



HAL
open science

HSM spindle model updating with physical phenomena refinements

David Noel, Sébastien Le Loch, Mathieu Ritou, Benoît Furet

► **To cite this version:**

David Noel, Sébastien Le Loch, Mathieu Ritou, Benoît Furet. HSM spindle model updating with physical phenomena refinements. 11th ASME International Design Engineering Technical Conferences & Computers and Information in Engineering Conference, Aug 2013, Portland, United States. pp.1-9, 10.1115/DETC2013-13141 . hal-00862363

HAL Id: hal-00862363

<https://hal.science/hal-00862363>

Submitted on 8 Nov 2019

HAL is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.



Distributed under a Creative Commons Attribution 4.0 International License

HSM SPINDLE MODEL UPDATING WITH PHYSICAL PHENOMENA REFINEMENTS

David Noel^(a,b) Sebastien Le Loch^(a) Mathieu Ritou^(a,b) Benoit Furet^(a,b)

^(a)LUNAM Universite, Universite de Nantes, 1 quai de Tourville, 44035 Nantes, France

^(b)IRCCyN UMR CNRS 6597 (Institut de Recherche en Communications et en Cybernetique de Nantes)
1 rue de la Noe, 44321 Nantes, France *

ABSTRACT

The modeling of High Speed Machining (HSM) spindles is a complex task due to the numerous physical phenomena involved in the dynamic behavior. Modeling is still rarely used in the industry, although sophisticated research work has been achieved. The boundary conditions of rotor models, which correspond to the ball bearings, are crucial and difficult to define. Indeed, they affect the dynamic behavior of the rotor in a non-linear and sometimes in an unpredictable way. The aim of the paper is to determine a relevant spindle model, i.e. the adequate level of complexity. To do so, a dynamic bearing model is introduced and the axial model of a spindle is established in relation to the preloaded bearing arrangement. Then, the operating stiffness of the spindle has been obtained experimentally with a new specific device that applies axial load and measures the resulting displacement, whatever the spindle speed. The model updating with the experimental data combined to sensibility analysis have led to the model refinement with additional physical phenomena, in order to account for non-linearities observed experimentally. The parameters of the model are also identified experimentally. As a result, a relevant spindle model is obtained and validated by the good agreement between simulations and experiments.

Keywords: model updating, angular contact ball bearing, high speed rotor, stiffness, dynamics.

1 Introduction

The numerical modeling of HSM spindle is a challenge for many scientists and many industrial user. The use of numerical models decreases the costs for choosing the optimal operating conditions in machining. It also avoids the expensive prototypes during the design stage of new spindles.

Angular contact ball bearings are mainly used for HSM spindles. Multi-Degrees Of Freedom (DOF) dynamic models of bearings are generally based on the work of Jones [1]. Stiffness matrix computation is also decisive for including the bearing model into the rotor model. Computation techniques are detailed in [2, 3, 4]. The structural model of spindle is mostly based on beam or 3D Finite Elements Models [5, 3]. State of the art also include the thermal behavior [6].

Nevertheless, numerical models are not sufficiently widespread in the industry. Are models too complex or not enough refined? Do they not reflect the reality? The aim of the presented work is to combine experiments and simulations to build a model reflecting the real behavior. The analytical model is based on the structural setup. The updated parameters are related to the preload system and the ball bearings.

First, the 5DOF numerical model of the ball bearing is shortly presented. The axial model of rotor is detailed along with the solving method. Then, the experimental protocol is explained. A new experimental device for applying axial loads is introduced. Finally, two updating steps including sensitivity analysis are performed. As a result, the model has been enriched and crucial parameters such as preloads have been identified. The prediction of the behavior of the HSM spindle is particularly ac-

*Email addresses: firstname.name@univ-nantes.fr

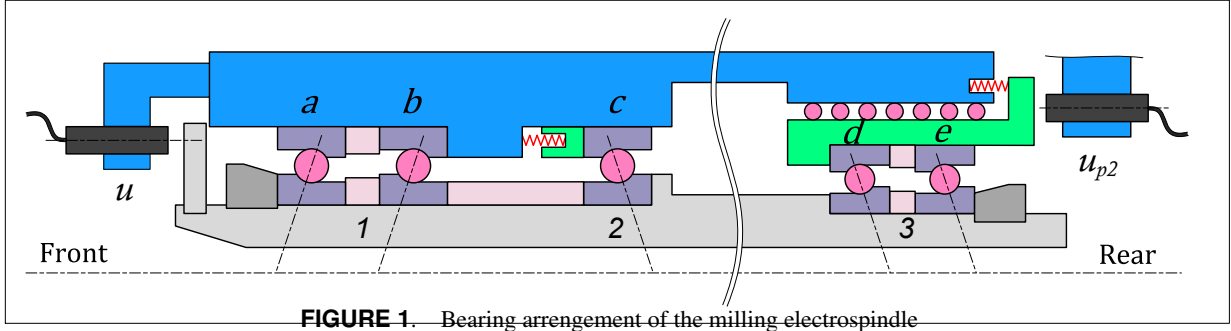


FIGURE 1. Bearing arrangement of the milling electrospindle

curate. Without this crucial step of updating, the subsequent 3D flexible model would not have been accurate. NB: the numerical results of displacement and load have been multiplied by a coefficient for confidentiality reasons dealt with the spindle manufacturer.

2 Numerical Modeling

2.1 Industrial System

This paper deals with a Fischer Electrospindle MFW 2310 (Speed 24000 rpm, Power 70 kW) for HSM milling applications. Its structure is presented in Fig. 1. Bearings are numbered 1 to 3 and ball bearings a to e . a, b and c ball bearings are SNFA VEX70/NS9CE3 and ball bearings d and e are SNFA VEX60/NS9CE3. The spindle is elastically preloaded with two sets of springs. The corresponding preloads will be referred as front and rear preload. The bearing 1 is preloaded by both systems. The motor is located between bearings 2 and 3. Ball bearings d and e are mounted in a sleeve that can translate in the spindle housing, in order to compensate thermal elongation of the rotor due to motor heat.

2.2 Ball Bearing Model

For a complete rotor model, a dynamic 5DOF ball bearing model is required. It consists in giving the constitutive relation between global displacements $\mathbf{d} = (\delta_x, \delta_y, \delta_z, \theta_y, \theta_z)^t$ and the global loads $\mathbf{f} = (F_x, F_y, F_z, M_y, M_z)^t$. Because no direct expression exists for a multi DOF model, the local behavior is expressed for each ball (see Fig. 2).

The first method, called analytical approach, solves the global displacements \mathbf{d} from the global loads \mathbf{f} . It is based on an hypothesis on the local load repartition [7, 8] and can not take into account dynamic effects on balls (centrifugal force F_c and gyroscopic moment M_g). This method is rejected for high speed application (i.e. high values of the $d_m N$ criterion).

The second method, called the numerical approach, gives the global loads \mathbf{f} from the global displacements \mathbf{d} . It is based on the three steps represented on Fig. 2. First, geometrical equations are obtained from a rigid body displacement hypothesis. Then the ball equilibrium gives the local loads. The two first steps are

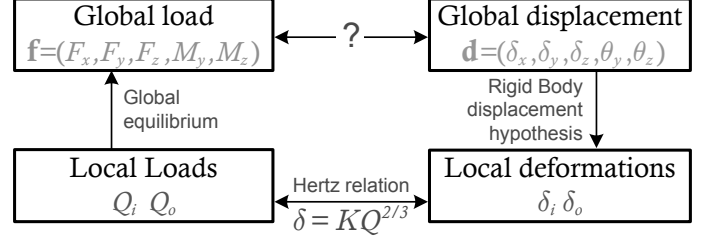


FIGURE 2. Principle of the bearing model

repeated for each ball. Finally, local loads on the inner ring are directly summed to obtain the global loads. The method is detailed in [1, 3, 9]. The linearized relation given by the stiffness matrix \mathbf{K} is computed analytically as detailed in previous personal work [4]. The stiffness matrix is necessary for determining the global displacements \mathbf{d} from the global loads \mathbf{f} . Furthermore \mathbf{K} is needed to compute the shaft equilibrium.

2.3 Axial Spindle Model

The numerical model of the rotor is based on Fig. 3. Because the aim is to identify the characteristics of preload setup and ball bearings, a rigid rotor with a quasi-static behavior is considered. The non-linear dynamic model of ball bearing described in section 2.2 is considered. For tandem setup of ball bearings, the load and the stiffness are doubled to take into account the two ball bearings (for instance for ball bearings a and b : $F_{xa} = F_{xb} = F_{x1}/2$).

The input of the model is the axial load on the shaft F and the preload parameters: preload (P_1, P_2) and preload springs stiffness (K_{p1}, K_{p2}). The results are the axial loads on bearings (F_{x1}, F_{x2}, F_{x3}) and axial displacements $\mathbf{u} = (u, u_{p2}, u_{p1})$ of respectively the shaft, the outer ring of bearing 2 and the sleeve.

The model is based on three equations respectively corresponding to equilibriums of the shaft, of the third bearing's outer rings and of the outer ring of bearing 2:

$$\mathbf{0} = \begin{pmatrix} F - F_{x1} + F_{x2} + F_{x3} \\ P_2 - K_{p2} u_{p2} - F_{x3} \\ P_1 - K_{p1} u_{p1} - F_{x2} \end{pmatrix} \quad (1)$$

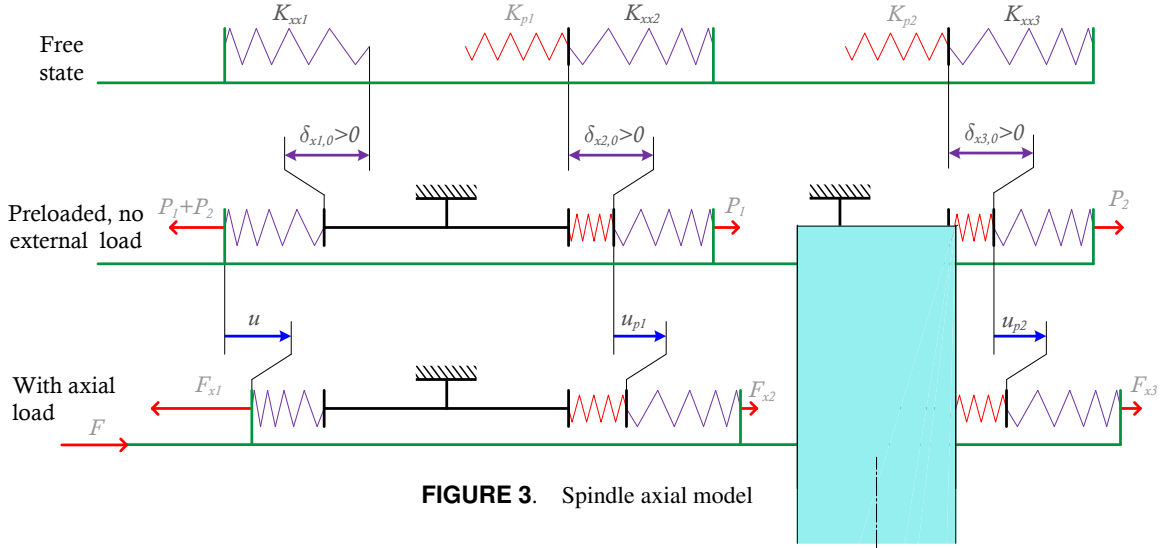


FIGURE 3. Spindle axial model

The numerical solving is done by a Newton-Raphson algorithm. The Jacobian matrix \mathbf{J} is found by differentiating Eq. (1) with respect to the axial displacements $\mathbf{u} = (u, u_{p2}, u_{p1})$. For this purpose, the expressions for axial loads are linearized:

$$\begin{cases} F_{x1} = K_{xx1}(u + \delta_{x1,0}) \\ F_{x2} = K_{xx2}(u_{p1} - u + \delta_{x2,0}) \\ F_{x3} = K_{xx3}(u_{p2} - u + \delta_{x3,0}) \end{cases} \quad (2)$$

with $(\delta_{x1,0}, \delta_{x2,0}, \delta_{x3,0})$ corresponding to the axial displacement of the ball bearings at the preload state. K_{xx} is the linearized axial stiffness of the bearings. Because the ball bearing model is non-linear, its value depend on the axial load F_x . It need to be computed at each step of the Nexton-Raphson algorithm.

At last, the solving algorithm is:

$$\mathbf{u}_{n+1} = \mathbf{u}_n - \mathbf{J}_n^{-1} \xi_n \quad (3)$$

with ξ the residual vector of equations Eq. (1) and:

$$\mathbf{J} = \begin{bmatrix} -K_{xx1} - K_{xx2} - K_{xx3} & K_{xx3} & K_{xx2} \\ K_{xx3} & -K_{p2} - K_{xx3} & 0 \\ K_{xx2} & 0 & -K_{p1} - K_{xx2} \end{bmatrix}$$

The algorithm is initialized by the preloaded state. At each step n of the algorithm, the ball bearing model is solved 3 times (i.e. for each bearings 1, 2 and 3).

3 Experimental Setup

An experimental device has been developed for applying axial loads in both directions on the spindle, whatever the shaft speed (see Fig. 4). Two inductive sensors are placed axially (see on Fig. 1). They measure the displacement of the shaft u and displacement of the moving sleeve u_{p2} . The experimental tests

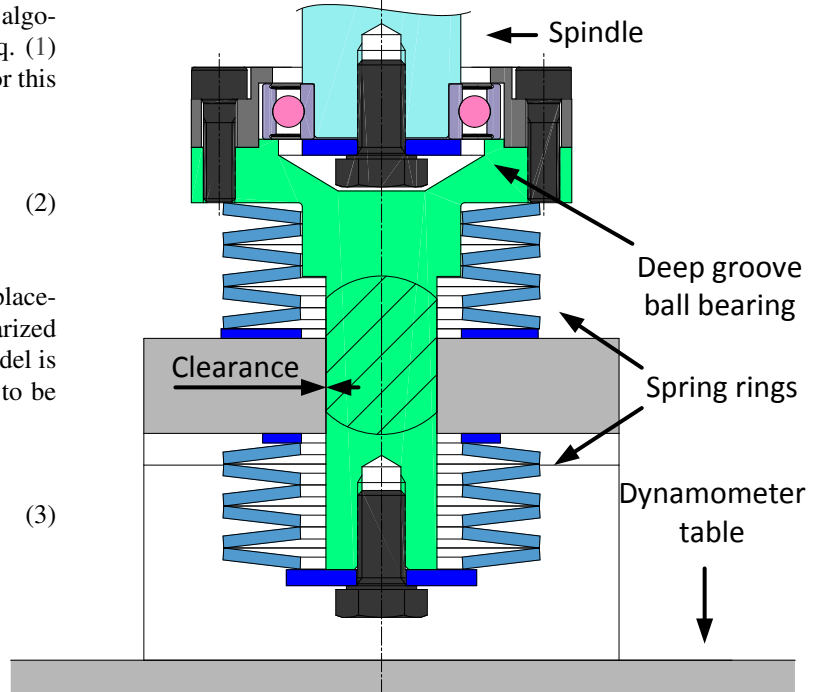


FIGURE 4. Experimental device for applying bidirectional axial loads under shaft rotation

have been done on a CNC machine tool Huron KX30. The axial load is applied thanks to the motion of machine end along the spindle axis. Radial loads and bending moments on the shaft are nonexistent because of a radial clearance (see on Fig. 4). It has been verified experimentally with the 6-axis dynamometer table employed during the tests.

The temperature has a significant influence on the spindle behavior. The aim of this study is to model the dynamic behavior

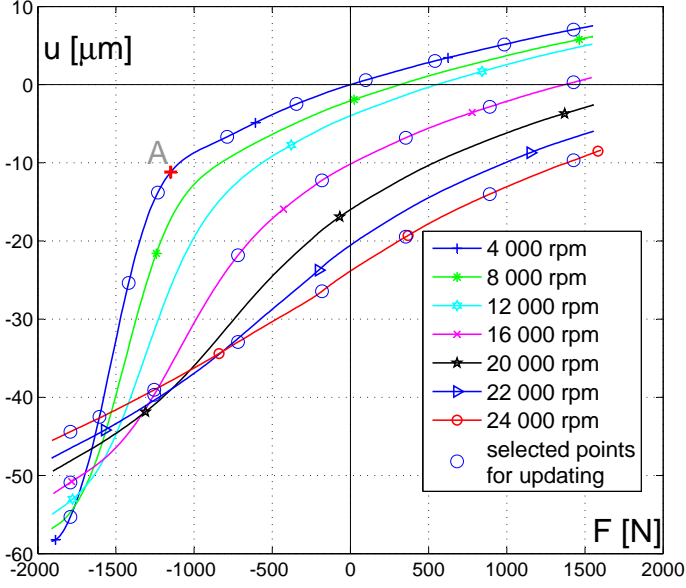


FIGURE 5. Experimental result of displacement u in relation to axial load F and shaft speed N

of the rotor under working conditions, i.e. at a given speed and considering a warm and thermally stable spindle. Indeed the aim is not to develop the complementary thermal model. That is why, before each test, a long warm-up has been carried out in order to always work with the same steady thermal conditions given by the CNC controller. Also, tests are done quickly to avoid major temperature change. For that mater, a relatively high sampling frequency is chosen for the sensors (12,5kHz). Repeatability has been verified with excellent results.

The data processing consists in a moving average to suppress the runout of the front face of the spindle. The data are calibrated considering $(u, u_{p2}) = (0, 0)$ for a warm state of the spindle, without shaft speed or axial load F .

4 Experimental Results

The experimental data after post processing are displayed in Fig. 5 and Fig. 6. The circle markers are the selected points for the updating.

With an increasing shaft speed, the axial displacements (u, u_{p2}) can be observed on Fig. 6. They are mainly due to centrifugal forces on balls that tend to change the balls location. The displacement of the front of the spindle u is directly axial deflexion of ball bearings (a, b) . The displacement of the rotor sleeve u_{p2} is the sum of deflexions of ball bearings (a, b) and (d, e) .

On Fig. 5, the 4000rpm results are considered as the static behavior. Indeed, dynamic effects are negligible. It displays several particular configurations. The A point corresponds

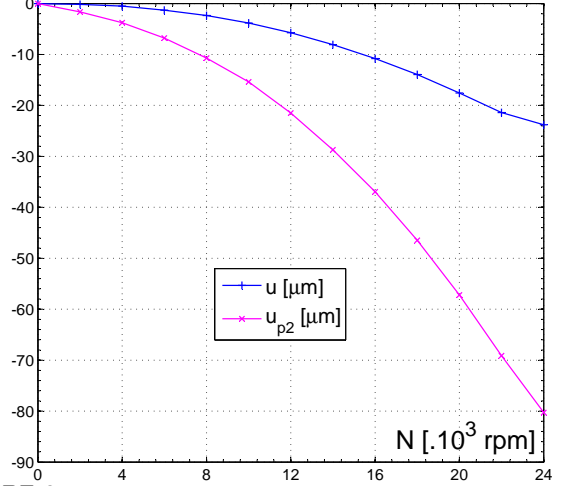


FIGURE 6. Experimental axial displacements of the rotor u and the rear sleeve u_{p2} in relation to shaft speed N for the shaft only loaded by its weight

to the contact loss of the front bearings 1. The corresponding load F_A is approximately the preload on the front bearing $P_1 + P_2 = 1200N$ because the preload springs are soft (see discussion in section 6.1). The axial stiffness for $F < F_A$ is given by $\frac{K_{xx2} K_{p1}}{K_{xx2} + K_{p1}} + \frac{K_{xx3} K_{p2}}{K_{xx3} + K_{p2}}$. Hence the behavior of the spindle is quasi linear for $F < F_A$ because the ball bearings are a lot stiffer than the preload springs. The slope of the line is approximately the equivalent stiffness $K_{eq} = K_{p1} + K_{p2} = 12.0N/\mu m$. With non-zero shaft speeds, there is no more loss of contact due to the separation of contact angles in relation to dynamic effects on balls: α_i on the inner ring and α_o on the outer ring.

5 Model Updating (step 1)

In order to update the spindle model, a cost function is built. Two experimental data types are chosen. First, some displacements u in relation to axial loads F have been selected for N equals 4000, 16000 and 24000rpm (see blue circles on Fig. 5). Second, the deflexion of the rear sleeve u_{p2} during its rotation at 16000 and 24000rpm have also been chosen (see blue circles on Fig. 6).

The cost function is:

$$\varepsilon = \frac{1}{i+j} \left(\sum^i |u - u_{exp}| + \sum^j |u_{p2} - u_{p2,exp}| \right) \quad (5)$$

The number of experimental points $(i + j)$ has been reduced to a minimum to ensure a reasonable computational cost.

To determined the parameters that need to be updated, a One-Factor-At-a-Time (OFAT) sensitivity analysis is realized. The parameters for the sensitivity analysis are given in Tab. 1 in Appendix A. The baseline values for bearings parameters correspond to the nominal values. The minimal and maximal values

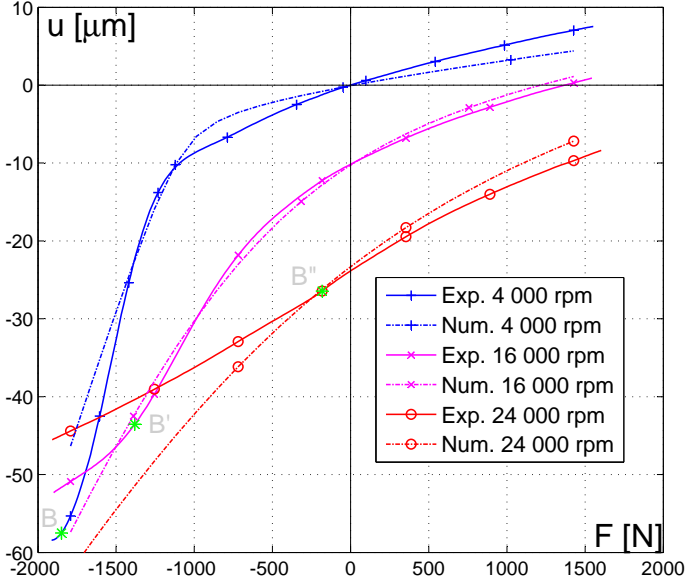


FIGURE 7. Comparison of experiments and simulations after updating (step 1)

of parameters are fixed: $\pm 5\%$ for material values, $\pm 0.1\%$ for geometrical values and $\alpha_0 = 25^{\circ+3}_{-2}$ for the free state contact angle as provided by the bearing manufacturer. The preloads and preload stiffness are unknown at this stage. Nevertheless minimal preload is fixed as the recommended light preload: single row for the front preload $P_1 = 100N$ and tandem for the rear preload $P_2 = 150N$. The maximal values are approximated from the experimental data at $4000rpm$: $P_1 + P_2 = 1200N$ and $K_{p1} + K_{p2} = 12.0N/\mu m$, as explained in section 4.

The sensitivity values are expressed in percentages and have been computed using a 1% magnitude perturbation of the parameter's range. Results of the first sensitivity analysis are presented in Tab. 1. The five first parameters are retained for the updating: $(P_1, P_2, K_{p1}, K_{p2}, \alpha_0)$. The model updating has been conducted with an optimization Matlab algorithm: `fmincon`. The values minimizing the cost functions are presented in Tab. 1: $(P_1, P_2, K_{p1}, K_{p2}, \alpha_0) = (978, 150, 11.4, 5.15, 28)$. The simulations of the updated model after step 1 are displayed on Fig. 7 and compared to the experimental results.

Results are approximately in agreement at 4000 and 16000rpm in spite of stiffness errors that can be observed by the slopes at null force F . But at high speed and large negative force, if the model forecasts a drop of stiffness, the experiments reveals an increase that is not compatible with the current model.

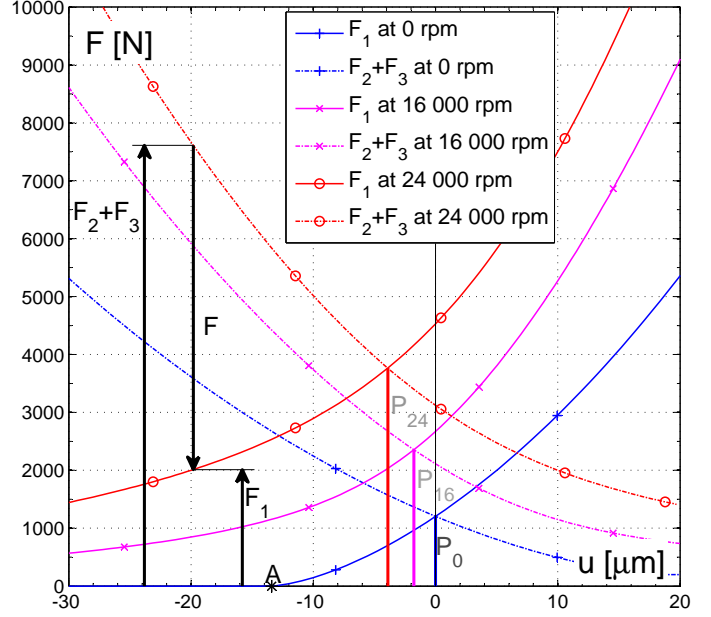


FIGURE 8. Axial behavior of a rotor with rigid preload

6 Model Enrichment

6.1 Stroke limit on Front Bearing

Model

On the experimental results of Fig. 7, inflexion points B, B' and B'' are observed. This behavior can not yet be described by the numerical model. The stiffness measured is higher before these points ($F < (F_B, F_{B'}, F_{B''})$) as would be a rigidly preloaded rotor. According to the authors, a physical limit could restrict the motion of the outer ring of the number 2 bearing. A stop can be considered and limits the motion of the outer ring in the left direction. A new constraint is established for numerical simulations:

$$u_{p1} \geq u_{p1,l} \quad \text{with} \quad u_{p1,l} < 0. \quad (6)$$

The solving algorithm is modified: if the numerical solution $u_{p1} < u_{p1,l}$, the displacement u_{p1} is fixed at $u_{p1,lim}$ and the solution is recomputed again using the following algorithm:

$$\begin{cases} \begin{pmatrix} u \\ u_{p2} \end{pmatrix}_{n+1} = \begin{pmatrix} u \\ u_{p2} \end{pmatrix}_n - \mathbf{I}_n^{-1} \begin{pmatrix} \xi_1 \\ \xi_2 \end{pmatrix}_n \\ u_{p1} = u_{p1,l} \end{cases} \quad (7)$$

with $I = J(1 : 2, 1 : 2)$.

Impact of the stroke limit on the behavior

To understand the effect of the stop, it is necessary to look over the behavior of the rigidly and elastically preloaded systems

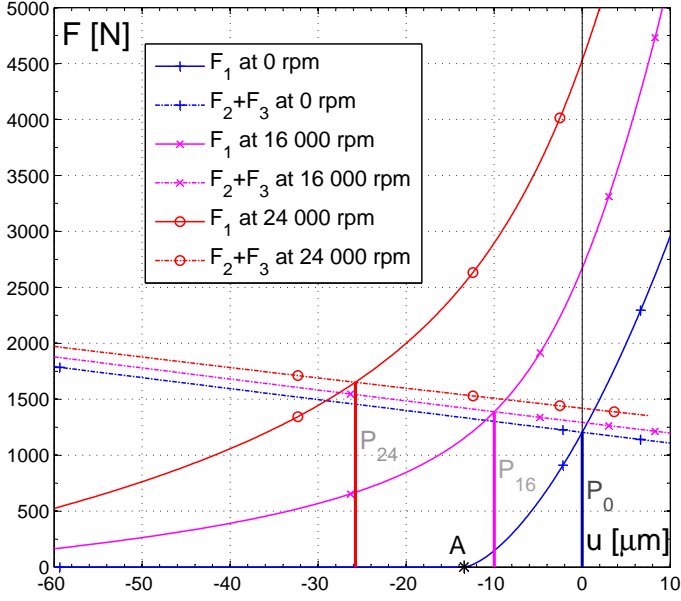


FIGURE 9. Axial behavior of a rotor with elastic preload

at various spindle speed. Simulation results are presented on Fig. 8 and Fig. 9 considering the spindle of Fig. 1. The solid lines correspond to the front bearing 1 and the dashed lines to the rear bearings 2 and 3.

On Fig. 8, the curves of a rigidly preloaded system without shaft speed are well known in the literature (see examples in [10]). The load F can be found for a given displacement u by the equilibrium of the rotor: $F = F_1 - (F_2 + F_3)$. As an example, the Fig. 8 displays loads corresponding to the shaft displacement $u = -20 \mu\text{m}$ at 24000rpm . The preload value $P_1 + P_2$ is directly found in the intersection point of the curves F_1 and $F_2 + F_3$. Thus, P_0, P_{16} and P_{24} are the preloads respectively for 0, 16000 and 24000rpm . The preload increases significantly with shaft speed (see Fig. 8). In the example, the preload increases from 1205N to 3770N with spindle speed. This is one of the reasons why the rigid preload is put aside for high speed rotors. The points A corresponds to the loss of contact of bearing 1.

The simulations concerning the rotor with front and rear elastic preloads are presented in Fig. 9. Loads on the front bearing F_1 in relation to the rotor axial displacement u are equal to the rigidly preloaded arrangement. Besides, loads $F_2 + F_3$ evolve linearly. Indeed, the equivalent stiffness of bearings 2 and 3 is $k_{eq} = \frac{K_{xx2}K_{p1}}{K_{xx2}+K_{p1}} + \frac{K_{xx3}K_{p2}}{K_{xx3}+K_{p2}}$. Because the stiffness of springs are much lower than the stiffness of ball bearings, the equivalent stiffness becomes $k_{eq} \sim k_{p1} + k_{p2}$. In that case, the equivalent stiffness does not evolves with axial load F . Hence, the curves $F_2 + F_3$ are lines. As a result, the elastically preloaded spindle stiffness K_{sp} is far below the rigidly preloaded one. Contrary to the rigidly preloaded system, no major increase of the

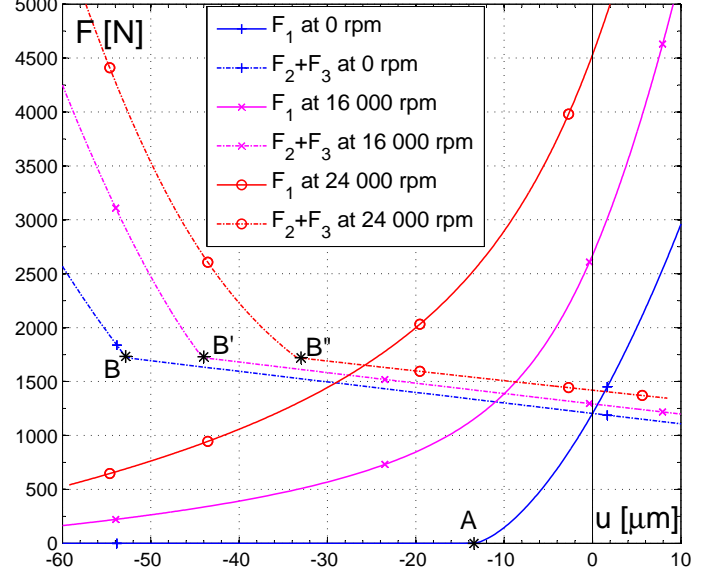


FIGURE 10. Axial behavior of a rotor with elastic preload in presence of a stop limit on bearing 2

preload $P_1 + P_2$ with shaft speed is observed. In the example, the preload at 0rpm is $P_0 = 1205 \text{N}$ and evolves to $P_{24} = 1650 \text{N}$ at 24000rpm .

If a stop is considered on bearing 2 of the arrangement, other inflexion points are observed: B, B' and B''. Passed the boundary, the behavior of the front preload is rigid while the rear preload system (bearing 3) is still working elastically. The displacement corresponding to the boundary depends on the speed because of the axial displacement of each ball bearing due to the spindle speed.

The temperature difference between rotor and stator has a major influence on this boundary. Nevertheless, heat is not dangerous for the lifespan because it tends to reduce the rigid preload. The presence of this stop can be of a good advantage for the stiffness of the spindle. If it is well chosen, the spindle can work as a rigidly preloaded system at high speed.

6.2 Radial Ring Expansion

The first update was unsuccessful. The load F_A corresponding to the lost of contact of the front bearing 1 and the stiffness after contact loss k_{eq} were correct but the error was too important on the spindle stiffness K_{sp} for $F > 0$ (see Fig. 7). A physical phenomenon is still missing in the model. In fact, the rings expansions due to shaft speed are not yet taken into account in the model. The rotating inner ring expands due to centrifugal forces and the immobile outer ring expand due to the excessive load of balls at high speed (i.e. centrifugal force on balls). Even if expansions are low, they are of major influence on the behavior, because ring curvature radius r_i and r_o are close to the ball

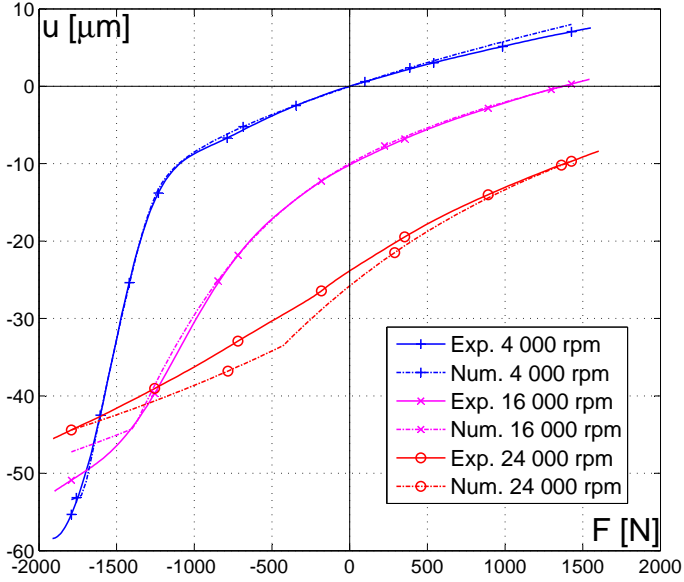


FIGURE 11. Comparison between experiments and the updated refined model

radius D . A method for taking into account rings expansion is explained in [11]. The change of contact angle due to the rings radial expansion is given by:

$$\cos \alpha = \cos \alpha_0 + \Delta u / (r_i + r_o - D) \quad (8)$$

where $\Delta u = u_i - u_o$ is the difference of radial expansion between the inner and outer rings. One solution is to model accurately the ring expansion either with a Finite Element or continuum mechanics model. The other solution is to identify the evolution of Δu with shaft speed from experimental data. Thus additional parameters are added to the update algorithm: $(\Delta u_{04}, \Delta u_{16}, \Delta u_{24})$.

7 Model Updating (step 2)

A new updating step has been conducted with the refined model. Four parameters are added: the end stop clearance on bearing 2 $u_{p1,l}$, and the radial expansions of rings $(\Delta u_{04}, \Delta u_{16}, \Delta u_{24})$. The initial values are the values resulting from the first update in section 5.

A new sensitivity analysis has been conducted (see step 2 in Tab. 1 in Appendix A). It has led to the selection of the parameters of major significance for the update: $(P_1, P_2, K_{p1}, K_{p2}, u_{p1,l}, \Delta u_{04}, \Delta u_{16}, \Delta u_{24})$. The parameter α_0 has been ruled out because it is redundant with the expansion parameters Δu (due to Eq. (8)). The selected experimental data are identical to step 1.

The result of the second update is displayed in Fig. 11. The mean deviation between simulation and experimental displace-

ments decrease from $4,37 \mu m$ to $1,03 \mu m$, which proves the very good quality of the model. It is particularly accurate for medium shaft speed (4000 to 16000 rpm). The slight difference at high speed is probably due to the required kinematic hypothesis chose for computing the dynamic effects in ball bearings. This reason also explains the inaccuracy for $F < -1200 N$. Fortunately the spindle is never used under such extreme loading conditions.

In conclusion, model's parameters such as preloads and preload spring stiffness have been identified from experimental data. New refinement has been made with success on the final updated model. The axial model is finally validated.

8 Conclusion

For ensuring the accuracy of the HSM spindle model, an updating stage is undertaken. After presenting the ball bearings model and the rotor model, the experimental protocol has been exposed. Experimental tests have been done on a CNC machine with a new specific device to load the shaft in both directions whatever the spindle speed. Sensitivity analyses have enabled to select the relevant parameters for the updating process. The first step revealed unexpected physical phenomena in the model: an end stop limiting the motion of one of the bearings and speed-dependent radial expansions of ball bearing rings. The impact of the end stop has been detailed and compared to the behavior of rotors with rigid and elastic preloads. The end stop is of great advantage regarding the spindle stiffness at high speed. A second updating step has been realized considering the refined model. Preload forces, preload stiffness, ball bearing rings radial expansions and the end stop clearance have been identified. They correspond to the real operating conditions. The behavior obtained with the final updated numerical model describes with good agreement the reality. This step of model updating is crucial in the process of building a 3D dynamic and non-linear model of a high speed rotor.

NOMENCLATURE

Capital letters

D	ball diameter
E	modulus of elasticity
\mathbf{K}	bearing stiffness matrix
K_p	preload spring stiffness
K_{sp}	equivalent axial stiffness of the spindle
K_{xx}	$= K(1, 1)$ axial stiffness of the ball bearing
N	shaft speed
P	preload
Q	ball-raceway normal load

Lowercase letters

d	= $(\delta_x, \delta_y, \delta_z, \theta_y, \theta_z)$ global displacement of inner ring.
d_m	ball orbital diameter
f	= $(F_x, F_y, F_z, M_y, M_z)^t$ global load on inner ring (f and d are expressed at the center of the outer ring)
f	r/D
r	raceway groove curvature radius
u	= $(u, u_{p2}, u_{p1})^t$ axial displacement vector
u	axial displacement of the shaft
u_{p1}	axial displacement of the outer ring of bearing 2
$u_{p1,l}$	stop clearance for u_{p1}
u_{p2}	axial displacement of the rear sleeve (bearing 3)
x	axial direction
y, z	radial direction

Greek letters

α	contact angle
δ	ball-raceway normal displacement
Δu_N	= $u_i - u_o$ difference between radial ring expansions at speed N
ε	mean deviation between experiments and simulations (in microns)
ν	Poisson's ratio
ξ	residual vector of the equilibrium equations Eq. (1)
ρ	mass density

Subscripts

b	ball
i	inner ring
o	outer ring
r	ring

REFERENCES

- [1] Jones, A., 1960. "A general theory for elastically constrained ball and radial roller bearings under arbitrary load and speed conditions". *Journal of Basic Engineering*, **82**(2), pp. 309–320.
- [2] de Mul, J. M., Vree, J. M., and Maas, D. A., 1989. "Equilibrium and Associated Load Distribution in Ball and Roller Bearings Loaded in Five Degrees of Freedom While Neglecting Friction Part I: General Theory and Application to Ball Bearings". *Journal of Tribology*, **111**(1), pp. 142–148.
- [3] Cao, Y., and Altintas, Y., 2004. "A General Method for the Modeling of Spindle-Bearing Systems". *Journal of Mechanical Design*, **126**(6), pp. 1089–1104.
- [4] Noel, D., Le Loch, S., Ritou, M., and Furet, B., 2013. "Complete Analytical Expression of the Stiffness Matrix of Angular Contact Ball Bearings". *Journal of Tribology*.
- [5] Lin, C., Tu, J., and Kamman, J., 2003. "An integrated thermo-mechanical-dynamic model to characterize motorized machine tool spindles during very high speed rotation". *International journal of machine tools and manufacture*, **43**(10), pp. 1035–1050.
- [6] Cao, H., Holkup, T., and Altintas, Y., 2011. "A comparative study on the dynamics of high speed spindles with respect to different preload mechanisms". *The International Journal of Advanced Manufacturing Technology*, **57**(9-12), pp. 871–883.
- [7] Houpert, L., 1997. "A uniform analytical approach for ball and roller bearings calculations". *Journal of tribology*, **119**(4), pp. 851–859.
- [8] Hernot, X., Sartor, M., and Guillot, J., 2000. "Calculation of the Stiffness Matrix of Angular Contact Ball Bearings by Using the Analytical Approach". *Journal of Mechanical Design*, **122**(1), pp. 83–90.
- [9] Harris, T. A., and Kotzalas, M., 2007. *Advanced Concept of Bearing Technology*, fifth edition ed. CRC/Taylor & Francis.
- [10] Noel, D., 2012. Montage de roulements precharges (flash animation).
- [11] Noel, D., Ritou, M., Le Loch, S., and Furet, B., 2012. "Bearings Influence on the Dynamic Behavior of HSM Spindle". In Proceedings of the ASME 11th Biennial Conference on Engineering Systems Design and Analysis, Vol. 4, ASME, pp. 107–114.

Appendix A: data of the updating process

TABLE 1. Results for the sensitivity analysis (in %) for each steps of the update. The quality of the solution is quantified as the average gap ε between experimental and numerical values of the displacement as expressed by Eq. (5)

parameter	range		step 1 (section 5)			step 2 (section 7)			
	min	max	nominal	sensibility	updated	nominal	sensibility	updated	
preload	$P_1[N]$	100	1050	600	1.8341	978	978	0.5762	1005
	$P_2[N]$	150	1100	600	1.5877	150	150	1.0866	200
	$K_{p1}[N/\mu m]$	0.1	12.0	6.00	1.0053	11.4	11.4	0.1751	10.8
	$K_{p2}[N/\mu m]$	0.1	12.0	6.00	0.9064	5.15	5.15	0.8396	0.100
	$u_{p1,l}[\mu m]$	-80	0	-	-	-	-40	2.6311	-47.4
	$\alpha_0[deg]$	23	28	25	0.1019	28.0	25.0	0	-
	$\Delta u_{04}[\mu m]$	-50	50	-	-	-	0	0.2604	44.8
	$\Delta u_{16}[\mu m]$	-50	50	-	-	-	0	0.5942	18.0
	$\Delta u_{24}[\mu m]$	-50	50	-	-	-	0	0.8576	0.496
	ball bearing	f_i	$0.56 \pm 0.1\%$		0.56	0.0015	-	0.56	0.0015
f_e		$0.54 \pm 0.1\%$		0.54	0.0015	-	0.54	0.0299	-
$D[mm]$		$9.52/7.94 \pm 0.1\%$		9.52/7.94	0.0030	-	9.52/7.94	0.0090	-
$d_m[mm]$		$90.0/77.5 \pm 0.1\%$		90.0/77.5	0.0015	-	90/77.5	0.0030	-
v_b		$0.26 \pm 5\%$		0.26	0.0015	-	0.26	0.0000	-
v_r		$0.3 \pm 5\%$		0.3	0.0015	-	0.3	0.0000	-
$E_b[GPa]$		$315 \pm 5\%$		315	0.0046	-	315	0.0015	-
$E_r[GPa]$		$210 \pm 5\%$		210	0.0076	-	210	0.0030	-
$\rho_b[kg/m^3]$		$3190 \pm 5\%$		3190	0.0578	-	3190	0.1033	-
Mean deviation: $\varepsilon[\mu m]$			6.57		4.37	6.68		1.03	