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# Fast self-stabilizing $k$ -independent dominating set construction\*

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**Abstract.** We propose a fast silent self-stabilizing building a  $k$ -independent dominating set, named  $\mathcal{FID}$ . The convergence of protocol  $\mathcal{FID}$ , is established for any computation under the unfair distributed scheduler.  $\mathcal{FID}$  reaches a terminal (also legitimate) configuration in at most  $4n + k$  rounds, where  $n$  is the network size.  $\mathcal{FID}$  requires  $(k + 1)\log(n + 1)$  bits per node.

**keywords** distributed computing, fault tolerance, self-stabilization,  $k$ -independent dominating set,  $k$ -dominating set,  $k$ -independent set

## 1 Introduction

In this paper, we consider the problem of computing a distance- $k$  independent dominating set in a self-stabilizing manner in case where  $k > 1$ . A nodes set is a distance- $k$  independent dominating set if and only if this set is a distance- $k$  independent set and a distance- $k$  dominating set. A set  $I$  of nodes is distance- $k$  independent if every node in  $I$  is at distance at least  $k + 1$  to any other node of  $I$ . A set of nodes  $D$  is distance- $k$  dominating if every node not belonging to  $D$  is at distance at most  $k$  of a node in  $D$ . We propose a very simple and fast protocol, called  $\mathcal{FID}$ . The protocol  $\mathcal{FID}$  reaches a terminal configuration in at most  $4n + k$  rounds, where  $n$  is the network size.  $\mathcal{FID}$  requires  $(k + 1)\log(n + 1)$  bits per node. The obtained distance- $k$  independent dominating set contains at most  $\lfloor 2n/k + 2 \rfloor$  nodes.

**Related Works.** Silent self-stabilizing protocols building distance- $k$  dominating set are proposed in [5,1]. These protocols do not build a  $k$ -independent set.

In [6,7], Larsson and Tsigas propose self-stabilizing  $(l,k)$ -clustering protocols under various assumptions. These protocols ensure, if possible, that each node has  $l$  cluster-heads at distance at most  $k$  from itself.

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In [2], a silent self-stabilizing protocol extracting a minimal distance- $k$  dominating set from any distance- $k$  dominating set is proposed. A minimal distance- $k$  dominating set has no proper subset being a distance- $k$  dominating set. The protocol converges in  $O(n)$  rounds, it requires at least  $O(k \cdot \log(n))$  bits per node.

The paper [4] presents a silent self-stabilizing protocol building a small distance- $k$  dominating set : the obtained dominating set contains at most  $\lceil n/(k+1) \rceil$ . The protocol of [4] converges in  $O(n)$  rounds, it requires  $O(\log(n) + k \cdot \log(n/k))$  bits per node. The protocol of [3] builds competitive  $k$ -dominating sets : the obtained dominating set contains at most  $1 + \lfloor (n-1)/(k+1) \rfloor$  nodes. The protocol of [3] converges in  $O(n)$  rounds, it requires  $O(\log(2k \cdot 2(\Delta+1) \cdot 2n \cdot D))$  bits per node, where  $D$  is the network diameter, and  $\Delta$  is a bound on node degree. The protocols of [3,4] use the hierarchical collateral composition of several silent self-stabilizing protocols whose a leader election protocol and a spanning tree construction rooted to the elected leader. So their convergence time are larger than  $4n + k$  rounds.

The presented protocol is simple : no use of the hierarchical collateral composition, no need of leader election process, neither the building of spanning tree. Therefore, the protocol  $\mathcal{FID}$  is fast.

## 2 Model and Concepts

A distributed system  $S$  is an undirected graph  $G = (V, E)$  where the vertex set,  $V$ , is the set of nodes and the edge set,  $E$ , is the set of communication links. A link  $(u, v) \in E$  if and only if  $u$  and  $v$  can directly communicate (links are bidirectional); so, the node  $u$  and  $v$  are neighbors.  $N_v$  denotes the set of  $v$ 's neighbors:  $N_v = \{u \in V \mid (u, v) \in E\}$ . The distance between the nodes  $u$  and  $v$  is denoted by  $dist(u, v)$ . The set of nodes at distance at most  $k$  of a node  $v$  is denoted by  $\mathbf{k}\text{-neighborhood}(v) = \{u \in V \mid dist(u, v) \in [1, k]\}$ .

**Definition 1 (distance- $k$  independent dominating set).** *Let  $D$  be a subset of  $V$ ;  $D$  is a **distance- $k$  dominating set** if and only if  $\forall v \in V/D$  we have  $\mathbf{k}\text{-neighborhood}(v) \cap D \neq \emptyset$ . Let  $I$  be a subset of  $V$ ;  $I$  is a **distance- $k$  independent set** if and only if  $\forall u \in I$  we have  $\mathbf{k}\text{-neighborhood}(u) \cap I = \emptyset$ . A subset of  $V$  is a **distance- $k$  independent dominating set** if this subset is a distance- $k$  dominating set and a distance- $k$  independent set.*

To every node  $v$  in the network is assigned an identifier, denoted by  $id_v$ . Two distinct nodes have distinct identifier. It is possible to order the

identifier values. The symbol  $\perp$  denotes a value smaller than any identifier value in the network.

Each node maintains a set of shared variables. A node can read its own variables and those of its neighbors, but it can modify only its variables. The *state* of a node is defined by the values of its local variables. The cartesian product of states of all nodes determines the *configuration* of the system. The *program* of each node is a set of *rules*. Each rule has the form:  $Rule_i : \langle Guard_i \rangle \longrightarrow \langle Action_i \rangle$ . The *guard* of a  $v$ 's rule is a boolean expression involving the state of the node  $v$ , and those of its neighbors. The *action* of a  $v$ 's rule updates  $v$ 's state. A rule can be executed by a node  $v$  only if it is *enabled*, i.e., its guard is satisfied by the node  $v$ . A node is said to be enabled if at least one of its rules is enabled. A configuration is *terminal*, if and only if no node can execute a rule.

During a *computation step* from a configuration one or several enabled nodes perform simultaneously an action to reach another configuration. A *computation*  $e$  is a sequence of configurations  $e = c_0, c_1, \dots, c_i, \dots$ , where  $c_{i+1}$  is reached from  $c_i$  by a single computation step,  $\forall i \geq 0$ . A computation  $e$  is *maximal* if it is infinite, or if it reaches a terminal configuration.

**Definition 2 (Silent Self-Stabilization).** *Let  $\mathcal{L}$  be a predicate on the configuration. A distributed system  $S$  is a silent self-stabilizing system to  $\mathcal{L}$  if and only if (1) all terminal configurations satisfy  $\mathcal{L}$ ; (2) all computations reach a terminal configuration.*

**Stabilization time.** We use the *round* notion to measure the time complexity. The first round of a computation  $e = c_1, \dots, c_j, \dots$  is the minimal prefix  $e_1 = c_1, \dots, c_j$ , such that every enabled node in  $c_1$  either executes a rule or it is neutralized during a computation step of  $e_1$ . A node  $v$  is *neutralized* during a computation step if  $v$  is disabled in the reached configuration.

Let  $e'$  be the suffix of  $e$  such that  $e = e_1 e'$ . The second round of  $e$  is the first round of  $e'$ , and so on.

The stabilization time is the maximal number of rounds needed by any computation from any configuration to reach a terminal configuration.

### 3 The protocol *FID*

The protocol *FID*, presented in protocol 1, builds a distance- $k$  independent dominating set.

**Notation 1** A node  $v$  is a head if  $\text{dom}[0](v) = id_v$ ; otherwise it is an ordinary node.

Once the network is stabilized, any ordinary node  $v$  has in its  $k$ -neighborhood a head having a largest identifier than its own identifier. And, the heads set is a distance- $k$  independent set.

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**Protocol 1 :  $\mathcal{FTD}$ :** Fast distance- $k$  independent dominating set construction

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**Shared variables**

- $\text{dom}[\ ](v)$  is a table of  $k + 1$  members. A member is identifier value or  $\perp$ .

**Predicates**

- $\text{resignation}(v) \equiv id_v < \max \{ \text{dom}[i](v) \mid 0 < i \leq k \}$
- $\text{toUpdate}(v) \equiv \exists i \in [1, k]$  such that  
 $\text{dom}[i](v) \neq \max \{ \text{dom}[i-1](u) \mid u \in N_v \}$
- $\text{ordinaryToUpdate}(v) : \text{dom}[0](v) \neq \perp$
- $\text{headToUpdate}(v) : \text{dom}[0](v) \neq id_v$

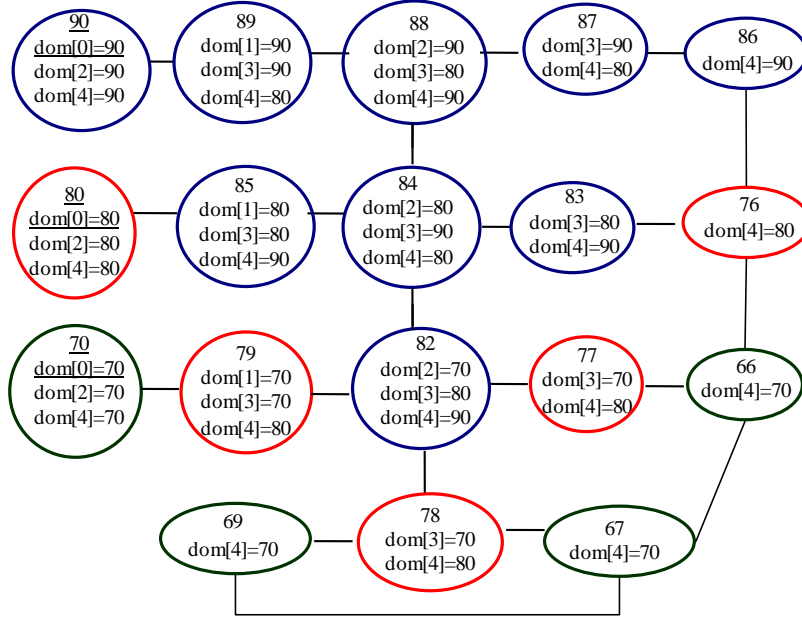
**Rules**

- RU**( $v$ ) :  $\text{toUpdate}(v) \longrightarrow$   
for  $i \in [1, k]$  do  $\text{dom}[i](v) := \max \{ \text{dom}[i-1](u) \mid u \in N_v \}$  ;  
if  $\text{resignation}(v)$  then  $\text{dom}[0](v) := \perp$  ; else  $\text{dom}[0](v) := id_v$  ;
- RE**( $v$ ) :  $\neg \text{toUpdate}(v) \wedge \neg \text{resignation}(v) \wedge \text{headToUpdate}(v) \longrightarrow$   
 $\text{dom}[0](v) := id_v$  ;
- RR**( $v$ ) :  $\neg \text{toUpdate}(v) \wedge \text{resignation}(v) \wedge \text{ordinaryToUpdate}(v) ; \longrightarrow$   
 $\text{dom}[0](v) := \perp$  ;
- 

The value of  $\text{dom}[i](v)$  is  $\perp$  if there is not a path of length  $i$  from a head to  $v$ . Otherwise, the value of  $\text{dom}[i](v)$  is the largest head identifier such that there is a path of length  $i$  from this head to  $v$ .

When an ordinary node  $v$  has not a head in its  $k$ -neighborhood then the table  $\text{dom}[\ ]$  in  $v$  does not contain any identifier. Notice that in this case, the predicates  $\neg \text{resignation}(v)$  and  $\text{headToUpdate}(v)$  are verified. So, the node  $v$  can perform the rule **RE** or the rule **RU**. Hence, the heads set is a distance- $k$  dominating set in a terminal configuration.

The predicate  $\text{resignation}(v)$  is verified when the node  $v$  has in its  $k$ -neighborhood a head  $u$  having a larger identifier than  $v$ 's identifier (i.e.  $id_v < id_u$ ). If the node  $v$  is a head then the predicate  $\text{ordinaryToUpdate}(v)$  is also verified. In this case,  $v$  can perform the rule **RR** or the rule **RU**.



$k=4$ . The head identifiers are underlined. In each node, the value of  $\text{dom}[i]$  for  $0 \leq i \leq 4$  is indicated except if the value is  $\perp$ . The color of a node is the color of the head in its  $k$ -neighborhood having the largest identifier.

**Fig. 1.** A terminal configuration of  $\mathcal{FID}$

Therefore, the heads set is a distance- $k$  independent set, in any terminal configuration.

The figure 1 presents the values of the tables  $\text{dom}[]$  in a terminal configuration. The table  $\text{dom}[]$  of node 82 contains the values  $(\perp, \perp, 70, 80, 90)$ . So, in the node 78, we have  $\text{dom}[3] \geq 70$  and  $\text{dom}[4] \geq 80$ . As  $\text{dom}[4] \geq 80$ , in the node 78; this node cannot become a head. The nodes 67 knows the existence of the single head in its 4-neighborhood having a larger identifier than its identifier (node 70) because  $\text{dom}[3] \geq 70$ , in the node 78.

#### 4 Correctness of the protocol $\mathcal{FID}$

In this section, we prove that the set of heads is a distance- $k$  independent dominating set, in every terminal configuration of the  $\mathcal{FID}$  protocol.

**Observation 1** *Let  $v$  be a node. In a terminal configuration,  $\text{dom}[0](v) = id_v \vee \text{dom}[0](v) = \perp$*

**Definition 3. (OrdinaryPr( $i$ )).** *For all  $i \in [1, k]$ , the property OrdinaryPr( $i$ ) is defined as follow: if there is not a path of length  $i$  from a head to the node  $v$  then  $\text{dom}[i](v) = \perp$  otherwise  $\text{dom}[i](v) = id_u$  where  $id_u$  is the largest head identifier having a path to  $v$  of length  $i$ .*

**Lemma 1.** *In a terminal configuration, the property OrdinaryPr(1) is verified.*

*Proof.* According to observation 1,  $\text{dom}[0](u) \neq \perp$  if and only if  $u$  is a head ( $\text{dom}[0](u) = id_u$ ).

Let  $v$  be an ordinary node, in a terminal configuration. If  $v$  has a not a head in its neighborhood then  $\text{dom}[0](u) = \perp, \forall u \in N_v$ . So  $\text{dom}[1](v) = \perp$ .  $\perp$  is smaller than any identifier value. So, if  $v$  has a head in its neighborhood then  $\text{dom}[1](v) = \max\{id_u \mid u \in N_v \text{ and } \text{dom}[0](u) = id_u\}$ .

■

**Lemma 2.** *Let  $i$  be a positive integer strictly smaller than  $k$ . In a terminal configuration, if the property OrdinaryPr( $i$ ) is verified then the property OrdinaryPr( $i+1$ ) is verified.*

*Proof.* Let  $v$  be an ordinary node, in a terminal configuration in which the property OrdinaryPr( $i$ ) is verified. There is not a path of length  $i+1$  from a head to  $v$  if and only if not  $v$ 's neighbor has a path of length  $i$  to a head. We have  $\text{dom}[i](u) = \perp, \forall u \in N_v$ . So  $\text{dom}[i+1](v) = \perp$ .

Let  $w$  be the head having the largest identifier such that there is a path of length  $i+1$  from  $w$  to  $v$ .  $v$  has a neighbor, denoted by  $u$ , on its path to  $w$ . As OrdinaryPr( $i$ ) is verified,  $\text{dom}[i](u) = id_w$ , and  $\text{dom}[i](u') \leq id_w$  for any node  $u' \in N_v$ . So  $\text{dom}[i+1](v) = id_w$ . ■

**Theorem 1.** *Let  $c$  be a terminal configuration. In  $c$ , any ordinary node  $u$  has a head in its  $k$ -neighborhood.*

*Proof.* We will prove that if an ordinary node has not a head in its  $k$ -neighborhood then the configuration  $c$  is not terminal.

In  $c$ , for all  $i \in [1, k]$ , the property OrdinaryPr( $i$ ) is verified according to the lemma 1 and to the lemma 2. Let  $u$  be an ordinary node without any head in its  $k$ -neighborhood. So there is not path of length lesser than  $k+1$  between  $u$  and a head. We have  $\text{dom}[i](u) = \perp, \forall i \in [0, k]$ . So the predicate  $\neg\text{resignation}(u) \wedge \text{headToUpdate}(u)$  is verified in  $c$ . The node  $u$  can perform the rule **RE** or the rule **RU**. ■

The following theorem establishes that the set of heads is a distance- $k$  independent set.

**Theorem 2.** *Let  $c$  be a terminal configuration. In  $c$ , a head has not head in its  $k$ -neighborhood.*

*Proof.* We will prove that if a head has a head in its  $k$ -neighborhood then the configuration  $c$  is not terminal.

Let  $wrongHeadSet$  the set of heads having one or several heads are in their  $k$ -neighborhood. Assume that  $wrongHeadSet$  is not empty.  $v1$  denotes the node of  $wrongHeadSet$  having the smallest identifier.  $v2$  denotes the closest head to  $v1$ , and  $d$  denotes the distance between  $v1$  and  $v2$ . We have  $0 < d \leq k$ . According to the property  $\text{OrdinaryPr}(d)$ ,  $\text{dom}[d](v1) \geq id_{v2}$ . So, in the configuration  $c$ , the predicate  $\text{resignation}(v1) \wedge \text{ordinaryToUpdate}(v1)$  is satisfied. The node  $v1$  can perform the rule **RR** or the rule **RU**. ■

## 5 Termination of the protocol $\mathcal{FID}$

In this section, we prove that all maximal computations under the unfair distributed scheduler are finite by *reductio ad absurdam* arguments.

### 5.1 $\text{dom}[0]$ values

Assume that a node or several nodes modify infinitely often their value of  $\text{dom}[0]$ . We named  $Set^+$  the set of nodes that infinitely often modify the value of  $\text{dom}[0]$ . We denoted by  $u^+$  the node of  $Set^+$  having the largest identifier.

Let  $e2$  be the suffix of  $e1$  in which no node having a larger identifier than  $u^+$ 's identifier modifies the value of  $\text{dom}[0]$ .

According to the definition of predicate **resignation**, there is an integer  $i$  such that  $\text{dom}[i](u^+) > id_{u^+}$  infinitely often (at time where  $u^+$  becomes ordinary) and  $\text{dom}[i](u^+) \leq id_{u^+}$  infinitely often (at time where  $u^+$  becomes leader). So  $u^+$  has a neighbor named  $u_{i-1}$  such that (i) the value of  $\text{dom}[i-1](u_{i-1})$  is infinitely often greater than  $id_{u^+}$  and (ii) the value of  $\text{dom}[i-1](u_{i-1})$  is infinitely often smaller than  $id_{u^+}$ . It is possible only if there is a path of  $i$  nodes,  $u_{i-1}, u_{i-2}, u_{i-3}, \dots, u_0$ , such that (i) the value of  $\text{dom}[i-j](u_{i-j})$  is infinitely often greater than  $id_{u^+}$  and (ii) the value of  $\text{dom}[i-j](u_{i-j})$  is infinitely often smaller than  $id_{u^+}$  with  $1 \leq j \leq i$ . So, the value  $\text{dom}[0](u_0)$  is infinitely often greater than  $id_{u^+}$ ; and infinitely



often smaller than  $id_{u^+}$ .  $\text{dom}[0](u_0)$  can only take two values:  $\perp$  or  $id_{u_0}$ . As  $\perp$  is smaller than any identifier value:  $u_0$  has a largest identifier than  $u^+$ , and  $u_0$  changes infinitely often its value of  $\text{dom}[0]$  during  $e2$ . There is a contradiction. So  $e2$  has a suffix  $e3$  where no node changes its value of  $\text{dom}[0]$ .

## 5.2 $\forall 0 < i \leq k$ , $\text{dom}[i]$ values

Let us name  $u_i$  a node that modifies infinitely often its value of  $\text{dom}[i]$  with  $0 < i \leq k$  along  $e3$ . It is possible only if there is a path of  $i$  nodes,  $u_{i-1}, u_{i-2}, u_{i-3}, \dots, u_0$ , such that the value of  $\text{dom}[i-j](u_{i-j})$  changes infinitely often, for  $1 \leq j \leq i$ . So, the value of  $\text{dom}[0](u_0)$  changes infinitely often along  $e3$ . There is a contradiction:  $\forall 0 < i \leq k$ , no node modifies infinitely often its value of  $\text{dom}[i]$ .

We have established that  $e3$  has a suffix  $e4$  where all tables  $\text{dom}[\ ]$  have their final values. Any rule action by a node  $v$  modifies a value of its table  $\text{dom}[\ ]$ . So, a terminal configuration is reached.

## 6 Convergence time

In this section, we establish that the convergence time is at most  $4n + k$  rounds.

**Lemma 3.** *The size of a distance- $k$  independent set is at most  $M = \max(\lfloor 2n/(k+2) \rfloor, 1)$ .*

*Proof.* Let  $I$  be a  $k$ -independent set such that  $|I| > 1$ . Let  $v$  be a node of  $I$ . We denote by  $\text{closest}(v)$  the set of nodes closer to  $v$  than any other node of  $I$ .

Notice that  $\bigcup_{w \in I} \text{closest}(w) \subset V$  and  $\text{closest}(v) \cap \text{closest}(u) = \emptyset, \forall (u, v) \in I^2$ . Let  $u$  be the closest node to  $v$  that belongs to  $I$ . Let  $x$  be node on the path from  $v$  to  $u$  such that  $0 \leq \text{dist}(v, x) \leq \lfloor k/2 \rfloor$ . Let  $w$  be a node of  $I$  other than  $v$ . We have  $\text{dist}(w, x) > k - \text{dist}(v, x) \geq \lfloor k/2 \rfloor$  because  $k < \text{dist}(w, v) \leq \text{dist}(v, x) + \text{dist}(x, w)$ . So,  $\text{closest}(v)$  contains the first  $\lfloor k/2 \rfloor + 1$  nodes in the path from  $v$  to  $u$ . We conclude that  $|I| \leq \lfloor (2n)/(k+2) \rfloor$ . ■

**Notation 2**  $Set_0 = \emptyset$ ;  $V_i = V - Set_i$ ;  $vh_i$  is the node of  $V_i$  having the largest identifier;  $Set_{i+1} = Set_i \cup k\text{-neighborhood}(vh_i) \cup \{vh_i\}$ ;  $T_i = 2i(k+1)$ .

For all nodes  $u$ , after the first round, the value of  $\text{dom}[0](u)$  is the identifier of a  $V$ 's node; this will stay true along the computation. For all nodes  $u$ , after the second round, the value of  $\text{dom}[1](u)$  is also the identifier of a  $V$ 's node; this will stay true along the computation.

So, for all nodes  $u$ , after the  $k+1$  first rounds, the table  $\text{dom}[](u)$  contains only  $V$ 's identifier; this will stay true along the computation.

After one more round,  $vh_0$ , the node having the largest identifier,  $vh_0$ , is a head. It will stay a head along the computation (because  $\text{resignation}(vh_0)$  is never verified). After  $k$  more rounds, all nodes of  $\mathbf{k}\text{-neighborhood}(vh_0)$ , are and will stay ordinary because they verify forever  $\text{resignation}$ .

So after the first  $T_1 = 2(k+1)$  first rounds, the nodes of  $Set_1$  have their final status (ordinary or head).

After  $T_i + k + 1$  rounds, for all  $l \in [0, k]$ , we have  $\text{dom}[l](u_i) \in V_i$  for any node  $u_i$  of  $V_i$ . This will stay true along the computation. So, after one more round,  $vh_i$  is a head; and it will stay a head.

After  $k$  more rounds, all nodes of  $\mathbf{k}\text{-neighborhood}(vh_i)$ , are and will stay ordinary (because they verify forever  $\text{resignation}$ ).

So after the first  $T_{i+1} = 2(k+1) + T_i$  first rounds, the nodes of  $Set_{i+1}$  have their final status (ordinary or head).

The set  $HX = \{v \mid \exists i \text{ such that } v = vh_i\}$  is a distance- $k$  independent set. So  $V_M = \emptyset$ .

We conclude that after at most the first  $2n < T_M < 4n$  first rounds, all nodes have their final status (ordinary or head). After  $k$  more rounds, in any node, the table  $\text{dom}[]$  has its final values.

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