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# Robust Active Vibration Control of Piezoelectric Flexible Structures using Deterministic and Probabilistic Analysis

K. Zhang

Laboratoire de Tribologie et Dynamique des Systèmes, Ecole Centrale de Lyon, 36 avenue Guy de Collongue,69134 Ecully Cedex,France

G. Scorletti

Laboratoire Ampère, Ecole Centrale de Lyon, 36 avenue Guy de Collongue,69134 Ecully Cedex,France

M.N. Ichchou\*

Laboratoire de Tribologie et Dynamique des Systèmes, Ecole Centrale de Lyon, 36 avenue Guy de Collongue,69134 Ecully Cedex,France

F. Mieyeville

L'Institut des Nanotechnologies de Lyon, Ecole Centrale de Lyon, 36 avenue Guy de Collongue,69134 Ecully Cedex,France

### Abstract

The main objective of this article is to propose a general and systematic robust control methodology for active vibration control of piezoelectric flexible structures such that the probabilistic information of parametric uncertainties can be investigated and the robustness properties of the closed-loop system can be quantitatively ensured. For the purpose, the modal parameter identification is performed based on the finite element analysis to have the reduced nominal dynamical models. The generalized polynomial chaos (gPC) framework is employed for uncertainty analysis to obtain the probabilistic informa-

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<sup>\*</sup>Corresponding author

Email address: mohamed.ichchou@ec-lyon.fr (M.N. Ichchou)

tion of parametric uncertainties. In the presence of probabilistic parametric and dynamic uncertainties, phase and gain control policies based  $H_{\infty}$  output feedback control is used for the controller design to ensure a set of control objectives simultaneously and then deterministic and probabilistic robustness analysis are conducted to quantitatively verify the robustness properties of the closed-loop system both in the deterministic sense and the probabilistic one. The design process and effectiveness of the proposed methodology are illustrated via active vibration control of a piezoelectric cantilever beam. *Keywords:*  $H_{\infty}$  control, phase and gain control policies, probabilistic parametric uncertainties, gPC,  $\mu/\nu$  analysis, random algorithm

### 1. INTRODUCTION

Due to space and weight constrains, flexible structures are extensively used in many applications such as aerospace and automotive ones. The lightly damped nature of flexible structures can lead to considerable structural vibrations and cause unpleasant noises, unwanted stresses, malfunctions and even structural failures. In recent years, piezoelectric materials have been widely used as transducers for efficient active vibration control of various flexible structures (Garcia et al., 1992; Qiu and Tani, 1995; Jemai et al., 1999; Zhang et al., 2004). Owing to the complex dynamics of piezoelectric flexible structures, their dynamical models are normally obtained with finite element method (FEM) and/or system identification (Tzou and Tseng, 1990; Dong et al., 2006). However, in the presence of random variations in structural properties and/or the errors in the identification process, the obtained dynamical models inevitably have parametric uncertainties. Besides, a dynamic uncertainty is necessary to represent high-frequency neglected dynamics which may lead to the spill-over effect (Balas, 1978b,a). When

active control systems are designed based on the reduced nominal models, it is crucial to take into account different inaccuracies in these models to ensure the stability and the performance of the final closed-loop system. Considering the presence of parametric and dynamic uncertainties, phase and gain control policies based  $H_{\infty}$  output feedback control is proposed in Zhang et al. (2012), which affords a principle for the weighting function selection in  $H_{\infty}$  control to consider a set of control objectives simultaneously. However, this control method can only provide qualitative robustness properties of the closed-loop system. Furthermore, no probabilistic information of parametric uncertainties can be considered and thus every natural frequency is assumed to be independent parametric uncertainties and have uniform distribution within a given range. This assumption could be very conservative from a practical point of view. To overcome these problems, this article focuses on developing a general and systematic robust control methodology for active vibration control of piezoelectric flexible structures. It is expected to consider the probabilistic information of parametric uncertainties, e.g. the important natural frequencies, and to quantitatively verify the robustness properties of the closed-loop system both in the deterministic sense and the probabilistic one.

Considering structural complexity and manufacturing or measuring errors, structural properties of practical piezoelectric flexible structures usually have substantial levels of uncertainty, which may have considerable effects on the system natural frequencies. Furthermore, normally no analytical formulation of the natural frequencies is available for complex piezoelectric flexible structures. As a result, several numerical methods are proposed to investigate the effects of the structural property uncertainties on the natural frequencies and to achieve their distributions. This is usually referred to as

uncertainty propagation and Monte Carlo Simulation (MCS) (Liu, 2008) is a well-known technique in this field, with which the entire probability density function of any random variable can be computed, but the computation cost is usually expensive since a large number of samples are required for reasonable accuracy. The generalized polynomial chaos (gPC) framework is gaining in popularity and can be applied to various engineering problems (Ghanem and Spanos, 1991; Xiu and Karniadakis, 2002; Hou et al., 2006). It has been proved that gPC based uncertainty propagation methods are computationally far superior to traditional MCS methods (Xiu and Karniadakis, 2002). In Manan and Cooper (2010) and Kishor et al. (2011), Latin Hypercube Sampling (LHS) is employed in gPC framework to compute the polynomial chaos coefficients using the regression and variance analysis. To take into account probabilistic information of parametric uncertainties in the controller design, the probability theory is incorporated into classical robust and optimal control such as scenario approach based probabilistic robust control and probabilistic LQR design (Tempo et al., 2004). Besides, gPC framework is recently employed to solve this problem (Fisher and Bhattacharya, 2009; Duong and Lee, 2010; Templeton et al., 2012). The central idea and main interest of the gPC based probabilistic robust control is to substitute random variables into the original stochastic system by truncated polynomial chaos expansion according to their distributions. This generates a finite set of deterministic differential equations in a higher-dimensional space and estimates every original state  $x_i(t, \Delta)$  with its truncated polynomial chaos expansion  $\hat{x}_i(t).$ 

In this article, the control problem is solved by building a bridge among multi-discipline techniques. Firstly, reduced nominal dynamical models are obtained with finite element analysis and modal parameter identification. The gPC framework with LHS is used to propagate structural property uncertainties into the natural frequencies (Section 2). Then, in the presence of parametric and dynamic uncertainties, phase and gain control policies based  $H_{\infty}$  output feedback control is used for the controller design to satisfy a set of predetermined control objectives. With the designed controller, reliable deterministic and probabilistic robustness analysis are conducted with  $\mu/\nu$  analysis and random algorithm respectively (Zhou et al., 1996; Calafiore et al., 2000). They take into account the probabilistic information of parametric uncertainties and quantitatively verify the robustness properties both in the deterministic sense and the probabilistic one. Lastly, according to the results of the robustness analysis, if necessary, the weighting functions used in  $H_{\infty}$  controller can be retuned and a risk-adjusted trade-off could be made among various control objectives (Section 3).

Compared to the proposed control methodology, where phase and gain control policies based  $H_{\infty}$  output feedback control and reliable various robustness analysis are conducted separately, the  $\mu$  synthesis such as widely used *DK*-iteration has some remarkable problems, *e.g.* the computational convergence and reliable estimation of  $\mu$  upper bound for flexible structures. These problems indeed limit realistic use and effectiveness of  $\mu$  synthesis (Skogestad and Postlethwaite, 2005). Moreover, the proposed control methodology avoids the estimation of state  $x_i(t, \Delta)$ , which is required by gPC based probabilistic robust control. Actually, this estimation is only suited in a limited short time and has no guaranteed accuracies. Additionally, no dynamic uncertainty can be represented with the gPC framework and thus it is impossible to apply gPC based control in the presence of a dynamic uncertainty. The computational cost of the gPC based control is also a problem in its practical application. With respect to the specifications of vibration reduction normally defined in the frequency domain, neither gPC based control (Smith et al., 2006; Duong and Lee, 2010) nor probabilistic LQR is suitable in that they are mainly to design an optimal  $H_2$  or LQR controller with state-feedback for minimizing a cost function or for the reference tracking specified in the time domain. These comparisons provide us confidence to believe that the proposed control methodology control is the most appropriate for efficient active vibration control of piezoelectric flexible structures, where the probabilistic information of parametric uncertainties is investigated and the robustness properties of the closed-loop system are quantitatively ensured both in the deterministic sense and the probabilistic one. To illustrate the design process of the proposed control methodology and evaluate its effectiveness, a numerical case study is conducted in Section 4. Conclusions and perspectives are summarized in Section 5.

# 2. SYSTEM ANALYSIS

As known, one of the most significant characteristics of flexible structures is their highly resonant modes due to inherently small dissipation of kinetic and strain energy as reflected by a relatively small structural damping. This means that such flexible structures may experience considerable vibrations when they are excited around the resonant frequencies. Therefore, active vibration control is desirable to effectively reduce the frequency response magnitudes caused by external disturbance. To achieve this goal, the deterministic system modeling and the uncertainty analysis are required before detailed controller design.

#### 2.1. Deterministic System Modeling

Based on finite element modeling of piezoelectric flexible structures (Piefort, 2001), it is known that the plant transfer function  $G_p(s)$  from the voltage

V(s) exerted on one piezoelectric actuator to the acceleration output  $\tilde{Y}(x_s, s)$ at location  $x_s$  has the form

$$G_p(s) = \frac{\ddot{Y}(x_s, s)}{V(s)} = \sum_{k=1}^{\infty} G_{pk}(s) = \sum_{k=1}^{\infty} \frac{R_k s^2}{s^2 + 2\zeta_k \omega_k s + \omega_k^2}$$
(1)

Similarly, the disturbance transfer function  $G_d(s)$  from the external disturbance force  $F(x_d, s)$  at location  $x_d$  to  $\ddot{Y}(x_s, s)$  is

$$G_d(s) = \frac{\ddot{Y}(x_s, s)}{F(x_d, s)} = \sum_{k=1}^{\infty} G_{dk}(s) = \sum_{k=1}^{\infty} \frac{Q_k s^2}{s^2 + 2\zeta_k \omega_k s + \omega_k^2}$$
(2)

These models have an infinite number of resonant modes, however, in practice only the first few resonant modes can be employed in the controller design and the high-frequency neglected dynamics are represented by a dynamic uncertainty. To identify the modal parameters of  $G_p(s)$  and  $G_d(s)$ , their frequency responses  $T_{xy}(G_p(j\omega))$  and  $T_{xy}(G_d(j\omega))$  can be computed with the commercial software COMSOL over interested frequency ranges. This can be regarded to be analogous to performing realistic experimental investigations (Dong et al., 2006). Then, best curve fitting is performed to have those modal parameters (Schoukens and Pintelon, 1991). It is notable that  $G_p(s)$ and  $G_d(s)$  should have the same natural frequencies despite the errors in the curve fitting.

#### 2.2. Parametric Study

In this article, the generalized polynomial chaos (gPC) framework, *i.e.* Wiener-Askey polynomial chaos, is used to propagate structural property uncertainties into the natural frequency  $\omega_k$  and to achieve its probabilistic information. According to the gPC framework, we have the correspondence between the choice of the distribution of random variable  $\xi$  and the orthogonal polynomials  $\Gamma_i(\xi)$  as summarized in Table 1 (Xiu and Karniadakis, 2002). For example, if Young's Modulus E of the flexible structure is assumed to have Gaussian distribution, *i.e.*  $E \sim N(\mu_E, \sigma_E^2)$ , 1–D Hermite polynomials can be used for  $\omega_k$ 

$$\omega_k = \beta_{0k} + \beta_{1k}\xi_1 + \beta_{2k}(\xi_1^2 - 1) + \beta_{3k}(\xi_1^3 - 3\xi_1) + \beta_{4k}(\xi_1^4 - 6\xi_1^2 + 3) + \dots (3)$$

where  $\xi_1 = \frac{E-\mu_E}{\sigma_E}$  is a normalized random variable. Similarly, to consider independent variables, *e.g.* the Young's Modulus  $E \sim N(\mu_E, \sigma_E^2)$  and the density of the flexible structure  $\rho \sim N(\mu_\rho, \sigma_\rho^2)$ , 2–D Hermite polynomials can be used

$$\omega_k = \beta_{0k} + \beta_{1k}\xi_1 + \beta_{2k}\xi_2 + \beta_{3k}(\xi_1^2 - 1) + \beta_{4k}\xi_1\xi_2 + \beta_{5k}(\xi_2^2 - 1) + \dots$$
(4)

where  $\xi_2 = \frac{\rho - \mu_{\rho}}{\sigma_{\rho}}$ . The coefficients  $\beta$  can be determined using sampling scheme Latin Hypercube Sampling (LHS) with the regression and analysis of variance (Choi et al., 2004).

Random variable $\xi$	$\Gamma_i(\xi)$ of the Wiener-Askey scheme	
Gaussian	Hermite	
Uniform	Legendre	
Gamma	Laguerre	
Beta	Jacobi	

Table 1: The correspondence between choice of the distribution of random variable  $\xi$  and polynomials  $\Gamma_i(\xi)$  (Xiu and Karniadakis, 2002)

## 3. PROPOSED ROBUST CONTROL METHODOLOGY

# 3.1. Phase and Gain Control Policies Based $H_{\infty}$ Output Feedback Control

Phase and gain control policies based  $H_{\infty}$  output feedback control is used for the controller design. The typical  $H_{\infty}$  control framework for active vibration control is shown in Figure 1, where  $G_p$  and  $G_d$  represent reduced



Figure 1:  $H_{\infty}$  control structure



Figure 2: The specification of vibration control:  $U(\omega)$ 

nominal plant and disturbance dynamical models respectively, K the controller to be designed, d the disturbance signal, n the measurement noise, ythe output from the accelerometer, u the control energy, v the input signal to K. By incorporating weighting functions  $W_i$ , we have exogenous input signals w and regulated variable z. Appropriate selection of  $W_i$  is critical in  $H_{\infty}$  control to account for the relative magnitude of signals, their frequency dependence and their relative importance. Phase and gain control policies can offer available guidelines for the selection of  $W_i$  according to the specification of vibration reduction for flexible structures, for example, as illustrated in Figure 2, where the modulus of the frequency response of the transfer function between the disturbance input and the system output must be smaller than a user defined frequency-dependant positive function  $U(\omega)$ . The details of phase and gain control policies based  $H_{\infty}$  output feedback control are presented in Zhang et al. (2012) and the principle of phase and gain control policies is emphasized below:

• Roughly speaking, when  $|G_d(j\omega)| > U(\omega)$  the phase control policy has to be used such that the controller gain  $|K(j\omega)|$  is large enough for the specification of vibration reduction. Meanwhile, the robust stability to parametric uncertainties such as  $\omega_k$  and  $\zeta_k$  is qualitatively guaranteed by constraining the stable open loop transfer function  $L(j\omega) = G_p(j\omega)K(j\omega)$  to stay in the right half plane (RHP) on Nyquist plot around the controlled resonant frequencies, that is,  $\operatorname{Re}(L(j\omega)) \geq$ 0,  $\omega \in [\omega_k - \delta_{\omega_k}, \omega_k + \delta_{\omega_k}]$ . This can be regarded as a generalization of the passivity theorem (Khalil, 1996) based active vibration control, where collocated senors and actuators are required to guarantee the positive-realness of  $G_p(j\omega)$  (Zhou et al., 1996; Friswell et al., 1997; Moheimani and Vautier, 2005; Demetriou et al., 2009; Jiang and Li, 2011). By taking advantages of the positive-realness of  $G_p(j\omega)$ , a strictly positive real stable controller  $K(j\omega)$ , e.g. the velocity feedback controller (Balas, 1979), can be used to ensure  $L(j\omega)$  positive real. For instance, for single-input and single-output (SISO) systems, the positive real  $L(j\omega)$  implies that  $L(j\omega)$  retains in RHP at any frequency, *i.e.*  $\operatorname{Re}(L(j\omega)) \geq 0$ ,  $\forall \omega$ . This ensures that the closed-loop stability can

be unconditionally satisfied in the presence of any level of parametric and dynamic uncertainties only if  $L(j\omega)$  keeps positive real. However, sometimes due to the physical limitations or to have better control efficiency, a non-collocated control system has to be employed, which makes  $G_p(j\omega)$   $(L(j\omega))$  have no positive-realness. Furthermore, even the positive-realness of  $G_p(j\omega)$  is guaranteed by collocated sensors and actuators, a non positive real controller, *e.g.* the acceleration feedback control (Bayon de Noyer and Hanagud, 1998), may be used to make a trade-off between the stability robustness and other control objectives. The non-positive real  $L(j\omega)$  poses challenging problems for the controller design proposed for collocated systems. In such cases the phase control policy is desirable to employ.

When |G<sub>d</sub>(jω)| ≤ U(ω) no control energy is needed and the gain control policy is used to make |K(jω)| as small as possible to limit the control energy and have certain robust stability to the dynamic uncertainty based on the small gain theorem (Desoer and Vidyasagar, 1975). Actually the gain control policy can represent not only usual high-frequency neglected dynamics but may also include the dynamics over low or middle frequency ranges, where the phase control policy is not used. This means that the control energy is only advertently supplied to the controlled resonant modes.

Applying phase and gain control policies to  $H_{\infty}$  control, a set of weighting functions can be appropriately determined such that all the predetermined control objectives are satisfied simultaneously.



Figure 3: General LFT framework

#### 3.2. Deterministic and Probabilistic Robustness Analysis

Although phase and gain control policies based  $H_{\infty}$  control qualitatively account for parametric and dynamic uncertainties, it is desirable to perform deterministic and probabilistic robustness analysis to consider probabilistic information of parametric uncertainties and quantitatively ensure robustness properties of the closed-loop system both in the deterministic sense and the probabilistic one.

#### 3.2.1. Deterministic robustness analysis

To perform deterministic robustness analysis, the original stochastic system with parametric and dynamic uncertainties has to be rearranged by the uncertainty block  $\Delta$  and nominal augmented plant N as shown in Figure 3 (Zhou et al., 1996), where w(s) consists of exogenous input signals and z(s) consists of regulated variables. N can always be chosen so that  $\Delta$  is block diagonal, that is,  $\Delta \in \mathbf{\Delta}$ 

$$\boldsymbol{\Delta} \triangleq \{ \text{diag} \left[ \delta_1^r I_{t_1}, \dots, \delta_V^r I_{t_V}, \delta_{V+1}^c I_{r_1}, \dots, \delta_{V+S}^c I_{r_S}, \Delta_{V+S+1}, \dots, \Delta_{V+S+F} \right] : \\ \delta_k^r \in \mathbb{R}, \ \delta_{V+i}^c \in \mathbb{C}, \ \Delta_{V+S+j} \in \mathbb{C}^{m_j \times m_j}, 1 \le k \le V, \ 1 \le i \le S, 1 \le j \le F \}$$

where  $\mathbb{R}$  and  $\mathbb{C}$  denote the fields of real and complex numbers,  $\delta_k^r$  represents the  $k^{th}$  real scale parametric uncertainty with  $t_k$  repetition,  $\delta_{V+i}^c$  represents the  $i^{th}$  repeated complex scalar uncertainty with  $r_i$  repetition and  $\Delta_{V+S+j}$ represents the  $j^{th}$  full dynamic uncertainty with size  $m_j \times m_j$ . By incorporating suitable normalization functions in N such as  $W_{\text{Dyn}}(j\omega)$  for the dynamic uncertainty, we have  $\delta_k^r \in [-1, 1], \ |\delta_i^c| \leq 1$  and  $\bar{\sigma}(\Delta_j) \leq 1$  and the notation  $\boldsymbol{B}_{\Delta}$  is introduced for the norm bounded diagonal uncertainty block

$$\boldsymbol{B}_{\Delta} := \{\Delta \in \boldsymbol{\Delta} : \ \bar{\sigma}(\boldsymbol{\Delta}) \leq 1\}$$

By partitioning N(s) compatibly with the dimension of  $\Delta(s)$  we have

$$\begin{bmatrix} \Delta y \\ z \end{bmatrix} = \begin{bmatrix} N_{11} & N_{12} \\ N_{21} & N_{22} \end{bmatrix} \begin{bmatrix} \Delta u \\ w \end{bmatrix}; \ M = N_{11}$$
(5)

The closed-loop transfer function from w(s) to z(s) is represented by an upper linear fractional transformation (LFT),  $\mathscr{F}_u(N, \Delta)$ ,

$$z(s) = \mathscr{F}_u(N, \Delta)w(s) = (N_{22} + N_{21}\Delta(I - N_{11}\Delta)^{-1}N_{12})w(s)$$
(6)

Based on general LFT framework, the structured singular value  $\mu_{\Delta}(M)$ is defined (Skogestad and Postlethwaite, 2005)

$$\mu_{\Delta}(M) \triangleq \frac{1}{\min\{k_m \mid \det(I - k_m M \Delta) = 0, \ \Delta \in \boldsymbol{B}_{\Delta}\}}$$
(7)

The closed-loop robust stability is then determined by the following theorem (Zhou et al., 1996)

**Theorem 3.1.** Assume that the nominal system M and the perturbation  $\Delta$  are stable. Then the  $M - \Delta$  is stable for any  $\Delta \in \mathbf{B}_{\Delta}$  if and only if

$$\mu_{\Delta}(M(j\omega)) < 1, \ \forall \omega \tag{8}$$

Besides robust stability, the worst-case performance of the closed-loop system has to be investigated. Let us denote  $\Delta_1 = \text{diag}(\Delta_{\text{Para}}, \Delta_{\text{Dyn}}) \in \boldsymbol{B}_{\Delta_1}$ and define the worst-case performance  $\lambda_{wc}$  as

$$\lambda_{wc}(\omega) \triangleq \sup_{\Delta_1 \in \boldsymbol{B}_{\Delta_1}} \bar{\sigma}(\mathscr{F}_u(N, \Delta_1)(j\omega)), \ \forall \omega$$
(9)

then skewed  $\mu$  ( $\nu$ ) analysis is performed using a norm bounded fictitious performance uncertainty  $\Delta_2 = \Delta_{\text{Perf}}(j\omega)$ , *i.e.*  $\bar{\sigma}(\Delta_2) \leq 1$ , and a corresponding performance normalization function  $W_{\text{Perf}}(j\omega) = \frac{1}{U(\omega)}$ . According to the definition of  $\nu(\hat{N})$  (Ferreres and Fromion, 1999)

$$\nu(\hat{N}) \triangleq \frac{1}{\min\{k_n \mid \det(I - k_n \hat{N} \Delta) = 0, \ \Delta = \operatorname{diag}(\Delta_1, \ k_n \Delta_2), \ \Delta_i \in \boldsymbol{B}_{\Delta}\}}$$
(10)

we have

$$\nu(\hat{N}(j\omega)) \le 1 \Leftrightarrow \lambda_{wc}(\omega) \le U(\omega), \forall \omega$$
(11)

Compared to original N in Equation (5) for classical  $\mu$  analysis,  $\hat{N}$  also incorporates  $W_{\text{Perf}}(j\omega)$ . In addition, with  $\nu$  analysis we can calculate the largest gain  $\gamma_{\text{perf}}(\omega)$ , which represents how much the normalized parametric and dynamic uncertainties can be enlarged simultaneously before the worstcase performance is violated,

$$\gamma_{\text{perf}}(\omega) \triangleq \sup_{\gamma} \sup_{\Delta_1 \in \gamma \boldsymbol{B}_{\Delta_1}} \bar{\sigma}(\mathscr{F}_u(N, \Delta_1)(j\omega)) \le U(\omega), \forall \omega$$
(12)

As  $U(\omega)$  is a frequency dependent function,  $\gamma_{\text{perf}}(\omega)$  also depends on  $\omega$ . In the following  $\gamma_{\text{perf}}$  is used for the sake of simplicity.

As accurate calculation of the value of  $\mu_{\Delta}(M)$  is NP-hard (Braatz et al., 1994), lower and upper bounds of  $\mu_{\Delta}(M)$  are usually computed. The reciprocal of upper bound of  $\mu_{\Delta}(M)$  is referred to as deterministic robustness margin

$$k_{\rm DRM} = \frac{1}{\max \mu_{\Delta}(M)} \tag{13}$$

It means how much the normalized parametric and dynamic uncertainties can be enlarged simultaneously before the closed-loop system gets instable. The lower bound of  $\mu_{\Delta}(M)$  provides a destabilizing perturbation and reflects the conservatism in the upper bound. To compute the upper and lower bounds of  $\mu_{\Delta}(M)$ , Matlab Robust Control Toolbox makes use of the results from Young and Dolye (1990) and Young et al. (1992), where the frequency gridding is used over frequency ranges of interest. However, in the case of lightly damped flexible systems, narrow and high peaks on  $\mu_{\Delta}(M(j\omega))$ plot commonly exist around resonant frequencies (Freudenberg and Morton, 1992). This implies that if the frequency gridding is not sufficient enough and neglects the critical frequency at which  $\mu_{\Delta}(M(j\omega))$  is maximal, the robustness properties are overestimated. Therefore, in this article besides the ordinary frequency gridding method as used in Iorga et al. (2009), a frequency interval method (Ferreres et al., 2003) is applied to have more reliable results, *i.e.* they are neither conservative nor overestimated. Similarly, for reliable  $\nu(N)$  calculation for lightly damped flexible systems, both Matlab built-in function 'wcgain' and the general skewed mu toolbox (SMT) (Ferreres et al., 2004) can be used, which employs the frequency gridding method and the frequency interval method respectively.

#### 3.2.2. Probabilistic robustness analysis

In the context of probabilistic robustness analysis, the uncertainty  $\Delta$ is indeed bounded within a given set but it is also a random matrix with support  $\mathcal{B}_{\mathbb{D}}(\rho) = \{\Delta : \Delta \in \rho \mathbf{B}_{\Delta}\}$  having given distribution (Tempo et al., 2004). In this article, probabilistic robustness margin  $k_{\text{PRM}}$  and probabilistic worst-case performance are computed with a randomized algorithm such as Monte Carlo Simulation (MCS).

Based on an associated positive level  $\gamma$ , the probability of  $k_{\rm PRM}$  is repre-

sented by  $p(\gamma)$  defined as

$$p(\gamma) \triangleq P_R\{k_{\text{PRM}} \le \gamma\} \tag{14}$$

This means that with probability  $p(\gamma)$ , we have  $k_{\text{PRM}} \leq \gamma$ . As exact computation of  $p(\gamma)$  is in general very difficult,  $p(\gamma)$  is usually estimated by its empirical probability  $\hat{p}_N(\gamma)$ . For every value of  $\gamma$ , the random sampling generates the uncertainties as  $\Delta^1, \Delta^2, \ldots, \Delta^n \in \mathcal{B}_{\mathbb{D}}(\gamma)$  and thus  $\hat{p}_n(\gamma)$  is

$$\hat{p}_n(\gamma) = \frac{1}{n} \sum_{i=1}^n I(\Delta^i), \ \Delta^i \in \mathcal{B}_{\mathbb{D}}(\gamma)$$
(15)

where  $I(\Delta^i)$  is a indicator to the stability of the closed-loop system:  $I(\Delta^i) = 1$  means the closed-loop system is stable, otherwise,  $I(\Delta^i) = 0$ . The sampling number n is based on Chernoff bound (Tempo et al., 1997), that is, for any  $\epsilon \in (0, 1)$  and  $\delta \in (0, 1)$ ,

$$n \ge \frac{1}{2\epsilon^2} \log \frac{2}{\delta} \tag{16}$$

Obviously, this sampling number n is independent on the number of uncertainties. It ensures that with the probability  $1 - \delta$ , we have

$$|\hat{p}_n(\gamma) - p(\gamma)| \le \epsilon.$$

To perform probabilistic worst-case performance for the specification of vibration reduction, denote  $J(\Delta^i) = \bar{\sigma}(\mathscr{F}_u(N, \Delta^i)(j\omega)), \forall \omega$  and define  $\lambda_{wc}(\rho)$  for every interested  $\rho$ ,

$$\lambda_{wc}(\rho) \triangleq \sup_{\Delta^i \in \mathcal{B}_{\mathbb{D}}(\rho)} (J(\Delta^i))$$
(17)

As exact computation of  $\lambda_{wc}(\rho)$  is very difficult, it is usually estimated by its empirical probability  $\bar{\lambda}_m(\rho)$  defined as

$$\bar{\lambda}_m(\rho) = \max_{\substack{\Delta^i \in \mathcal{B}_{\mathbb{D}}(\rho), \\ i=1,2\dots,m}} J(\Delta^i)$$
(18)

where the uncertainties  $\Delta^1, \Delta^2, \ldots, \Delta^m \in \mathcal{B}_{\mathbb{D}}(\rho)$  are randomly generated and the sampling number *m* is determined based on log-over-log bound (Tempo et al., 1997), that is, for any  $\epsilon \in (0, 1)$  and  $\delta \in (0, 1)$ ,

$$m \ge \frac{\log \frac{1}{\delta}}{\log \frac{1}{1-\epsilon}} \tag{19}$$

This sampling number m ensures that with the probability  $1 - \delta$ , we have

$$P_R\{\lambda_{wc}(\rho) > \bar{\lambda}_m(\rho)\} \le \epsilon.$$

From the definition of  $\gamma_{\text{perf}}$  in Equation (12),  $\rho$  can be regarded as risked adjusted  $\tilde{\gamma}_{\text{perf}}$  in a probabilistic sense.

With given  $\epsilon \in (0, 1)$  and  $\delta \in (0, 1)$ , the focus of probabilistic robustness analysis is to compute  $\hat{p}_n(\gamma)$  and  $\bar{\lambda}_m(\rho)$  for interested  $\gamma$  and  $\rho$ , which are associated with  $k_{\text{PRM}}$  and  $\tilde{\gamma}_{\text{perf}}$ . On the one hand,  $k_{\text{PRM}}$  and  $\tilde{\gamma}_{\text{perf}}$  can be used to verify the conservatism and the overestimation in  $k_{\text{DRM}}$  and  $\gamma_{\text{perf}}$  in a nearly deterministic sense. On the other hand, they can be used to reflect the conservatism in  $k_{\text{DRM}}$  and  $\gamma_{\text{perf}}$  to some extent in a probabilistic sense. Obviously, above deterministic and probabilistic robustness analysis complement and compare each other and can provide reliable and comprehensive investigation of the closed-loop robustness properties.

# 4. NUMERICAL CASE STUDY

The design process and effectiveness of the proposed control methodology are illustrated by robust active vibration control of the piezoelectric cantilever beam consisting of one piezoelectric actuator and one accelerometer as shown in Figure 4. With nominal structural properties, finite element analysis (FEA) is performed in COMSOL and then parameter identification is used to acquire the corresponding plant and dynamical models  $G_p(s)$  and  $G_d(s)$  with the first five resonant modes. Their frequency responses are well consistent with those from FEA as shown in Figure 5. As expected, the poles are the same for  $G_p(s)$  and  $G_d(s)$ .



Figure 4: The piezoelectric cantilever beam

According to the specification of vibration reduce illustrated in Figure 2 and the principle of phase and gain control polices, only the first three resonant modes are necessary to employ in  $H_{\infty}$  control and thus the effects of structural properties on  $\omega_i$ , i = 1, 2, 3 have to investigated. Other higher resonant modes are represented by a dynamic uncertainty. In this article, E and  $\rho$  of the cantilever beam are assumed to have Gaussian distributions, that is,  $E \sim N(\mu_E, \sigma_E^2)$  and  $\rho \sim N(\mu_\rho, \sigma_\rho^2)$  with  $\mu_E = 50$  Gpa,  $\sigma_E = 1.67$  Gpa and  $\mu_\rho = 2500$  kg/m<sup>3</sup>,  $\sigma_\rho = 250$  kg/m<sup>3</sup>. If only uncertain E is considered, with gPC framework and eigenvalues analysis in COMSOL, 1–D PCE models are developed using 30 LHS and 10000 MCS samples, for example,

$$\omega_1 = 219.0 + 3.46E; \text{ MCS}$$
  
 $\omega_1 = 219.2 + 3.46E; \text{ PCE}$ 

Similarly when both uncertain E and  $\rho$  are investigated we have

$$\omega_1 = 418.2 + 3.49E - 0.0798\rho;$$
 MCS  
 $\omega_1 = 414.2 + 3.45E - 0.0773\rho;$  PCE



Figure 5: FEA and identified frequency responses of  $G_d(s)$  and  $G_p(s)$ 

where the units of  $\omega$  and E are rad/sec and Gpa. This approximated linear relationship can also be explained from Taylor series expansions of theoretical  $\omega_k$  without considering the effects of piezoelectric actuators (Qiu et al., 2009), that is,  $\omega_k = g_k \sqrt{\frac{E}{\rho}}$ , where  $g_k$  is an constant associated to structural properties. With the first-order Taylor series expansions for E, we have the comparisons of Figure 6, which demonstrate that gPC based uncertainty analysis have sufficient accuracy and great improvement in efficiency compared to MCS. It is also shown that for this particular case the effects of the bounded piezoelectric actuator on  $\omega_k$  are significant and must be taken into account in the system modeling. This is different from the case in Qiu et al. (2009). As  $\omega_k$  is more sensitive to the variation of E compared to that of  $\rho$ , for the sake of simplicity, only uncertain E is considered in subsequent robustness analysis.



Figure 6: Theoretical, Taylor series expansion, MCS and PCE for  $\omega_1$ 



Figure 7: The decomposed  $H_\infty$  control structure

In the  $H_{\infty}$  controller design and the robustness analysis, the relation-

ship between  $G_{dk}(s)$  and  $G_{pk}(s)$  is considered with the scale constant  $g_k$  as illustrated in the decomposed  $H_{\infty}$  control structure of Figure 7. This decomposition can reduce the achieved  $H_{\infty}$  controller order and allow us to make a trade-off among the vibration reduction for every controlled mode. When the phase control policies is used  $L(j\omega)$  has to be large enough and  $|K(j\omega)(1 + \omega)|$  $L(j\omega))^{-1} \approx |K(j\omega)|$ . This implies that the requirements on  $|K(j\omega)|$  can be approximately reflected by  $||T_{w_2 \to z_2}(j\omega)||_{\infty} \leq 1$ , *i.e.*  $|K(j\omega)| \leq \frac{1}{|W_n(s)W_u(s)|}$ . Normally the larger  $|K(j\omega)|$  is, the better the control performance is, however, this could degrade the robust stability of the closed-loop system in the presence of parametric and dynamic uncertainties and increase the control effort (Balas and Doyle, 1994). As a result, trade-offs among those control objectives have to be considered in the selection of  $W_i$ . In this particular case, it is apparent from Figure 2 that the phase control policy has to be applied to the second and third resonant modes and the gain control policy has to be applied to the first resonant mode and high-frequency neglected ones. Therefore, a second order  $W_u(s)$  is used

$$W_u(s) = k \frac{s + M\omega_B^*}{s + \epsilon} \frac{s + fM\omega_B^*}{s + 0.1fM^2\omega_B^*}$$
(20)

where the parameters k,  $\epsilon$ , M, f and  $\omega_B^*$  are determined based on phase and gain control policies such that the requirements on  $|K(j\omega)|$  are satisfied among different frequency ranges.

The following set of  $W_i$  is employed for this case:  $W_n = 5, W_v = \frac{1}{50}, W_d = \frac{1}{100}, W_{y2} = \frac{1}{3.2}, W_{y3} = \frac{1}{4.0}$  and  $k = 1, \ \epsilon = 10^{-6}, \ M = 1000, \ f = 2, \ \omega_B^* = 3.$ With these weighting functions, we have the corresponding controller  $K_{\infty}(s)$ . As expected and illustrated in Figure 8, with  $K_{\infty}(s)$  the phase control policy is applied to the second and third resonant modes, *i.e.* around  $\omega_{2/3} |K_{\infty}(j\omega)|$ is large enough and  $L(j\omega) = G_p(j\omega)K_{\infty}(j\omega)$  stays in RHP; the gain control policy is applied to the first resonant mode and high-frequency neglected ones, *i.e.* around  $\omega_1 |K_{\infty}(j\omega)|$  is small and at high frequencies  $K_{\infty}(j\omega)$  rolls off quickly, which ensures  $|L(j\omega)|$  small enough at these frequencies. Although these analysis imply that with  $K_{\infty}(s)$  qualitative robustness properties of the closed-loop system can be achieved, reliable robustness analysis has to be performed subsequently to obtain quantitative robustness properties.



Figure 8: Phase and gain control polices with  $K_{\infty}(s)$ 

Based on above uncertainty analysis with PCE, assuming  $E \in [45, 55] = 50 + 5\delta_E$ ,  $|\delta_E| \le 1$ , we have

$$\omega_k = \omega_{k0} + \omega_{k1}\delta_E; \ |\delta_E| \le 1, k = 1, 2, 3$$

This transformation from  $\delta_{\omega_k}$  to  $\delta_E$  allows us to consider the probabilistic information of  $\omega_k$  due to distributed E and the relationship among every  $\omega_k$ . Uncertain  $\zeta_k$  can be assumed to have certain deviation such as 20% about its nominal value

$$\zeta_k = \zeta_{k0} + \zeta_{k1} \delta_{\zeta_k}; \ |\delta_{\zeta_k}| \le 1, \zeta_{k1} = 0.2\zeta_{k0}, k = 1, 2, 3$$

To represent dynamic and fictitious performance uncertainties, norm bounded uncertainty  $\Delta_{\text{Dyn}}(j\omega)$  and  $\Delta_{\text{Perf}}(j\omega)$  are used with suitable dynamic normalization functions  $W_{\text{Dyn}}(j\omega)$  and  $W_{\text{Perf}}(j\omega)$ . With Simulink modeling, the fact that  $G_p(s)$  and  $G_d(s)$  have the same natural frequencies is considered and the nominal augmented plant N' and corresponding structured uncertainty  $\Delta' = \text{diag}(\Delta'_1, \Delta'_2) \in \mathbf{B}_{\Delta}$  are developed, where  $\Delta'_1 = \text{diag}(\Delta_{\text{Para}}, \Delta_{\text{Dyn}})$  and  $\Delta'_2 = \Delta_{\text{Perf}}$ , especially,  $\Delta_{\text{Para}} = \text{diag} [\delta_E I_6, \delta_{\zeta 1}, \delta_{\zeta 2}, \delta_{\zeta 3}].$ 



Figure 9: Deterministic robust stability analysis with  $\zeta_{k1} = 0.2\zeta_{k0}$ 

With obtained N' and  $\Delta'$ , above mentioned frequency gridding and frequency interval methods are used for deterministic robustness analysis without considering any probabilistic information of  $\omega_k$  or  $\zeta_k$ . When  $\zeta_{k1} = 0.2\zeta_{k0}$ the deterministic robust stability analysis of Figure 9 shows that the upper and lower bounds of  $\mu$  from the frequency gridding method coincide around resonant frequencies and they are also consistent well with the upper bound of  $\mu$  from the frequency interval method. This means that the estimated  $\mu$ and corresponding  $k_{\text{DRM}} = 4.76$  are reliable, in other words, the closed-loop system remains stable for any  $\Delta \in 4.76 \Delta'_1$ . With  $\nu$  analysis the results of deterministic worst-case performance are illustrated in Figure 10, which show that the upper and lower bounds of the worst-case performance from the frequency gridding method ('wcgain') coincide and they are also well consistent with the results from the frequency interval method (SMT). These results ensure that obtained  $\gamma_{\text{perf}} = 1.70$  is reliable, that is, the specification of vibration reduction is fulfilled for any  $\Delta \in 1.70 \Delta'_1$ . It is notable that as every  $\omega_k$  depends on  $\delta_E$ , the worst-case performances for the second and third resonant modes can not happen at the same time.



Figure 10: Deterministic worst-case performance with  $\zeta_{k1} = 0.2\zeta_{k0}$  and  $\Delta \in 1.70\Delta_1^{\prime}$ 

Probabilistic robustness analysis is performed to consider probabilistic information of  $\omega_k$  and  $\zeta_k$  and provide complements and comparisons to above deterministic robustness analysis. In this numerical case, both uniformly and Gaussian distributed E are considered and  $\zeta_k$  is assumed to have uni-

Uniformly distributed $E$	Gaussian distributed $E$	
$\hat{p}_n(4.76) = 100\%$	$\hat{p}_n(4.76) = 100\%$	
$\hat{p}_n(4.98) = 98.20\%$	$\hat{p}_n(4.98) = 98.22\%$	

Table 2: Probabilistic stability analysis:  $\epsilon = 0.01, \delta = 0.02, \zeta_{k1} = 0.2\zeta_{k0}$ 



Figure 11: Probabilistic robust stability analysis with  $\zeta_{k1} = 0.1\zeta_{k0}, \epsilon = 0.01, \delta = 0.02$ 

form distribution. When  $\zeta_{k1} = 0.2\zeta_{k0}$  the results from probabilistic stability analysis are illustrated in Table 2 with  $\epsilon = 0.01, \delta = 0.02$ . It verifies that with probability  $1 - \delta = 98\%$  for either uniformly or Gaussian distributed  $\omega_k$ , the closed-loop system remains stable for all sampled  $\Delta \in 4.76\Delta'_1$ . Additionally, a few destabilizing perturbations  $\Delta_{des} \in 4.77\Delta'_1$  are founded. It is reasonable to conclude that  $k_{\text{DRM}} = 4.76$  from  $\mu$  analysis is neither conservative nor overestimated. Probabilistic stability analysis also shows that for uniformly distributed E if a 1.80% loss of probabilistic robust stability is tolerated, the corresponding  $k_{\text{PRM}} = 4.98$  is increased by 5.96% with respect to its deterministic counterpart  $k_{\text{DRM}} = 4.76$ .

Above probabilistic stability analysis is based on the normalization  $\zeta_{k1} = 0.2\zeta_{k0}$ , *i.e.*  $\zeta_k$  have 20% deviation of its nominal value. This limits  $k_{\text{DRM}}$  and  $k_{\text{PRM}}$  smaller than 5 to guarantee  $\zeta_k > 0$  and explains why this is no

significant difference between  $k_{\text{DRM}} = 4.76$  and  $k_{\text{PRM}} = 4.98$ . To more clearly reveal the conservatism of  $k_{\text{DRM}}$  from a probabilistic point of view,  $\zeta_k$  is assumed to have 10% deviation of its nominal value, *i.e.*  $\zeta_{k1} = 0.1\zeta_{k0}$ , but the normalization of other uncertainties are not changed. This enlarges allowable  $k_{\text{DRM}}$  and  $k_{\text{PRM}}$  to 10 and reduces the relative normalization of  $\zeta_k$ with respect to that of other uncertainties as illustrated by red rectangles in Figure 12. When  $\zeta_{k1} = 0.1\zeta_{k0}$ , we have  $k_{\text{DRM}} = 6.20$  and the probability degradation function of  $k_{\text{PRM}}$  of Figure 11. This shows that with probability 98%, if a 3.50% loss of probabilistic robust stability is tolerated, for Gaussian distributed  $E k_{\text{PRM}} = 9.9$ , which is increased by 59.7% with respect to its deterministic counterpart  $k_{\text{DRM}} = 6.20$  and increased by 32.0% with respect to the result for uniformly distributed E. The results are summarized in Table 3. Compared to Table 2, the difference between  $k_{\text{DRM}}$  and  $k_{\text{PRM}}$  is more significant. With this normalization, we have  $\gamma_{perf} = 2.0$ . The effects of relative normalization of  $\zeta_k$  with respect to that of other uncertainties on  $k_{\rm DRM}$  and  $\gamma_{\rm perf}$  are illustrated in Figure 12, where the zero point corresponds to the nominal values of the uncertainties.

Uniformly distributed $E$	Gaussian distributed ${\cal E}$	
$\hat{p}_n(6.20) = 100\%$	$\hat{p}_n(6.20) = 100\%$	
$\hat{p}_n(7.50) = 96.5\%$	$\hat{p}_n(9.90) = 96.5\%$	

Table 3: Probabilistic stability analysis:  $\epsilon = 0.01, \delta = 0.02, \zeta_{k1} = 0.1\zeta_{k0}$ 

Probabilistic worst-case performance analysis is also performed. When  $\zeta_{k1} = 0.2\zeta_{k0}$ , the results are summarized in Table 4 and Table 5. On the one hand, from Table 4 it is demonstrated that with probability 98%, the specification of vibration reduction is fulfilled for all sampled  $\Delta'_1 \in 1.70 \mathbf{B}_{\Delta_1}$ , but when  $\Delta'_1 \in 1.72 \mathbf{B}_{\Delta_1}$  a few perturbations can be founded to violate the specification of vibration reduction for uniformly distributed E. These re-



Figure 12: Deterministic robust domains in the space of uncertainties

sults verify that  $\gamma_{\text{perf}} = 1.70$  from  $\nu$  calculation is neither conservative nor overestimated. On the other hand, from Table 5 it is demonstrated that with probability 90%, the risk adjusted  $\tilde{\gamma}_{\text{perf}} = 2.21$  for Gaussian distributed E. This is increased by 30.0% with respect to its deterministic counterpart  $\gamma_{\text{perf}} = 1.70$  and increased by 15.1% with respect to the result for uniformly distributed E. The effects of various distributed E on the worst-case performance are also of significance in statistics meaning as illustrated in Figure 13 with  $\epsilon = 0.001, \delta = 0.1, \zeta_{k1} = 0.2\zeta_{k0}$  and  $\Delta'_1 \in 2.10 \mathbf{B}_{\Delta_1}$ .

Targeted resonant mode	Uniformly distributed $E$	Gaussian distributed ${\cal E}$
The second mode	$\bar{\lambda}_m(1.70) = 48.30 \mathrm{dB} < 50.00 \mathrm{dB}$	$\bar{\lambda}_m(1.70) = 48.02 \mathrm{dB} < 50.00 \mathrm{dB}$
	$\bar{\lambda}_m(1.72) = 49.04 \text{dB} < 50.00 \text{dB}$	$\bar{\lambda}_m(1.72) = 48.70 \mathrm{dB} < 50.00 \mathrm{dB}$
The third mode	$\bar{\lambda}_m(1.70) = 51.67 \mathrm{dB} < 52.00 \mathrm{dB}$	$\bar{\lambda}_m(1.70) = 51.50 \mathrm{dB} < 52.00 \mathrm{dB}$
	$\bar{\lambda}_m(1.72) = 52.50 \mathrm{dB} > 52.00 \mathrm{dB}$	$\bar{\lambda}_m(1.72) = 51.94 \mathrm{dB} < 52.00 \mathrm{dB}$

Table 4: Probabilistic worst-case performance analysis:  $\epsilon = 0.001, \delta = 0.02, \zeta_{k1} = 0.2\zeta_{k0}$ 

Above deterministic and probabilistic robustness analysis show that for lightly damped flexible systems employed methods provide reliable calculation of  $\mu$  and  $\nu$  and thus we have neither conservative nor overestimated  $k_{\text{DRM}}$  and  $\gamma_{\text{perf}}$  in a deterministic sense, but these values may turn out to be

Targeted resonant mode	Uniformly distributed $E$	Gaussian distributed ${\cal E}$
The second mode	$\bar{\lambda}_m(1.92) = 48.72 \mathrm{dB}$	$\bar{\lambda}_m(2.21) = 48.83 \mathrm{dB}$
The third mode	$\bar{\lambda}_m(1.92) = 52.00 \mathrm{dB}$	$\bar{\lambda}_m(2.21) = 52.00 \mathrm{dB}$

Table 5: Probabilistic worst-case performance analysis:  $\epsilon = 0.001, \delta = 0.1, \zeta_{k1} = 0.2\zeta_{k0}$ 

conservative to some extent in a probabilistic sense as compared to  $k_{\text{PRM}}$  and  $\tilde{\gamma}_{\text{perf}}$ . These robustness analysis also demonstrate that with proposed control methodology we can have attractive robustness properties of the closed-loop system both in the deterministic sense and the probabilistic one. However, it is notable that the main purpose of the proposed control methodology is not only to design a good controller for active vibration control, which is sometimes easy to achieve with simpler control methods such as the velocity feedback control, the acceleration feedback control and so on, but also to offer a general and systematic way to achieve several trade-offs between conflicting objectives, *e.g.* the robust stability and robust performance, the vibration reduction for every targeted resonant mode and the deterministic and probabilistic robustness properties.



Figure 13: Probabilistic worst-case performance analysis in statistics meaning

# 5. CONCLUSIONS

This article focuses on developing a general and systematic control methodology for robust active vibration control of piezoelectric flexible structures by building a bridge among several techniques from various disciplines. The proposed control methodology can investigate the effects of structural properties on the natural frequencies and consider them in various robustness analysis to quantitatively verify the robustness properties of the closed-loop system both in the deterministic sense and the probabilistic one. The proposed control methodology can reduce the conservatism in classical robust control from a practical point of view. In this article, the design process and the effectiveness of the proposed control methodology are illustrated by active vibration control of a piezoelectric cantilever beam. In the following research, the proposed methodology may be applied to other structures in the presence of various uncertainties, *e.g.* (Zhong et al., 2010), and be applied to multiple-input and multiple-output (MIMO) systems. Based on (Dinh et al., 2005) it can also be extended to handle time-varying uncertainties.

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