^2 - 3F_{yz}F_{zz}F_{yz}F_{zz}F_{yz}F_{zz}F_{yz}F_{yz}F_{zz}F_{yz}F_{yz}F_{zz}F_{yz}F_{yz}F_{zz}F_{yz}F_{yz}F_{zz}F_{yz}F_{yz}F_{zz}F_{yz}F_{yz}F_{zz}F_{yz}F_{yz}F_{zz}F_{yz}F_{yz}F_{zz}F_{yz}F_{yz}F_{zz}F_{yz}F_{yz}F_{zz}F_{yz}F_{yz}F_{zz}F_{yz}F_{yz}F_{zz}F_{yz}F_{zz}F_{yz}F_{yz}F_{zz}F_{yz}F_{yz}F_{zz}F_{yz}F_{yz}F_{zz}F_{yz}F_{yz}F_{zz}F_{yz}F_{yz}F_{zz}F_{yz}F_{yz}F_{zz}F_{yz}F_{yz}F_{yz}F_{zz}F_{yz}F_{yz}F_{zz}F_{yz}F_{yz}F_{yz}F_{zz}F_{yz}F_{yz}F_{zz}F_{yz}F_{yz}F_{zz}F_{yz}F_{yz}F_{zz}F_{yz}F_{yz}F_{yz}F_{zz}F_{yz}F_{yz}F_{zz}F_{yz}F_{yz}F_{zz}F_{yz}F_{yz}F_{zz}F_{yz}F_{yz}F_{yz}F_{zz}F_{yz}F_{yz}F_{yz}F_{zz}F_{yz}F_{yz}F_{zz}F_{yz}F_{yz}F_{zz}F_{yz}F_{yz}F_{zz}F_{yz}F_{yz}F_{zz}F_{yz}F_{zz}F_{yz}F_{zz}F_{yz}F_{zz}F_{yz}F_{zz}F_{yz}F_{zz}F_{yz}F_{zz}F_{yz}F_{zz}F_{yz}F_{zz}F_{yz}F_{zz}F_{yz}F_{zz}F_{yz}F_{zz}F_{yz}F_{zz}F_{yz}F_{zz}F_{yz}F_{zz}F_{yz}F_{zz}F_{yz}F_{zz}F_{zz}F_{yz}F_{zz}F_{zz}F_{yz}F_{zz}F_{zz}F_{yz}F_{zz}F_{zz}F_{yz}F_{zz}$$
 $F_{zzz}^3F_{yz}^3$  which means that the line  $V(F_{yz}(P)y+F_{zz}(P)z)$  (corresponding to the tangent cone of V(F(1, y, z, 0)) is not contained in the cubic cone of V(F(1, y, z, 0)). Hence  $i_P(\mathcal{S}, \mathcal{P}_{A, \mathcal{S}}) = 3$  if the node P of  $\mathcal{S}_{\infty}$  is ordinary.

<sup>&</sup>lt;sup>6</sup>Recall that the tangent cone  $V(\varphi_{k+1})$  of  $\Gamma_{\varphi}$  at (0,0) (corresponding to the tangent cone of  $V(\mathcal{S}_{\infty})$  at P) has pairwise distinct tangent lines if and only if  $V((\varphi_{k+1})_y)$  and  $V((\varphi_{k+1})_z)$  have no common lines.

(d) Assume now that P is an ordinary cusp (of order 2) of  $S_{\infty} \setminus C_{\infty}$ . With our change of coordinates, this means that P[1:0:0:0] (i.e.  $\theta = 0$ ), that  $H_F(P) = 0$  and  $P \notin V(F_{yy}, F_{yz}, F_{zz})$ . This implies that  $F_{yy}(P) \neq 0$  or  $F_{zz}(P) \neq 0$ . If  $F_{yy}(P) \neq 0$ , the tangent line to  $S_{\infty}$  at P is given by  $V(t, F_{yy}(P)y + F_{yz}(P)z)$ . If  $F_{zz}(P) \neq 0$ , the tangent line to  $S_{\infty}$  at P is given by  $V(t, F_{zz}(P)z + F_{yz}(P)z)$ . The fact that the cusp is ordinary implies also that the tangent line is not contained in the cubic cone of V(F(1, y, z, 0)), i.e. this tangent line is not contained  $V(F_{yyy}(P)y^3 + 3F_{yyz}(P)y^2z + 3F_{yzz}(P)yz^2 + F_{zzz}(P)z^3](P)$ . Hence, we have either

$$F_{yy}[F_{yyy}F_{yz}^3 - 3F_{yyz}F_{yz}^2F_{yy} + 3F_{yzz}F_{yz}F_{yy}^2 - F_{zzz}F_{yy}^3](P) \neq 0$$

or

$$F_{zz}[F_{zzz}F_{yz}^3 - 3F_{yzz}F_{yz}^2F_{zz} + 3F_{yyz}F_{yz}F_{zz}^2 - F_{yyy}F_{zz}^3](P) \neq 0.$$

We can notice that, if  $F_{yy}(P)$  and  $F_{zz}(P)$  are both non null, these two conditions are equivalent.

Assume for example that the first condition holds. In a neighbourhood of (0,0),  $H(y,z) = 0 \Leftrightarrow y = h(z)$  and  $K(y,z) = 0 \Leftrightarrow y = k(z)$ , with

$$h'(z) = -\frac{H_z(h(z), z)}{H_y(h(z), z)}$$
 and  $k'(z) = -\frac{K_z(h(z), z)}{K_y(h(z), z)}$ 

Hence we have

$$\left(\frac{\mathbb{C}[x,y,z,t]}{I}\right)_P \cong \frac{\mathbb{C}[[y,z]]}{(y-h(z),y-k(z))} \cong \frac{\mathbb{C}[[z]]}{((h-k)(z))}$$

We have  $h'(0) = k'(0) = -\varphi_{yz}(0,0)/\varphi_{yy}(0,0)$  and  $(h'' - k'')(0) = \left[\varphi_{yy}^3\varphi_{zy}\left(\varphi_{yyy}\varphi_{yz}^3 - 3\varphi_{yyz}\varphi_{yz}^2\varphi_{yy} + 3\varphi_{yzz}\varphi_{yy}^2\varphi_{yz} - \varphi_{zzz}\varphi_{yy}^3\right)\right](0,0)$ Hence  $i_P(\mathcal{S}, \mathcal{P}_{A,\mathcal{S}}) = \dim_{\mathbb{C}} \frac{\mathbb{C}[[z]]}{((h-k)(z))} = 2.$ 

• Let P be a simple (non singular) point of contact of  $\mathcal{S}_{\infty}$  with  $\mathcal{C}_{\infty}$ .

Let us prove that  $i_P(\mathcal{S}, \mathcal{P}_{A,\mathcal{S}}) = 1$ . Due to Lemma 11, we can assume that P = [1:i:0:0] (i.e.  $\theta = i$ ) and that  $F_t(P) = 1$ . As previously, we observe that

$$\left(\frac{\mathbb{C}[x,y,z,t]}{I}\right)_P \cong \frac{\mathbb{C}[[y,z]]}{(A_{1**},A'_{3**})},$$

with

$$A_{1**}(y,z) = (F_t)_{**} [\varphi(b\varphi_z - c\varphi_y) - d((y+i)\varphi_z - z\varphi_y)]$$

and

$$A_{3**} = (F_t)_{**}[a\varphi\varphi_y - b\varphi(\varphi - (\theta + y)\varphi_y - z\varphi_z) - d(\varphi_y + (i + y)((i + y)\varphi_y + z\varphi_z - \varphi))].$$

The fact that P is a simple contact point of  $\mathcal{S}_{\infty}$  with  $\mathcal{C}_{\infty}$  implies that  $[F_x(P):F_y(P):F_z(P)] = [1:i:0]$  and that  $\varphi_{zz}(0,0) \neq 1$ . Indeed V(t,F(1,i+y,z,t)) is given by t = 0, y = g(z) with g(0) = 0 and  $g'(z) = -\varphi_z(g(z),z)/\varphi_y(g(z),z)$  (in particular g'(0) = 0), so

$$\frac{\mathbb{C}[[y,z]]}{(y-g(z),1+(i+y)^2+z^2)} \cong \frac{\mathbb{C}[[z]]}{(1+(i+g(z))^2+z^2)}$$

and finally

$$i_P(\mathcal{S}_{\infty}, \mathcal{C}_{\infty}) = \operatorname{val}_z(1 + (i + g(z))^2 + z^2) = 1 + \operatorname{val}_z((i + g(z))g'(z) + z)$$

which is equal to 2 if and only if  $\varphi_{zz}(0,0) \neq 1$ .

In a neighbourhood of (i, 0),  $A_{1**}$  can be rewritten  $\varphi - \kappa$  with  $\kappa = d((y + i)\varphi_z - z\varphi_y)/(b\varphi_z - c\varphi_y)$ . Since  $\varphi_{zz}(0) \neq 1$ ,  $\kappa_z(0, 0) \neq 0$  and, in a neighbourhood of  $0, \varphi - \kappa = 0$ 

corresponds to y = h(z) with  $h'(0) \neq 0$  (recall that  $\varphi_y(0) = i \neq 0$  and that A is generic) which gives

$$\left(\frac{\mathbb{C}[x,y,z,t]}{I}\right)_P \cong \frac{\mathbb{C}[[y,z]]}{(y-h(z),A'_{3**})}$$

and finally  $i_P(\mathcal{S}, \mathcal{P}_{A,\mathcal{S}}) = \operatorname{val}_z A'_{3**}(h(z), z) = 1.$ 

#### 6. Study of the normal class of quadrics

The aim of the present section is the study of the normal class of every irreducible quadric. Let  $\mathcal{S} = V(F) \subset \mathbb{P}^3$  be an irreducible quadric. We recall that, up to a composition by  $\varphi \in Sin_{\mathbb{C}}(3)$ , one can suppose that F has the one of the following forms:

(a)  $F(x, y, z, t) = x^{2} + \alpha y^{2} + \beta z^{2} + t^{2}$ (b)  $F(x, y, z, t) = x^{2} + \alpha y^{2} + \beta z^{2}$ (c)  $F(x, y, z, t) = x^{2} + \alpha y^{2} - 2tz$ (d)  $F(x, y, z, t) = x^{2} + \alpha y^{2} + t^{2}$ ,

with  $\alpha, \beta$  two non zero complex numbers. Spheres, ellipsoids and hyperboloids are particular cases of (a), paraboloids (including the saddle surface) are particular cases of (c), (b) correspond to cones and (d) to cylinders.

We will see, in Appendix A, that in the case (d) (cylinders) and in the cases (a) and (b) with  $\alpha = \beta = 1$ , the normal class of the quadric is naturally related to the normal class of a conic.

Proposition 19. The normal class of a sphere is 2.

The normal class of a quadric V(F) with F given by (a) is 6 if  $1, \alpha, \beta$  are pairwise distinct.

The normal class of a quadric V(F) with F given by (a) is 4 if  $\alpha = 1 \neq \beta$ .

The normal class of a quadric V(F) with F given by (b) is 4 if  $1, \alpha, \beta$  are pairwise distinct.

The normal class of a quadric V(F) with F given by (b) is 2 if  $\alpha = 1 \neq \beta$ .

The normal class of a quadric V(F) with F given by (b) is 0 if  $\alpha = \beta = 1$ .

The normal class of a quadric V(F) with F given by (c) is 5 if  $\alpha \neq 1$  and 3 if  $\alpha = 1$ .

The normal class of a quadric V(F) with F given by (d) is 4 if  $\alpha \neq 1$  and 2 if  $\alpha = 1$ .

**Corollary 20.** The normal class of the saddle surface  $S_1 = V(xy - zt)$  is 5.

The normal class of the ellipsoid  $\mathcal{E}_1 = V(x^2 + 2y^2 + 4z^2 - t^2)$  with three different length of axis is 6.

The normal class of the ellipsoid  $\mathcal{E}_2 = V(x^2 + 4y^2 + 4z^2 - t^2)$  with two different length of axis is 4.

Proof of Proposition 19. Let  $\mathcal{S} = V(F)$  be a quadric with F of the form (a), (b), (c) or (d).

- The easiest cases is (a) with  $1, \alpha, \beta$  pairwise distinct since the set of base points  $\mathcal{B}_S$  is empty. In this case, since the generic degree of the normal polar curves is 3 and since  $\mathcal{E}_1$  has degree 2, we simply have  $c_{\nu}(\mathcal{E}_1) = 2 \cdot 3 = 6$  (due to Theorem 3).
- The case of a sphere S is analogous. In this case,  $\tilde{\mathcal{B}} \cap S = \emptyset$  and deg  $\tilde{\mathcal{P}}_{A,S} = 1$  for a generic  $A \in \mathbf{V}^{\vee}$  (see Example 15). Hence, we have  $c_{\nu}(\mathcal{E}_1) = 2 \cdot 1 = 2$  (due to Theorem 4).

In the other cases, the set of base points on S will not be empty and we will have to compute intersection multiplicity of the surface with a generic normal polar curve at the base points P. To this end, we compute a parametrization  $t \mapsto \psi(t)$  (in a chart x = 1, y = 1, z = 1 or t = 1) of the normal polar curve  $\mathcal{P}_{A,S}$  at the neighbourhood of each base point P and use the formula  $i_P(S, \mathcal{P}_{A,S}) = \operatorname{val}(F \circ \psi)$ .

- In case (a) with  $\alpha = 1 \neq \beta$ , the set  $\mathcal{B}_{\mathcal{S}}$  contains two points  $[1 : \pm i : 0 : 0]$ . We find the parametrization  $\psi(y) = [1 : \pm i + y : 0 : 0]$  of  $\mathcal{P}_{A,\mathcal{S}}$  at the neighbourhood of  $P[1 : \pm i : 0 : 0]$ , which gives  $i_P(\mathcal{S}, \mathcal{P}_{A,\mathcal{S}}) = \operatorname{val}_z(1 + (\pm i + y)^2) = 1$  and so  $c_\nu(\mathcal{S}) = 2 \cdot 3 1 1 = 4$ .
- In case (b) with  $\alpha$ ,  $\beta$  and 1 are pairwise distinct, the set  $\mathcal{B}_{\mathcal{S}}$  contains a single point P[0:0:0:1] and a parametrization of  $\mathcal{P}_{A,\mathcal{S}}$  in a neighbourhood of P is

$$\psi(x) = \left[x : -\frac{bx}{d(\alpha - 1)x - a} : \frac{cx}{a + d(1 - \beta)x} : 1\right].$$
(25)

Hence  $i_P(\mathcal{S}, \mathcal{P}_{A,\mathcal{S}}) = \operatorname{val}_x(F(\psi(x))) = 2$  and so  $c_\nu(\mathcal{S}) = 2 \cdot 3 - 2 = 4$ .

- In case (b) with  $\alpha = 1 \neq \beta$ , we have  $\mathcal{B}_{\mathcal{S}} = \{P, P'_{+}, P'_{-}\}$  with P[0:0:0:1] and  $P'_{\pm}[1:\pm i:0:0]$ . A parametrization of  $\mathcal{P}_{A,\mathcal{S}}$  in a neighbourhood of P is given by (25) with  $\alpha = 1$  and so  $i_{P}(\mathcal{S}, \mathcal{P}_{A,\mathcal{S}}) = 2$ . A parametrization of  $\mathcal{P}_{A,\mathcal{S}}$  at a neighbourhood of  $P'_{\pm}$  is  $\psi(z) = [1:\pm i+y:0:0]$  and so  $i_{P'_{\pm}}(\mathcal{S}, \mathcal{P}_{A,\mathcal{S}}) = 1$ . Hence  $c_{\nu}(\mathcal{S}) = 2 \cdot 3 2 1 1 = 2$ .
- In case (b) with  $\alpha = \beta = 1$ , for a generic  $A \in \mathbf{V}^{\vee}$ , we have deg  $\tilde{\mathcal{P}}_{A,\mathcal{S}} = 1$  (see Example 15) but here  $\tilde{\mathcal{B}} \cap \mathcal{S} = \{[0:0:0:1]\}$ . We find the parametrization  $\psi(x) = [x:(bx/a):(cx/a):1]$  of  $\tilde{\mathcal{P}}_{A,\mathcal{S}}$  at the neighbourhood of P[0:0:0:1]. Hence  $i_P(\mathcal{S}, \tilde{\mathcal{P}}_{A,\mathcal{S}}) = 2$  and so  $c_{\nu}(\mathcal{S}) = 2 \cdot 1 2 = 0$ .
- In case (c) with  $\alpha \neq 1$ , the only base point on S is  $P_1[0:0:1:0]$  and a parametrization of  $\mathcal{P}_{A,S}$  at the neighbourhood of this point is

$$\psi(t) = \left[\frac{at^2}{d + (d - c)t} : \frac{bt^2}{t(d - c\alpha) + \alpha d} : 1 : t\right],\tag{26}$$

which gives  $i_{P_1}(\mathcal{S}, \mathcal{P}_{A, \mathcal{S}}) = 1$ . Hence  $c_{\nu}(\mathcal{S}) = 2 \times 3 - 1 = 5$ .

- In case (c) with  $\alpha = 1$ , there are three base points on S:  $P_1[0:0:1:0]$ ,  $P_{2,\pm}[1:\pm i:0:0]$ . As in the previous case, a parametrization of  $\mathcal{P}_{A,S}$  at the neighbourhood of  $P_1$  is given by (26) with  $\alpha = 1$  and so  $i_{P_1}(\mathcal{S}, \mathcal{P}_{A,S}) = 1$ . Now, a parametrization of  $\mathcal{P}_{A,S}$  at the neighbourhood of  $P_{2,\pm}$  is  $\psi(t) = [1:\pm i+y:0:0]$  and so  $i_{P_{2,\pm}} = (\mathcal{S}, \mathcal{P}_{A,S}) =$ val<sub>y</sub> $(1 + (y \pm i)^2) = 1$ .
- For the case (d), due to Proposition 22,  $c_{\nu}(\mathcal{S}) = c_{\nu}(\mathcal{C})$  with  $\mathcal{C} = V(x^2 + \alpha y^2 + z^2) \subset \mathbb{P}^2$ which is a circle if  $\alpha = 1$  and an ellipse otherwise. Hence, due to Theorem 5,  $c_{\nu}(\mathcal{C}) = 2 + 2 - 0 - 1 - 1 = 2$  if  $\alpha = 1$  and  $c_{\nu}(\mathcal{C}) = 2 + 2 = 4$  otherwise.

#### 7. Normal class of a cubic surface with singularity $E_6$

Consider  $S = V(F) \subset \mathbb{P}^3$  with  $F(x, y, z, t) := x^2 z + z^2 t + y^3$ . S is a singular cubic surface with  $E_6$ -singularity at p[0:0:0:1]. Let a generic  $A \in \mathbf{V}^{\vee}$  with  $\delta(A) = [a:b:c:d]$ . The ideal of the normal polar  $\mathcal{P}_{S,A}$  is given by  $I(\mathcal{P}_{S,A}) = \langle H_1, H_2, H_3 \rangle \subset \mathbb{C}[x, y, z, t]$  with  $H_1 := (y(x^2+2zt)-3y^2z)d-b(x^2+2zt)t+3y^2ct, H_2 := (x(x^2+2zt)-2xz^2)d-a(x^2+2zt)t+2xztc$ and  $H_3 := (-2xzy+3xy^2)d - 3ay^2t + 2xztb$ . We have two base points: p and q[0:0:1:0]. Actually q is the contact point of S with  $\mathcal{H}_{\infty}$ . This point is an ordinary cusp of  $\mathcal{S}_{\infty}$ .

(1) Study at p.

Near p the ideal of the normal polar, in the chart t = 1,  $H_3 = 0$  gives  $z = g(x, y) := \frac{3y^2(-xd+a)}{2x(-yd+b)}$ . Now  $V(A_1(x, y, g(x, y), 1))$  corresponds to a quintic with a cusp at the origine (and with tangent cone  $V(y^2)$ ). Its single branch has Puiseux expansion  $y^2 = -\frac{b}{3a}x^3 + o(x^3)$ , with probranches  $y = \varphi_{\varepsilon}(x)$  with  $\varphi_{\varepsilon}(x) = i\varepsilon\sqrt{\frac{b}{3a}x^3} + o(x^3)$  for  $\varepsilon \in \{\pm 1\}$ . Hence,  $g(x, \varphi_{\varepsilon}(x)) = -\frac{x^2}{2} + o(x^2)$ . Hence parametrizations of the probranches of  $\mathcal{P}_{A,\mathcal{S}}$  at a neighbourhood of p are

$$\Gamma_{\varepsilon}(x) = [x : \varphi_{\varepsilon}(x) : g(x, \varphi_{\varepsilon}(x)) : 1]$$

and  $F(\Gamma_{\varepsilon}(x)) = -\frac{x^4}{4} + o(x^4)$ . Therefore  $i_p(\mathcal{P}_{\mathcal{S},A}, \mathcal{S}) = 8$ . (2) Study at q.

Assume that b = 1. Near q[0:0:1:0], in the chart z = 1,  $H_3 = 0$  gives  $t = h(x,y) := \frac{d(-2+3y)xy}{3ay^2-2x}$  and  $V(H_2(x,y,1,h(x,y)))$  is a quartic with a (tacnode) double point in (0,0) with vertical tangent and which has Puiseux expansion

$$x = \theta_{\varepsilon}(y) = \omega_{\varepsilon} a \, y^2 + o(y^2),$$

with  $\omega_{\varepsilon} = \frac{3-d}{2} + \frac{\varepsilon}{2}\sqrt{d(d-6)}$  for  $\varepsilon \in \{\pm 1\}$  and  $h(\theta_{\varepsilon}(y), y) = -\frac{2d\omega_{\varepsilon}}{3-2\omega_{\varepsilon}}y + o(y)$ . Hence parametrizations of the probranches of  $\mathcal{P}_{\mathcal{S},A}$  in a neighbourhood of q are given by

$$\Gamma_{\varepsilon}(y) := [\theta_{\varepsilon}(y) : y : 1 : h(\theta_{\varepsilon}(y), y)]$$

for  $\varepsilon \in \{\pm 1\}$  and  $F(\Gamma_{\varepsilon}(y)) = -\frac{2\omega_{\varepsilon}d}{3-2\omega_{\varepsilon}}y + o(y)$ . Hence  $i_q(\mathcal{P}_{\mathcal{S},A},\mathcal{S}) = 2$ We can also apply directly Item (c) of Section 5 to prove that  $i_q(\mathcal{P}_{\mathcal{S},A},\mathcal{S}) = 2$ .

Therefore, due to Theorem 3, the normal class of  $\mathcal{S} = V(x^2z + z^2t + y^3) \subset \mathbb{P}^3(\mathbb{C})$  is

$$c_{\nu}(\mathcal{S}) = 3 \cdot (3^2 - 3 + 1) - 8 - 2 = 11.$$

### 8. Normal class of planar curves : Proof of Theorem 5

Let **V** be a three dimensional complex vector space and set  $\mathbb{P}^2 := \mathbb{P}(\mathbf{V})$  with projective coordinates x, y, z. We denote by  $\ell_{\infty} = V(z)$  the line at infinity. We consider the natural map  $\delta : \mathbf{V}^{\vee} \dashrightarrow \mathbb{P}^2$  given by  $\delta(A) = [A(1,0,0) : A(0,1,0) : A(0,0,1)].$ 

Let  $\mathcal{C} = V(F) \subset \mathbb{P}^2$  be an irreducible curve of degree  $d \geq 2$ . For any nonsingular  $m[x:y:z] \in \mathcal{C}$  (with coordinates  $\mathbf{m} = (x, y, z) \in \mathbb{C}^3$ ), we write  $\mathcal{T}_m \mathcal{C}$  for the tangent line to  $\mathcal{C}$  at m. If  $\mathcal{T}_m \mathcal{C} \neq \ell_\infty$ , then  $n_{\mathcal{C}}(m) = [F_x:F_y:0]$  is well defined in  $\mathbb{P}^2$  and the projective normal line  $\mathcal{N}_m \mathcal{C}$  to  $\mathcal{C}$  at m is the line  $(m n_{\mathcal{C}}(m))$  if  $n_{\mathcal{C}}(m) \neq m$ . An equation of this normal line is then given by  $\delta^{-1}(N_{\mathcal{C}}(m))$  where  $N_{\mathcal{C}}:\mathbb{P}^2 \dashrightarrow \mathbb{P}^2$  is the rational map defined by

$$\mathbf{N}_{\mathcal{C}}(\mathbf{m}) := \mathbf{m} \wedge \begin{pmatrix} F_x \\ F_y \\ 0 \end{pmatrix} = \begin{pmatrix} -zF_y(m) \\ zF_x(m) \\ xF_y(m) - yF_x(m) \end{pmatrix}.$$
 (27)

**Lemma 21.** The base points of  $(N_{\mathcal{C}})|_{\mathcal{C}}$  are the singular points of  $\mathcal{C}$ , the contact points with the line at infinity and the points of  $\{I, J\} \cap \mathcal{C}$ .

*Proof.* A point  $m \in C$  is a base point of  $N_C$  if and only if  $F_x = F_y = 0$  or  $z = xF_y - yF_x = 0$ . Hence, singular points of C are base points of  $N_C$ .

Let m = [x : y : z] be a nonsingular point of C. First  $F_x = F_y = 0$  is equivalent to  $\mathcal{T}_m \mathcal{C} = \ell_{\infty}$ . Assume now that  $z = xF_y - yF_x = 0$  and  $(F_x, F_y) \neq (0, 0)$ . Then  $m = [x : y : 0] = [F_x : F_y : 0]$  and, due to the Euler formula, we have  $0 = -zF_z = xF_x + yF_y$  and so  $x^2 + y^2 = 0$ , which implies m = I or m = J. Finally observe that if  $m \in \{I, J\} \cap C$ , then m = [-y : x : 0] and, due to the Euler formula,  $0 = -zF_z = xF_x + yF_y = xF_y - yF_x$ .

Hence the set of base points of  $(N_{\mathcal{C}})_{|\mathcal{C}}$  is at most finite (since  $\mathcal{C} \neq \ell_{\infty}$ ).

Since the degree of each non zero coordinate of  $\frac{1}{2}\mathbf{N}_{\mathcal{C}}$  is d, due to the fundamental lemma of [5], we have

$$c_{\nu}(\mathcal{C}) = d^2 - \sum_{P \in \text{Base}((N_{\mathcal{C}})_{|\mathcal{C}})} i_P(\mathcal{C}, V(L \circ \mathbf{N}_{\mathcal{C}})),$$
(28)

for a generic  $L \in \mathbf{V}^{\vee}$ . The set  $V(L \circ \mathbf{N}_{\mathcal{C}}) \subset \mathbb{P}^2$  is called **the normal polar** of  $\mathcal{C}$  with respect to L. It satisfies

$$m \in V(L \circ \mathbf{N}_{\mathcal{C}}) \quad \Leftrightarrow \quad \mathbf{N}_{\mathcal{C}}(\mathbf{m}) = 0 \text{ or } \delta(L) \in \mathcal{N}_m(\mathcal{C}).$$

Now, to compute the generic intersection numbers, we use the notion of probranches [4, 10, 11]. See section 4 of [5] for details. Let  $P \in C$  be a base point of  $N_C$  and let us write  $\mu_P$  for the multiplicity of C at P. Recall that  $\mu_P = 1$  means that P is a nonsingular point of C. Let  $M \in GL(\mathbf{V})$  be such that  $M(\mathbf{O}) = \mathbf{P}$  with  $\mathbf{O} = (0, 0, 1)$  (we set also O = [0 : 0 : 1]) and such that V(x) is not contained in the tangent cone of  $V(F \circ M)$  at O. Recall that the equation of this tangent cone is the homogeneous part of lowest degree in (x, y) of  $F(x, y, 1) \in \mathbb{C}[x, y]$  and that this lowest degree is  $\mu_P$ . Using the combination of the Weierstrass preparation theorem and of the Puiseux expansions,

$$F \circ M(x, y, 1) = U(x, y) \prod_{j=1}^{\mu_P} (y - g_j(x)),$$

for some U(x, y) in the ring of convergent series in x, y with  $U(0, 0) \neq 0$  and where  $g_j(x) = \sum_{m \geq 1} a_{j,m} x^{\frac{m}{q_j}}$  for some integer  $q_j \neq 0$ . The  $y = g_j(x)$  correspond to the equations of the probranches of C at P. Since V(x) is not contained in the tangent cone of  $V(F \circ M)$  at O, the valuation in x of  $g_j$  is strictly larger than or equal to 1 and so the probranch  $y = g_j(x)$  is tangent to  $V(y - xg'_j(0))$ . We write  $\mathcal{T}_P^{(i)} := M(V(y - xg'_j(0)))$  the associated (eventually singular) tangent line to C at P ( $\mathcal{T}_P^{(i)}$  is the tangent to the branch of C at P corresponding to this probranch) and we denote by  $i_P^{(j)}$  the tangential intersection number of this probranch:

$$i_P^{(j)} = \operatorname{val}_x(g_j(x) - xg'_j(0)) = \operatorname{val}_x(g_j(x) - xg'_j(x)).$$

We recall that for any homogeneous polynomial  $H \in \mathbb{C}[x, y, z]$ , we have

$$i_P(\mathcal{C}, V(H)) = i_O(V(F \circ M), V(H \circ M))$$
  
= 
$$\sum_{j=1}^{\mu_P} \operatorname{val}_x(H(M(G_j(x)))),$$

where  $G_j(x) := (x, g_j(x), 1)$ . With these notations and results, we have

$$\Omega(\mathcal{C},\ell_{\infty}) = \sum_{P \in \mathcal{C} \cap \ell_{\infty}} (i_P(\mathcal{C},\ell_{\infty}) - \mu_P(\mathcal{C})) = \sum_{P \in \mathcal{C} \cap \ell_{\infty}} \sum_{j:\mathcal{T}_P^{(j)} = \ell_{\infty}} (i_P^{(j)} - 1).$$

For a generic  $L \in \mathbf{V}^{\vee}$ , we also have

$$i_P(\mathcal{C}, V(L \circ N_{\mathcal{C}})) = \sum_{j=1}^{\mu_P} \operatorname{val}_x(L(N_{\mathcal{C}}(M(G_j(x)))))$$
$$= \sum_{j=1}^{\mu_P} \min_k \operatorname{val}_x([N_{\mathcal{C}} \circ M]_k(G_j(x)))$$

where  $[\cdot]_k$  denotes the k-th coordinate. Moreover, due to (27), as noticed in Proposition 16 of [6], we have

$$\mathbf{N}_{\mathcal{C}} \circ M(\mathbf{m}) = Com(M) \cdot (\mathbf{m} \wedge [\Delta_{\mathbf{A}} G(\mathbf{m}) \cdot \mathbf{A} + \Delta_{\mathbf{B}} G(\mathbf{m}) \cdot \mathbf{B}]),$$

where  $G := F \circ M$ ,  $\mathbf{A} := M^{-1}(1, 0, 0)$ ,  $\mathbf{B} := M^{-1}(0, 1, 0)$  and  $\Delta_{(x_1, y_1, z_1)} H = x_1 H_x + y_1 H_y + z_1 H_z$ . As observed in Lemma 33 of [5], we have

$$\Delta_{(x_1,y_1,z_1)}G(x,g_j(x),1) = R_j(x)W_{(x_1,y_1,z_1),j}(x),$$

where  $R_j(x) = U(x, g_j(x)) \prod_{j' \neq j} (g_{j'}(x) - g_j(x))$  and  $W_{(x_1, y_1, z_1), j}(x) := y_1 - x_1 g'_j(x) + z_1 (x g'_j(x) - g_j(x))$ . Therefore, for a generic  $L \in \mathbf{V}^{\vee}$ , we have

$$i_P(\mathcal{C}, V(L \circ N_{\mathcal{C}})) = V_P + \sum_{j=1}^{\mu_P} \min_k \operatorname{val}_x([G_j(x) \land (W_{\mathbf{A},j}(x) \cdot \mathbf{A} + W_{\mathbf{B},j}(x) \cdot \mathbf{B})]_k)$$

where  $V_P := \sum_{j=1}^{\mu_P} \sum_{j' \neq j} \operatorname{val}(g_{j'} - g_j)$ . Now, we write  $h_P^{(j)} := \min_k \operatorname{val}_x([G_j(x) \land (W_{\mathbf{A},j}(x) \cdot \mathbf{A} + W_{\mathbf{B},j}(x) \cdot \mathbf{B})]_k)$  and  $h_P := \sum_{j=1}^{\mu_P} h_P^{(j)}$ . Observe that V(P) = 0 if P is a nonsingular point of  $\mathcal{C}$ . We recall that, due to Corollary 31 of [5], we have

$$\sum_{P \in \mathcal{C} \cap \text{Base}(N_{\mathcal{C}})} V_P = d(d-1) - d^{\vee}$$

and so, due to (28), we obtain

$$c_{\nu}(\mathcal{C}) = d + d^{\vee} - \sum_{P \in \mathcal{C} \cap \text{Base}(N_{\mathcal{C}})} h_P.$$
<sup>(29)</sup>

Now we have to compute the contribution  $h_P^{(j)}$  of each probranch of each  $P \in \mathcal{C} \cap \text{Base}(N_{\mathcal{C}})$ . We have observed, in Proposition 29 of [5], that we can adapt our choice of M to each probranch (or, to be more precise, to each branch corresponding to the probranch). This fact will be useful in the sequel. In particular, for each probranch, we take M such that  $g'_j(0) = 0$  so  $G_j(x) \wedge (W_{\mathbf{A},j}(x) \cdot \mathbf{A} + W_{\mathbf{B},j}(x) \cdot \mathbf{B})$  can be rewritten:

$$\begin{pmatrix} x\\ g_j(x)\\ 1 \end{pmatrix} \wedge \begin{pmatrix} x_A y_A - (x_A^2 + x_B^2)g'_j(x) + x_B y_B + (z_A x_A + z_B x_B)(xg'_j(x) - g_j(x))\\ y_A^2 + y_B^2 - (x_A y_A + x_B y_B)g'_j(x) + (z_A y_A + z_B y_B)(xg'_j(x) - g_j(x))\\ y_A z_A + y_B z_B - (x_A z_A + x_B z_B)g'_j(x) + (z_A^2 + z_B^2)(xg'_j(x) - g_j(x)) \end{pmatrix}.$$
 (30)

• Assume first that P is a point of C outside  $\ell_{\infty}$ . Then for M as above and such that  $z_A = z_B = 0$ , we have

$$G_j(0) \wedge (W_{\mathbf{A},j}(0) \cdot \mathbf{A} + W_{\mathbf{B},j}(0) \cdot \mathbf{B}) = \begin{pmatrix} -y_A^2 - y_B^2 \\ x_A y_A + x_B y_B \\ 0 \end{pmatrix}$$

which is non null since  $(y_A, y_B) \neq (0, 0)$  and since **A** and **B** are linearly independent. So  $h_P^{(j)} = 0$ .

- Assume now that  $P \in \mathcal{C} \cap \ell_{\infty} \setminus \{I, J\}$  and  $\mathcal{T}_{P}^{(j)} \neq \ell_{\infty}$ . Then  $y_A + iy_B \neq 0$  and  $y_A iy_B \neq 0$ (since  $I, J \notin \mathcal{T}_{P}^{(j)}$ ) and so  $y_A^2 + y_B^2 \neq 0$  which together with (30) implies that  $h_P^{(j)} = 0$  as in the previous case.
- Assume that  $P \in \mathcal{C} \cap \ell_{\infty} \setminus \{I, J\}$  and  $\mathcal{T}_{P}^{(i)} = \ell_{\infty}$ . Assume that M(1, 0, 0) = (1, i, 0). Hence  $\mathbf{A} + i\mathbf{B} = (1, 0, 0)$ . Then  $y_A = y_B = 0$ ,  $x_A + ix_B = 1$ ,  $z_A + iz_B = 0$ . So  $z_A^2 + z_B^2 = 0$  and  $z_A x_A + z_B x_B = z_A \neq 0$  (since  $z_B = iz_A$  and  $x_B = i(x_A - 1)$ ). Observe that  $P \neq J$  implies also that  $x_A - ix_B \neq 0$ . So that  $x_A^2 + x_B^2 \neq 0$ . Hence, due to (30),  $G_j(x) \wedge (W_{\mathbf{A},j}(x) \cdot \mathbf{A} + W_{\mathbf{B},j}(x) \cdot \mathbf{B})$  is equal to

$$\begin{pmatrix} x\\g_j(x)\\1 \end{pmatrix} \wedge \begin{pmatrix} (x_A^2 + x_B^2)g'_j(x) + z_A(xg'_j(x) - g_j(x))\\0\\z_Ag'_j(x) \end{pmatrix}$$

Therefore we have  $h_P^{(j)} = \operatorname{val}_x((x_A^2 + x_B^2)g'_j(x)) = i_P^{(j)} - 1.$ 

• Assume that P = I and that  $\mathcal{T}_P^{(j)} = \ell_{\infty}$ . Take M such that  $M(\mathbf{O}) = (1, i, 0)$ ,  $\mathbf{B} = (1, 0, 0)$ and so  $\mathbf{A} = (-i, 0, 1)$ . Due to (30),  $G_j(x) \wedge (W_{\mathbf{A}, j}(x) \cdot \mathbf{A} + W_{\mathbf{B}, j}(x) \cdot \mathbf{B})$  is equal to

$$\begin{pmatrix} x\\ g_j(x)\\ 1 \end{pmatrix} \wedge \begin{pmatrix} -i(xg'_j(x) - g_j(x))\\ 0\\ ig'_j(x) + (xg'_j(x) - g_j(x)) \end{pmatrix}.$$
(31)

Now observe that each coordinate has valuation at least equal to  $i_P^{(j)} = \operatorname{val} g_j$  and that the term of degree  $i_P^{(j)}$  of the second coordinate is the term of degree  $i_P^{(j)}$  of

$$-i(xg'_{j}(x) - g_{j}(x)) + xig'_{j}(x) = ig_{j}(x) \neq 0$$

which is non null. Therefore  $h_P^{(j)} = i_P^{(j)}$ .

• Assume finally that P = I and that  $\mathcal{T}_P^{(j)} \neq \ell_{\infty}$ . Take M such that  $M(\mathbf{O}) = (1, i, 0)$ ,  $\mathbf{B} = (0, 1, 0)$  and so  $\mathbf{A} = (0, -i, 1)$ . Due to (30),  $G_j(x) \wedge (W_{\mathbf{A},j}(x) \cdot \mathbf{A} + W_{\mathbf{B},j}(x) \cdot \mathbf{B})$  is equal to

$$\begin{pmatrix} x\\ g_j(x)\\ 1 \end{pmatrix} \wedge \begin{pmatrix} 0\\ -i(xg'_j(x) - g_j(x))\\ -i + (xg'_j(x) - g_j(x)) \end{pmatrix}.$$
(32)

Now observe that each coordinate has valuation at least equal to 1 and that the term of degree 1 of the second coordinate is  $ix \neq 0$ . Hence  $i_P^{(j)} = 1$ .

Observe that the case P = J can be treated in the same way than the case P = I.

Theorem 5 follows from (29) and from the previous computation of  $h_P$ .

APPENDIX A. NORMAL CLASS OF SURFACES LINKED TO NORMAL CLASS OF PLANAR CURVES

We consider here two particular cases of surfaces the normal class of which is naturally related to the normal class of a curve.

We start with the case of cylinders.

We call cylinder of base  $\mathcal{C} = V(G) \subset \mathbb{P}^2$  and of axis  $V(x, y) \subset \mathbb{P}^3$  the surface  $V(F) \subset \mathbb{P}^3$ , with F(x, y, z, t) := G(x, y, t).

**Proposition 22.** Let  $S = V(F) \subset \frac{1}{2}\mathbb{P}^3$  be a cylinder of axis  $V(x,y) \subset \mathbb{P}^3$  and of base  $\mathcal{C} = V(G) \subset \mathbb{P}^2$ . Then

$$c_{\nu}(\mathcal{S}) = c_{\nu}(\mathcal{C}).$$

*Proof.* The normal line of S at  $m[x_1 : y_1 : z_1 : t_1] \in S$  is contained in the plane  $V(t_1z - z_1t)$ . Hence, for any  $P[x_0 : y_0 : z_0 : 1] \in \mathbb{P}^3 \setminus \mathcal{H}_{\infty}$ , we have the following equivalences:

$$P \in \mathcal{N}_m \mathcal{S} \iff P \in (m, n_{\mathcal{S}}(m))$$
  

$$\Leftrightarrow P \in (m, n_{\mathcal{S}}(m)), \quad m \notin \mathcal{H}_{\infty}$$
  

$$\Leftrightarrow P \in (m, n_{\mathcal{S}}(m)), \quad t_1 \neq 0, \ z_1 = z_0 t_1$$
  

$$\Leftrightarrow t_1 \neq 0, \ z_1 = z_0 t_1, \ P_1 \in (m_1, n_{\mathcal{C}}(m_1))$$
  

$$\Leftrightarrow t_1 \neq 0, \ z_1 = z_0 t_1, \ P_1 \in \mathcal{N}_{m_1} \mathcal{C},$$

with  $m_1[x_1:y_1:t_1]$  and  $P_1[x_0:y_0:1]$  in  $\mathbb{P}^2$ . Hence  $\#\{m \in S : P \in \mathcal{N}_m S\} = \#\{m_1 \in \mathcal{C} : P_1 \in \mathcal{N}_m \mathcal{C}\}$  and the result follows.  $\Box$ 

Another case when the normal class of a surface corresponds to the normal class of a planar surface is the case of revolution surfaces.

We call algebraic surface of revolution of axis V(x,y) a surface  $V(F) \subset \mathbb{P}^3$ , where  $F(x,y,z,t) := G(x^2 + y^2, z, t)$ , where  $G(u, v, w) \in \mathbb{C}[u, v, w]$  is such that  $G(u^2, v, w)$  is homogeneous.

**Proposition 23.** Let  $S = V(F) \subset \mathbb{P}^3$  be an irreducible surface of degree  $d_S \geq 2$ . We suppose that S is an algebraic surface of revolution of axis V(x, y), then  $c_{\nu}(S) = c_{\nu}(C)$  where  $C = V(G(x^2, y, z)) \subset \mathbb{P}^2$  where  $G \in \mathbb{C}[u, v, w]$  is such that  $F(x, y, z, t) = G(x^2 + y^2, z, t)$ .

*Proof.* We observe that, for every  $m[x_1 : y_1 : z_1 : t_1] \in \mathcal{S}$ , we have

$$n_{\mathcal{S}}(m) = [F_x(\mathbf{m}) : F_y(\mathbf{m}) : F_z(\mathbf{m}) : 0] = [2x_1G_u(\mathbf{m}_3) : 2y_1G_u(\mathbf{m}_3) : G_v(\mathbf{m}_3) : 0],$$

with  $\mathbf{m}_3 = (x_1^2 + y_1^2, z_1, t_1)$ . The normal line of  $\mathcal{S}$  at  $m[x_1 : y_1 : z_1 : t_1] \in \mathcal{S}$  is contained in the plane  $V(x_1y - y_1x)$  if  $(x_1, y_1) \neq 0$ . Hence, for any  $P[x_0 : y_0 : z_0 : 1] \in \mathbb{P}^3 \setminus \mathcal{H}_\infty$  with  $x_0^2 + y_0^2 \neq 0$  and  $x_0 \neq 0$ , we have the following equivalences:

$$P \in \mathcal{N}_m \mathcal{S} \iff P \in (m, n_{\mathcal{S}}(m)), \quad m \notin \mathcal{H}_{\infty}$$
$$\Leftrightarrow P \in (m, n_{\mathcal{S}}(m)), \quad t_1 \neq 0, \quad x_1 y_0 = x_0 y_1.$$

We observe that  $x_1y_0 = x_0y_1$  implies that  $x_1^2 + y_1^2 \neq 0$  or  $(x_1, y_1) = 0$ . Hence we have

$$P \in \mathcal{N}_{\mathcal{S}}(m) \iff t_1 \neq 0, \ y_1 = \frac{x_1 y_0}{x_0}, \ P_1 \in (m_1, N_1),$$

with  $P_1[x_0: z_0: 1]$ ,  $m_1[x_1: z_1: t_1]$  and  $N_1 = [2x_1G_u(\mathbf{m}_3): G_z(\mathbf{m}_3): 0]$  in  $\mathbb{P}^2$ . Now let  $\delta$  be a complex number such that  $\delta^2 x_0^2 = x_0^2 + y_0^2$ . We then have

$$N_1 \left[ \frac{2}{\delta} (x_1 \delta) G_u((x_1 \delta)^2, z_1, t_1) : G_v((x_1 \delta)^2, z_1, t_1)) : 0 \right],$$
$$m_1 \left[ \frac{1}{\delta} x_1 \delta : z_1 : t_1 \right] \text{ and } P_1 \left[ \frac{1}{\delta} x_0 \delta : z_0 : 1 \right].$$

Now we set  $m_2[x_1\delta:z_1:t_1]$  and  $P_2[x_0\delta:y_0:1]$ . We observe that

$$n_{\mathcal{C}}(m_2) \left[ 2(x_1\delta)G_u((x_1\delta)^2, z_1, t_1) : G_v((x_1\delta)^2, z_1, t_1)) : 0 \right].$$

so that

$$P \in \mathcal{N}_m \mathcal{S} \quad \Leftrightarrow \quad t_1 \neq 0, \ y_1 = \frac{x_1 y_0}{x_0}, \ P_2 \in (m_2, n_{\mathcal{C}}(m_2))$$
$$\Leftrightarrow \quad t_1 \neq 0, \ z_1 = z_0 t_1, \ P_2 \in \mathcal{N}_{m_2} \mathcal{C}.$$

Hence  $\#\{m \in S : P \in \mathcal{N}_{\mathcal{S}}(m)\} = \#\{m_2 \in \mathcal{C} : P_2 \in \mathcal{N}_{\mathcal{C}}(m_2)\}$  and this number does not depend on the choice of  $\delta$  such that  $\delta^2 x_0^2 = x_0^2 + y_0^2$ . The result follows.

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