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Jacques Sainte-Marie, Alessandro Tagliabue, Olivier Bernard

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Phytoplankton growth formulation in marine ecosystem models: should we take into account photo-acclimation and variable stoichiometry in oligotrophic areas?

S.-D. Ayata<sup>a,b,c,\*</sup>, M. Lévy<sup>b</sup>, O. Aumont<sup>d</sup>, A. Sciandra<sup>a</sup>, J. Sainte-Marie<sup>c,f</sup>, A. Tagliabue<sup>g</sup>, O. Bernard<sup>e,a</sup>

<sup>a</sup>LOV, UMR 7093, B.P. 28, 06234 Villefranche-sur-mer, France
<sup>b</sup>LOCEAN-IPSL, CNRS/UPMC/IRD/MNHN, 4 place Jussieu, 75005 Paris, France
<sup>c</sup>BANG, INRIA Paris-Rocquencourt, BP 105, 78153 Le Chesnay Cedex, France
<sup>d</sup>LPO, CNRS/IFREMER/UBO, BP 70, 29280 Plouzané, France
<sup>e</sup>BIOCORE, INRIA, B.P. 93, 06902 Sophia-Antipolis Cedex, France
<sup>f</sup>Laboratoire Saint-Venant, 6 quai Watier, 78401 Chatou Cedex, France
<sup>g</sup>Dept. of Earth, Ocean and Ecological Sciences, School of Environmental Sciences, University of Liverpool, 4 Brownlow Street, Liverpool L69 3GP, United Kingdom

#### Abstract

The aim of this study is to evaluate the consequences of accounting for variable Chl:C (chlorophyll:carbon) and C:N (carbon:nitrogen) ratios in the formulation of phytoplankton growth in biogeochemical models. We compare the qualitative behaviour of a suite of phytoplankton growth formulations with increasing complexity: 1) a Redfield formulation (constant C:N ratio) without photo-acclimation (constant Chl:C ratio), 2) a Redfield formulation with diagnostic chlorophyll (variable and empirical Chl:C ratio), 3) a quota formulation (variable C:N ratio) with diagnostic chlorophyll, and 4) a quota formulation with prognostic chlorophyll (dynamic variable). These phytoplankton growth formulations are embedded in a simple marine ecosys-

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<sup>\*</sup>Corresponding author

Email address: sakina.ayata@normalesup.org (S.-D. Ayata)

tem model in a 1D framework at the Bermuda Atlantic Time-series (BATS) station. The model parameters are tuned using a stochastic assimilation method (micro-genetic algorithm) and skill assessment techniques are used to compare results. The lowest misfits with observations are obtained when photo-acclimation is taken into account (variable Chl:C ratio) and with non-Redfield stoichiometry (variable C:N ratio), both under spring and summer conditions. This indicates that the most flexible models (i.e., with variable ratios) are necessary to reproduce observations. As seen previously, photo-acclimation is essential in reproducing the observed deep chlorophyll maximum and subsurface production present during summer. Although Redfield and quota formulations of C:N ratios can equally reproduce chlorophyll data the higher primary production that arises from the quota model is in better agreement with observations. Under the oligotrophic conditions that typify the BATS site no clear difference was detected between quota formulations with diagnostic or prognostic chlorophyll.

*Keywords:* Biogeochemical modelling, Phytoplankton, Photo-acclimation, Redfield ratio, Internal quota, BATS, Optimization, Micro-genetic algorithm.

#### 1 1. Introduction

During the last twenty years, marine ecosystem (or biogeochemical) models have been widely used to study the response of primary production to perturbation of the physical environment along a wide range of temporal and spatial scales. Most of these models follow the same general structure: they use nitrogen as the main currency, and account for a simplified food-web

which generally includes phytoplankton and zooplankton, and a regeneration 7 network with detritus, dissolved organic nitrogen, and various nutrients (i.e., 8 Fasham et al., 1990). Whereas the complexity of marine biogeochemical mod-9 els has increased in the last decade (reaching sometimes about eighty state 10 variables as in Follows et al., 2007), simple phytoplankton growth models are 11 still usually embedded within these ecosystem models, with strong simplifica-12 tions on phytoplankton physiology, such as using constant C:N stoichiometry 13 or not accounting for photo-acclimation (using constant Chl:C ratio). 14

Phytoplankton growth formulations involving different complexities in 15 the representation of physiological processes (such as photosynthesis, nutri-16 ent uptake, photo-acclimation, or energy storage) have been derived from 17 laboratory experiments (Zonneveld, 1998; Baklouti et al., 2006). However, 18 directly transposing the relationships derived from these laboratory exper-19 iments, which generally involve a single phytoplankton species and explore 20 a limited set of forcing conditions (nutrient supply, temperature, light), to 21 global marine ecosystem models is not straightforward and is currently the 22 subject of some debates (Flynn, 2003a; Franks, 2009; Flynn, 2010; Anderson, 23 2010). 24

The simplest phytoplanktonic growth formulations use a classical Michaelis-Menten representation of nutrient uptake (Monod, 1949, 1950) and assume constant stoichiometry between carbon, nitrogen and phosphorus (Redfield et al., 1963). In these models, phytoplankton are represented by a single state variable, the phytoplankton biomass, expressed in nitrogen, phosphorus or carbon currency. Because of their relative simplicity, these models are generally used for global scale studies (Aumont and Bopp, 2006; Follows et al.,

2007; Dutkiewicz et al., 2009). More sophisticated formulations, inspired 32 from the original work of Droop (1968, 1983), explicitly account for the dy-33 namics of internal quotas of phytoplanktonic cells (Flynn, 2008; Klausmeier 34 et al., 2004; Bougaran et al., 2010; Mairet et al., 2011; Bernard, 2011). In 35 these formulations, phytoplankton are represented by at least two variables, 36 usually the phytoplankton biomass in both carbon and nitrogen currency. 37 This allows to decouple the dynamics of nutrient uptake from carbon fixa-38 tion, depending on the physiological state of phytoplankton. Various versions 39 of such formulations have been successfully applied to 1D marine ecosystem 40 models (Lancelot et al., 2000; Allen et al., 2002; Lefèvre et al., 2003; Mongin 41 et al., 2003; Blackford et al., 2004; Salihoglu et al., 2008) and also attempted 42 in 3D ecosystem models (Tagliabue and Arrigo, 2005; Vichi et al., 2007; Vichi 43 and Masina, 2009; Vogt et al., 2010). 44

The dynamics of pigment contents, most frequently of chlorophyll a (Chl), 45 can also be represented with different levels of complexity. The Chl:C ratios 46 can either be constant (no photo-acclimation), diagnostic (from an empirical 47 (Cloern et al., 1995; Bernard, 2011) or a mechanistic (Geider and Platt, 1986; 48 Doney et al., 1996; Bissett et al., 1999) static relationship), or prognostic 49 (i.e., with a dynamic evolution) (Flynn and Flynn, 1998; Geider et al., 1998; 50 Baumert and Petzoldt, 2008; Ross and Geider, 2009). For instance, Geider 51 et al. (1998) proposed a phytoplankton growth formulation calibrated for 52 chemostat experiments, in which chlorophyll production is proportional to 53 both nitrogen assimilation and carbon fixation. 54

The different behaviours associated to these different growth formulations have generally been examined in the context of laboratory experiments

(Vatcheva et al., 2006), i.e. for monospecific cultures under a limited set 57 of idealized forcing. Significant variations from Redfield stoichiometry ob-58 served in experimental data of nutrient-limited phytoplankton cultures have 59 highlighted the limits of the Redfield-Monod-type models and the need for 60 non-Redfieldian formulations (quota formulations) (Sciandra, 1991; Dearman 61 et al., 2003; Flynn, 2003a, 2010). Besides, formulations that assume con-62 stant Chl:C ratio fail to reproduce experimental data (Flynn et al., 2001) 63 or in situ observations (Doney et al., 1996; Lévy et al., 1998; Spitz et al., 64 1998). However, it is not straightforward to find the right trade-off between a 65 model which is too simple to reproduce the observed dynamics and a complex 66 model with too many free parameters to tune against limited data (Flynn, 67 2003b). Based on comparisons with laboratory experiments, Flynn (2003a) 68 suggested that quota-type models with empirical Chl:C relationship "should 69 be adequate for most oceanographic modeling scenarios", although it must 70 be kept in mind that even if a model using simplified assumptions may fit to 71 observed data, it may not be acceptable (Mitra et al., 2007; Flynn, 2010). 72

A rigorous comparison of the qualitative and quantitative behaviours of 73 Redfield, quota-type, and mechanistic models in more realistic oceanic con-74 ditions remains an open question. Based on model results at the Bermuda 75 Atlantic Time-series Study (BATS) site, Schartau et al. (2001) suggested 76 that an optimized model (i.e., after data assimilation procedure) with Red-77 field stoichiometry may not be able to correctly simulate primary production 78 in oligotrophic subtropical regions, but, in an optimized marine ecosystem 79 model of the Northwestern Mediterranean Sea, Faugeras et al. (2003) could 80 not decipher significant differences between Redfield and quota growth for-81

<sup>82</sup> mulations.

In this context, the present work aims at comparing, in a rigorous frame-83 work, the qualitative and quantitative behaviours of different formulations of 84 phytoplankton growth in an oceanographic context and to determine whether 85 increasing complexity leads to significant improvement of the seasonal dy-86 namics of phytoplankton. This is examined with a 1D ecosystem model 87 which simulates a seasonal cycle at BATS station. This site was chosen 88 because strongly variable Chl:C and C:N ratios have been observed at this 89 station over the year (for the phytoplankton and the particulate organic mat-90 ter, respectively; Sambrotto et al., 1993; Michaels and Knap, 1996; Steinberg 91 et al., 2001). A coherent suite of consistent phytoplankton growth formula-92 tions is constructed by adding stepwise complexity. Constant, diagnostic, 93 and prognostic Chl:C ratios are considered with Redfield stoichiometry or 94 with variable C:N ratio. All formulations are then incorporated within the 95 same ecosystem model applied in a 1D framework at BATS. Data assimila-96 tion through micro-genetic algorithm is used to calibrate the different models. 97 This enables to compare the different formulations on the basis of their best 98 performance relatively to standard observations. 99

After briefly presenting the study site, we describe the general structure of the marine ecosystem model and the different phytoplankton growth formulations. Then we present the micro-genetic algorithm used to tune the model parameters. In the Results section, the outputs of the different formulations are described and the skill of each formulation to reproduce observations is assessed. Finally, the choice of the phytoplankton growth formulation in marine biogeochemical models is discussed.

#### 107 2. Models and methods

#### 108 2.1. Study site

The Bermuda Atlantic Time-series Study (BATS) site is located in the 109 Sargasso Sea, in the western North Atlantic subtropical gyre (31°40' N, 110 64°10' W). This station has been monthly sampled since October 1988 as 111 part of the US Joint Global Ocean Flux Study (JGOFS) program and the 112 data are freely available at http://bats.bios.edu/index.html. The sea-113 sonal dynamics of nitrate, chlorophyll and primary production at BATS have 114 been described by Steinberg et al. (2001). In winter, strong vertical mixing 115 supplies nutrients to the surface layers, allowing a moderate bloom to occur 116 between January and March. In summer, nutrient supply collapses because 117 of thermal stratification and primary production is low, with a subsurface 118 chlorophyll maximum (60-120 m). In situ measurements also indicate that 119 the stoichiometric ratios of particulate C, N and P deviate from the tradi-120 tional Redfield ratios, especially during the oligotrophic summer (Michaels 121 and Knap, 1996; Cotner et al., 1997; Steinberg et al., 2001). 122

#### 123 2.2. General model structure

The general structure of the model is a simple 'NPZD' type ecosystem, used in a 1D-framework which simulates the seasonal cycle of phytoplankton at BATS station. We used the LOBSTER marine ecosystem model, which has been previously used and calibrated for the North Atlantic (Lévy et al., 2005; Kremeur et al., 2009; Lévy et al., 2012). Besides phytoplankton ( $P_N$ ), the ecosystem model has five additional prognostic variables expressed in nitrogen units (mmolN.m<sup>-3</sup>): Nitrate (NO<sub>3</sub>), Ammonium (NH<sub>4</sub>), Zooplankton

 $(Z_N)$ , Detritus  $(D_N)$ , and Dissolved Organic Matter (DOM) (Fig. 1). The photosynthetic available radiation (PAR) is derived from a two-wavelengths light absorption model, with absorption coefficients depending on the local phytoplankton concentrations. The detailed equations of the LOBSTER model are presented in Table 1. The definition of the parameters and their default values are presented in Table 2.

#### 137 2.3. Model implementation

The ecosystem model is embedded in a simple 1D physical model, which 138 accounts for the observed seasonal evolution of the mixed layer depth (MLD) 139 and temperature at BATS in 1998. The 1D-model has 30 vertical layers, 140 with a vertical discretization of 10 m from 0-100 m and then increasing 141 with depth. Only vertical diffusion is taken into account. Monthly values 142 of observed MLD, temperature and salinity at BATS in 1998 are used and 143 linearly interpolated in time at each model time-step. The vertical eddy 144 diffusivities  $K_z$  are diagnosed from the MLD: they are set to 1 m<sup>2</sup>.s<sup>-1</sup> within 145 the mixed layer and to  $10^{-5}$  m<sup>2</sup>.s<sup>-1</sup> below the mixed layer. A specific reaction 146 term sms (source minus sink) is added to the diffusion equation. For each of 147 the state variables *i*, the prognostic equation reads as follows: 148

$$\frac{\partial C_i}{\partial t} = \frac{\partial}{\partial z} \left( K_z \frac{\partial C_i}{\partial z} \right) + sms(C_i) \tag{1}$$

where  $C_i$  is the tracer concentration. The initial nitrate conditions are set to *in situ* observations at BATS in January 1998, whereas they are set to 0.1 mmolN.m<sup>-3</sup> for the dissolved organic matter, to 0.03 mmolN.m<sup>-3</sup> for the ammonium (Lipschultz, 2001), and to extremely low values for the other

state variables  $(10^{-8} \text{ mmolN.m}^{-3})$ . The biophysical model is spun up for one year and a time step of 1.2 hours is used.

#### 155 2.4. Increasing the complexity in the representation of phytoplankton

The complexity of phytoplankton growth formulations is progressively 156 increased. Four levels of complexity are compared: 1) a Redfield formula-157 tion with constant Chl:C ratio, 2) a Redfield formulation with a diagnostic 158 Chl:C ratio, 3) a quota formulation with a diagnostic Chl:C ratio, and 4) a 159 quota formulation with a prognostic Chl:C ratio. In these formulations, the 160 phytoplankton compartment is thus represented by 1, 2 or 3 state variables. 161 For convenience, these formulations have then been named P1.0, P1.5, P2.5, 162 and P3.0 respectively, with the arbitrary convention that a prognostic state 163 variable counts for one and a diagnostic variable (chlorophyll) counts for a 164 half. 165

#### <sup>166</sup> 2.4.1. Redfield stoichiometry and constant Chl:C ratio (P1.0 formulation)

In the simplest formulation, phytoplankton are represented by a unique 167 state variable (P1.0 formulation) (Fig. 2A, Tables 4 and 5). The phytoplank-168 ton carbon biomass  $P_C$  and nitrogen biomass  $P_N$  are related by a constant 169 Redfield ratio  $R_{C:N} = P_C/P_N = 6.56 \text{ molC.molN}^{-1}$ . The Chl:C ratio  $R_{Chl:C}$ 170 of the phytoplanktonic cells is also assumed to be constant and equal to 171 1/60 gChl.gC<sup>-1</sup> (Fasham et al., 1990). Nitrogen uptake accounts for light 172 and nutrient limitation. Light limitation  $L_I$  is defined according to Webb 173 et al. (1974). Note that in order to keep the models as simple as possi-174 ble, this expression is shared by the four phytoplankton growth formulations 175 P1.0, P1.5, P2.5, and P3.0. Nutrient-limitation  $L_N$  is expressed as the sum 176

of nitrate and ammonium limitations following Wroblewski (1977) and as used in Fasham et al. (1990). Primary production (in carbon currency) is proportional to nutrient uptake (in nitrogen currency) by the factor  $R_{C:N}$ .

<sup>180</sup> 2.4.2. Redfield stoichiometry and diagnostic Chl:C ratio (P1.5 formulation) <sup>181</sup> The structure of the P1.5 formulation is similar to that of P1.0, except <sup>182</sup> that photo-acclimation is accounted for (Tables 4 and 5). In this model, the <sup>183</sup> phytoplanktonic chlorophyll:carbon ratio  $R_{Chl:C}$  is thus a diagnostic variable <sup>184</sup> (Fig. 2B), calculated following Geider et al. (1996, 1998) as a function of <sup>185</sup> light and nutrient limitation.

186 2.4.3. Cell quota and diagnostic Chl:C ratio (P2.5 formulation)

In the P2.5 formulation, the phytoplanktonic nitrogen:carbon ratio Q =187  $P_N/P_C$  is variable (quota formulation) (Tables 4 and 5). The phytoplanktonic 188 compartment is thus represented by two state variables: the phytoplanktonic 189 nitrogen biomass  $P_N$  and the phytoplanktonic carbon biomass  $P_C$  (Fig. 2C). 190 As in P1.5, the phytoplanktonic chlorophyll:carbon ratio  $R_{Chl:C}$  is a diag-191 nostic variable calculated following Geider et al. (1998). The formulations of 192 nutrient uptake and primary production have also been chosen following Gei-193 der et al. (1996, 1998). Nutrient uptake (in nitrogen currency) is expressed 194 as the product of quota and nutrient limitation terms. Primary production 195 (in carbon currency) is expressed as the product of quota and light limitation 196 terms. 197

#### <sup>198</sup> 2.4.4. Cell quota and prognostic chlorophyll (P3.0 formulation)

The P3.0 formulation corresponds to P2.5 with the addition of a fully prognostic equation for chlorophyll (Tables 4 and 5). Phytoplankton are thus

represented by three state variables: phytoplanktonic nitrogen biomass  $P_N$ , phytoplanktonic carbon biomass  $P_C$ , and chlorophyll biomass  $P_{Chl}$  (Fig. 2D). The dynamical equation of the phytoplanktonic chlorophyll  $P_{Chl}$  is defined following Geider et al. (1998): the chlorophyll production is a function of nitrogen uptake, carbon fixation (production) and light and it does not respond rapidly to environmental changes when using the original set of parameters.

#### 207 2.4.5. Geider model (GP3.0 formulation)

All previous formulations share the same expression of light limitation, which is independent of nutrient limitation and internal C:N quota, an assumption that can be discussed (Flynn, 2003b, 2008). To check the consequences of this assumption, a fifth model is constructed from P3.0 by using the following light limitation term, which now depends on the internal C:N quota Q:

$$L_I(Q) = \left[1 - EXP\left(-\frac{\alpha \cdot R_{Chl:C} \cdot PAR}{\mu_m \cdot \frac{Q-Q_0}{Q_{max}-Q_0}}\right)\right]$$
(2)

This new formulation, named GP3.0, corresponds to the original phytoplankton growth formulation proposed by Geider et al. (1996, 1998), and which has been previously incorporated in various marine ecosystem models (e.g., Moore et al., 2002; Lefèvre et al., 2003).

#### 218 2.5. Parameter tuning using micro-genetic algorithm

Model parameters are tuned using a micro-genetic algorithm to best fit the observed seasonal cycle at BATS. Genetic algorithms are stochastic methods in which a population of parameters evolves with mutation/selection processes (evolutionary tuning approach). In the particular case of micro-genetic algorithms, the size of the population is small and no mutation is considered

(Carroll, 1996). A micro-genetic algorithm with binary coding, elitism, tour-224 nament selection of the parents, and uniform cross-over was used (Carroll, 225 1996; Schartau and Oschlies, 2003). At the beginning, a set (or population) 226 of parameter vectors (individuals) is randomly generated within a predefined 227 range (Table 7). Each parameter vector is coded as a binary string (chro-228 mosome). Then, at each generation, the misfit of each parameter vector 229 (fitness of each individual) is estimated as the misfit (cost function) between 230 the data and the model outputs for this parameter vector. The parameter 231 vector with the lowest misfit (best individual of its generation or 'elite') is 232 conserved to the next generation. Then, four vectors are randomly chosen 233 and associated in two pairs. The vectors with the lowest misfit (best fitness) 234 within each pair are selected (parents), and a new parameter vector (child) 235 is produced by randomly crossing each bit of the two selected vectors. This 236 process (reproduction) is repeated until the replenishment of the population. 237 New generations are produced (evolution), until the population of parameter 238 vectors has converged (all the vectors are identical to the elite). Then, a 230 new generation is randomly generated, with the elite conserved. This pro-240 cess was repeated 500 times for a population whose size was chosen equal 241 to the number of parameters to identify (Schartau and Oschlies, 2003). For 242 each model, the parameter space was reduced to the parameters for which 243 the cost function was the most sensible, as learnt from preliminary sensibility 244 analyses (four to six parameters depending on the model, see Table 7). 245

#### 246 2.6. Cost function and model comparison

In situ data measured at BATS in 1998, including monthly records of ni trate concentration, total particulate organic nitrogen concentration, chloro-

phyll concentration, and primary production, are used for optimization. In the model, total particulate organic nitrogen (PON) is taken as the sum of phytoplanktonic nitrogen, zooplanktonic nitrogen and detritus: PON = $P_N + Z_N + D_N$ . These monthly profiles are re-gridded along the 1D vertical grid of the model. The cost function F is taken as the weighted sum of squared differences between monthly vertical profiles of observations  $obs_n(k, l)$  and model outputs  $mod_n(k, l)$  (Evans, 2003; Stow et al., 2009):

$$F = \frac{1}{KL} \sum_{n=1}^{N} \sum_{k=1}^{K} \sum_{l=1}^{L} W_n [obs_n(k,l) - mod_n(k,l)]^2$$
(3)

Four data types are used (N = 4): nitrate concentration, chlorophyll concentration, total particulate organic nitrogen and primary production. The cost function is calculated from monthly data (L=12) and only the first vertical layers from 0 to 168 m are used (K=15). The weights  $W_n$  are chosen equal to the inverse of the standard deviation of the monthly observations  $(1/\sigma_n)$ , with  $\sigma_{NO_3} = 0.541$  mmolN.m<sup>-3</sup>,  $\sigma_{Chl} = 0.080$  mgChl.m<sup>-3</sup>,  $\sigma_{PON} = 0.106$ mmolN.m<sup>-3</sup>,  $\sigma_{PP} = 0.177$  mmolC.m<sup>-3</sup>.d<sup>-1</sup>.

Model outputs are also compared with *in situ* data and with each other using skill assessment technics, such as Taylor diagrams and target diagrams (Taylor, 2001; Stow et al., 2009; Jolliff et al., 2009). These diagrams can be seen as complementary indicators of the misfit between data and model outputs, including correlation, root mean squared differences, relative standard deviations, and bias.

#### 269 3. Results

#### 270 3.1. Parameter tuning using micro-genetic algorithm

For each phytoplankton growth formulation, four to six parameters are 271 identified trough an optimization algorithm, with the number of optimized 272 parameters increasing with the formulation complexity. The parameter val-273 ues obtained after optimization are in the same range of magnitude among 274 the different models (Table 8). We can note that, after optimization and 275 compared to their initial default values, grazing parameters  $(K_g,g)$  and max-276 imal Chl:N ratio  $(R_{Chl:N}^{Max})$  are increased, whereas the other parameters remain 277 close to their default values. For each model, the best constrained parameter 278 is the initial PI slope  $\alpha$  (as indicated by the evolution of the minimum mis-279 fit obtained for each of the 64 possible values of this parameter during the 280 optimization procedure, not shown). 281

After optimization, cost functions are reduced for all models, by 23% for 282 P1.0 to 38% for P2.5 (Table 8). Model performances to reproduce all data 283 types are improved (Fig. 3). The optimizations increase the correlation be-284 tween the model outputs and the observations (angular coordinates on the 285 Taylor diagram) and decrease the ratio of the standard deviations of model 286 outputs and observations (radial coordinates on the Taylor diagram). Op-287 timizations also decrease the bias and the normalized unbiased root mean 288 squared differences between model outputs and observations (abscissae and 289 ordinates on the Target digram). Nitrate is the observation which is glob-290 ally best reproduced by all models, contrary to particulate organic nitrogen 291 (PON). 292

#### 293 3.2. Seasonal dynamics

The temporal evolution of the vertical profiles of nitrate, PON, chloro-294 phyll and primary production confirms that all the models, after the param-295 eter identification procedure, behave similarly. This may suggest a strong 296 impact of the initial conditions and physical forcing (Fig. 4). The evolutions 297 of nitrate and PON distributions are not significantly different between the 298 phytoplankton growth formulations. In response to the deepening of the 299 mixed layer in March, nitrate is entrained to the surface. It is then quickly 300 consumed in the euphotic layer during winter and spring, leaving very low ni-301 trate concentrations in summer. Accordingly, PON and chlorophyll exhibit a 302 strong seasonal variability with a strong contrast between winter/spring and 303 summer. A strong phytoplankton bloom occurs between March and April, 304 characterized by high PON and chlorophyll concentrations in the surface 305 mixed layer, followed by a subsurface maxima in chlorophyll in summer. 306

Larger differences between phytoplankton growth formulations can be 307 seen in chlorophyll and production, with larger discrepancies between simu-308 lations and observations than among simulations (Fig. 4). None of the model 309 correctly reproduces the exact dynamics of the observations. All models 310 are able to reproduce the subsurface chlorophyll maximum in summer, but 311 simulated chlorophyll concentrations are lower than observed whatever the 312 model, except during the bloom. None of the models is able to reproduce 313 the observed temporal evolution of production, which is characterized by a 314 maximum value in February and high values during the oligotrophic sea-315 son. However, the high production period is longer for quota formulations. 316 As expected from previous studies (Doney et al., 1996; Spitz et al., 1998), 317

the Redfield formulation with constant Chl:C ratio (P1.0) is unable to si-318 multaneously reproduce the deep chlorophyll maximum and the subsurface 319 production maximum during the oligotrophic season, because of its constant 320 Chl:C ratio (Fig. 5). Conversely, models with photo-acclimation (i.e., vari-321 able Chl:C ratio) are all able to simulate the deep chlorophyll maximum and 322 the subsurface production maximum during the oligotrophic season. Taking 323 into account photo-acclimation allows to increase the C:Chl ratio in surface, 324 especially during oligotrophic conditions (Fig. 5). 325

The cell quota formulations with photo-acclimation (P2.5, P3.0 and GP3.0) 326 exhibit significant differences from the Redfield formulations in terms of 327 C:Chl ratio, phytoplankton biomass in carbon, and C:N ratio, particularly 328 during oligotrophic conditions (Fig. 5). During the bloom, lower C:Chl and 329 C:N ratios are simulated by the models that allow these ratios to vary. Dur-330 ing the oligotrophic period, higher C:Chl and C:N ratios are simulated at the 331 surface by these models, with very close values for the three formulations. 332 The Redfield formulation with photo-acclimation (P1.5) simulates the lowest 333 variations of the C:Chl ratio, suggesting that this model could be less efficient 334 than the quota formulations to simulate photo-acclimation, likely because it 335 is less flexible. 336

#### 337 3.3. Annual and seasonal production in carbon and nitrogen

In general, similar total and new productions in nitrogen are simulated by the different models (relative differences about 5 %), except for the new production between P1.0 and P2.5 (about 30 % higher for P2.5) (Table 9). F-ratios vary from 0.43 to 0.49 during the bloom and from 0.20 to 0.27 during the oligotrophic period. Total productions in carbon are much larger

for the formulations with a variable C:N quota than for the Redfield for-343 mulations (about 50 % larger). This increase in carbon production is simu-344 lated both during the bloom and during oligotrophic conditions, suggesting a 345 more efficient photosynthesis per chlorophyll content. Temporal evolution of 346 vertically-integrated daily production in nitrogen are close between models, 347 whereas strong differences are observed in vertically-integrated daily produc-348 tion in carbon between Redfield and quota formulations, both during the 349 bloom and in summer (Fig. 6). 350

With cell quota formulations (P2.5, P3.0 and GP3.0), the C:N ratio of 351 total production is higher than the Redfield ratio and it increases at the 352 surface in summer, i.e. during oligotrophic conditions, with the highest C:N 353 values simulated by GP3.0 (about 15 at the surface at the end of the year) 354 (Fig. 7). Note that this feature is an emergent property of these cell quota 355 formulations, since the value of the C:N ratio was not constrained during 356 the optimization procedure. Besides, with the cell quota formulations the 357 C:N ratio of total production is always higher than the C:N ratio of phyto-358 plankton, because of the cost of the nitrogen uptake ( $\zeta$  parameter). With 359 the P2.5 formulation, for instance, the C:N ratios of total production and 360 of phytoplankton vary between 9 and 14  $molC.molN^{-1}$  and between 5 and 361  $10 \ molC.molN^{-1}$ , respectively. 362

#### 363 4. Discussion

The aim of the present work was to assess the consequences of taking into account photo-acclimation and variable stoichiometry of the phytoplankton growth in marine ecosystem models, by comparing the qualitative and quan-

titative behaviours of several growth formulations within a rigorous frame-367 work. A parameter tuning based on optimization procedure was performed 368 before the comparison, using observed data of nitrate, particulate organic 369 nitrogen (PON), chlorophyll, and primary production at BATS. The opti-370 mization increases the ability of all models to reproduce the observed data. 371 Globally, all models behave similarly after optimization and no difference 372 in the ability to reproduce nitrate or PON data is observed. However, as 373 expected from previous studies at BATS (Doney et al., 1996; Spitz et al., 374 1998), photo-acclimation (i.e., a variable Chl:C ratio) is needed to simul-375 taneously reproduce subsurface production and deep chlorophyll maximum 376 during oligotrophic conditions in summer. Moreover, Redfield formulations 377 underestimated production compared to quota formulations, which suggests 378 that the latter should be preferred. No clear difference is detected between 379 quota formulations with diagnostic or prognostic chlorophyll. Our main con-380 clusion it that quota formulations with diagnostic or prognostic chlorophyll 381 enable to simulate more realistic values of chlorophyll and phytoplankton 382 production during oligotrophic conditions, compared with formulations with 383 constant Chl:C and C:N ratios. Indeed, these formulations are able to simu-384 late a more 'flexible' phytoplankton physiology. They are then able to better 385 reproduce the phytoplankton dynamics under a wider range of environmental 386 conditions. 387

388 4.1. Parameter tuning

In order to compare the different phytoplankton growth formulations, we have followed the methodology which consists in calibrating parameters prior to comparison using advanced parameter estimation approaches (Faugeras

et al., 2004; Friedrichs et al., 2006; Smith and Yamanaka, 2007; Ward et al., 392 2010; Bagniewski et al., 2011). This ensures that all models performed the 393 best they could. Sensitivity analyses have been needed to properly choose 394 the cost function and the parameters to calibrate with the optimization pro-395 cedure: the sensitivity of several cost functions have been tested a priori 396 and only the most constrained parameters have been selected as candidates 397 for the minimization algorithm. Optimization procedure also provides a pos-398 teriori estimates of the parameter uncertainty (Matear, 1995; Fennel et al., 399 2001; Faugeras et al., 2003; Schartau and Oschlies, 2003). For instance, using 400 dissolved inorganic nitrogen, PON, chlorophyll, silicate, and oxygen data to 401 optimize the parameters of a simple marine ecosystem model through varia-402 tional optimization, Bagniewski et al. (2011) concluded that phytoplankton 403 parameters (such as  $\mu$ ,  $\alpha$ , and  $m_P$ ) were better constrained than zooplankton 404 parameters (such as q). In the present study, the strength of the minimiza-405 tion algorithm has been qualitatively estimated from the shape of the misfit 406 function for each of the selected parameters. The best constrained parameter 407 is the initial PI slope  $\alpha$ , which is not surprising since this parameter appears 408 in the equations of nitrate, PON, chlorophyll, and primary production, i.e., 409 the data used during the optimization procedure. 410

#### 411 4.2. Model framework

For the purpose of our study, we used a relatively simple biogeochemical model and the annual primary production in carbon was underestimated with all the phytoplankton growth formulations (assuming the production data are correct). This shortcoming is a problem faced by most biogeochemical models in the North Atlantic subtropical gyre (see for instance Oschlies,

2002). Several reasons can been advanced to explain it. One reason is the 417 use of a simple 1D physical framework, since lateral transport, which could 418 provide an additional source of DOM that would then be remineralized in 419 situ (Williams and Follows, 1998), and nutrient supply by mesoscale and sub-420 mesoscale processes (Oschlies, 2002; McGillicuddy et al., 2003; Lévy et al., 421 2012) may significantly increase the production in the North Atlantic. A 422 second hypothesis is the lack of nitrogen-fixers in our model. Finally, a third 423 hypothesis would be that the structure of the model is not complex enough, 424 in particular because of the lack of explicit bacteria. Indeed, this compart-425 ment may play an important role during summer, especially for regenerated 426 production (Steinberg et al., 2001). However, the presence of a DOM pool in 427 our model implicitly assumes remineralization through bacterial activity and 428 allows local remineralization of the organic matter being produced. Besides, 429 the LOBSTER model have been complexified with an explicit representa-430 tion of bacteria and the versions of LOBSTER with and without bacteria 431 have been compared in the Mediterranean sea and showed little differences 432 in terms of primary production, even during the summer oligotrophic period 433 (Lévy et al., 1998). Moreover, sensitivities to the DOM remineralization rate, 434 which mimics the action of bacteria, did not enable to significantly change 435 the simulated primary production, further highlighting that the reason for 436 this might more probably be the lack of nitrogen sources in the model rather 437 than to the simplified microbial network. This model could also have been 438 improved by the representation of additional phytoplankton types, since the 439 composition of the phytoplankton community changes along the year, or 440 by the use of additional nutrients such as phosphate (Cotner et al., 1997; 441

Steinberg et al., 2001), but then it would have required to take into account multi-nutrient growth limitation of phytoplankton. Although a better agreement between model and observation might then be obtained using a more complex biogeochemical model and/or a more realistic physical forcing, the model framework can be used to compare the different phytoplankton growth formulations in a robust manner.

#### 448 4.3. Photo-acclimation in marine biogeochemical models

Our comparative modelling study at BATS suggests that taking into ac-449 count photo-acclimation (i.e., a variable Chl:C ratio) is mandatory to si-450 multaneously reproduce deep chlorophyll maximum and subsurface primary 451 production during oligotrophic conditions. Indeed, a model without photo-452 acclimation (P1.0) is able to predict the spring bloom and the depth of the 453 chlorophyll maximum, but has difficulties to reproduce the high production 454 observed in summer in subsurface, compared to the formulations with photo-455 acclimation that are more flexible. Since in the latter formulations the Chl:C 456 ratio can vary depending on environmental conditions (namely light and nu-457 trient availability), they can better perform along a wider range of conditions 458 (surface and subsurface, spring and summer). 459

These results are in agreement with previous modelling studies at BATS indicating that the phytoplankton dynamic could not be reproduced when using a constant Chl:C ratio (Doney et al., 1996; Hurtt and Armstrong, 1996, 1999; Spitz et al., 1998, 2001; Fennel et al., 2001). Doney et al. (1996) hypothesized that this may be "because not enough nutrient were available to sustain [the production in summer]". Our comparative study highlights that difficulties to simulate the high production in summer may partly be

due to the fixed Chl:C ratio, since models with variable Chl:C were able to 467 reproduce the observations better. Similarly, Fennel et al. (2001) and Spitz 468 et al. (1998) could not correctly reproduce observation data at BATS with 469 simple NPZD models with constant Redfield and Chl:N ratios, even after 470 parameter optimization. Fennel et al. (2001) suggested that this was due 471 to the physical forcing and/or to the too simple hypotheses of the ecosys-472 tem model, whereas Spitz et al. (1998) proposed three possible explanations 473 for this failure: the use of a Redfield stoichiometry, the absence of photo-474 acclimation, and approximations about vertical processes. In the present 475 study, the same physical forcing is used for all models and our results indi-476 cate that the failure to reproduce the nitrate and chlorophyll data may be 477 due to the absence of photo-acclimation (constant Chl:N ratio). Our results 478 are in agreement with the improvements of the Fasham model proposed by 479 Hurtt and Armstrong (1996, 1999) using a variable Chl:N ratio as a function 480 of the irradiance, or by Spitz et al. (2001) using a prognostic Chl:N ratio: 481 photo-acclimation of phytoplankton should be taken into account to simulate 482 the subsurface chlorophyll maximum under summer oligotrophic conditions. 483 In summer this chlorophyll maximum is observed in subsurface, with max-484 imum production rates at the surface. This means that the phytoplankton 485 decrease its pigment content at the surface and increase it to collect more 486 light in subsurface. Our results suggest that such flexibility in phytoplankton 487 physiology can only be simulated in marine ecosystem models if the ratio of 488 pigment content over biomass can vary depending on environmental condi-489 tions (photo-acclimation). 490

491

Our suite of numerical experiments also allows to compare several formu-

lations of photo-acclimation. The P2.5 formulation, with diagnostic chloro-492 phyll, and the P3.0 formulation, with fully dynamical chlorophyll, produced 493 relatively similar results. Slight differences were observed between the P3.0 494 and the GP3.0 formulations, both with dynamical chlorophyll but with differ-495 ent light limitation formulations. In the latter, light limitation is a function 496 of the cell quota, as recommended by Flynn (2003b) to assure that, at steady 497 state, the growth-irradiance curve has the correct initial slope. However, phy-498 toplankton growth in the ocean is often not at steady state. Additional data 499 on phytoplanktonic carbon concentration and C:N ratio would be needed 500 to constrain these cell quota formulations with photo-acclimation and com-501 pare their ability to reproduce phytoplanktonic dynamics. In the meantime, 502 and as suggested by Flynn (2003a) from growth formulation comparison for 503 laboratory experiments, phytoplankton models with diagnostic chlorophyll 504 should be preferred when coupled with marine ecosystem models. 505

# 506 4.4. Stoichiometry of phytoplanktonic production

Our results indicate that compared to Redfield growth formulations, quota 507 growth formulations better reproduce the primary production during olig-508 otrophic conditions. Several problems arose from previous modelling studies 509 at BATS using constant C:N ratios with photo-acclimation because of the 510 assumed Redfield stoichiometry. Schartau et al. (2001) concluded that pro-511 duction data could not be reproduced after optimization when a constant 512 C:N ratio was assumed. Schartau and Oschlies (2003) also indicated that the 513 parameter optimization of a Redfield NPZD model with photo-acclimation 514 leads to high value of the parameter  $\alpha$  (initial PI slope) "likely [to] com-515 pensat[e] for a deficiency in the parameterization of light-limited growth." 516

Finally, Oschlies and Schartau (2005) concluded that their model was unable 517 to reproduce the observed data after optimization due "both to errors in 518 the physical model component and to errors in the structure of the ecosys-519 tem model, which an objective estimation of ecosystem model parameters by 520 data assimilation alone cannot resolve." Besides, the stoichiometry of total 521 particulate organic matter is known to be non-Redfield at BATS (Michaels 522 and Knap, 1996; Cotner et al., 1997), as already reported in other parts of 523 the North Atlantic (Sambrotto et al., 1993; Kortzinger et al., 2001). Sur-524 face and mixed layer values of the C:N ratio of particulate organic matter 525 recorded at BATS in 1998 vary from 6.19 to 10.26  $molC.molN^{-1}$ , with val-526 ues larger than 8  $molC.molN^{-1}$  from June to August (Fig. 7). However, 527 the comparison of these observed values with simulated C:N ratios of pro-528 duction and phytoplankton are not straightforward, since the proportions 529 of phytoplanktonic nitrogen and carbon relative to total particulate organic 530 nitrogen and carbon are unknown. Nevertheless, the increase of C:N ratios 531 during oligotrophic conditions is well reproduced by the cell quota formula-532 tions, because of low nutrient availability during the summer. For cell-quota 533 formulations, it is then the ability of the C:N ratio to vary under changing 534 environmental conditions (flexibility) that is responsible to a more realistic 535 simulated production. 536

Similarly, an *in situ* study of the evolution of the C:N ratios of particulate organic matter and production in the mixed layer in the North-East Atlantic indicated that these C:N ratios were higher during summer than during spring, with values of C:N ratio of production of 10-16 and 5-6  $molC.molN^{-1}$ , respectively (Kortzinger et al., 2001). These results suggest that our conclu-

sions at BATS may extend to other areas in the ocean. Besides, it would be
interesting to adapt this study to a station where data of phytoplanktonic
nitrogen and carbon would be available in order to discriminate between the
different quota formulations (P2.5, P3.0, and GP3.0).

#### 546 4.5. Implications for marine ecosystem modelling

Several recent studies, that have compared different biogeochemical mod-547 els, have focused on the structure of the model rather than on the formu-548 lation of phytoplankton growth (Friedrichs et al., 2006, 2007; Ward et al., 540 2010; Kriest et al., 2010; Bagniewski et al., 2011). Friedrichs et al. (2006) 550 found that a change in the physical model had a more important impact than 551 a change in the ecosystem model complexity. Similarly, Kriest et al. (2010) 552 demonstrated that increasing complexity of a simple biogeochemical model at 553 global scale did not necessarily improve the model's performance. Neverthe-554 less, the choice of model complexity (food web structure, description of key 555 physiological processes, parameter estimations, plankton functional types) is 556 one of the challenges of future marine ecosystem modelling (Flynn, 2003a; 557 Le Quéré et al., 2005; Flynn, 2010; Anderson, 2010; Allen and Fulton, 2010; 558 Allen and Polimene, 2011). Besides, the use of complex models is still under 559 debate because of our lack of specific knowledge in parameterizing plankton 560 physiology and its variability (Anderson, 2005; Allen et al., 2010; Allen and 561 Polimene, 2011). 562

<sup>563</sup> Our study allows to quantify the error made when a constant Redfield <sup>564</sup> stoichiometry is considered (instead of a variable C:N ratio) in phytoplank-<sup>565</sup> ton growth formulation, as it is still the case in most biogeochemical mod-<sup>566</sup> els, especially when they are used at global scale. Indeed, only a few global

ecosystem models decouple nitrogen and carbon dynamics (Vichi et al., 2007; 567 Vichi and Masina, 2009). A recent study using a marine ecosystem model at 568 global scale decoupled nitrogen and phosphorus dynamics relative to carbon, 569 but still used a Monod-type version of nutrient limitation (Tagliabue et al., 570 2011). This model was thus "in between" Monod-Redfield and cell quota for-571 mulations. Global scale models that decouple carbon and nitrogen uptakes 572 are particularly needed to study the impact of increased  $CO_2$  in the ocean. 573 Indeed, carbon dioxide enhances carbon fixation but not dissolved inorganic 574 nitrogen uptake, thus potentially increasing C:N ratios. Such processes have 575 already been observed in mesocosm experiments (Riebesell et al., 2007), and 576 should now be incorporated in global marine ecosystem models. Besides, cli-577 mate change will likely modify to some degree the stoichiometry of inorganic 578 and organic C:N:P in the oceans (Hutchins et al., 2009). For these reasons, 579 models without enough 'flexibility' in their formulation will not be able to 580 represent the non-linearities between carbon and nitrogen assimilation. In 581 parallel with model improvements, field and *in situ* experiments should con-582 tinue in collaboration with modelers to increase our knowledge in plankton 583 physiology and dynamics under varying environment and provide data to 584 calibrate and validate models. 585

#### 586 5. Conclusion

The aim of the present work was to assess the advantages of taking into account photo-acclimation and variable stoichiometry of the phytoplankton growth in marine ecosystem models. After parameter calibration through an optimization procedure, lower misfits with observed data at BATS were

simulated when photo-acclimation and non-Redfield stoichiometry were con-591 sidered (i.e., variable Chl:C and C:N ratios). The main differences in qual-592 itative and quantitative behaviours of phytoplankton growth models were 593 observed under oligotrophic conditions, because of the lack of model flexibil-594 ity. In agreement with previous studies, photo-acclimation was mandatory 595 to simultaneously reproduce the observed deep chlorophyll maximum and 596 subsurface production during oligotrophic conditions. Moreover, quota for-597 mulations enabled a better agreement with production data in subsurface and 598 during oligotrophic conditions than Redfield formulations. No clear differ-599 ence was detected between quota formulations with diagnostic or prognostic 600 chlorophyll, and more data would be needed to discriminate between these 601 quota formulations with photo-acclimation. Future work would embed these 602 different phytoplankton growth formulations within a 3D physical model to 603 test whether our results can be generalized under contrasted oceanic regime 604 and at basin scale (Ayata et al., in prep.). 605

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Figure 1: Structure of the LOBSTER marine ecosystem model. The six state variables are in nitrogen currency (blue color). The detailed equations of the model are given in Table 1. Nitr.: Nitrification; Remin.: Remineralization.

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Figure 2: Structure of the phytoplankton growth formulations: A) Redfield formulation with constant chlorophyll:carbon ratio (P1.0), B) Redfield formulation with diagnostic chlorophyll (P1.5), C) quota formulation with diagnostic chlorophyll (P2.5), and D) quota formulation with prognostic chlorophyll (P3.0). Note that the Geider formulation (GP3.0) shares the same structure as P3.0. State variables are in plain color and diagnostic variables in shaded color. The colors of the variables indicate their currency: blue for nitrogen, grey for carbon, and green for chlorophyll.

Figure 3: Taylor and target diagrams of the monthly vertical profiles of nitrate concentration (diamonds), PON concentration (triangles), chlorophyll concentration (circle) and primary production (square) calculated for each formulation with default parameters (empty symbol) and after optimization (full symbol). The Taylor diagram represents in polar coordinates the normalized standard deviation and the correlation between observation and model output. On this diagram, the distance with the point of coordinates (1,0) measures the normalized root mean squared differences between observation and model output.



Figure 4: Seasonal cycles of nitrate, particulate organic nitrogen (PON), chlorophyll, and primary production at BATS in 1998, simulated with the different models after optimization and observed at BATS in 1998. The observed mixed layer depth is superimposed in white over the observed nitrate profiles.



Figure 5: Average vertical profiles during boom (Mar-Apr) and during oligotrophic conditions (Jul-Aug) of the concentrations of phytoplanktonic nitrogen, phytoplanktonic carbon, C/ N ratio and C:Chl ratio, simulated with P1.0 (dark blue), P1.5 (light blue), P2.5 (green), P3.0 (red), and GP3.0 (magenta) after optimization.



Figure 6: Temporal evolution of integrated daily production in carbon and in nitrogen from 0 to 234 m, simulated by the Redfield formulations P1.0 (blue) and P1.5 (light blue), and by the quota formulations P2.5 (green), P3.0 (red), and GP3.0 (magenta) after optimization. The observed values of the integrated daily production in carbon at BATS are indicated (black crosses).



Figure 7: Temporal evolution of the C:N ratio of the production and of the phytoplankton at 0-10 m, 40-50 m and 90-100 m after optimization, simulated by the Redfield formulations P1.0 and P1.5 (light blue), and by the quota formulations P2.5 (green), P3.0 (red), and GP3.0 (magenta). The C:N ratio of the production is calculated as the ratio between the total production in carbon and the total production in nitrogen. The observed surface values of the C:N ratio of the total particulate organic matter measured at BATS in 1998 are superimposed on the simulated C:N ratio of the phytoplankton (black crosses).



$(\mathbf{P}_N)$ , zooplankton $(\mathbf{Z}_N)$ , detritus $(\mathbf{D}_N)$ , and	dissolved organic matter (DOM). The phytoplankton growth formulation is a
Redfield formulation with constant Chl:C rati	o (P1.0 formulation). The definition of the parameters and their default values
are presented in Table 2.	
Definition	Equation
Nitrate source minus sink	$sms(NO_3) = \lambda_{NH_4}.NH_4 - \frac{L_{NO_3}}{L_N}.uptake$
Ammonium source minus sink	$sms(NH_4) = -\lambda_{NH_4} \cdot NH_4 - \frac{L_{NH_4}}{L_N} \cdot uptake + \lambda_{DOM} \cdot DOM$
	$+f_n.(\delta.uptake + \lambda_Z.Z_N + \lambda_D.D_N)$
Phytoplankton source minus sink	$sms(P_N) = (1 - \delta).uptake - G_P - m_P.P_N$
Zooplankton source minus sink	$sms(Z_N) = a_Z \cdot (G_P + G_D) - m_Z \cdot Z_N^2 - \lambda_Z \cdot Z_N$
Detritus source minus sink	$sms(D_N) = m_P \cdot P_N + f_Z \cdot m_Z \cdot Z_N^2 + (1 - a_Z) \cdot (G_P + G_D) - G_D - \lambda_D \cdot D_N - w_D$
DOM source minus sink	$sms(DOM) = (1 - f_n).(\delta.uptake + \lambda_Z.Z_N + \lambda_D.D_N) - \lambda_{DOM}.DOM$
Nitrogen uptake	$wptake = \mu_m.L_N.L_I.P_N$
Light limitation	$L_{I} = \left[1 - e^{\left(-\frac{\alpha \cdot R_{CHI} \cdot C \cdot PAR}{\mu m}\right)}\right]$
Nutrient limitation	$L_N = L_{NO_3} + L_{NH_4}$
Nitrate limitation	$L_{NO_3} = \frac{NO_3}{K_{NO_3} + NO_3} \cdot e^{-\psi \cdot NH_4}$
Ammonium limitation	$L_{NH_4} = rac{NH_4}{K_{NH_4} + NH_4}$
Grazing on phytoplankton	$G_P = g \cdot \frac{p \cdot P_N}{K_g + p \cdot P_N + (1-p) \cdot D_N} \cdot Z_N$
Grazing on detritus	$G_D = g \cdot \frac{(1-p) \cdot D_N}{K_g + p \cdot P_N + (1-p) \cdot D_N} \cdot Z_N$
Grazing preference for phytoplankton	$p = rac{ ilde{p}.P_N}{ ilde{p}.P_N + (1 -  ilde{p}).D_N}$

Table 1: Equations of the LOBSTER marine ecosystem model. The source minus sink (sms) terms of the equations are given for each of the six state variables of the model (in nitrogen currency): nitrate (NO<sub>3</sub>), ammonium (NH<sub>4</sub>), phytoplankton --Ē --Ę / 5 -/

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Table 2:	Parameters o	of the LOBS'	TER model	with	default	values	from	previous	studies
(Lévy et	al., 2005; Kre	emeur et al.,	2009).						

		<b>X</b> 7 1	TT •				
Symbol	Definition	Value	Unit				
Nutrient-related parameters							
$K_{NO_3}$	$NO_3$ half saturation constant	0.7 e-6	$\rm mmolN.m^{-3}$				
$K_{NH_4}$	$\rm NH_4$ half saturation constant	0.001 e-6	$\rm mmolN.m^{-3}$				
$\psi$	Inhibition of $NO_3$ uptake by $NH_4$	3	unitless				
$\lambda_{NH_4}$	$\rm NH_4$ nitrification rate	0.05	$d^{-1}$				
Phytop	lankton growth and death						
$\alpha$	Photosynthesis-irradiance (PI) initial slope	1.82	$d^{-1}.W^{-1}.m^2.gC.gChl^{-1}$				
$\mu_m$	Maximal growth rate of phytoplankton	1	$d^{-1}$				
δ	Excretion ratio of phytoplankton	0.05	unitless				
$m_P$	Phytoplankton mortality rate	0.05	$d^{-1}$				
Zooplar	nkton grazing and mortality						
$K_g$	Grazing half saturation constant	1 e-6	$\rm mmolN.m^{-3}$				
g	Maximal zooplankton grazing rate	0.8	$d^{-1}$				
$a_Z$	Assimilated food fraction	0.7	unitless				
$\lambda_Z$	Exsudation rate of zooplankton	0.07	$d^{-1}$				
$m_Z$	Zooplankton mortality rate	0.12 e+6	$d^{-1}.mmolN^{-1}.m^3$				
$\tilde{p}$	Zooplankton preference for detritus	0.8	unitless				
$f_Z$	Fraction of slow sinking mortality	0.5	unitless				
Remine	ralization						
$\lambda_{DOM}$	Remineralization rate of DOM	0.006	$d^{-1}$				
$f_n$	$\rm NH_4/\rm DOM$ redistribution ratio	0.75	unitless				
$w_D$	Detritus sedimentation speed	3	$m.d^{-1}$				
$\lambda_D$	Remineralization rate of detritus	0.05	$d^{-1}$				

Table 4: Equations of the different phytoplankton growth formulations. P1.0: Redfield formulation with constant Chl:C ratio. P1.5: Redfield formulation with diagnostic Chl:C ratio. P2.5: Cell-quota formulation with diagnostic Chl:C ratio. P3.0/GP3.0: Cell-quota formulation with prognostic Chl:C ratio. The definition of the parameters and their default values are presented in Tables 2 and 5. Source minus sink functions (*sms*) are only for prognostic variables (in bold).

Model(s)	Definition	Equation
P1.0	Phytoplanktonic nitrogen	$sms(P_N) = (1 - \delta).uptake - G_P - m_P.P_N$
	Phytoplanktonic carbon	$P_C = R_{C:N}.P_N$
	Chlorophyll	$P_{Chl} = R_{Chl:C}.P_C$
	Nitrogen uptake	$uptake = \mu_m.L_N.L_I.P_N$
	Primary production	$prod = R_{C:N}.uptake$
P1.5	Phytoplanktonic nitrogen	$sms(P_N) = (1-\delta).uptake - G_P - m_P.P_N$
	Phytoplanktonic carbon	$P_C = R_{C:N}.P_N$
	Chlorophyll	$P_{Chl} = \left( R_{Chl:C}^{Min} + \frac{(R_{Chl:C}^{Max} - R_{Chl:C}^{Min}) \cdot 2 \cdot \mu_m \cdot L_N}{2 \cdot \mu_m \cdot L_N + (R_{Chl:C}^{Max} - R_{Chl:C}^{Min}) \cdot \alpha \cdot PAR} \right) \cdot P_C$
	Nitrogen uptake	$uptake = \mu_m.L_N.L_I.P_N$
	Primary production	$prod = R_{C:N}.uptake$
P2.5	Phytoplanktonic nitrogen	$sms(P_N) = (1-\delta).uptake - G_P - m_P.P_N$
	Phytoplanktonic carbon	$sms(P_C) = prod - \zeta.uptake - G_P.rac{P_C}{P_N} - m_P.P_C$
	Chlorophyll	$P_{Chl} = \left( R_{Chl:C}^{Min} + \frac{(R_{Chl:C}^{Max} - R_{Chl:C}^{Min}) \cdot 2 \cdot \mu_m \cdot L_N}{2 \cdot \mu_m \cdot L_N + (R_{Chl:C}^{Max} - R_{Chl:C}^{Min}) \cdot \alpha \cdot PAR} \right) \cdot P_C$
	Nitrogen uptake	$uptake = \rho_m.L_Q^N.L_N.P_C$
	Primary production	$prod = \mu_m . L_Q^I . L_I . P_C$
	Quota-limitation of uptake	$L_Q^N = \left(\frac{Q_{max} - Q}{Q_{max} - Q_0}\right)^n$
	Quota-limitation of prod.	$L_Q^I = \frac{Q - Q_0}{Q_{max} - Q_0}$
P3.0	Phytoplanktonic nitrogen	$sms(P_N) = (1-\delta).uptake - G_P - m_P.P_N$
GP3.0	Phytoplanktonic carbon	$sms(P_C) = prod - \zeta.uptake - G_P.rac{P_C}{P_N} - m_P.P_C$
	Chlorophyll sms	$sms(P_{Chl}) = prod_{Chl} - G_P.rac{P_{Chl}}{P_N} - m_P.P_{Chl}$
	Nitrogen uptake	$uptake = \rho_m.L_Q^N.L_N.P_C$
	Primary production	$prod = \mu_m . L_Q^I . L_I . P_C$
	Chlorophyll production	$prod_{Chl} = \frac{R_{Chl:N}^{Max}.14}{\alpha.PAR.P_{Chl}}.prod.uptake$
	Quota-limitation of uptake	$L_Q^N = \left(\frac{Q_{max} - Q}{Q_{max} - Q_0}\right)^n$
	Quota-limitation of prod.	$L_Q^I = \frac{Q - Q_0}{Q_{max} - Q_0}$

Table 5: Parameters of the different phytoplankton growth formulations and associateddefault values from Geider et al. (1998).

Symbol	Definition	Default	Unit	Models
Constar	nt ratios	C	$\mathbf{S}$	
$R_{Chl:C}$	Chlorophyll:Carbon ratio	1/60	$\rm gChl.gC^{-1}$	P1.0
$R_{C:N}$	Phytoplankton C:N Redfield ratio	6.56	$molC.molN^{-1}$	P1.0 P1.5
Diagnos	stic chlorophyll			
$R^{Min}_{Chl:C}$	Minimum Chl:C ratio	1/200	${\rm mgChl.mmolC^{-1}}$	P1.5 P2.5
$R^{Max}_{Chl:C}$	Maximum Chl:C ratio	1/30	${\rm mgChl.mmolC^{-1}}$	P1.5 P2.5
Nutrien	t uptake			
$ ho_m$	Maximum uptake rate	0.2	$molN.molC^{-1}.d^{-1}$	P2.5 P3.0
	(defined by $\rho_m = \mu_m Q_{max}$ )			
$\zeta$	Cost of nitrogen assimilation	3	mol C.mol $N^{-1}$	P2.5 P3.0
Phytop	lanktonic cell quotas			
$Q_0$	Minimum value of $Q$	1/20	mol N.mol $C^{-1}$	P2.5 P3.0
$Q_{max}$	Maximum value of $Q$	1/5	mol N.mol $C^{-1}$	P2.5 P3.0
n	Shape factor	1	-	P2.5 P3.0
Chlorop	ohyll synthesis			
$R^{Max}_{Chl:N}$	Maximum Chl:N ratio	2	$\rm gChl.gN^{-1}$	P3.0

-	/		
Parameter	Lower bound	Upper bound	Increment
$\alpha$	0.3	12.9	0.2
$\mu_m$	0.1	6.4	0.1
$K_g$	1.0e-7	32.5e-7	0.5e-7
g	0.1	6.4	0.1
$\zeta$	1.00	4.15	0.05
$R^{Max}_{Chl:N}$	0.1	6.4	0.1

 Table 7: Parameter range allowed for optimization. Each parameter was binary coded on

 6 bits (and had then 64 possible values).

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Parameter	Default values	Optimized values				
	G	P1.0	P1.5	P2.5	P3.0	GP3.0
α	1.82	1.7	1.1	2.3	1.7	2.1
$\mu_m$	1	0.3	0.6	1.0	1.7	0.6
$K_g$	10.0e-7	23.0e-7	18.0e-7	22.5e-7	23.0e-7	26.0e-7
g	0.8	5.0	4.2	5.1	5.3	5.1
$\zeta$	3.00	-	-	3.52	3.24	3.36
$R^{Max}_{Chl:N}$	3	-	-	-	6.4	5.6
$F$ after $\phi$	optimization	0.855	0.823	0.790	0.802	0.773
$F$ with $\phi$	default value	1.118	1.217	1.1217	1.120	1.052

Table 8: Optimized parameters and associated cost functions (F).

		Annual values		
Model	Total Production	Total Production	New Production	f-ratio
	$(molC/m^2)$	$(molN/m^2)$	$(molN/m^2)$	
P1.0	2.495	0.380	0.126	0.33
P1.5	2.647	0.403	0.133	0.33
P2.5	3.903	0.415	0.163	0.39
P3.0	3.728	0.421	0.134	0.32
GP3.0	3.970	0.399	0.135	0.34

Table 9: Total productions, new production, and f-ratio (new production/total production in nitrogen) simulated at BATS in 1998 after optimization.

Model	Total Production	Total Production	New Production	f-ratio
	$(molC/m^2)$	$(molN/m^2)$	$(molN/m^2)$	
P1.0	0.855	0.130	0.064	0.49
P1.5	1.014	0.154	0.076	0.49
P2.5	1.292	0.143	0.062	0.43
P3.0	1.309	0.153	0.073	0.48
GP3.0	1.240	0.136	0.066	0.48

Oligotrophic period (July to August)

Model	Total Production	Total Production	New Production	f-ratio
	$(molC/m^2)$	$(molN/m^2)$	$(molN/m^2)$	
P1.0	0.424	0.064	0.016	0.25
P1.5	0.403	0.061	0.012	0.20
P2.5	0.678	0.069	0.017	0.25
P3.0	0.605	04066	0.013	0.20
GP3.0	0.725	0.071	0.019	0.27

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