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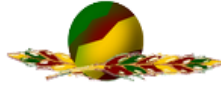
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## **THE LAW PERTAINING TO FOOD ISSUES AND NATURAL RESOURCES EXPLOITATION AND TRADE**

By **François COLLART DUTILLEUL** [1]

The link between natural resources and food is too obvious to have to be proven right. Every one of us can experience it in everything we eat that comes from the earth's resources. It follows that food issues concerning trade in natural resources also seem obvious. What is not obvious, however, is the way the connection may be made between the various forms of natural resources exploitation and trade on the one hand and the worldwide consequences in terms of food supplies on the other hand.

Needless to say it partly depends on the way the scope of natural resources is defined. According to the WTO, natural resources consist of “*the material stores that can be found in their natural environment which are both rare and economically useful either in the raw state or after a minimal transformation.*” [2] The scope is thus limited and mainly covers fishing and forestry products, fuels, ores and other ferrous or non-ferrous minerals. We would prefer to consider a broader meaning which is legally more useful regarding food issues, including land itself, agricultural raw materials, water and biodiversity resources. The criterion of inclusion is more a political than an economic one. The definition comprises land and the resources taken from the natural environment by extraction, capture or culture which can be traded and have a significant impact on the planet or its peoples, justifying the implementation of public policies of protection, management and import quotas.

Indeed, the most important point is to know how natural resources exploitation and trade should be organized and thus managed for food security to be optimal on all continents.

The response is partly ideological, dogmatic or religious. But it is partly also strictly political. More often than not, the advocates of such-and-such a policy dress their choices up in a pseudo-legal form to make it more convincing and logical. When dealing with natural resources exploitation and trade, the laws of economics, the market, nature, science, ethics or indeed God (depending on one's secular or religious inspiration) are commonly referred to.

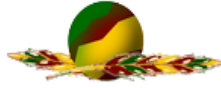
For this reason there is much debate to know whether, in order to feed those who starve and ultimately to feed the world, international trade and free trade in agricultural raw materials have to be furthered; whether technical, biological and chemical innovations should be developed by promoting nanotechnologies or productive farming methods; or whether human and social values should prevail by referring to ethics, morals, religion, cultural and historical diversity and by encouraging operators and consumers alike to reconsider their habits and criteria by themselves. Should economics, science or ethics be trusted for the natural resources to meet our basic food needs?

Economic liberalism has enabled a great increase in the generation of wealth, but it has not shown an ability to reduce hunger, poverty and under-development while preserving our resources. The scientific and technical breakthroughs which have revolutionized agriculture, food and health have undeniably allowed us to improve overall worldwide food security, but at the cost of degrading our environment and resources as well as opening up a wide gap between the rich North and the poorer

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2 See WTO, Report on 2010 World Trade, p.46.



South. Should we then turn to ethics? Will promoting ethical consumption and production be enough on its own to neutralize the addiction to wealth shown in *Stupid Cupid's* behaviour [3] and the vagaries of history, geography and climate?

In fact, all of human experience shows that thinking globally about the exploitation and trade of resources – particularly natural resources – without a framework of law comes down to accepting the law of the strongest, whether the strength be economic, scientific, moral or religious.

What is missing in the admittedly truly real assets contributed by economics, science or ethics is the force of law whose first function is – to use Kant's famous distinction – to build on the difference between what has a price and what has dignity [4] and to draw conclusions in terms of social rules. But that is not enough, precisely because some commodities with a price are essential to the respect of people's dignity. Such is the case of commodities enabling people's vital needs to be met - first among them natural resources, made up of land and its output. That is why the missing element is a law whose goal is the respect of people's dignity, starting with the respect of their right to live, and therefore with food security. [5]

Thus determining the role of Law regarding food issues and natural resources exploitation and trade is to step in a direction that will pave the way for the respect of life and dignity. Yet questions concerning food cannot be set apart from those concerning freedom either. As Jeanne Hersch aptly put it, "*what is required by the declaration (universal declaration of Human Rights) is that the urge for vital needs (food, housing, etc) be tempered for humans and their closest fellows so as to increase opportunities for freedom.*" [6] 17 or 18 thousand years ago, human beings painted beautiful frescoes on the walls of the Lascaux caves, bearing witness to a dramatic cultural development on which freedom had been gradually built. They would never have been able to achieve that much if they had had to spend most of their time nomadically hunting and gathering, day after day, to feed themselves and their families.

The Lascaux frescoes thus resulted from a basic social organization and task-sharing, permanent settlement on a territory and finding ways to share available resources and feed those who lived there. Lascaux encapsulates the origins of the three elements which enabled a social group to develop a common culture on which to found a society and achieve freedom: Law-Food-Land. [7]

But how can we conceive and draft the body of law that is needed for the Earth and what it possesses and produces to be able to serve everyone's development, and first of all the goal of food security?

To write this law, it is certainly necessary to start with a diagnosis of the forces that are currently acting - mainly market forces (I) - in order to identify the levers the forthcoming law should act on. Once the acting forces have been identified, it becomes possible to summon up the "imagining forces of law" (II) according to Mireille Delmas-Marty's well-found expression. [8] This presupposes determining the values on which the law must be conceived in order for humankind to be a reality and

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3 The expression is to be understood literally except if songs lyrics, shooting games and Elmer Fudd's cartoon (directed in 1944 by Taschlin, F.) are transposed.

4 See the second section in *Groundwork of the Metaphysics of Morals*.

5 See Collart Dutilleul, F. and Garcia, F., "Dans le domaine de l'alimentation, quels "droits à" dans le "droit de" ? ", in *Droit économique et Droits de l'Homme*, Boy, L., Racine, J.-B. and Siiriainen, F. (eds.), Larcier, Brussels, 2009, p.497.

6 Hersch, J., "Les droits de l'homme d'un point de vue philosophique", in *La philosophie en Europe*, Klibansky, R. et Pears, D. (eds.), Folio essais, Paris, 1993, p.520.

7 <http://www.droit-aliments-terre.eu>

8 So far Delmas-Marty, M., has devoted her Collège de France lectures to this subject and has published 4 volumes in the Seuil publishings (Paris): *Le relatif et l'universel* (t. 1), *Le pluralisme ordonné* (t. 2), *La refondation des pouvoirs* (t. 3), *Vers une communauté de valeurs* (t. 4).



not merely a concept and, consequently, to sort out what should be determined by price from what should be governed by dignity.

## I - ACTING MARKET FORCES

To identify the acting forces, we will rely on Karl Polanyi's thesis that he developed in his master work *The Great Transformation* [9] (1). This choice is justified first because the economic model that emerges includes the food issues resulting from the development of a free trade economy leading to a global self-regulating market. It is also justified by the explanatory relevance of the market model throughout modern history, both in cases of attempts to control the market and in times of crisis. However, we will try to consider this model in an empirical way, with an inductive method adapted from Francis Bacon's *Novum organum*. [10] It has indeed to be remembered that there is no way to scientifically establish if the easing of restrictions on trade is the source of the problem or, conversely, if it must be furthered to lead to a solution. Pure logic does not enable us to state whether speculation is or is not the cause of price volatility and food crises. There is no overall agreement on the benefits and detriments of nanotechnologies to nature and people. In the face of the many controversies – often coloured by ideology - the analysis rather requires an empirical, inductive method like that of Bacon's "Four Idols" doctrine and tables to identify the various sources of error and to compare the cases in which an observed phenomenon does or does not recur. To a legal professional – even though law is not a science - this "New Tool" offered by Bacon is interesting since it is an alternative to the mainstream syllogism inherited from Aristotle's *Organon*.

### 1) "The great transformation"

The question of food issues in natural resources exploitation and trade is deeply rooted in a broader conception of a global self-regulating market that the economist Karl Polanyi studied in depth in his master work *The Great Transformation*.

In his work, Polanyi built his thesis [11] particularly on the history of 18<sup>th</sup> and 19<sup>th</sup> century England, a time and place where, according to the author, the reality of such a global self-regulating market was born. It is indeed very enlightening to step back two or three centuries and observe how the three commodities consisting of land and the natural resources it holds or produces, money and the financial resources it provides, and labour and the related human resources, enabled the development of the idea and the reality of such a market, on which we have continued to rely for our living until today. Indeed, for Karl Polanyi, free trade is built upon two pillars.

The first one is the autonomy of the economy with regard to politics. If the economy is subordinated to politics, the market is politically oriented thus legally restricted and as a consequence it cannot self-regulate. So, self-regulation implies an easing of restrictions on trade and a political, legal withdrawal. Besides, it can be seen that in various times and especially in our own, the very right of existence of "international political economy" as a discipline has been contested. [12] One can also notice how today's economic policies aim at buffering the impact of free trade rather than restricting the play of the free market.

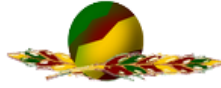
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9 This basic work, published in 1944, was only published in 1983 in French. See the French, *La grande transformation. Aux origines politiques et économiques de notre temps*, trad. Malamoud, C. et Angeno, M., pref. Dumont, L., NRF, Gallimard, coll. Tel, 2009. This is the publication refer to in the paper.

10 In PUF, coll. Epithémée, Paris, 2010.

11 Polanyi, K., chap.1, p.38.

12 On the debate on the conception of public economy as a scientific subject, particularly see : Tooze, R., "Susan Strange et l'économie politique internationale", *L'Économie politique*, 2001/2 n° 10, p.101. Also Cox, R. W., "Au-delà de l'empire de la terreur : réflexions sur l'économie politique de l'ordre mondial", *A contrario*, 2004/2 Vol 2, p.167 (<http://www.cairn.info/revue-a-contrario-2004-2-page-167.htm>).



This autonomy of the economy with regard to politics (and thus law) supports the idea developed by Michel Foucault in his analysis of economic liberalism [13] stating that legal and economic goals cannot be pursued at the same time. For Michel Foucault, indeed, *homo oeconomicus* is capable of pursuing his personal interest in an unlimited way. There is no limit to the wealth a person can try and accumulate. One's personal economic interest is certainly not restricted by other people's. The best, the cleverest or the luckiest can thus become rich at the expense of others, without having to answer for their actions legally. But, from a legal point of view, each person's interest is restricted by the others' and by the general interest. Nobody can live his personal, family or social life, without taking others' lives into account, or to the detriment of others, unless he is held responsible. Thus the law-economy antinomy and the difficulty in designing legal restrictions for a free trade economy.

The second pillar of the great self-regulating market, according to Polanyi, consists of three imaginary commodities: land, money and labour. These commodities are imaginary since they do not satisfy "*the postulate according to which everything that is bought and sold must have been produced to be sold.*" [14] This postulate could well be one of Bacon's "idols". Neither labour (human beings' vital force) nor land (nature) nor money (representing purchasing power) is "produced" to be sold. But they are all at the heart of the economic machinery enabling all trade in goods and services. Indeed, these three imaginary commodities have to be subjected to the market themselves for the economic system – via the organization of the production and distribution of goods and services - to be self-regulated. According to Polanyi, "*humans are expected to behave in such a way as to earn as much money as possible: such is the origin of this kind of economy. It assumes there are markets on which the availability of commodities (including services) at a given price matches demand at the same price (...). Consequently, there are markets for each and every element of industry, not only for goods (including services), but also for labour, land and money, their prices being respectively called foodstuff prices, wages, land revenue or "rent" and interest.*" [15] In this analysis, the self-regulating "Great Single Market" Polanyi describes was able to develop when land (together with what it holds and produces), money and labour were themselves subjected to self-regulating markets.

As far as natural resources are concerned, the author demonstrates the birth of a market for land starting with the "enclosures" movement. [16] This movement, which had already begun in the 13<sup>th</sup> century, consisted in turning the "Commons" – the lands which were shared by a community's farmers - into private plots which were regrouped then "enclosed" and attributed. The phenomenon is partly due to the need to rationalize plots in order to increase yields. In the 18<sup>th</sup> century, it also aimed to boost breeding to provide the emerging English textile industry with wool. The development of enclosures thus produced wealth linked to the increase in farming production and productivity. But at the same time it led to the expropriation of a whole class of farmers. Some of them would be employed by the new landowners, contributing to the development of farming in *enclosures*. The others would be expropriated, left on their own without a living and they would leave the countryside to increase the population of the cities, a phenomenon at the origin of almost all megalopolises, particularly in the developing world. In England, riots slowed the enclosures movement. But enclosures – over the decades - would eventually spread, forced upon the rural economy by hundreds of specific laws passed from 1750 onwards. [17] The enclosures movement thus transformed land and natural resources into goods.

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13 Foucault, M., *Naissance de la biopolitique, Cours au Collège de France, 1978-1979*, Jan. 10, 1979 lecture, Ewald, F., Fontana, A. and Senellart, M. (eds.), Paris, Gallimard-Le Seuil, 2004, p.279; Grenier, J.-Y. and Orléan, A., *Michel Foucault, l'économie politique et le libéralisme*, Annales Histoire, Sciences sociales, Vol.62 (5/2007), p.1155.

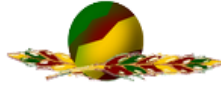
14 Polanyi, K., chap.6, p.122.

15 Polanyi, K., *loc. prec.*, p.118.

16 See NEESON, J. M., *Commoners: Common Right, Enclosure and Social Change in England 1700-1820*, C. U. P. 1993.

17 For lack of amicable agreement, enclosures had to be imposed by law. See Chambers, J. D. and Mingay, G. E., *The Agricultural Revolution 1750-1850*, Batsford, 1982.





This “*great transformation*” had devastating effects, only slightly mitigated by laws introducing a measure of charity for the poor. [18]

Legally, the market won the day, with a change from a legal system based on common goods to one based on the private ownership of land, together with charitable laws to maintain social peace in spite of the increase in poverty.

Economic development [19] was thus acquired at a dramatic social cost, so that “what has a price” clearly won over “what has dignity”.

## 2) Attempts to control the market

Market controls lead to intermediate stages between a fully liberal economy and a fully regulated one.

In their strictest form, these controls can consist in regulating prices to avoid excessive increases – which generate food crises - or too high volatility. In this case, the market no longer self-regulates, it is quite simply neutralized. There was such an attempt in France after Turgot’s edict which eased regulation in the grain trade, particularly for the price of wheat, at least until a late stage of the French Revolution. [20] There was at that time a very enlightening series of changes back and forth between imposing and then easing controls on prices. Yet each period of easing triggered a rise in prices, engendering riots which were dealt with by martial law. Conversely, each period of controls was accompanied by the end of martial law. This movement between free prices (during times of easing of restrictions) and free people (during times of price control) provoked over the whole period a social debate between the advocates of free trade and their opponents, a debate whose economic terms can still be used almost word for word today. [21] In particular it focused on the fact that grain (or farming products as a whole) is not like any other commodity, being renewable, vital, and so on. and also on the part played by middlemen and speculators.

It is a recurrent debate, and it was particularly relevant, politically speaking, in the 1940s when the Allies, while still at war, sought to set up the bases of a new, prosperous, peaceful world. Initiated by President Roosevelt who wanted to establish the basis of a new worldwide organization for a sustainable post-war peace, ten or so international conferences met successively in the Allied countries. [22] Among them, those hosted in Hot Springs in May 1943, Philadelphia in May 1944 and Bretton Woods in July 1944 are particularly relevant here.

The Hot Springs conference was set up with the idea that the eradication of starvation and poverty was a criterion for peace. This conference had two major effects. For the first time, it bound together

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18 See particularly the first Poor Law (1601) and the Speenhamland law. The latter, in force from 1795 to 1834, set a minimum income depending on the number of dependent people and the price of bread. It was granted to those without an income and those with an income below the minimum one were granted the difference. It was abrogated in 1834 because it encouraged the textile industry to underpay its employees. Polanyi, K., chap.7, p.128; Rodriguez, J., “*De la charité publique à la mise au travail. Autour du Speenhamland Act*”, [http://www.laviedesidees.fr/IMG/pdf/20080923\\_rodriguez.pdf](http://www.laviedesidees.fr/IMG/pdf/20080923_rodriguez.pdf)

19 Reasoned, more productive exploitation of land, sheep breeding and textile industry development.

20 See Gauthier, F., “De Mably à Robespierre : un programme économique égalitaire 1775-1793”, In *Annales historiques de la Révolution française*, n° 261, 1985, p.265, available online: [http://www.persee.fr/web/revues/home/prescript/article/ahrf\\_0003-4436\\_1985\\_num\\_261\\_1\\_1116](http://www.persee.fr/web/revues/home/prescript/article/ahrf_0003-4436_1985_num_261_1_1116). Also see Robespierre’s analysis, *Opinion sur les subsistances*, speech given at the Convention, December 2, 1792.

21 See Clément, A., “La spécificité du fait alimentaire dans la théorie économique. Les fondements historiques et les enjeux”, *Ruralia* 07/2000, Varia, available online: <http://ruralia.revues.org/178>.

22 See Deblock, C. and Hamel, B., “Bretton Woods et l’ordre économique international d’après guerre”, In *Interventions économiques, Pour une alternative sociale*, 1994/1995, n° 26, Dossier spécial « De l’ordre des nations à l’ordre des marchés – Bretton Woods, cinquante ans plus tard », p.12; available online: [http://classiques.uqac.ca/contemporains/deblock\\_christian/bretton\\_woods\\_ordre\\_econo/Bretton\\_Woods\\_ordre\\_e\\_co.pdf](http://classiques.uqac.ca/contemporains/deblock_christian/bretton_woods_ordre_econo/Bretton_Woods_ordre_e_co.pdf)



natural resources, farming and food in the public policies to be implemented at national and international levels. It also gave birth to an international organization that would become the FAO two years later. The final Act of Hot Springs referred to food as a right of humankind. The setting up of a policy of plenty was favoured, and thus the development of the exploitation of agricultural resources and increased productivity of food resources. International trade in foodstuffs and international cooperation to eradicate starvation were encouraged. States were asked to commit to taking all necessary measures to secure their populations' food security. The specific needs of fragile populations were also noted. Fair prices for farmers were favoured. The necessity for states to directly intervene “from spade to spoon” as one might say now was recognised. The goal was set to limit the fluctuation of the prices of food and farming products. Basically, Hot Springs favoured a kind of economic interventionism in the exploitation, management and trade of food-linked natural resources. This is a long way from thinking of foods as conventional commodities. Even further from instituting a self-regulating market. Much closer indeed to numerous NGOs' demands today. But everybody has forgotten Hot Springs, only to remember Bretton Woods.

Nonetheless, the conclusions of the Bretton Woods conference were partly along the same lines since, while promoting international trade, particularly with a set of arrangements later formalized as GATT, it set up an international monetary system which – being organized around the U.S. dollar but tied to gold - shielded currencies from pure market regulation. Bretton Woods also created the international bank and the IMF (International Monetary Fund).

As for the Philadelphia conference which reorganised the International Labour Organization, it started by postulating – among other key principles - that labour is not a commodity.

It can thus be assumed the international community has learnt from History and “what has dignity” is at least as valuable as “what has a price”. After these conferences, the economy would lose its autonomy and be subordinated to politics. Secondly and above all, land and the food resources it holds and produces (Hot Springs), labour (Philadelphia) and money (Bretton Woods) would no longer be considered as conventional commodities subjected to self-regulating markets.

But as everybody knows and has noted, the spirit of Philadelphia, to quote the phrase (and analysis) of one author, has given way to the global market. [23] The determination witnessed at Hot Springs has not been strong enough to prevent the trade in food natural resources from being governed by GATT rather than the FAO. The intelligence shown at Bretton Woods was not able to prevent the damage done to the international monetary system in the 70s. The self-regulating market reasserted itself in its unrestricted, ultra-liberal form which Hayek calls “*the spontaneous market order*” [24] and which could well be one of the “idols” that the method Bacon favoured should enable us to identify.

### **3) The crises of the self-regulating market**

The pendulum has now swung back, so that a self-regulating market is now clearly seen as “*a crazy idea*”. [25] One can well remember the sub-primes crisis in the second semester of 2006, which broke on the world in February 2007. One can also remember the first financial crisis which resulted, in summer 2007, and reached a climax in the fall of 2008 when the first effects of the economic recession and unemployment started to be felt worldwide.

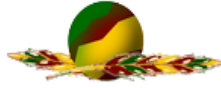
On the other hand, several factors have been paving the way for the risk of a food crisis scenario since 2005-2006: the destruction of arable lands through population drain or urban development, the overuse of inputs, climate change, the rise in demand for food, the oil price rise, the increase in bio-fuel crops, etc. Foodstuff prices are both volatile and bound to increase in the long run. This is the scenario in

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23 See Supiot, A., *L'esprit de Philadelphie : la justice sociale face au marché total*, Seuil, Paris, 2010.

24 Hayek, F., *Droit, législation et liberté*, t.1, *Règles et ordres*, chap.2, PUF, coll. Quadrige, Paris, 2007.

25 See Bernard, A., “*Le marché autorégulé, "une idée folle" ?*”, Rec. Dalloz, 2009, p.2289.



which the sub-primes crisis and the resulting financial crisis were set. On the one hand, central banks poured hundreds of billions of liquidities into banks which invested in blue-chip stock like agricultural raw materials. On the other hand the hedge funds, torn to pieces by the financial crisis, tried to make up their losses on the agricultural raw materials settlement market. It all led to a huge speculation which unsettled the already fragile prices of agricultural raw materials. This is why from February 2007 onwards – when the sub-primes crises became public and the financial catastrophe began - foodstuff prices rocketed to reach a peak in February 2008, provoking a food crisis in a number of countries. [26]

This recent history of crises can help us to understand the phenomena that the issue of food is linked to. Indeed, the sub-prime crisis is related to land-commodity (and to that other basic need, housing); the financial crisis is related to money-commodity; the economic crisis triggering unemployment is related to labour-commodity. It shows that behind the crises the world has experienced, which has sent the least developed countries into a food crisis, there are in fact, in Polanyi's terms, three commodities in crisis: land and its uses, money and labour.

It is truly a crisis of resources that the world has had to face: the degradation of natural, financial and human resources. And land and natural resources are in deep crisis. This has become dramatically evident, especially since the end of 2009.

In late 2009, the future of natural resources was decided in three international conferences directly or indirectly dealing with food natural resources: the WTO negotiations on agricultural products trade in Geneva in December, FAO's on food security in Rome in November, and the conference on global warming in Copenhagen in December. Yet, these three meetings failed and in none of these areas has there been any successful outcome so far. What can be learnt from this?

Indeed, these three negotiations recall the main issue of the Hot Springs conference in 1943. They addressed the question of the link between the trade in natural resources and food issues. But the Hot Springs declaration did not provided for any sanctions or other constraints. And the 2009 negotiations did not succeed in forcing anything upon states. Given this, should we not appeal to the imagining forces of law?

## II - THE "IMAGINING FORCES OF LAW"

To write law, vocabulary and grammar are needed. The vocabulary designates concepts conveying the values we choose to promote and the grammar is the way to connect these concepts to one another, whether they be "economic liberty", "political liberty", "people's dignity", "individual responsibility", "sustainable development", "food security", "private property", sovereignty or common goods. To apprehend this vocabulary and grammar, we would prefer to speak in terms of "law" (or rules) rather than "regulation" or "controls", in order to avoid the confusion often made (and which have resulted in the worship of some of Bacon's "idols"). So let us assume that "law" differs from "controls" in the same way as the highway code differs from ways to keep the traffic flowing. Prices or speculation can be "controlled" the way temperature is, that is by setting limits, upper and lower. Controls aim to steady or balance a complex system. Though necessary, they are far from being enough. Law (like "controls") sets behavioural principles and rules. Through law, social values will be promoted to guide the economy the way society wants it to be, somewhere between maximising profits and giving priority to people's dignity.

### 1) Setting the goal of food security

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26 Many demonstrations, even riots took place in late 2007 – early 2008 in Africa (Burkina Faso, Cameroon, Senegal, Mauritania, Ivory Coast, Egypt, Morocco) but also in Mexico, Bolivia, Yemen and in Bangladesh, Pakistan, Sri Lanka and South Africa.





International law currently governing natural resources exploitation and trade does not aim at securing food security worldwide. Its goals are economic and it relies on two principles: the sovereignty of States over their natural resources and free international trade. [27] The laws currently in force partly match the WTO's, without any specificity regarding agricultural natural resources, subject to the successful outcome of the Doha Round of negotiations. [28] The laws are also partly the result of international agreements and treaties, as well as an increasing number of bilateral treaties. [29] Concerning food resources, it is the organization of fishing and the sharing of halieutic resources that are mainly concerned, precisely because when dealing with the seas and oceans, the allocation of fishing grounds cannot be achieved simply by applying the principle of State sovereignty. Basically, international market law is sufficient when applied to what has a price but not to what has dignity, to quote Kant once more.

We should not hesitate to qualify food security as a priority and a non-negotiable goal. This is justified simply if starving to death is to be outlawed and if it is a condition for world peace, as it was at the Hot Springs conference in 1943. It has been at the core of the language of the FAO ever since, but it has not broken through as law. FAO defined this priority in the worldwide summit on food in 1996: "*Food security is provided when all people, at all times, can economically, socially and physically have access to enough safe, nutritive food to satisfy their nutritional needs and food preferences to enable them to live an active, healthy life*". [30]

On the other hand, food security also consists in preventing food crises. In this respect, the billion or so people who do not have sufficient access to adequate food should be seen as the victims of a permanent food crisis. Given this, food security should appear in two forms. One of these would be devoted to all such victims, just as social protection is organized in the form of "social security". The other one should consist in designing laws governing the production and trade of food agricultural raw materials that take into account the need to feed 9 billion people by 2050.

Thus conceived, the goal of food security has direct repercussions on the legal treatment of natural resources. Land is a limited, non-extensible commodity. What it produces – though renewable - can become exhausted. Fisheries are the same. So are forests and water. Limited and exhaustible natural resources should be considered as a patrimony or capital and not as goods, especially not as conventional ones. More precisely, their exploitation and trade should be governed by public policies varying from state to state, recognised by international law, thus removing them from sheer market forces. These rules should take into account the fact that the vital character of these food resources gives them a general interest and common dimension at the outset.

## **2) Defining a sustainable development strategy**

The Geneva, Rome and Copenhagen negotiations that took place in late 2009 linked together the destinies of an economic issue – the development of international trade and commerce -, an environmental issue – global warming - and a social issue – poverty and its links with famine, malnutrition and food crises. Now these three issues precisely match the three pillars of sustainable development: economic dynamism, environmental care and social progress: *Profit, Planet, People*.

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27 For an explicative synthesis of current law for natural resources international trade, see *WTO Report on 2010 World Trade, Natural Resources Trade*, part II, section E, p.160.

28 Let us remember the Doha Round is a negotiations cycle launched in 2001 under WTO's authority. These negotiations deal with agricultural products international trade and the improvement of the conditions for developing countries agricultural products to reach wealthy countries.

29 See *Le commerce international entre bi et multilatéralisme*, Remiche, B. and Ruiz-Fabri, H. (eds.), RIDE, avril 2010.

30 <http://www.fao.org/cfs/fr>



It will not be a surprise to find here the three imaginary commodities whose history has been highlighted by Polanyi: money as a way to measure profits, land as an issue in protecting the planet and labour as a means for people to secure an income and thus food for themselves and their families. While the global self-regulating market consists in merchandising land, money and labour, global sustainable development for its part relies on considering the economic, environmental and social dimensions as indissociable. This means each political action or decision should take into account the three dimensions together, and should be responsible for the consequences of doing so.

Yet history shows that such an 'indissociation' cannot result from a great self-regulating market. Sustainability of development cannot result from a purely economic, scientific or ethical approach. It can only result from a politically expressed, legally organized will. [31]

However, the goal of legislation should not be to put an end to either the great market or free trade. In the wake of Max Weber's doctrine, it is obvious that there is not one single legal system suited to free trade. [32] We simply have to abandon the "idols" of self-regulation and deregulation which have prevailed in almost all economic sectors since the 70s. The problem with the three post-war conferences (Hot Springs, Bretton Woods and Philadelphia) is that they were dissociated from one another. The same problem occurred in November and December 2009 with the separate Rome, Geneva and Copenhagen negotiations – they eventually failed. This was inevitable: it makes no sense to restrain the unbridled exploitation of natural resources in Copenhagen if their free trade is promoted in Geneva at the same time. And such promotion itself is pointless if we are to work towards the goal of food security in the long run. Food security has no future if what is discussed separately in Rome is neglected in Copenhagen.

To reach its objectives, the law we have to imagine must forge links between economic laws and dynamic trade, the laws of science and technical progress, moral laws and human values.

Therefore our first problem is the current segmentation of institutions, conferences, negotiations, policies and decisions. The great market model can only work if it reaches a balance between supply and demand for all goods and services. To do so, we should let the forces of supply and demand act for land and its resources, for money, and for labour. But, with the constant interplay of the various markets and the successive crises, History has shown that this is ineffective. The *homo oeconomicus* is only rational to a certain extent and on condition he has enough food and a safe environment to live in. This is the 'indissociation' that the sustainable development concept allows us to implement. Given this, in these conditions and within the framework established by the United Nations General Assembly [33], what legal resources or instruments could restore "what has dignity" to its rightful status?

### 3) Structuring the legal resources to be implemented

There is a fairly wide range of legal resources available to enable us to come as close as possible to the goal of food security while implementing a sustainable development strategy.

To define them and choose among them, it must first be stated that a sustainable development strategy provides us with at least three categories of values that have been shared by the international community since the Rio declaration of 1992. They constitute a strong basis which avoids immediately confronting the question of the universalism or relativism of values. [34] The legal

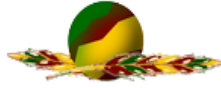
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31 See Collart Dutilleul, F., "La régulation juridique du développement durable et le droit agroalimentaire européen", In *Production et consommation durables : de la gouvernance au consommateur-citoyen*, CEDE International talks Acts, September 2008, Parent, G. (eds.), Yvon Blais (Québec – Canada), 2008, p.393.

32 See Coutu, M., *Max Weber et les rationalités du droit*, LGDJ, 1995, p.123.

33 See UNO's general assembly first debate on "harmony with nature": <http://www.un.org/News/frpress/docs/2011/AG11075.doc.htm>

34 See Delmas-Marty, M., *Les forces imaginantes du droit*, t.1, *Le relatif et l'universel*, Seuil, Paris, 2004.



resources we decide upon and structure should then enable economic dynamism, health and environmental care and social progress to be achieved. Besides, the instruments we design must be based on legal concepts capable of “*sounding the conscience*” [35] as well as appealing to reason.

Up to now, freedom has been favoured: free access to resources, free exploitation, free trade and consequently freedom to do business, contractual freedom, free competition, free movement of goods, capital and people. [36] Some say failures, poverty and famine can be explained by restrictions to this freedom. [37] Others, however, think that free trade in natural resources accounts for the problem and the food crises. The main point surely is to lend a legal, constraining form to the limits on freedom, particularly by exploring means of maintaining public policy which that legal form or instrument should target. We can thus try to design an internationally-dimensioned concept of “food public policy” (or food “*ordre public*”), on the model of the WTO's TRIPS agreement for patents. [38] Regarding the freedom of imports and exports, of economic price-setting mechanisms, of speculation on commodities, an “order” has to be imagined and made “public”. Economic liberties and legal responsibility also have to be concretely and conceptually re-associated, these values having been largely dissociated since the series of world crises that started in 2005-2006.

Another resource, which has been extensively tried and tested, is basic rights. There are already a considerable number of texts which lay them down but they pose the problem of a lack of efficiency in terms of positive law. Things tend to be different, relatively speaking, when a judge or a court is instituted in parallel to enforce the rights which have been laid down. [39] The basic rights resource is nevertheless very symptomatic of the vital need to ensure each individual's food security. That is why it is promoted by the UN's special rapporteur on food rights to fight the excesses of free trade. [40] But an effective legal system remains to be defined to defend farmers' access to land and people's access to food. [41]

A legal framework for the market can also be imagined based on the concept of “**sovereignty**” in the form of both access to land (land sovereignty) and access to food (food sovereignty).

**Land sovereignty** determines the means States can use to keep natural resources, particularly agricultural lands, under control, particularly seeking to prevent their capture by public or foreign powers. This form of sovereignty is a reaction to a new kind of colonisation consisting of foreign states or companies buying up developing countries' productive lands. FAO has made proposals supporting the idea. [42] However, these proposals need to be clarified, particularly by designing model contracts preserving the rights of local populations and the interests of host states. [43] The food security of the countries involved requires all lands to be available to populations living on the states' territories. The corollary of this State land sovereignty is the obligation on these states to provide their inhabitants with the means of subsistence. Besides, experience shows that grabbing these

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35 The expression is from Gérard Cornu who believed it to be a requirement to define natural obligation : see “*Étude législative*”, in « La réforme du droit des contrats : projet et perspectives », Rev. des Contrats (RDC) 2006/1, p.22.

36 One may however observe that in spite of a self-regulating economic pattern, only capitals and goods can circulate without restrictions. The situation is quite different for people.

37 See Geneva debate (May 11, 2009) between Pascal Lamy – WTO's general director- and Olivier de Schutter – UNO's special rapporteur on food law.

38 See *infra*.

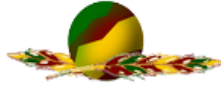
39 Especially the European Court for Human Rights, Supreme Courts in the USA and Canada, inter-American Court of Human rights.

40 *Eod. loc.*

41 See on this point the Acts of Lascaux programmes talks (June 28-29, 2010): [http://www.droit-aliments-terre.eu/pages/menu2/forum\\_lascaux\\_2.html](http://www.droit-aliments-terre.eu/pages/menu2/forum_lascaux_2.html) (to be posted).

42 See the FAO draft report on *Directives volontaires sur la gouvernance responsable de la tenure des terres et des autres ressources naturelles*, <http://www.fao.org/nr/tenure/voluntary-guidelines/e-consultation/fr/>

43 See Collart Dutilleul, F., “*Quel regard sur le phénomène de l'accaparement des terres ?*”, [http://www.droit-aliments-terre.eu/documents/sources\\_lascaux/editos/edito\\_FCD/edito\\_062011\\_FR.pdf](http://www.droit-aliments-terre.eu/documents/sources_lascaux/editos/edito_FCD/edito_062011_FR.pdf)



lands is often an opaque process, which mainly benefits the capturing investor: a minimal price is paid for the land, legally or not, before or after taking it, and it is at best unclear who the final payee is. Furthermore, the investor's commitments regarding local employment, the species of crops to grow and the destination of the produce are often vague. Eventually, once the contract comes to an end, the investor often leaves a land which has been impoverished by overexploitation without being environmentally restored.

**Food sovereignty**, on the other hand, determines a state's ability to define public policies designed to provide the population with enough healthy food. This is the means favoured by *Via Campesina* [44] which has developed a thorough, demanding food sovereignty concept which extends to a full set of public policies to be implemented to provide the population with food: farmers' access to land, water, seeds, and loans; priority given to local produce to feed the population; the right of farmers and consumers to choose the methods of production and the products consumed; the right of states to protect themselves from low-cost imports by banning or taxing them; populations having their say in political decisions concerning agriculture; protection of women's rights, etc. In addition to these proposals, which merit thorough debate, it is at least necessary to define the legal tools necessary for the implementation of an agricultural protectionism limiting the power of the WTO and the globalisation of trade. In this respect, the concept of food autonomy, compatible with maintaining a system of multilateral trade, would enable states to keep a measure of legal discretion to adapt their agricultural and food policies to their own particular needs. So in the context of sovereignty and autonomy, there is a means to legally regulate exploitation and trade in food natural resources while avoiding excesses. However, a population cannot be confined within a country's borders without the necessary food. Consequently, a state cannot be deprived of the right to make the necessary decisions to feed its population, and both national and international laws should take this into account.

In compatibility with the concepts of sovereignty and autonomy, ownership and the qualification of “**common goods**” should be explored as ways to access resources. Several definitions of common goods have been proposed in a thriving, mainly economic, literature. [45] Yet the concept is often assimilated simplistically – as Garrett Hardin has done [46] – to merely being in competition with **private property**. [47] In reality, deforestation, excessive inputs, wastage of water, or overexploitation of resources can occur under conditions of common goods as well as private ownership. Ownership can be private or public, individual or collective, absolute or relative, without competition or open to coexisting uses. It is therefore possible to optimize a right to ownership which regulates the owners' power in favour of the common - and others' – interests [48], a right which defines a way to manage ownership that respects the specificity and scarcity of the natural resources concerned [49], or even a right that bestows a social function on ownership. [50] Much in the same way, the management of common goods can be legally organized to guarantee a chosen yield, by preserving the quality and quantity of resources. The main point is the values which are promoted rather than the legal means used.

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44 On this concept presented in 1996 in FAO's world summit on food in Rome, see <http://www.viacampesina.org/>. See Nyeleni's statement in the international meeting on food security in Bamako ( Mali) in February 2007 : <http://www.nyeleni.org/>

45 See Ostrom, E., *Gouvernance des biens communs – Pour une nouvelle approche des ressources naturelles*, Révision scient. Baechler, L., ed. de Boeck, 2010.

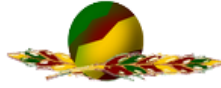
46 “*The tragedy of the Commons*”, Science, 1968, vol.162, n° 3859, p.1243.

47 See Çoban, A., “Entre les droits de souveraineté des États et les droits de propriété : la régulation de la biodiversité”, *A contrario* 2004/2, Vol. 2, p.138, available online: [http://www.cairn.info/resume.php?ID\\_ARTICLE=ACO\\_022\\_0138](http://www.cairn.info/resume.php?ID_ARTICLE=ACO_022_0138)

48 See Robespierre's speech: *Propositions d'articles additionnels à la déclaration des Droits de l'Homme et du citoyen*, 1793.

49 See Ostrom, E., *op. préc.*

50 See Duguit, L., *Le droit social, le droit individuel et la transformation de l'État*, conférences faites à l'École des Hautes Études Sociales, Paris, Alcan, 2th ed., 1911, p.17 ; *Les transformations générales du droit privé depuis le code Napoléon*, ed. La Mémoire du Droit, 1999, p.147.



The choice between ownership and common goods also has to be made when turning our attention to incorporeal goods in the field of “**intellectual property**”. Patents on living organisms, biodiversity or natural resources [51], plant breeders’ rights, brands and quality signs are legal instruments whose power is underestimated and whose legitimacy is rarely questioned as though it were obvious. In fact, not every thing should be eligible for ownership, particularly when goods are necessary for people to live. In this case, it should be forbidden on principle for an owner – whoever he or she might be - to have a power of monopoly on goods which people depend on for their very lives. But intellectual property is like any other property: it can be absolute or relative. International law currently provides few limits to intellectual property’s absolute scope. States can rule out an invention’s patentability so as to protect public order, health and animals or people’s lives or to avoid serious environmental problems. [52] They can more generally rule out patentability for plants, animals and their breeding processes, providing they organise an alternative way to protect plant varieties. [53] Exceptions in the International Convention for the Protection of New Varieties of Plants (UPOV) also limit the breeder’s rights. [54] Besides, the Convention on Biological Diversity provides for the fair, equitable sharing of benefits from the use of genetic resources. [55] Yet all these limits, exceptions and expectations which could set limits to the “inventor’s” monopoly remain ineffective or too vague to have a full, legal reach, or can be neutralized in contracts between the owners of these monopolies and farmers. There is thus considerable progress to be made in deciding what should be “common goods” as well as in regulating the powers and rights of the “owner” of the variety or characteristics of the new animal or plant.

The intellectual property resource is all the more promising as it enables us to imagine an analogical transposition of the so-called right of **cultural exception** to the issue of food. Cultural exception is an international legal concept aimed at making culture an exception in international treaties and particularly in the World Trade Organization. This exception thus allows States the means to make their sovereignty prevail and to limit free trade in cultural products to the benefit of their national culture and cultural diversity. A **food exception** based on the same model is not impossible to imagine.

To conclude, regarding the food issues of trade in the land and what it holds, we have to go back to Hot Springs and supply law that would enable the implementation of the values that this quickly forgotten conference sought to enforce. If we do not, we cannot be sure that populations will accept for much longer that “what has a price” triumphs over “what has dignity”. Better Hot Springs than spring riots.

2011, July

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51 See particularly the interesting report : *Intégrer les droits de propriété intellectuelle et la politique de développement*, Commission on Intellectual property rights, 2002 : <http://www.iprcommission.org/>

52 See art. 27.2 of WTO’s agreement on the aspects of intellectual properties dealing with trade (TRIPS)

53 See art. 27.3-b of TRIPS/WTO agreement.

54 [http://www.upov.int/fr/about/upov\\_convention.htm](http://www.upov.int/fr/about/upov_convention.htm)

55 See <http://www.cbd.int/>