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On the resurgent approach to Écalle-Voronin's invariants, I

Artem Dudko, David Sauzin

Abstract

Given a holomorphic germ at the origin of \mathbb{C} with a simple parabolic fixed point, the local dynamics is classically described by means of pairs of attracting and repelling Fatou coordinates and the corresponding pairs of horn maps, of crucial importance for Écalle-Voronin's classification result and the definition of the parabolic renormalization operator. We revisit Écalle's approach to the construction of Fatou coordinates, which relies on Borel-Laplace summation, and give an original and self-contained proof of the resurgent character of the formal Borel transform.

Keywords: Complex dynamics, Ecalle-Voronin invariants, Resurgent functions.

1 Resurgence of the formal Fatou coordinates of a simple parabolic germ

Let us give ourselves a simple parabolic germ of holomorphic map at the origin of \mathbb{C} , *i.e.* of the form

$$F(w) = w + cw^2 + O(w^3) \in w\mathbb{C}\{w\}$$

$$\tag{1}$$

with $c \in \mathbb{C}^*$. We rewrite it at ∞ by means of the change of coordinate z = -1/cw:

$$f(z) := -\frac{1}{cF\left(-\frac{1}{cz}\right)} = z + 1 + a(z), \qquad a(z) = -\rho z^{-1} + O(z^{-2}) \in z^{-1}\mathbb{C}\{z^{-1}\}$$
 (2)

with some $\rho \in \mathbb{C}$. For every R > 0 and $\delta \in (0, \pi/2)$, we introduce the notations

$$\mathscr{P}_{R,\delta}^+ := \left\{ r e^{i\theta} \in \mathbb{C} \mid r > R, -\frac{\pi}{2} - \delta < \theta < \frac{\pi}{2} + \delta \right\},\,$$

$$\mathscr{P}_{R,\delta}^- \coloneqq \big\{ r \operatorname{e}^{\mathrm{i}\theta} \in \mathbb{C} \mid r > R, \; \tfrac{\pi}{2} - \delta < \theta < \tfrac{3\pi}{2} + \delta \big\}.$$

Definition 1. A pair of Fatou coordinates at ∞ is a pair (v^+, v^-) of univalent maps

$$v^+ \colon \mathscr{P}_{R,\delta}^+ \to \mathbb{C}, \qquad v^- \colon \mathscr{P}_{R,\delta}^- \to \mathbb{C},$$

for some R and δ , such that

$$v^+ \circ f = v^+ + 1, \qquad v^- \circ f = v^- + 1.$$
 (3)

It is well-known that pairs of Fatou coordinates exist and are unique up to the addition of a free pair of constants $(c^+, c^-) \in \mathbb{C}^2$. We shall see how to recover these facts by means of Borel-Laplace summation.

Notation. Let b(z) := a(z-1) and $b_*(z) := b(z) + \rho \log \frac{1+z^{-1}b(z)}{1-z^{-1}}$, so that $b(z) \in z^{-1}\mathbb{C}\{z^{-1}\}$ and $b_*(z) \in z^{-2}\mathbb{C}\{z^{-1}\}$. We denote by $C_{\mathrm{id}-1}$ and $C_{\mathrm{id}+b}$ the composition operators $\varphi(z) \mapsto \varphi(z-1)$ and $\varphi(z) \mapsto \varphi(z+b(z))$, acting in spaces of functions as well as in the space of formal series $\mathbb{C}[[z^{-1}]]$.

Suppose that $\mathscr{P} = \mathscr{P}_{R,\delta}^{\pm}$ with R large enough so that b is analytic on \mathscr{P} and let Log be any branch of the logarithm in \mathscr{P} . Under the change of unknown function $v(z) = z + \rho \operatorname{Log} z + \varphi(z)$, the equation $v \circ f = v + 1$ is transformed¹ into

$$C_{\mathrm{id}-1}\varphi = C_{\mathrm{id}+b}\varphi + b_*. \tag{4}$$

Theorem 1. Equation (4) admits a unique formal solution of the form $\tilde{\varphi}(z) = \sum_{n\geq 0} c_n z^{-n-1}$. Its formal Borel transform $\hat{\varphi} := \mathcal{B}\tilde{\varphi} = \sum_{n\geq 0} c_n \frac{\zeta^n}{n!}$ is $2\pi i \mathbb{Z}$ -resurgent, in the sense that it converges for $|\zeta| < 2\pi$ and extends analytically along any path issuing from 0 and staying in $\mathbb{C} \setminus 2\pi i \mathbb{Z}$ except for its origin.

Moreover, for such a path γ with endpoint $\zeta_* \in \mathbb{R}$ or for $\gamma = \{0\}$ and $\zeta_* = 0$, and for $\delta_0 \in (0, \frac{\pi}{2})$, there exist $C_0, R_0 > 0$ such that the analytic continuation $\cot_{\gamma} \hat{\varphi}$ of $\hat{\varphi}$ along γ (which is a holomorphic germ at ζ_*) satisfies

$$\left| \operatorname{cont}_{\gamma} \hat{\varphi} \left(\zeta_* + t e^{\mathrm{i}\theta} \right) \right| \le C_0 e^{R_0 t} \quad \text{for all } t \ge 0 \text{ and } \theta \in I_{\delta_0}^+ \cup I_{\delta_0}^-, \tag{5}$$

where $I_{\delta_0}^+ := [-\delta_0, \delta_0]$ and $I_{\delta_0}^- := [\pi - \delta_0, \pi + \delta_0]$.

The rest of this section is devoted to the proof of Theorem 1.

The operator $C_{\mathrm{id}-1}$ – Id maps $z^{-1}\mathbb{C}[[z^{-1}]]$ to $z^{-2}\mathbb{C}[[z^{-1}]]$ bijectively, we denote by E the inverse, which decreases the order by one unit and has for Borel counterpart the multiplication operator

$$\hat{E} : \hat{\psi}(\zeta) \in \zeta \mathbb{C}[[\zeta]] \mapsto \frac{1}{e^{\zeta} - 1} \hat{\psi}(\zeta) \in \mathbb{C}[[\zeta]].$$

On the other hand, $B := C_{\mathrm{id}+b} - \mathrm{Id} = \sum_{k \geq 1} \frac{1}{k!} b^k \left(\frac{\mathrm{d}}{\mathrm{d}z}\right)^k$ (formally convergent series of operators) increases the order by at least two units, so that the composition EB maps $z^{-k-1}\mathbb{C}[[z^{-1}]]$ in $z^{-k-2}\mathbb{C}[[z^{-1}]]$ for every $k \geq 0$.

Lemma 1. Let $\tilde{\varphi}_k := (EB)^k Eb_* \in z^{-k-1}\mathbb{C}[[z^{-1}]]$ for $k \geq 0$. Then the formally convergent series $\sum_{k\geq 0} \tilde{\varphi}_k$ is the unique solution of (4) in $z^{-1}\mathbb{C}[[z^{-1}]]$.

Proof. For $\tilde{\varphi} \in z^{-1}\mathbb{C}[[z^{-1}]]$, $(4) \Leftrightarrow (C_{\mathrm{id}-1} - \mathrm{Id})\tilde{\varphi} = B\tilde{\varphi} + b_* \Leftrightarrow \tilde{\varphi} = EB\tilde{\varphi} + Eb_*$, whence the conclusion follows.

Lemma 2. For each $k \geq 0$ the formal Borel transform $\hat{\varphi}_k := \mathscr{B}\tilde{\varphi}_k$ is $2\pi i \mathbb{Z}$ -resurgent.

Suppose $0 < \varepsilon < \pi < \tau$, $0 < \kappa \le 1$ and D is a closed disc of radius ε centred at $2\pi i m_0$ with $m_0 \in \mathbb{Z}^*$. Then there exist $C_1, M, R > 0$ such that, for every piecewise C^1 path with natural parametrisation $\gamma \colon [0, \ell] \to \mathbb{C}$ satisfying

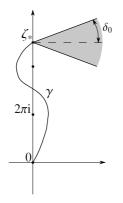
$$s \in [0, \varepsilon] \Rightarrow |\gamma(s)| = s, \quad s > \varepsilon \Rightarrow |\gamma(s)| > \varepsilon,$$
 (6)

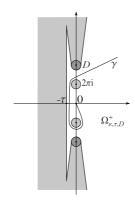
$$s \in [0, \ell] \quad \Rightarrow \quad |\gamma(s)| > \kappa s \quad and \quad \gamma(s) \in \Omega^+_{\varepsilon, \tau, D},$$
 (7)

where $\Omega_{\varepsilon,\tau,D}^+ := \{ \zeta \in \mathbb{C} \mid \operatorname{Re} \zeta > -\tau, \operatorname{dist} (\zeta, 2\pi i \mathbb{Z}^*) > \varepsilon \} \setminus \{ u\zeta \in \mathbb{C} \mid u \in [1, +\infty), \pm \zeta \in D \},$ one has

$$\left|\operatorname{cont}_{\gamma}\hat{\varphi}_{k}(\gamma(\ell))\right| \leq C_{1} \frac{(M\ell)^{k}}{k!} e^{R\ell} \quad \text{for all } k \geq 0.$$
 (8)

Notice that, for |z| large enough, $\frac{f(z)}{z}$ is close to 1, hence $\text{Log } f(z) - \text{Log } z = \log \frac{f(z)}{z}$ where \log is the principal branch of the logarithm.





(a) Illustration of Theorem 1.

(b) Illustration of Lemma 2.

Proof. Choose $M_0, \lambda > 0$ so that $\left| \frac{\zeta}{\mathrm{e}^{\zeta} - 1} \right| \leq M_0 \, \mathrm{e}^{-\lambda |\zeta|}$ for $\zeta \in \Omega_{\varepsilon, \tau, D}^+$. We have $\hat{b} := \mathscr{B}b, \, \hat{b}_* := \mathscr{B}b_*$ entire functions, with

$$|\hat{b}(\zeta)| \le C e^{\beta|\zeta|}$$
 and $|\hat{b}_*(\zeta)| \le C|\zeta| e^{\beta|\zeta|}$ for all $\zeta \in \mathbb{C}$,

for some $C, \beta > 0$. The formal Borel transform maps $b^k(z)$ to $\hat{b}^{*k}(\zeta)$, which is also entire and for which we get²

$$|\hat{b}^{*k}(\zeta)| \le C^k \frac{|\zeta|^{k-1}}{(k-1)!} e^{\beta|\zeta|} \quad \text{for all } \zeta \in \mathbb{C}.$$
(9)

The Borel counterpart of $C_{\mathrm{id}+b}$ – Id is \hat{B} : $\hat{\psi} \in \mathbb{C}[[\zeta]] \mapsto \sum_{k \geq 1} \frac{1}{k!} \hat{b}^{*k} * ((-\zeta)^k \hat{\psi}) \in \mathbb{C}[[\zeta]]$, which leaves invariant the subspace $\mathbb{C}\{\zeta\}$ and induces on it an integral transform

$$\hat{B}\hat{\psi}(\zeta) = \int_0^{\zeta} K(\xi, \zeta) \hat{\psi}(\xi) \, \mathrm{d}\xi \quad \text{for } \zeta \text{ close to } 0,$$

with kernel function $K(\xi,\zeta) = \sum_{k\geq 1} \frac{(-\xi)^k}{k!} \hat{b}^{*k}(\zeta-\xi)$. The point is that this kernel is holomorphic in $\mathbb{C}\times\mathbb{C}$, with estimates following from (9):

$$|K(\xi,\zeta)| \le C|\xi| e^{\mu|\xi| + (\frac{C}{\mu} + \beta)|\zeta - \xi|}$$
 for all $(\xi,\zeta) \in \mathbb{C} \times \mathbb{C}$,

with any $\mu > 0$. Therefore, whenever a germ $\hat{\psi} \in \mathbb{C}\{\zeta\}$ admits analytic continuation along a naturally parametrised path $\gamma \colon [0,\ell] \to \mathbb{C}$, this is also true for $\hat{B}\hat{\psi}$, with $\cot_{\gamma} \hat{B}\hat{\psi}(\gamma(s)) = \int_{0}^{s} K(\gamma(\sigma), \gamma(s)) \cot_{\gamma} \hat{\psi}(\gamma(\sigma)) \gamma'(\sigma) d\sigma$ for all $s \in [0,\ell]$. Hence $2\pi i\mathbb{Z}$ -resurgence is preserved by the composition $\hat{E}\hat{B}$ and we can get estimates.

We start with $\hat{\varphi}_0 = \hat{E}\hat{b}_* = \frac{\hat{b}_*(\zeta)}{\mathrm{e}^{\zeta}-1}$, which is meromorphic and clearly $2\pi\mathrm{i}\mathbb{Z}$ -resurgent, and satisfies (8) with $C_1 := M_0C$ and with any $R \geq \beta$. We choose $\mu := \lambda \kappa$, $R := \frac{C}{\mu} + \beta$ and $M := \frac{M_0C}{\kappa}$. We get the desired result for $\hat{\varphi}_k = (\hat{E}\hat{B})^k\hat{\varphi}_0$ by induction, observing that if a path γ satisfies (6)–(7) and a $2\pi\mathrm{i}\mathbb{Z}$ -resurgent function $\hat{\psi}$ satisfies $\left|\cot_{\gamma}\hat{\psi}(\gamma(s))\right| \leqslant \mathrm{e}^{Rs}\Psi(s)$ for all $s \in [0,\ell]$, then

$$\left| \operatorname{cont}_{\gamma} \hat{E} \hat{B} \hat{\psi} (\gamma(s)) \right| \leqslant M e^{Rs} \int_{0}^{s} \Psi(\sigma) d\sigma \quad \text{for all } s \in [0, \ell].$$

²The formal Borel transform \mathscr{B} maps the Cauchy product of formal series to convolution: $\hat{\phi}*\hat{\psi}(\zeta) = \int_0^{\zeta} \hat{\phi}(\zeta - \xi)\hat{\psi}(\xi) \,d\xi$, with termwise integration for general formal series $\hat{\phi}, \hat{\psi} \in \mathbb{C}[[\zeta]]$, and with the obvious analytical meaning if $\hat{\phi}, \hat{\psi} \in \mathbb{C}\{\zeta\}$ (then taking ζ close enough to 0).

We can deduce that the series of holomorphic functions $\sum_{k\geq 0} \hat{\varphi}_k$ converges normally in any compact subset of the disc $\left\{|\zeta|<2\pi\right\}$ (using paths γ of the form $[0,\zeta]$) and that its sum, which is $\hat{\varphi}$, extends analytically along any naturally parametrised path γ which starts as the line segment [0,1] and then stays in $\mathbb{C}\setminus 2\pi \mathrm{i}\mathbb{Z}$: indeed, taking ε,κ small enough and τ,m_0 large enough, we see that Lemma 2 applies to γ and the neighbouring paths, so that (8) yields the normal convergence of $\sum_{k\geq 0} \mathrm{cont}_{\gamma} \hat{\varphi}_k(\gamma(t)+\zeta) = \mathrm{cont}_{\gamma} \hat{\varphi}(\gamma(t)+\zeta)$ for all t and ζ with $|\zeta|$ small enough. Therefore $\hat{\varphi}$ is $2\pi \mathrm{i}\mathbb{Z}$ -resurgent.

We also get the part of (5) relative to $I_{\delta_0}^+$: given $\delta_0 \in (0, \pi/2)$ and γ with endpoint $\zeta_* \in \mathbb{R}$, we first replace an initial portion of γ with a line segment of length 1 (unless γ stays in the unit disc, in which case the modification of the arguments which follow is trivial) and switch to its natural parametrisation $\gamma \colon [0, \ell] \to \mathbb{C}$. We then choose

$$\varepsilon < \min \left\{ 1, \min_{[1,\ell]} |\gamma|, \operatorname{dist} \left(\gamma \left([0,\ell] \right), 2\pi \mathrm{i} \mathbb{Z}^* \right), \operatorname{dist} \left(\zeta_*, 2\pi \mathrm{i} \mathbb{Z} \right) \cos \delta_0 \right\},$$

$$\kappa < \min \left\{ \min_{[0,\ell]} \frac{|\gamma(s)|}{s}, \min_{t \ge 0} \frac{|\zeta_* + t \, \mathrm{e}^{\pm \mathrm{i} \delta_0}|}{\ell + t} \right\},$$

 $\tau > -\min \operatorname{Re} \gamma$ and $m_0 > \frac{1}{2\pi}(\varepsilon + \max |\operatorname{Im} \gamma|)$, so that, for each $t \geq 0$ and $\theta \in I_{\delta_0}^+$, Lemma 2 applies to the concatenation $\Gamma := \gamma + [\zeta_*, \zeta_* + t e^{i\theta}]$; since Γ has length $\ell + t$, (8) yields $|\cot \gamma \hat{\varphi}(\zeta_* + t e^{i\theta})| = |\cot_{\Gamma} \hat{\varphi}(\Gamma(\ell + t))| \leq C_1 e^{(M+R)(\ell+t)}$.

The part of (5) relative to $I_{\delta_0}^-$ follows from the fact that $\hat{\varphi}^-(\zeta) := \hat{\varphi}(-\zeta)$ satisfies all the properties we just obtained for $\hat{\varphi}(\zeta)$, since it is the formal Borel transform of $\tilde{\varphi}^-(z) := -\tilde{\varphi}(-z)$ which solves the equation $C_{\mathrm{id}-1}\tilde{\varphi}^- = C_{\mathrm{id}+b^-}\tilde{\varphi}^- + b_*^-$ associated with the simple parabolic germ $f^-(z) := -f^{-1}(-z) = z + 1 + b^-(z+1)$.

The proof of Theorem 1 is now complete.

Remark 1. The name "resurgent", whose definition in a particular case is given in the statement of Theorem 1, was chosen by Écalle because of the explicit connection he obtained between the germ $\hat{\varphi}$ at 0 and the singularities of its analytic continuation at any point of $2\pi i \mathbb{Z}$ —see [DS13]. Theorem 1 is stated in [Eca81], with a detailed proof only for the case $\rho = 0$. The above proof is original and simpler.

2 Fatou coordinates and lifted horn maps

For $\gamma = \{0\}$ and any $\delta_0 \in (0, \pi/2)$, Theorem 1 provides $R_0 = R_0(\delta_0)$ and we may apply the Laplace transform:

$$\mathcal{L}^{\theta} \hat{\varphi}(z) := \int_0^{\mathrm{e}^{\mathrm{i}\theta} \infty} \mathrm{e}^{-z\zeta} \hat{\varphi}(\zeta) \,\mathrm{d}\zeta, \qquad \theta \in I_{\delta_0}^+ \cup I_{\delta_0}^-,$$

defining $\mathcal{L}^{\theta}\hat{\varphi}$ holomorphic in $\Pi_{R_0(\delta_0)}^{\theta} := \{ z \in \mathbb{C} \mid \operatorname{Re}(z e^{i\theta}) > R_0(\delta_0) \}$. The functions $\mathcal{L}^{\theta}\hat{\varphi}$ with $\theta \in I_{\delta_0}^+$ mutually extend, similarly for $I_{\delta_0}^-$, so we get in fact two Borel-Laplace sums

$$\mathcal{L}^+\hat{\varphi} \text{ in } U^+ \coloneqq \bigcup_{\delta_0 \in (0,\frac{\pi}{2})} \bigcup_{\theta \in I_{\delta_0}^+} \Pi_{R_0(\delta_0)}^{\theta}, \qquad \mathcal{L}^-\hat{\varphi} \text{ in } U^- \coloneqq \bigcup_{\delta_0 \in (0,\frac{\pi}{2})} \bigcup_{\theta \in I_{\delta_0}^-} \Pi_{R_0(\delta_0)}^{\theta}.$$

Since $U^{\pm} \subset \mathbb{C} \setminus \mathbb{R}^{\mp}$, we choose branches of the logarithm as follows:

$$\operatorname{Log}^+ z = \int_1^z \frac{\mathrm{d}u}{u} \text{ for } z \in \mathbb{C} \setminus \mathbb{R}^-, \qquad \operatorname{Log}^- z = \mathrm{i}\pi + \int_{-1}^z \frac{\mathrm{d}u}{u} \text{ for } z \in \mathbb{C} \setminus \mathbb{R}^+.$$

Theorem 2. The formulas

$$v_*^{\pm}(z) \coloneqq z + \rho \operatorname{Log}^{\pm} z + \mathcal{L}^{\pm} \hat{\varphi}(z), \qquad z \in U^{\pm}, \tag{10}$$

define a pair (v_*^+, v_*^-) of Fatou coordinates at ∞ for the simple parabolic germ f. For any $0 < \delta' < \delta < \pi/2$, provided that R is large enough, the function $\mathcal{L}^\pm\hat{\varphi}$ has uniform Gevrey-1 asymptotic expansion $\tilde{\varphi}$ in $\mathscr{P}_{R,\delta}^\pm$ and the function v_*^\pm is univalent in $\mathscr{P}_{R,\delta}^\pm$ with its image containing a set of the form $\mathscr{P}_{R',\delta'}^\pm$.

Any other pair of Fatou coordinates is of the form $(v_*^+ + c^+, v_*^- + c^-)$ with arbitrary complex constants c^+, c^- .

(For the definition and properties of Gevrey-1 asymptotic expansion, see [Mal95] or [Ram93].)

Proof. Since b_* is convergent, it coincides with $\mathcal{L}^+\hat{b}_*$ on U^+ , similarly $b_{|U^+} = \mathcal{L}^+\hat{b}$. We have $\mathrm{e}^\zeta\hat{\varphi} = \hat{\varphi} + \hat{B}\hat{\varphi} + \hat{b}_*$ and $\mathcal{L}^+(\mathrm{e}^\zeta\hat{\varphi})(z) = (\mathcal{L}^+\hat{\varphi})(z-1)$. The Laplace transform maps the convolution product to the product of functions and the operator of multiplication by $-\zeta$ to the differentiation $\frac{\mathrm{d}}{\mathrm{d}z}$, hence the definition of \hat{B} and the estimates (9) yield

$$\mathcal{L}^{+}(\hat{\varphi} + \hat{B}\hat{\varphi}) = \sum_{k>0} \frac{1}{k!} b^{k} \left(\frac{\mathrm{d}}{\mathrm{d}z}\right)^{k} \mathcal{L}^{+} \hat{\varphi} = \mathcal{L}^{+} \hat{\varphi} \circ (\mathrm{id} + b).$$

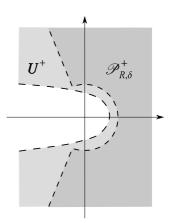


Illustration of Theorem 2.

It follows that $\mathcal{L}^+\hat{\varphi}$ is a solution of (4), and hence that v_*^+ satisfies (3).

Observe that $\mathscr{P}_{R,\delta}^+ \subset U^+$ as soon as there exists δ_0 such that $\delta < \delta_0$ and $R \geq R_0(\delta_0)/\sin(\delta_0 - \delta)$. The Gevrey-1 asymptotic property is standard in Borel-Laplace summation. It implies $\mathcal{L}^+\hat{\varphi} = O(z^{-1}), \ \frac{\mathrm{d}}{\mathrm{d}z}(\mathcal{L}^+\hat{\varphi}) = O(z^{-2}), \ \text{and also the univalence of} \ v_*^+ \ \text{in} \ \mathscr{P}_{R,\delta}^+ \ \text{for} \ R \ \text{large enough}$ and the statement on its image, because $\left|\frac{v_*^+(z)}{z}\right| \to 1$ and $\frac{v_*^+(z)}{|v_*^+(z)|} \sim \frac{z}{|z|}$.

For any univalent solution v^+ of (3) on $\mathscr{P}_{R,\delta}^+$, the univalent function $v^+ \circ (v_*^+)^{-1} \colon \mathscr{P}_{R',\delta'}^+ \to \mathbb{C}$ conjugates id +1 with itself, hence is of the form id +P with a 1-periodic P and extends univalently to \mathbb{C} , hence P is constant. For v_*^- the proof is similar.

Remark 2. The first use of Borel-Laplace summation for obtaining Fatou coordinates is in [Eca81]. The asymptotic property without the Gevrey qualification can be found in earlier works by G. Birkhoff, G. Szekeres, T. Kimura and J. Écalle—see [Lor05]; see [LY12] for a recent independent proof and an application to numerical computations.

For any pair of Fatou coordinates (v^+, v^-) , we can take $\delta' < \delta$ and large R, R' so that $\mathscr{P}^+_{R,\delta} \cap (v^-)^{-1}(\mathscr{P}^-_{R',\delta'})$ has upper and lower connected components $\mathscr{Q}^{\mathrm{up}}$ and $\mathscr{Q}^{\mathrm{low}}$. The lifted horn maps are $h^{\mathrm{up}} \coloneqq v^+ \circ (v^-)^{-1}_{|\mathscr{Q}^{\mathrm{up}}}$, which conjugates $\mathrm{id} + 1$ with itself, hence is of the form $\mathrm{id} + P^{\mathrm{up}}$ with a 1-periodic P^{up} and extends to an upper half-plane, and $h^{\mathrm{low}} \coloneqq v^+ \circ (v^-)^{-1}_{|\mathscr{Q}^{\mathrm{low}}}$ with

similar properties in a lower half-plane. For the normalized pair (v_*^+, v_*^-) we get $h_*^{\rm up/low}(Z) = Z + \rho({\rm Log}^+ Z - {\rm Log}^- Z) + o(1)$, where ${\rm Log}^+ - {\rm Log}^- \equiv 0$ in $\mathscr{Q}^{\rm up}$ and $\equiv -2\pi i$ in $\mathscr{Q}^{\rm low}$, which puts a constraint on the form of the Fourier expansion of $h_*^{\rm up/low}$ – id:

$$h_*^{\text{up}}(Z) - Z = \sum_{m \ge 1} A_m e^{2\pi i m Z}, \qquad h_*^{\text{low}}(Z) - Z = -2\pi i \rho + \sum_{m \ge 1} A_{-m} e^{-2\pi i m Z}.$$
 (11)

Definition 2. The coefficients A_m , $m \in \mathbb{Z}^*$, are called the *Écalle-Voronin invariants* of the simple parabolic germ f.

This name is motivated by Écalle-Voronin's classification result ([Eca81], [Vor81], [Mal82]): (i) two simple parabolic germs f, g are analytically conjugate if and only if there exists $c \in \mathbb{C}$ such that, for all m, $A_m(g) = \mathrm{e}^{2\pi\mathrm{i} mc} A_m(f)$ (direct consequence of the results of this section); (ii) any pair of Fourier series of the form $\left(\sum_{m\geq 1} A_m \mathrm{e}^{2\pi\mathrm{i} mZ}, A_0 + \sum_{m\geq 1} A_{-m} \mathrm{e}^{-2\pi\mathrm{i} mZ}\right)$, where the first (resp. second) one is holomorphic in an upper (resp. lower) half-plane, can be obtained as $(h_*^{\mathrm{up}} - \mathrm{id}, h_*^{\mathrm{low}} - \mathrm{id})$ for a simple parabolic germ f (this part of the result is more difficult).

By definition, the horn maps $H_*^{\text{up/low}}(w)$ are the lifted horn maps $h_*^{\text{up/low}}$ represented in the coordinate $w = e^{2\pi i Z}$, i.e. $H_*^{\text{up/low}}(w) := \exp\left(2\pi i h_*^{\text{up/low}}(z)\right)$, so that one gets a germ at 0 and a germ at ∞ on the Riemann sphere:

$$H^{\mathrm{up}}_*(w) = w + 2\pi \mathrm{i} A_1 w^2 + O(w^3) \in w\mathbb{C}\{w\}, \ H^{\mathrm{low}}_*(w) = \mathrm{e}^{4\pi^2\rho} \big(w + 2\pi \mathrm{i} A_{-1} + O(w^{-1})\big) \in w\mathbb{C}\{w^{-1}\}.$$

Some authors also refer to them as the Écalle-Voronin invariants. The parabolic renormalization operator \mathcal{R} , of great importance in recent developments of complex dynamics, is defined by $\mathcal{R} \colon F \mapsto H^{\mathrm{up}}_*$ (recall that $F(w) = w + cw^2 + O(w^3) \in w\mathbb{C}\{w\}$ was assumed to be a simple parabolic germ at 0, *i.e.* $c \neq 0$, and notice that $\mathcal{R}F$ is itself a parabolic germ at 0, but not necessarily simple: this requires $A_1 \neq 0$).

So far, we have only exploited the information on the principal branch of $\hat{\varphi}$ given by Theorem 1. In [DS13] we investigate the singularities of the other branches of $\hat{\varphi}$ and relate them to the Écalle-Voronin invariants, thus showing how the horn maps and the parabolic renormalization are encoded in the Borel plane.

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