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Reversibly-crosslinked Thermo- and Redox-responsive Nanogels for Controlled Drug Release[†]

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Reversibly-crosslinked poly(vinyl alcohol)-*b*-poly(*N*-vinylcaprolactam) PVOH-*b*-PNVCL nanogels were prepared by using a redox-responsive crosslinking agent, 3,3'-dithiodipropionic acid (DPA), to crosslink the PVOH corona, above the lower critical solution temperature (LCST) of the PNVCL block. Stability of

- ¹⁰ the as-prepared cross-linked nanogels against heating and dilution with water was studied by dynamic light scattering (DLS) to follow the evolution of the hydrodynamic diameter and size distribution. Stability under reductive condition was also studied with DLS and transmission electron microscopy (TEM) after exposure to dithiothreitol (DTT) buffer solutions at different pH. Reversibility of the crosslinking was conducted by treating the de-crosslinked nanogels with hydrogen peroxide (H₂O₂) above
- ¹⁵ the LCST. As a hydrophobic drug model, Nile red (NR) was loaded into the crosslinked nanogels, and triggered release behaviours were studied after exposure to the same DTT buffer solutions. Moreover, two PVOH-*b*-PNVCL copolymers with different compositions and LCST were used to evaluate the effect of LCST on the release behaviours of the crosslinked nanogels. Cytotoxicity of the crosslinked nanogels against mouse fibroblast-like L929 cell line was assessed via the MTS assay, and preliminary studies on
- 20 cellular uptake of the crosslinked nanogels within human melanoma MEL-5 cells was also carried out with fluorescence microscopy and fluorescence-activated cell sorting.

Introduction

Recently, considerable efforts were devoted to the development of novel drug delivery systems (DDS), ²⁵ which are expected to overcome the key therapeutical

- issues emerging in current clinical practices, such as poor intracellular delivery, lack of control over the release behaviours, significant side effects, *etc.*^{1,2} In the past few years, nano-sized polymer micelles have
- ³⁰ been suggested as promising vehicles for DDS due to their outstanding advantages in drug loading capacity and cellular uptake efficiency, as well as their nanoscaled dimension which can accomplish an enhanced permeability and retention (EPR) effect in tumour ³⁵ sites.²⁻⁵ Generally, self-assembled micelles could be
- obtained from unimers above the critical micelles could be concentration (CMC); and when stimuli-responsive materials are used, release of the drug payloads could be triggered by external stimuli, such as light or
- ⁴⁰ magnetic field application, reductive agents activation, variation in pH, temperature or ionic strength, *etc.*⁴⁻⁷

However, the main drawback of these physicallyassembled micelles should be the incapacity to avoid premature release during the *in vivo* delivery routine.^{2,8}

⁴⁵ To circumvent this limitation, crosslinking strategies have been attempted. The covalently stabilized micelles, particularly shell crosslinked micelles, are attracting increasing attention.⁹ Various chemical reactions were attempted to crosslink the shell of those ⁵⁰ physically-assembled micelles, such as carbodiimide coupling of carboxylic acid groups with diamines,¹⁰ coupling of hydroxyl groups with divinyl sulfone,¹¹ UV-induced coupling of cinnamoyl groups,¹² to cite only a few.

These traditional covalently-crosslinked structures aimed for DDS application are always limited by a number of drawbacks, including poor solubility, low reactivity, toxicity and lack of control over the release behaviours due to the irreversible crosslinking $_{60}$ structures, *etc.*^{4,6,13} An alternative approach involves the reversible crosslinking with disulfide bonds, which are redox-responsive and can be easily cleaved when exposed to some reductive reagents, such as dithiothreitol (DTT) or glutathione. It was reported 65 that concentration of glutathione in the cytosol (10 mM) is ca. $2 \sim 3$ orders higher than that in the extracellular fluids (ca. 2 ~ 20μ M).^{14,15} Thus, this specific feature might favour the cleavage of disulfide bonds responding to intracellular glutathione level, 70 and makes this kind of disulfide-crosslinked micelles more appealing for DDS. Up to now, some reversiblycrosslinked polymer micelles based on disulfide bonds have been reported for DDS and also triggered drug

release.13, 16-24.

As far as we know, poly(*N*-vinylcaprolactam) (PNVCL) is one of the most recently studied thermoresponsive macromolecules with lower critical solution temperature (LCST) of *ca*. 32°C. And change in temperature can cause the phase transition between hydrophobic and hydrophilic state.⁵ When involved in a block copolymer with another hydrophilic block, it results in the formation or dissociation of core/coronato structured micelles, driven by the change in temperatures.³⁻⁵ On the other side, poly(vinyl alcohol) (PVOH)-based micelles or hydrogels have also been extensively investigated as good candidates for DDS application, due to the hydrophilic essence and also the excellent biocompatibility of PVOH block.²⁵⁻²⁸ Recently, we have reported the synthesis of well-

- defined PVOH-*b*-PNVCL copolymers with different compositions via the cobalt-mediated radical polymerization (CMRP) strategy,^{27,29,30} and ²⁰ dependence of LCST on chemical composition of the
- ²⁰ dependence of LCST on chemical composition of the copolymers was also properly investigated.³⁰ Up to now, thermo-induced micelles from poly(*N*isopropylacrylamide) PNIPAm or PNVCL-based amphiphilic copolymers, with a hydrophobic PNIPAm
- ²⁵ or PNVCL core and another hydrophilic block as corona above the LCST, have ever been widely reported.^{18, 31-34} In the case of PVOH-*b*-PNVCL copolymers, thermo-induced formation of micelles with PVOH corona was reported in our recent report.³⁰
- ³⁰ However, due to their inferior stability against heating, inter-particles agglomeration occurred upon further heating above the LCST.

In this work, we report the synthesis and loading/release properties of the reversibly-³⁵ crosslinked thermo- and redox-responsive nanogels based on PVOH-*b*-PNVCL copolymers (Scheme 1). 3,3'-dithiodipropionic acid (DPA) was used to crosslink the PVOH corona above the LCST of the PNVCL blocks; then crosslinked nanogels were ⁴⁰ obtained after cooling down below the volume phase

- transition temperature (VPTT) of the crosslinked structures. Stability of the corsslinked nanogels against heating and diluting with de-ionized water was evaluated with dynamic light scattering (DLS)
- ⁴⁵ technique. Stability of the crosslinked nanogels against reductive reagents was also studied with DLS after exposing to DTT buffer solution (10 mM) with different pH values, while an oxidizing agent (H₂O₂) was used to evaluate the reversibility of the
- ⁵⁰ crosslinking. Nile red (NR) was used as a hydrophobic drug model to study the triggered release behaviours under different conditions. Cytotoxicity of the crosslinked nanogels against the mouse fibroblast-like L929 cells was also evaluated via the MTS assay, and
- ⁵⁵ preliminary studies on cellular uptake of the crosslinked nanogels within human melanoma MEL-5 cells were finally investigated.

Experimental Details

Materials

- ⁶⁰ 3,3'-dithiodipropionic acid (DPA, 99%), 1-ethyl-3-(3-dimethylaminopropyl) carbodiimide (EDC, 98%), Nile red (NR, 98%), dithiothreitol (DTT, 99%), 4-dimethylaminopyridine (DMAP, 98%), sodium phosphate dibasic (Na₂HPO₄, 98.5%), potassium
 ⁶⁵ phosphate monobasic (KH₂PO₄, 98%), sodium acetate
- trihydrate (NaAc \cdot 3H₂O, 99.0%), acetic acid (HAc, 99%), sodium acetate trihydrate (NaAc \cdot 3H₂O, 99.0%), acetic acid (HAc, 99%), sodium chloride (NaCl, 98%), potassium chloride (KCl, 99%), 4,6-diamidino-2-phenylindole (DAPI, 98%) and hydrogen peroxide solution (H₂O₂,
- ⁷⁰ 30 *wt.*%) were purchased from *Aldrich*. DMEM (1 g/L glucose), *L*-glutamine, PBS buffer solution (Ca²⁺ and Mg²⁺ free), fetal bovine serum (FBS) and trypsin were obtained from BioWhittaker (Walkersville, MD). 3-(4,5-dimethylthiazol-2-yl-5-(3-
- ⁷⁵ carboxymethoxyphenyl)-2-(4-sulfophenyl)-2Htetrazolium (MTS) was purchased from *Promega* (Madison, USA). PBS buffer solution (with Ca²⁺ and Mg²⁺), penicillin G and streptomycin were purchased from GIBCO BRL (Gaithersburg, MD).
- PVOH-*b*-PNVCL copolymers were obtained by hydrolysis of poly(vinyl acetate)-*b*-poly(*N*-vinylcaprolactam) copolymers (PVAc-*b*-PNVCL), prepared via CMRP strategy according to a previously reported procedure.³⁰ The physico-chemical features
 so f the PVOH-*b*-PNVCL copolymers used in this work were summarized in Table 1.

Methods

Preparation of PVOH-*b*-PNVCL crosslinked nanogels

PVOH-b-PNVCL shell crosslinked micelles were ⁹⁰ firstly prepared from the aqueous polymer solution above its LCST, and after the crosslinking reaction, the crosslinked nanogels were obtained upon cooling down below the VPTT of the crosslinked structures. Typically, the PVOH₁₈₀-b-PNVCL₁₁₀ copolymer was 95 dissolved in 20 mL of de-ionized water to obtain a polymer concentration of 0.05 wt.% (*i.e.* 7.8×10^{-5} mol VOH monomer units) before stirring and heating to 50°C. Immediately, milky-white colloidal aggregates were detected upon heating, indicating the formation 100 of thermo-induced micelles. After equilibration at 50° C for 10 min, DPA (8.4 mg, 3.9×10^{-5} mol, molar ratio DPA/VOH = 1:2), EDC (12.0 mg, 7.8×10^{-5} mol) and DMAP (11.4 mg, 9.5×10^{-5} mol) were added. After degassing by bubbling nitrogen for 20 min, the mixture ¹⁰⁵ was stirred at 50°C for another 48 h. Upon cooling down to room temperature, the mixture was dialyzed (cut-off: 3,500 g/mol) against de-ionized water for another 48 h, in order to remove the free DPA, catalysts and by-products. White powders were 110 obtained after freeze-drying.

Stability of the PVOH-*b*-PNVCL crosslinked nanogels and reversibility of the crosslinking

Stability of the PVOH-*b*-PNVCL crosslinked nanogels against swelling was investigated by diluting

the crosslinked nanogels solution (0.05 *wt*.%) with deionized water at 25°C. DLS measurement (25°C) was used to follow the change in hydrodynamic diameters (D_h) and size distribution (PDI) after dilution. For each dilution period, the solution was kept for at least 1 h to reach the swelling equilibrium before characterization. Stability of the PVOH-*b*-PNVCL crosslinked

nanogels against heating was also studied by DLS with the crosslinked nanogels solution (0.05 *wt.*%) under ¹⁰ gradual heating from 25 to 50°C.

Stability of the PVOH-*b*-PNVCL crosslinked nanogels against reductive reagents was studied by exposing the crosslinked nanogels solution (0.05 *wt.*%) to 10-mM DTT solution in different degassed

- ¹⁵ buffers, such as PBS (pH 7.4), PBS (pH 8.5) and NaAc/HAc (pH 4.5) buffers at 37°C. At each predetermined interval, 1-mL nanogels solution were collected with a syringe for DLS measurement (25°C), in order to monitor the change in D_h and PDI. After 24-
- ²⁰ h treatment, the mixture was cooled down and dialyzed (cut-off: 3,500 g/mol) against de-ionized water at room temperature for another 48 h. The purified decrosslinked (DCL) nanogels solution was freeze-dried and white powders were obtained.
- Reversible crosslinking (RCL) of the PVOH-b-PNVCL DCL nanogels was conducted by adding 1 mL of hydrogen peroxide solution (30 *wt.*%) into 10 mL of DCL nanogels solution (0.05 *wt.*%) at 50°C and then stirred overnight. RCL nanogels white powders were obtained after freeze-drying.

Model studies of guest loading and triggered release Nile red, a hydrophobic drug model, was loaded into the PVOH-*b*-PNVCL crosslinked nanogels in order to monitor the redox-triggered release behaviours with a

- ³⁵ fluorometer. Herein, after the thermo-induced formation of PVOH-*b*-PNVCL micelles at 50°C, 2 mL of NR solution in THF (0.2 mg/mL) were added, and then the mixture was stirred for another 30 min in dark, at the end of which the THF solvent was supposed to
- $_{40}$ be fully evaporated. The crosslinking reaction was carried out according to the above-mentioned protocol. The non-encapsulated NR was removed by filtration (0.8 µm), and other free DPA and by-products were removed via dialysis against de-ionized water in dark.
- ⁴⁵ To study the release profiles, 10 mL of the NRloaded PVOH-*b*-PNVCL crosslinked nanogels solution (0.05 *wt*.%) were put in a dialysis bag (cutoff: 3,500 g/mol), and then dialyzed against 200 mL of DTT-contained (10 mM) buffer solutions with
- ⁵⁰ different pH values, under gentle stirring at 37°C. The release behaviours in buffer solutions (no DTT) with different pH values were taken for comparison. At each predetermined interval, 0.2 mL of the supernatant nanogels solution was withdrawn from the dialysis bag
- ⁵⁵ (released NR precipitated into the bottom of the dialysis bag) for fluorescence measurement at 37°C, in order to estimate the retained amount of NR. Cumulative release of NR was calculated according to the formula:

$$R = \frac{I_0 - I_t}{I_0} \times 100\%$$

(1)

where I_0 was denoted as the fluorescence intensity of NR-loaded crosslinked nanogels solution (0.05 *wt.*%) before release, while I_t the fluorescence intensity of supernatant nanogels solution at a specific sampling the release experiments.

Cell culture and cytotoxicity against fibroblast-like L929 cells The mouse fibroblast-like L929 cells (*ATCC* CCL-1) were grown for 24 h at 37°C under humidified air (5 *vol.*% of CO₂) in DMEM medium, which was ⁷⁰ supplemented with 5 *vol.*% of FBS, 1 *vol.*% of glutamax, 1 *vol.*% of penicillin/streptomycin (10,000 units of penicillin (base) and 10,000 units of streptomycin (base)/mL) before use (DMEM complete medium). The human melanoma MEL-5 cell line ⁷⁵ (originated from a non-pigmented clone 32, gift from Dr. G. Degiovanni, University of Liège) was also cultured in DMEM complete medium for 24 h. After rinsing with PBS (Ca²⁺/Mg²⁺ free) buffer solution, the cells were detached with trypsin (0.2 *vol.*%)/PBS ⁸⁰ (Ca²⁺/Mg²⁺ free) buffer solution.

Cytotoxicity measurements were carried out with the L929 cell line. The cells were first seeded in 96well plates at a density of 5×10^3 cells/well and grown in DMEM complete medium for 24 h. Cells were then ⁸⁵ treated with PVOH-*b*-PNVCL crosslinked nanogels in DMEM complete medium with different concentrations (2, 1, 0.5 and 0.25 mg/mL) for 24 and h. For each concentration, five parallel 48 measurements were carried out at the same time. After ⁹⁰ each incubation period, the cells were rinsed with PBS (with Ca^{2+}/Mg^{2+}) buffer solution, and cell viabilities were evaluated via the MTS assay. Specifically, 20 µL of MTS and 100 μ L of PBS (with Ca²⁺/Mg²⁺) buffer solution were added for each well, and then the plates ⁹⁵ were incubated at 37°C for 30 min. The absorbance at 490 nm was measured by using a Power wave X instrument Inc.) micro-plate (Biotek reader. Percentage cell viabilities were determined relative to the untreated cells (control, 100% viability).

100 Cellular uptake into human melanoma MEL-5 cells Qualitative studies of the cellular uptake with fluorescence microscopy were carried out with the treated MEL-5 cells after internalizing with NRuploaded PVOH-b-PNVCL crosslinked nanogels. ¹⁰⁵ MEL-5 cells (3.8×10^5) were seeded in a 12-well plate within 2 mL of DMEM complete medium. After 24-h incubation, the culture medium was replaced with 2 mL of fresh DMEM complete medium (blank) or NRloaded crosslinked nanogels in DMEM complete ¹¹⁰ medium (1.0, 0.5, 0.25 or 0.1 mg/mL), respectively. After incubating for a predetermined period (3, 6, 15) or 24 h), the medium was removed and the cells were rinsed twice with PBS buffer (Ca^{2+}/Mg^{2+} free) to remove the free nanogels. Then the cells were treated 115 with paraformaldehyde (4 vol.%)/DAPI (1 vol.%)/PBS buffer solution (with Ca^{2+}/Mg^{2+}) at room temperature for 15 min in dark. After rinsing with PBS buffer solution (with Ca²⁺/Mg²⁺) for two times, 2 mL of PBS buffer solution (with Ca²⁺/Mg²⁺) were added. Analysis of the treated cells was performed with an Olympus ⁵ IX81 inverted fluorescence microscope.

Quantitative studies on the cellular uptake of NRuploaded PVOH-*b*-PNVCL crosslinked nanogels within MEL-5 cells were conducted with a FACScan fluorescence-activated cell sorter (FACS canto II,

- ¹⁰ Becton-Dickinson). MEL-5 cells internalized with the NR-uploaded crosslinked nanogels were obtained according to the above-mentioned protocol. After the cells were detached with trypsin (0.2 *vol.*%)/PBS (Ca²⁺/Mg²⁺ free) buffer solution, centrifuged (1,300)
- ¹⁵ rpm, 5 min), and re-dispersed in fresh PBS (Ca^{2+}/Mg^{2+} free) buffer solution. 400 µL of the treated MEL-5 cells suspension (5 × 10⁵ cells/mL) were used for the FACS measurement. Fluorescence intensities and the percentage of cell-associated fluorescence were
- ²⁰ determined by using the CellQuest software. The ratio of the fluorescence intensity per 10,000 treated cells to that of 10,000 untreated cells was expressed as mean fluorescence intensity (MFI). The bar graphs in the figures represent mean values (± standard deviation) ²⁵ from three independent experiments.

Statistical analysis

Cell culture experiments were performed in triplicate. Results were all presented as mean value (\pm standard deviation). Statistical analyses of the data were

³⁰ performed using the unpaired and two-tailed Student's *t*-test. Statistical significance was determined at p < 0.05.

Characterization

- *Raman spectra* of the PVOH-*b*-PNVCL crosslinked ³⁵ nanogels samples and the parent PVOH-*b*-PNVCL block copolymers after freeze-drying were recorded using a LabRam spectrometer, equipped with an Olympus confocal microscope and a liquid nitrogencooled open-electrode detector (1024×256 CCD). An
- ⁴⁰ argon-ion laser with emission wavelength at 514.5 nm and power of 100 mW were used. All measurements were integrated within 50 s.

Dynamic light scattering (DLS) measurements were carried out with a Malvern Instrument Nano-ZS, ⁴⁵ which was equipped with a He-Ne laser ($\lambda = 663$ nm)

- and scattering angle of 90°. The correlation function was analysed via the CONTIN method, and D_h was determined by using the Stokes-Einstein equation. The averaged D_h was obtained from three different runs.
- ⁵⁰ Standard deviation was used to evaluate the size polydispersity index (PDI). Every measurement was carried out with a PVOH-*b*-PNVCL crosslinked nanogels or the parent PVOH-*b*-PNVCL polymer solution with a fixed concentration of 0.05 *wt*.%.
- ⁵⁵ *Transmission electron microscopy (TEM)* was used to measure the size of the PVOH-*b*-PNVCL crosslinked nanogels and analyse their morphology with a Philips CM-100 microscope. A drop of the

nanogels solution was placed onto a carbon-coated ⁶⁰ copper grid and left to dry under air before observation. The size distribution and average size was obtained by sampling *ca*. 100 particles on the TEM images.

Scanning electron microscopy (SEM) was used to study the surface of the PVOH-*b*-PNVCL crosslinked nanogels with a JSM 840A microscope at 4 kV in high vacuum conditions. A drop of nanogels solution (0.05 *wt.*%) was deposited on a glass substrate, and dried overnight at RT under air. Then the sample was sputtered with gold in a cathode evaporator under argon atmosphere before observation.

Turbidimetry measurement of the PVOH-*b*-PNVCL crosslinked nanogels or the parent PVOH-*b*-PNVCL polymer solutions (0.05 *wt.*%) was carried out with a

- ⁷⁵ Hitachi U-3300 spectrophotometer from 25 to 50°C at a heating rate of 1°C/min. Transmittance at 700 nm was plotted against the temperature, and LCST was defined as the temperature where transmittance started to decrease.
- ⁸⁰ ¹*H* Nuclear magnetic resonance (NMR) spectra of the PVOH-*b*-PNVCL DCL nanogels or parent PVOH*b*-PNVCL polymer were recorded with a 250 MHz Bruker spectrometer in DMSO- d_6 at 353 K.
- *Fluorescence spectra* of the NR-loaded PVOH-*b*-⁸⁵ PNVCL crosslinked nanogels solution or NR solutions were recorded at 37°C using a LS-55 Perkin Elmer fluorometer at emission wavelength of 595 nm (exciting wavelength of 485 nm). For each measurement, the nanogels concentration was fixed at ⁹⁰ 0.05 *wt.*%.



Scheme 1 Schematic illustration of the preparation, dissociation of PVOH*b*-PNVCL crosslinked nanogels, loading of Nile red and redox-triggered release behaviours (LCST: lower critical solution temperature, VPTT: ⁹⁵ volume phase transition temperature)

Results and discussion

Preparation of the crosslinked nanogels

Similarly to PNIPAm, PNVCL homopolymer could also dissolve in cold and dilute aqueous medium; however, it becomes insoluble above *ca*. 32°C due to its thermo-induced hydrophilic/hydrophobic phase transition around its LCST.⁵ The value of this LCST is highly dependent on the concentration and chain length of PNVCL. In the case of copolymers, the nature, content and block length of the second comonomer might also affect the LCST. Generally, the lower the content, the shorter length of the thermo-⁵ responsive block, the higher the LCST.³⁵⁻³⁷ In order to

- tune the LCST of PNVCL block above the human body temperature (37°C), hydrophilic PVOH block was chosen, and block copolymers of PVOH-*b*-PNVCL were prepared via cobalt-mediated radical
- ¹⁰ polymerization^{29,38°} following our previous report.³⁰ Briefly, a poly(vinyl acetate) macroinitiator endcapped with Co(acac)₂ was prepared in the bulk using an alkyl-Co(acac)₂ initiator at 40°C. Then, the polymerization of *N*-vinylcaprolactam (NVCL)
- ¹⁵ monomers was initiated by this macroinitiator in DMF at 40°C to synthesize PVAc-*b*-PNVCL copolymers. Methanolysis of the PVAc block in the presence of potassium hydroxide led to the corresponding PVOH*b*-PNVCL block copolymers.
- As reported in our previous work,³⁰ above the LCST of the PNVCL block, the collapsed hydrophobic PNVCL block formed the core of the thermo-induced micelles, while the PVOH block formed the hydrophilic corona. However, these physically
- ²⁵ assembled micelles were not stable against heating, and inter-micellar agglomeration was observed when the temperature was further increased above the LCST. Furthermore, dissociation into unimers occurred when temperature was decreased below the
- ³⁰ LCST. Herein, 3,3-dithiodipropionic acid (DPA; Scheme 1) is used to crosslink the PVOH corona above the LCST. And after cooling down below the VPTT, the PNVCL block becomes hydrophilic, and nanogels are obtained due to the presence of crosslinking.
- ³⁵ Additionally, the presence of disulfide bonds in the crosslinker also makes it possible to de-crosslink the

nanogels with a reducing agent, such as dithiothreitol (DTT). Thus, if some drugs are loaded within the crosslinked nanogels, upon the DTT-treatment below ⁴⁰ the LCST of PNVCL blocks, the crosslinked nanogels could dissociate into unimers, thereby triggered release is achieved (Scheme 1). However, upon the DTT-treatment above the LCST of PNVCL blocks, the micellar structures would remain intact, and the drugs 45 could be still retained within the micellar structure, even with the crosslinking structures are dissociated. Here, two different PVOH-b-PNVCL copolymers, one with LCST at human body temperature $(37^{\circ}C)$ and the other above it (41°C), are used (parameters listed in ⁵⁰ Table.1), in order to exploit the effect of LCST of the PVOH-b-PNVCL copolymers on the subsequent redox-triggered release behaviours.

After purification of the PVOH-*b*-PNVCL crosslinked nanogels via dialysis, Raman spectroscopy ⁵⁵ was used to confirm the crosslinking reaction with the presence of the S-S stretching vibration at 514 cm⁻¹, which is typical for the disulfide bonds in the crosslinker (Fig. 1). Morphology of the crosslinked nanogels was studied with TEM, and approximately ⁶⁰ spherical crosslinked nanogels were observed, within the size range of 45 ~ 95 and 70 ~ 110 nm for AM01 (Fig. 2a) and AM02 (Fig. 2b), respectively. The morphology was also confirmed with SEM technique, as shown in the representative SEM images of sample

⁶⁵ AM01 (See ESI, Fig. S1a and S1b[†]). Dynamic light scattering (DLS) measurements allowed to determine the hydrodynamic diameters of the nanogels at 25°C, which are 280 nm (PDI = 0.17) and 460 nm (DPI = 0.21) for AM01 and AM02, respectively. The larger ⁷⁰ size observed for AM02 than AM01 could be attributed to longer

 Table 1 Macromolecular parameters of PVOH-b-PNVCL used in this study, and characteristics of the corresponding crosslinked nanogels, loaded or not with Nile Red (NR)

Sample	PVOH- <i>b</i> -PNVCL			crosslinked nanogels at 25 °C		NR@crosslinked nanogels at 25°C	
	structure	PDI	LCST ^a / °C	$D_{ m h}$ / nm	PDI	$D_{ m h}$ / nm	PDI
AM01	PVOH ₁₈₀ -b-PNVCL ₁₁₀	1.06	41	280	0.17	300	0.22
AM02	PVOH ₂₂₆ -b-PNVCL ₄₉₄	1.10	37	460	0.21	490	0.26
AM02	PVOH ₂₂₆ - <i>b</i> -PNVCL ₄₉₄	1.10	37	460	0.21	490	0.26

^a LCST was detected via the turbidimetry measurement (1°C/min, 0.05 wt.%), and defined as the temperature where transmittance started to decrease.

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Fig. 1 Raman spectra of $PVOH_{180}$ -*b*-PNVCL₁₁₀ copolymer, crosslinked (CL) nanogels AM01, de-crosslinked (DCL) nanogels and re-crosslinked (RCL) nanogels.

- ⁵ chain length, especially the PNVCL block in their parent polymers. The large difference between sizes from DLS and TEM analyses results from the fact that TEM images were taken when the crosslinked nanogels were in a dry and collapsed state, while ¹⁰ aqueous and swelled states for DLS analysis.
- Thermo-responsiveness of the PVOH-*b*-PNVCL crosslinked nanogels and the parent block copolymers were evaluated and compared by turbidimetry. In Fig. 3a, the transmittance of the two aqueous solutions
- ¹⁵ (0.05 *wt.*% of PVOH₁₈₀-*b*-PNVCL₁₁₀ or of the corresponding crosslinked nanogels AM01) at 700 nm was plotted as a function of temperature from 25 to 50°C. In the case of PVOH₁₈₀-*b*-PNVCL₁₁₀, the aqueous solution became milky-white and the
- ²⁰ transmittance dropped sharply to zero when the temperature was increased to *ca*. 41°C, higher than the LCST of PNVCL homopolymer of similar molar mass (*ca*. 32°C), in agreement with the theory that introduction of another hydrophilic block results in a
- ²⁵ higher LCST.^{28,29} However, for the corresponding crosslinked nanogels AM01 solution (0.05 *wt.*%), negligible decrease in transmittance was observed even above 44°C. This could be attributed to the restricted mobility of the PNVCL block after
- ³⁰ crosslinking. The same phenomenon was also detected for crosslinked nanogels AM02 made of the PVOH₂₂₆*b*-PNVCL₄₉₄ copolymer with LCST of 37°C (See ESI, Fig. S2a[†]).



Fig. 2 TEM images of the crosslinked nanogels AM01 (a) and AM02 (b) (scale bar: 200 nm).

DLS was used as another method to study the ⁴⁰ thermo-responsiveness and stability against heating of the PVOH-*b*-PNVCL crosslinked nanogels. Here, $D_{\rm h}$ and PDI of the PVOH₁₈₀-*b*-PNVCL₁₁₀ polymer solution and the corresponding AM01 nanogels solution (0.05 wt.%) was recorded under different 45 temperatures. As shown in Fig. 3b, particles were detected below the VPTT in the nanogels solution, but none for the polymer solution. Upon further heating, thermo-induced micelles could be detected above 39°C for the polymer solution. However, unlike the ⁵⁰ polymer solution, neither inter-micellar aggregation nor increase in D_h , but slight decrease in D_h was observed for the crosslinked nanogels when temperature increased further, indicating a superior resistance of the crosslinked nanogels to inter-particles ⁵⁵ agglomeration above the VPTT after crosslinking. Moreover, the same behaviour was observed for the aqueous solution of PVOH₂₂₆-b-PNVCL₄₉₄ and its corresponding crosslinked nanogels AM02 (See ESI, Fig. S2b[†]).

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Fig. 3 Dependence of transmittance at 700 nm on temperature for the PVOH₁₈₀-*b*-PNVCL₁₁₀ solution (solid) and crosslinked (CL) nanogels 5 AM01 aqueous solution (open) (0.05 *wt*.%) (a), and plotting of D_h with size distribution against temperature for the PVOH₁₈₀-*b*-PNVCL₁₁₀ (solid) and crosslinked (CL) nanogels AM01 aqueous solution (open) (0.05 *wt*.%) during heating and cooling procedures (b). The solid lines just serve as eye guidance.

- ¹⁰ It is important to note that the average sizes for the non-crosslinked and crosslinked nanogels (AM01) at 50° C were found to be similar: 240 nm (PDI = 0.04) against 250 nm (PDI = 0.06)) in the size distribution diagrams in Fig. 4. The same observation was made on
- ¹⁵ the scattering intensities, which are proportional to the concentration of crosslinked nanogels. Moreover, for the crosslinked nanogels, after cooling down to 25°C from the shell crosslinked micelles (240 nm) at 50°C, the size distribution diagram slightly shifted to the
- ²⁰ right (290 nm), due to the phase transition and rearrangement of PNVCL block below the VPTT. The presence of nanogels below LCST of the PNVCL blocks could evidence a robust crosslinking within the as-prepared nanogels, compared with unimers ²⁵ obtained from the polymer solution upon cooling from
- 50 to 25°C. On the other side, for an idealist nanogelsbased vehicles targeted for DDS application, it is indispensable to possess a short-term stability but also a long-term stability, and a slight change in size
- ³⁰ distribution diagram was observed after 3-month storage at room temperature for the AM01sample (See ESI, Fig. S3[†]).



Fig. 4 Size distribution diagrams of the crosslinked nanogels AM01 ³⁵ aqueous solution (0.05 *wt.*%) under different conditions from DLS analysis: non-crosslinked nanogels (polymer solution) at 50°C, crosslinked (CL) nanogels at 25 and 50°C, de-crosslinked (DCL) nanogels at 25°C, and re-crosslinked (RCL) nanogels at 25°C. The solid lines just serve as eye guidance.

40 Stability of the nanogels and reversibility of the crosslinking

It is of great importance for drug vehicles to remain stable during the delivery so that they can efficiently trap the drug payloads until they arrive to the desirable sites. Studies on the stability of crosslinked micelles or 45 nanogels against different solvents were previously reported to evaluate their stability as DDS.^{19,39-41} Herein, the stability of the crosslinked nanogels AM01 against diluting was studied by following the change in $D_{\rm h}$ when diluted with de-ionized water below the 50 VPTT; while studies on the swelling process were conducted by mixing equal volume of the crosslinked nanogels aqueous solution (0.05 wt.%) and de-ionized water. Change in D_h and PDI was measured by DLS and plotted against the swelling period (Fig. 5a). 55 Similar to other crosslinked systems, 39,40 slight and lagged swelling was observed against water dilution, indicating a good stability against swelling due to the presence of crosslinking. Additionally, the effect of dilution times on the swelling behaviour of AM01 was ⁶⁰ also investigated with the size distribution diagrams recorded in Fig. 5b. For each dilution time, the mixture was kept for another one hour to ensure the swelling equilibrium was reached. Slight right-shift of the size distribution patterns was observed with an increase in 65 average size from 290 to 360 nm (1/4 vol. dilution), indicating the crosslinked nanogels experienced a relative mild swelling. Moreover, the size distribution becomes broader, and the swelling was restrained upon further dilution. All these results indicate a ⁷⁰ neither compact nor rigid crosslinking is accomplished for the as-prepared nanogels; and this slight swelling against dilution is critical to the success of zero premature drug release upon intravenous injection, as compared to those of non-crosslinked micelle systems.



Fig. 5 Dependence of D_h and PDI of the crosslinked nanogels AM01 aqueous solution (0.05 *wt.*%) on the swelling period after equal-volume ⁵ water was added (a), normalized size distribution diagrams of the nanogels AM01 aqueous solution (0.05 *wt.*%) under different dilution times (nanogels solution/H₂O, *vol.*) at 25°C (b). The solid lines just serve as eye guidance.

As far as we know, the disulfide bonds could be easily ¹⁰ dissociated upon exposure to some reductive reagents, such as glutathione or dithiothreitol (DTT) under a mild basic condition, through the well-established thiol-disulfide exchange reaction.^{4,6} Although the concentration of glutathione, which is naturally ¹⁵ present in the cytoplasm, is very low (mM-scale), it is still high enough to cleave the disulfide bonds.^{17,42} As previously reported, the most favourable reductive environment for DTT is under basic conditions.⁴³ Herein, the stabilities of the crosslinked nanogels ²⁰ AM01 against different DTT (10 mM) buffer solutions at pH values of 7.4 (serum condition), 8.5 (pancreatic condition) and 4.5 (lysosome condition) were also studied by DLS and summarized in Fig. 6a. As

- expected, the amount of nanogels (qualitatively probed ²⁵ by the intensity of the scattered light) decreased much more rapidly at pH of 8.5 than acidic conditions. In contrast, in the absence of DTT, nanogels remained stable over the experiment period (See ESI, Fig. S4a and S4b†). Fig. 6b summarizes the variation of *D*_h, PDI ³⁰ and scattering intensity at different period of the DTT-
- treatment (10 Mm DTT, pH 8.5 and 37°C). It was found that D_h increased from 290 to 1850 nm (size

distribution diagram in Fig 4) while scattering intensity decreased from 5.2 to 0.5 after 24-h DTT ³⁵ treatment. Very few nanogels might be left after the DTT-treatment, which may be explained by that disulfide bonds were not completely cleaved and/or the re-oxidation of some thiol groups into disulfide bonds occurred during the sampling or dialysis 40 process. Furthermore, similar to the report of Kataoka,^{17,44} due to the non-uniform cleavage of disulfide bonds, the crosslinked nanogels might experience a dramatic swelling, and here an increase in PDI was also observed from 0.2 to 0.8, which was ⁴⁵ also evidenced in the size distribution diagram in Fig. 4. Moreover, presence of the peak in the range of $1 \sim$ 2 nm for DCL nanogels at 25°C could be ascribed to free unimers after de-crosslinking. the The dissociation of the crosslinked nanogels was also ⁵⁰ confirmed by the TEM image of DCL nanogels samples after 24-h DTT treatment. In fact, it took us a long time to find nanogels particles on the TEM grid, and a particle with large size of ca. 500 nm was presented in ESI, Fig. S5a[†], in agreement with the size ss distribution of DCL nanogels in Fig. 4 and $D_{\rm h}$ value in Fig. 6b. However, when taking the number-averaged distribution diagrams into consideration, as shown in ESI, Fig. S5b^{\dagger}, strong signals in the range of 1 ~ 2 nm was detected for DCL nanogels, which could be 60 assigned to the presence of free macromolecules, compared with the signals appearing in the size range of $100 \sim 300$ nm for the crosslinked nanogels. These results would corroborate that almost all the nanogels were disintegrated into unimers, even with very few 65 swelling nanogels left. The DCL nanogels were then analysed with ¹H NMR spectrometer. As shown in ESI, Fig. S5c[†], compared with parent PVOH-b-PNVCL copolymer, new proton signals from the pendant -(O)COCH2CH2SH group could be detected, $_{70}$ and a DPA conversion of *ca*. 10% was roughly estimated.





Fig. 6 Evolution of scattering intensity of the crosslinked nanogels AM01 aqueous solution (0.05 *wt.*%) during the DTT-treatment (10 mM) at different pH values at 37°C (a), and plotting of scattering intensity, D_h and DTM is the DTT of the transformation of the DTT of the DTT of the transformation of the DTT of

⁵ PDI against the DTT-treatment period (10 mM, pH 8.5) for the crosslinked nanogels AM01 aqueous solution (0.05 wt.%); the solid lines just serve as eye guidance.

Additionally, it is of interest to note that DCL nanogels containing thiol groups still retain the ¹⁰ thermo-responsive character. As shown in Fig. 4, upon heating, thermo-induced micelles were observed again within the DCL nanogels solution (0.05 wt.%) at 50°C, and a slightly larger D_h (280 nm, PDI 0.11) was observed, compared with that for the physically-15 assembled micelles (non-CL nanogels, 240 nm, PDI 0.05). This difference might be attributed to the presence of thiol side-groups along the PVOH block after DTT-treatment, which is expected to affect the macromolecular chain hydrophilicity and 20 configuration of the PVOH block, according to a previous report.⁴⁵ Moreover, thiol groups were reported to be easily oxidized into disulfide bonds by some oxidative reagents, such as Fe^{3+} , hydrogen peroxide, oxygen, *etc.*^{46,47} Herein, the reversibility of

- ²⁵ the crosslinking was also tested by adding H₂O₂ solution (30 *wt.*%) into the solution of DCL nanogels at 50°C (0.05 *wt.*%). Nanogels particles were detected again by DLS analysis with average D_h of 380 nm (PDI 0.28) at 25°C (Fig. 4), a little bit larger than the former
- ³⁰ crosslinked nanogels (*D*_h 280 nm, PDI 0.17), which might be attributed to the lower crosslinking degree upon re-crosslinking, thereby higher swelling after cooling down below the VPTT. Furthermore, TEM image (See ESI, Fig. S5d†) and Raman spectra (Fig. 1, ³⁵ S-S bonds) also evidenced the formation of re-
- crosslinked (RCL) nanogels, suggesting reversibility of the crosslinking.

Model studies on guest loading of Nile red and triggered release behaviours

- ⁴⁰ The reversibly crosslinked nanostructures could impart the those micelles or nanogels with great potential as DDS, since they are capable of effectively trapping the drug payloads within the microenvironment, while triggered release could be
- ⁴⁵ accomplished by the external stimuli.^{7,8,36} To explore the potential of PVOH-*b*-PNVCL reversiblycrosslinked nanogels as DDS, Nile red, a hydrophobic

dye, was chosen as a model drug and loaded into the hydrophobic PNVCL domain above the LCST ⁵⁰ (Scheme 1). It has been reported that fluorescence emission of NR is negligible in aqueous solution due to its weak solubility (< 1 μ g/mL, 25°C); however, it increases substantially in hydrophobic а environment.⁴¹ Stable nanogels aqueous dispersion 55 with red luminance was obtained after the loading of NR (See ESI, Fig. S6b[†]), while transparent solution without NR loaded was observed for crosslinked nanogels solution (See ESI, Fig. S6a[†]). Spherical nanogels were observed again from the TEM image of 60 the NR-loaded crosslinked nanogels AM01 (See ESI, Fig. S6 c^{\dagger}), and a slight increase in $D_{\rm h}$ was observed from 290 to 300 nm for NR-loaded AM01, due to the presence of NR (See ESI, Fig. S7[†]). The drug loading capacity (mass ratio of NR loaded to nanogels) was 65 spectrophotometrically estimated to be ca. 1.9 wt.% and drug loading efficiency (mass ratio of NR loaded to NR fed) of 48%, comparable to the loading capability of another disulfide-crosslinked nanogels as DDS, as reported by Du and Li.²²

Release profiles of NR from the NR-loaded PVOHb-PNVCL nanogels were traced by following the change in NR fluorescence intensity over a period of 24 h under different conditions. Fig. 7a shows the typical release profiles of NR from AM01 at 37°C with 75 or without 10-mM DTT at different pH values. It was found that, without DTT, release below 8% was detected over this period in spite of different pH values, indicating a stable trapping of NR within the crosslinked nanogels. However, in the presence of 10-NDTT

- ⁸⁰ mM DTT, a release of *ca*. 72% at pH 7.4 and *ca*. 80% at pH 8.5 can be accomplished after 24-h release, while only *ca*. 50% at pH 4.5 was obtained. This is in agreement with preferable cleavage of disulfide bonds under basic conditions, as revealed in Fig. 6a. It might,
- ⁸⁵ however, be conjectured that the different release behaviours result from the different solubility of NR in those buffers. To justify or deny this hypothesis, the solubility of NR in different buffers was checked by dispersing 1 mg of NR in 1 mL of buffer solutions with
- ⁹⁰ pH of 4.5 (NaAc/HAc), 7.4 (PBS) and 8.5 (PBS), respectively. After removing the precipitated NR, the supernatant solution was checked with a fluorometer, and fluorescence emission intensity at 595 nm (Ex: 485 nm) was 237, 251 and 266, respectively, while for
- ⁹⁵ the NR-loaded crosslinked nanogels AM01, the fluorescence intensity was higher than 25,000. This confirmed that the solubility of NR in water was not affected by pH, in agreement with the previous report.⁴² This time-dependent release behaviour
 ¹⁰⁰ strongly supports that the drug release was indeed resulted from cleavage of disulfide bonds, rather than the effect from diffusion, osmotic pressure, or even improved solubility under different pH values, *etc.* Furthermore, the incomplete release even in basic

dissociation of the nanogels and unavoidable oxidation of thiol groups to disulfide bonds by ambient O_2 , as some nanogels could still be detected in Fig. 4 and ESI, Fig. S5a⁺.

- ⁵ To elucidate the effect of the composition as well as LCST of the PVOH-*b*-PNVCL copolymers on the release behaviours, NR was also attempted to be loaded within the nanogels AM02, which were prepared from PVOH₂₂₆-*b*-PNVCL₄₉₄ copolymer with
- ¹⁰ LCST of 37°C. A higher loading capacity (2.5 *wt*.%) and efficiency (62 %) of NR were obtained above the LCST, due to the larger hydrophobic PNVCL domains, as well as larger size (D_h of 460 nm). The release behaviours were also followed in the presence
- ¹⁵ or not of DTT (10 mM, pH 8.5). As shown in Fig. 7b, similarly to the release behaviours of AM01, very small amount of NR was released in the absence of DTT. However, as expected, the release was accelerated when exposed to DTT. It should be noted
- ²⁰ that this release was very low (36%) after 24-h DTT treatment, compared to 80% for AM01 in Fig. 7a under the same condition. To explain the difference between these two release profiles, DLS was used to check the size distribution of the NR-loaded crosslinked
- ²⁵ nanogels solutions at 37°C after 24-h DTT treatment, with the released NR removed. As shown in ESI, Fig. S7a†, a sharp decrease in scattering intensity (proportional to the amount of nanogels) and wide size distribution was detected for AM01 after 24-h DTT
 ²⁰ treatment, similar to the size distribution profile of
- ³⁰ treatment, similar to the size distribution prome of AM01 DCL nanogels (Fig. 4) after 24-h 10-mM DTT treatment. However, the amount of nanogels seems to be not affected too much for AM02 (See ESI, Fig. S7b[†]). It is probably because of the lower LCST of
- ³⁵ 37°C for PVOH₂₂₆-*b*-PNVCL₄₉₄ copolymer, which resulted in the intact micellar structure at 37°C, even after the cleavage of crosslinking structures. The micellar structures at 37°C were also evidenced by DLS in the thermo-responsiveness studies of
- ⁴⁰ PVOH₂₂₆-*b*-PNVCL₄₉₄ (See ESI, Fig. S2[†]). Therefore, for the nanogels with LCST below or near 37°C, the remaining NR was still trapped within the nanogels, resulting in a retarded release even after the decrosslinking (Scheme 1).



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Fig. 7 Plotting of cumulative release *vs.* release time of NR from the NR-loaded PVOH-*b*-PNVCL crosslinked nanogels AM01 within buffers solutions with different pH values, with or without 10-mM DTT at 37°C ⁵⁰ (a); and release profiles of NR from NR-loaded PVOH-*b*-PNVCL crosslinked nanogels AM02 at 37°C at pH of 8.5 with or without 10-mM DTT (b). The solid lines just serve as eye guidance.

Cytotoxicity assessment and cellular uptake of the crosslinked nanogels

55 Covalently-crosslinked micelles or nanogels exhibit improved stability compared to those non-crosslinked micelles during the in vivo delivery, leading to minimal premature release if used for DDS.8 However, the chemical crosslinking also raises ⁶⁰ increasing concerns on the potential toxicity of those covalent crosslinking residuals or the unexpected side effects with drugs loaded during the chemical crosslinking reaction. Cytotoxicity of the PVOH-b-PNVCL crosslinked nanogels against mouse 65 fibroblast-like L929 cell line was evaluated via the MTS assay. To exclude the interference of PVOH-b-PNVCL nanogels themselves on the absorbance of formazan product at 490 nm, we recorded the UV/vis absorption spectra of the parent copolymer and the $_{70}$ resultant nanogels solution (0.1 *wt*.%) in ESI, Fig. S8[†], and the absorbance at 490 nm is both below 0.005 (a.u.). Furthermore, we also measured the UV/vis absorbance of the mixture of 100 µL of nanogels solution in PBS buffer (0.1 wt.%) and 20 µL of MTS 75 at 490 nm, and an averaged value of ca. 0.008 from five independent samples, comparing to the value *ca*. 0.554 for those untreated cells. Thus, it justifies that the use of MTS assay to evaluate the PVOH-b-PNVCL nanogels was feasible and presence of PVOH-b-⁸⁰ PNVCL nanogels will not disturb the absorbance of the final formazan product. The cells were treated with crosslinked nanogels AM01 and AM02 with different incubation concentrations for different periods, while non-treated cells were taken as a control (100% 85 viability). As shown in Fig. 8a and 8b, both two nanogels exhibit high cell viability over 85%, even after 48-h incubation even with concentrated nanogels solution (2 mg/mL). The slight effect on the cell viability and cell proliferation capability might suggest ⁹⁰ low cytotoxicity for these crosslinked nanogels, as well as the crosslinking nanostructures.



Fig. 8 Cytotoxicity profiles of the PVOH-*b*-PNVCL crosslinked nanogels AM01 and AM02 against the mouse fibroblast-like L929 cell line as a 5 function of nanogels concentration after 24-h (a) and 48-h incubation (b), respectively; percentage cell viabilities of the L929 cells were expressed relatively to the un-treated cells (control, 100% viability). Results are presented as mean value \pm standard deviation (n = 5).

Generally, the enhanced efficiency of disease ¹⁰ treatment could be achieved via higher drug release efficiency and/or efficient uptake of the drug-loaded DDS. Here, the cellular uptake of the NR-loaded crosslinked nanogels AM01 into the human melanoma MEL-5 cells could be directly visualized by ¹⁵ fluorescence microscopy (Fig. 9a), with NR as a fluorescence probe. Red fluorescence could be observed only in the cytoplasm of MEL-5 cells other than nuclei (blue) after 24-h incubation with the NRloaded PVOH-b-PNVCL crosslinked nanogels ²⁰ (AM01, 0.25 mg/mL), while the non-treated MEL-5 cells did not exhibit any red fluorescence of Nile red (See ESI, Fig. S9[†]). Fluorescence-activated cell sorting (FACS) technique was also used to characterize the treated MEL-5 cells, while un-treated 25 cells were taken as blank. It is obvious to see two totally different cell populations in Fig. 9b-1 and Fig. 9b-2, with a big shift in the histograms in Fig. 9b-3, and also sharp increase in fluorescence intensity, as listed in Fig. 9b-4. These FACS results also ³⁰ corroborate the cellular uptake of the crosslinked nanogels, which is a prerequisite for the intracellular DDS. Furthermore, quantitative studies on the cellular uptake were carried out with the FACS results. Mean fluorescence intensity (MFI), which was denoted as

³⁵ the ratio of fluorescence intensity per 10,000 treated

cells to that of 10,000 un-treated cells, was used as an indirect measure to evaluate the cellular uptake efficiency. This approach has also been used to assess the uptake of some drugs⁵⁰ and gene delivery ⁴⁰ vehicles,⁵¹ *etc.* Here, MFI was plotted against the incubation period as well as incubation concentration in Fig. 9c-1 and Fig. 9c-2, respectively. As shown, a faster increase in MFI was observed within the first 15 h, while a slight increase afterward. A dose-dependent ⁴⁵ cellular uptake was also detected when the incubation concentration of crosslinked nanogels was increased, suggesting the possibility of manipulation of cellular uptake efficiency of the PVOH-*b*-PNVCL crosslinked nanogels via control over the incubation concentration ⁵⁰ or/and incubation period.



55 Fig. 9 (a): Fluorescence microscopic images of the treated MEL-5 cells after 24-h incubation with the NR-loaded PVOH-b-PNVCL crosslinked nanogels AM01 (0.25 mg/mL): (1) nuclei stained with DAPI (blue), (2) fluorescence pattern of NR (red), (3) contrast field pattern, (4) merged images of (1), (2) and (3), scale bar: 100 μm; (b): FACS diagrams of (1) 60 untreated MEL-5 cells, (2) treated MEL-5 cells after 24-h incubation with

NR-loaded crosslinked nanogels AM01 (0.25 mg/mL), (3) plotting of the number of cells (counts on *y*-axis) against the log of fluorescence intensity (Nile Red-A on *x*-axis) and (4) FACS analysis results; (c): (c-1) dependence of the MFI of the treated MEL-5 cells on incubation period

⁵ after incubation with NR-loaded crosslinked nanogels AM01 (3, 6, 15 and 24 h, 0.25 mg/mL), and (c-2) dependence of the MFI of the treated MEL-5 cells on the incubation concentration after 15-h incubation with NR-loaded crosslinked nanogels AM01 (0.1, 0.25, 0.5 and 1.0 g/L) (* = P<0.05, ** = P<0.01 by the Student' *t*-test, n = 3).

10 Conclusions

In this study, reversibly-crosslinked thermo- and redox-responsive PVOH-b-PNVCL nanogels were fabricated with disulfide-bearing crosslinking reagent 3,3'-dithiodipropionic acid. Their spherical shape was

- ¹⁵ confirmed by TEM, with Dh of ca. 280 nm (PDI 0.17). Good colloidal stability against heating was confirmed above the VPTT, with a slight shrinkage rather than inter-particles agglomeration. Compared to noncrosslinked micelles, only a slight swelling of the
- ²⁰ crosslinked nanogels was observed against water dilution below the VPTT, which is critical to the success of zero premature drug release upon intravenous injection. However, the crosslinked nanogels can be easily dissociated in a reductive
- ²⁵ environment thanks to the redox-sensitive crosslinking structures, so that the drug release could be triggered intracellularly. Interestingly, the de-crosslinked copolymers (bearing free thiols along the PVOH block) can be crosslinked again by exposure to
- ³⁰ oxidative agent, such as H2O2, above the LCST. Hydrophobic model drug (NR) could be easily loaded into the crosslinked nanogels, while cleavage of the disulfide bonds can effectively trigger the drug release (80% drug release in presence of 10-mM DTT while
- ³⁵ only 8% without DTT in 24 h). This specific redoxresponsive property might also facilitate the release of the loaded drugs within the cytoplasm in response to glutathione (10 mM). In addition, we also disclosed that the use of PVOH-b-PNVCL copolymer exhibiting
- ⁴⁰ a LCST above 37°C was preferable, with a fast release upon exposure to DTT-treatment under physiological condition. Cytotoxicity studies disclosed a low toxicity and good biocompatibility with mouse fibroblast-like L929 cell line for the crosslinked
- ⁴⁵ nanogels. Moreover, the crosslinked nanogels can easily internalize within the human melanoma MEL-5 cells. Incubation concentration- and period-dependent cellular uptake was also detected for these PVOH-b-PNVCL crosslinked nanogels. This kind of ⁵⁰ crosslinked nanogels is thus promising for the
- triggered delivery of hydrophobic drugs for intracellular release.

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65 Notes and references

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† Electronic Supplementary Information (ESI) available: SEM of the 75 crosslinked nanogels AM01, TEM of the de-crosslinked nanogels and recrosslinked nanogels AM01, picture of the crossliniked nanogels AM01 aqueous solution (0.05 wt.%) with or without NR loaded, size distribution diagrams of the NR-loaded crossliniked nanogels AM01 before and after DTT-treatment, UV/vis spectra of the crosslinked nanogels solution (0.1

80 wt.%) and parent PVOH-b-PNVCL copolymer solution (0.1 wt.%), etc. See DOI: 10.1039/b000000x/

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60 Graphical abstract

Reversible crosslinked thermo- and redox-responsive PVOH-*b*-PNVCL nanogels designed for controlled drug release