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# Propofol-induced GABAergic Tonic Inhibition Diminishes $\alpha$ -rhythms and Induces $\delta$ -rhythms in neuronal populations

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## Abstract

Anaesthetic agents such as propofol are known to have an effect on both synaptic and extra-synaptic receptors. On the one hand, binding of propofol to GABA<sub>A</sub> synaptic receptors induces a phasic inhibition, as opposed to tonic inhibition which seems mainly induced by binding to extra-synaptic receptors. On the second hand, under anaesthesia, an increase in amount of slow oscillations, mainly  $\delta$  (0-4Hz), concurrent to a decrease of  $\alpha$  oscillations (8-12Hz), is observed in EEG recordings of occipital areas in most mammals including humans. The latter observation cannot be explained by sole phasic inhibition. Therefore, we propose to investigate, through numerical simulations, the role of tonic inhibition in the increase (in amount) of slow oscillations under propofol anaesthesia. To account for the biological realism of our simulations, the cortical model includes two neuronal populations, one excitatory modeled by Type I Leaky integrate-and-fire neurons, one inhibitory modeled by Type II Morris-Lecar neurons, the stimulations are noisy, and the intrinsic cellular properties heterogeneous. The cells are connected through exponential conductance-based synapses. We show that, in presence of tonic inhibition, 1) the oscillation frequency of the network decreases as well as subthreshold oscillations, inducing  $\delta$ -rhythms in EEG-like recordings; 2) simultaneously, the amount of  $\alpha$ -rhythms decreases supporting experimental evidence about the role of tonic inhibition.

*Keywords:* general anaesthesia, alpha oscillations, delta oscillations, numerical simulations, propofol, tonic inhibition

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### *0.0.1. Highlights*

- Tonic inhibition induces a decrease in spiking frequency of neural network activity;
- The spike synchrony of the excitatory neuronal population decreases as tonic inhibition increases;
- $\alpha$ -rhythms in neuronal subthreshold activity tend to disappear in presence of tonic inhibition;
- The peak in the power spectrum of the mean membrane voltage shifts toward  $\delta$ -frequencies with the increase of tonic inhibition.

## **1. Introduction**

Several anesthetic agents such as propofol (2,6-di-isopropylphenol) are known to elicit  $\delta$ -rhythms (2-4 Hz) (Gugino et al., 2001) in the EEG of the occipital lobe and  $\alpha$ -rhythms (10-15 Hz) in the EEG of more frontal parts of the cortex (Feshchenko et al., 2004; Gugino et al., 2001). Moreover, these phenomena are correlated with loss of consciousness. At the molecular level, propofol has been relatively well studied: the anesthetic induces inhibition effects through the binding to GABA<sub>A</sub> receptors (Houston et al., 2012). Two major effects were especially highlighted experimentally: phasic (Kitamura et al., 2003) and tonic inhibition (Glykys and Mody, 2007). In addition to the phasic inhibition obviously resulting from synaptic action, a process of tonic inhibition takes place when propofol is injected. Indeed, as the blood concentration increases, molecules bind to extra-synaptic GABA<sub>A</sub> receptors, resulting in tonic inhibitory currents (Houston et al., 2012; Glykys and Mody, 2007; Farrant and Nusser, 2005; Scimemi et al., 2005; Semyanov et al., 2004; Mody, 2001).

Although the molecular effects of propofol are relatively well known, the interplay between the microscopic effects and the macroscopic observations in EEG, reflecting neuronal population activity, remains to be elucidated.

Models of synaptic action of propofol have been recently proposed in an attempt to explain the appearance of frontal  $\alpha$ -rhythms (Ching et al.,

2010), however no model can successfully reproduce the important amount of occipital  $\delta$ -rhythms found under general anaesthesia nor the decrease of  $\alpha$ -rhythms. The latest model included only synaptic effects of propofol, but never took into account consequences of extra-synaptic bindings. Hence, a potentiation of GABA<sub>A</sub> synaptic current, only, does not seem to account for the latter phenomenon.

The present work uses a computational model and numerical simulations to demonstrate, through tonic inhibition, that binding to extra-synaptic receptors has non negligible effects at the neural network level under propofol anaesthesia. The model shows different effects of tonic inhibition, both on spiking and neuronal subthreshold activity: 1) the mean spiking activity is decreased by tonic inhibition, 2) in our study, spike synchrony of excitatory cells decreases as propofol concentration increases, 3) the amount of alpha oscillations in EEG-like signals decreases when tonic inhibition increases, 4) it induces an increase in the ratio between the amount of  $\delta$ -oscillations and  $\alpha$ -oscillations.

The paper is organized as follows: TODO: Annonce de plan

## 2. Material and methods

### 2.1. Neuron models and tonic inhibition

On the one hand, tonic inhibition apparently is mainly due to the presence of extra-synaptic receptors (Houston et al., 2012; Glykys and Mody, 2007; Farrant and Nusser, 2005; Scimemi et al., 2005; Semyanov et al., 2004; Mody, 2001). On the other hand, we know that extra-synaptic receptors are found on inhibitory as well as on excitatory neurons and, therefore, that tonic inhibition affects the two types of neuronal populations in different brain areas (Song et al., 2011; Belelli et al., 2009; Kullmann et al., 2005). Our modeling thus takes into account the effects of tonic inhibition on two different neuronal populations: pyramidal cells ( $400 \mu m^2$ ) modeled by Type I excitatory cells according to a Leaky-Integrate-and-Fire (LIF) neuron published in (London et al., 2008) and interneurons modeled by Type II inhibitory cells described by the Morris-Lecar model (Borisyuk and Rinzel, 2005).

Shunting/tonic inhibition occurs when the equilibrium potential of the tonic inhibition terms is very close to the resting potential of the cell; here, we model tonic inhibition by setting a pair of equilibrium potential and maximal conductance for the excitatory cells and a pair for the inhibitory cells. The

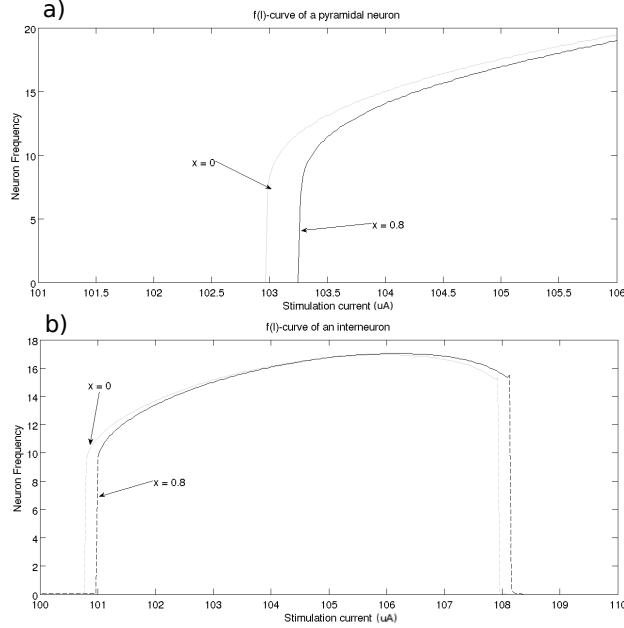


Figure 1:  $f(I)$  curves – Effects of tonic inhibition.  $x$  represents the amount of tonic inhibition in  $\mu\text{S}$ : here  $g_{ton1} = 20x \mu\text{S}$  namely  $6\mu\text{S}$  and  $16\mu\text{S}$ , and  $g_{ton2} = 100x \mu\text{S}$  namely  $30\mu\text{S}$  and  $80\mu\text{S}$ .

equations of the two neuron models including tonic inhibition are provided below.

## 2.2. Equations of the Leaky-Integrate-and-Fire model (LIF)

$$\frac{dV}{dt} = (g_L(E_L - V) + I + ge * (E_{eif} - V) + gi(E_{iif} - V) + g_{ton1}(E_{ton1} - V)) / C1 \quad (1)$$

$$dg_{syn} = -\frac{g_{syn}}{\tau_{syn}} \quad (2)$$

where  $syn = e$  or  $i$ ,

when spike,  $g_{syn} \rightarrow g_{syn} + w_{syn}$

where  $E_{ton2} = -76\text{mV}$  (resting potential of Type I neurons)  $C1 = 33.181\text{nF}$  (membrane capacitance),  $g_L = 22.88\text{nS}$ ,  $\tau_m = C1/g_L = 14.5\text{ms}$  (membrane time constant),  $E_L = -76.\text{mV}$ ,  $V_T = -58.0\text{mV}$  (threshold),  $V_r = -68.0\text{mV}$  (reset), refractory period =  $8.0\text{ms}$ .

### 2.3. Equations of the Morris-Lecar model (ML)

$$\begin{aligned} \frac{dV}{dt} &= (-g_{Ca}m_\infty(V)(V_{Ca}) - g_Kw(V - V_K) - g_L(V - E_L) \\ &\quad - g_e(V - E_e) - g_i(V - E_i) - g_{ton2}(V - E_{ton2}) + I_{app})/C\mathcal{A}3 \\ \tau_w(V)\frac{dw}{dt} &= w_\infty(V) - w \end{aligned} \quad (4)$$

$$dg_{syn} = -\frac{g_{syn}}{\tau_{syn}} \quad (5)$$

where  $syn = e$  or  $i$ ,

when spike,  $g_{syn} \rightarrow g_{syn} + w_{syn}$

where  $g_L$  is the leak conductance,  $E_L$  is the resting potential,  $g_{e,i}$ ,  $E_{e,i}$ ,  $\tau_{e,i}$  the parameters for the synapses, and  $g_{ton}$ ,  $E_{ton}$  the parameters for tonic inhibition.  $m_\infty$ ,  $w_\infty$ ,  $\tau_w$  are given by:

$$m_\infty = 0.5(1 + \tanh((V - V1)/V2))$$

$$w_\infty = 0.5(1 + \tanh((V - V3)/V4))$$

$$\tau_w = 1/(\cosh((V - V3)/(2 \times V4))) \text{ (homogeneous to time)}$$

The fixed parameter values have been chosen as following:

$E_{ton2} = -60.9mV$  (resting potential of Type II neurons)  $C2 = 20\mu F/cm^2$ ;  $V1 = -1.2mV$ ;  $V2 = 18mV$ ;  $g_K = 8mS/cm^2$ ;  $g_L = 2mS/cm^2$ ;  $V_{Ca} = 120mV$ ;  $V_K = -84mV$ ;  $V_L = -60mV$

$V3 = 2mV$ ;  $V4 = 30mV$ ;  $g_{Ca} = 4mS/cm^2$ ;  $\varphi = 0.04$ ;

The firing profiles of the two neurons are shown in Fig ??, Fig ?? without and with different amounts of tonic inhibition. At the cellular level, we notice a shift of the f(I)-curves to the right as tonic inhibition increases.

### 2.4. Noise and heterogeneity

#### 2.4.1. Noisy stimulations

The external input current applied during the simulations is given by constant values disturbed by white noise the following way:

$$I_{app} = I_0 + \mathcal{W}[-60.0 \mu A; 60.0 \mu A](t, neuron) \text{ (for Type II neurons)}$$

$$I_{app} = I_{constant1} + \mathcal{W}[-2.0 \mu A; 2.0 \mu A](t, neuron) \text{ (for Type I neurons)}$$

where  $I_{\text{constant1}}$  is a constant value fixed for each simulation and  $I_0$ , defined below, depends on a constant value fixed for each simulation. This means that, for the values of  $I_0$  and  $I_{\text{constant1}}$  we use, the firing frequencies of the neurons in the network range from 0 to 17 Hz, which is a rather high level of noise.

#### 2.4.2. Heterogeneity

Heterogeneity can be added in the following way:

- For Type II  
we add a spatial (i.e. over neurons) perturbation to the external input  $I_{\text{app}}$  such that:  $\forall i \in \{\text{Neurons}\} I_0(i) = I_{\text{constant2}} + \mathcal{N}(0, \epsilon_2)$  (in  $\mu A$ ), where  $\epsilon$  and  $I_{\text{constant2}}$  are constant values fixed for each simulation.
- For Type I  
we add a spatial (i.e. over neurons) perturbation to the firing threshold such that:  $V_T = -49 + \mathcal{N}(0, \epsilon_1)$  (in mV)

For the results shown in the present article, we chose  $\epsilon_2 = 2$  and  $\epsilon_1 = 0.0001$ .

#### 2.5. The neuronal network

1000 (750 LIF excitatory Type I and 250 ML inhibitory Type II) neurons are randomly connected with exponential synapses and the following parameters:

$$\begin{aligned}
 \tau_e &= 5ms \text{ (excitatory time constant)} \\
 \tau_i &= 20ms \text{ (inhibitory time constant)} \\
 w_{ee} &= 0.005mS \text{ (excitatory synaptic weight to excitatory neurons),} \\
 w_{ie} &= 0.008mS \text{ (inhibitory synaptic weight to excitatory neurons)} \\
 w_{ei} &= 0.4mS \text{ (excitatory synaptic weight to inhibitory neurons)} \\
 w_{ii} &= 0.5mS \text{ (inhibitory synaptic weight to inhibitory neurons)} \\
 E_i &= -27.mV \\
 E_e &= 0mV \text{ (excitatory resting state)} \\
 E_{iif} &= -75mV
 \end{aligned}$$

The time constant of the synapses correspond, for the excitatory neurons, to those found in NMDA-type synapses, and for the inhibitory, to those found in GABA<sub>A</sub> synapses.

The connectivity, very sparse, reflects cortical connectivity (Binzegger et al., 2004). We used the following probabilities of connections:

- inhibitory neurons to inhibitory neurons: 0.05
- inhibitory neurons to excitatory neurons: 0.02
- excitatory neurons to inhibitory neurons: 0.01
- excitatory neurons to excitatory neurons: 0.005

## 2.6. Analysis tools

### 2.6.1. Coherence Measure

Several ways of calculating the coherence of neural network activity have been described in the literature. The method that we have retained is the coherence measure,  $\kappa$ , based on the normalized cross-correlation of neuronal pairs in the network and described in Wang and Buzsáki (1996). The coherence of two neurons  $x$  and  $y$  is calculated as following by the cross-correlation of their spike trains represented by  $X$  and  $Y$  within a time bin,  $\tau_{\text{bin}}$  over a time interval  $T$ :

$$\kappa_{xy}(\tau_{\text{bin}}) = \frac{\sum_{l=1}^K X(l)Y(l)}{\sqrt{\sum_{l=1}^K X(l) \sum_{l=1}^K Y(l)}}$$

The spike trains are given by:  $X(l) = 0$  or  $1$  and  $Y(l) = 0$  or  $1$ ,  $l = 1, 2, \dots, K$  ( $K = \tau_{\text{bin}}/T$ ).

The population coherence measure,  $\kappa(\tau_{\text{bin}})$ , that one will be talking about farther, is defined by the average of  $\kappa_{xy}(\tau_{\text{bin}})$  over many pairs of spike trains in the network. Therefore, when  $\tau_{\text{bin}}$  is very small, a strong synchrony makes  $\kappa(\tau_{\text{bin}})$  close to 1, and the more  $\kappa(\tau_{\text{bin}})$  is close to 0, the less neuronal activity is synchronized.

### 2.6.2. Power spectrum

TODO describe it here ?



### 3. Results

Propofol has the property to change spiking activity, exerting either inhibition or a paradoxical excitation. It is also known that anesthetic agents induce changes in EEG recordings, therefore, we can deduce that the subthreshold activity of excitatory neurons is disturbed by anesthetics. Indeed EEGs mainly reflect the subthreshold activity of excitatory neurons whose axons are perpendicular to the scalp. A good way to verify whether tonic inhibition can induce a) such changes in EEGs (mentioned in the introduction) and b) a decrease in spiking activity, is to look at a) the power spectra of the membrane voltages of excitatory neurons, and b) at the raster plots and the synchrony of inhibitory and excitatory populations. The results presented here are power spectra of EEG-like signals and raster plots generated with the model presented in section 2.

The effect of tonic inhibition on single neuron firing is illustrated in Fig. 2.1: for both our interneurons and pyramidal cells, the firing response as a function of the stimulation current is shifted to the right with the adjuaction of tonic inhibition, meaning that a larger stimulation current is necessary to elicit spikes in presence of tonic inhibition, and that, for the pyramidal cells, the stimulation current has to be larger with tonic inhibition than without in order to maintain the same firing frequency. This, of course has consequences on neuronal population activity, which will be described in section 4.

Fig 2 illustrates the behavior of the network when propofol is injected: Fig 2a) shows the spiking activity and the power spectrum of the subthreshold activity in absence of anesthetics, in Fig 2b), a small amount of anesthetics has been added through a tonic inhibition of  $6 \mu\text{S}$  and  $30 \mu\text{S}$  for the excitatory and the inhibitory populations respectively; Fig 2c) gives an example of the neuronal activity at higher doses of propofol ( $g_{ton1} = 16 \mu\text{S}$  and  $g_{ton2} = 80 \mu\text{S}$ ). The power spectra are computed from the average membrane voltage of all excitatory neurons for 5 different simulations with different initializations (noise, heterogeneity, and synaptic connections), then averaged over the 5 simulations.

In absence of tonic inhibition, the network displays synchronized patterns of oscillations at 9.5 Hz ( $\alpha$ -band) visible in the raster plots of the two neuronal populations and that also show up in the power spectrum of the membrane voltage of the pyramidal neuronal population (Fig 2a). When weak tonic inhibition is added (Fig 2b), according to the raster plots, the synchrony gets visibly lower and the activity sparser (a more objective measure of syn-

chrony/coherence is given in figure 3); the peak in the power spectrum starts to shift to left, meaning that the amplitude of the alpha-rhythms decrease. As tonic inhibition keeps increasing, the spiking activity gets even sparser, with an extinction of  $\alpha$ -oscillations and a clear rise of the amount of  $\delta$ -rhythms (Fig 2c). Finally, when the concentration of propofol (modeled by  $x$ ) is even higher ( $x = 1.2$ ), the excitatory neuron’s activity stops and only the inhibitory neurons stay active for a transient period before the neuronal activity totally dies out with important propofol doses (not shown here).

Note about tonic inhibition: You can notice that the conductance of tonic inhibition chosen for inhibitory cells is higher than for excitatory cells, which is consistent with the observation that the tonic GABA<sub>A</sub> current usually is significantly smaller than that in interneurons (Song et al., 2011; Scimemi et al., 2005), at least in the hippocampus.

To quantify the effect of extra-synaptic binding on EEG changes, we plotted the ratio between the amount of  $\delta$ -, *theta*- and  $\gamma$ -rhythms, and the amount of *alpha*-rhythms in the subthreshold activity of the excitatory population as a function of the propofol’s dose (represented by  $x$ ) (Fig. 3b). Fig. 3 also summarizes, in a), the synchrony state of the cortical network for different  $x$ .

Fig. 3a) shows that the synchrony (measured by the coherence measure defined in section 2.6) of the excitatory population (dashed grey curve) decreases non linearly with the increase of tonic inhibition, whereas those of the inhibitory population (plain black curve) decreases with  $x$  varying between 0 and 0.45, then increases as the activity of the excitatory population gets sparser for  $x > 0.45$ . Looking at the coherence between the pyramidal and the interneuron populations (plain grey curve), one notices a maximal synchrony at about  $x = 0.16$  (zoom in not shown), then the coherence becomes weaker in conjunction with the reduction of the excitatory activity. In Fig. 3b), the ratio between the amount of  $\delta$ -rhythms and the amount of  $\alpha$ -rhythms (dashed black curve) increases as a sigmoid-like function of the propofol concentration  $x$  and becomes larger than 1 from  $x = 0.575$  (namely the quantity of  $\alpha$ -rhythms is then smaller than the quantity of  $\delta$ -rhythms); the ratio between the amount of  $\theta$  and  $\alpha$ -rhythms (plain gery curve) increases for  $x \in [0; 0.6]$ , becoming larger than 1 for  $x > 0.49$ . The curve of the  $\theta$  ratio crosses the curve of the  $\delta$  ratio at  $x = 0.65$  where the mount of delta remains higher. The ratio between  $\beta$  and  $\alpha$  (dotted grey line) is larger than the ratio

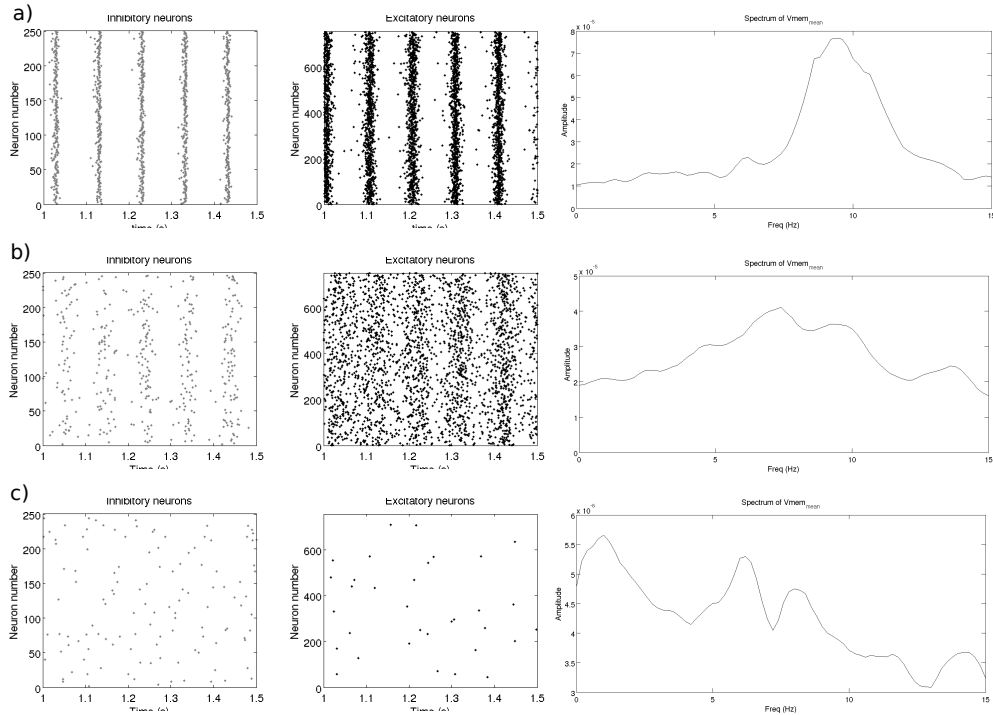


Figure 2: Raster plots of inhibitory and excitatory populations and smoothed power spectrum of the membrane voltage of the excitatory cells: a) without tonic inhibition, b) with tonic inhibition,  $x = 0.3$ ,  $g_{ton1} = 6 \mu\text{S}$  and  $g_{ton2} = 30 \mu\text{S}$ , and c) with  $x = 0.8$ ,  $g_{ton1} = 16 \mu\text{S}$  and  $g_{ton2} = 80 \mu\text{S}$ .

$\theta/\alpha$  for  $x \in [0; 0.19]$ , and larger than the ratio  $\delta/\alpha$  for  $x \in [0; 0.32]$ , then is significantly smaller than the two other ratios reaching a maximum of 0.54 for  $x = 0.8$ .

#### 4. Conclusion–Discussion

TODO interpretation:

- Shift to the right of the  $f(I)$  curve in presence of tonic inhibition implies lower firing frequency of excitatory cells. Since excitatory cells entrain the inhibitory population, the whole network fires less often: this explains the raster plots of Fig. 2 and Fig. 3a).

- Less spikes  $\Rightarrow$  decrease of  $\alpha$ , increase of  $\delta$ : explains Fig. 2 power spectra and Fig. 3b) and suggests that tonic inhibition could be at the

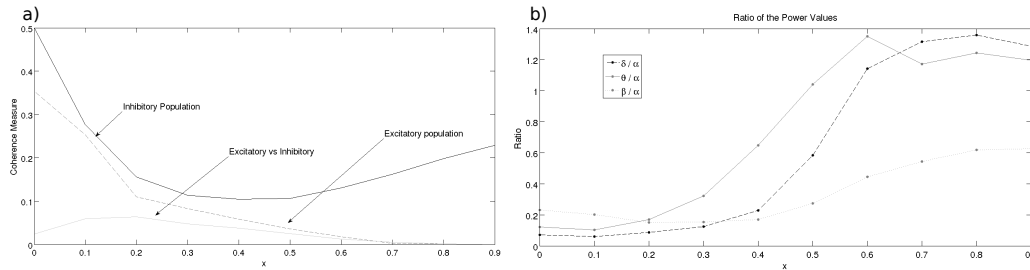


Figure 3: Summary of the effects of tonic inhibition on the synchrony and the subthreshold activity of a cortical neural network. a) Coherence measure of the neuronal population as a function of propofol concentration ( $x$ ). b) Ratio of the power values  $\delta$ ,  $\theta$  and  $\beta$  compared to  $\alpha$ .

origin of increased  $\delta$ -frequencies in occipital EEGs.

- lower synchrony => decrease of mean power seen in the power spectra amplitudes of Fig. 2.

- Lower synchrony with increasing tonic inhibition: Tonic inhibition tends to “disconnect” the network

Slow oscillations in presence of tonic inhibition emerge as a network effect in the sense that single cells we used here, in particular the inhibitory cells, cannot fire at frequencies below about 8Hz. Moreover, noise and cell’s heterogeneity play a major role in “stabilizing”, making more robust, the effect of tonic inhibition; indeed, in absence of noise and heterogeneity, the network activity is very sensitive to tonic inhibition level that rapidly has a shunting effect as the level of tonic inhibition increases. We suspect that the effects of tonic inhibition would be even more stable with cells whose the firing profile, i.e. the  $f(I)$ -curve, would be less steep at slow frequencies. This would suggest that the appearance of  $\delta$ -rhythms is not only due to tonic inhibition but also depends on intrinsic cellular properties.

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