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# Micromechanical-based criteria for the calibration of cohesive zone parameters

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# Abstract

This paper presents a new micromechanical model for a collection of cohesive zone models embedded between each mesh of a finite element-type discretization. It aims to forth fully extend the previous linear results of [\[1](#page-16-0)] to the calibration of damageable cohesive parameters (cohesive peak stress, critical opening displacement, cohesive energy, etc). The main idea of the approach consists in replacing the underlying cohesive-volumetric discretization by an equivalent 'matrix-inclusions' composite. The overall behavior of this equivalent composite is estimated using homogenization schemes (Hashin-Shtrikman estimate and the modified secant method) and is given in a closed-form as function of both cohesive and bulk properties and the mesh density. In the particular case of a bilinear cohesive law a micromechanical damage model for quasi-brittle materials is derived. The corresponding local-to-global relationships are obtained for any overall triaxiality loading ratio.

Keywords: Micromechanics, Damage, Cohesive Zone Model, Homogenization.

### 1. Introduction

The cohesive approaches had emerged as one of the most efficient method in computational fracture mechanics. However these approaches exhibit

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strong mesh sensitivity [\[2](#page-16-1), [3](#page-16-2)] and an accurate calibration of the cohesive parameters to extract physically-based macroscopic properties is up to now a cumbersome task [\[4](#page-16-3)].

Various (semi-) empirical criteria have been proposed in the ten past years in order to avoid these difficulties (among others, see  $[5, 6]$  $[5, 6]$ ). To fix the idea, a cohesive zone model can be seen as a traction-separation law involving two main parameters: a peak stress  $R_{\text{max}}^{\text{coh}}$  and a cohesive energy  $G<sup>coh</sup>$ . Whatever the shape of the traction-separation law a characteristic length is thus invoked, e.g.  $G^{\text{coh}}/R_{\text{max}}^{\text{coh}}$ . The computational challenge is to obtain rigorous criteria linking these characteristic length to the surrounding bulk properties and to the size and the type of the underlying spatial discretization. Previous criteria developed in the literature are mainly limited to unidimensional loadings and no theoretical result is available at any triaxiality loading ratio in three dimensions.

Following a micromechanical-based approach initially proposed by [\[7](#page-16-6)], [\[8](#page-17-0)] have recently obtained such rigorous criteria for a linear elastic cohesive zone model (no surface damage). We extend here these criteria to any damageable intrinsic cohesive zone model. The main idea is to consider each face of a three dimensional mesh with embedded cohesive zone models as a penny shaped damageable inclusion (Figure [1\)](#page-4-0). A cohesive-volumetric finite element (CVFE) scheme is thus replaced by a matrix-inclusion composite, and the spatial distribution of inclusions corresponds to the mesh morphology. Using a variational approach  $[9, 10]$  $[9, 10]$  $[9, 10]$  and a linear lower bound  $[11]$ , a rigorous theoretical estimate of the overall strain potential of this composite is obtained.

Theoretical criteria on cohesive parameters are thus derived from this estimate. The elastic criterion of  $[8]$  is recalled (Eq.  $(21)$ ) and new damageable criteria are proposed. In particular, considering a bilinear cohesive law, closed-form relationships (Eqs. [\(25\)](#page-10-0)-[\(30\)](#page-11-0)) between the microscopic cohesive parameters and the macroscopic fracture properties are exhibited through an inverse analysis .

#### 2. Embedding cohesive zone models in bulk media

The proposed micromechanical model is based on a cohesive-volumetric finite element (CVFE) scheme. The overall behavior results in the coupling of the volumetric behavior of bulk elements and the smeared out cohesive surfaces incorporating all softening processes. We focus our attention to elastic bulk behaviors and intrinsic cohesive zone models, i.e. cohesive law with initial stiffness.

# 2.1. Bulk behavior

We consider the case of linear isotropic elastic media whose behavior is characterized by the constitutive relationships:

<span id="page-3-1"></span>
$$
\boldsymbol{\sigma} = \mathbb{C}^{\mathsf{M}} : \boldsymbol{\varepsilon} \quad \text{with} \quad \mathbb{C}^{\mathsf{M}} = 3k^{\mathsf{M}} \mathbb{J} + 2\mu^{\mathsf{M}} \mathbb{K}, \tag{1}
$$

where  $\sigma$  (resp.  $\varepsilon$ ) is the stress (resp. strain) field,  $\mathbb{C}^{\mathsf{M}}$  is a fourth order stiffness tensor,  $k^{\text{M}}$  and  $\mu^{\text{M}}$  are the bulk and the shear modulus respectively. The symmetric tensors J and K define the generic basis of the fourth order isotropic and symmetric tensors:

$$
3\mathbb{J} = \mathbf{i} \otimes \mathbf{i} \quad \text{and} \quad \mathbb{K} = \mathbb{I} - \mathbb{J} \quad \text{with} \quad 2\mathbb{I}_{ijkl} = (\mathbf{i}_{ik}\mathbf{i}_{jl} + \mathbf{i}_{il}\mathbf{i}_{jk}) \tag{2}
$$

where  $i$  is the second order identity tensor.

#### 2.2. Cohesive Zone Model

Without loss of generality, any intrinsic cohesive law can be given as a three-dimensional traction-separation law linking the cohesive stress vector  $\mathbf{R}^{\text{coh}}$  to the opening displacement vector  $[\![\boldsymbol{u}]\!] = \mathbf{u}^+ - \mathbf{u}^-$ , where  $\mathbf{u}^+$  (resp.  $u$ <sup>-</sup>) is the displacement of the upper (resp. lower) part of the cohesive zone. In a local normal-tangent frame oriented by the normal vector  $n$ , the opening displacement vector can be decomposed into a normal,  $u_N$ , and a tangential,  $u_{\mathsf{T}}$ , component:  $\llbracket u \rrbracket = u_{\mathsf{N}} n + u_{\mathsf{T}}$ . A generic cohesive constitutive relation reads:

<span id="page-3-0"></span>
$$
\mathbf{R}^{\text{coh}} = \mathbf{K} \cdot [\![\mathbf{u}]\!] \quad \text{with} \quad \mathbf{K} = \bar{\beta} \times (C_{\mathsf{N}} \mathbf{n} \otimes \mathbf{n} + C_{\mathsf{T}} \left( \mathbf{i} - \mathbf{n} \otimes \mathbf{n} \right)), \quad (3)
$$

where  $C_N$  (resp.  $C_T$ ) is the normal (resp. tangential) initial 'stiffness' of the cohesive law and  $\bar{\beta}$  is a surface damage parameter ( $\bar{\beta} = 1$  the cohesive zone is undamaged,  $0 < \bar{\beta} < 1$  cohesive zone is partially damaged and  $\bar{\beta} = 0$  the cohesive zone is fully damaged). Following  $[3]$ , we assume that the damage parameter  $\beta$  introduced in [\(3\)](#page-3-0) depends on the euclidian norm of the opening displacement vector  $\llbracket u \rrbracket$ , i.e.  $\bar{\beta} = \beta(||\llbracket u \rrbracket ||)$  with  $\beta$  a damage function.

#### 3. Micromechanical model

# 3.1. The cohesive-volumetric finite element scheme as a matrix-inclusion composite

In order to accurately estimate the overall behavior resulting from a CVFE discretization, the procedure proposed in [\[8](#page-17-0)] is considered. The idea consists in introducing a continuous equivalent matrix-inclusion composite



<span id="page-4-0"></span>Figure 1: Principle of the approach: from a cohesive-volumetric discretization to a 'matrixinclusions' composite; (left) 2-D illustration, (right) 3-D illustration.

as a convenient representation of the underlying cohesive-volumetric discretization (Figure [1\)](#page-4-0). The continuous matrix has the same behavior as the bulk finite element behavior (Eq. [\(1\)](#page-3-1)) whereas the inclusions behavior should represent the cohesive zone model  $(Eq. (3))$  $(Eq. (3))$  $(Eq. (3))$ . Associating to the inclusions a fictitious thickness e that should tend to zero, and with the help of the strain definition across the cohesive zones:

<span id="page-4-2"></span>
$$
\varepsilon^{\sf coh} = \frac{1}{2} \frac{[\![\boldsymbol{u}]\!] \otimes \boldsymbol{n} + \boldsymbol{n} \otimes [\![\boldsymbol{u}]\!]}{e},\tag{4}
$$

a damageable fourth order stiffness tensor  $\mathbb{C}^{\text{coh}}$  is defined for the cohesive inclusions following [\[7](#page-16-6), [8](#page-17-0)]:

<span id="page-4-1"></span>
$$
\boldsymbol{\sigma}^{\text{coh}} = \mathbb{C}^{\text{coh}}(\|[\![\boldsymbol{u}]\!]]): \boldsymbol{\varepsilon}^{\text{coh}} \quad \text{with} \quad \mathbb{C}^{\text{coh}}(\|[\![\boldsymbol{u}]\!]]) = e\beta(\|[\![\boldsymbol{u}]\!]]) \bigg( C_{\mathsf{N}} \mathbb{E}_l + C_{\mathsf{T}} \mathbb{K}_l \bigg). \tag{5}
$$

where  $\sigma^{\text{coh}}$  is the cohesive stress field and the tensors  $\mathbb{E}_{l}$  and  $\mathbb{K}_{l}$  are two components of the fourth order symmetric and transversely isotropic tensors generic frame:

$$
\mathbb{E}_l = \boldsymbol{n} \otimes \boldsymbol{n} \otimes \boldsymbol{n} \otimes \boldsymbol{n}, \quad \mathbb{K}_l = 2 ( \boldsymbol{j}_s \otimes \boldsymbol{j}_s + \boldsymbol{j}_t \otimes \boldsymbol{j}_t )
$$

where  $j_s = n \otimes_s s$  and  $j_t = n \otimes_s t$  with  $s$  and  $t$  being two orthogonal vectors defining the transversal plane;  $(n, t, s)$  define the local orthogonal basis of the cohesive inclusion. We underline that combining  $(5)$  and  $(4)$ , the cohesive stress vector  $\mathbf{R}^{\text{coh}} = \boldsymbol{\sigma}^{\text{coh}} \cdot \boldsymbol{n}$  satisfies the constitutive relationship [\(3\)](#page-3-0).

The cohesive inclusions are distributed according to the spatial distribution of the underlying cohesive-volumetric mesh morphology. Their density, denoted by Z, corresponds to the specific cohesive surface:  $Z = \mathcal{A}/\mathcal{S}$  where A is the total edge length (resp. area) in 2-D (resp. in 3-D) and  $S$  is the total area (resp. volume) of the 2-D (resp. 3-D) meshed body. For a discretization characterized by a mesh size  $L_{\text{mesh}}$ , A is proportional to  $L_{\text{mesh}}^{q-1}$  and S is

proportional to  $L^q_{\text{mesh}}$  where q is the considered dimension. The density Z is thus inversely proportional to the mesh size and can be expressed as:

$$
Z = \frac{\gamma}{L_{\text{mesh}}},
$$

where the parameter  $\gamma$  depends on the spatial distribution of the considered mesh [\[1](#page-16-0)]. In particular, in the case of a statistical isotropic mesh, e.g. a Delaunay-type mesh, the inclusions are randomly distributed in space and in orientation.

Moreover we assume that the cohesive inclusions have a penny-shaped form (Figure [1\)](#page-4-0). This geometric assumption has no consequence for planar meshes where the edges are replaced by zero thickness whiskers. For 3-D meshes, we suppose that the polygonal edges are replaced by flat disks. We admit that these situations are close to each other but are different in full rigour.

#### 3.2. Overall elastic stiffness

As a first step, the results obtained in [\[8\]](#page-17-0) for the elastic behaviors are briefly recalled. In this section, the cohesive inclusions behavior is assumed to be linear, i.e.  $\bar{\beta} = 1$ . The overall behavior of the matrix-inclusion composite is estimated using the Hashin-Shtrikman scheme [\[11,](#page-17-3) [12](#page-17-4)] and a lower bound of the overall elastic moduli is derived under the assumption of macroscopic isotropy, i.e. isotropic bulk behavior and isotropic distribution of inclusions (e.g. Delaunay-type meshes). This bound is obtained by considering the inclusions as the reference medium, and the corresponding overall stiffness tensor reads after the passage to limit  $e \rightarrow 0$  [\[8](#page-17-0)]:

<span id="page-5-2"></span>
$$
\mathbb{C}^{\text{hom}} = 3k^{\text{hom}}\mathbb{J} + 2\mu^{\text{hom}}\mathbb{K},\tag{6}
$$

where the overall bulk and shear moduli are respectively given by:

<span id="page-5-0"></span>
$$
\begin{cases}\n\frac{k^{\text{hom}}}{k^{\text{M}}} = \frac{\xi^{\text{k}}}{\xi^{\text{k}} + 1} & \text{with} \quad \xi^{\text{k}} = \frac{C_{\text{N}}}{Z k^{\text{M}}},\\ \n\frac{\mu^{\text{hom}}}{\mu^{\text{M}}} = \frac{\xi^{\mu}}{\xi^{\mu} + 1} & \text{with} \quad \xi^{\mu} = \frac{15}{4(1 + 3C_{\text{N}}/C_{\text{T}})} \times \frac{C_{\text{N}}}{Z \mu^{\text{M}}}.\n\end{cases} (7)
$$

The overall Young's modulus and Poisson ratio associated to this bound read:

<span id="page-5-1"></span>
$$
\frac{E^{\text{hom}}}{E^M} = \frac{\xi^{\mathsf{E}}}{1 + \xi^{\mathsf{E}}} \quad \text{where} \quad \xi^{\mathsf{E}} = \frac{5}{1 + (4/3)(C_{\mathsf{N}}/C_{\mathsf{T}})} \times \frac{C_{\mathsf{N}}}{E^M Z}, \tag{8}
$$

$$
\frac{\nu^{\text{hom}}}{\nu^{\text{M}}} = \frac{15C_{\text{N}}\nu^{\text{M}} + (2C_{\text{N}}/C_{\text{T}} - 1)E^{\text{M}}Z}{15C_{\text{N}}\nu^{\text{M}} + (4C_{\text{N}}/C_{\text{T}} + 3)E^{\text{M}}Z\nu^{\text{M}}}.
$$
\n(9)

It is worth noting that Eqs.  $(7)$  and  $(8)$  show respectively that a cohesivevolumetric formulation with vanishing tangential cohesive stiffness  $(C_{\mathsf{T}} \to 0)$ leads to a macroscopic no shear  $(\mu^{\text{hom}} \to 0)$  and no tension  $(E^{\text{hom}} \to 0)$ material.

#### 3.3. Overall quasi-brittle damage

In this section, the case of non linear damageable cohesive zone models is considered  $(0 \le \bar{\beta} \le 1)$ . The macroscopic stress  $\Sigma$  associated to the softening part is obtained via the constitutive law:  $\Sigma = \mathbb{C}^{\text{hom}} : E$ , where the homogeneous stiffness tensor  $\mathbb{C}^{\mathsf{hom}}$  is estimated using the modified secant method  $[10]$ , which is equivalent to the Ponte Castañeda variational approach [\[13\]](#page-17-5). The non linear stiffness of the inclusions is approached by a secant modulus:

$$
\mathbb{C}_{\text{sct}}^{\text{coh}} = \mathbb{C}^{\text{coh}}\left(\sqrt{\langle \|\llbracket \bm{u} \rrbracket\|^2 \rangle_I}\right),\tag{10}
$$

where  $\langle . \rangle_I$  denotes the mean value over the cohesive inclusions. This secant stiffness  $\mathbb{C}^{\text{coh}}_{\text{set}}$  replaces the cohesive tensor  $\mathbb{C}^{\text{coh}}$  in Hashin-Shtrikman estimate.

With the help of definition [\(4\)](#page-4-2), the opening displacement norm  $\|\llbracket u \rrbracket$ can be linked to the fourth order tensor  $\varepsilon = (1/2)\varepsilon \otimes \varepsilon$  as:

<span id="page-6-1"></span>
$$
\|\llbracket \mathbf{u} \rrbracket\|^2 = 2e^2(-\mathbb{J} + 2\mathbb{K}) :: \mathbb{c}.
$$
 (11)

Moreover, the generalized Hill lemma allows to derive the second moment of the strain in the inclusion phase  $\langle \mathbf{c} \rangle_I$  from the overall elastic energy [\[14](#page-17-6)]:

$$
\langle \mathbf{e} \rangle_I = \frac{1}{2eZ} \frac{\partial (\mathbf{E} : \mathbb{C}^{\text{hom}} : \mathbf{E})}{\partial \mathbb{C}_{\text{set}}^{\text{coh}}}.
$$
 (12)

<span id="page-6-0"></span>Hence, substituting  $(12)$  into  $(11)$ , the mean square root of the opening displacement norm reads:

$$
\sqrt{\langle \|\llbracket \mathbf{u}\rrbracket\|^2 \rangle_I} = \sqrt{\frac{e}{Z}(-\mathbb{J} + 2\mathbb{K}) :: \frac{\partial(\mathbf{E} : \mathbb{C}^{\text{hom}} : \mathbf{E})}{\partial \mathbb{C}_{\text{set}}^{\text{coh}}}},
$$
(13)

Involving the hydrostatic part of the strain loading  $E_m = (1/3) tr(\mathbf{E})$  and the equivalent part  $E_{eq} = \sqrt{(2/3)E_{dev} : E_{dev}}$  (with  $E_{dev}$  being the deviatoric strain tensor:  $\mathbf{E}_{\text{dev}} = \mathbf{E} - \mathbf{E}_{\text{m}} \boldsymbol{i}$ , this last relation is rewritten as:

$$
\sqrt{\langle \|\llbracket \boldsymbol{u}\rrbracket\|^2 \rangle_I} = \sqrt{A\mathcal{E}_{\mathsf{m}}^2 + B\mathcal{E}_{\mathsf{eq}}^2}
$$
\n(14)

where A and B requires to calculate the derivatives  $\partial(\mathbf{E} : \mathbb{C}^{\text{hom}} : \mathbf{E})/\partial \mathbb{C}_{\text{set}}^{\text{coh}}$ . Due to the specific form of the chosen homogenization scheme, these derivatives can be here determined analytically. In more complicated cases, a numerical derivation can be used as proposed in [\[15](#page-17-7)].

Moreover, incorporating an intermediate result of [\[8\]](#page-17-0) linking the normalto-tangential cohesive stiffness ratio  $C_{\mathsf{N}}/C_{\mathsf{T}}$  to the Poisson ratio:

<span id="page-7-3"></span>
$$
\frac{C_{\rm N}}{C_{\rm T}} = \frac{1}{2} \frac{1 + 3\nu^{\rm M}}{1 - 2\nu^{\rm M}},\tag{15}
$$

the coefficients  $A$  and  $B$  are reduced to:

<span id="page-7-1"></span>
$$
A = 2\frac{2 + \nu^{\mathsf{M}}}{1 - 2\nu^{\mathsf{M}}} \left( \frac{3E^{\mathsf{M}}}{E^{\mathsf{M}}Z + 3\bar{\beta}C_{\mathsf{N}}(1 - 2\nu^{\mathsf{M}})} \right)^2
$$
(16)

<span id="page-7-2"></span>
$$
B = \frac{23 + (63 + 82\nu^{\rm M})\nu^{\rm M}}{10(1 + \nu^{\rm M})^2} \left(\frac{3E^{\rm M}}{E^{\rm M}Z + 3\bar{\beta}C_{\rm N}(1 - 2\nu^{\rm M})}\right)^2 \tag{17}
$$

Finally, the expression of the secant modulus is given by:

<span id="page-7-0"></span>
$$
\mathbb{C}_{\text{sct}}^{\text{coh}} = e \times \bar{\beta} \times (C_{\mathsf{N}} \mathbb{E}_l + C_{\mathsf{T}} \mathbb{K}_l), \quad \text{with} \quad \bar{\beta} = \beta \left( \sqrt{A \mathbb{E}_{\mathsf{m}}^2 + B \mathbb{E}_{\mathsf{eq}}^2} \right). \tag{18}
$$

The cohesive secant stiffness tensor  $\mathbb{C}^{\text{coh}}_{\text{set}}$  is thus obtained solving the non linear problem  $(18)$ -right in which A and B depend on the damage parameter  $\bar{\beta}$  (see Eqs [\(16\)](#page-7-1) and [\(17\)](#page-7-2)). For any damage function  $\beta$  – i.e. for any shape of the cohesive law – the solution  $\bar{\beta}$  of this non linear problem requires generally a numerical method as fixed point schemes. The overall secant behavior is thus derived using the Hashin-Shtrikman bound [\(6\)](#page-5-2) and  $(7)$  and the equation  $(15)$ :

<span id="page-7-4"></span>
$$
\Sigma = \mathbb{C}_{\text{sct}}^{\text{hom}} : \mathbf{E} \quad \text{with} \quad \mathbb{C}_{\text{sct}}^{\text{hom}} = 3k_{\text{sct}}^{\text{hom}}(\mathbf{E})\mathbb{J} + 2\mu_{\text{sct}}^{\text{hom}}(\mathbf{E})\mathbb{K},\tag{19}
$$

where

$$
\begin{cases}\n\frac{k_{\text{sct}}^{\text{hom}}}{k^{\text{M}}} = \frac{\xi_{\text{sct}}^{\text{k}}}{\xi_{\text{sct}}^{\text{k}} + 1} & \text{with} \quad \xi_{\text{sct}}^{\text{k}} = \frac{\bar{\beta}C_{\text{N}}}{Zk^{\text{M}}},\\
\frac{\mu_{\text{sct}}^{\text{hom}}}{\mu^{\text{M}}} = \frac{\xi_{\text{sct}}^{\mu}}{\xi_{\text{sct}}^{\mu} + 1} & \text{with} \quad \xi_{\text{sct}}^{\mu} = \frac{3(1 - 2\nu^{\text{M}})}{2(1 + \nu^{\text{M}})} \times \frac{\bar{\beta}C_{\text{N}}}{Z\mu^{\text{M}}}.\n\end{cases} \tag{20}
$$

This overall behavior defines a micromechanical damage model whatever the triaxiality loading ratio and the cohesive law type. In section [4.2,](#page-8-1) the case of a bilinear cohesive law is discussed: the non linear problem [\(18\)](#page-7-0)-right can be solved in a closed-form.

# 4. Inverse identification and practical criteria on cohesive parameters

#### 4.1. Cohesive stiffness

Using cohesive zone models induces an inherent additional compliance that should be controlled. This problem arrises naturally for cohesive laws with initial stiffness (intrinsic models) but also for cohesive law with an initial infinite slope (extrinsic models) when dealing with unloading-reloading behaviors. Moreover, the elastic cohesive stiffness is known to be meshdependent as illustrated in [\[2](#page-16-1), [3](#page-16-2)].

To overcome these difficulties, some semi-empirical criteria have been proposed in the literature and can be summarized as follows: if  $L_{\text{mesh}}$  denotes the mesh size of a cohesive-volumetric discretization, the effective elastic stiffness of an elastic medium with embedded cohesive zone model is not significantly disturbed if the condition

$$
\frac{C_{\mathsf{N}}L_{\mathsf{mesh}}}{E^{\mathsf{M}}} \geq \alpha
$$

is satisfied, where the real  $\alpha$  has to be much more larger than 1, e.g. [\[5,](#page-16-4) [6](#page-16-5), [3](#page-16-2)].

Recently, the rigorous micromechanical-based criterion proposed by [\[1](#page-16-0), [8](#page-17-0)]:

<span id="page-8-0"></span>
$$
\frac{C_{\mathsf{N}}L_{\mathsf{mesh}}}{E^{\mathsf{M}}} \ge \gamma \frac{R}{1-R} \frac{1}{3-6\nu^{\mathsf{M}}} \quad \text{and} \quad \frac{C_{\mathsf{T}}}{C_{\mathsf{N}}} = 2\frac{1-2\nu^{\mathsf{M}}}{1+3\nu^{\mathsf{M}}} \tag{21}
$$

has shown the dependency of  $\alpha$  on the bulk Poisson ratio  $\nu^{\mathsf{M}}$ , on the spatial distribution of the underlying mesh (through  $\gamma$ ) and on the apparent stiffness reduction tolerated by the user:  $R = E^{\text{hom}}/E^{\text{M}}$ .

#### <span id="page-8-1"></span>4.2. Cohesive peak stress and critical opening displacement

Focusing on the case of quasi-brittle elastic materials, we attempt in what follows to derive micromechanical based criteria for the calibration of softening cohesive parameters. For the sake of simplicity, and without any loss of generality, the case of a bilinear cohesive law (Figure [2\)](#page-9-0) and of overall pure deviatoric loadings ( $\mathbb{J} : \mathbf{E} = \mathbf{0}$  and  $\mathbb{J} : \mathbf{\Sigma} = \mathbf{0}$ ) are first considered. A procedure is proposed to calibrate the cohesive parameters as a function of: (1) the overall material properties (e.g. experimental data), (2) the shape of the cohesive law, (3) the spatial cohesive-volumetric discretization (mesh morphology and mesh size). These detailed results are then given for the case of overall pure hydrostatic loadings on a crude form.

## 4.2.1. Overall pure deviatoric loadings

For the case of a bilinear cohesive law, the damage function  $\beta$  reads:

<span id="page-9-1"></span>
$$
\beta\left(\left\|\left[\!\left[\boldsymbol{u}\right]\!\right]\!\right\|\right) = \mathbb{D}_{\left[0,\delta_a\right]}\left(\left\|\left[\!\left[\boldsymbol{u}\right]\!\right]\!\right\|\right) + \mathbb{D}_{\left[\delta_a,\delta_c\right]}\left(\left\|\left[\!\left[\boldsymbol{u}\right]\!\right]\!\right\|\right) \frac{\delta_a}{\delta_a - \delta_c} \left(1 - \frac{\delta_c}{\left\|\left[\!\left[\boldsymbol{u}\right]\!\right]\!\right\|}\right) \tag{22}
$$

where  $\mathbb{D}_{[a,b]}(x)$  is the door function equal to 1 if  $x \in [a,b]$  and 0 otherwise,  $\delta_a$  is the opening displacement at the peak cohesive stress for pure normal or tangent loadings, i.e.  $R_N^{\text{max}} = C_N \delta_a$  and  $\|\mathbf{R}_T^{\text{max}}\| = C_T \delta_a$ , and  $\delta_c$  is the critical opening displacement where the complete local failure occurs ( $\|\mathbf{R}^{\text{coh}}\| = 0$ when  $\|\[\![\boldsymbol{u}]\!]\| = \delta_c$ .



<span id="page-9-0"></span>Figure 2: A bilinear cohesive law: pure opening,  $u_T = 0$ , (left) and pure shear separation,  $u_N = 0$ , (right).

For this bilinear cohesive law, the non linear problem [\(18\)](#page-7-0) can be solved analytically for the case of pure deviatoric loadings  $(E_m = 0)$ . In particular, an overall damage initiation strain  $E_{eq}^0$  can be defined when the cohesive peak stress is reached:

$$
E_{\text{eq}}^{0} = \frac{\sqrt{2}(1+\nu^{\text{M}})(1-2\nu^{\text{M}})(1+3\nu^{\text{M}})}{\sqrt{(1-2\nu^{\text{M}}+5(\nu^{\text{M}})^{2})(23+63\nu^{\text{M}}+82(\nu^{\text{M}})^{2})}}\frac{R_{\text{max}}^{\text{coh}}}{E^{\text{M}}R},
$$
(23)

where  $R_{\text{max}}^{\text{coh}} = \sqrt{(R_N^{\text{max}})^2 + ||R_T^{\text{max}}||^2}$  is the maximal cohesive strength. This macroscopic failure initiation depends on the matrix properties  $E^{\mathsf{M}}$  and  $\nu^{\mathsf{M}}$ , on the maximal cohesive strength  $\mathsf{R}_{\textsf{max}}^{\textsf{coh}}$  and on the apparent stiffness reduction R, but does not depend on the morphology  $\gamma$  or the density Z of the mesh. In the same way, the overall critical failure strain  $E_{\mathsf{eq}}^c$  is reached when the cohesive resistance vanishes  $(Z = \gamma / L_{\text{mesh}})$ :

$$
E_{\text{eq}}^{c} = \frac{\sqrt{10}(1+\nu^{\text{M}})}{3\sqrt{23+63\nu^{\text{M}}+82(\nu^{\text{M}})^{2}}} \frac{\gamma \delta_{c}}{L_{\text{mesh}}}.
$$
\n(24)

This critical failure strain does not depend on the Young's modulus of the matrix  $E^{\mathsf{M}}$  or on the apparent stiffness reduction R, but increases linearly with respect to the critical opening displacement  $\delta_c$  and decreases wit respect to the mesh size  $L_{\text{mesh}}$ .

Based on these definitions, three main results can be derived. First, in order to avoid that a complete failure can occur before the local surface damage begins, i.e. to satisfy the condition  $E_{eq}^c \geq E_{eq}^0$ , the critical opening displacement has to respect the condition

<span id="page-10-0"></span>
$$
\delta_c \ge \frac{3}{\sqrt{5}} \frac{(1 - 2\nu^{\mathsf{M}}) (1 + 3\nu^{\mathsf{M}})}{\sqrt{1 - 2\nu^{\mathsf{M}} + 5(\nu^{\mathsf{M}})^2}} \frac{L_{\text{mesh}}}{\gamma R} \frac{\mathsf{R}_{\text{max}}^{\text{coh}}}{E^{\mathsf{M}}}.
$$
 (25)

Hence, we assume in the sequel that the critical opening displacement is linearly proportional to the right part of the inequality [\(25\)](#page-10-0) through a multiplier coefficient larger than one.

Second, the overall deviatoric strain,  $\widehat{E_{eq}}$ , leading to the overall deviatoric peak stress  $\widehat{\Sigma_{eq}}$ , satisfies the equation:

$$
\frac{\partial \Sigma_{\text{eq}}}{\partial E_{\text{eq}}} \big|_{\widehat{E_{\text{eq}}}} = 0 \quad \text{with} \quad \begin{cases} \Sigma_{\text{eq}} = \sqrt{(3/2)\Sigma : K : \Sigma} \\ E_{\text{eq}} = \sqrt{(2/3)E : K : E} \end{cases} , \tag{26}
$$

which, once solved, leads to the cohesive-to-overall peak stress relationship:

$$
\frac{R_{\text{max}}^{\text{coh}}}{\widehat{\Sigma_{\text{eq}}}} = \frac{\sqrt{2}\sqrt{(1 - 2\nu^{\text{M}} + 5(\nu^{\text{M}})^2)(23 + 63\nu^{\text{M}} + 82(\nu^{\text{M}})^2)}}{3(1 - 2\nu^{\text{M}})(1 + 3\nu^{\text{M}})}.
$$
 (27)

This last ratio can be understanded as a stress concentration factor that tends to infinity when the Poisson ratio  $\nu^{\mathsf{M}}$  of the matrix tends to 1/2. For practical purpose, this stress concentration factor increases from about 2.7 to 5.4 for  $\nu^{\mathsf{M}}$  ranging between 0.2 and 0.35. These values have to be compared to 3, corresponding to a circular void in an isotropic and infinite elastic plate subjected to remote loadings. The proposed micromechanical model has thus to be viewed as an effective brittle damage model rather than a model dealing with a single crack propagation.

Third, the overall failure energy  $W_c$  under pure deviatoric loading (the area under the overall stress-strain curve  $\Sigma_{eq}-E_{eq}$ ) is given after integrating [\(19\)](#page-7-4) from sound material ( $\bar{\beta} = 1$ ) to fully damaged material ( $\bar{\beta} = 0$ ):

$$
\mathcal{W}_c = \int \mathbf{\Sigma} : d\mathbf{E}.
$$

This gives a relationship between the critical opening  $\delta_c$  and the overall failure energy  $\mathcal{W}_c$ :

$$
\frac{\delta_c}{L_{\text{mesh}}} = \frac{3}{\gamma} \sqrt{\frac{2}{5}} \frac{\sqrt{23 + 63\nu^M + 82(\nu^M)^2}}{1 + \nu^M} \frac{\mathcal{W}_c}{\widehat{\Sigma_{\text{eq}}}} \tag{28}
$$

Based on these three main results and if we are in position to determine, experimentally for example, the macroscopic maximal stress  $\widehat{\Sigma_{eq}}$  and the overall energy failure  $\mathcal{W}_c$ , then the cohesive parameters  $R_{\text{max}}^{\text{coh}}$  and  $\delta_c$  can be conveniently calibrated as:

<span id="page-11-1"></span>
$$
R_{\text{max}}^{\text{coh}} = \frac{\sqrt{2}\sqrt{(1 - 2\nu^{\text{M}} + 5(\nu^{\text{M}})^2)(23 + 63\nu^{\text{M}} + 82(\nu^{\text{M}})^2)}}{3(1 - 2\nu^{\text{M}})(1 + 3\nu^{\text{M}})} \widehat{\Sigma_{\text{eq}}},
$$
 (29)

and

<span id="page-11-0"></span>
$$
\delta_c = 3\sqrt{\frac{2}{5}} \frac{\sqrt{23 + 63\nu^M + 82(\nu^M)^2}}{1 + \nu^M} \frac{\mathcal{W}_c}{\widehat{\Sigma_{\text{eq}}}} \frac{L_{\text{mesh}}}{\gamma}.
$$
\n(30)

It is clearly shown that the cohesive peak stress depends only on the material Poisson ratio whereas the critical opening is given in terms both of material properties and the mesh quantities  $L_{\text{mesh}}$  and  $\gamma$ . The criteria resulting from equations [\(21\)](#page-8-0), [\(29\)](#page-11-1) and [\(30\)](#page-11-0) define practical rules to suitably calibrate the cohesive law parameters, namely:  $1/$  the cohesive stiffnesses  $C_N$ and  $C_T$ , 2/ the maximal cohesive stress  $\mathsf{R}^{\mathsf{coh}}_{\mathsf{max}}$  and 3/ the critical separation  $\delta_c$  (equivalently the cohesive energy) as functions of the overall material properties  $(E^M, \nu^M)$  for a given mesh size  $L_{\text{mesh}}$  and a user-defined elastic reduction  $R = E^{\text{hom}}/E^{\text{M}}$  (Figure [3\)](#page-13-0).

Although these results exhibit a mesh dependence of the local cohesive parameters (except  $R_{\text{max}}^{\text{coh}}$  as shown in Figure [3-](#page-13-0)left), the proposed approach leads to a mesh-independent overall behavior since the macroscopic behavior remains invariant regardless of the mesh size (Figure [3-](#page-13-0)right). Indeed, taking into account the previous relationships, the evolution of the macroscopic secant moduli  $k_{\text{set}}^{\text{hom}}$  and  $\mu_{\text{set}}^{\text{hom}}$  is given as function only of the material properties and the applied macroscopic strain  $\bf{E}$  (cf Eqs. [\(6\)](#page-5-2), [\(8\)](#page-5-1), [\(21\)](#page-8-0) and here  $E_m = 0$ :

<span id="page-11-2"></span>
$$
\frac{k_{\text{sct}}^{\text{hom}}(\mathbf{E})}{k^{\text{M}}} = \frac{\mu_{\text{sct}}^{\text{hom}}(\mathbf{E})}{\mu^{\text{M}}} = \frac{R\widehat{\Sigma_{\text{eq}}}}{\widehat{\Sigma_{\text{eq}}}^2 - 6R\mathcal{W}_{c}\mu^{\text{M}}} \left(\widehat{\Sigma_{\text{eq}}} - 2\frac{\mathcal{W}_{c}}{\mathrm{E_{\text{eq}}}}\right). \tag{31}
$$

Another key point when dealing with cohesive zone models, is the socalled internal cohesive length  $l^{\text{coh}}$ . Following [\[16\]](#page-17-8), this characteristic length  $l^{\text{coh}}$  can be defined as the ratio between the toughness of the material  $G^{\text{coh}}$ (the area under the cohesive stress-opening curve) and the cohesive peak stress, R<sup>coh</sup>

$$
l^{\text{coh}} = \frac{G^{\text{coh}}}{\text{R}_{\text{max}}^{\text{coh}}}.
$$
\n(32)

It should be noticed that this characteristic length has not to be confused with the cohesive length scale over which the cohesive bonds act at the crack tip (process zone), but corresponds to an internal length for the cohesive zone model. For sake of simplicity, defining  $G<sup>coh</sup>$  for mixed modes as:

<span id="page-12-0"></span>
$$
G^{\text{coh}} = G^{\text{coh}}_{\text{N}} + G^{\text{coh}}_{\text{T}} \quad \text{with} \quad G^{\text{coh}}_{\text{N}} = \frac{1}{2} C_{\text{N}} \delta_a \delta_c \text{ and } G^{\text{coh}}_{\text{T}} = \frac{1}{2} C_{\text{T}} \delta_a \delta_c, \quad (33)
$$

our approach leads to (see equations  $(21)$ ,  $(29)$ ,  $(30)$  and  $(33)$ ):

<span id="page-12-3"></span>
$$
\frac{l^{\text{coh}}}{L_{\text{mesh}}} = \frac{3}{5\sqrt{2}\gamma} \frac{3 - \nu^{\text{M}}}{1 + \nu^{\text{M}}} \sqrt{\frac{23 + 63\nu^{\text{M}} + 82(\nu^{\text{M}})^2}{1 - 2\nu^{\text{M}} + 5(\nu^{\text{M}})^2}} \frac{\mathcal{W}_c}{\widehat{\Sigma_{\text{eq}}}}.
$$
(34)

It is worth noting that the characteristic cohesive length does not only depend on physical properties, but also on the mesh morphology, on the mesh size and on the loading rate.

### 4.2.2. Overall pure hydrostatic loadings

For the case of pure hydrostatic loadings ( $E_{eq} = 0$ ), the same procedure gives after some algebra a set of relationships for the calibration of cohesive parameters:

<span id="page-12-1"></span>
$$
R_{\text{max}}^{\text{coh}} = \frac{\sqrt{10}}{1 + 3\nu^{\text{M}}} \sqrt{\frac{(2 + \nu^{\text{M}})(1 - 2\nu^{\text{M}} + 5(\nu^{\text{M}})^2)}{1 - 2\nu^{\text{M}}}} \widehat{\Sigma_{\text{m}}} \tag{35}
$$

and

<span id="page-12-2"></span>
$$
\delta_c = 6\sqrt{2}\sqrt{\frac{2+\nu^{\mathsf{M}}}{1-2\nu^{\mathsf{M}}}\frac{\mathcal{W}_c}{\widehat{\Sigma}_{\mathsf{m}}}\frac{L_{\mathsf{mesh}}}{\gamma}}.
$$
\n(36)

The combination of  $(6)$ ,  $(35)$  and  $(36)$  leads to the following equations for the evolution of the macroscopic secant moduli  $k_{\text{set}}^{\text{hom}}$  and  $\mu_{\text{set}}^{\text{hom}}$  with no meshdependency:

$$
\frac{k_{\text{sct}}^{\text{hom}}(\mathbf{E})}{k^{\text{M}}} = \frac{\mu_{\text{sct}}^{\text{hom}}(\mathbf{E})}{\mu^{\text{M}}} = \frac{R\widehat{\Sigma_{\text{m}}}}{\widehat{\Sigma_{\text{m}}}^2 - 6R\mathcal{W}_c k^{\text{M}}} \left(\widehat{\Sigma_{\text{m}}} - 2\frac{\mathcal{W}_c}{\mathcal{E}_{\text{m}}}\right). \tag{37}
$$

This last relationship is similar to the previous one obtained for pure deviatoric loadings (see equation [\(31\)](#page-11-2)).

Moreover, using equations  $(33)$ ,  $(21)$ ,  $(35)$  and  $(36)$ , the cohesive length reads:

$$
\frac{l^{\text{coh}}}{L_{\text{mesh}}} = \frac{3\sqrt{2}}{\sqrt{5}\gamma} (3 - \nu^{\text{M}}) \sqrt{\frac{2 + \nu^{\text{M}}}{(1 - 2\nu^{\text{M}})(1 - 2\nu^{\text{M}} + 5(\nu^{\text{M}})^2)} \frac{\mathcal{W}_c}{\widehat{\Sigma}_{\text{m}}}}.
$$
(38)

Again, a same form is obtained as in the deviatoric case (see equation  $(34)$ ) but with a different pre factor depending on the material Poisson ratio. For practical purpose, the cohesive length is about two times larger for pure hydrostatic loadings than for pure deviatoric one.

$E^M$ (MPa)	$\nu^M$	$\Sigma_{\sf eq}(\sf MPa)$	$\mathcal{W}_c(\mathsf{MPa})$
100		200	100
Mesh	$C_{\mathsf{N}} = C_{\mathsf{T}}(\text{GPa})$	$R_{\text{max}}^{\text{coh}}$ 'MPa	mm) $o_c$
(a)	$2.6\,$	342.54	1.62
(b)	$5.2\,$	342.54	$0.81\,$

<span id="page-13-1"></span>Table 1: Mechanical parameters used in Figure [3.](#page-13-0) Top: material properties. Bottom: cohesive parameters calibrated using criteria [\(21\)](#page-8-0), [\(29\)](#page-11-1) and [\(30\)](#page-11-0).



<span id="page-13-0"></span>Figure 3: Cohesive zone model with no mesh sensitivity. Right: the overall material behavior (Eq.[\(19\)](#page-7-4)) obtained using the bilinear cohesive law (Eq. [\(22\)](#page-9-1)). Left: The proposed criteria (Eqs.  $(21)-(30)$  $(21)-(30)$ ) for the selection of the cohesive parameters (Table [1\)](#page-13-1): the case of a shear loading for a mesh set of parameters and a user tolerated elastic loss of 5%, i.e.  $R = 0.95$  (the material properties are given in Table [1\)](#page-13-1)

## 5. Numerical Validation

The accuracy of the proposed criteria is illustrated on a numerical analysis. In particular, these criteria concern the cohesive parameters but are a priori related to the bilinear shape of the cohesive law. The proposed numerical simulations aim to extend the validity of these criteria to an other shape for the cohesive law. Following [\[17](#page-17-9)], a specific form of the cohesive law proposed by [\[18](#page-17-10)] is used in this section:

<span id="page-14-0"></span>
$$
\beta (\|\llbracket \boldsymbol{u} \rrbracket \|) = \mathbb{D}_{[0,\delta_a]} (\|\llbracket \boldsymbol{u} \rrbracket \|) + \mathbb{D}_{[\delta_a,\delta_c]} (\|\llbracket \boldsymbol{u} \rrbracket \|) \frac{\delta_c - \|\llbracket \boldsymbol{u} \rrbracket \|}{\delta_c/3 + \|\llbracket \boldsymbol{u} \rrbracket \|} \tag{39}
$$

with

$$
\delta_c = 3\sqrt{\frac{w}{9 - 4ln4} \left(\frac{1}{C_{\mathsf{N}}} + \frac{1}{C_{\mathsf{T}}}\right)}
$$

where  $w$  being a reference surface fracture energy and  $ln$  the natural logarithm (Figure  $4-(b)$  $4-(b)$ ).

The simulations concern the case of a unit square cell under a pure shearing loading and considering 2-D plane-strain conditions. The cell is meshed using triangular elements arranged in a "crossed-triangle" quadrilateral pattern (Figure  $4-(a)$  $4-(a)$ ). The bulk behavior is supposed to be elastic brittle ( $E^{\mathsf{M}} = 1$  MPa,  $\nu^{\mathsf{M}} = 1/7$ ,  $\widehat{\Sigma_{\text{eq}}} = 6 \times 10^3$  Pa). The software  $Xper$  [\[19](#page-18-0)] is used for the cohesive-volumetric finite element simulations.

As shown in Figure [4,](#page-15-0) the numerical results confirm that, even if, the local cohesive parameters are mesh-dependent, the overall fracture properties are mesh-independent: the difference between the critical separation of the cohesive law for the two meshes is about  $15\%$ ; Figure  $4-(b)$  $4-(b)$ ), while the difference between the critical overall strain and failure energy for the two meshes is about  $0\%$ ; Figure [4-](#page-15-0)(c-d). This mesh-independency is obtained since:

- 1. the finite element calculus convergence is reached,
- 2. the cohesive parameters are suitably calibrated for the given mesh type and size.

These results are consistent with previous remarks obtained in the literature (Tijssens et al. [\[20](#page-18-1)] for instance).

#### 6. Conclusion

Practical criteria for the calibration of cohesive zone parameters are obtained through a new micromechanical model. This model is based on the



<span id="page-15-0"></span>Figure 4: Illustration of the mesh-independency of the overall behavior: (a) the unit cell with ''crossed-triangle quadrilateral" meshes, (b) the cohesive law [\(39\)](#page-14-0) calibrated with respect to the obtained micromechanical criteria for two given mesh sizes, (c) the overall deviatoric behavior  $\Sigma_{xy}$  vs  $E_{xy}$  and (d) the overall mesh-independent failure energy corresponding to the mesh-dependent local cohesive law.

study of the overall constitutive behavior of an equivalent 'matrix-inclusions' composite introduced as a representation of a cohesive-volumetric finite element scheme. The approach can be applied whatever the macroscopic triaxiality loading rate and the shape of the cohesive law, extending thus previous partial results from the literature. The case of a bilinear cohesive zone model leads to an overall damage model for quasi-brittle materials. This closed-form model allows to link, through an inverse analysis, all local cohesive parameters to the overall material properties at any given mesh size. The accuracy of the proposed approach and its wide ability to deal with various cohesive laws have been illustrated on a numerical analysis.

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