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## Cloud thermodynamical phase classification from the POLDER spaceborne instrument

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**Abstract.** Cloud phase recognition is important for cloud studies. Ice crystals correspond to physical process and properties that differ from those of liquid water drops. The angular polarization signature is a good mean to discriminate between spherical and nonspherical particles (liquid and ice phase, respectively). POLDER (Polarization and Directionality of Earth Reflectances) has been launched on the Japanese ADEOS platform in August 1996. Because of its multidirectional, multispectral, and multipolarization capabilities this new radiometer gives useful information on clouds and their influence on radiation in the shortwave range. The POLDER bidirectional observation capability provides the polarization signatures within a large range of scattering angles in three spectral bands centered on 0.443, 0.670, and 0.865  $\mu\text{m}$  with a spatial resolution of 6.2 km  $\times$  6.2 km. These original features allow to obtain some information both on cloud thermodynamic phase and on cloud microphysics (size/shape). According to POLDER airborne observations, liquid cloud droplets exhibit very specific polarization features of a rainbow for scattering angles near 140°. Conversely, theoretical studies of scattering by various crystalline particles and also airborne measurements show that the rainbow characteristics disappear as soon as the particles depart from the spherical shape. In the paper the POLDER algorithm for cloud phase classification is presented, as well as the physical principle of this algorithm. Results derived from the POLDER spaceborne version are also presented and compared with lidar ground-based observations and satellite cloud classification. This cloud phase classification method is shown to be reliable. The major limitation appears when thin cirrus clouds overlap the liquid cloud layer. In this case, if the cirrus optical thickness is smaller than 2, the liquid phase may be retrieved. Otherwise, the ice phase is correctly detected as long as cloud detection works.

### 1. Introduction

Clouds are a strong modulator of the shortwave and long-wave component of the Earth's radiation budget [Ramanathan, 1987, 1989]. The importance of clouds and radiation in studies of the Earth's climate has been demonstrated from both observations [Ramanathan, 1989] and modeling [Cess *et al.*, 1989]. Knowledge of cloud properties (cloud cover, optical thickness, altitude, thermodynamical phase, water vapor, microphysics properties) and their variations in space and time is crucial for studies of global climate change. Thus remote sensing of the optical, microphysical, and physical properties of clouds is important for improvement of the understanding of the Earth's climate system.

POLDER [Deschamps *et al.*, 1994] is a sensor that provides new information for improving our knowledge of clouds, radi-

ation, and climate interactions. In this paper we intend to describe how cloud thermodynamic phase classification can be derived from POLDER. Cloud phase is an important property of clouds. Cirrus clouds have been recognized to have a great influence on weather and climate through their effect on the radiative energy budget in the atmosphere [Liou, 1986]. Cloud cover estimate over both land and ocean needs improvements. Even though cirrus clouds cover nearly 20–30% of the terrestrial surface [Warren *et al.*, 1988], their influence on the radiation budget is still poorly known. Moreover, the estimate of the global coverage of cirrus cloud is still poorly known. It ranges between 20 and 60% of the globe, depending on the sensor used to detect ice clouds [Wylie *et al.*, 1998].

Usual techniques for “thermodynamical phase retrieval” are based on infrared measurements. Threshold on brightness temperature or bispectral method is then used to select cold clouds that are assumed to be composed of ice particles [Han *et al.*, 1994; Nakajima and Nakajima, 1995]. A future sensor, such as MODIS, will combine 1.37, 1.64  $\mu\text{m}$  and thermal infrared channels [King *et al.*, 1992]. The potential of polarization measurements of the upward radiation to derive cloud information has been studied recently with the POLDER airborne simulator during several airborne campaigns [Goloub *et al.*, 1994; Brogniez *et al.*, 1995; Chepfer *et al.*, 1998; Bréon and Goloub, 1998]. Cloud polarimetric observations over a large range of scattering angles make possible the distinction between spherical (liquid) and nonspherical particles (ice). The

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satellite version of POLDER provided polarization measurements at a global scale from October 1996 to June 1997. POLDER data are described in section 2. The third section is devoted to the presentation of cloud polarization features. Then, the algorithm used to retrieve the cloud phase is described, and comparisons with ground-based lidar measurements and cloud classifications are presented and discussed in section 5. Finally, examples of monthly ice and liquid occurrence frequency maps are presented and discussed.

## 2. POLDER Data

POLDER is an optical sensor launched on the ADEOS platform in August 1996. It provided continuous measurements of the Earth spectral, directional, and polarized signatures before the ADEOS platform unexpectedly failed at the end of June 1997. The same instrument will be launched on ADEOS-2 in 2002.

Thanks to its optical design (CCD bidimensional matrix and a wide field of view lens), the POLDER instrument acquires up to 14 successive measurements over a given target as the satellite goes along its orbit. This allows an evaluation of the target directional signature. Another original feature of POLDER is its capability to measure the polarization state of the radiance field.

For cloud study, the main interests of the polarization measurement are in the remote sensing of thermodynamical phase and top pressure [Goloub *et al.*, 1994; Buriez *et al.*, 1997] and microphysics properties [Bréon and Goloub, 1998; Chepfer *et al.*, 1998, 1999]. POLDER has eight spectral bands from 0.443 to 0.910  $\mu\text{m}$ . Polarization measurements are performed in three of these bands (0.443, 670, and 0.865  $\mu\text{m}$ ). These specific bands are equipped with three polarizers in order to determine completely the characteristics of the radiation field (radiance and linear polarization state) in term of the Stokes vector components  $I$ ,  $Q$ , and  $U$  [Hagolle *et al.*, 1999]. The normalized total radiance  $L$  and polarized radiance  $L_p$  are defined as follows:

$$L = \frac{\pi I}{E_s}, \quad (1)$$

$$L_p = \frac{\pi \sqrt{Q^2 + U^2}}{E_s}, \quad (2)$$

where  $I$  is the radiance,  $\sqrt{Q^2 + U^2}$  is the polarized radiance, and  $E_s$  is the solar irradiance.

Here we preferentially used the algebraic normalized polarized radiance defined by  $L_p = L^\perp - L^\parallel$  where  $L^\perp$  and  $L^\parallel$  are the normalized radiance components, respectively, perpendicular and parallel to the scattering plane. A positive polarized radiance means electric vibration perpendicular to the scattering plane and negative means vibration parallel to the scattering plane. Calculations and observations are presented in terms of algebraic polarized radiance.

The POLDER data full spatial resolution is 6.2 km  $\times$  6.2 km (Level 1 products). However, operational cloud products [Buriez *et al.*, 1997; Parol *et al.*, 1999] are averaged on a 60 km  $\times$  60 km spatial resolution (Level 2 products).

## 3. Cloud Polarization Features

When considering the solar radiation reflected by clouds observed from a satellite, the polarized component of the up-

ward radiance is mainly formed in the upper cloud layer. About 80% of the single-scattered radiation reflected from the cloud layer arise from the upper 100 m layer. Calculations show that the polarized component  $L_p$  is saturated for cloud optical thickness greater than 2 [Goloub *et al.*, 1994]. By contrast, the total normalized radiance  $L$  and the polarization degree [ratio of  $L_p$  over  $L$ ] are more dependent on  $\tau$  [Hansen, 1971].

The interesting quantity is therefore the polarized radiance  $L_p$  that is less sensitive than the polarization degree to multiple-scattering effect, so the polarization features, corresponding to single scattering, are preserved in  $L_p$ . According to the single-scattering approximation the polarized radiance can be written as follows:

$$L_p = \frac{1 - \exp[-\tau(1/\cos \theta_s + 1/\cos \theta_v)]}{4(\cos \theta_s + \cos \theta_v)} q(\Theta). \quad (3)$$

In the case of a large enough optical thickness ( $\tau > 2$ ) the polarized radiance can be written as follows:

$$L_p = \frac{\cos \theta_s}{4(\cos \theta_s + \cos \theta_v)} q(\Theta), \quad (4)$$

where  $q(\Theta)$  is the polarized phase function that depends on cloud microphysics properties (shape/size) and refractive index. The other quantities  $\theta_s$  and  $\theta_v$  are, respectively, the Sun zenith angle and viewing angle, and  $\Theta$  is the scattering angle that is referred to the incident solar direction. Looking at (4), we immediately note that the product of the polarized radiance  $L_p$  by  $(\cos \theta_s + \cos \theta_v)/\cos \theta_s$  will be mainly governed by the scattering angle  $\Theta$ . Conservative scattering is assumed, and the effect of atmospheric gaseous is neglected.

The cloud thermodynamical phase depends on the local thermodynamic conditions and presence of impurities within the atmosphere. Frequently, above 7 or 8 km, clouds are composed of ice crystals or of mixed liquid and ice particles. In particular, thermodynamical conditions, supercooled water droplets may be observed [Curran and Wu, 1982]. Ice particles are microphysically, and thus radiatively, quite different from water droplets. The intent of the following three subsections is to describe the main polarization features of water droplets (section 3.1), ice clouds (section 3.2), and multilayered clouds (section 3.3).

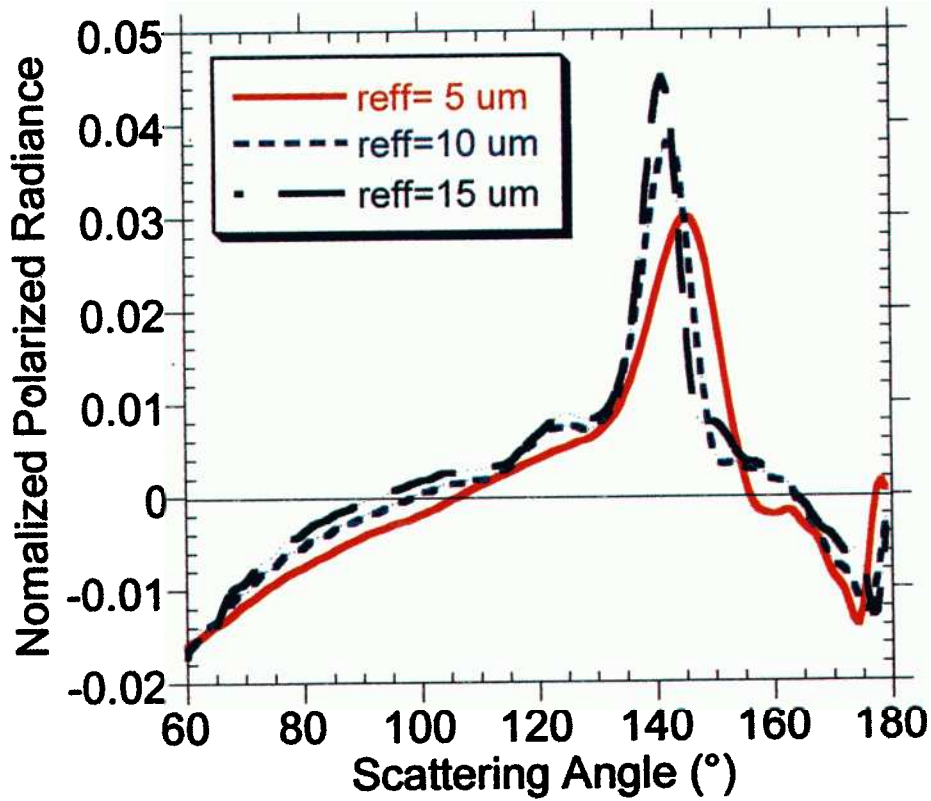
### 3.1. Water Droplet Clouds

According to in situ measurements the radius of liquid phase water droplets ranges from 0.5 to 50  $\mu\text{m}$  [Hansen, 1971; Paltridge, 1974; Stephens *et al.*, 1978]. This size range has been confirmed by lidar observations [Platt *et al.*, 1987] and, more recently, by FSSP (forward scattering spectrometer probe) measurements [Pawlowska and Brenguier, 1996]. According to global climatology of cloud top droplet size, which is based on a 3.7  $\mu\text{m}$  AVHRR channel, the effective radius  $r_{\text{eff}}$  ranges from 5 to 25  $\mu\text{m}$  [Nakajima *et al.*, 1989, 1991; Han *et al.*, 1994; Rossow *et al.*, 1996]. Global mean value of the effective radius is about 11  $\mu\text{m}$  over the ocean and 8  $\mu\text{m}$  over the continent.

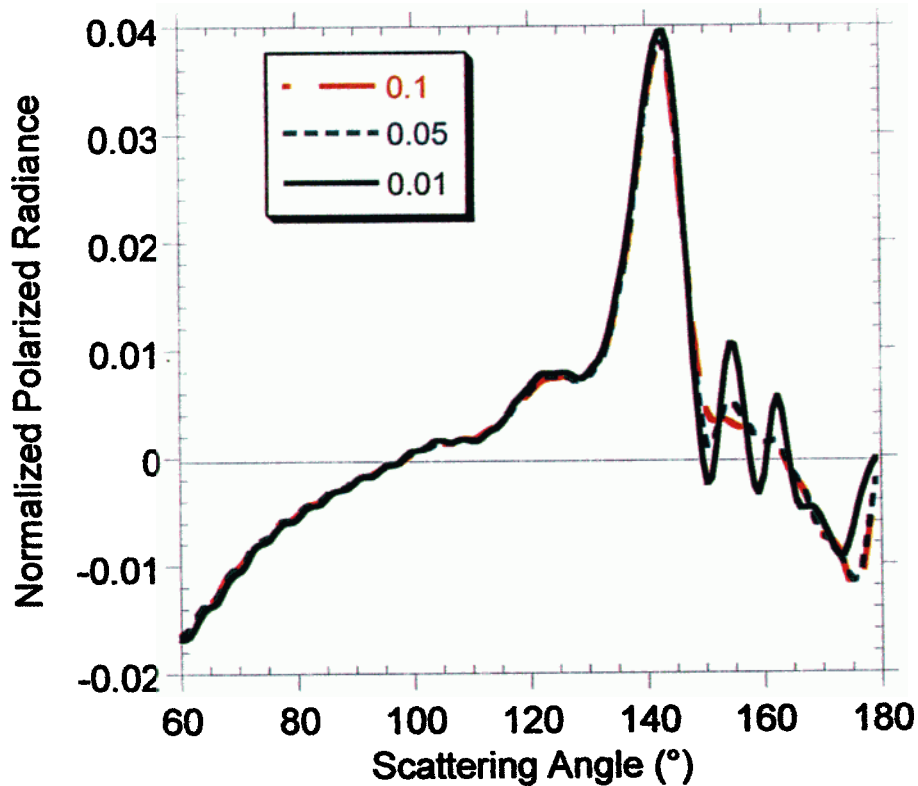
Given the cloud size-distribution, the optical properties (for example, the polarized phase function  $q(\Theta)$ ) are derived from standard Mie theory. A convenient analytical size distribution, proportional to the droplet number concentration, is given by (5),

$$n(r) \propto r^\alpha \exp(-\beta \cdot r) \quad (5)$$

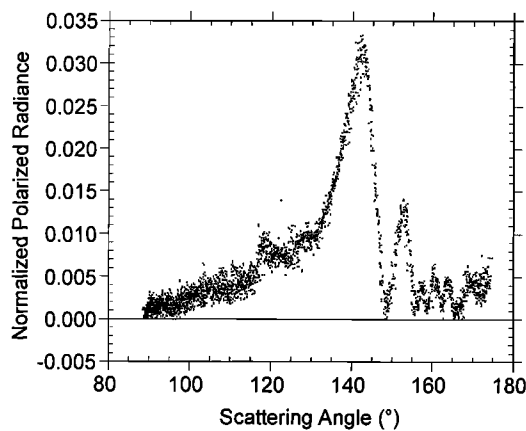
with  $\alpha = (1/r_{\text{eff}}\sigma_{\text{eff}})$  and  $\beta = (1 - 3\sigma_{\text{eff}}/\sigma_{\text{eff}})$ , where  $r_{\text{eff}}$  is the effective radius of the size distribution, and  $\sigma_{\text{eff}}$  is the effective



**Plate 1.** Simulated normalized polarized radiance for water droplet clouds (liquid phase) for three size distributions ( $\sigma_{\text{eff}} = 0.1$ ),  $r_{\text{eff}} = 5, 10, 15 \mu\text{m}$ ,  $\theta_s = 60^\circ$ ,  $\tau = 10$ .



**Plate 2.** Simulated normalized polarized radiance for water droplet clouds (liquid phase) for three size distributions ( $r_{\text{eff}} = 10 \mu\text{m}$ ),  $\sigma_{\text{eff}} = 0.01, 0.05, 0.10$ , for  $\tau = 10$ . This figure shows the effect of the  $\sigma_{\text{eff}}$  parameter on the polarization features.



**Figure 1.** Normalized polarized radiance measured at  $0.865 \mu\text{m}$  over a stratocumulus cloud during POLDER airborne experiment (ASTEX '92). The altitude of the aircraft is 3.5 km.

variance distribution. Typical values of  $r_{\text{eff}}$  range from 5 to  $15 \mu\text{m}$ , and  $\sigma_{\text{eff}}$  varies from 0.01 (narrow size distribution) to 0.1 (broad size distribution). The refractive index for water droplet is almost constant within the POLDER spectral range.

The radiative transfer calculations of solar radiation in plane-parallel water clouds may be performed by different techniques [Hansen, 1971], given the single-scattering phase matrix for the considered wavelength, corresponding to the assumed water droplet size distribution. Calculations have been performed with the radiative transfer code GHSPOL (general spherical harmonic with polarization [Garcia and Siewert, 1986]). To study the sensitivity of the polarized radiance to cloud microphysical properties, for cloud optical thickness  $\tau = 10$  and surface albedo equal to zero, calculations have been performed for several size distributions of the cloud droplets that correspond to three different values of the effective radius  $r_{\text{eff}}$  for the same effective variance  $\sigma_{\text{eff}}$  (Plate 1) and for the same  $r_{\text{eff}}$  to different several values of  $\sigma_{\text{eff}}$  (Plate 2).

The "polarization" of cloud water droplet exhibits a strong maximum at about  $140^\circ$  from the incoming incident direction. In the geometric optic approximation this is generated by the first internal reflection within the droplets. This maximum, the primary rainbow (PR), is highly polarized, which makes it easily detectable. The position of the PR depends slightly on the particle effective radius  $r_{\text{eff}}$ . The intensity of the PR peak increases with the radius. Another noticeable property is the zero of polarization, the neutral point (NP). Its angular position ranges from  $75^\circ$  to  $130^\circ$ , depending on  $r_{\text{eff}}$ . Calculations have shown that the neutral point position is sensitive to the effective radius and increases when the radius decreases (Plate 1).

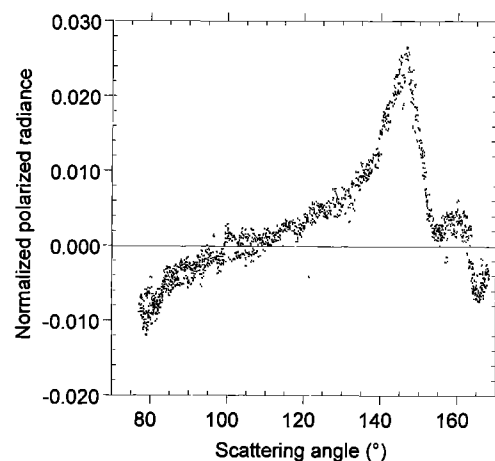
For narrow size distributions, several bows (succession of secondary polarization peaks and neutral points) occur (super-numerary bows), beyond  $150^\circ$ , mainly depending on  $\sigma_{\text{eff}}$  and  $r_{\text{eff}}$  (Plate 2). On the contrary, if the size distribution is broad, no super-numerary exists. These properties can be used, in some cases, to retrieve water droplet size distribution [Bréon and Goloub, 1998].

These theoretical features have been confirmed by measurements obtained with the POLDER airborne simulator used during several field campaigns: Cleopatra '91 [Meischner, 1993], ASTEX '92 [Weill et al., 1995], and EUCREX '94 [Raschke et al., 1996]. The ASTEX (Atlantic Stratocumulus Transition Experiment) campaign takes place in a region of the Azores in June

1992. During ASTEX '92 a homogeneous stratocumulus cloud layer above the Atlantic Ocean was overflowed at an altitude of 3.5 km. An example of the corresponding directional polarized radiance measured at  $0.865 \mu\text{m}$  is shown in Figure 1. A second example, from the CLEOPATRA '91, is plotted on Figure 2. In that case, POLDER overflowed an altostratus cloud layer over land at an altitude of about 10 km. The cloud top altitude was about 3.5 km. The typical spatial resolution was about 40 m. Typical polarization patterns, such as primary rainbow and super-numerary bows indicating narrow droplet size distribution, are clearly observed in these airborne data.

### 3.2. Ice Particles

Unlike water clouds, cirrus clouds are composed of ice crystals with extremely large variability in shape, size, and density depending on temperature and humidity in cloud. From a balloon experiment, Miloshevitch and Heymsfield [1997] have shown ice crystal replicas that represent the qualitative microphysical properties in a vertical profile through a cirrus cloud, which illustrates the diversity and complexity of ice crystals, with shape and size depending on temperature and humidity in cloud. More recent observations [Heymsfield et al., 1998; Lawson et al., 1998], based on aircraft optical probes and replicator techniques for midlatitude, tropical, and contrail cirrus, reveal that these clouds are largely composed of bullet rosettes, solid and hollow columns, plates, aggregates, with sizes ranging from a few micrometers to  $1000 \mu\text{m}$ . Some observations [Lawson et al., 1998] have shown that particles ranging from 50 to  $200 \mu\text{m}$  are mostly columns or rosettes, while particles larger than  $200 \mu\text{m}$  are usually bullet rosettes. Heterogeneous nucleation via freezing of previously condensed supercooled water droplets could lead to spheroidal shapes. However, other observations providing high-resolution replicas suggest that particles smaller than  $20 \mu\text{m}$  are often plates and columns, combined with irregular and spheroidal shapes. More recently, a cloud particle imager [Lawson et al., 1998] has provided information of ice particles within Arctic cirrus. In this case, smaller particles appear to have smooth edges but depart from exact spherical shape. According to the present knowledge on cloud ice microphysics, the assumption that ice clouds are composed of nonspherical particles is quite credible.

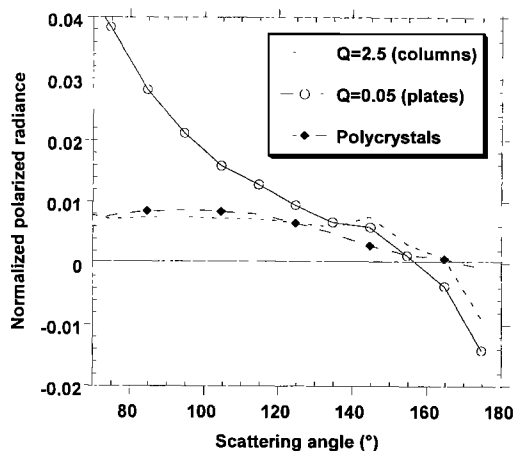


**Figure 2.** Normalized polarized radiance measured at  $0.865 \mu\text{m}$  over an altocumulus cloud during POLDER airborne experiment (CLEOPATRA, 1991). The altitude of the aircraft is 11 km.

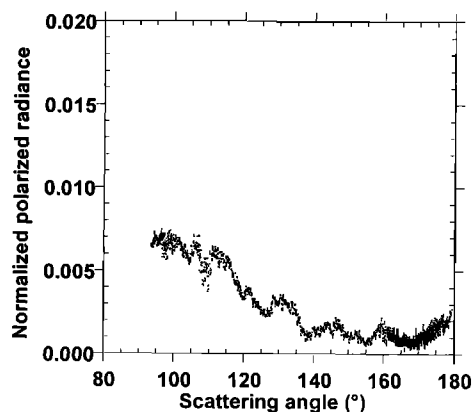
To study the sensitivity of the polarization signature to the shape of ice cloud particles, different ice crystals have been used. Because in nature, ice crystals grow in hexagonal structure, the hexagonal shape is commonly used to simulate ice crystal properties: hexagonal columnar or plated monocrystalline particles [e.g., Brogniez, 1988; Takano and Liou, 1989], and polycrystalline particles [Macke et al., 1996], both randomly oriented in space. For such particles, computations of optical properties are based on a ray-tracing method supplemented by calculations of the Fraunhofer diffraction. These hexagonal crystals are described by their aspect ratio  $Q = L/D$ , where  $L$  and  $D$  are the length and the diameter of the crystal, respectively, and by  $R_{eq}$ , the radius of an equivalent spherical particle with the same volume. These calculations are valid only in the geometrical optic approximation, i.e., radius  $R_{eq}$  greater than  $15 \mu\text{m}$  for visible wavelengths. In the present study we consider three types of hexagonal monocrystals: plate ( $Q = 0.05$ ), compact ( $Q = 1$ ), and columnar ( $Q = 2.50$ ). Polycrystalline particles [Macke et al., 1996] have also been considered. For all these crystals presented above, the radius  $R_{eq}$  is equal to  $20 \mu\text{m}$ .

In the case of randomly oriented particles the radiative transfer code used to compute polarized radiance is an adding-doubling code [De Haan et al., 1986], taking into account molecular and aerosol scattering and the Earth's surface albedo. The optical properties of the ideal cirrus ice crystals are introduced in this code, and Stokes vectors of the upwelling radiance in the viewing direction relatively to the incident one are then computed (Figure 3). The radiative transfer computations show that the main features, within the range of a scattering angle that can be observed by the radiometer POLDER, are (1) positive polarization, (2) general decrease of the polarization for increasing scattering angle, (3) neutral point for scattering angle about  $160^\circ$ , which may shift slightly depending on the cloud microphysics [Masuda and Takashima, 1992].

According to airborne observations acquired during EUCREX (European Cloud and Radiation Experiment) in April 1994, polarized measurements allow the characterization of cirrus clouds. A homogeneous cirrus cloud layer above the Atlantic Ocean was overflown at an altitude of 11 km. An example of directional polarized radiance measured at  $0.865 \mu\text{m}$  is plotted in Figure 4. As for water droplet clouds, the observations agree well with radiative transfer simulations and



**Figure 3.** Simulated normalized polarized radiance for cloud composed of crystals (ice phase) for three size particle shapes. Sun zenith angle  $\theta_s$  is  $55^\circ$ ,  $\tau = 2$  at  $0.865 \mu\text{m}$ .



**Figure 4.** Normalized polarized radiance measured at  $0.865 \mu\text{m}$  over a cirrus cloud during POLDER airborne experiment EUCREX '94.

clearly demonstrate the difference between directional polarization features associated to liquid (Plates 1 and 2 and Figures 1 and 2) and ice phase (Figures 3 and 4).

### 3.3. Multilayered Cloud

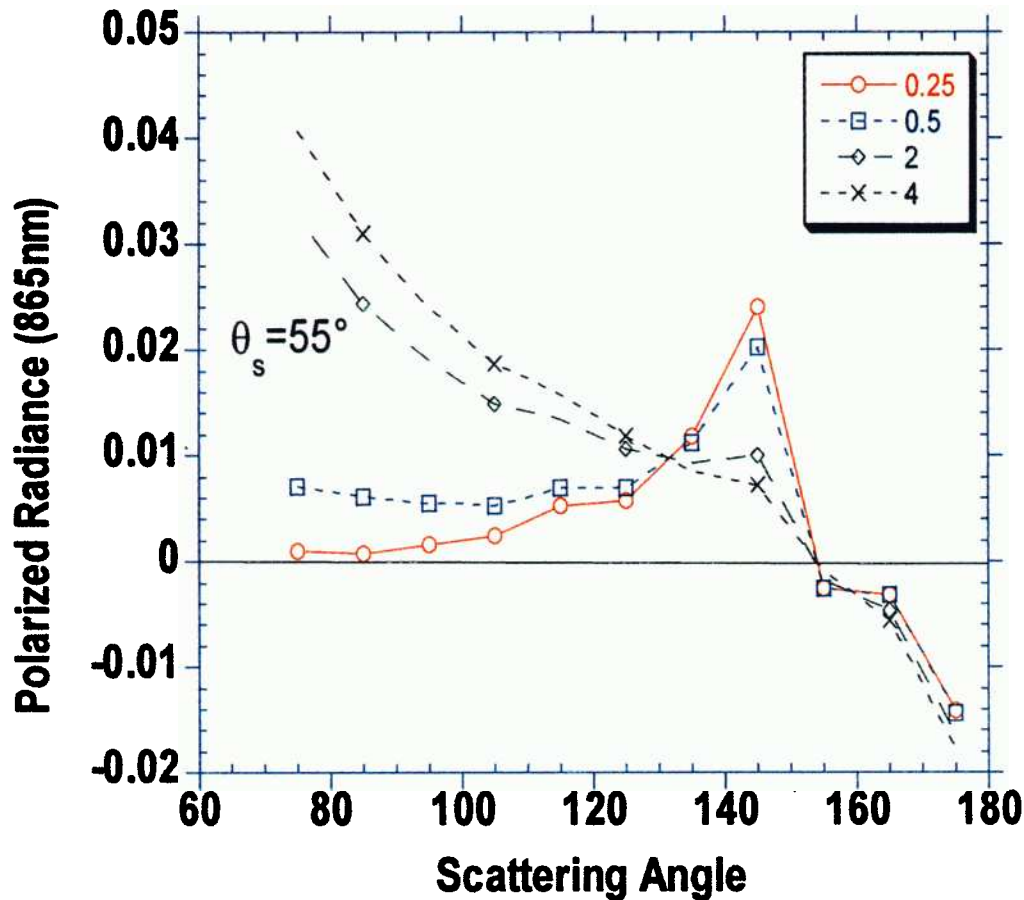
Ice clouds are often located above thick water clouds. In this case, the polarized radiance will mainly depend on the upper cirrus optical thickness. To study the sensitivity of the polarized signal to the ice cloud optical thickness, we have simulated an atmosphere composed of two layers: (1) the lower layer corresponds to a water cloud (spherical particles) with an optical thickness of 15 and (2) the upper layer is composed of ice hexagonal particles randomly oriented in space with an optical thickness ranging from 0.25 to 4.

Plate 3 shows the resulting bidirectional polarized radiance as it could be measured with POLDER. This figure clearly shows that the intensity of the primary rainbow peak ( $\Theta \sim 140^\circ$ ) decreases when the cirrus cloud optical thickness increases. Moreover, the positive slope corresponding to liquid cloud for  $\Theta < 110^\circ$  disappears only when the ice cloud optical thickness is larger than 2.

On the basis of these simulations a clear discrimination between ice and liquid cloud derived from polarized observations needs a cirrus optical thickness higher than 2, in the case of multilayered clouds. Otherwise, ice phase should be correctly identified. The principle of cloud phase retrieval is presented in section 4.

## 4. Principle of Cloud Phase Classification Algorithm

In the POLDER cloud analysis the cloud detection and the derivation of its properties (cloud optical thickness and albedo, cloud top pressures, cloud phase) are separated. Cloud detection is based on visible and near-infrared measurements. Sequential tests are applied to each individual pixel as described by Buriez [1997]. The first one is based on the apparent pressure, which is related to oxygen transmission derived from the ratio between radiances measured in the  $0.763$  and  $0.765 \mu\text{m}$  channels [Fischer and Grassl, 1991; Parol et al., 1994]. The second test is based on the comparison of the measured reflectance with clear-sky reference. The third test is a polarization test performed in the  $0.443 \mu\text{m}$  band where the polarized



**Plate 3.** Bidirectional polarized radiance simulated for a two cloud layer system. The lower layer is a liquid cloud (spherical particles, and  $\tau = 15$ ). The upper layer is composed of hexagonal particles with various optical thicknesses ( $\tau = 0.25$ –4).

radiance is proportional to the cloud top pressure. The last test makes use of polarization information in the  $0.865 \mu\text{m}$  channel where the water droplet primary rainbow can be detected. A combination of the four previous tests defines the cloud flag. If the pixel is flagged as “cloudy,” then the cloud phase detection is applied.

According to the previous considerations on cloud polarization characteristics (section 3), confirmed by airborne data, the polarized component of the light reflected by cloud exhibits quite different features for ice and liquid phases. This is illustrated in Plate 4, where both total and polarized radiance images are presented. Plate 4 is a three color composite (blue,  $0.443 \mu\text{m}$ ; green,  $0.670 \mu\text{m}$ ; red,  $0.865 \mu\text{m}$ ) of the radiance measured by POLDER over a  $1600 \times 2200 \text{ km}^2$  area located across the Atlantic Ocean. The black/white lines overlaid on the images indicate the scattering angle in  $10^\circ$  increments as well as the solar principal plane. The top figure shows the total radiance and is similar to what would be observed with an instrument without polarization capabilities. The bottom figure displays for the same area, the polarized component of the total radiance. The white band along the  $140^\circ$  scattering-angle line, corresponds to a maximum in the polarized radiance, which is characteristic of water droplets with a radius larger than the wavelength. This feature is an unambiguous indication of liquid water droplets. For the same scattering angle, a dark/brown zone (low polarization), when associated to cloudy pixels, indicates the presence of ice particles alone in the at-

mosphere or overlapping low-level clouds, as can be seen here in Plate 4b near the Sun principal plane.

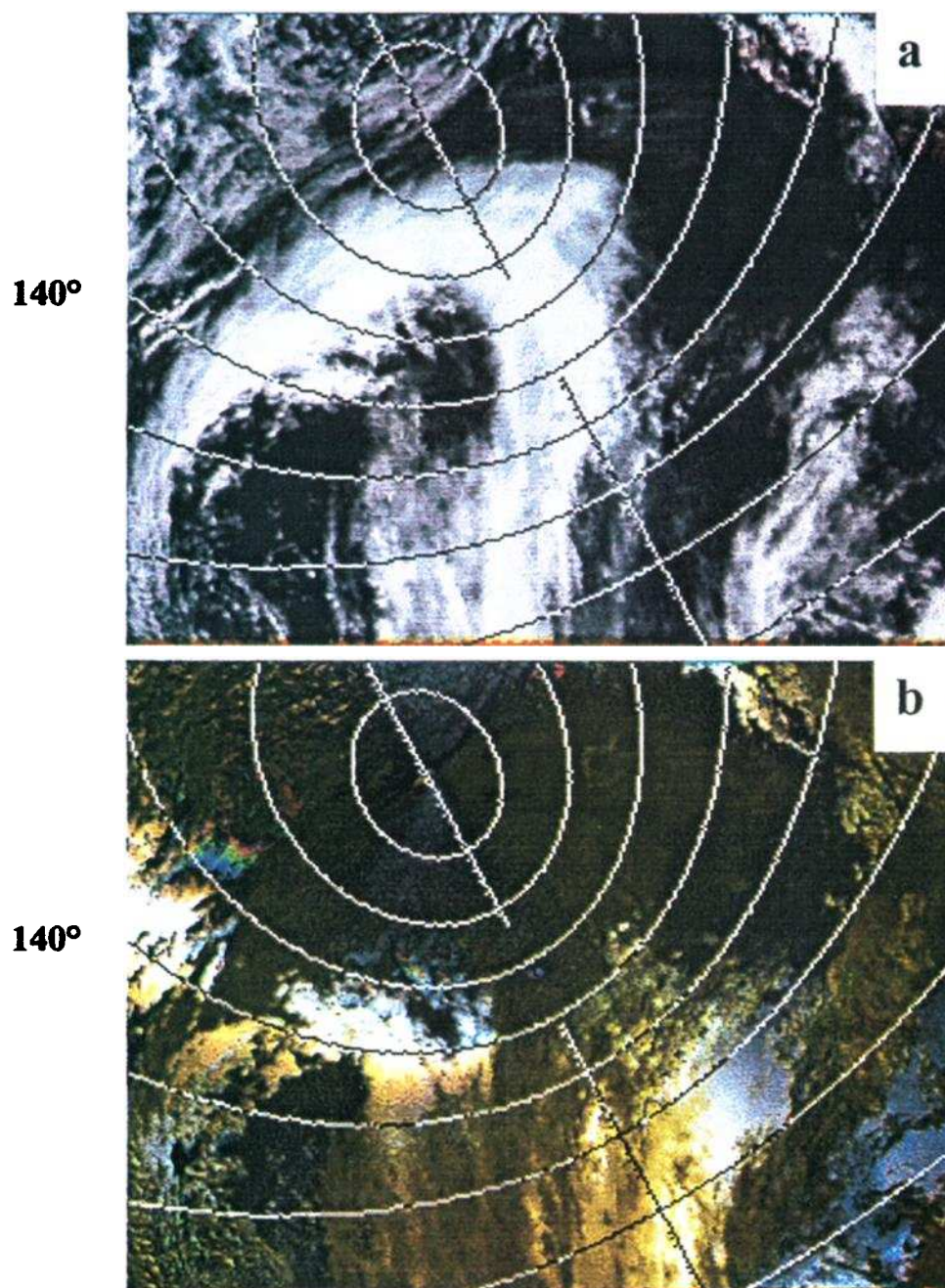
The algorithm consists of three tests on the polarized radiance at  $865 \text{ nm}$ , using three specific angular ranges. As molecular contribution is rather weak at this wavelength, it is neglected. For scattering angle  $\Theta$  within  $60^\circ$ – $140^\circ$  the angular slope is positive for water droplet and negative for ice particles (Plate 5). For scattering angle  $\Theta$  within  $140^\circ$ – $180^\circ$  the angular slope is negative both for water droplet and ice particles, but the standard deviation  $\sigma$  of the least squares fit is typically 10 times larger for liquid than for ice. Thus the slope  $a$  and the standard deviation  $\sigma$  (equation (6)) of the least squares fit are used to make discrimination between “ice” and “liquid” phase.

$$\sigma = \sqrt{\frac{1}{N_{\text{dir}}} \sum_1^{N_{\text{dir}}} (L_{\text{pol}}^{\text{meas}} - a \cdot \Theta - b)^2}, \quad (6)$$

where  $N_{\text{dir}}$  is the number of available direction in the corresponding angle range. On the typical signatures presented in Plate 5 the slopes are also different within the  $140^\circ$ – $180^\circ$  range; however, the standard deviation appears to be a better parameter. Finally, if the particular  $135^\circ$ – $145^\circ$  range is completely observable, the presence or lack of the primary rainbow can be detected using a simple threshold on  $L_p$ .

Thresholds on “slope”  $a$  and “standard deviation”  $\sigma$  have been checked by comparing to the Meteosat cloud classification derived from the “dynamic clustering method,” [Sèze and Desbois,



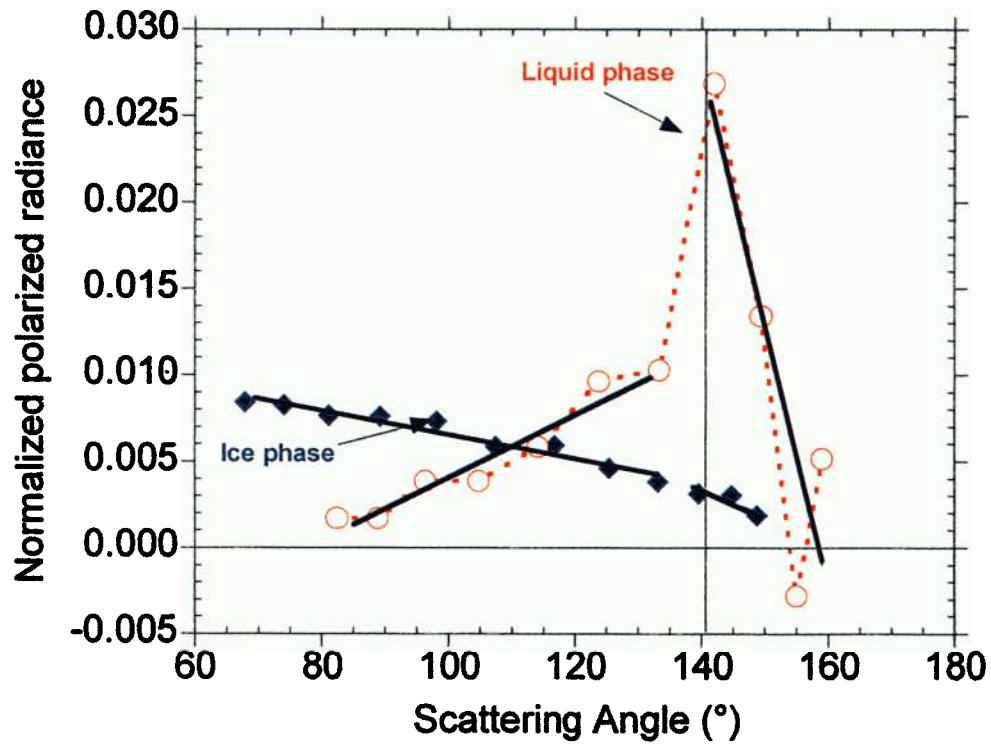


**Plate 4.** Illustration of the cloud thermodynamical phase recognition. These images are a three color composite (blue,  $0.443\ \mu\text{m}$ ; green,  $0.670\ \mu\text{m}$ ; red,  $0.865\ \mu\text{m}$ ) of the radiance measured by the instrument CCD detector over a  $1600 \times 2200\ \text{km}^2$  area located over the Atlantic Ocean. The black/white lines overlaid in the figure indicate the scattering angle in a  $10^\circ$  increment as well as the principal plane. The top image (a) shows the total radiance and is similar to what would be observed with an instrument without polarization capabilities. The bottom image (b) corresponds to the exact same area but displays the perpendicular component of the polarized radiance. For each spectral band, the scale varies from 0 to 0.80 in radiance and from 0 to 0.08 in polarized radiance.

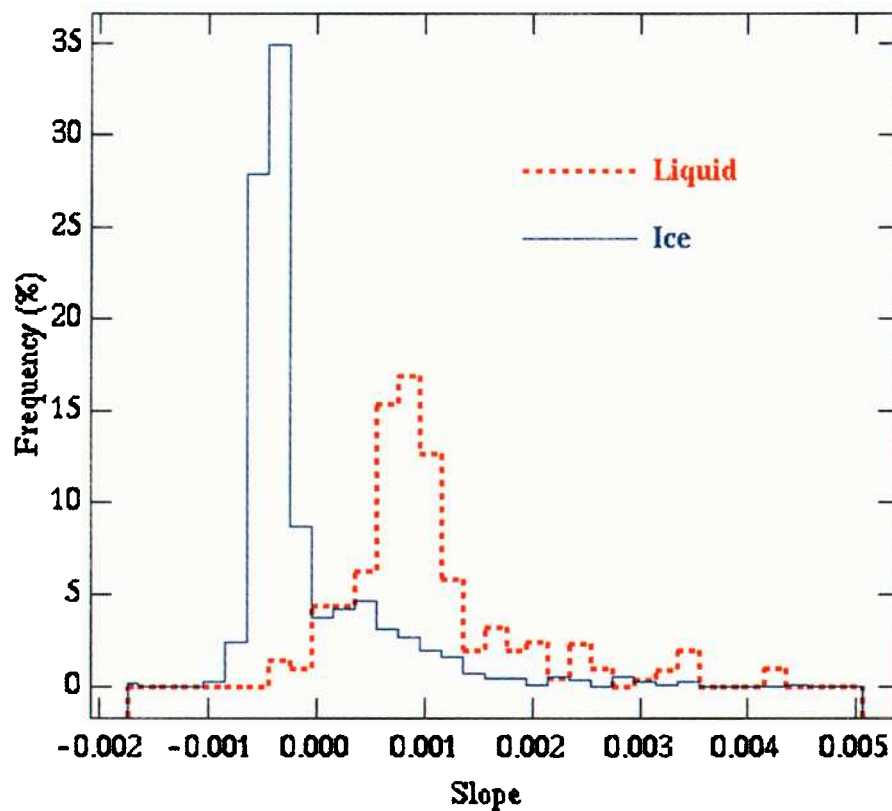
1987]. Cloud classifications have been applied to Meteosat data acquired in coincidence with POLDER. We have selected a “high-thick and homogeneous” cloud in the Meteosat data for the ice test and “low-middle-level” clouds for the liquid test. For these two classes we have plotted in Plates 6 and 7 the histograms for a slope in the  $60^\circ$ – $140^\circ$  range and for standard deviation in the  $140^\circ$ – $180^\circ$  range. We clearly observe that the two thermodynamical phases are well separated and that ice is well defined.

More than 80% of high-thick-homogeneous cloud cases have a negative slope and 95% of low/middle level have a positive slope. We also observe that 80% of high-thick-homogeneous clouds have a standard deviation smaller than  $10^{-5}$  and more than 90% of low-middle-level clouds have a standard deviation greater than  $10^{-5}$ . A combination of the slope and standard deviation tests with the result of the test on the primary rainbow gives the cloud thermodynamical phase index.

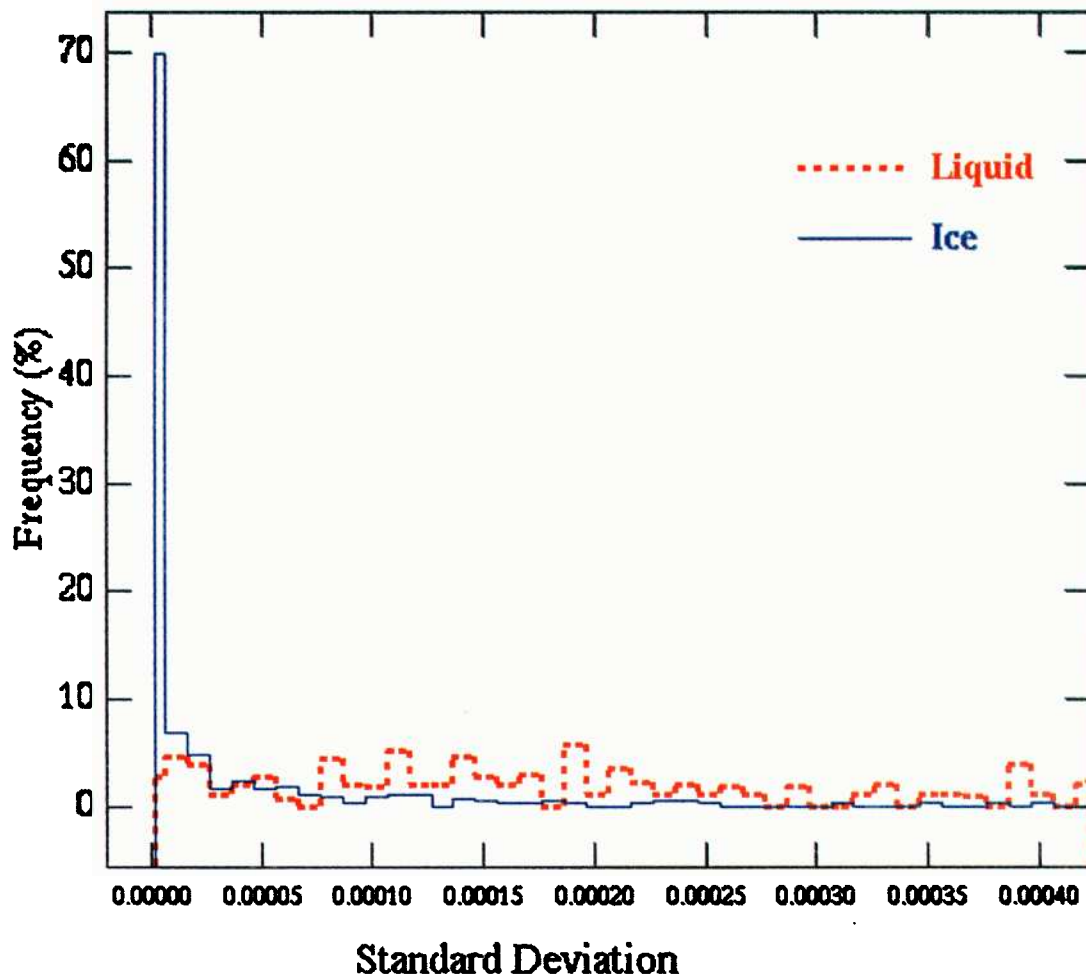




**Plate 5.** Examples of directional polarization samples at  $0.865 \mu\text{m}$  measured by POLDER over Lille (north of France) for cirrus cloud and liquid cloud. Solid lines correspond to linear fit of measurements for the two scattering-angle ranges  $60^\circ$ – $140^\circ$  and  $140^\circ$ – $180^\circ$ .



**Plate 6.** Slope histogram for the  $60^\circ$ – $140^\circ$  scattering-angle range (orbit 3108, November 10, 1996).



**Plate 7.** Standard deviation histogram for the 140°–180° scattering-angle range (orbit 3108, November 10, 1996).

Note that the POLDER angular coverage, in terms of available scattering angles, depends on the latitude and the season. The most complete POLDER angular sampling can give access to a large range of scattering angles. In some cases, only one or two of the three angular ranges are available.

## 5. Accuracy of Thermodynamical Phase Retrieval

The quality of the POLDER thermodynamical phase retrieval is difficult to assess because the present phase retrieval algorithms are mainly based on infrared measurement that cannot lead unambiguously to the cloud phase. Current methodologies for retrieving cloud top phase are based mainly on infrared measurements or bispectral methods [Inoue, 1985; Saunders and Kriebel, 1988; Nakajima and King, 1989] using a threshold on the brightness temperature difference between AVHRR channels 4 and 5. Multispectral techniques based on near-infrared (0.75 and 1.64  $\mu\text{m}$ ) and infrared (11  $\mu\text{m}$ ) measurements have been shown to be reliable to make cloud phase discrimination [King *et al.*, 1992]. In this context, polarimetric observations, from which cloud top phase is derived, are original. In the paper we have checked the validity of POLDER against ground-based lidar observations and cloud classification applied to Meteosat data.

### 5.1. Validation Against Ground-based Lidar Network

The comparison between POLDER and lidar ground-based observations concerns only high-level cloud cases with a temperature lower than 233 K and where no low-level liquid clouds were present [Chepfer *et al.*, 1998]. The different spatial resolution of lidar and POLDER was taken into account by selecting spatially homogenous areas and temporally stable cloud layers. Within the whole available POLDER data set matching the lidar observations, 148 cases correspond to cloudy observations performed at several places, mainly in Paris (France), ARM sites (United States and the Pacific Ocean), and Buenos Aires (Argentina). When the cirrus optical thickness is not too large, cloud top altitude can be inferred from lidar measurements. Then the use of simultaneous radio sounding yields the cloud top temperature. Among these data sets, 32 cases correspond to cirrus temperatures lower than 233 K. The analysis shows that most of these cases (95%) are declared as “ice” cloud by POLDER [Chepfer *et al.*, 1998]. We assume that only ice phase is present when the temperature is lower than 233 K, which is the temperature at which water spontaneously solidifies. Among the same data sets, 32 other cases corresponding to cloud top temperature within the range 233–253 K are declared ice (69%), “liquid” (6%), and “mixed phase” (25%) by POLDER. Within the rest of the data, associated to a

**Table 1.** Occurrence Frequency of Ice and Liquid Phase As Derived From POLDER Versus Meteosat Cloud Type

Type of Cloud	Ice Occurrence, %	Liquid Occurrence, %
Very thin cloud	69	31
Low-level cloud	4	96
Middle-level cloud	10	90
Multilayered cloud	22	78
Cirrus	62	38
High-thick homogeneous cloud	80	20
High-thick heterogeneous cloud	13	87

temperature greater than 253 K, 30% are declared ice, 20% mixed, and 50% liquid. Despite the comparatively limited number of matching data, the results indicate that when the temperature is lower than 233 K, the ice phase is clearly identified by polarimetric measurements. When the temperature is greater than 233 K, POLDER retrievals exhibit sometimes ice phase, but occurrence of liquid water increases when the temperature increases. The results of this study do not demonstrate rigorously the validity of the cloud thermodynamical phase retrieval. Nevertheless, the thermodynamical phase inferred from POLDER is a first attempt to derive cloud phase from polarized observations. In the future the POLDER-2/ADEOS-2 thermodynamical phase retrievals will be certainly more completely validated by comparisons with (1) the growing ground-based lidar network that will allow to increase the number of validation data, (2) lidar depolarization measurements giving the particles shape [Sassen *et al.*, 1991], (3) other passive spaceborne instruments such as MODIS in 1999, (4) lidar in space (PICASSO-CENA in 2003) which will provide global thermodynamical phase retrieval from linear depolarization measurements.

## 5.2. Comparison With Cloud Classification

The POLDER thermodynamical phase retrieval was compared also with statistical cloud classifications resulting from visible (0.4–1.1  $\mu\text{m}$ ) and infrared (10–12  $\mu\text{m}$ ) Meteosat measurements. The comparison is preliminary, because it was temporally and spatially restricted to one Meteosat image in coincidence with three POLDER orbits and only restricted to oceanic observations. The dynamic clustering method [Séze and Desbois, 1987], based on statistical properties of visible and infrared radiances, has been applied to the Meteosat data acquired November 10, 1996. For the main Meteosat “cloud types,” occurrence frequencies of ice phase and liquid phase derived from POLDER are presented in Table 1.

The “very thin cloud” type exhibits mostly ice phase (~70%) according to polarimetric signature. The 30% of liquid clouds can be explained by the fact that this cloud type includes not only very thin cirrus but also thin edges of liquid clouds.

The low-level, middle-level, and multilayered classes are essentially composed of liquid clouds. The occurrence of ice cloud in these classes is marginal and minimum for the low-level clouds (4%). This occurrence is doubled when considering middle-level cloud class (10%) and increases again up to 22% in the case of multilayered clouds. These results are understandable, when considering that the higher clouds are located in the atmosphere, the higher the probability for ice phase is. Another reason is that as explained in section 3.3, in the case of relatively thin cirrus overlapping low-middle-level clouds, the polarized signature of the lower layer cloud (liquid)

is still observable from satellite and can bias the thermodynamical phase retrieval by favorizing the liquid phase.

Comparison between “cirrus” class and thermodynamical phase occurrence indicates that about 62% of this class are considered as ice clouds and 38% as liquid clouds. The non-negligible part of this cloud class considered as composed of liquid is somewhat a surprising result. Three reasons may be put forward: the first one is that cirrus clouds may be composed of spherical ice particles, but this is not in agreement with most in situ observations. The second reason is that monospectral infrared measurements cannot yield unambiguously the cloud thermodynamical phase. The presence of liquid water at low temperature (supercooled water) may also explain these results. When investigating in detail the “cirrus” class, especially when focusing on “thick cirrus,” we surprisingly observed that 55% of this subclass is composed of liquid water. One possible explanation is that thick cirrus may correspond in some cases to multilayered systems, with ice in the upper layer. In this case and for the upper cloud optical thickness smaller than 2, as explained previously, the algorithm may retrieve liquid water.

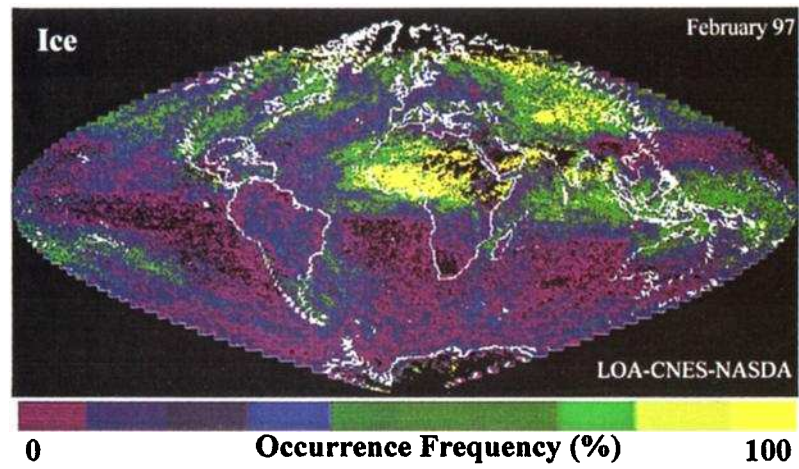
The last class presented is the high-level cloud class. The cloud classification method distinguishes two separate classes: high-level, thick and heterogeneous clouds on the one hand and high-level, thick and homogeneous clouds on the other hand. The results of the phase occurrence for these two classes are distinguished in Table 1. First, let us consider the high-level, thick and homogeneous class. In more than 80% of the cases, these clouds are considered as composed of ice particles according to polarimetric observations. As explained in section 4, this particular class was used to check the different thresholds in the algorithm, and histograms shown in Plates 6 and 7 indicate unambiguous ice phase retrieval. For the second class, called high-level thick and heterogeneous clouds, the available observations exhibit a completely opposite trend. Most of these clouds are detected as composed of liquid water (87%), which is surprising when compared to the previous class. One possible interpretation is that this class corresponds to multiple cloud layers (convective cloud with relatively thin cirrus layers in the upper layer). However, this result requires a further investigation (more Meteosat data and synergy with other sensors/techniques), which is out of the scope of the present paper.

## 6. Example of Geographic Distribution of Cloud Thermodynamical Phase

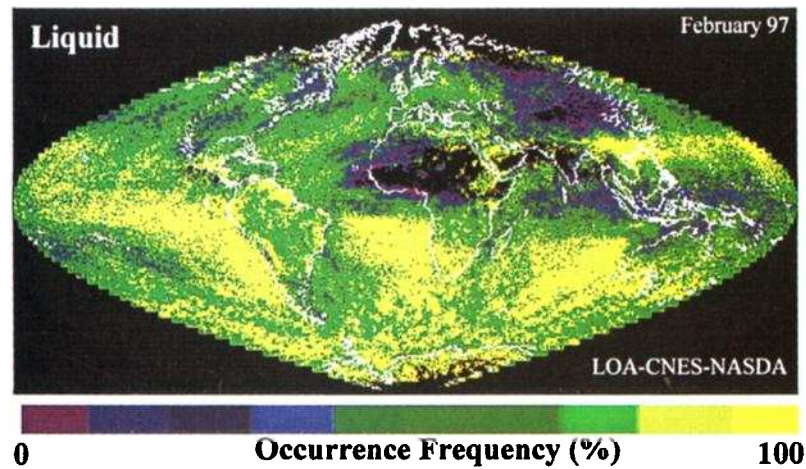
We present, finally, one example of geographical phase distribution as derived from POLDER. Its major features are briefly commented and qualitatively compared with general features previously derived from other independent sensors/techniques [Rossow *et al.*, 1996; Doutriaux-Boucher and Séze, 1998; Wylie and Wang, 1997; Liao *et al.*, 1995; Jin *et al.*, 1996].

Plates 8 and 9 are the maps of the occurrence frequency for ice and liquid phase, respectively, for February 1997 (boreal winter). The spatial resolution for these maps is the standard POLDER level 2 cloud product resolution, which is about 60 km  $\times$  60 km. The occurrence frequency of an ice (resp. liquid) cloud, is defined by the number of observed ice (resp. liquid) clouds over the number of cloudy observations. The number of cloudy observations for the period is presented in Plate 10; some areas, such as the Sahara, Arabia, or India, are very often cloud free.

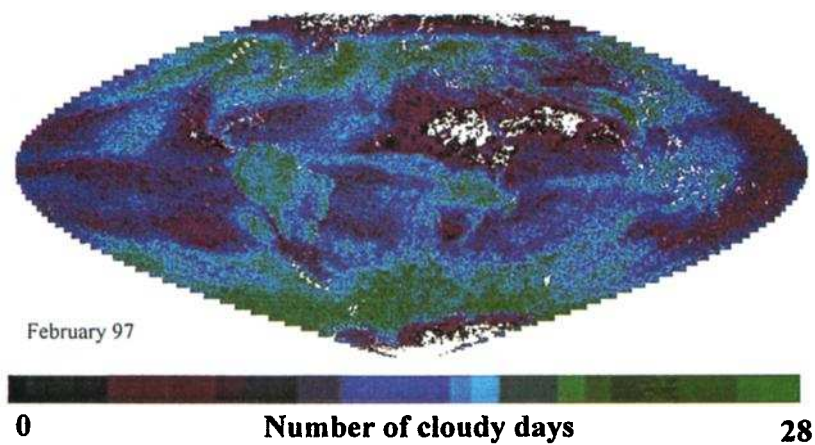
The average occurrences for February have been derived from these maps. Over land and ocean, respectively, we find 39 and 27% of ice phase occurrence frequency and 61 and 73%



**Plate 8.** Example of ice phase geographical distribution for February 1997.



**Plate 9.** Example of liquid phase geographical distribution for February 1997.



**Plate 10.** Number of cloudy days for February 1997.



for liquid water cloud. The repartition between ice and liquid clouds at global scale is about 30% for ice clouds and 70% for liquid water clouds. It can be noted that ice phase is more frequent over land than over ocean, which is in agreement with previous climatologies of cloud-type distributions.

Plates 7 and 8 show that liquid water clouds are more frequent in the Southern Hemisphere, while ice clouds occur more frequently in the Northern Hemisphere. The Intertropical Convergence Zone (ITCZ) is a region where ice phase is frequently observed (Indonesia, eastern Pacific, West Africa), which is essentially due to cumulonimbus convection, injecting water vapor and ice particles into the upper atmosphere. Contrarily, in the Southern Hemisphere, eastern Pacific off South America, Atlantic Ocean off South Africa, and Indian Ocean exhibit weak ice cloud occurrence frequencies but a large occurrence of liquid water clouds. For instance, stratocumulus clouds are very present off the Angola coasts and are generally detected as liquid water clouds according to polarimetric measurements. Same frequent occurrence detection of liquid water clouds is observed off the western South American coasts. Other regions where, according to POLDER, ice phase is observed frequently are northern Asia, Russian, northern Europe, and western North America, which is also qualitatively consistent with other observations such as the ISCCP [Rossow *et al.*, 1996] and climatologies of SAGE data [Liao *et al.*, 1995], HIRS data [Wylie *et al.*, 1997], and synoptic reports [Hahn and Warren, 1998]. Preliminary comparisons between ice phase and ISCCP high-level cloud occurrences are currently investigated [Riedi *et al.*, 1999a] and show some differences that can be very likely attributed to POLDER cloud detection weakness (thin cirrus) and to the degraded spatial resolution of the phase product with respect to the sensor resolution.

## 7. Conclusions

Cloud thermodynamical phase retrieval based on near-infrared polarimetric measurements is a new method. An improved algorithm is described here. The algorithm takes advantage of the measured polarized radiance at  $0.865\ \mu\text{m}$ . Both theoretical and experimental studies show that polarized signatures of water droplets and ice particles are quite different and thus can be used to discriminate between solid and liquid phases. Satellite cloud thermodynamical phase retrieval is somewhat difficult to validate. Two attempts have been performed: one original approach, which is based on ground-based lidar network. It shows that such observations are very useful for the thermodynamical phase retrieval, even if a moderate amount of data were available and linear depolarization was not measured. This first but important result clearly indicates the direction of future works. Extension of the ground-based lidar network is, clearly, essential for cirrus cloud studies. Finally, future spaceborne lidar with depolarization measurements will be quite useful for this purpose.

Preliminary comparisons with cloud classification derived from Meteosat data show that liquid cloud (low-middle-level classes) are correctly identified by POLDER. However, some disagreements occur when considering the cirrus class. A non-negligible part of this class is detected as liquid clouds. Here may appear the major limitation of the polarimetric method, assuming Meteosat classification is right: thin cirrus overlapping the low-middle-level clouds can be interpreted as liquid water clouds. Two other limitations are (1) the efficiency of the POLDER cloud detection itself, based on visible and near-

infrared channels, which is unable to detect very thin clouds, (2) the degraded spatial resolution of the operational POLDER cloud products. Full resolution ( $6.2\ \text{km} \times 6.2\ \text{km}$ ) should improve cloud phase detection, which is known to depend on the sensitivity and size of the sensor field of view.

Complementary studies are going on in progress (1) comparison with synoptic weather reports over the whole POLDER operational period, (2) comparison with radar observations [Riedi *et al.*, 1999b]. In this case, the effect of multiple cloud layers could be studied. The use of multispectral-spectral method with OCTS (Ocean Color and Thermal Scanner) sensor also on the ADEOS satellite or other visible-thermal sensors will be also useful to better understand ice phase retrieval.

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