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Partial linearization of the PVTOL aircraft with internal stability

V. Léchappé, Y. Aoustin, L.A. Márquez-Martínez and C.H. Moog

Abstract— The planar vertical take-off and landing (PVTOL) aircraft is worked out by inspecting the various feedback linearization solutions. Partial linearizations of the state space are performed, which ensure the stability of the associated internal dynamics. The results are obtained through the search of dummy outputs with a 0-dimensional, 1-dimensional or 2-dimensional zero-dynamics that are stable.

Index Terms—nonlinear, MIMO, underactuated, nonminimum phase, zero-dynamics, internal dynamics, algebraic method, partial linearization, stabilization, PVTOL

I. INTRODUCTION

VTOL (Vertical Take-Off and Landing) aircrafts are adapted for applications in a narrow environment since they do not require strips for take-off or landing. Helicopters and quad-rotors are examples of such devices. PVTOL (Planar VTOL) aircrafts are VTOL aircrafts that perform only in the vertical plane. Hauser et al. [1] have exhibited the mechanical model of the PVTOL in 1992. It is obtained by applying Newton's laws of motion to a rigid body subjected to its weight and thrusts (see [2] for a set of equations). The model is simplified because it does not take into account aerodynamic effects, wings deformation and propellers dynamics (for more complete models see [3] and [4]). This model motivated numerous works as it keeps the main characteristics of 6DOF VTOL vehicles, including the coupling between rotation and translation. Furthermore, it is simple enough for the purpose of control. This coupling is represented by the parameter ϵ in (1). It is shown in [1] that there exists an unstable internal dynamics of order 2 when the "natural" outputs (horizontal position and altitude) are linearized by state feedback. The system is said to be non-minimum phase. A controller was designed neglecting the coupling, and applied to the real system. Good results are obtained for slightly non-minimum phase systems (with weak coupling). The main drawback remains when the coupling becomes stronger.

Besides, many papers have considered the control of the PVTOL using various methods. Amongst them, one may cite digital control [5], flat control [6], backstepping [7][8], sliding mode control [9], predictive control [10] and model-free control [2]. Various control problems have been considered like output tracking [11], stabilization with bounded inputs [12], robustness to delay in the inputs [13], robustness to delayed outputs [14], robustness to crosswind [15] and robustness to aerodynamic effects [4].

In [6], flat outputs are computed to completely linearize the system. Those flat outputs are interpreted as the coordinates of the center of percussion. The controller yields a good performance for both slight and strong couplings but exhibits a singularity. Besides, it requires the computations of the fourth time derivatives of each output. Other authors use linearization but not on the entire system [16] or just to shape the model before applying other methods [17]. In [16], Consolini et al. design a feedback that decomposes the PVTOL model into a transversal subsystem which is linear time invariant and a tangential subsystem. These subsystems are then driven by transversal and tangential control inputs to solve a path following problem. The methodology exhibits output invariance of the path and boundedness of the roll angle.

An exhaustive analysis of the feedback linearization solutions is not available yet: the two major milestones are [1], which copes with a 2-dimensional unstable zero-dynamics, and [6] which gets rid of any zero-dynamics. The present article investigates new solutions: two outputs are sought such that the resulting zero-dynamics has dimension 1. It is shown that they can be computed so that their zero-dynamics is asymptotically stable, and its velocity can be tuned as well (by the proper choice of the outputs).

This paper is organized as follows: Section II is a presentation and a structural analysis of the model and the existing results [1], [6]. We will also explain some results on the order of the zeros at infinity. Section III is divided in two parts: the first one computes the inversion algorithm and displays controller's equations. The second one is dedicated to the internal dynamics stability. Finally, several simulation results are given and the performance is compared with the one derived from the flat outputs linearization [6] in section IV.

II. PRESENTATION AND STRUCTURAL ANALYSIS WITH THE ALGEBRAIC METHOD

A. Analysis of the PVTOL equations

The normalized equations (1) of the PVTOL, associated to Figure 1, were obtained in [1], considering the aircraft as a rigid body upon which act its weight and the thrusts:

$$\begin{cases} \ddot{x} = -u_1 \sin \theta + \epsilon u_2 \cos \theta \\ \ddot{z} = u_1 \cos \theta + \epsilon u_2 \sin \theta - 1 \\ \ddot{\theta} = u_2 \end{cases}$$
(1)

where $\epsilon > 0$. x and z denote, respectively, the horizontal position and the altitude of the PVTOL's center of gravity and θ the roll angle. u_1 is the thrust which is always positive and u_2 is the rolling moment."-1" is the normalized gravity

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Fig. 1. View of the PVTOL aircraft in the vertical plane

acceleration, and the parameter ϵ represents the coupling between the horizontal and the vertical motions. The 6dimensional state vector is $[x \ z \ \theta \ \dot{x} \ \dot{z} \ \dot{\theta}]^T$. The system is underactuated because it has three degrees of freedom (x,z,θ) but only two control inputs (u_1, u_2) .

We are now going to analyze \mathcal{H}_k subspaces to characterize outputs with the maximum relative degree. \mathcal{H}_k are subspaces containing all the elements (one-forms) whose relative degree is greater than or equal to k. More explanations over \mathcal{H}_k subspaces and integrability condition are available in [18]. After some computations, we obtain:

$$\begin{aligned} \mathcal{H}_1 &= span\{dx, d\dot{x}, d\dot{z}, d\dot{z}, d\theta, d\theta\} \\ \mathcal{H}_2 &= span\{dx, dz, d\theta, d(\cos\theta\dot{x} + \sin\theta\dot{z} - \epsilon\dot{\theta})\} \\ \mathcal{H}_3 &= span\{\omega_1, \omega_2\} \\ \mathcal{H}_4 &= span\{0\} \\ \text{with } \omega_1 &= \cos\theta dx + \sin\theta dz - \epsilon d\theta \\ \text{and } \omega_2 &= \dot{\theta}\sin\theta dx + \cos\theta d\dot{x} - \dot{\theta}\cos\theta dz + \sin\theta d\dot{z} - \epsilon d\dot{\theta} \end{aligned}$$

 \mathcal{H}_4 equal to 0 means that there is no autonomous element so the system is accessible. However, \mathcal{H}_3 is not fully integrable according to Frobenius Theorem because $d\omega_1 \wedge \omega_1 \wedge \omega_2 \neq 0$ so the input/state linearization can not be solved by static feedback. Finally, it can be shown that there is no (nonzero) exact one-form in \mathcal{H}_3 : no output exists with a relative degree greater than or equal to 3.

B. Partial linearization with a 2-dimensional internal dynamics [1]

Choosing x and z as outputs [1], the following decoupling matrix is obtained:

$$\begin{pmatrix} \frac{\partial \ddot{x}}{\partial u_1} & \frac{\partial \ddot{x}}{\partial u_2} \\ \frac{\partial \ddot{z}}{\partial u_1} & \frac{\partial \ddot{z}}{\partial u_2} \end{pmatrix} = \begin{pmatrix} -\sin\theta & \epsilon\cos\theta \\ \cos\theta & \epsilon\sin\theta \end{pmatrix}$$

whose determinant is $-\epsilon$. Since $\epsilon \neq 0$ the matrix rank is 2 and it is said that the outputs (x and z) have 2 zeros at infinity of order 2. The notion of zeros at infinity describes how control inputs occur explicitly in output derivatives. Here u_1 and u_2 appear independently in the second derivative of xand z since the decoupling matrix is invertible. Applying the static feedback:

$$\begin{pmatrix} u_1 \\ u_2 \end{pmatrix} = \begin{pmatrix} -\sin\theta & \cos\theta \\ \frac{\cos\theta}{\epsilon} & \frac{\sin\theta}{\epsilon} \end{pmatrix} \left(\begin{pmatrix} v_1 \\ v_2 \end{pmatrix} + \begin{pmatrix} 0 \\ 1 \end{pmatrix} \right)$$

leads to the system :

$$\begin{array}{rcl} x & = & v_1 \\ \ddot{z} & = & v_2 \\ \ddot{\theta} & = & \frac{1}{\epsilon} (\sin \theta + v_1 \cos \theta + v_2 \sin \theta) \end{array}$$

We see that the evolution of the third equation is imposed by the decoupling of \ddot{x} and \ddot{z} : this is the internal dynamics associated to the outputs x and z. The order of the dynamics is calculated subtracting the number of states from the sum of the order of the zeros at infinity: we have an internal dynamics of order 6-4=2. Canceling the outputs, and all their time derivatives, the zero-dynamics is obtained as:

$$\ddot{\theta} = \frac{1}{\epsilon} \sin \theta \tag{2}$$

Equation (2) is the equation of an undamped pendulum which has two equilibrium points. However, one equilibrium point is unstable so it is said that the system is non-minimum phase for the outputs x and z.

C. Flat outputs [6]

In [6], it has been shown that the outputs

$$x_f = x - \epsilon \sin \theta$$
 $z_f = z + \epsilon \cos \theta$

are without zero-dynamics. They are called flat outputs. By computing the second time derivative of x_f , and the fourth time derivative of z_f , we get the invertible decoupling matrix:

$$\begin{pmatrix} \frac{\partial \ddot{x}_f}{\partial u_1} & \frac{\partial \ddot{x}_f}{\partial u_2} \\ \\ \frac{\partial z_f^{(4)}}{\partial u_1} & \frac{\partial z_f^{(4)}}{\partial u_2} \end{pmatrix} = \begin{pmatrix} -\sin\theta & 0 \\ 0 & -\eta_1 \sin\theta \end{pmatrix}$$

where $\eta_1 = u_1 - \epsilon \dot{\theta}^2$. Thus, the orders of zeros at infinity are 2 and 4, and the sum is 6 as the number of states so the internal dynamics is empty. The dynamic feedback

$$\begin{cases} \dot{\eta}_{1} = \eta_{2} \\ \dot{\eta}_{2} = -v_{1}\sin\theta + v_{2}\cos\theta + \eta_{1}\dot{\theta}^{2} \\ u_{1} = \eta_{1} + \epsilon\dot{\theta}^{2} \\ u_{2} = \frac{1}{\eta_{1}}(-v_{1}\cos\theta - v_{2}\sin\theta - 2\eta_{2}\dot{\theta}) \end{cases}$$

where $\eta_2 = \dot{\eta}_1$, decouples and fully linearizes PVTOL equations:

$$\begin{cases} x_f^{(4)} &= v_1 \\ z_f^{(4)} &= v_2 \end{cases}$$

Note that the controller dynamics is 2 (η_1 , η_2) and, that it has a singularity in $\eta_1 = 0$. Then by an appropriate poleplacement, x_f and z_f are stabilized. That is equivalent to stabilize x and $z + \epsilon$ because $\theta=0$ at equilibrium. The result holds even for strong coupling values.

D. Partial linearization with a 1 dimension internal dynamics

The exhaustive investigation of partial linearization is not done yet, although new alternatives are offered to circumvent the minimum phase issue, as shown next. On one hand, the outputs (x,z) were recalled to have zeros at infinity of order (2,2), and an internal dynamics of order 2. On the other hand, the outputs (x_f, z_f) have zeros at infinity of order (4,2), and an internal dynamics of order 0. Any alternative choice of output will feature a structure displayed in the following table:

Orders of the zeros at	Order of the internal
infinity	dynamics
(5,1) (4,2) (3,3)	0
(4,1) (3,2)	1
(2,2) (3,1)	2
(2,1)	3
(1,1)	4

The expression " (n_1,n_2) structure" is used to designate outputs with "zeros at infinity of order (n_1,n_2) ". As a consequence, it is equivalent to say that outputs have a (n_1,n_2) structure or a (n_2,n_1) structure.

Let us explain why we focus on outputs with (4,1) structure. First, we did not consider the cases where the order of internal dynamics is larger than 1 because we obviously want to control the larger part of the system. (5,1) structure would lead to a dynamic controller of dimension greater than or equal to 3 which would slow down the response. Structure (3,3) looks interesting because it would guarantee a static controller. However, it cannot be achieved since it would require outputs with a relative degree of 3 which do not exist as we have seen in section II-A. Structure (4,2) has already been studied. The structure (3,2) yields outputs with undesired singularities [12]. For example, the outputs

$$x_{(3,2)} = \theta$$
 $y_{(3,2)} = x \cos \theta + z \sin \theta - \epsilon \theta$

have a (3,2) structure because the decoupling matrix is

$$\begin{pmatrix} \frac{\partial \ddot{x}_{(3,2)}}{\partial u_1} & \frac{\partial \ddot{x}_{(3,2)}}{\partial u_2} \\ \\ \frac{\partial \ddot{y}_{(3,2)}}{\partial u_1} & \frac{\partial \ddot{y}_{(3,2)}}{\partial u_2} \end{pmatrix} = \begin{pmatrix} 0 & 1 \\ 2\dot{\theta} & 0 \end{pmatrix}$$

The inversion yields $u_1 = \frac{1}{2\dot{\theta}}(...)$ but $\dot{\theta}$ has to be 0 at the equilibrium so we necessary go through this singularity. Next, the structure (4, 1) is investigated extensively, with the outputs (y_1, y_2) which are derived from the flat outputs as follows:

$$y_1 = x_f \qquad y_2 = z_f + k\dot{z}_f - e$$

where k is a real non-zero constant. This parameter k is introduced to tune the internal dynamics stability and velocity. In the following, we are going to find out dynamic controller's equations, and show that we can tune the stability and velocity of the zero-dynamics thanks to k.

III. OUTPUTS WITH ZEROS AT INFINITY OF ORDER (4,1)

A. Inversion algorithm and controller's equations

Consider the following outputs:

$$y_1 = x - \epsilon \sin \theta \tag{3}$$

$$y_2 = z + \epsilon \cos \theta + k\dot{z} - k\epsilon \theta \sin \theta - \epsilon \tag{4}$$

The inversion algorithm is a way to know if the system is invertible, and if this is the case, to derive the controller's equations. This process of inversion is obviously related to the chosen outputs. The general algorithm is developed in [18]. Let us apply it to the PVTOL with the outputs y_1 and y_2 :

$$\dot{y}_1 = \dot{x} - \epsilon \theta \cos \theta \tag{5}$$

$$\dot{y}_2 = \dot{z} - \epsilon \dot{\theta} \sin \theta + k u_1 \cos \theta - k - k \epsilon \dot{\theta}^2 \cos \theta \qquad (6)$$

We notice that the control appears only in \dot{y}_2 , and we cannot fully invert the system. There is a zero at infinity of order one. Consequently, we carry on derivating y_1 :

$$\ddot{y}_1 = -u_1 \sin\theta + \epsilon \dot{\theta}^2 \sin\theta \tag{7}$$

This time u_1 appears in \ddot{y}_1 but the decoupling matrix has still no inverse. At this point, we see that linearization by static feedback is impossible, and we are going to introduce again $\eta_1 = u_1 - \epsilon \dot{\theta}^2$ as a new state to delay the apparition of u_1 : we are designing a dynamic feedback. From (7) we have:

$$\ddot{y}_1 = -\eta_1 \sin \theta \tag{8}$$

Remark that following explicitly the inversion algorithm from [18], \dot{y}_2 would have been introduced instead of η_1 . Nevertheless, it has been prefered to choose η_1 as the controller dynamics (as in [6] for flat outputs) because it makes computations easier, and avoids to add an extra singularity. See [19] for more details about the construction of such decoupling feedbacks. We continue differentiating y_1 but u_2 still does not explicitly appear:

$$\ddot{y}_1 = -\dot{\theta}\eta_1\cos\theta - \dot{\eta}_1\sin\theta \tag{9}$$

so we differentiate once more, and we obtain:

$$y_1^{(4)} = -u_2\eta_1\cos\theta + \dot{\theta}^2\eta_1\sin\theta - \dot{\theta}\eta_1\cos\theta - \dot{\theta}\dot{\eta}_1\cos\theta - \ddot{\eta}_1\sin\theta$$
(10)

and the decoupling matrix is:

$$\begin{pmatrix} \frac{\partial \dot{y}_2}{\partial u_1} & \frac{\partial \dot{y}_2}{\partial u_2} \\ & & \\ \frac{\partial y_1^{(4)}}{\partial u_1} & \frac{\partial y_1^{(4)}}{\partial u_2} \end{pmatrix} = \begin{pmatrix} k\cos\theta & 0 \\ 0 & -\eta_1\cos\theta \end{pmatrix}$$

This time it is invertible since the determinant is non zero. There is a zero at infinity of order 4. Now, we are able to decouple and linearize the PVTOL as follows:

$$\begin{cases} y_1^{(4)} = v_1 \\ y_2^{(3)} = v_2 \end{cases}$$
(11)

with the controller defined by equations :

$$\begin{aligned} \dot{\eta_1} &= \eta_2 \\ \dot{\eta_2} &= \frac{1}{k} \left[-kv_1 \sin \theta - v_2 \cos \theta + (1-k)\dot{\theta}\eta_1 \cos \theta \sin \theta + k\dot{\theta}\eta_1 + k\dot{\theta}\eta_2 \cos \theta \sin \theta - \eta_2 \cos^2 \theta \right] \\ u_1 &= \eta_1 + \epsilon \dot{\theta}^2 \\ u_2 &= \frac{1}{k\eta_1} \left[-kv_1 \cos \theta - v_2 \sin \theta - \dot{\theta}\eta_1 (\sin^2 \theta + k \cos^2 \theta) + \eta_2 \sin \theta \cos \theta - k\dot{\theta}\eta_2 (\cos^2 \theta + 2 \sin^2 \theta) \right] \end{aligned}$$

The controller exhibits the same singularity as the controller for flat outputs : $\eta_1 = 0$. It also has an internal dynamics of order 2 (η_1 , η_2). This dynamics has not to be confused with the internal dynamics of the PVTOL (called σ in the next parts) which is of dimension 1 for these outputs. System (11) is linear, and can be stabilized by pole placement. The objective of the next paragraph is to demonstrate that for some values of k we can stabilize system (1). For that purpose, a suitable design of the zero-dynamics was achieved.

B. Zero-dynamics stability

 y_1 and y_2 have, respectively, zeros at infinity of order 1 and 4, so the system is only partially linearized and there remains an internal dynamics of order 1. In this section, the zero-dynamics is computed, and its stability is studied. Let us call σ the internal dynamics. The coordinate σ represents the internal dynamics of system (1) (with outputs y_1 and y_2) if and only if there exists a local diffeomorphism ϕ between $X_s = (x, \dot{x}, z, \dot{z}, \theta, \theta, \eta_1, \eta_2)$ and $\zeta =$ $(y_1, \dot{y}_1, \ddot{y}_1, y_1^{(3)}, y_2, \dot{y}_2, \ddot{y}_2, \sigma)$. There are a lot of solutions so to make the computations easier, we choose to take σ of relative degree greater or equal to 2. With this condition, we have limited the possibilities without losing generality because we are actually looking for the zero-dynamics and not the internal dynamics. Even if there are plenty of internal dynamics, there is only one zero-dynamics associated with these outputs. After some computations, we notice that zcomplies with these two constraints so we now consider $\sigma = z$. The objective is to express \dot{z} as a function of $\zeta = (y_1, \dot{y}_1, \ddot{y}_1, y_1^{(3)}, y_2, \dot{y}_2, \ddot{y}_2, z)$, and then to cancel outputs and their derivatives to exhibit the equation of the zerodynamics. This the classical way to find the expression of a zero-dynamics. In our case, it is easier to show first that when zero-dynamics is reached, θ must be equal to zero. This result is demonstrated by a contradiction proof :

Let's suppose that $\exists t_0$ such that $\theta(t_0) \neq 0$ (modulo π). When the outputs and their derivatives are cancelled, equation (8) becomes $0 = -\sin \theta(t_0)\eta_1(t_0)$ and it follows that

$$\eta_1(t_0) = 0 \tag{12}$$

Using this equality in (9) results in $0 = -\sin\theta(t_0)\dot{\eta}_1$ so

$$\dot{\eta}_1(t_0) = 0 \tag{13}$$



Finally, the contradiction 0 = -1 is found by substituting (12) and (13) in

$$\ddot{y}_2 = \eta_1 \cos \theta - k\theta \eta_1 \sin \theta + k\dot{\eta}_1 \cos \theta - 1$$

The negation of the initial claim leads to desired result :

$$\theta = 0 \pmod{\pi} \tag{14}$$

when zero-dynamics is reached. A similar argument gives

$$\dot{\theta} = 0 \tag{15}$$

From (3) and (14), we know that x is always stabilized independently of the value of the constant k. From (4) and (14), we draw the zero-dynamics equation:

$$\dot{z} + \frac{1}{k}z = 0 \tag{16}$$

This is a simple linear ODE of order 1 with constant coefficients.

- k > 0: (16) is asymptotically stable.
- k < 0: (16) is unstable.

This result was expected regarding the definition of y_2 : $y_2 = z_f + k\dot{z}_f - \epsilon$. Indeed, stabilizing y_2 implies $z_f + k\dot{z}_f = \epsilon$ so the sign of the constant k governs the stability of z_f and consequently the stability of z. If the internal dynamics z is stable, we see from (5) and (15) that \dot{x} also tends to zero. To sum up, $(y_1, \dot{y}_1, \dot{y}_1^{(3)}, y_2, \eta_1, \eta_2) \rightarrow \mathbf{0}_{1 \times 7}$, and k > 0 results in $(x, \dot{x}, z, \dot{z}, \theta, \dot{\theta}) \rightarrow \mathbf{0}_{1 \times 6}$. It means that stabilizing (11), and choosing k > 0 induces the stabilization of system (1) to the origin.

IV. SIMULATION AND COMPARISON WITH FLAT OUTPUTS

Simulations were carried out to check the controller efficiency for a large coupling parameter. We set $\epsilon = 2$, k = 0.1, and an aggressive maneuver is imposed. The result is displayed on Figure 2. The initial position of the aircraft is in red. We observe the non-minimum phase character of outputs x and z because the x response begins in the opposite direction. Besides, the controller behaves well even for a large value of ϵ . This is important because the first studies did not tolerate large coupling parameters since they were synthesized on an approximate model ($\epsilon = 0$) [1] and [7]. The controller has also been checked to be robust with respect to variations of ϵ : for ϵ values around 1, the system is stabilized even for deviations up to 50%. Figures 3, 4, and 5 show the influence of parameter k on the stabilization.

For these simulations, we chose $\epsilon = 0.5$, $x_0 = 10$, $z_0 = 2$ and other initial conditions are in 0. We notice that



parameter k has little influence on x: all controllers have approximately the same response time. This is coherent since k does not appear in y_1 . On the contrary, the higher k, the higher the response time for z: this confirms the result (16). This is interesting to notice that a modification on k has almost no influence on the x dynamics but strongly affects zdynamics. It is the θ dynamics which is modified and cause the slowing down or the acceleration of the z dynamics. This is really useful to be able to control precisely the zdynamics of an aircraft to take off and land properly. That is why we chose $(y_1, y_2) = (x_f, z_f + k\dot{z}_f - \epsilon)$ and not $(y_1, y_2) = (z_f, x_f + k\dot{x}_f - \epsilon)$ which would have lead to a similar zero-dynamics but in x. With these graphs, we cannot analyze precisely the response time because equation (16) is valid only when y_1 and y_2 are stabilized to zero. For all these responses we make sure that commands u_1 and u_2 do not go through singularities and keep low level. We also make sure that u_1 remains positive because it represents the thrust. On Figures 6 and 7, the controller in Section III (with k = 0.1 and k = 1) is compared with the one



derived from flat outputs. The same pole placement is used for both controllers. Figure 6 confirms that x dynamics is similar for the three controllers because y_1 does not depend on k and $y_1 = x_f$. Figure 7 shows that for k = 0.1, the controller designed from the outputs with the structure (4,1) is faster than the controller from flat outputs. This advantage is accentuated because it can be seen on Figures 8 and 9 that it requires less effort to achieve better result. The difference is clear for u_1 but it is still more important for u_2 where the "flat" controller needs four times more energy than "(4,1)" controller. Note that time scale on Figures 8 and 9 has been voluntary reduced to focus on the beginning of the maneuver where the variations are the most important.



Fig. 6. Comparison between controllers derived from outputs with structure (4,1) and from flat outputs: position x

V. CONCLUSION

In this paper, outputs have been computed with a structure at infinity (4,1) that partially linearize the PVTOL aircraft. The resulting zero-dynamics was computed, and we have demonstrated that the stability was governed by the sign of kand that the convergence speed was governed by the value of k. Simulations results are in accordance with our assertions, and have shown that the controller stabilizes the aircraft



Fig. 7. Comparison between controllers derived from outputs with structure (4,1) and from flat outputs: altitude z



even with a strong input coupling. Furthermore, for small values of k, the "(4,1)" controller has better performance for z dynamics with lower input requirements. Finally, results are achieved with the possibility to adjust z dynamics. More generally, a new perspective for dealing with zero-dynamics is offered for general underactuated systems.

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