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# 1 Fluid mechanical consequences of pendular activity, segmentation, and pyloric

2 3

# outflow in the proximal duodenum of the rat and the guinea pig

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- 14

11

15 Abstract

16 We conducted numerical experiments that incorporated quantitative data from real contractile activity

17 to study the influence of non propagating contractions that are organised into discrete fixed domains,

18 i.e. pendular activity and segmentation, on flow and mixing.

Models of proximal duodenal flow were developed using a lattice-Boltzmann numerical method for homogeneous viscous luminal content. The models simulated the fluid mechanical consequences for each of 22 randomly selected sequences of high definition video of real longitudinal and radial contractile activity in the isolated proximal duodenum of the rat and guinea pig.

23 During pendular activity in the rat duodenum, the flow was pulsatile with the formation of regions of 24 high shear rate. Mixing from sustained pendular activity was governed by shearing deformation of the 25 fluid that increased the interface between adjacent domains and accelerated their inter-diffusion. When the diffusion coefficient of the marker was below  $\sim 10^{-8}$  m<sup>2</sup>/s the influence of pendular activity on axial 26 27 dispersion was greater than that in the purely diffusive case. These relative effects increased as the 28 diffusion coefficient decreased. When pendular activity was associated with a slow gastric outflow 29 characteristic of post-prandial period, the dispersion was also improved, especially near the walls. In 30 contrast, isolated segmentative contractions in the guinea pig duodenum caused the viscous fluid to be 31 deformed but mixing was not promoted as fluid particles recovered their original positions on 32 subsequent relaxation and and was not notably influenced by pylorus outflow.

We concluded that pendular activity generates mixing of viscous fluids '*in situ*' and accelerates the diffusive mass transfer of small molecules as glucose or bile micelles; whereas segmentation may be more important in mixing particulate suspensions with high solid volume ratios.

# **1. Introduction**

38 The proximal small intestine is an important site for the physical and chemical processing of digesta. A 39 number of workers have suggested [1, 2] that the gastro-intestinal tract can be represented as a series of 40 chemical reactors. Their conclusions showed that for tubular reactors, such as the small intestine to 41 function efficiently, conditions within the lumen must resemble those in a longitudinal array of perfectly 42 mixed stirred tank reactors with ongoing slow axial transfer of reactants between them [3, 4]. Such 43 findings underscore the need for zones within the lumen of the small intestine [3, 4] in which there is 44 efficient mixing with little propulsion and for quantitative evaluation of the behaviour of digesta during 45 such non-propagating contractile activity [5].

46

47 Most studies of intestinal mixing have investigated the influence of propagating peristaltic activity [6-9], 48 whilst mixing generated by non propagating contractile activity, i.e. segmentation [10-12] and pendular 49 contractions [13], has not been rigorously evaluated, chiefly as the relative magnitudes of the 50 component contractile processes have not been quantified until recently [10, 14]. Early workers 51 concluded that longitudinal contractions alone could induce flow between the core and the periphery of 52 the luminal content [13] and generate laminar mixing [15]. However, these conclusions were not 53 supported by detailed physiological data. In vitro and analytical models of segmentation [16] have served 54 to demonstrate the influence of the symmetry and mobility of the contraction on absorption but again 55 lack the necessary physiological detail to obtain quantitatively reliable results.

56

57 The recent development of high resolution spatio-temporal mapping techniques to quantify the circular 58 and longitudinal components of contractile activity in isolated segments of the gastro-intestinal tract 59 [17] in conjunction with the development of numerical methods that are capable of modelling gastro-60 intestinal flows with complex geometries [7, 18-20] offer a more precise means of evaluating the effects 61 of various contractile processes on flow and mixing in the small intestine. Further, the recent description 62 of the component zones of longitudinal contractions in discrete stationary domains that together engender pendular movements [14] enables the effects on mixing along a length of duodenum to be 63 modelled (Fig. 1). Further, the direct incorporation of these recorded datasets into suitable fluid 64 65 mechanical models may allow a more detailed evaluation of the mechanics of the mixing that they 66 engender.

68 The aim of this study was to investigate the fluid mechanical consequences of pendular activity, 69 segmentation, and pyloric outflow in the isolated proximal duodenum of the rat and guinea pig and to 70 explore the effect of (Newtonian) viscosity of the luminal content on mixing. After defining the 71 conditions that govern bulk flow in the duodenal lumen, we developed three sets of boundary conditions 72 (BC) by which the radial and longitudinal velocity of the walls set the luminal content in motion. The first 73 (BC1), was based on parameters derived by analysis of longitudinal strain rate maps of pendular activity 74 in the rat duodenum and was used to describe the general characteristics of pendular flow. The second 75 (BC2) and third (BC3) boundary conditions incorporated real time data from 22 randomly selected high 76 definition video sequences of pendular activity in the isolated proximal duodenum of the rat (BC2) and of 77 segmentation in the guinea pig (BC3) and were used to examine flow and mixing generated by real 78 motility.

79

#### 80 **2. Methods**

#### a. Assumptions regarding bulk flow in the duodenal lumen

The proximal duodenum was modelled as a tube of diameter D (m) and length L (m) between the 82 83 pylorus and the pancreatic duct. The flow generated by non propagating contractions was modelled 84 using the incompressible Navier-Stokes equations. The rheological properties of the chyme depend on 85 the digestive phase and are so temporally inconsistent. We restricted our analysis to homogeneous 86 Newtonian chyme with a constant viscosity  $\mu$  (Pa.s). The role of non Newtonian behaviour will be 87 discussed later. Three levels of viscosity were examined 1 (i.e. water), 10 and 100 mPa.s. We assumed that the characteristics of the contractile activity were independent of the viscosity of the lumen 88 89 contents. This hypothesis was based on the observations that the spatio-temporal characteristics of 90 circular and longitudinal contraction during propagating peristalsis in the terminal ileum of the possum 91 did not vary with the apparent viscosity of the perfusate [21].

92

#### 93 Dimensionless numbers governing the flow

To facilitate the analysis of flow, we reformulated the Navier-Stokes equations in a dimensionless form to enable the parameters to be regrouped as dimensionless variables. Hence, variables with dimensions such as velocity were scaled by their appropriate characteristic values to give the dimensionless form. The characteristic values included the characteristic velocity of the flow  $V_c$  (m/s) that corresponded to the maximal longitudinal or radial velocity of the wall, the diameter of the duodenum D and the fluid

99 density  $\rho$  (=10<sup>3</sup> kg/m<sup>3</sup> in the whole paper). The dimensionless values are identified by the symbol '. Thus, 100 we have

101

102 
$$\vec{u}' = \frac{\vec{u}}{V_c}, x' = \frac{x}{D}, y' = \frac{y}{D}, t' = \frac{t}{D/V_c}, p' = \frac{p}{\rho V_c^2}, \dot{\gamma}' = \frac{\dot{\gamma}}{V_c/D}$$

103

where  $\vec{u}$  is the velocity field (m/s), x the longitudinal abscissa (m), y the radial abscissa (m), t the time (s), p the pressure (Pa) and  $\dot{y}$  the shear rate (s<sup>-1</sup>).

The flow is governed by the Reynolds *Re* number which represents the ratio of the inertial forces to theviscous forces and is defined by

108

$$Re = \frac{\rho V_c D}{\mu} \tag{1}$$

109

110 Classically, the flow in a tubular conduit is turbulent when *Re* is high. Such turbulence leads to the 111 formation of unstable eddies of differing scales that promote efficient and rapid mixing of fluids. 112 However, intestinal flows are generally characterised by Reynolds numbers below 200 [7, 8], so that flow 113 is laminar and mixing by advection is correspondingly more limited. An extreme case of laminar flow, 114 termed Stokes flow, occurs when the Reynolds number approaches zero, i.e. when the viscosity of the 115 lumen content is very high. Under such conditions, flow is reversible [22], i.e. a fluid element that is 116 displaced by a deformation of the wall will return to its original position as the deformation relents.

117

118 As pendular contractions vary cyclically with time at a frequency f (Hz), flow is pulsatile and can be 119 described by the Strouhal number Sr which represents the ratio of the time scale of the longitudinal 120 activity 1/f to the time scale of the flow  $D/V_c$  and is defined by

121

$$Sr = \frac{fD}{V_c}$$
(2)

122

Pulsatile flow can also be characterised by the Womersley number Wo, a procedure that is more popular in physiology and biofluidics than the use of the Strouhal number Sr [23, 24]. In effect Wo is a combination of Re and Sr

$$Wo = D \sqrt{\frac{f\rho}{\mu}} = \sqrt{ReSr}$$
(3)

128 The Womersley number can be viewed as the ratio of the diameter of the intestine D to the depth of the layer of fluid  $\sqrt{\mu/f\rho}$  that oscillates against the wall. When both Sr and Wo are low, flow occurs in a 129 130 "quasi-steady" manner [24], i.e. the instantaneous flow rate is given by the instantaneous pressure 131 gradient any movements at the boundary with the contracting wall are readily transmitted through the 132 adjacent fluid and the thickness of the oscillating fluid layer is maximised. Flow characteristics depart 133 progressively from this behaviour. As conditions change to increase the values of the two dimensionless 134 parameters [24] boundary conditions are less readily transmitted through the adjacent fluid and the 135 oscillating boundary layer of fluid becomes thinner.

136

#### 137 Oral and aboral conditions

In a first step, we defined oral and aboral conditions so as to avoid inducing extraneous flow phenomena. Null radial velocity components and pressures were imposed at the oral and aboral ends of the duodenum and the flow field was defined as null at the commencement of the simulation.

141 In a second step, we modelled the simultaneous effects of the pyloric outflow of chyme and pendular 142 activity on mixing during post-prandial period. The velocity profile at the level of the pylorus was 143 assumed to be parabolic (i.e. Poiseuillar flow) with a maximal velocity of 0.2 mm/s. This order of 144 magnitude was determined by considering that the residence time of the chyme in proximal duodenum 145 of the rat during the post-prandial period is around 3 min [25] and the length of the duodenum was 146 around 40 mm [14]. Two situations were explored in the second step, firstly assuming that gastric 147 emptying resulted simply from a sustained increase in fundal and corporal tone [18, 26] inducing a 148 constant inflow of chyme and secondly assuming sinusoidal modulation of inflow by antral contraction 149 waves [18, 26] with a frequency of 5 cycles by minute for the rat [27] and a mean maximal velocity of 150 0.2 mm/s.

- 151
- 152

#### 153 **b.** Boundary conditions during contraction

154 Three sets of boundary conditions were developed that determined the position and the longitudinal or 155 radial velocity of the walls of the duodenum during contractile activity.

156

#### 157 BC1: Simplified pendular activity

Pendular activity was modelled by dividing the proximal duodenum into 4 domains of contractile activity (Fig. 1 & 2) each of length 2*l* with half domains each of length *l* at the two ends. The longitudinal strain  $\varepsilon$ was defined by  $\Delta L/L$  where *L* is the length of a segment of muscle and  $\Delta L$  is its change of length due to muscle contraction. The cyclic variation of the longitudinal strain rate  $\dot{\varepsilon} (= \partial \varepsilon / \partial t, s^{-1})$ , i.e. the rate of local lengthening (if  $\dot{\varepsilon} < 0$ ) or shortening (if  $\dot{\varepsilon} > 0$ ), along the mesenteric and antimesenteric axis that occurred in each specific domain was represented by a sinusoidal function

164

$$\dot{\varepsilon}(x,t) = A(x)\sin(2\pi f t + \phi) \tag{4}$$

165

166 where *t* is the time, *x* the longitudinal abscissa,  $\phi$  the phase and A(x) the strain rate amplitude. For each 167 domain, A(x) was parameterised by a parabola of centre  $x_0$ 

168

$$A(x) = A_{max} \left[ 1 - \left(\frac{x - x_0}{l}\right)^2 \right]$$
(5)

169

170 The velocities V(x, t) of the walls were determined by integration of Eq. 4.

171 A ratio L/D of 5 and a phase lag of 180° were used as a base case, in the numerical simulations 172 (Table S1). This resulted in the maximal longitudinal velocity  $V_{max}$  for each domain being given by 173

$$V_{max} = \frac{2}{3} A_{max} l \tag{6}$$

174

The values for the parameters in these equations (Table 1) were obtained from one dimensional fast
Fourier analysis of maps of longitudinal strain rate reported in the proximal duodenum of the rat (Fig. 1)
[14].

178

179 The results are given for a pseudo-steady state, i.e. the flow parameters depend only on the time relative 180 to one cycle of activity, and the variables, as the pressure *p*, are averaged over one-half cycle

$$\bar{p}_{T/2}(\vec{x}) = \frac{2}{T} \int_0^{T/2} p(\vec{x}, t) dt$$
(7)

# 183 BC2: Real pendular activity

184 Pairs of longitudinal strain rate maps were acquired from five separate ex vivo preparations of the 185 proximal duodenum of the rat (Fig. 1) [14]. Each pair described the spatiotemporal evolution of 186 longitudinal contractions along the mesenteric and antimesenteric surfaces respectively. This allowed for 187 any effects of the asymmetry of the contractile activity on the flow features to be incorporated into the 188 analysis. Ten representative video sections, each of 20 seconds duration (5 rats x 2 sequences) were 189 sampled from sequences that showed arrays of non propagating longitudinal contractions organised into 190 domains (Fig 1). After interpolation of the strain rate maps to correspond to the mesh of the numerical model, the longitudinal velocity of the mesenteric and antimesenteric surfaces were determined by 191 192 integration in the space dimension.

193 Circular activity and consequent alterations in wall thickness was neglected in this situation as no 194 noticeable passive bulging of the wall was observed during longitudinal shortening and non-propagating 195 circular contractions were of low amplitude in the duodenum of the rat (<15% of the diameter at 196 rest) [14].

After simulation, the absolute value of the data of interest, as the pressure *p*, was averaged over20 seconds for each spatial-coordinate

199

$$\overline{|p|}_{20}(\vec{x}) = \frac{1}{20} \int_0^{20} |p(\vec{x}, t)| dt$$
(8)

200

#### 201 BC3: Real segmentative activity

Segmentative contractions occur singly in the isolated guinea pig proximal duodenum and are not temporally and spatially synchronized [10, 14] in the manner described by Cannon [11]. We therefore modelled the flow during each of 12 randomly chosen isolated contractions (4 guinea pigs x 3 examples). Each contraction was individually parameterized. The evolution of the diameter was fitted with the function

207

$$D(x,t) = D_0 - a_0(x)te^{-t/a_1}$$
(9)

208

where  $D_0$  is the diameter at rest,  $a_1$  is a time constant characteristic of the relaxation, and  $a_0(x)$ corresponds to the dependence of the diameter on a spatial dimension that was close in form to that of a parabola ( $R^2 \approx 0.95$ )

212

$$a_0(x) = U_{max} \left[ 1 - \left(\frac{x - x_0}{l}\right)^2 \right]$$
(10)

213

where  $U_{max}$  is the maximal radial velocity in the centre of the contraction,  $x_0$  the centre of the contraction and 2l the length of the contraction. The length of the contractile domain was about 6 mm [14].  $D_0$ ,  $a_1$ , and  $U_{max}$  were determined by fitting Eq. 9 to the data using a least square fitting procedure (Table 1). The radial velocity of the boundary was determined by taking the derivative of Eq. 9.

219

$$\frac{\partial D}{\partial t} = a_0(x)te^{-t/a_1}\left(\frac{t}{a_1} - 1\right) \tag{11}$$

220

#### 221 c. Evaluation of intestinal mixing

The displacement of 150 small and massless particles spaced regularly within the lumen was calculated so as to assess the extent to which contained material could be advected by the flow field.

224

We examined the extent to which deformations in the flow of the contents increased the lengths of interfaces between different elements of fluid and hence improved the diffusion process between them. The parameter of interest in this case was the shear rate component of the strain rate field of the flow noted  $\dot{\gamma}$  (in s<sup>-1</sup>)

229

$$\dot{\gamma} = \frac{1}{2} \left( \frac{\partial u}{\partial y} + \frac{\partial v}{\partial x} \right) \tag{12}$$

230

231 where u and v are the axial and radial velocity; respectively.

We determine the dispersion of a diffusive tracer from its starting position comprising three pairs of lines that were oriented radially across the lumen, each pair being located so as to be in the middle of a domain of contraction during pendular activity. The resulting concentration field was compared with that from a case where molecular dispersion was restricted to diffusion. Given that the residence time of the chyme in the rat proximal duodenum during post-prandial period is around 3 min [25], we modelled periods of video activity of 4 min duration that consisted mainly of pendular activity. The evolution of the
 concentration *C* of this tracer was modelled by the advection-diffusion equations

239

$$\frac{\partial C}{\partial t} + \vec{u}\nabla C = \alpha\nabla^2 C \tag{13}$$

240

where  $\alpha$  is the diffusion coefficient. The flux of the diffusive tracer was null at all the boundaries of the duodenum. The temporal evolution of the variance of the concentration field was calculated to quantify and compare the impact of the intestinal motility on mixing.

244

#### 245 d. Numerical resolution by the lattice-Boltzmann method (LBM)

246 The use of the LBM for solving Navier-Stokes equations has only relatively recently been described. Contrary to other computational fluid dynamics methods, instead of solving the discretized Navier-247 248 Stokes equations, LBM simulates the streaming and collision processes that result from the longitudinal 249 and radial movements of the walls, across a limited number of particles interacting on a network of 250 nodes within the duodenal lumen. The velocity and pressure fields can then be calculated. The LBM is 251 capable of modelling complex flow phenomena as flow with deformable boundaries on a Cartesian mesh 252 [28, 29] without the remeshing methods that are generally used with finite element methods. Motility 253 data obtained by spatio-temporal mapping can be easily incorporated in the model. The LBM has been 254 successfully used to model gastric flow [18] and the coupling of micro- and macro- flow around small 255 intestinal villi [19, 20].

256

We used a lattice Boltzmann BGK scheme (LBGK) developed by Guo et al. [30] as, unlike other LBGK schemes, the use of this method allows the incompressible Navier-Stokes equations to be exactly recovered [30].

260

The geometry of the duodenum was modelled only in two dimensions (2D) in order to reduce the computational time. Previous comparisons of flow patterns in two dimensional models of the intestine with those in axisymmetric geometries have shown that 2D models capture similar fluid motions and produce only modest quantitative differences in pressure and velocity [31, 32]. More importantly, this simplification was necessary to incorporate real experimental data as this was derived from videos i.e was measured in 2D.

The oral and oboral pressure and velocity conditions were modelled using the non-equilbrium extrapolation method of Guo *et al.* [33].

270

For each location and each time step, the longitudinal and radial displacement of the walls calculated with each of the three types of boundary conditions (§2.b) were incorporated in a numerical scheme that considered moving and curved boundary conditions. The less computationally intensive and secondorder methods were based on an interpolation method developed by Filippova and Hanel [34] and improved by Mei et al. [35] which is more accurate in regard to flux, forces at the wall and vorticity than are other methods of interpolation [36].

277

The advection-diffusion equations (Eq. 13) were solved by the revised moment propagation method forscalar transport proposed by Yu et al. [37].

280

The set of equations was solved directly in MATLAB R2010b (The Mathworks, Natick, MA). Preliminary numerical simulations indicated that to obtain a sufficiently high degree of computational accuracy the radius of the duodenum must be meshed with a minimum of 30 cells.

284

285 Two benchmarks were used to validate the implementation of the LBM.

The 2D unsteady Womersley flow [23, 24] was solved on a domain of 30 by 30 nodes under periodic boundary conditions. The relative error with the analytical solution [24] was calculated with

288

$$E_2 = \frac{\{\sum (u - u_{exact})^2\}^{1/2}}{\{\sum (u_{exact})^2\}^{1/2}}$$
(14)

289

where *u* is the axial the velocity calculated with the model and  $u_{exact}$  is the exact solution.  $E_2$  was 3.4 10<sup>-3</sup> and 1.7 10<sup>-3</sup> for *Wo* of 5 and 1 respectively. So, the model was able to simulate unsteady flow accurately.

To analyse the accuracy of the boundary treatment, the flow generated by a travelling wave with a sinusoidal shape in two different frames of reference was simulated under periodic boundary conditions on a grid of 40x100. The wave length was 100 and the amplitude was 14 cells. In the first case, the wave moved axially with a velocity of 0.05, whereas in the second case the wave was steady and the upper boundary moved axially with a velocity of -0.05. When reported in the same frame of reference, both flows are equivalent. The error  $E_2$  (Eq. 14) for the axial, radial velocity and the pressure were 4.7  $10^{-3}$ , 6.3 10<sup>-2</sup> and 5.4 10<sup>-2</sup>; respectively. These results were consistent with those obtained by Wang et al. [19]
with a different lattice-Boltzmann model., Hence this procedure demonstrated that moving boundaries
could be incorporated without causing numerical inconsistency.

302

#### 303 e. Statistical analysis of the flow parameters

When necessary, flow parameters were transformed using the Johnson transformation algorithm in MINITAB (Sydney, Australia) to obtain a normal distribution. They were subsequently analysed in the SYSTAT software suite, version 11 (Chicago, USA) by two way ANOVA with "Rat" and "Viscosity" as factors. When significant overall differences were obtained (p<0.05), the effects of the various factors were compared by Bonferroni *post hoc* comparison. The values are given as the mean ± standard deviation.

310

312

# 311 **3. Results**

# a. Influence of dimensionless parameters on pendular flow (BC1)

In the proximal duodenum of the rat, the Reynolds number *Re* varied between 0 and 10 during pendular contraction (Table 1). Similarly the Strouhal and Womersley numbers varied between 1 and 10, and between 0 and 10, respectively. The simulations conducted with the boundary conditions of simplified pendular activity (BC1), showed that flow was pulsatile and the flow parameters oscillated between a negative and positive value. Maps of velocity and shear rate fields (Fig. 3) showed that the strongest fluid motions and greatest shear rates were localised at the walls and located at the junctions of successive contractile domains.

320

321 Two flow regimes could be distinguished. The first was obtained when Re and Sr were large (i.e. with 322 high Wo). In this case, the flow and regions of greatest shear rate were confined to a thin oscillating 323 boundary layer (Fig. 3 & 4) that remained close to the walls. The second was obtained when Re and Sr324 were small (i.e. small Wo), the longitudinal velocity of the wall propagated further into the lumen, i.e. 325 further along the radial dimension toward the central longitudinal axis, and symmetrical vortices 326 developed between adjacent domains (Fig. 2 & 4). The direction of rotation of fluid particles inside a 327 vortex varied from clockwise to anti-clockwise during a period of longitudinal muscle contraction. As Re 328 and Sr tended to zero, the flow tended to an asymptotic solution that corresponded to the "quasi-329 steady" flow where the boundary conditions were fully transmitted across the radial dimension.

#### b. *Effects of real pendular activity on mixing (BC2)*

In line with the previous results, radial velocity values averaged over 20 seconds (i.e. about 14 cycles of activity) (Fig. 5) showed a tendency for higher values to be grouped in regions of the lumen field that corresponded to longitudinal contractile domains. The amplitudes of radial velocities with fluids of lower viscosity (1 mPa.s) were lower than those with fluids of higher viscosity (10 mPa.s). The maximal radial velocity was around 80 µm/s with a viscosity of 1 mPa.s (N=10) (Table 2), the velocity of the lumen contents being no further augmented by any additional increase of their viscosity above 10 mPa.s (Table 2).

339

The displacement of massless particles (Fig. 6) were influenced by fluid inertia of the contents such that the position around which they oscillated moved about 300 µm in the axial direction and 30 µm in the radial direction over a period of 20 s when the viscosity was low (1 mPa.s). Hence globally, the flow was not fully reversible, however the net axial flow generated by pendular activity in one direction or the other was negligible compared with the amplitude of the oscillation.

345

346 Comparisons of the spatial patterns of variation in shear rate across the field of the lumen (Fig. 7) when 347 the viscosity of the lumen content was 10 mPa.s showed asymmetrical regions of high shear rate that 348 were interspersed between adjacent contractile domains due to the asymmetry of the contractions. The 349 pattern of local variation in shear rate varied within between preparations (Fig. 7). The various 350 parameters that described the shear rate field (Table 2) differed significantly only when the viscosity of 351 the lumen content was increased from 1 to 10 mPa.s, but not when it was further increased, i.e. from 10 352 to 100 mPa.s. Between 1 and 100 mPa.s, the maximal shear rate was significantly reduced from a factor 1.8 (from 0.85 to 0.47 s<sup>-1</sup>). 353

354

355 The shear generated by pendular activity increased the interface between adjacent domains and hence 356 improved mixing by diffusion. Observation of the degree of deformation and change in breadth of local 357 regions in the initially radially orientated lines of the diffusive tracer over time confirmed this behaviour 358 (Fig. 8a). The deformation of the lines of tracer oscillated at the frequency of the longitudinal 359 contractions augmenting overall diffusion by expanding the length of the lines (and hence the area of interface) and displacing the developing diffusion gradient to give a smudging effect. When the diffusion 360 coefficient of the marker was below  $\sim 10^{-8}$  m<sup>2</sup>/s the influence of pendular activity on axial dispersion was 361 greater than that in the purely diffusive case (Fig. 8b & Fig. A in Supplementary results). These relative 362

effects increased as the diffusion coefficient decreased. Conversely, when the diffusion coefficient of the marker was greater than  $\sim 5.10^{-8}$  m<sup>2</sup>/s, the shearing deformations generated by pendular activity were too small to improve diffusive mass transfer.

366

When pendular activity was associated with pyloric outflow, the dispersion was improved, mainly near the walls of the duodenum, compared to the case where mass transfers were only driven by pyloric outflow and diffusion (Fig. 9a). The variance of concentration decreased more quickly in the first than in the second case (Fig. 9b), but the pattern of pyloric outflow (i.e. steady or oscillating) did not appear to influence the outcome.

372

# 373 c. Effects of real segmentative activity on mixing (BC3)

Observations of the displacement of small particles (Fig. 10) showed that they were advected by the flow generated during segmentative contractions, but that they returned to a position that was very close to their starting position after relaxation was complete. This behaviour was observed in the 12 video sequences that were examined and was independent of viscosity. When segmentation was associated with pyloric outflow, the difference was insubstantial. Modelling the dispersion of a diffusive scalar over 4 minutes of segmentative activity was not possible as sustained activity over 4-5 cycles of contractions had not been observed in our previous study [14].

381

## 382 4. Discussion

# 383

## a. Methodological advances modelling of flow within the gut

384 Whilst a number of previous workers [7, 8, 18] have directly incorporated image data that described the 385 evolution of propagating radial constriction in fluid mechanical models, the present study is the first to 386 explore the physical processes of digestion during pendular and segmentative activity in the absence and 387 presence of pyloric outflow using models that integrate the physics of fluids with real physiological and 388 morphological data that incorporate inter- and intra- individual variability. This method is also a simpler 389 alternative that the construction of stochastic models of intestinal motility [16]. This study provides 390 insights into the relative contribution of the various types of static contractile activity in securing mixing 391 and digestion and permits some statistical assessment of the variation in flow with viscosity and 392 between subjects. Again models that incorporate such sophisticated data may help in the design of food 393 and pharmaceutical products to optimize absorption.

Whilst the use of these methods have allowed us to generate data with a level of detail that is currently not possible to obtain directly, either *in vivo* or *ex vivo*, some form of direct validation of the model is desirable though technically difficult. In this respect, we are currently developing a method based on residence time distribution of dye tracers [6].

399

# 400 b. Pendular activity and 'mixing in situ'

401 The results show that non propagating longitudinal contractions in arrays of contractile domains within 402 the proximal duodenum of the rat generate corresponding arrays of non-steady vortices with a relative 403 increase in lumen pressure in areas between adjacent vortices (Fig. 3). This result matches qualitatively 404 with manometric data from the isolated small intestine of the rabbit [38] and confirm hypotheses based 405 on a simple mechanical models that longitudinal small intestinal contractions can generate vortices [13]. 406 However, our quantified results showed that conclusions of previous workers [13, 15] on the effects of 407 longitudinal contractions on vortices and laminar mixing were oversimplified. Indeed, the generation of 408 vortices does not appear to govern the mixing process as the amplitude of the radial velocity is low (Fig. 409 5) and so the content of the lumen cannot flow between the core and the periphery of the duodenum 410 during one cycle of contraction (Fig. 6). Rather, our results indicate that pendular activity induces a cyclic 411 deformation of boundaries between adjacent elements of fluid lumen content that locally increase the 412 area of interface between them and accelerates inter-diffusion (Fig. 8). Hence, contrary to the actions of 413 peristaltic contractions that engender mixing during axial propulsion [7, 8], pendular activity can mix 414 content 'in situ.' Indeed, the disposition and mode of action of pendular activity appears to reflect 415 chemical process engineering design insofar as it minimises propulsion whilst maximising dispersion [3, 416 4].

417 Moreover, the fact that the effects of pendular activity on dispersion increased as the diffusion 418 coefficient of the marker material decreased (Fig. 8) highlights the importance of longitudinal motility in 419 the proximal duodenum in the digestion of larger and more slowly diffusive molecules such as glucose  $(\alpha \approx 8.10^{-10} \text{ m}^2/\text{s}, [39])$  or aggregates of molecules such as biliary micelles  $(\alpha \approx 1.10^{-10} \text{ m}^2/\text{s}, [40])$ . The 420 421 principal factor limiting the efficient mixing of lumen contents with a low Reynolds number is the 422 symmetric reciprocating motion of the walls. The association of pendular activity with pyloric outflow 423 has been hypothesized to improve mixing by precluding the consequent reciprocal motion of the 424 adjacent fluid. Our results support this conclusion indicating that mixing in the duodenum is facilitated 425 by the concerted action of the gastric and duodenal musculature. However it is noteworthy that whilst 426 the dispersion of nutrient molecules would be improved by pyloric outflow (Fig. 9), the concerted action the antrum and pylorus in generating pulsatile flow [18, 26] did not augment such dispersal. In this regard it is noteworthy that our two dimensional analysis could not incorporate any effect of the pyloric torus. This structure, an asymmetrically positioned pad projecting into the pyloric lumen, along with its associated muscular loops [41], may serve to vary the position of the outflow within the duodenal bulb and further increase dispersion [42].

432

#### 433 c. Effects of the rheological properties of the chyme on mixing

The flow generated by pendular activity is weakly dependant on viscosity when chyme behaves as a simple Newtonian fluid (Table 2). Such a situation is likely to occur during the post prandial period when significant quantities of drink are ingested. A second model that incorporated shear thinning behaviour of the chyme [43] was developed and will be presented in a further paper. It shows that similar phenomena are likely to occur during the later part of the post-prandial period and during the inter-meal interval with moderate qualitative differences.

440

#### 441 *d. Segmentative activity*

442 During a single segmentative contraction in the guinea pig duodenum, the flow was reversible even 443 when the viscosity of chyme was low (Fig. 9). It is possible that sustained segmentative activity in the 444 manner described by Cannon (1902) may mix the luminal content by a similar mechanism to that during pendular activity (Fig. 8). However such activity has not been reported in isolated preparations [10, 14]. 445 446 Moreover, segmentative activity appears to be more evident in the isolated duodenum of the 447 herbivorous guinea pig [44] than the omnivorous rat [45]. Typically the chyme of herbivores has a high 448 volume of insoluble particles and behaves as a weak gel exhibiting a degree of elasticity and plasticity 449 [43]. Such rheological behaviour will promote irreversibility in the flow as duodenal contractions induces 450 structural changes in the chyme from extrusion of the liquid phase and from incomplete re-expansion 451 and recovery of this fluid during the relaxation [46]. Further the extrusion of the liquid phase during 452 compression may augment any slip at the wall [5, 47]. Conversely such behaviour would render mixing 453 by pendular activity inefficient, as the presence of expressed fluid at the wall would promote slip and 454 prevent longitudinal contractions from transmitting shear to the lumen content. Hence, segmentation 455 may be more developed when dietary habit results in chyme with high solid volume ratios and pendular 456 activity may be more developed when solid volume content of chyme is low. These differences in the 457 predominant type of stationary contractile activity appear to be reflected in the relative proportions of 458 longitudinal and circular muscles in the duodenal tunica muscularis in the rat and the guindea pig [14].

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571	6. Tables and figures captions
572	
573	Table 1: Parameters of pendular activity and of segmentative activity in the proximal duodenum of the
574	rat and the guinea pig respectively.
575	
576	Table 2: Variation in descriptors of the mean radial flow (in x10 $\mu$ m/s) and shear rate (in s <sup>-1</sup> ) field with
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578	duodenum of the rat (N=10).
579	
580	Figure 1: Spatio-temporal organisation of pendular activity in the proximal duodenum of the rat
581	(adapted from Lentle <i>et al.,</i> 2012).
582	(A) shows a rat proximal duodenum with the pylorus on the left and the pancreatic duct on the right. The
583	strain rate map (B) presents 4 spatial domains (*). In each of them, the strain rate oscillates between a
584	minimal and a maximal value. (C) shows the strain rate amplitude at the dominant frequency as a
585	function of the duodenum length. Local maxima in strain rate amplitude (*) vary in position within each
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590	boundary conditions BC1.
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601	The velocity, the shear rate and the pressure were each averaged over half of the period of the cycle of
602	muscular contraction. The vector field shows the mean direction of flow of chyme during this time.

- Two main types of flow regime were identified depending on the magnitude of Re and Sr (see text). Arrows indicate transects location used for Fig. 4.
- 605

# Figure 4: Influence of the Reynolds Re (A) and the Strouhal Sr (B) numbers on the dimensionless mean radial velocity at a transect through the gut obtained from the simplified model of pendular activity in the duodenum of the rat (BC1, Fig. 2) at Sr=5 and Re=1 respectively.

- The transect was located between adjacent vortices traversing the point where the radial component of velocity is the most developed (indicated by the arrows on Fig. 3). When either *Re* or *Sr* increase, the radial velocity profile becomes progressively less developed and more constrained to regions near the walls. Conversely at low *Re* and *Sr*, the radial velocity profile tends to an asymptotic solution (bold plain line).
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Figure 5: Results from modelling (BC2) of a 20 second period of spatiotemporally mapped pendular activity in the duodenum of the rat showing the effect of the viscosity of the luminal content on local radial velocity.

- The strain rates map (A) shows four active domains in the first 30 mm of the duodenum (\*). The vertical direction corresponds to the time and the horizontal direction corresponds to the distance along the duodenum. The grey scale corresponds to the strain rate.
- Absolute values of radial velocities at each location are averaged over 20 seconds and plotted as isovalue maps whose axes correspond to the axial and radial dimensions of the proximal duodenum (B, C and D). The domain of each longitudinal contraction corresponds to a zone of higher velocity. The zone is located nearer to the anti-mesenteric (lower) than the mesenteric wall as a result of radial asymmetry in the amplitude of longitudinal contractions. The flow is less developed at low viscosity (B) than at high viscosity (C and D).
- 627

# Figure 6: Results from modelling (BC2) of 20 second sequences of spatiotemporally mapped pendular activity in the duodenum of the rat showing their effect on advection

630 Cumulative mean radial (dashed line) and axial (plain line) displacements of small particles (5 rats x 2
631 sequences x 150 particles) over time resulting from flow advection with chyme viscosity at 1 mPa.s. The
632 limits of the shaded areas correspond to ± standard deviation / 2.

Figure 7: Results from modelling (BC2) of two 20 second sequences of spatiotemporally mapped pendular activity in the ex vivo duodenum of five rats showing variation in the spatial patterns of shear rate with the viscosity.

(a) shows isovalues of shear rate for a viscosity of 10 mPa.s. The absolute value of the shear rate was
averaged at each location over each 20 s time sequence to produce a map of isovalues whose axis
represent the axial and radial dimensions of the proximal duodenum. The regions of highest shear rate
are spaced between adjacent contractile domains and are localised near the walls (Fig. 2). The shear rate
is radially asymmetric as a result of radial asymmetry of the longitudinal contractions.

(b) shows variation in distribution of shear rate across the radial dimension of the lumen with viscosity.
For each rat and each sequence, the absolute value of the shear rate was first averaged in the axial
direction of the duodenum and subsequently these means were averaged for all the rats and all the
sequences (plain lines). The limits of the envelope curves correspond to ± standard deviation /2.

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Figure 8: Results from modelling (BC2) of 4 minute sequences of spatiotemporally mapped pendular activity in the ex vivo duodenum of a rat showing the influence of different diffusion coefficients  $\alpha$  on mixing.

650 Three radially orientated lines of a diffusive tracer ( $\alpha = 5.10^{-9} \text{ m}^2/\text{s}$ ) were placed between adjacent 651 longitudinal contractile domains (see Methods).

(a) The evolution of the tracer during 4 minutes of pendular activity plus diffusion (right) is compared
with the case where the process is purely diffusive (left). The shear generated during pendular activity
deforms the chyme, increases the size of the interface between adjacent domains and accelerates
diffusive mass transfer across the longitudinal dimension.

(b) Shows the temporal evolution of the ratio of the variances of concentration obtained with pendular activity plus diffusion to those obtained with diffusion alone using tracers with different diffusion coefficients  $\alpha$  (see also Fig. A in Supplementary results).

659

Figure 9: Results from modelling (BC2) of 4 minutes sequences of spatiotemporally mapped pendular
 activity in the ex vivo duodenum of a rat associated with pyloric outflow and diffusion (see Methods)
 showing the influence on longitudinal dispersion.

663 A radially orientated line of a diffusive tracer ( $\alpha = 5.10^{-9} \text{ m}^2/\text{s}$ ) was placed at the site of the pylorus.

(a) The evolution of the tracer during 4 minutes of pendular activity along with steady pyloric outflowand diffusion (right) is compared with the case where the dispersion is governed by steady pyloric

666 outflow and diffusion with no pendular activity (left).

Figure 10: Showing the influence of segmentation on the displacement of small particles in a model incorporating spatiotemporally mapped sequences of segmental motility in the duodenum of the guinea pig (BC3).

- 674 Left panel: Temporal profile of change in the diameter at the centre of a region of segmental contraction
- 675 (**E**: experimental data from Lentle *et al.* (2012), plain line: Eq. 9)
- 676 Right panel: Plots of isovalues of velocity and displacement of small particles advected by the flow
- 677 (o: initial position, •: instantaneous position) at three different time points with viscosity of lumen
- 678 contents of 1 mPa.s.
- At the peak of the contraction (t=0.8 s), the particles undergo significant advection by the flow field, but
- 680 return to their initial position when relaxation is complete (t=3.8 s).
- 681  $(U_{max}=3.9 \text{ mm/s}; a_1=0.85 \text{ s}; D_0=4.8 \text{ mm}; 2l=8.6 \text{ mm}; \mu=1 \text{ mPa.s})$
- 682

# **Table 1: Parameters of pendular activity and of segmentative activity in the proximal duodenum of the**

- 688 rat and the guinea pig respectively.

	Symbol	Typical value	Reference				
Dendular activity - Drovinal duodenum of the rat							
Periodial activity – Proximal doublement of the fat							
Maximal amplitude of strain rate	A <sub>max</sub>	0.09-0.21 s	[14]				
Diameter of the duodenum	D	4-6 mm	[14]				
Frequency	f	0.56-0.64 Hz	[14]				
Length of a domain	21	7.5-11.2 mm	[14]				
Duodenum length	L	40-45 mm	[14]				
Number of domains	N	4-6	[14]				
Maximal longitudinal velocity	$V_{max}$	0.4-1.6 mm/s	Eq. 6				
Phase lag between adjacent territories	$\phi$	135-225°	[14]				
Viscosity of the chyme	μ	> 1 mPa.s					
Reynolds number	Re	0-10	Eq. 1				
Strouhal number	Sr	1-10	Eq. 2				
Womersley number	Wo	0-10	Eq. 3				
Segmentation – Proximal duodenum of the guinea pig							
Relaxation time	$a_1$	0.6-0.9 s	Calculated from [14]				
Diameter of the duodenum	D	4.5-8.1 mm	Calculated from [14]				
Length of a domain	21	3.0-8.8 mm	[14]				
Number of domains	N	4-5	[14]				
Maximal radial velocity	$U_{max}$	2.4-5.4 mm/s	Calculated from [14]				
Viscosity of the chyme	μ	> 1 mPa.s					
Reynolds number	Re	0-43	Eq. 1				

Table 2: Variation in descriptors of the mean radial flow (in x10  $\mu$ m/s) and shear rate (in s<sup>-1</sup>) field with

viscosity obtained with model incorporating data from real pendular activity (BC2) in the proximal

- 701 duodenum of the rat (N=10).

Viscosity:	1 mPa.s	10 mPa.s	100 mPa.s
Maximal axial velocity	6.0±2.0 (a)	7.7±2.8 (b)	7.6±2.4 (b)
Mean shear rate at the mesenteric surface	0.36±0.10 (a)	0.24±0.06 (b)	0.21±0.06(b)
Mean shear rate in the middle of the lumen	0.024±0.006 (a)	0.039±0.006 (b)	0.039±0.008 (b)
Mean shear rate at the anti-mesenteric surface	0.41±0.14 (a)	0.26±0.08 (b)	0.23±0.08 (b)
Shear rate averaged on all the lumen	0.15±0.04 (a)	0.13±0.02 (a)	0.11±0.02 (a)
Maximal shear rate	0.85±0.36 (a)	0.51±0.16 (b)	0.47±0.14 (b)

703 Results are given as mean± standard deviation

704 p<0.05 are indicated by different letters (a & b)



706 Figure 1: Spatio-temporal organisation of pendular activity in the proximal duodenum of the rat 707 (adapted from Lentle *et al.*, **2012**).

(A) shows a rat proximal duodenum with the pylorus on the left and the pancreatic duct on the right. The
strain rate map (B) presents 4 spatial domains (\*). In each of them, the strain rate oscillates between a
minimal and a maximal value. (C) shows the strain rate amplitude at the dominant frequency as a
function of the duodenum length. Local maxima in strain rate amplitude (\*) vary in position within each
domain. The phase diagram at the dominant frequency (D) presents steps between each domain of
about 180°.



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# Figure 2: Simplification of pendular activity in the duodenum of the rat and notations used in the first boundary conditions BC1.

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Figure 3: Showing variation in flow (top), shear rate (middle) and pressure (bottom) fields with the Reynolds Re and Strouhal Sr numbers obtained using the simplified model of pendular activity in the duadenum of the rat (RC1 Sig 2)

731 duodenum of the rat (BC1, Fig. 2).

The velocity, the shear rate and the pressure were each averaged over half of the period of the cycle ofmuscular contraction. The vector field shows the mean direction of flow of chyme during this time.

734 Two main types of flow regime were identified depending on the magnitude of *Re* and *Sr* (see text).

735 Arrows indicate transects location used for Fig. 4.





Figure 4: Influence of the Reynolds *Re* (A) and the Strouhal *Sr* (B) numbers on the dimensionless mean
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780 Figure 6: Results from modelling (BC2) of 20 second sequences of spatiotemporally mapped pendular

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limits of the shaded areas correspond to  $\pm$  standard deviation / 2.

(a)





(a) shows isovalues of shear rate for a viscosity of 10 mPa.s. The absolute value of the shear rate was
averaged at each location over each 20 s time sequence to produce a map of isovalues whose axis
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is radially asymmetric as a result of radial asymmetry of the longitudinal contractions.

(b) shows variation in distribution of shear rate across the radial dimension of the lumen with viscosity.
For each rat and each sequence, the absolute value of the shear rate was first averaged in the axial
direction of the duodenum and subsequently these means were averaged for all the rats and all the
sequences (plain lines). The limits of the envelope curves correspond to ± standard deviation /2.



Figure 8: Results from modelling (BC2) of 4 minute sequences of spatiotemporally mapped pendular activity in the ex vivo duodenum of a rat showing the influence of different diffusion coefficients  $\alpha$  on

807 mixing.

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808 Three radially orientated lines of a diffusive tracer ( $\alpha = 5.10^{-9} \text{ m}^2/\text{s}$ ) were placed between adjacent 809 longitudinal contractile domains (see Methods).

(a) The evolution of the tracer during 4 minutes of pendular activity plus diffusion (right) is compared
with the case where the process is purely diffusive (left). The shear generated during pendular activity
deforms the chyme, increases the size of the interface between adjacent domains and accelerates
diffusive mass transfer across the longitudinal dimension.

(b) Shows the temporal evolution of the ratio of the variances of concentration obtained with pendular activity plus diffusion to those obtained with diffusion alone using tracers with different diffusion coefficients  $\alpha$  (see also Fig. A in Supplementary results).



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Figure 9: Results from modelling (BC2) of 4 minutes sequences of spatiotemporally mapped pendular activity in the ex vivo duodenum of a rat associated with pyloric outflow and diffusion (see Methods)

825 showing the influence on longitudinal dispersion.

A radially orientated line of a diffusive tracer ( $\alpha = 5.10^{-9} \text{ m}^2/\text{s}$ ) was placed at the site of the pylorus.

(a) The evolution of the tracer during 4 minutes of pendular activity along with steady pyloric outflow
and diffusion (right) is compared with the case where the dispersion is governed by steady pyloric
outflow and diffusion with no pendular activity (left).

(b) Compares the temporal evolution of the variance of the concentration when a steady or oscillating
gastric outflow is associated with pendular activity and diffusion with the case where there is no
pendular activity.

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Figure 10: Showing the influence of segmentation on the displacement of small particles in a model incorporating spatiotemporally mapped sequences of segmental motility in the duodenum of the guinea pig (BC3).

- 843 Left panel: Temporal profile of change in the diameter at the centre of a region of segmental contraction
- 844 (E: experimental data from Lentle *et al.* (2012), plain line: Eq. 9)
- 845 Right panel: Plots of isovalues of velocity and displacement of small particles advected by the flow
- 846 (o: initial position, •: instantaneous position) at three different time points with viscosity of lumen847 contents of 1 mPa.s.
- 848 At the peak of the contraction (t=0.8 s), the particles undergo significant advection by the flow field, but
- 849 return to their initial position when relaxation is complete (t=3.8 s).
- 850  $(U_{max}=3.9 \text{ mm/s}; a_1=0.85 \text{ s}; D_0=4.8 \text{ mm}; 2l=8.6 \text{ mm}; \mu=1 \text{ mPa.s})$
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# 852 **7. Supplementary results**

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Fig. A: Results from model (BC2) incorporating a 4 minute sequence of spatiotemporally mapped pendular activity in the duodenum of a rat showing their influence on the mixing of three tracers with different diffusion coefficients  $\alpha$ .

Three radially orientated lines of a diffusive tracer were placed between adjacent longitudinal contractile domains (see Methods & Fig. 8). The field of concentration after 4 minutes of pendular activity (right) is compared with the case where the process is purely diffusive (left) using three tracers with different diffusion coefficients. Pendular activity increases diffusive mass transfers when  $\alpha < 1.10^{-8}$  m<sup>2</sup>/s.

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