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Cellulosic materials as biopolymers and supercritical CO$_2$ as a green process: chemistry and applications

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In this review, we describe the use of supercritical CO$_2$ (scCO$_2$) in several cellulose applications. The focus is on different technologies that either exist or are expected to emerge in the near future. The applications are wide from the extraction of hazardous wastes to the cleaning and reuse of paper or production of glucose. To put this topic in context, cellulose chemistry and its interactions with scCO$_2$ are described. The aim of this study was to discuss the new emerging technologies and trends concerning cellulosic materials processed in scCO$_2$ such as cellulose drying to obtain aerogels, foams and other microporous materials, impregnation of cellulose, extraction of highly valuable compounds from plants and metallic residues from treated wood. Especially, in the bio-fuel production field, we address the pre-treatment of cellulose in scCO$_2$ to improve fermentation to ethanol by cellulase enzymes. Other reactions of cellulosic materials such as organic inorganic composites fabrication and de-polymerisation have been considered. Cellulose treatment by scCO$_2$ has been discussed as well. Finally, other applications like deacidification of paper and cellulosic membranes fabrication in scCO$_2$ have been reviewed. Examples of the discussed technologies are included as well.

Keywords: cellulosic materials; supercritical carbon dioxide; biomass materials; green engineering; product design and manufacture; sustainability

1. Introduction and state of the art

Cellulose constitutes the most abundant renewable biopolymer available today. It is widely used in industry and in daily life. Approximately 3.2 million tons of cellulose were used in 2003 for cellulose esters and ether synthesis, and fibres and film fabrication. These materials are used in coatings, laminates and optical films. But the potentialities of this material have not been extensively exploited yet and a bright future for this biopolymer can be predicted due to the exhaustion of fossil resources. New applications of cellulose take advantage of its biocompatibility and the inherent chiral structure in each cellulose sugar unit, giving rise to an enormous pool of possibilities in materials science (Klemm et al. 2005).

The combination of biopolymers such as cellulosic materials and the use of supercritical CO$_2$ (scCO$_2$) as a processing method, are still a relatively new research field. Although there is growing publication activity combining these two topics as shown in Figure 1, the number of reports is still modest. However, the number of publications and patents is expected to grow as biopolymers and green processing methods are gaining an important place in research and development. The unique physical properties associated with scCO$_2$ allow a number of research and application possibilities for chemists, engineers and physicists in fields as vast as polymers science, catalysis, coordination chemistry, and fundamental research. There exist environmental and chemical challenges that can be addressed with this technology that has been a subject of study for at least 20 years.

In this review we describe the use of scCO$_2$ in several cellulose applications. The focus is on the different technologies that either exist, or are expected to emerge in the near future. The applications are wide, from the extraction of hazardous wastes to the cleaning and reuse of paper or production of glucose. To put this topic in context, cellulose chemistry and its interactions with scCO$_2$ are described in particular sections of the text. The main text is dedicated to presenting and discussing new emerging technologies and trends concerning cellulosic materials processed in scCO$_2$, such as cellulose drying to obtain aerogels, foams and other microporous materials, impregnation of cellulose, extraction of highly valuable compounds from plants and metallic residues from treated wood. Especially, in the field of bio-fuel production, we will address the pre-treatment of cellulose in scCO$_2$ to improve fermentation to ethanol by cellulase enzymes. Other reactions of cellulosic materials such as organic—inorganic composites fabrication and de-polymerisation have been considered. Cellulose treatment by scCO$_2$ has been discussed as well. Finally, other applications such as deacidification of paper and cellulosic membrane
fabrication in scCO₂ have been reviewed. Examples of the discussed technologies are included as well.

2. Structure and morphology of celluloses

Cellulose is the most abundant biopolymer on Earth (Siro´ and Plackett 2010), its regeneration occurs rapidly, and cellulose does not represent a direct food resource for humans (Argyropoulos and Xie 2008). Cellulose naturally occurs in wood, hemp and other plant-based materials and serves as the dominant reinforcing material in plant structures. This biopolymer is also synthesised by algae, tunicates, some fungi, invertebrates and certain bacteria belonging to the genera *Acetobacter*, *Agrobacterium*, *Alcaligenes*, *Pseudomonas*, *Rhizobium* or *Sarcina*. Even some amoeba (protozoa, for example, *Dictyostelium discoideum*) can synthesise cellulose. Cellulose production is estimated to be over $7.5 \times 10^{10}$ tonnes per year (Klemm *et al.* 2006, Henriksson and Berglund 2007, Iwamoto *et al.* 2007).

Cellulose was discovered and isolated for the first time in 1838 by Payen. Since its discovery, the chemical and physical properties of cellulose have been extensively investigated. A number of efforts of scientists from very different fields have been dedicated to understanding and controlling its biosynthesis, assembly and structural features. Several reviews about research advances and applications for cellulose have already been written, showing increasing interest in this biopolymer (O’Sullivan 1997, Salmon and Hudson 1997, Eichhorn *et al.* 2001).

Native cellulose (cellulose I) is a high molecular homopolymer of β-1,4-linked anhydro-D-glucose units in which every unit is corkscrewed at $180°$ with respect to its neighbours, and the repeated segment is frequently treated as a dimer of glucose, known as cellobiose. Each cellulose chain possesses a directional chemical asymmetry with respect to the terminus of its molecular axis: one end is a chemical reducing functionality (hemiacetal unit) and the other is a hydroxyl group, known as the non-reducing end (Figure 2; Habibi *et al.* 2010). The number of glucose units or the degree of polymerisation (DP) is up to 20,000, but cellulose types with shorter chains can occur and are mainly found in the primary cell walls. Cellulose chains present a highly ordered structure in crystallites resulting from a 3D intramolecular and intermolecular hydrogen bonding network.
bond (H-bond) network between the hydroxyl groups attached to each polymer chain. Cellulose presents six polymorphs: I, II, IIII, IIIII, IVI and IVII. Native cellulose (cellulose I) has two sub-allomorphs known as Iα and Iβ. Both cellulose I structures adopt parallel configurations but differ in their H-bonding patterns, giving rise to different crystalline structures.

Hemicelluloses are the second most common polysaccharides in nature; they represent about 20–35% of lignocellulosic biomass (Saha 2003). Hemicelluloses are heterogeneous polymers of pentoses (xylose and arabinose), hexoses (mannose, glucose and galactose), and sugar acids. Unlike cellulose, hemicelluloses are not chemically homogeneous. Hardwood hemicelluloses mostly contain xylans, whereas softwood hemicelluloses mostly contain glucomannans (McMillan 1993). Lignocellulosic biomass includes various agricultural residues (straws, hulls, stems, stalks), deciduous and coniferous woods, municipal solid wastes, waste from the pulp and paper industry, and herbaceous energy crops. The composition of these materials varies. The major component is cellulose (35–50%), followed by hemicellulose (20–35%) and lignin (10–25%; Saha 2003).

Chemically modified forms of cellulose include cellulose acetate, obtained by the acetylation of cellulose with acetic anhydride using a catalyst (Malm and Tanghe 1955). This material can then be modified to obtain cellulose acetate butyrate, and cellulose diacetate. Their applications are in textiles, fibres, filtering media, thin-coating films and so on. Ethyl cellulose is a thermoplastic polymer, currently used as thin-film coating material and as a food additive. It is fabricated by treating about two-thirds of the hydroxyl groups of the cellulose molecule with sodium hydroxide and then etherifying with ethyl chloride (Davis et al. 1951). Hydroxyalky celluloses are water-soluble cellulose derivatives, with a delicate balance between hydrophobic and hydrophilic interactions inside the molecule, which provide an associative behaviour of these molecules in water (Porsch et al. 1997). Hydroxypropyl cellulose and hydroxypropyl methylcellulose are natural cellulose ether macromolecules widely used as food additives and as excipients in oral medicaments (Lu et al. 2000). Carboxymethyl celluloses are cellulose derivatives that are used as additives in papermaking, in pharmaceuticals and cosmetics, and in food products. They have good solubility, high chemical stability and are toxicologically innocuous. They have recently been used to produce nanocomposites for biological applications (Nadagouda and Varma 2007).

3. Supercritical CO2
The supercritical domain of a fluid was first identified by Tour (1822). He defined it as a domain in which the liquid and the vapour phases no longer seemed distinguishable. Despite this early discovery, the first technological application of a supercritical fluid arrived 150 years later when Zosel (1978) used scCO2 to extract caffeine from coffee beans. Note that the residuum oil supercritical extraction de-asphalting process in the 1970s was not identified as a supercritical process as propane, the solvent, was actually used in the subcritical state (Gearhart and Garwin 1976). In such processes, once the supercritical domain is reached, an increase in pressure and temperature will not produce any phase change (Figure 3). Critical temperature ($T_c$) and pressure ($P_c$) of CO2 (30.95°C and 72.8 atm) can be easily reached in industrial reactors. The critical values depend crucially on intermolecular forces such as dipole–dipole interactions, hydrogen bonding and London dispersion forces (polarisability). $T_c$ is in general more affected by these forces than $P_c$. The abnormally high critical pressure of CO2 is a result of its strong quadrupole moment (Beckman 2004).

The physical properties of scCO2, such as low viscosity, high diffusivity and the absence of surface tension effects impart high penetrating capability to scCO2 together with good mass and heat transport properties. However, density is the parameter that reveals the properties of scCO2. At densities around those of conventional organic solvents, scCO2 exhibits similar solvent power than typical non-polar solvents such as hexane, benzene or toluene. Diffusivity in scCO2 has been measured and compared with toluene (Niessen and Woelk 2007) showing that scCO2 exhibits diffusivities about five or more times higher than those measured in toluene even if the dissolution capabilities of both solvents are similar. The low viscosity exhibited by scCO2 is the result of the reduced solvent–solvent molecular interactions in CO2. CO2 is non-flammable and is the result of the complete oxidation of organic material, rendering it useful as a safe inert solvent in oxidation and in reactions such as

![Figure 3. $P–T$ phase diagram of a typical compressible fluid. $T_c$, critical temperature; $P_c$, critical pressure.](image-url)
hydrogen peroxide production, where hydrogen and oxygen need to react. ScCO₂ presents rather low dielectric constant values, between 1.1 and 1.5 depending on its density. Indeed, this relatively low value can be disadvantageous when dissolving polar compounds is the target. Despite its inertness, CO₂ is a Lewis acid, reacting readily with strong bases such as amines, alkyl anions and phosphines (West et al. 2001); other compounds reacting with CO₂ are metal alkoxides, metal alkyls and metal hydrides; carbanions readily react with CO₂ to form carboxylates.

4. scCO₂ as a green process and its place in sustainable engineering

In the last 20 years, the number of scientific publications relating scCO₂ to the development of green processes has been increasing faster than for the publications about scCO₂ fundamental research. Indeed, this number has increased proportionally to the increase in environmentally motivated research and technology (Niessen and Woelk 2007).

CO₂ is considered a greenhouse gas; however, most of the CO₂ used in processes today is obtained from naturally occurring deposits or from man-made sources such as effluents from ammonia plants. Indeed, when CO₂ is used confined in a process, it can be considered as sequestered (Beckman 2004) and this statement is even more sound when CO₂ is used as a reactant. However, the sources of the CO₂ used need to be considered when analyzing the global “greenness” of a scCO₂-based process and using CO₂ from a natural deposit is obviously counter productive. The 12 principles of Green Chemistry can be used to assess the sustainability of a process (Anastas and Warner 1998). From these principles, the substitution of common solvents by safer solvents, safer chemicals, and use of renewable raw materials are fulfilled when scCO₂ is employed. However, the use of scCO₂ has some drawbacks because these processes have always operated under pressure (above 50 bar) and designing, building and operating a high-pressure process include higher costs than low-pressure processes because special equipment needs to be used. Also, one must also admit that CO₂ is a relatively weak solvent and this leads to increased quantities of solvent used in the process.

Finally, the economic viability of processes employing scCO₂ must be assessed, as their environmental benefits will never be realised if economic reliability is not demonstrated. However, good designs can become real industrial successes: two examples are coffee decaffeination (Zosel 1978, Prasad et al. 1981) and the scCO₂-based thermoplastic foaming process commercialised by Trexel and developed by the Massachusetts Institute of Technology (Park et al. 2000).

5. ScCO₂–cellulose interactions

Literature on the behaviour of cellulosic materials in contact with scCO₂ has not been very extensive in these last 20 years. However, because of the potential applications in this domain, the need to study both the fundamental and applied aspects of interactions of scCO₂ with cellulosic materials has become crucial, in particular with regard to wood and its components. Understanding the behaviour of compounds, carrying complexity from relatively simple model compounds to complex polymers or multi-component polymeric networks or solutions, is valuable for applications in industries of pulping, paper, and natural, pharmaceutical and cosmetic products (Li and Kiran 1988).

Especially, with regard to material production, it is important to dissolve and process cellulose without degradation (Li et al. 2009a, Pinkert et al. 2009). Cellulose resistance towards dissolution arises from its high crystallinity given by its highly ordered structure (Pinkert et al. 2009). Successful cellulose solvents must possess the ability to compete for the existing intermolecular H-bond interactions in order to separate the polymer chains, resulting in the dissolution of the biopolymer (Feng and Chen 2008). In addition, other requirements for a good cellulose solvent are low viscosity and toxicity, ease of recycling and high thermal stability. Solvent efficiency at low temperatures is required, as cellulose becomes degraded at high temperatures (Pinkert et al. 2010). An extensive study of dissolution and the ease of precipitation of the dissolved fragments of cellulose in supercritical fluids, including scCO₂, has been published by Li and Kiran (1988), analysing the interactions between scCO₂ and cellulosic compounds and wood species. From their extraction results and thermogravimetric analyses, they have shown that no specific interactions occur with scCO₂ alone, but they found that the mixture scCO₂–water exhibits high reactivity towards carbohydrate fractions of wood.

6. Cellulose drying by scCO₂

Cellulose gels have attracted more attention recently as environmentally friendly, biodegradable and biocompatible products from a nearly inexhaustible raw polymeric material such as cellulose. They are particularly useful in the domain of foods, pharmaceuticals, cosmetics, textiles and biomedical sectors due to their wide applications as texture modifiers for controlling rheological properties, gelling agents, thickeners, emulsifiers, stabilisers, coating and packaging films (Klemm et al. 2005).

6.1 Porous materials

Preparation and properties of porous materials from cellulosic materials have recently attracted attention. Most
of these materials have been prepared through freeze-drying of solutions or gels. An alternative way to prepare highly porous solid materials is drying of gels in supercritical conditions. Briefly, a gel of cellulose or cellulose derivative is realised by dissolution in a suitable solvent, then a solvent exchange is formed and the gel is efficiently dried thanks to supercritical carbon dioxide. The supercritical drying technique is particularly advantageous because it allows production of high specific surface area aerogels without any shrinking due to the lack of surface tension of scCO₂, which prevents the structure from collapsing as is the case when a liquid–vapour interface is present when the solvent is conventionally evaporated. The first cellulose aerogels made using scCO₂ were reported by Kistler (1932); however, it is 40 years later that these materials have started to draw attention. Recently, cellulose acetate aerogels prepared from chemically cross-linked cellulose acetate gels dried in scCO₂ have been reported (Tan et al. 2001, Fischer et al. 2006). Fabrication of other cellulose acetate porous materials by drying cellulose acetate gels with scCO₂ is described in a patent by Thies et al. (1996).

Pure cellulose aerogels obtained by drying aqueous solutions of cellulose in NaOH with scCO₂ have also been studied recently (Gavillon and Budtova 2008). The influence of the addition of a surfactant (Simulsol) on the porosity has been studied showing that the higher the concentration of the surfactant, the larger the pore size and the lower the density of the aerogels obtained (Figure 4). Regeneration of these materials using acetic acid and scCO₂ drying has been reported by the same authors (Sescousse and Budtova 2009). In these studies, a solvent exchange of water with acetone or ethanol prior to scCO₂ drying was used to avoid water/scCO₂ immiscibility. By this method, materials of 0.06 g/cm³ have been obtained. Liebner et al. (2007, 2008) have reported the cellulose aerogels produced by scCO₂ drying of regenerated cellulose obtained by solvent exchange of a solution of cellulose in N-methylmorpholine-N-oxide; these materials showed surface areas of 172–284 m²/g. In another study, cellulose aerogels have been prepared by scCO₂ drying of the bacterial cellulose produced by the gram-negative bacterium Gluconacetobacter xylinum, resulting in highly porous dry cellulose aerogels showing a density of 8 mg/cm³ (Figures 5 and 6; Haimer et al. 2010, Liebner et al. 2010). Cellulose gels have been dried in scCO₂ followed by calcination and subsequent doping by platinum nanoparticles, yielding nanostructured highly porous electrocatalysts used for oxygen reduction (Guilminot et al. 2008). Heath and Thielemans (2010) successfully prepared cellulose nanowhiskers, which are rigid rods with a rectangular cross-section with dimensions

Figure 4. SEM micrographs of aerocellulose from five Avicel/NaOH/water gelled solutions (a) without Simusol (b) with 0.5% Simusol and (c) with 1% Simusol. Reprinted with permission from Gavillon and Budtova (2008). Copyright 2008 American Chemical Society.

Figure 5. Different gel states of bacterial cellulose obtained from G. xylinum: aquagel vs. aerogel (left). Due to the extremely low density of the material, electrostatic charge is sufficient to make the aerogel stick to a finger (right). Reproduced with permission from Liebner et al. (2010), Copyright Wiley-VCH Verlag GmbH & Co. KGaA.
between 3 and 20 nm and lengths ranging between 100 nm and several microns. These materials have been dispersed in water under sonication and then solvent exchanged with anhydrous ethanol to obtain alcogels followed by scCO₂ drying to obtain aerogels with densities lower than 0.078 g/cm³ and surface areas of up to 605 m²/g.

6.1.1 Cellulosic membranes

scCO₂ has been used to fabricate cellulose-based membranes obtaining continuous structures characterised by interconnected cells. These materials can be used in applications such as filtration processes and medical applications. Cellulose acetate microporous membranes have been prepared by phase inversion using scCO₂ as an anti-solvent and a solution of cellulose acetate in solvents such as acetone, methyl acetate, 1,3-dioxolane and 2-butanone. The use of scCO₂ allowed the preparation of highly porous membranes without macro-voids despite the observed instantaneous demixing. These studies have shown that the properties of membranes such as porosity can be controlled by temperature and pressure variations. The membranes obtained by this method presented solute rejection coefficients in the microfiltration domain (Matsuyama et al. 2002, Reverchon and Cardea 2004). In all the studies, the anti-solvent precipitation of scCO₂ allowed a control of membrane pore size distributions as parameters such as pressure, temperature and polymer content effect have been studied. Figure 7 shows examples of cellulose acetate membranes obtained with polymer concentrations of 20% and 5% (w/w) in the initial solutions (Reverchon and Cardea 2004).

6.1.2 Cellulose-based foams by scCO₂

Foams are porous structures which present cavities or cells that are not interconnected. These cavities enhance lightness, softness, impact strength and thermal insulating properties. For these reasons, they are widely used in aircraft, automotive, building and packaging industries. The most common foaming technique uses a chemical blowing agent added into the polymer formulation which produces a gas during polymer processing. ScCO₂ has become very attractive for circumventing the use of
chemical blowing products to produce foams. One of the most interesting applications of scCO₂ foaming is in medical applications as scCO₂ can be completely separated from the polymer. Cellulose acetate butyrate foams with a bimodal cell distribution have been produced by using scCO₂ as the blowing agent. Depending on the depressurisation rate, the resulting morphology of the foams has been homogeneous or bimodal with an open cellular structure (Jacobs et al. 2008). Reverchon and Cardea (2007) have reported an increase in cell sizes as pressure decreases during the formation of cellulose acetate foams (Figure 8) explaining this behaviour by the decrease of scCO₂ diffusivity inside the substrate at higher pressures, limiting the contribution of scCO₂ to cell growth.

6.2 Particle design

Particle design is at present a major development of scCO₂ applications (Jung and Perrut 2001). As we have mentioned above, because scCO₂ lacks surface tension effects, no cohesion along the evaporation process occurs, avoiding aggregation of the particles. Ethyl cellulose microparticles have been prepared by Li et al. (2008a) using scCO₂ as the drying medium showing that pressure had a great influence on the size of microparticles. Biocompatible microparticles of ethyl cellulose/methyl cellulose blends have been prepared by scCO₂ anti-solvent precipitation from solutions in dichloromethane, acetone, and dimethylsulphoxide. ScCO₂ density seemed to have high influence on particle diameter (Duarte et al. 2006b). In a study published by Haimer et al. (2008), precipitation and drying of hemicelluloses (xylans and Mannans) from solutions in dimethylsulphoxide/water with scCO₂ have allowed the preparation of spherical particles with a narrow size distribution. The addition of small quantities of water allowed controlling of the particle size and morphology, given that hemicellulose is insoluble in water. On the other hand, batch precipitation yielded large xylan aggregates or sponge-like mannan structures. The semi-continuous mode was revealed to be useful for obtaining small particles.

Wood has been used as a template to produce porous SiO₂ and TiO₂ materials in scCO₂ by impregnating wood with the precursors (tetraethylorthosilicate or titanium butoxide) by using scCO₂ and subsequent hydrolysis. After calcination in air flow, organic components were removed and the porous materials that were obtained have shown the framework of wood templates. It has been shown that porous material properties such as pore size and structure can be adjusted by changing the wood species and operation conditions (Li et al. 2008b).

7. Impregnation of cellulose using scCO₂

The impregnation process can be described by the same thermodynamic and mass principles as used for scCO₂ extraction of compounds from the matrix such as polymers or vegetal matter, since these two processes are the inverse of one another (Shen et al. 2008).

During the polymer impregnation process, it is important to consider the possible evolution of the polymer glass transition temperature ($T_g$). In fact, scCO₂ may act as a plasticiser for polymers and this has been also observed for cellulose-derived polymers used in pharmaceutical applications such as cellulose esters and hydroxyalkylcelluloses (Laksman 2007, Verreck et al. 2007). This plasticisation or swelling reduces the glass transition temperature, impacting many polymer processing steps through phenomena such as viscosity reduction during polymer extrusion and polymer blending or foaming, and changes in morphology due to induced crystallisation (Chow 1980). Also, in processes where high temperature is needed, like hot stage extrusion, the high temperature is needed, like hot stage extrusion.
impregnated urea into cellulose pulp by scCO2, followed by cellulose microspheres have been prepared in scCO2 by (2008). Naproxen-loaded ethylcellulose/methylcellulose from a solution of these two compounds in acetone obtaining the inclusion of particles of the active compound inside the polymeric matrix by gas anti-solvent treatment. Another example is the impregnation of D-panthenol into cellulosic fibres by scCO2 drying (Kraft et al. 2004). A drug release system of indomethacin, which is a poorly water-soluble non-steroidal anti-inflammatory drug, has been studied by Gong et al. (2008). Naproxen-loaded ethylcellulose/methylcellulose microspheres have been prepared in scCO2 by Duarte et al. (2006a) for controlled delivery of this non-steroidal, anti-inflammatory drug. Release profiles of the systems were studied and showed that almost all the drug was released during what is considered to be the gastrointestinal transit time. York and Hanna (1994) described in their patent the use of scCO2 in the impregnation of salmeterol xinafoate in hydroxypropylcellulose from a solution of these two compounds in acetone obtaining the inclusion of particles of the active compound inside the polymeric matrix by gas anti-solvent recrystallisation.

Yin et al. (2007) and Yin and Shen (2007) have impregnated urea into cellulose pulp by scCO2, followed by the esterification of the cellulose to obtain cellulose carbamate, an environmentally friendly material, considered as an interesting alternative to petroleum-based polymers because of its renewable, biocompatible, biodegradable nature and its solubility in conventional solvents.

The use of scCO2 as a solvent for synthetic and natural textile dyeing, which is a poorly water-soluble non-steroidal anti-inflammatory drug. Release profiles of the systems were studied and showed that almost all the drug was released during what is considered to be the gastrointestinal transit time. York and Hanna (1994) described in their patent the use of scCO2 in the impregnation of salmeterol xinafoate in hydroxypropylcellulose from a solution of these two compounds in acetone obtaining the inclusion of particles of the active compound inside the polymeric matrix by gas anti-solvent recrystallisation.

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Cellulose/TiO\textsubscript{2} hybrid materials have been prepared by scCO\textsubscript{2}-assisted adsorption and impregnation onto cellulose fibres with a titania sol which was prepared through a non-hydrolytic sol–gel route (Yu et al. 2008).

8. Extraction

Extraction of natural compounds from cellulosic vegetal matrices has been the most widely studied application of supercritical fluids, in particular using scCO\textsubscript{2}. Mcdonald et al. (1983) have successfully applied scCO\textsubscript{2} extraction to obtain resin and fatty acids from southern pine and wax from Douglas fir bark. Extraction of pine wood with scCO\textsubscript{2} was reported to cause no significant change in microscopic morphology of wood (Ritter and Campbell 1986, 1991). Another study has shown that scCO\textsubscript{2} extraction enhances the permeability of Douglas fir (Demessie et al. 1995).

Lignocellulosic materials can be used to obtain ethanol by fermentation. Two main processes are involved in the conversion: hydrolysis of the cellulose present in the lignocellulosic materials to produce reducing sugars and fermentation of these sugars into ethanol. The main drawbacks of this process are the low yields and high costs, which do not allow obtaining ethanol from lignocellulosic biomass at a competitive cost with current technologies. Feedstock pre-treatment has been recognised as a necessary step to eliminate biomass recalcitrance for microbial and enzymatic hydrolysis. Pre-treatment includes reduction in size and extraction of hemicelluloses and lignine, which interfere with biomass cellulose saccharification. Zhu and Pan (2010) have published a review of conventional pre-treatment technologies (not employing scCO\textsubscript{2}), which estimates the energy consumption of different techniques, showing that issues associated with cellulosic material conversion are still to be addressed.

ScCO\textsubscript{2} extraction has been used as a pre-treatment of lignocellulose prior to cellulose enzymatic hydrolysis. It has been reported that Aspen (hardwood) and southern yellow pine (softwood) pre-treatment with scCO\textsubscript{2} resulted in a significant increase of final sugar yields from the enzymatic hydrolysis of the pre-treated cellulose when moisture was present in the initial material (Kim and Hong 2001). Kiran and Balkan (1994) have reported extractions and delignification of red spruce with mixtures of acetic acid–water–scCO\textsubscript{2}. Sugar cane bagasses and Pinus taeda wood chips had been processed in mixtures of alcohol/scCO\textsubscript{2} showing a delignification extent of 88.4\% and 93.1\%, respectively, for these two cellulosic materials. It has been shown that temperature had a more important influence than pressure on the delignification yield (Pasquini et al. 2005a, 2005b).

An increasing interest in the extraction of high added value substances, such as antioxidants, pharmaceuticals, essential oils and colouring matters has shown in the last 20 years. Useful compounds have been extracted from cellulosic matrices by scCO\textsubscript{2} such as humulones and lupulones from hop resins; oil from sheatnut kernels and Canarium pulp, piperine from ground black pepper, essential oils from ground nutmeg, capsaicine from ground chillies, nicotine, tobacco aroma, caffeine, limonene, menthol and tryglycerides from tobacco, raw coffee, caraway fruits, peppermint leaves and sunflower seeds (Williams 1981, Nkoum et al. 2007). The extraction of tall oil and turpentine from coniferous woods has been developed as well in a patent by Frement (1981). The extraction of natural compounds with scCO\textsubscript{2} has been the subject of several reviews in the past (Moyer 1993, Kerrola 1995, Reverchon 1997, Lang and Wai 2001, Reverchon and De Marco 2006).

For successful extraction, not only has the solubility of the compounds to be extracted and/or of the undesired compounds to be taken into account but mass transfer resistances due to the structure of the raw material and the specific location of the compounds to be extracted can also play a prominent role. The complex interplay between thermodynamics (solubility) and kinetics (mass transfer) has to be understood to properly perform supercritical fluid extraction and propose adequate extrapolation on an industrial scale. Supercritical fluid extraction has been applied to fractionation of the extracts also. Indeed, in many cases, it is not possible to avoid the co-extraction of some compound families. In these cases, it is possible to perform an extraction in successive steps by increasing pressures to obtain the fractional extraction of the soluble compounds contained in the organic matrix, selected by decreasing the solubilities in the supercritical solvent. The aim of this process is to induce the selective precipitation of different compound families as a function of their different solubility in the supercritical fluid. This procedure has been applied in the extraction of essential oils (Reverchon 1992, Reverchon et al. 1994, 1995a, 1995b, 1995c, Reverchon and Porta 1996). Due to the complexity of the materials to be treated and the large variety of compounds that can be extracted, supercritical fluid extraction is far from being exhaustively studied. However, some industrial applications have been already developed such as recycling cellulose esters from the waste from cigarette manufacture (Schiraldi 1994); removing adhesives from cellulose (Hossain and Blaney 1991); extracting terpenes and oils from wood (Fremont 1981); removing lignin from Kraft streams (Avedesian 1985), removal of natural oils from plant matter (Sevenants 1987) and removal of dioxins and dibenzofurans from cellulosic materials from waste paper, newsprint, ledger stock, packaging materials, cartons, boxes, computer printouts, telephone directories, corrugated boards and the like (Hossain and Blaney 1993).
9. Extraction of metals

Wood containing preservatives has been used for a long time for telegraph poles, railway ties and street lighting poles among other applications. Treatment of this wood has become a major concern given that many of the preservatives used are agents containing heavy metals such as copper, chromium, arsenic and so on. Most of the time, treated wood is incinerated causing air, soil and groundwater pollution. Even more, if this wood is recycled, heavy metals content becomes highly dangerous for human health. No effective techniques exist to treat this wood as traditional extraction processes require large quantities of toxic and volatile solvents. ScCO₂ has been proposed as an environmentally friendly solvent to extract metals from treated wood (Takeshita et al. 2000, Takeshita and Sato 2002, Abd El-Fatah et al. 2004, Wang and Chiu 2008). Direct extraction of metal ions by scCO₂ is highly inefficient because of the charge neutralisation requirement and the weak solute–solvent interactions. One suggested approach of extracting metal ions by scCO₂ is to convert the charged species into metal chelates using a chelating agent in the fluid phase (Laintz et al. 1992). In particular, extraction of Hg²⁺ and Au⁺ from cellulose-based matrices has been achieved using bistriazolo-crown ethers as chelating compounds in scCO₂. In this study, extraction efficiencies appeared to be determined by the formation of metal chelates with the ligand at the pH defined by the CO₂–H₂O system (Wang et al. 1995, Wai and Wang 1997). Au⁺ ions have been extracted from cellulose materials by using fluorinated calixarenes as chelating compounds as well (Glennon et al. 1999), the dynamics of chelation of metals with lithium bis(trifluoroethyl) dithiocarbamate from wood fibres in scCO₂ has been studied by Al-Jabari obtaining a high extraction yield (Al-Jabari 2004). Hg²⁺ and CH₃Hg⁺ (methyl mercury ion) have been extracted from cellulose materials using methanol-modified scCO₂ coupled with an in situ derivatisation (Sun et al. 2001). In both studies, extraction of almost 100% has been achieved by varying the operating conditions. On the other hand, scCO₂ extraction of lanthanides and actinides (La³⁺, Eu³⁺ and Lu³⁺) from cellulose matrices by complexation with a fluorinated β-diketones and tributyl phosphate has been studied as a potential application to nuclear waste analysis and management. Efficiencies of extraction have been found to be up to 90%. The same complexing agent was used to extract uranyl ions obtaining 95% when scCO₂ was modified with 5% of methanol (Lin et al. 1993, Lin and Wai 1994).

10. Bio-fuel production

The supplementary and eventual replacement of petroleum fuels with renewable biologically produced fuels has been recognised as a critical goal by both the US Department of Energy and the Biofuels Research Advisory Council of the European Union (Gowen and Fong 2010).

Biomass consists of cellulose, hemicellulose and lignin. Lignocellulose biomass represents a renewable source of raw feedstock for conversion into bio-fuels and chemicals (Rout et al. 2009). According to the World Energy Council, the availability of biomass in the world is 220 billion tonnes per year or 4500 EJ (10¹⁸ J) and its utilisation would lead to a considerable reduction in fossil fuel consumption (Council 2004, Ragauskas et al. 2006).

The different types of biomass differ in their composition. Inorganic compounds (commonly known as ash), are associated with oxygen-, nitrogen- and sulphur-containing functional groups. The hemicellulose, cellulose and lignin content in the biomass determines the theoretical yield of biochemical reactions in bio-fuels production and greatly impacts the economic aspect of the entire process (Rout et al. 2009).

Different methods are currently being used to obtain bio-oil from different types of biomass. Pyrolysis is one of the methods most used and can be applied as slow, normal or fast pyrolysis, depending on the conditions that are used. Many researchers have noted that bio-oil produced by the pyrolysis method contained 25–40% water, which forms an azeotrope with bio-oil. The moisture present in the bio-oil finally affects many of the physicochemical properties. Therefore, the separation of water from bio-oil is one of the objectives for increasing fuel efficiency. Among the different processes, scCO₂ is one of the most advanced processes for the separation of water from bio-oil (Rout et al. 2009).

One of the most specific and versatile routes to produce fuels or other bio-products is to obtain monosaccharides from biomass. These monosaccharides can be converted to fuels or bio-products through fermentation as well as through catalytic processes (Luterbacher et al. 2010). Selectively producing sugars from the lignocellulosic biomass is challenging and typically involves several stages. The ‘pre-treatment’ stage consists of partially extracting the cellulose, hemicellulose and lignin while avoiding the production of unwanted degradation products as they have an inhibitory effect on microorganisms during fermentation leading to decreased yields and thus higher production costs (Persson et al. 2002). On the other hand, efficient enzymatic saccharification of cellulose at low cellulose loadings continues to be a challenge for commercialisation of a process for bioconversion of lignocellulose to ethanol. Currently, effective pre-treatment followed by high enzyme loading is needed to overcome the limitations of complete hydrolysis (Arantes and Saddler 2010). ScCO₂ extraction has been successfully employed to treat a hydrolysate of spruce prior to ethanolic fermentation with Saccharomyces cerevisiae. The extraction provides a clean and concentrated hydrolysate with increased fermentability as well as lowered
concentrations of inhibitors such as phenolics and furan derivatives (Persson et al. 2002).

11. Cellulose reactions and chemical modifications in scCO₂

During the last few years, increasing interest has been shown in the reactions in supercritical solvents. Supercritical solvents can constitute the reactant medium and the same properties that make scCO₂ interesting in the separation and extraction processes and can be used advantageously in reactive systems. Thermodynamic equilibrium can be adjusted by P and T manipulation, which allows control of the yields and reaction rates. Reactions can be performed in combination with separation processes, giving products of high purity. Transport properties are more favourable in the supercritical region as diffusivities are higher and viscosities are lower than in liquids. Solvent effects can be studied by perturbations of the system by small changes in pressure (Munshi and Bhaduri 2009, Skouta 2009).

Over the past 40–50 years, many groups have assessed the ability of carbohydrate-degrading enzymes. Cellulase enzymes process cellulose under certain conditions, cleave glucosides bonds, reduce the degree of cellulose polymerisation and eventually cleave it to soluble, fermentable sugars such as glucose. However, the efficient, rapid and complete enzymatic hydrolysis of lignocellulosic materials using low protein loadings has proven to be one of the major technical and economical bottlenecks in the overall bioconversion process of lignocellulose to biofuels (Arantes and Saddler 2010). Recent studies have shown that the use of scCO₂ in the enzymatic hydrolysis processing of various cellulose-containing substrates increases substantially the yields of reducing sugars and glucose (Zheng and Tsao 1996, Park et al. 2001). Several methods to obtain glucose and fructose by contacting cellulose with scCO₂ and supercritical water have been disclosed in a patent by Kilambi (2010). Indeed, it has been shown that pressure has an effect on the enzymatic hydrolysis of cotton fibre by using enzymes from Trichoderma viride in scCO₂, increasing the reaction rate by 1.4 times when pressure was increased from 1 to 120 atm (Muratov 2007). Mixture of dioxane–scCO₂ have been used by Reis Machado et al. (1994, 1996, 1997) in the reactive extraction and characterisation of lignins from Eucalyptus globulus wood.

Other reactions of cellulose in scCO₂ reported in the literature include acetylation, oxidation and linkage to other organic/inorganic materials. Acetylation of wood has proved to be a chemical modification suitable for improving dimensional stability, decreasing hygroscopicity and, in general, improving the stability of wood against the effects of water and humidity (Rowell et al. 1987, Minato et al. 2003). Acetylation of wood has been performed in scCO₂ with acetic anhydride at 120–130°C and 10–12 MPa (Matsunaga et al. 2010). Anti-swelling efficiency has been calculated from a comparison of swelling coefficients of treated and untreated wood. After 3–4 h of acetylation, wood with 75–80% of anti-swelling efficiency is obtained. Treatment of starch, maltodextrines, cellulose acetate and paper with mixtures of scCO₂ and oxygen (19:1 v/v) have led to corresponding oxidised materials (Yalpani 1993). Camy et al. (2009) used scCO₂ at 40°C and pressures of up to 200 bar as a solvent to dissolve nitrogen oxide (NO₂), an efficient oxidant to oxidise primary hydroxyl groups of cellulose, producing oxidised cellulose. Oxidised cellulose is a biodegradable and haemostatic material that can be used as a biomedical implant. ScCO₂ was advantageously used as a replacement of perfluoro-solvents traditionally used in cellulose oxidation. ScCO₂ allowed soft and homogeneous oxidation of cellulose; however, a slight inhibitory effect of NO₂ reactivity has been evidenced.

Surfaces of cellulose fibres obtained from sugar cane bagasse by an organosolv/scCO₂-pulping process have been modified by esterification and used in the fabrication of a low-density polyethylene/cellulose composite (Pasquini et al. 2006, 2008).

In some cases scCO₂ may serve as a reactant. For instance, cellulose materials such as carboxymethyl cellulose and its sodium salt have been used as crystal growth modifiers in CaCO₃ synthesis employing calcium salts and scCO₂ as reactants. Control of the morphology of the calcite particles has been achieved by adjusting the concentration and chain length of the polymer. These studies represent a step forward to understand the mechanism of biomimetic mineralisation (Li and Wu 2009, Li et al. 2009b).

Multifunctional inorganic–organic composites CaCO₃–biopolymer have been developed using scCO₂ and calcium acetate as reactants to facilitate the deposition of CaCO₃ onto cellulose and chitosan matrices (Wakayama et al. 2005a, 2005b). In this study, polyacrylic acid has been used as a crystal growth additive to fine-tune the structure and composition of mineralised biopolymer films to produce carbonate-containing materials with tailored optical, mechanical and chemical properties. Composites silicone–wood and silicone–bamboo have been prepared by the incorporation of polymer precursors into the cellulosic matrix using scCO₂ as the transport medium and subsequent polymerisation. These composites have shown improved fire resistance and retention of their mechanical properties after exposure to thermo-oxidative conditions (Eastman et al. 2008, 2009).

The efficiency of biomass conversion depends on hydrolysing agents gaining access to plant monosaccharides, such as glucose and polysaccharides. Once hydrolysed, these compounds are available to microorganisms.
allowing fermentation to ethanol. In this context, the depolymerisation process has gained increased attention as a method to increase saccharification yield; however, efficiency and cost-effectiveness remain key challenges in its use. One important tool for reducing the cost of this depolymerisation is pre-treatment of lignocellulosic to make the biomass matrix more accessible to microorganisms (Ragauskas et al. 2006). Recently, it has been shown that scCO₂ alone can depolymerise cellulose. After 4 h at 120°C in scCO₂, the DP of cellulose fibres starts to decrease (Schmidt et al. 2002). Indeed, the scCO₂ phase offers high diffusivities and has proven to have a swelling effect on the plant material. Recently, Stamenic et al. (2010) have shown that the extent to which swelling of plant material occurs depends on the structure of the material and on the operating conditions: pressure, temperature and time. They have tested several plants from the Lamiaceae family (mint, wild thyme, hyssop, sage and rosemary), valerian root, ginger rhizome and hop cones and found that while the Lamiaceae family plants swelled during exposure to scCO₂ (at 40°C and 10 MPa; increase in leaf thickness up to 30% after 1 h of exposure), valerian root and ginger rhizome during the depressurisation step (up to 45% increase in thickness). This behaviour was explained by the fact that the Lamiaceae family exhibits peltate glands (seats of the essential oil) that are located at the very surface of the leaves that crack when exposed to supercritical fluids. On the contrary, valerian root and ginger rhizome are characterised by small secretory cells located within the plant tissue.

Mixtures of scCO₂–solvent have been tested in cellulose depolymerisation. Among some of the systems studied, such as CO₂–H₂O, CO₂–acetone, CO₂–methanol and ternary mixtures of these solvents, CO₂–H₂O has been found to be the best mixture to depolymerise the cellulose at 127°C and 34 MPa. However, impregnation of cellulose with ethylene glycol, improved the action of CO₂–H₂O, decreasing even more the polymerisation degree of cellulose (Boumghar 1996). In another study, biphasic H₂O–scCO₂ depolymerisation produced glucose yields of 73% for wood using 160–170°C and 60 min of residence time (Luterbacher et al. 2010). Cotton fibres have been hydrolysed by cellulase enzymes from T. viride, T. reesei and Aspergillus niger at 160 atm for 48 h; the glucose yield showed a maximum value at 50°C, which was 1.2 times higher than the yield obtained at atmospheric pressure, the highest activity was obtained with T. viride with a conversion yield of 92% (Muratov and Kim 2002, Muratov et al. 2005).

12. Cellulose treatment by scCO₂ explosion
The difficulty in cellulose hydrolysis arises due to two main reasons: (1) its strong crystalline structure formed by the H-bond network and (2) tight packing of the crystalline structure, which strongly reduces accessibility to hydrolytic enzymes. We already mentioned that efficient, rapid and complete enzymatic hydrolysis of cellullosic materials is one of the major technical and economic problems in the conversion of lignocellulose to bio-fuels. To increase the efficiency of the hydrolysis of cellulose, many physical and chemical techniques have been developed. These treatments are intended either to disrupt the crystalline structure of cellulose or to increase the exposure of the substrate to the hydrolytic enzymes increasing the yield. Among these treatments, ‘steam explosion’ and ‘ammonia explosion’ have been proposed as pre-treatments prior to cellulose hydrolysis (Holtzapple et al. 1991, Dottori and Benech 2010, Lee et al. 2010, Zheng Du 2010). During steam explosion, lignocellulosic feed is exposed to high-pressure steam to let water molecules penetrate the substrate structure. The pressure is then suddenly released to let the water molecules escape in an explosive way (Zheng et al. 1998). During this process, lignocellulosic structures are disrupted to increase the accessibility of cellulose to the enzymes. Steam explosion has been observed to enhance the rate of cellulose hydrolysis and increase glucose yield from about 40% to 80%. Nevertheless, because of the high temperature needed, this technique causes extensive damage to xylose, incomplete disruption of the lignin–carbohydrate matrix and generation of compounds, which may be inhibitory to microorganisms used in the downstream processes (Mackie et al. 1985, Sun and Cheng 2002). In the ammonia explosion technique, biomass is treated with high-pressure liquid ammonia at a temperature ranging from 90 to 100°C for a 5 min residence time and then the pressure is explosively released. However, ammonia explosion is a more expensive process than steam explosion (Lee et al. 2010).

The scCO₂ explosion technique has been recently developed in an attempt to improve cellulose treatment given the low temperature of scCO₂ compared with steam explosion and low price compared to ammonia explosion. In this technique, the explosive release of carbon dioxide pressure disrupts the cellulolic structure increasing the accessible surface area of the substrate to enzymatic hydrolysis. This process takes advantage of the properties of scCO₂: ‘gas-like’ mass transfer and ‘liquid-like’ solvating power. It has been hypothesised that CO₂ would form carbonic acid and increase the rate of hydrolysis. Dale and Moreira (1982) used this method to pre-treat alfalfa (4 kg CO₂/kg fibre at 5.62 MPa) and obtained 75% of the theoretical glucose released during 24 h of enzymatic hydrolysis. The yields were relatively lower than steam or ammonia explosion pre-treatment, but high compared to enzymatic hydrolysis without pre-treatment. Zheng et al. (1995, 1998) compared to scCO₂ explosion with steam and ammonia explosion for
developed a treatment for paper with basic agents in scCO2 and repulping waste of recycled paper, and found that scCO2 explosion was more cost-effective than ammonia explosion and did not induce the formation of inhibitory compounds as may occur in steam explosion. This study also showed that scCO2 explosion pre-treatment enhanced the rate of cellulosic material hydrolysis and increased glucose yields by 50%. Puri and Mamers (1983) tested high-pressure scCO2 explosion in the pre-treatment of lignocellulosic materials from wheat straw, bagasse and Eucalyptus regnans, successfully removing and solubilising the hemicellulose fraction, giving an autohydrolysed liquor rich in xylose and a fibrous residue readily hydrolysable by cellulases, and saccharification could be completed within 48 h. The treatment times required to obtain substrates of maximum digestibility were 5 min for wheat straw and bagasse and 15 min for E. regnans. Hohlberg et al. (1989) have shown an increase in the in vitro digestibility of pine sawdust after steam explosion in the presence of CO2 attributed to the acid character of this gas, obtaining 32.5 g of reducing sugar/100 g of the substrate after hydrolysis of pre-treated samples.

13. Other applications

Other applications of scCO2 together with cellulose concern treatment of paper and organic—inorganic composites fabrication. Paper treatment has drawn attention in the last 20 years as it has been observed that books and paper documents suffer degradation with time due to their acidic content. This is particularly important when historical documents need to be preserved and paper aging and destruction in prolonged storage need to be decelerated. Current treatments employ aqueous and organic solutions which present serious drawbacks such as consumption of toxic and volatile solvents. Use of scCO2 has been proposed to treat paper documents in a mixture with 1% to 20% of ethanol in a patent by Perrut (SEPAREX, Champigneulles, France) together with the equipment adapted to this application (Perrut et al. 1997). On the other hand, CaCO3 and catechol, both in scCO2, have shown improvement in the mechanical properties of aged papers as catechol has great affinity with cellulose (Selli et al. 2000). Dobrodskaya et al. (2004) have developed a treatment for paper with basic agents in scCO2 (magnesium methoxide or magnesium methoxycarbonate); the use of scCO2 has shown a decrease in the consumption of toxic and volatile solvents by almost two orders of magnitude and decrease in the cost of paper conservation. These applications would be useful in numerous libraries all over the world. Besides the advantage of decreasing the consumption of solvents, an important advantage of scCO2 use for these applications is that this solvent does not cause any deterioration of inks, photos, adhesives or leather bindings of books, which is not the case when aqueous or organic solutions are used.

Cellulose diacetate–polypyrrole composites have been produced in scCO2 taking advantage of the swelling properties of scCO2. In situ polymerisation was performed and it has been observed that composites with higher conductivities were obtained using higher pressures as a result of the increase of solubility of pyrrole (Li et al. 2006).

14. Conclusions and Outlook

Research in new technologies continues to progress towards developing sustainable processes that use renewable feedstock. ScCO2 as a process and cellulosic materials as raw materials have received increasing interest all over the world in the last 20 years. In this context, it has been necessary to establish research facilities, pilot plants and employ scientists from many fields like engineering, chemistry, physicists and wood technologists. This development has made possible a deeper understanding of the fundamental science and application possibilities under the new insight of more ecological processes.

Work on scCO2 and cellulosic materials, in the period of time considered (1990 to present time), has evolved in three major fields: extraction of high-value compounds, obtaining bio-fuels (ethanol) and modification of the properties of cellulose. Very high interest was found in bio-fuels (ethanol) production due to major concern about oil price, worldwide consumption of liquid petroleum and global climate stability. More prospective but very promising is the potential replacement of polymeric materials issued from fossil resources by cellulose-based materials. In many cases, some unsuitable properties of cellulose, for instance, its sensitivity to water, must be alleviated by an adapted process, where scCO2 based-processes would be the best tools to respect the environmental-friendly character of the cellulose as a multi-functionalised material. In the future, a steady focus on environmental research in scCO2 is expected, with more and more developments in CO2-philic materials and catalysts, design of more adapted equipment, exploration and design of co-solvents and additives and last, but not least, the development of prediction and modelling tools. Other enhancements will come from genetically modified cellulases and microorganisms to improve the cellulose-to-ethanol conversion yield. The aim of this review is to show the current state of development in the field of scCO2 as a green process and cellulose as a raw material and its applications.

All the improvements expected in environmentally friendly processes will be certainly influenced by economic factors and applied research and ecological policies.


