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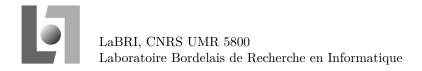
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Achim Blumensath
TU Darmstadt,
Mathematik, AG Logik,
D-64289 Darmstadt, GERMANY
blumensath@mathematik.tu-darmstadt.de

David Janin Université de Bordeaux, LaBRI UMR 5800, F-33405 Talence, FRANCE janin@labri.fr

A syntactic congruence for languages of birooted trees

Achim Blumensath¹, David Janin²

¹TU Darmstadt, Mathematik, AG Logik, D-64289 Darmstadt, GERMANY blumensath@mathematik.tu-darmstadt.de

²Université de Bordeaux, LaBRI UMR 5800, F-33405 Talence, FRANCE janin@labri.fr

Abstract. The study of languages of labelled birooted trees, that is, elements of the free inverse monoid enriched by a vertex labelling, has led to the notion of quasi-recognisability. It generalises the usual notion of recognisability by replacing homomorphisms by certain prehomomorphism into finite ordered monoids, called adequate, that only preserve some products: the so-called disjoint ones. In this paper we study the underlying partial algebra setting and we define a suitable notion of a syntactic congruence such that (i) having a syntactic congruence of finite index captures MSO-definability; (ii) a certain order-bisimulation refinement of the syntactic congruence captures quasi-recognisability in the same way.

1 Introduction

When modelling systems and concepts, it is sometimes handy to consider objects that are composed of overlapping parts. A formal framework for the composition of such overlapping objects is given by the theory of inverse semigroups [19]. Recent applications of this theory include the modelling of music [8], interactive music systems [1,14], and distributed systems [6]. As already observed in [16, 17] and developed further in [13], inverse semigroup theory conveys a notion of a higher dimensional string that seems particularly relevant in the context of such applications. This is especially clear in view of Stephen's representation theorem for inverse semigroups [26] that allows us to define graphical representations of elements of an inverse semigroup. These observations motivate the development of a formal language theory based on the theory of inverse semigroups.

However, the tools of classical formal language theory are not easily applicable for such a purpose. As already observed and studied in detail for languages in the free inverse monoid [25], that is, languages of birooted trees, the notion of algebraic recognisability by means of morphisms into finite monoids leads to a notion with rather weak expressive power. Further study of these languages in the context of tree walking automata has led to a strict hierarchy of classes of languages [12] ranging from recognisable languages (definable by means of finite monoids) to logically definable languages (definable in monadic second-order

 \log ic) and $regular\ languages$ (definable with various notions of regular expressions).

It is known that the homomorphic image of an inverse monoid is an inverse monoid. When applied to inverse semigroups, the automata stemming from morphisms into finite monoids are reversible in a certain sense [21, 25]. Although leading to interesting studies of reversible computations (see [5] for instance), morphisms of inverse monoids preserve far too much structure to be used as a tool for language definability. The collapse of expressive power arises even in the absence of inverses themselves as illustrated by languages of positive birooted words [10] or languages of partially ordered graphs [4].

These observations lead us to the definition and the development of a more expressive notion of language definability, called *quasi-recognisability*. This notion is based on relaxing homomorphisms into *adequate premorphisms*. While a homomorphism of monoids preserves products, i.e., $\varphi(xy) = \varphi(x)\varphi(y)$, adequate premorphisms of ordered monoids are only required to be monotonic and submultiplicative, i.e., $\varphi(xy) \leq \varphi(x)\varphi(y)$, effectivity being ensured by additional preservation properties.

Applied first to languages of birooted words [10,7], that is, subsets of the monoid of McAlister [22,20], and then to languages of labelled birooted trees [9], this emerging notion of a quasi-recognisable language has been successfully related to classical automata theory. It has also been shown to 'essentially' capture definability by means of MSO-formulae [11,9]. Hence, it provides new algebraic tools for the study of MSO-definable languages of finite trees [27].

At the moment the theory of quasi-recognisable languages is far from being fully understood. It turns out that the notion of an adequate premorphism is related to two fields of algebra: the theory of partially ordered monoids (adequate premorphisms are order preserving) and the theory of partial algebras (adequate premorphisms preserves a partial operation called the disjoint product).

In this paper we continue the study of languages of birooted trees and we make these connections with the theories of partial algebras and ordered monoids explicit. In short, we show that the theory of partial algebra provides effective tools to characterise MSO-definable languages of birooted trees. Additionally, the theory of partially ordered monoids provides, via the notion of quasi-recognisability, a more subtle description of (a large subclass of) these languages. This can be seen as a further example of the use of partially ordered monoids in algebraic language theory [23].

The paper is organised as follows. In the next section, we recall the basic notions from algebra we will need. We quickly review the definitions of a labelled birooted tree, the corresponding monoid, the operations of left and right projection, and the natural order on birooted trees. These notions are taken from the theory of inverse semigroups. We refer the reader to [9] for more details on the inverse monoid of labelled birooted trees. A thorough introduction to the theory of inverse semigroups can be found in [19].

The disjoint product of birooted trees – a notion that plays a key role in quasi-recognisability [9] – is reviewed in Section 3. This operation provides a

link to the theory of partial algebras [2] which is exploited in this paper. In particular, we consider the notion of a closed *-congruence (cf. Definition 3.3). The closure property, which plays a central role in the theory of partial algebras, is characterised over birooted trees by means of their so-called *root type* (cf. Proposition 3.8).

In Section 4, we prove that every language of birooted trees admits a syntactic congruence, that is, a greatest closed *-congruence saturating the language (cf. Theorem 4.2). Then we prove that the syntactic congruence of a language has finite index if, and only if, the language is definable in monadic second-order logic (cf. Theorem 4.7). In this case we also obtain a linear time membership algorithm (cf. Theorem 4.8).

Quasi-recognisable languages, which capture finite boolean combinations of upward closed MSO-definable languages [9], are considered in Section 5. The notion of a bisimulation refinement of a closed *-congruence (cf. Definition 5.2) is defined and shown to exist. Quasi-recognisable languages are then characterised by means of the bisimulation refinement of their syntactic congruence (cf. Theorem 5.18).

2 Birooted labelled trees

Throughout the paper we fix two finite alphabets $\mathbb{A} = \{a,b,c,\ldots\}$ of edge labels and $\mathbb{F} = \{f,g,h,\ldots\}$ of vertex labels. We assume that \mathbb{A} is non-empty, while \mathbb{F} is allowed to be empty. Let $\bar{\mathbb{A}} := \{\bar{a},\bar{b},\bar{c},\ldots\}$ be a disjoint copy of \mathbb{A} and set $\widetilde{\mathbb{A}} = \mathbb{A} + \bar{\mathbb{A}}$. We define the syntactic inverse mapping $x \mapsto x^{-1}$ from $\widetilde{\mathbb{A}}$ to itself by $a^{-1} = \bar{a}$ and $\bar{a}^{-1} = a$, for $a \in \mathbb{A}$. This mapping is extended to $\widetilde{\mathbb{A}}^*$ by setting $1^{-1} := 1$ and $(au)^{-1} := u^{-1}a^{-1}$ for $a \in \widetilde{\mathbb{A}}$ and $u \in \widetilde{\mathbb{A}}^*$.

The free group $\operatorname{FG}(\mathbb{A})$ generated by \mathbb{A} is the quotient of \mathbb{A}^* under the congruence generated by the equations $a\bar{a}=1$ and $\bar{a}a=1$ for $a\in\mathbb{A}$. Every equivalence class $[u]\in\operatorname{FG}(\mathbb{A})$ is uniquely determined by the unique word $\operatorname{red}(u)$ obtained from u by applying the rewriting rules $a\bar{a}\to 1$ and $\bar{a}a\to 1$ for $a\in\mathbb{A}$. In the sequel, we thus shall represent elements of $\operatorname{FG}(\mathbb{A})$ by their reduced form. In that case, the group product $u\cdot v$ of two elements $u,v\in\operatorname{FG}(\mathbb{A})$ is defined by $u\cdot v=\operatorname{red}(uv)$, and elements of $\operatorname{FG}(\mathbb{A})$ are partially ordered by the prefix order (defined over their reduced forms). Then, for every $u\in\operatorname{FG}(\mathbb{A})$, we have $u^{-1}\in\operatorname{FG}(\mathbb{A})$ and $u\cdot u^{-1}=1=u^{-1}\cdot u$, that is, the syntactic inverse u^{-1} of u coincides with its group inverse.

Definition 2.1 (Birooted labelled trees). A (vertex-labelled) birooted tree is a pair $x = \langle r, u \rangle$ where $r : \operatorname{FG}(\mathbb{A}) \to \mathbb{F} \cup \{\top\}$ is a partial mapping with a prefix-closed domain $\operatorname{dom}(r) \subseteq \operatorname{FG}(\mathbb{A})$ and $u \in \operatorname{dom}(r)$ is a distinguished vertex called the *output root*. The unit vertex $1 \in \operatorname{dom}(r)$ is called the *input root*.

In the case where the alphabet \mathbb{F} contains at least two elements¹, the set of birooted trees is extended by a *zero element* 0. The set of all birooted trees is denoted by $\mathcal{B}(\mathbb{F}, \mathbb{A})$.

¹ otherwise, there is no need of a zero

The product $x \cdot y$ of two non-zero birooted trees $x = \langle r, u \rangle$ and $y = \langle s, v \rangle$ is the birooted tree $\langle t, w \rangle$ where

$$dom(t) = dom(r) \cup u \cdot dom(s)$$

and, for every $z \in dom(t)$,

$$t(z) = \begin{cases} r(z) & \text{if } z \in \text{dom}(r) - u \cdot \text{dom}(s), \\ s(u^{-1} \cdot z) & \text{if } z \in u \cdot \text{dom}(s) - \text{dom}(r), \\ r(z) \wedge s(u^{-1} \cdot z) & \text{if } z \in \text{dom}(r) \cap u \cdot \text{dom}(s). \end{cases}$$

The meet in the last clause of the definition is computed with respect to the trivial order on $\mathbb{F} \cup \{\top\}$ where $x \leq y$ iff x = y or $y = \top$. The product is set to 0 if, for some z, the above meet does not exists, i.e., the labels of r and s at the respective places disagree. We extend the product to 0 by defining $x \cdot 0 = 0 = 0 \cdot x$ for all $x \in \mathcal{B}(\mathbb{F}, \mathbb{A})$. As usual, we may omit the dot and simply write xy instead of $x \cdot y$.

An example of labelled birooted trees and products is depicted Figure 1. The input/output roots are marked by dangling incoming/outgoing arrows. Directed edges are only labelled by letters of \mathbb{A} , letters of \mathbb{A} implicitly labelling the reverse of these edges. Observe that, when both $x = \langle r, u \rangle$ and $y = \langle s, v \rangle$ are non-zero

Fig. 1. Two compatible labelled birooted trees and their non-zero product.

birooted trees, the necessary and sufficient condition for the product $x \cdot y$ to be non-zero is that for every $v' \in \text{dom}(s)$, if $uv' \in \text{dom}(s)$ then we have either $t(uv') = \top$, or $s(v') = \top$, or r(uv') = s(v').

As special cases of birooted trees there are elementary birooted trees that are either 0 or consist of a single vertex or a single edge. For all $f \in \mathbb{F}$ and $a \in \widetilde{\mathbb{A}}$, there are elementary birooted trees f and a that are defined as follows. The former have a single vertex labelled f, while the latter consist of a single edge labelled a that connects two vertices with label \top . The formal definitions are $f := \langle \{1 \mapsto f\}, 1 \rangle$ and $a := \langle \{1 \mapsto \top, a \mapsto \top\}, a \rangle$. The neutral element of $\mathcal{B}(\mathbb{F}, \mathbb{A})$ is the one vertex birooted tree with label \top formally defined by $1 := \langle \{1 \mapsto \top\}, 1 \rangle$.

Most of the properties of birooted trees and their product follows from the following theorem. For the sake of completeness, let us recall that a monoid M is an *inverse monoid* when, for each $x \in M$, there exists a unique element $x^{-1} \in M$, called the *semigroup inverse* of x, such that $xx^{-1}x = x$ and $x^{-1}xx^{-1} = x^{-1}$.

Theorem 2.2 ([9], [19]). The set $\mathcal{B}(\mathbb{F}, \mathbb{A})$ equipped with the above product is an inverse monoid. It is the quotient of the free inverse monoid $FIM(\mathbb{F} + \mathbb{A})$ by the identities ff = f and fg = 0, for $f, g \in \mathbb{F}$ with $f \neq g$, and it is generated by the elementary birooted trees. For $\mathbb{F} = \emptyset$, the monoid $\mathcal{B}(\emptyset, \mathbb{A})$ is just the free inverse monoid $FIM(\mathbb{A})$ itself.

As both alphabets are fixed throughout the paper, we shall simply write \mathcal{B} for the set of birooted trees over \mathbb{F} and \mathbb{A} .

Below we review some basic notions and properties of the monoid \mathcal{B} that follow from its definition and the fact that it is an inverse monoid. A detailed presentations of inverse semigroup theory can be found in [19].

First, note that $0^{-1} = 0$. The case of non-zero birooted trees is described in the following lemma.

Lemma 2.3 (Inverses and idempotent). The inverse of a non-zero birooted tree $x = \langle r, u \rangle$ is $x^{-1} = \langle r_u, u^{-1} \rangle$ where

$$dom(r_u) = u^{-1} \cdot dom(r)$$
 and $r_u(v) = r(u \cdot v)$, for $v \in dom(r_u)$.

Moreover, x is idempotent if, and only if, u = 1.

The next definition plays a fundamental role in our approach.

Definition 2.4 (Resets and co-resets). The right projection, or reset, of an element $x \in \mathcal{B}$ is the element $x^R := x \cdot x^{-1}$. Its left projection, or co-reset, is $x^L := x^{-1} \cdot x$.

We easily check that $x^Rx = x = xx^L$, $x^Rx^R = x^R$, and $x^Lx^L = x^L$. Since idempotents are self inverse, this implies that the reset mapping $x \mapsto x^R$ and the co-reset mapping $x \mapsto x^L$ are both projections from the set of birooted trees into the set of idempotents.

As is well known in inverse semigroup theory, these projections are related to Green's relations as follows: for $x, y \in \mathcal{B}$, we have $x^L = y^L$ if, and only if, x and y are \mathcal{L} -equivalent, and $x^R = y^R$ if, and only if, they are \mathcal{R} -equivalent.

Definition 2.5 (Natural order). The natural order \leq on \mathcal{B} is defined by

$$x \le y$$
 :iff $x = x^R \cdot y$ (equivalently $x = y \cdot x^L$).

It can be shown that, as in any inverse monoid, a birooted tree $x \in \mathcal{B}$ is idempotent if, and only if, $x \leq 1$, that is, the idempotents are exactly the *subunits*. The set of idempotent elements of \mathcal{B} is thus denoted by $U(\mathcal{B}) := \{x \in \mathcal{B} : x \leq 1\}$. In adequately ordered monoids, which we will introduce below, this is no longer the case, as there may be idempotents that are not subunits.

Every non-zero birooted tree $x = \langle s, u \rangle$ can be seen, as depicted in Figure 1, as a relational structure M_x with domain $\operatorname{dom}(s)$ over the signature $\mathbb{A}+\mathbb{F}+\{\operatorname{in},\operatorname{out}\}$, where the symbols in \mathbb{A} are interpreted as binary relations, those in \mathbb{F} are interpreted as disjoint unary relations, and there are two distinguished vertices in, $\operatorname{out} \in \operatorname{dom}(x)$ representing the input and output root. Encoding zero by the one vertex structure M_0 with non-empty interpretations of every symbol of \mathbb{F} or \mathbb{A} , the natural ordered can then be characterised as follows.

Lemma 2.6. Let x and y be two birooted trees. Then $x \leq y$ if and only if there is a morphism $\varphi: M_y \to M_x$ that preserves input and output root. For $x \neq 0$, this morphism is injective.

Remark 2.7. Using such a representation of all birooted trees as structures, we can consider subsets of \mathcal{B} that are definable in a given logic, say, in monadic second-order logic. The study of MSO-definable languages of birooted trees by means of algebraic methods is one of the main purpose of this paper.

3 The disjoint product

The disjoint product, introduced in [9], turns the algebra of birooted trees into a (finitely generated) partial algebra [2] over the signature consisting of the partial disjoint product, the total reset and co-reset operations, and (if necessary) the constant 0.

The associated notion of a congruence of partial algebras [2], called a *-congruence in this paper, will lead us in the next section to the syntactic congruence of a language.

Definition 3.1 (Disjoint product). The partial disjoint product x * y of two elements $x, y \in \mathcal{B}$ is equal to their usual product $x \cdot y$ if the following two conditions are satisfied:

```
(D1) x \cdot y \neq 0,
(D2) x = \langle r, u \rangle, y = \langle s, v \rangle, and dom(r) \cap u \cdot dom(s) = \{u\}.
```

Otherwise, the disjoint product is left undefined. We shall write $\exists x * y$ to denote both the existence of such a partial product and its value.

It is straightforward to check that the disjoint product is associative in the following sense: the disjoint product x*(y*z) is defined if, and only if, (x*y)*z is defined and, if this is the case, both products are equal.

The following lemma shows that the set of birooted trees is a finitely generated partial algebra [2].

Lemma 3.2 (Strong decomposition [9]). Every birooted tree $x \in \mathcal{B}$ can be written as a combination of elementary birooted trees by disjoint products, reset and co-reset projections. This combination can be chosen to be of linear size in the number of vertices of x.

The following definition is adapted from the theory of partial algebras (see [2] Sections 2.4–2.6) albeit with different terminology.

Definition 3.3. (a) A *-congruence over \mathcal{B} is an equivalence relation \simeq that is compatible with the disjoint product * and the two projection operations L and R in the sense that

(P1)
$$x \simeq x'$$
, $y \simeq y'$, $\exists x * y$, $\exists x' * y'$ implies $x * y \simeq x' * y'$,

(P2) $x \simeq y$ implies $x^L \simeq y^L$ and $x^R \simeq y^R$,

A *-congruence \simeq is *closed* when it also satisfies the following property:

- **(P3)** $x \simeq x'$ and $y \simeq y'$ implies $\exists x * y \Leftrightarrow \exists x' * y'$.
- (b) We call an equivalence relation on \mathcal{B} idempotent pure if it does not identify an idempotent with a non-idempotent.

Proposition 3.4 (Lattice property [2]). The set of *-congruences ordered by inclusion is a meet semi-lattice with intersection as meet. The set of closed *-congruences ordered by inclusion is a lattice with intersection as meet and the transitive closure of union as join.

Proof. This essentially follows from standard results for partial algebras [2]. We present the proof for the sake of completeness.

Let R_1 and R_2 be two *-congruences. Clearly, the intersection $R_1 \cap R_2$ is also a *-congruence. Furthermore, if both R_1 and R_2 are closed, then so is $R_1 \cap R_2$.

Let $R_1 \sqcup R_2 := (R_1 \cup R_2)^+$ be the transitive closure of the union $R_1 \cup R_2$. Since both R_1 and R_2 are reflexive, we have

$$R_1 \sqcup R_2 = (R_1 \cup R_2)^* = \bigcup \{ (R_1 \cup R_2)^n : 0 \le n < \omega \}.$$

It suffices to check that the relation $R_1 \sqcup R_2$ is a closed *-congruence. By definition it then follows that it is the least closed *-congruence containing both R_1 and R_2 .

Let $(x, x'), (y, y') \in R_1 \sqcup R_2$. Since $R_1 \cup R_2$ is reflexive, we can find a number n such that $(x, x'), (y, y') \in (R_1 \cup R_2)^n$. We check Properties (P1)–(P3) by induction on n.

We start with (P1) and (P3). Assume that $\exists x * y$. If n = 0, then x = x' and y = y'. Consequently, $\exists x' * y'$ and $(x * y, x' * y') \in R_1 \sqcup R_2$. Hence, suppose that n = m+1, for some m. Then there exists $x'' \in \mathcal{B}$ such that $(x, x'') \in (R_1 \cup R_2)^m$ and $(x'', x') \in R_i$ for some $i \in \{1, 2\}$. By inductive hypothesis, $\exists x * y$ implies $\exists x'' * y'$ and $(x * y, x'' * y') \in R_1 \sqcup R_2$. But since R_i satisfies (P3) with $(x'', x') \in R_i$ we also have $\exists x' * y'$. Hence, (P1) implies that $(x'' * y', x' * y') \in R_i \subseteq R_1 \sqcup R_2$. It follows, by transitivity of the relation $R_1 \sqcup R_2$, that $(x * y, x' * y') \in R_1 \sqcup R_2$.

The case of Property (P2) is treated similarly. We want to prove that we both have $(x^L, {x'}^L) \in R_1 \sqcup R_2$ and $(x^R, {x'}^R) \in R_1 \sqcup R_2$. If n = 0, then x' = x and we are done. Hence, suppose that n = m + 1. Then there exists $z \in \mathcal{B}$ such that $(x, z) \in (R_1 \cup R_2)^m$ and $(z, x') \in R_i$ for some $i \in \{1, 2\}$. By inductive hypothesis, this implies that $(x^L, z^L) \in R_1 \sqcup R_2$ and $(x^R, z^R) \in R_1 \sqcup R_2$. Since R_i satisfies (P2) it also follows that $(z^L, {x'}^L) \in R_i \subseteq R_1 \sqcup R_2$ and $(z^R, {x'}^R) \in R_i \subseteq R_1 \sqcup R_2$. We conclude by transitivity.

This proposition guarantees the existence of syntactic congruences, which will be defined and studied in the next section. We spend the rest of this section showing that there is a greatest idempotent-pure, closed *-congruence of finite index.

Definition 3.5 (Root types). The root type of a subunit $z \in U(\mathcal{B})$ is the set

$$\mathrm{rtp}(z):=\{f\in\mathbb{F}:zf=z\}\cup\{a\in\widetilde{\mathbb{A}}:za^R=z\}$$

where we omit the elements of \mathbb{F} in the case that $|\mathbb{F}| < 1$.

Clearly, we have $\operatorname{rtp}(0) = \mathbb{F} \cup \widetilde{\mathbb{A}}$ when $|\mathbb{F}| \geq 2$ (resp. $\operatorname{rtp}(0) = \widetilde{\mathbb{A}}$ when $|\mathbb{F}| \leq 1$) and, for every non-zero idempotent birooted tree $z = \langle t, 1 \rangle$, we have $\operatorname{rtp}(z) = \{t(1)\} \cup \operatorname{dom}(t) \cap \widetilde{\mathbb{A}}$ when $|\mathbb{F}| \geq 2$ (resp. $\operatorname{rtp}(z) = \operatorname{dom}(t) \cap \widetilde{\mathbb{A}}$). In all cases, if $z_1 \leq z_2 \leq 1$ then we have $\operatorname{rtp}(z_1) \supseteq \operatorname{rtp}(z_2)$.

Lemma 3.6. For all $x, y \in \mathcal{B}$, we have

$$\operatorname{rtp}(x^L) = \operatorname{rtp}(y^L) \quad \text{iff} \quad \exists x * z \Leftrightarrow \exists y * z \,, \quad \text{for all } z \in \mathcal{B} \,,$$
$$\operatorname{rtp}(x^R) = \operatorname{rtp}(y^R) \quad \text{iff} \quad \exists z * x \Leftrightarrow \exists z * y \,, \quad \text{for all } z \in \mathcal{B} \,.$$

Proof. (\Leftarrow) Assume that, for every $z \in \mathcal{B}$, we have $\exists z * x$ if, and only if, $\exists z * y$. In the case where x = 0, the disjoint product x * 1 is undefined. Hence, y * 1 is also undefined. This implies that y = 0 and $\operatorname{rtp}(x^R) = \operatorname{rtp}(y^R)$.

In the remaining cases we may assume, by symmetry, that neither x nor y equals 0. Hence, $x = \langle r, u \rangle$ and $y = \langle s, v \rangle$. From the fact that $\exists a * x \Leftrightarrow a \notin \text{dom}(s) \cap \widetilde{\mathbb{A}}$ for all $a \in \widetilde{\mathbb{A}}$, we immediately deduce that $\text{rtp}(x^R) \cap \widetilde{\mathbb{A}} = \text{rtp}(y^R) \cap \widetilde{\mathbb{A}}$.

In the case where $|\mathbb{F}| \geq 2$, we also have $\exists f * x \Leftrightarrow f \leq r(1)$ for all $f \in \mathbb{F}$. Hence, it follows that $\operatorname{rtp}(x^R) \cap \widetilde{\mathbb{F}} = \operatorname{rtp}(y^R) \cap \widetilde{\mathbb{F}}$. Observe that, in the case where $|\mathbb{F}| \leq 1$, the vertex labelling plays no role in the definition of the disjoint product.

 (\Rightarrow) Suppose that $\operatorname{rtp}(x^R) = \operatorname{rtp}(y^R)$. A similar case study shows that for all $z \in \mathcal{B}$ we indeed have $\exists x * z$ if, and only if, $\exists y * z$.

Symmetrical arguments show that the statement $\operatorname{rtp}(x^R) = \operatorname{rtp}(y^R)$ is equivalent to the fact that, for every $z \in \mathcal{B}$, we have $\exists z * x$ if and only if $\exists z * y$. \Box

Definition 3.7 (Root equivalence). The root equivalence is defined by

$$x \approx_{\mathrm{rt}} y \quad \text{:iff} \quad \mathrm{rtp}(x^L) = \mathrm{rtp}(y^L) \text{ and } \mathrm{rtp}(x^R) = \mathrm{rtp}(y^R) \,.$$

The strong root equivalence \approx_{srt} is defined by

$$x \approx_{\text{srt}} y$$
 :iff $x \approx_{\text{rt}} y$ and $x \in U(\mathcal{B}) \Leftrightarrow y \in U(\mathcal{B})$.

Proposition 3.8. The relation $\approx_{\rm rt}$ is the greatest closed equivalence. The relation $\approx_{\rm srt}$ is the greatest idempotent-pure closed *-congruence. Both equivalences have finite index.

Proof. Clearly, $\approx_{\rm rt}$ is an equivalence and it satisfies (P3), by Lemma 3.6. Hence, it is closed. It follows by Lemma 3.6 that it is the greatest such relation.

It is easy to see that $\approx_{\rm srt}$ is the greatest idempotent-pure closed equivalence. It therefore remains to show that it is a *-congruence.

Property (P2) easily follows from the observation that, for all idempotents $x, y \in \mathcal{B}$, we have $x \approx_{\text{srt}} y$ if, and only if, rtp(x) = rtp(y). Indeed, suppose that

 $x \approx_{\text{srt}} y$. Since $\approx_{\text{srt}} \subseteq \approx_{\text{rt}}$, this means in particular that $\text{rtp}(x^R) = \text{rtp}(y^R)$. Hence $x^R \approx_{\text{srt}} y^R$ as both x^R and y^R are idempotent and idempotents are invariant under projections.

Property (P1) can be proved by a case distinction. Suppose that x * y is defined.

• If both x and y are idempotent then

$$\operatorname{rtp}((x*y)^L) = \operatorname{rtp}((x*y)^R) = \operatorname{rtp}(x) \cup \operatorname{rtp}(y).$$

• If x is idempotent and y is not idempotent then

$$\operatorname{rtp}((x*y)^L = \operatorname{rtp}(y^L) \text{ and } \operatorname{rtp}((x*y)^R) = \operatorname{rtp}(x) \cup \operatorname{rtp}(y^R).$$

 \bullet Similarly, if x is not idempotent and y is idempotent then

$$\operatorname{rtp}((x*y)^L) = \operatorname{rtp}(x^L) \cup \operatorname{rtp}(y)$$
 and $\operatorname{rtp}((x*y)^R) = \operatorname{rtp}(x^R)$.

• If neither x nor y is idempotent then

$$\operatorname{rtp}((x*y)^L) = \operatorname{rtp}(y^L)$$
 and $\operatorname{rtp}((x*y)^R) = \operatorname{rtp}(x^R)$.

To see that (P1) holds, consider elements $x \approx_{\operatorname{srt}} x'$ and $y \approx_{\operatorname{srt}} y'$ such that x * y and x' * y' are defined. By definition of $\approx_{\operatorname{rt}}$, we have $\operatorname{rtp}(x^L) = \operatorname{rtp}(x'^L)$, $\operatorname{rtp}(x^R) = \operatorname{rtp}(x'^R)$, $\operatorname{rtp}(y^L) = \operatorname{rtp}(y'^L)$ and $\operatorname{rtp}(y^R) = \operatorname{rtp}(y'^R)$. As $\approx_{\operatorname{srt}}$ is idempotent pure, it follows in all of the above cases that $\operatorname{rtp}((x*y)^L) = \operatorname{rtp}((x'*y')^L)$, $\operatorname{rtp}((x*y)^R) = \operatorname{rtp}((x'*y')^R)$, and $x*y \in U(\mathcal{B}) \Leftrightarrow x'*y' \in U(\mathcal{B})$. In other words, $x*y \approx_{\operatorname{srt}} x'*y'$.

Remark 3.9. (a) Note that, as soon as \mathbb{A} is not a singleton, the relation \approx_{rt} is not a *-congruence. Although it satisfies axiom (P2), it does not satisfies axiom (P1). Indeed, given $x = a^L a^R$ and $y = a^L a a^R$, we have $x \approx_{\mathrm{rt}} y$ since $\mathrm{rtp}(x^L)$, $\mathrm{rtp}(x^R)$, $\mathrm{rtp}(y^L)$ and $\mathrm{rtp}(y^R)$ are all equal to $\{a, \bar{a}\}$. However, we have $\mathrm{rtp}((x*b)^R) = \{a, \bar{a}, b\}$ while $\mathrm{rtp}((y*b)^R) = \{a, \bar{a}\}$.

(b) Note that a *-congruence needs not to be idempotent pure. An example is the relation R defined from the relation $\approx_{\rm srt}$ by adding all pairs of birooted trees $x,y\in\mathcal{B}$ such that ${\rm rtp}(x^L)$, ${\rm rtp}(x^R)$, ${\rm rtp}(y^L)$ and ${\rm rtp}(y^R)$ contains $\widetilde{\mathbb{A}}$. Clearly, the relation R satisfies axiom (P2). Moreover, we do have $\approx_{\rm srt}\subset R\subset\approx_{\rm rt}$. But no trees in these newly added pairs can be used in a disjoint product. The relation R thus satisfies Properties (P1) and (P3) just for the same reasons the relation $\approx_{\rm srt}$ satisfies them.

4 The syntactic congruence

In this section, we show that our framework induces a notion of a syntactic congruence that captures MSO-definability and that has a linear time membership algorithm.

Definition 4.1. A *-congruence \simeq saturates a set $X \subseteq \mathcal{B}$ if

$$x \simeq y$$
 implies $x \in X \Leftrightarrow y \in X$, for all $x, y \in \mathcal{B}$.

Theorem 4.2. For every language $X \subseteq \mathcal{B}$ of birooted trees, there exists a greatest closed *-congruence \simeq_X saturating X.

Proof. By Proposition 3.4, the supremum of all closed *-congruences saturating X exists. This supremum still saturates X.

Definition 4.3. We call the relation \simeq_X of the previous lemma the *syntactic* congruence of X.

If the syntactic congruence has finite index, we obtain an effective membership algorithm in the same way as for languages of words. To present this algorithm we need to define quotients of partial algebras.

Definition 4.4 (Quotient algebra). Let \simeq be a *-congruence over \mathcal{B} .

(a) The quotient \mathcal{B}/\simeq is the partial algebra whose elements are the congruence classes of elements of \mathcal{B} and whose operations are as follows: for $X,Y\in\mathcal{B}/\simeq$, we define

$$\begin{split} X^L &:= \{ x^L \in \mathcal{B} : x \in X \} \,, \\ X^R &:= \{ x^R \in \mathcal{B} : x \in X \} \,, \\ X * Y &:= \{ z \in \mathcal{B} : z \simeq \exists x * y, \ x \in X, \ y \in Y \} \,, \end{split}$$

where X * Y is only defined if the above set is nonempty.

(b) A morphism of partial algebras is a function $\varphi: A \to B$ between partial algebras A and B such that, for all $x, y \in A$,

$$\begin{split} \varphi(x^L) &= (\varphi(x))^L\,,\\ \varphi(x^R) &= (\varphi(x))^R\,,\\ \exists x*y \quad \text{implies} \quad \varphi(x*y) &= \exists \varphi(x)*\varphi(y)\,. \end{split}$$

(c) The canonical surjection $\theta_{\simeq}: \mathcal{B} \to \mathcal{B}/\simeq$ is the function mapping every element of \mathcal{B} to its congruence class.

Lemma 4.5. Let \simeq be a *-congruence. The quotient algebra \mathcal{B}/\simeq is well-defined and the canonical surjection $\theta_{\simeq}: \mathcal{B} \to \mathcal{B}/\simeq$ is a morphism of partial algebras.

Proof. Essentially follows from standard results for partial algebras [2]. \Box

The relationship between the syntactic congruence and definability in monadic second-order logic [27] is as follows. Recall that M_x denotes the structure encoding a birooted tree x.

Lemma 4.6. Let \simeq be a *-congruence of finite index. For every class $X \in \mathcal{B}/\simeq$, there exists an MSO-formula φ_X such that

$$M_x \models \varphi_X \quad iff \quad x \in X, \quad for \ all \ x \in \mathcal{B}.$$

Proof (Sketch). The claim follows from Lemma 3.2 and the fact that \mathcal{B}/\simeq is finite: for every birooted tree $x \in \mathcal{B}$, the value $\theta_{\simeq}(x)$ can be defined in MSO by guessing a strong decomposition of x and a corresponding labelling in \mathcal{B}/\simeq , in almost the same way as the behaviour of a bottom up tree automaton over a finite tree can be described in MSO.

Theorem 4.7. A language $X \subseteq \mathcal{B}$ of birooted trees is definable in MSO if, and only if, its syntactic congruence \simeq_X has finite index.

Proof (Sketch). (\Leftarrow) As \simeq_X saturates X, we can write $X = \bigcup_{x \in X} [x]_{\simeq_X}$. When the syntactic congruence has finite index, this union is finite. Consequently, the formula $\psi_X = \bigvee_{x \in X} \varphi_{[x]_{\simeq}}$ defines X, where $\varphi_{[x]_{\simeq}}$ are the formulae from Lemma 4.6.

 (\Rightarrow) If X is definable in MSO, we can use decomposition arguments (see [24] and [28]) to prove that \simeq_X has finite index. Two trees with the same MSO-theory (up to a given quantifier rank) cannot be distinguished by X; hence they must be \simeq_X -equivalent. Since there are only finitely many such theories, it follows that \simeq_X has only finitely many classes.

In the simpler setting of birooted words, that is, elements of the monoid of McAlister [20], a similar characterisation has already been achieved in [10].

Another consequence of Lemma 4.5 worth being mentioned concerns a membership decision algorithm.

Theorem 4.8. Let $X \subseteq \mathcal{B}$ be a language whose syntactic congruence \simeq_X has finite index. Given \mathcal{B}/\simeq_X one can decide whether $x \in X$ in time linear in the size of the input $x \in \mathcal{B}$.

Proof. By Lemma 3.2, the set of birooted trees is finitely generated as a partial algebra. It follows that, starting from the elementary birooted trees we can inductively compute the image $\theta_X(x)$ of a birooted tree $x \in \mathcal{B}$ under the canonical surjection $\theta_X : \mathcal{B} \to \mathcal{B}/\simeq_X$. The number of steps is linear in the size of x. This proves the claim under the usual assumption that every projection, disjoint product, or equality test in the partial algebra \mathcal{B}/\simeq_X takes constant time. \square

We provide here a strengthening of Lemma 4.2 that states the existence of the syntactic congruence in an arbitrary partial algebra over the signature of \mathcal{B} . Furthermore, it shows that the syntactic congruence can be computed as the greatest fixed point of a certain mapping. For finite partial algebras, this provides an effective algorithm to compute the syntactic congruence of X from any given closed *-congruence of finite index saturating X.

Lemma 4.9. Let C be a partial algebra with disjoint product and left and right projection. Assume that there is a greatest closed equivalence \approx over C. For every closed relation $R \subseteq \approx$, let F(R) be the relation consisting of all pairs $(x, y) \in R$ such that, for every $z_1, z_2, z_3 \in C$, the following properties are satisfied:

(a)
$$(x^L, y^L) \in R \text{ and } (x^R, y^R) \in R$$
,

(b)
$$\exists x * z \in R \Leftrightarrow \exists y * z \in R \text{ and } \exists z * x \in R \Leftrightarrow \exists z * y \in R, \text{ for every } z \in \mathcal{C}.$$

The function F is monotonic with respect to the inclusion order. Furthermore, for every $X \subseteq \mathcal{C}$, there is the greatest closed *-equivalence \simeq_X saturating X given by the transfinite equation:

$$\simeq_X = \bigcap_{\alpha} F^{\alpha}(\approx_X)$$

where

$$x \approx_X y$$
 :iff $x \approx y$ and $x \in X \Leftrightarrow y \in X$,

and $F^0(R) = \mathrm{id}$, $F^{\alpha+1}(R) = F(F^{\alpha}(R))$ and, $F^{\delta}(R) = \bigcap_{\alpha < \delta} F^{\alpha}(R)$, for limit ordinals δ .

Proof. We first check that, if R is an equivalence relation then so is F(R). If R is closed, monotonicity of F then implies that F(R) is also closed. The fixed-point theorem of Tarski thus ensures that F has a greatest fixed point \sim which is given by the above formula.

By monotonicity, we have $\sim \subseteq \approx_X$. Hence, the relation \sim saturates X. It remains to show that \sim is a closed *-congruence. Indeed, given any closed *-congruence \sim' saturating X, we can prove by induction on α that $\sim' \subseteq F^{\alpha}(\approx)$. Hence $\sim' \subseteq \sim$.

Since it is included in \approx , \sim is a closed equivalence relation. It thus remains to show that \sim is a *-congruence. It is straightforward to that Property (a) implies (P2) and Property (b) implies (P1).

Applied to the partial algebra \mathcal{B} of birooted trees, this provides an alternative proof of Theorem 4.2. It also provides an effective way to compute the syntactic congruence of a recognisable language.

Corollary 4.10. Let \simeq be a closed *-congruence of saturating a language $X \subseteq \mathcal{B}$. Let \mathcal{B}/\simeq be the quotient and let $\varphi: \mathcal{B} \to \mathcal{B}/\simeq$ be the corresponding projection. Then we can compute the syntactic congruence \simeq_X in time $O(n^3)$ where n is the index of \simeq .

Proof. Let \approx be the relation over \mathcal{B}/\simeq defined by

$$Y \approx Z$$
 :iff $y \approx_{\text{rt}} z$ for some² $y \in Y$ and $z \in Z$.

This relation is obviously the greatest closed equivalence over \mathcal{B}/\simeq . Therefore, we can use Lemma 4.9 to obtain the greatest closed *-congruence $\sim_{\varphi(X)}$ over \mathcal{B}/\simeq that saturates $\varphi(X)$. For every $x,y\in\mathcal{B}$, it follows that $x\simeq_X y$ if and only $\varphi(x)\sim_{\varphi(X)}\varphi(y)$. Since every equivalence relation over \mathcal{B}/\simeq has index at most n, this relation can be computed in at most O(n) iteration steps (the depth of the lattice of equivalence relation ordered by inclusion) and each step is taking time at most $O(n^2)$ (the size of the current relation times the number of possible applications of the separation rules (a) and (b)).

5 Application to quasi-recognisability

We aim now at a characterisation of quasi-recognisable languages via their syntactic congruence. We start by recalling the definition of quasi-recognisability.

Definition 5.1 (Quasi-recognisable languages [9]). (a) A function $\varphi : \mathcal{B} \to M$ from \mathcal{B} into an ordered monoid M is an adequate premorphism if it satisfies the following conditions:

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(M1) x \le y implies \varphi(x) \le \varphi(y),
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- (M2) $\varphi(xy) \leq \varphi(x)\varphi(y)$,
- (M3) $\varphi(x^L) = (\varphi(x))^L$ and $\varphi(x^R) = (\varphi(x))^R$,
- (M4) $\exists x * y \text{ implies } \varphi(x * y) = \varphi(x)\varphi(y).$
- (b) An ordered monoid M is adequately ordered if all subunits of M are idempotent and both $x^R = \min\{z \leq 1 : zx = x\}$ and $x^L = \min\{z \leq 1 : x = xz\}$ exist for every $x \in \mathcal{B}$.
- (c) A language $X \subseteq \mathcal{B}$ is quasi-recognisable if there exists an adequate function $\varphi : \mathcal{B} \to M$ into a finite adequately ordered monoid M such that $X = \varphi^{-1}(\varphi(X))$.

It is proved in [9] that quasi-recognisable languages corresponds to finite Boolean combinations of upward closed (in the natural order) MSO-definable languages. We now aim at characterising quasi-recognisable languages by means of their syntactic congruence. We need two new notions for this characterisation: that a of *-bisimulation and that of an alternating chain.

The kernel of an adequate premorphism into a finite ordered monoid is clearly a *-congruence of finite index. However, it does not need to be closed. Moreover, since the class of these languages is known to be strictly included into the class of MSO-definable languages³, we will need additional requirements on the syntactic congruence to characterise such languages. The connections between the syntactic congruence and quasi-recognisability can be done as follows.

Definition 5.2 (*-bisimulation). A closed *-congruence \simeq is a *-bisimulation if it is idempotent pure and satisfies the property:

(P4) if $x \leq y \simeq z$ then there exists $x' \simeq x$ such that $x' \leq z$.

It is a strong *-bisimulation when, additionally, each \simeq -class is convex, i.e.,

(P5) if $x \le y \le z$ and $x \simeq z$ then $x \simeq y \simeq z$.

Lemma 5.3 (Lattice property). The set of *-bisimulations ordered by inclusion forms a complete lattice with transitive closure of the union as join.

³ The left (or right) projection of a quasi-recognisable language may not be quasi-recognisable,

Proof. Let R_1 and R_2 be two *-bisimulations over the set of birooted trees. By Proposition 3.4, we already know that the relation $R_1 \sqcup R_2 = (R_1 \cup R_2)^*$ is a closed *-congruence. Since R_1 and R_2 are idempotent pure, so is $R_1 \sqcup R_2$. Let us prove that the relation $R_1 \sqcup R_2$ is a *-bisimulation.

Consider elements $x, y, y' \in \mathcal{B}$ with $x \leq y$ and $(y, y') \in R_1 \sqcup R_2$. Then there is a number $n < \omega$ such that $(y, y') \in (R_1 \cup R_2)^n$. If n = 0, then y' = y and we can take x'=x. Otherwise, there exists $m<\omega$ and $y''\in\mathcal{B}$ such that n=m+1with $(y, y'') \in (R_1 \cup R_2)^m$ and $(y'', y') \in R_i$ for some $i \in \{1, 2\}$. By inductive hypothesis, there exists $x'' \in \mathcal{B}$ such that $(x, x'') \in R_1 \sqcup R_2$ and $x'' \leq y''$. As R_i satisfies Property (P4), we can find an element $x' \in \mathcal{B}$ such that $(x'', x') \in R_i \subseteq$ $R_1 \sqcup R_2$ and $x' \leq y'$. By transitivity, it follows that $(x, x') \in R_1 \sqcup R_2$.

We have shown that the set of *-bisimulations forms a semi-lattice with \sqcup as join. Since our proof clearly extends to an arbitrary number of *-bisimulations, this shows that the underlying semi-lattice is actually complete.

Since $\approx_{\rm srt}$ is the maximum idempotent-pure closed *-congruence and also a *bisimulation, there is a greatest *-bisimulation. Consequently, the *-bisimulations ordered by inclusion form a complete lattice.

Remark 5.4. (a) Note that the meet of two *-bisimulations is not necessarily their intersection.

(b) In general, the lattice property does not seems to hold for strong *bisimulations.

Corollary 5.5. Every closed *-congruence \simeq contains a greatest *-bisimula $tion \simeq^B$.

Proof. The relation $\simeq_{\mathrm{m}} := \simeq \cap \approx_{\mathrm{srt}}$ is the greatest idempotent-pure closed *congruence included in \simeq . We can define \simeq^B as the transitive closure of the union of all *-bisimulations included in \simeq_m . By Lemma 5.3, this is a *-bisimulation. \square

Definition 5.6. We call the *-bisimulation \simeq^B the bisimulation refinement of \simeq .

We give here a fixed point characterisation of the *-bisimulation refinement of a closed *-congruence. Before giving that characterisation, let us first note that the natural order is well-behaved in some sense with respect to projections and the disjoint product.

Lemma 5.7. Let $x, y, z \in \mathcal{B}$.

- (a) For every $z \leq x^R$, there exists $x' \leq x$ such that $z = x'^R$. (b) For every $z \leq x^L$, there exists $x' \leq x$ such that $z = x'^L$.
- (c) For every $z \le x * y$, there exist $x' \le x$ and $y' \le y'$ such that z = x' * y'.

Proof. This easily follows from the embedding characterisation of the natural order stated in Lemma 2.6.

We consider the following function F on binary relations:

 $F(R) := \{(x,y) \in R : \text{ for every } x' \leq x \text{ there exists } y' \leq y \text{ with } (x',y') \in R,$ for every $y' \le y$ there exists $x' \le x$ with $(x', y') \in R$. **Lemma 5.8.** If R is an (idempotent-pure) closed *-congruence, then so is F(R).

Proof. Let R be a closed *-congruence. Clearly, F(R) is a closed equivalence. To prove that is a *-congruence we have to check two properties.

For (P1), let $(x_1,y_1), (x_2,y_2) \in R$ and suppose that both x_1*x_2 and y_1*y_2 are defined. We have to show that $(x_1*x_2,y_1*y_2) \in F(R)$. Clearly, $(x_1*x_2,y_1*y_2) \in R$ as R satisfies (P1). Let $z \leq x_1*x_2$. By Lemma 5.7, there exists $x_1', x_2' \in \mathcal{B}$ such that $z = x_1'*x_2', x_1' \leq x_1$, and $x_2' \leq x_2$. But since $(x_1,y_1) \in F(R)$, by definition, there exists $y_1' \in \mathcal{B}$ such that $(x_1',y_1') \in R$ and $y_1' \leq y_1$. Similarly, there exists $y_2' \in \mathcal{B}$ such that $(x_2',y_2') \in R$ and $y_2' \leq y_2$. Since R satisfies (P1) and it is closed, we have $(x_1'*x_2',y_1'*y_2') \in R$. By monotonicity of the order, we have therefore found $z' = y_1'*y_2' \leq y_1*y_2$ such that $(z,z') \in R$. By a symmetrical argument, if we assume that $z \leq y_1*y_2$ then there exists $z' \leq x_1*x_2$ such that $(z',z) \in R$. In other words, $(x_1*x_2,y_1*y_2) \in F(R)$. Hence, Property (P1) is satisfied.

For (P2), let $(x,y) \in F(R)$. We have to show that $(x^R,y^R) \in F(R)$. Clearly, $(x^R,y^R) \in R$ since R satisfies (P2). Let $z \leq x^R$. By Lemma 5.7, there exists $x' \in \mathcal{B}$ such that $x' \leq x$ and $z = x'^R$. By definition of F(R), there exists $y' \in \mathcal{B}$ such that $y' \leq y$ with $(x',y') \in R$. But since R is a *-congruence, by (P2), it follows that $(x'^R,y'^R) \in R$. By monotonicity of the right projection, there therefore exists $z' = y'^R \leq y^R$ such that $(z,z') \in R$. By applying a symmetrical argument, if we assume that $z \leq y^R$ then there exists $z' \leq x^R$ such that $(z',z) \in R$. In other words, $(x^R,y^R) \in F(R)$. A similar argument shows that $(x^L,y^R) \in F(R)$. Hence, Property (P2) is satisfied.

Obviously, if R is idempotent pure then so is F(R).

Lemma 5.9 (Fixed point characterisation). The bisimulation refinement \simeq^B of a closed *-congruence \simeq can be computed as the greatest fixed point

$$\simeq^B = \bigcap_{\alpha} F^{\alpha}(\sim)$$

of F that is included in the relation $\sim := \simeq \cap \approx_{\rm srt}$.

Proof. It is straightforward to check that, if R is a *-bisimulation, then R = F(R). Note that \simeq^B is the greatest *-bisimulation included in \simeq and, therefore, the greatest *-bisimulation included in \sim . As F is monotonic, the claim therefore follows by the fixed point theorem of Knaster–Tarski.

The interest in (strong) *-bisimulations is that they provide a (partial order) preorder relation on the quotient. Strong *-bisimulations are order congruences [18].

Lemma 5.10 (Induced preorder and order). Let \simeq be a *-bisimulation. Then the relation

$$X \leq_{\sim} Y$$
 :iff $x \leq y$, for some $x \in X$ and $y \in Y$

is a preorder on \mathcal{B}/\simeq . If \simeq is strong, \preceq_{\simeq} is a partial order.

Proof. Reflexivity of \leq_{\sim} is immediate. For transitivity, we first show that $X \leq_{\sim} Y$ implies that, for every $y \in Y$, there is some $x \in X$ such that $x \leq y$. From this, transitivity follows easily.

Let $X, Y \in \mathcal{B}/\simeq$ with $X \leq_{\simeq} Y$ and let $y \in Y$. By definition, there exists $x_0 \in X$ and $y_0 \in Y$ such that $x_0 \leq y_0$. As $y, y_0 \in Y$, we have $y_0 \simeq y$. Consequently, $x_0 \leq y_0 \simeq y$ implies, by (P4), that there is some $x \in X$ with $x \leq y$.

We have shown that \leq is a preorder. Assume now that the *-bisimulation \simeq is strong. We have to prove that \leq_X is antisymmetric.

Let $X, Y \in \mathcal{B}/\simeq$ such that $X \preceq_{\simeq} Y \preceq_{\simeq} X$. Let $y \in Y$. Since $X \preceq_{\simeq} Y$, we can use the above claim to find some $x \in X$ with $x \leq y$. Similarly, $Y \preceq_{\simeq} X$ implies that there exists $y' \in Y$ such that $y' \leq x$. But this means that $x \leq y \simeq y' \leq x$. Hence, we have $x \simeq y$ by Property (P5). By definition of \mathcal{B}/\simeq , this implies that X = Y.

The order of the quotient induced by a strong *-bisimulation allows us to prove that strong *-bisimulations are kernels of adequate premorphisms.

Definition 5.11. Let \simeq be a strong *-bisimulation. We define the *quasi-quotient* M_{\simeq} induced by \simeq as follows.

Let $S := \mathcal{B}/\simeq$ be the quotient of \mathcal{B} under \simeq . For $x \in \mathcal{B}$, let $[x] := \{y \in \mathcal{B} : x \simeq y\}$ be the equivalence class of x. For $[x], [y] \in S$, we define

$$[x] \leq [y]$$
 :iff $x' \leq y'$ for some $x' \in [x]$ and $y' \in [y]$.

The domain of M_{\geq} consists of all anti-chains of S, that is, all non-empty sets $\mathcal{X} \subseteq \mathcal{P}(S)$ whose elements are pairwise incomparable with respect to \preceq . The product $\mathcal{X} \cdot \mathcal{Y}$ of two anti-chains $\mathcal{X}, \mathcal{Y} \in M_{\geq}$ is defined by

$$\mathcal{X} \cdot \mathcal{Y} := \mu((\bigcup \mathcal{X}) \cdot (\bigcup \mathcal{Y})),$$

where $\mu: \mathcal{P}(\mathcal{B}) \to M_{\simeq}$ is defined by

$$\mu(X) := \{ [z] \in S : z \text{ a maximal element of } X \}.$$

Elements of M_{\sim} are ordered by the relation

$$\mathcal{X} \leq \mathcal{Y}$$
 :iff $(\forall X \in \mathcal{X})(\exists Y \in \mathcal{Y})[X \leq Y]$.

Theorem 5.12 (Induced premorphism). Let \simeq be a strong *-bisimulation with index κ . The quasi-quotient M_{\simeq} induced by \simeq is an adequately ordered monoid of size $|M_{\simeq}| \leq 2^{\kappa}$. There exists an adequate premorphism $\varphi_{\simeq} : \mathcal{B} \to M_{\simeq}$ such that

$$x \simeq y$$
 iff $\varphi_{\sim}(x) = \varphi_{\sim}(y)$, for all $x, y \in \mathcal{B}$.

Proof. We start by noting that we have seen in Lemma 5.10 that the relation \leq is a partial order. This implies that the relation \leq of M_{\simeq} is indeed a partial order.

Furthermore, it is easy to check that the function μ in the definition of M_{\geq} is a well-defined mapping $\mathcal{P}(\mathcal{B}) \to M_{\geq}$ and that it is surjective and monotonic. Moreover, we also have

$$\mu(\bigcup \mathcal{X}) = \mathcal{X}$$
, for all $\mathcal{X} \in M_{\simeq}$.

Since the natural order on birooted trees is stable under products and since $\mu(\bigcup \mathcal{X}) = \mathcal{X}$, it is routine to check that this product is associative. Hence, it turns M_{\simeq} into a semigroup.

Since \simeq is idempotent pure, this means that 1 is the maximal element of its class [1]. Therefore,

$$\mathcal{X} \cdot [1] = \mathcal{X} = [1] \cdot \mathcal{X}$$
, for every $\mathcal{X} \in M_{\simeq}$.

Consequently, M_{\sim} is a monoid.

We observe that the partial order \leq is stable under product. Moreover, for every subunit $\mathcal{X} \leq [1]$ we have $M((\bigcup \mathcal{X}) \cdot (\bigcup \mathcal{X})) = M(\bigcup \mathcal{X})$ since $xy = x \wedge y$ for every $x, y \leq 1$. Hence $\mathcal{X} \cdot \mathcal{X} = \mathcal{X}$. In other words, subunits of M_{\simeq} are idempotents. It follows that the product of subunits is the meet and, since M_{\simeq} is finite, it is therefore an adequately ordered monoid with $\mathcal{X}^L = \prod \{\mathcal{Z} \leq 1 : \mathcal{X} \cdot \mathcal{Z} = \mathcal{X}\}$ and $\mathcal{X}^R = \prod \{\mathcal{Z} \leq 1 : \mathcal{Z} \cdot \mathcal{X} = \mathcal{X}\}$.

To conclude the proof, we define $\varphi_{\simeq}: \mathcal{B} \to M_{\simeq}$ by $\varphi_{\simeq}(x) := \{[x]\}$ for every $x \in \mathcal{B}$. By definition, the kernel of φ_{\simeq} equals \simeq . It remains to show that φ_{\simeq} is an adequate premorphism. Clearly, it is monotonic (M1).

For (M2), let $x, y \in \mathcal{B}$. Then $[xy] \in \varphi_{\simeq}(x) \cdot \varphi_{\simeq}(y)$. Hence φ_{\simeq} is submultiplicative.

For (M3), let $x \in \mathcal{B}$. By definition, $\varphi(x^R) \leq [1]$. Moreover, we easily check that all maximal elements of $[x^R] \cdot [x]$ belong to [x]. Hence $\varphi([x^R]) \cdot \varphi(x) = \varphi(x)$. Assume now that there is a subunit $\mathcal{Z} \leq [1]$ such that $\mathcal{Z} \cdot \varphi(x) = \varphi(x)$. This means that $M((\bigcup \mathcal{Z}) \cdot [x]) \subseteq M([x])$. Take $x' \in [x]$ maximal. This means that there exists $z \in \bigcup \mathcal{Z}$ such that zx' = x'. By definition of right projections in \mathcal{B} , it follows that $x'^R \leq z$. Therefore, $[x^R] \leq [z]$ and, thus, $\{[x^R]\} \leq \{[z]\} \leq \mathcal{Z}$. In other words,

$$\varphi_{\simeq}(x^R) = \{ [x^R] \} = (\varphi_{\simeq}(x))^R$$

A symmetrical argument shows that φ_{\sim} also preserves left projections, that is, the mapping φ_{\sim} satisfies Property (M3).

For (M4), since \simeq is a closed *-congruence we have $[x] * [y] = [x] \cdot [y] = [xy]$, for all $x, y \in \mathcal{B}$ such that x * y is defined. Hence $\varphi_{\simeq}(x * y) = \varphi_{\simeq}(x)\varphi_{\simeq}(y)$, that is, the mapping φ_{\simeq} satisfies Property (M4).

The second new notion for our characterisation is that of an alternating chain.

Definition 5.13. Let \simeq be an equivalence relation on an ordered set M. An alternating \simeq -chain is an increasing sequence $x_0 \leq \cdots \leq x_n$ such that $x_i \not\simeq x_{i+1}$, for all i < n. The number n is called the *length* of the chain.

The following definition and the lemma that follows give a rather simple characterisation of those *-congruences whose bisimulation refinements are strong and of finite index.

Definition 5.14 (Equivalence alternating depth). Let \simeq be an equivalence relation on birooted trees.

- (a) The \simeq -depth of a birooted tree $x \in \mathcal{B}$ is the least ordinal $d_{\simeq}(x) \leq \omega$ such that every alternating \simeq -chain $x_0 \leq \cdots \leq x_n = x$ ending in x has length $n \leq d_{\simeq}(x)$.
 - (b) The alternation depth $d(\simeq)$ of the relation \simeq is defined by

$$d(\simeq) := \sup_{x \in \mathcal{B}} d_{\simeq}(x)$$

The link between the alternation depth of (syntactic) closed *-congruences and bisimulation refinements is stated in the following lemma.

Lemma 5.15. A closed *-congruence \simeq has finite index and alternating depth if, and only if, its bisimulation refinement \simeq^B is strong and has a finite index.

Proof. (\Rightarrow) Assume that \simeq has finite index and finite alternating depth. By Lemma 5.9, we know that $\simeq^B = \bigcap F^n(\sim)$ where $\sim := \simeq \cap \approx_{\text{srt}}$. Let $x, y \in \mathcal{B}$ and let $n := d_{\simeq}(x) \vee d_{\simeq}(y)$ be the supremum of the \simeq -alternating depths of x and y. By induction on n one can show that,

$$(x,y) \in F^n(\sim)$$
 iff $(x,y) \in F^m(\sim)$, for all $m \ge n$.

It follows that $\simeq^B = F^N(\sim)$ where $N := d(\simeq)$ is the alternation depth of \simeq . Since $|F^{n+1}(\sim)| \leq 2^{|F^{n+1}(\sim)|}$, for all $n < \omega$, this shows that \simeq^B has finite index.

Moreover, it follows by a straightforward induction on N that $x \simeq^B y$ implies $d_{\simeq}(x) = d_{\simeq}(y)$, for all $x, y \in \mathcal{B}$. Suppose that $x \leq y \simeq_B z \leq x$. By (P4), there exists $x' \leq z$ such that $x' \simeq_B x$. We therefore have $x' \simeq^n x$ for some $n \leq N$. Since $d_{\simeq}(x') = d_{\simeq}(x)$ this implies that $x' \simeq z$. We claim that $z \simeq^{n+1} x$. Indeed, for every $z' \leq z$ we have $z' \leq x$ since $z \leq x$. Conversely, for every $z' \leq x$, there exists $z'' \leq x'$ with $z'' \simeq^n z'$. But, $x' \leq z$ implies that $z'' \leq z$. Hence, $z \simeq^B x$. It follows that z'' satisfies Property (P5). That is, z'' is a strong *-bisimulation.

(\Leftarrow) Conversely, assume that $\simeq^{\dot{B}}$ is a strong *-bisimulation with finite index. Since $\simeq^B \subseteq \simeq$, this implies that \simeq has finite index. Let $x_0 \le \cdots \le x_{n-1}$ be an alternating \simeq -chain We claim that n is necessarily bounded by the index of \simeq^B . Otherwise, there are indices i < j such that $x_i \simeq^B x_j$. Hence, $x_i \le x_{i+1} \le x_j$ implies that $x_i \simeq^B x_{i+1}$. Consequently, we also have $x_i \simeq x_{i+1}$ since $\simeq^B \subseteq \simeq$. This contradicts the fact that the chain is alternating. We have shown that the alternation depth of \simeq is bounded by the index of \simeq^B .

Remark 5.16. It could be the case that for every closed *-congruence \simeq , the relation \simeq has finite index if, and only if, its bisimulation refinement \simeq^B has finite index. Of course, this does not hold for arbitrary equivalence relations on ordered sets. consider the set ω with the standard order and the relation \simeq of having the same parity. But we suspect that this could be true in the meet-lattice structure of $\mathcal B$ with the natural order.

Lemma 5.17. The syntactic congruence \simeq_X of a quasi-recognisable language X of birooted trees has finite index and alternation depth.

Proof. Suppose that X is quasi-recognisable. Let $\varphi: \mathcal{B} \to M$ be an adequate premorphisms into a finite adequately ordered monoid M recognising X and let \simeq_{φ} be the kernel of φ . Clearly, the relation $\sim := \simeq_{\varphi} \cap \approx_{\mathrm{rt}}$ is a closed *-congruence of finite index saturating X. Consequently, $\sim \subseteq \simeq_X$.

For a contradiction, suppose that the relation \simeq_X does not have finite alternation depth. Then there exist alternating \simeq_X -chains of arbitrary length. As the relation $\approx_{\rm rt}$ has finite index, this also means that there are such chains whose elements are $\approx_{\rm rt}$ -equivalent, i.e., with the same root types. Fix an alternating \simeq_X -chain $x_0 \leq \cdots \leq x_n$ where all x_i are $\approx_{\rm rt}$ -equivalent and n is greater than the index of \sim . Then there are indices i < j such that $x_i \sim x_j$. In particular, we have $x_i \simeq_{\varphi} x_j$. By monotonicity of φ , it follows that $x_i \simeq_{\varphi} x_{i+1}$. As all elements have the same root type, this implies that $x_i \sim x_{i+1}$. Since \sim is included in \simeq_X , it follows that $x_i \simeq_X x_{i+1}$. This contradicts the assumption that x_0, \ldots, x_n is alternating.

We conclude by proving our main characterization of quasi-recognizable languages.

Theorem 5.18. Let $X \subseteq \mathcal{B}$. The following properties are equivalent:

- (1) The language X is quasi-recognisable.
- (2) The bisimulation refinement \simeq_X^B of the syntactic congruence \simeq_X is a strong *-bisimulation of finite index.
- (3) The syntactic congruence \simeq_X has finite index and the length of alternating \simeq_X -chains is bounded.

Proof. (3) \Rightarrow (2) follows by Lemma 5.15 and (2) \Rightarrow (1) was proved in Theorem 5.12. (1) \Rightarrow (3) follows by Lemma 5.17

6 Strong recognisability and minimal recognisers

All the material developed above also leads us to the notion of a strongly quasirecognisable language that, although equi-expressive, admits minimal recognisers

A priori, the disjoint product is only defined over \mathcal{B} . Hence there is not a defined notion of an adequate premorphism between *arbitrary* adequately ordered monoids. A simple remedy is to enrich the notion of an adequately ordered monoid by a given disjoint product operation.

Definition 6.1 (Enriched adequately ordered monoid). An enriched adequately ordered monoid is an adequately ordered monoid M equipped with a partial product * that is compatible with the usual product in the sense that, if x * y is defined, then x * y = xy.

The notion of an adequate premorphism can be extended as follows:

Definition 6.2 (Adequate premorphism). An adequate premorphism is a mapping $\varphi: M \to N$ between two enriched adequately ordered monoids M and N such that

```
 \begin{array}{ll} \textbf{(M1)} & x \leq y \text{ implies } \varphi(x) \leq \varphi(y), \\ \textbf{(M2)} & \varphi(xy) \leq \varphi(x)\varphi(y), \\ \textbf{(M3)} & \varphi(x^L) = (\varphi(x))^L \text{ and } \varphi(x^R) = (\varphi(x))^R, \\ \textbf{(M4)} & \exists x * y \text{ implies } \exists \varphi(x) * \varphi(y) \text{ and } \varphi(x * y) = \varphi(x) * \varphi(y). \end{array}
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Remark 6.3. By default, for every adequate premorphism $\varphi: \mathcal{B} \to M$, the disjoint product in M is defined as follows: the product xy of two elements $x,y\in M$ is disjoint, which is denoted by x*y when there exists $x'\in \varphi^{-1}(x)$ and $y'\in \varphi^{-1}(x)$ such that $\exists x'*y'\in \mathcal{B}$.

Lemma 6.4. The identity $id: M \to M$ is an adequate premorphism for every adequately ordered monoid M. The composition $\varphi_2 \circ \varphi_1 : M_1 \to M_3$ of two adequate premorphisms $\varphi_1 : M_1 \to M_2$ and $\varphi_2 : M_2 \to M_3$ is again an adequate premorphism. Consequently, we obtain a category AdP of adequately ordered monoids with adequate premorphisms.

The question of finding a syntactic adequately ordered monoid recognising a given language $X \subseteq \mathcal{B}$ amounts to finding a terminal object in the subcategory of \mathbf{AdP} that is induced by the adequately ordered monoids that recognise X.

However, it seems that such a terminal object does not exist. Indeed, the kernel \simeq_{φ} defined by an adequate premorphism φ is a convex *-congruence, i.e., $x \leq y \leq z$ and $x \simeq_{\varphi} z$ implies $x \simeq_{\varphi} y$. In general, there may not exist a greatest convex relation saturating a language as illustrated, for instance, by the language $X = \{a, c, d\}$ on the four element set $S = \{a, b, c, d\}$ where the order is defined by a < b < c while d is incomparable.

The techniques developed in the proof of Theorem 5.12 lead us to the following strengthening of the notion of quasi-recognisability and a corresponding refinement of the category \mathbf{AdP} where syntactic recognisers exist.

Definition 6.5 (Strongly adequate premorphisms). An adequate premorphism $\varphi : \mathcal{B} \to M$ is *strongly adequate* if, additionally, its satisfies the following properties.

```
(M5) \varphi(x) \leq 1 implies x \leq 1.

(M6) \exists x * y implies \exists x' * y', for all x' \in [x]_{\varphi} and y' \in [y]_{\varphi}.

(M7) \varphi(x) \leq \varphi(y) implies x' \leq y, for some x' \in [x]_{\varphi}.

(M8) \varphi(x) \leq \varphi(y)\varphi(z) implies \varphi(x) \leq \varphi(y'z'), for some y' \in [y]_{\varphi} and z' \in [z]_{\varphi}.
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Remark 6.6. Property (M5) ensures that the kernel of φ is idempotent pure. Property (M6) ensures that it is closed. Property (M7) ensures that φ is strongly monotonic in the sense of [15]. Property (M8) ensures that every element in the submonoid $\langle \varphi(\mathcal{B}) \rangle$ of M generated by the image of φ is compatible in some sense with the ordered monoid structure of \mathcal{B} . This last axiom, slightly technical, seems crucial for the normalisation Lemma 6.8 below.

Lemma 6.7. Strongly adequate premorphisms and adequately ordered monoids (with given disjoint products) form a subcategory **StAdP** of the category **AdP** of adequately ordered monoids and adequate premorphisms.

Proof. The fact that φ is an adequate premorphism guarantees that its kernel \simeq_{φ} is a *-congruence. Property (M5) ensures that it is idempotent pure. Property (M6) ensures it is closed, and Property (M7) ensures (P4). Consequently, it is a *-bisimulation. Property (P5) is immediate from the fact that φ is monotonic. Indeed, given elements $x,y,z\in\mathcal{B}$ with $x\leq y\simeq_{\varphi}z\leq x$, we have $\varphi(x)\leq \varphi(y)$, $\varphi(y)=\varphi(z)$ and $\varphi(z)\leq \varphi(x)$. By transitivity, it follows that $\varphi(x)\leq \varphi(y)\leq \varphi(x)$. Thus, $x\simeq_{\varphi}y$. Therefore, z_{φ} is a strong *-bisimulation. Hence we can use Theorem 5.12 to obtain the desired premorphism $\varphi_{z_{\varphi}}:\mathcal{B}\to M_{z_{\varphi}}$.

For $x \in \mathcal{B}$, set $[x] := \varphi^{-1}(\varphi(x)) \in \mathcal{B}/\simeq_{\varphi}$. Let $\iota : M \to M_{\simeq_{\varphi}}$ be defined by

$$\iota(a) := \mu(\varphi^{-1}(a^{\downarrow}))$$

where $a^{\downarrow} := \{b \in M : b \leq a\}$ and μ is the function from Definition 5.11.

The monotonicity of μ implies (M1), i.e., the monotonicity of ι . Property (M8) of φ ensures that ι is submultiplicative (M2). Since the mapping ι is clearly idempotent pure (M5) and closed (M6) arguments similar to the ones in the proof of Theorem 5.12 ensure that Properties (M3) and (M4) are satisfied. Properties (M7) and (M8) for ι are inherited from the same properties of φ .

In other words, ι is a strongly adequate premorphism from M into $M_{\simeq_{\varphi}}$. Moreover, for every $x \in \mathcal{B}$, by definition of $\varphi_{\simeq_{\varphi}}$, we have $\iota(\varphi(x)) = \varphi_{\simeq_{\varphi}}(x)$. Hence, $\varphi_{\simeq_{\varphi}} = \iota \circ \varphi$.

We obtain the following characterisation of quasi-recognisable languages.

Theorem 6.9. A language $X \subseteq \mathcal{B}$ of birooted trees is quasi-recognisable if, and only if, it is quasi-recognisable by a strongly adequate premorphism.

Proof. Assume that X is a quasi-recognisable language. By Theorem 5.18, it is recognised by the strongly adequate premorphism $\varphi_{\cong_X^B}$. As strongly adequate premorphisms are restrictions of adequate premorphisms, the claim follows.

Theorem 6.10. Let $X \subseteq \mathcal{B}$ be a quasi-recognisable language. The strongly adequate premorphism $\varphi_{\simeq_X^B}: \mathcal{B} \to M_{\simeq_X^B}$ of Theorem 5.12 is weakly terminal in the category of all strongly adequate premorphisms recognising X (considered as a subcategory of the comma category $(\mathcal{B}, \mathbf{StAdP})$).

⁴ or, by (M6), for all

Proof. Let $\varphi: \mathcal{B} \to M$ be a strongly adequate premorphism recognising X. We have to prove that there is a strongly adequate premorphism $\psi: M \to M_{\cong_X^B}$ such that $\varphi_{\cong_X^B} = \psi \circ \varphi$.

Let \simeq be the kernel of φ and let $\varphi_{\simeq}: \mathcal{B} \to M_{\simeq}$ and $\iota: M \to M_{\simeq}$ be the strongly adequate premorphisms given by Lemma 6.8. As \simeq is a strong *-bisimulation included in the syntactic congruence \simeq_X of the language X, we have $\simeq \subseteq \simeq_X^B$. Hence, we can define a map $\eta: M_{\simeq} \to M_{\simeq_X}$ by

$$\eta(\mathcal{X}) := \mu_{\simeq_X}(\bigcup \mathcal{X})$$

that lifts the inclusion surjective mapping $\mathcal{B}/\simeq_{\varphi} \to \mathcal{B}_{\simeq_X^B}$ to a strongly adequate premorphism $M_{\simeq} \to M_{\simeq_X^B}$. The composition $\psi := \eta \circ \iota$ is the desired premorphism (see Figure 2).

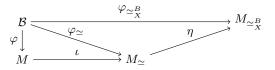


Fig. 2. Minimal strong recogniser.

When X is quasi-recognisable, the monoid $M_{\simeq_X^B}$ can therefore be considered as the *syntactic adequately ordered monoid* of X.

Remark 6.11. Note that we only prove that $M_{\cong_X^B}$ is weakly terminal in the sense that the strongly adequate premorphism $\psi = \eta \circ \iota$ found in the above proof may not be unique. This comes from the fact that, in general, the adequate premorphism φ may not be surjective.

However, in the category of the partial algebras of the form φ/\simeq ordered by \preceq_{\simeq} as in Lemma 5.10 for every kernel \simeq of a strongly adequate premorphism $\varphi:\mathcal{B}\to M$ recognizing X, and the related (monotonic) partial algebra morphism that becomes surjective, we easily check that the object \mathcal{B}/\simeq_X^B is terminal in the usual sense.

7 Conclusion

We have characterised both recognisable and quasi-recognisable languages in terms of their syntactic congruences. We have shown that the notion of strong *-bisimulation induces a refinement of quasi-recognisability: strong recognisability, which admits minimal recognisers.

As far as the membership problem is concerned, syntactic congruences and the corresponding quotients can be used, whether or not the considered language is quasi-recognisable.

Note that the bisimulation refinement may induce a non-elementary blowup in the index of the congruence. In return we hope that this refinement encodes more subtle properties of a language. We note however that we provide no effective algorithm to compute the bisimulation refinement of a closed *-congruence of finite index.

Although out of the scope of our presentation, it can also be shown that the class of MSO-definable languages is quite *robust* in the sense that it is closed under (non-zero) products, iterated products, inverses, upward and downward closures, reset and co-reset projections. How do these operations act on the syntactic algebras? An answer might lead to a better understanding of some subclasses of MSO-definable languages.

Last but not least, the monoid of labelled birooted trees studied here is a special case of so-called 0-E-unitary inverse monoids [19]. These monoids enjoy a similar graphical representation [26] with, especially, a natural order between non-zero elements characterised by means of injective morphisms. We suspect that the algebraic tools developed here could partially be adapted to this more general setting, perhaps in connection with languages of graphs as studied in [3].

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