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The double face of the histone variant H3.3

(Title: 43 characters)

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Abstract

Histone proteins wrap DNA to form nucleosome particles that compact eukaryotic genomes while still allowing access for cellular processes such as transcription, replication, and DNA repair. Histones exist as different variants that have evolved crucial roles in specialized functions in addition to their fundamental role in packaging DNA. H3.3 – a conserved histone variant that is structurally very close to the canonical histone H3 – has been associated with active transcription. Furthermore, its role in histone replacement at active genes and promoters is highly conserved and has been proposed to participate in the epigenetic transmission of active chromatin states. Unexpectedly, recent data have revealed accumulation of this specific variant at silent loci in pericentric heterochromatin and telomeres, raising questions concerning H3.3 actual function. In this review, we describe known properties of H3.3 and the current view concerning incorporation modes involving particular histone chaperones. Finally, we discuss the functional significance of the use of this H3 variant, in particular during germline formation and early development in different species.

Introduction

Histones, the main protein components of chromatin, are small basic proteins highly conserved in eukaryotes. They package and organize DNA at the level of the fundamental unit of chromatin, the nucleosome [1]. The nucleosome core particle is composed of a hetero-octamer of histones comprising a tetramer of (H3-H4)₂ flanked by two dimers of H2A-H2B, around which about 147bp of DNA is wrapped [2]. The dynamics of this organization permits the compaction of the genome while enabling all cellular processes operating on DNA such as transcription, replication, recombination and repair. Nucleosomes can be modulated not only by a large variety of covalent post-translational modifications (PTMs) mostly occurring in the N-terminal tails of histones, including acetylation, phosphorylation, and methylation [3] but also by the incorporation of histone variants corresponding to the histones H3, H2A, H2B but not H4 for which only one form has been identified so far [4]. Histone variants were discovered on the basis of differences at the level of their primary sequence that can range from a few amino acid changes to large domains. These variants show distinct regulatory mechanisms for their expression and deposition that can potentially confer specific properties to nucleosomes [5]. “Canonical histones” are defined as those with an expression peak during S phase to provide the main supply of histones during replication. In contrast, “replacement histones” designate those that do not show an expression peak during S phase. How the different histone variants are incorporated into chromatin and how they mark specific chromatin states has been a subject of intensive investigation. In this context, the study of histone chaperones, escort proteins that help to control histone supply and their incorporation into chromatin, is of interest to shed light on the specific regulation of histone variant incorporation [6].

Here we will focus on the H3.3 histone H3 variant [7-9] to discuss the latest discoveries in this area. In mammals, five H3 variants have been identified: - two canonical variants (hereafter often referred as H3), H3.2 and the mammalian-specific H3.1; - and three replacement variants, H3.3, the centromere-specific variant CenH3 (or CENP-A in mammals)

[10], and the testis-specific histone H3t [11] (**Figure 1**). To this list, one can add two newly characterized primate-specific H3 variants, H3.X and H3.Y [12]. In this review, we focus on the recent advances concerning the histone variant H3.3 to highlight its potential role in transcription and transmission of epigenetic states. Until recently, H3.3 was largely considered as a mark of transcriptional activity, for which functional importance was under debate. While recent studies in several organisms have challenged the view of a specific importance during development, the unexpected enrichments of H3.3 at silent chromatin loci such as telomeres or centromeres prompt to broaden our views concerning the role of this variant. Here, we will first describe the nature, properties, and regulated expression of H3.3 as compared to its canonical counterparts. Then, we will summarize the current views concerning mechanisms of H3.3 incorporation into chromatin based on recent studies. This will allow us to highlight histone chaperone complexes and major chromatin rearrangements that necessitate H3.3 deposition. Finally, we will discuss the functional relevance of the choice of a specific variant in key developmental contexts, in particular during the germline formation and early development.

Main text

1. H3.3 properties compared to its canonical counterparts

Genes encoding canonical histones such as H3.1 and H3.2 have no introns and are organized in tandem, multi-copy clusters (**Figure 2**). Their corresponding mRNAs are not polyadenylated and their translation is tightly regulated by the binding of a particular protein, the Stem Loop Binding Protein (SLBP) and of the U7 small nuclear RNA (snRNP) to the 3' end of the histone RNAs [13]. The peculiar genomic organization and transcriptional regulation of canonical histones genes allows a massive production at the beginning of S phase ensuring a major supply for incorporation events during replication [14], in a DNA Synthesis-Coupled (DSC) manner. Of note, outside of S phase but still in a DSC manner, canonical H3.1 can also be incorporated onto chromatin at sites of DNA repair after UV lesion and possibly other damage events [15]. In contrast, genes coding for non-canonical histones are represented by single or few gene copies scattered throughout the genome (**Figure 2**). In addition, they often possess introns and their mRNAs are polyadenylated. In mouse, human and *Drosophila*, two H3.3 genes (H3.3A and H3.3B) encode the same conserved H3.3 protein, but have distinct untranslated regions [16-18]. They are expressed throughout the cell cycle, in quiescence and are enriched in various stages of differentiation compared to their canonical counterparts [17-20]. This constitutive expression enables histone deposition/exchange through a DNA Synthesis-Independent (DSI) pathway during and outside of S phase. Remarkably, this replacement variant H3.3 is one of the most conserved proteins present in all eukaryotes (**see later, Figure 4**) [21]. H3.3 has only four amino acid differences with H3.2 (at positions 31, 87, 89 and 90) and five with H3.1 (with an additional difference at amino acids 96), with position 31 located in the N-terminal tail of the protein and positions 87, 89 and 90 located in the $\alpha 2$ helix of the histone fold domain (**Figures 1 and 2**). In spite of the high sequence similarity between H3.3 and H3, these specific residues have been proposed to account for particular properties of histone H3.3.

Interestingly, serine 31 specifically found on H3.3 can be phosphorylated [22]. In vertebrates and *Drosophila*, residues 87, 89, and 90 are S, V, and M in H3, and A, I, and G in H3.3. In *Drosophila*, any amino acid substitution in H3 toward the H3.3 residues at these positions allows some DSI deposition of canonical H3 [23]. This result suggests that the amino acids SVM in H3 are critical for its restricted DSC assembly pathway. Moreover, in mouse Embryonic Stem (ES) cells, mutation of the endogenous H3.3B gene to the canonical H3.2 gene alters genome-wide patterns of H3.3 enrichment, supporting the importance of the amino acid sequence of H3.3 in determining its final distribution [24]. Thus, those three amino acid positions directly or indirectly provide specificity, potentially via the interaction with distinct assembly machineries. Importantly, the identities of the residues found at these positions in H3 and H3.3 vary between species but always distinguish H3 from H3.3, arguing for a critical function of this site [21]. Furthermore, these three residues are thought to participate in histone-histone interaction stability [25]. Indeed, H3.3 nucleosomes isolated from avian cells stably expressing tagged H3.3 are unusually sensitive to salt-dependent disruption, losing their H2A/H2B dimers [26]. Moreover, a recent study in HeLa cells expressing tagged H3.3 showed that H3.3-H4 tetramers splitting events could be detected during DNA replication *in vivo*, a process that could not be observed for H3.1 [27]. The fact that H3.3 is highly enriched in actively transcribed regions raises the question whether splitting is indeed variant-specific or region-specific [28]. Taken together, these findings underscore the importance of the H3.3 sequence in addition to its cell-cycle-independent expression. Additional properties of H3.3 relate to the increase proportion of PTMs associated with active chromatin such H3K4 methylation and acetylation (**Figure 2**) [29-33]. How these PTMs are established on H3.3 and what are their importance in conferring an epigenetic role to this variant are crucial questions [34]. Interestingly, non-nucleosomal H3.1 and H3.3 carry a distinct set of modifications before their deposition, which in turn determine their final PTMs in nucleosomes (**Figure 2**) [32]. The connection between H3.3 and active transcription is further underlined by the relative depletion of the Heterochromatin Protein 1 (HP1) association with H3.3 purified oligonucleosomes [32] and by the observation that H3.3

counteracts the association of the linker histone H1 [35]. Altogether, these data highlight the fact that H3.3-containing nucleosomes may possess unique functional properties.

2. H3.3 enrichment at particular genome sites

The bulk of newly synthesized canonical histones are incorporated during DNA replication in a DNA-Synthesis Coupled manner, while H3.3, originally found enriched within actively transcribed genes, gets incorporated in a DNA-Synthesis Independent replacement process [23, 36]. Here, we will describe how H3.3 enrichment could be found at particular genome sites in somatic or embryonic cells and how global chromatin rearrangements in gametes and early zygotes take advantage of the use of this variant.

In somatic and embryonic cells

The use of chromatin immunoprecipitation (ChIP) combined with high-resolution genome mapping technologies has provided a detailed genome wide localization of H3.3 in *Drosophila* and mammalian cells. They revealed specific enrichment of H3.3 throughout the gene body of transcribed genes as well as at the promoter regions (**Figure 3**) [24, 31, 36-40]. H3.3 enrichment at promoters has been observed not only at active but also at inactive genes, possibly accounting for a poised state of these genes [40, 41]. Furthermore, H3 replacement by the H3.3 variant also occurs at genic and intergenic regulatory regions in various metazoans [40, 42, 43]. These observations point to the possible existence of distinct roles of H3.3 linked with gene activity. A first “passive” role for H3.3 in transcription-coupled deposition could be to compensate for the eviction of nucleosomes due to the progression of the RNA polymerase complex in the body of highly transcribed genes [44]. Another “active” role could be envisaged in which H3.3 would contribute to a continuous process of histone turnover that maintains accessibility of regulatory elements to their cognate factors and that could account for the epigenetic memory of an activated state [45, 46]. Gurdon group’s findings in *Xenopus laevis* indeed support the latter hypothesis where the presence of some “H3.3 epigenetic mark” transmitted throughout cell division rather than through a mechanism involving the reactivation of transcription at each cycle, would suffice to keep the memory of an active state [46]. However, in other species such as *Drosophila* (embryos) or mouse (ES

cells), H3.3 seems dispensable for active transcription memory. Indeed, the downregulation of H3.3 does not visibly impact upon global transcription [24, 47]. *Drosophila* survivors lacking H3.3 show an overexpression of H3 that may function in part as a compensatory mechanism. In mouse ES cells that are deficient for H3.3 enrichment at genic regions, the transcriptome does not exhibit dramatic changes when compared to wild type cells [24], arguing that the presence of H3.3 is not critical for basal transcription in these cells. Whether this is stem cell-specific should be considered given that ES cells are plastic and may not necessarily stabilize a memory of activated genes in a manner that compares to differentiated cells. It would thus be of great interest to study the memory of an active state in mammalian differentiated cells.

In addition to a preferential accumulation at sites of active chromatin, H3.3 enrichment is also observed in particular chromosomal landmarks (**Figure 3**). Ahmad and Henikoff found an enriched staining for H3.3-GFP fusion protein that coincided with large rDNA gene repeat arrays in *Drosophila* Kc cells [23]. This correlation is likely due to the presence of densely repeated genes with high transcriptional activity in the rDNA locus. Unexpectedly, enrichment of H3.3 was recently also observed in regions of the genome that should be transcriptionally silent. Indeed, H3.3 accumulation is found at telomeres and pericentric heterochromatin in mouse ES cells and mouse embryonic fibroblasts (MEF), respectively (**Figure 3**) [24, 48-50]. Of note, these accumulations could either reflect more loading or less removal of H3.3 at these loci as compared to other places in the genome. In addition, studying the proportion of H3.3 versus H3 that may influence their deposition efficiencies would be to consider for a better understanding of the enrichment of H3.3 in different cell types, and in particular in ES cells. Accumulation of H3.3 at telomeres has so far only been described in mouse embryonic cells whereas its presence at centromeres has been previously reported in somatic cells. Indeed, in human HeLa cells, an accumulation of H3.3 at pericentric heterochromatin was also revealed with the use of a specific antibody recognizing H3.3 phosphorylated on serine 31 [22]. Surprisingly, in contrast to the proposed role of H3.3 in marking active chromatin, its presence at telomeres is required for the transcriptional repression of telomeric repeats [24].

While the specific functions of H3.3 in the organization of centromere and telomere chromatin still need to be explored, it would be of interest to illuminate whether these accumulations are also linked to transcription and whether they are essential for cell division and genome stability.

In gametes and early zygotic cells

Chromosome-wide nucleosome replacement with H3.3 incorporation occurs during mammalian Meiotic Sex Chromosome Inactivation (MSCI) in the first male meiotic prophase. This process provides a means for epigenetic reprogramming of sex chromatin presumably required for gene silencing in the male mammalian germ line [51]. In most sexually reproducing animals, another major rearrangement during spermatogenesis consists in the replacement of histones with small proteins named protamines, a process that is essential for the spermatid genome condensation into a genetically inactive state [52]. After entering the oocyte and before the formation of the diploid zygote, the sperm nucleus becomes a male pronucleus in a process that involves a series of conserved steps. Notably, a major modification of the male gamete lies in the decondensation of the highly compacted protamines-containing sperm chromatin. Concomitant with protamines removal upon fertilization, nucleosomes containing H3.3, but not H3, are specifically assembled in paternal chromatin before the first round of DNA replication in *Drosophila* and in mouse [53-55]. The exclusive marking of paternal chromosomes with H3.3 in the zygote represents a primary epigenetic distinction between parental genomes, and underlines an important consequence of critical and highly specialized function of H3.3 loading at fertilization. In addition, H3.3 is specifically enriched in the paternal mouse pericentromeric chromatin during *de novo* pericentric heterochromatin formation in the male pronucleus. In this context, mutation of H3.3K27 but not H3K27 results in aberrant accumulation of pericentromeric transcripts and dysfunctional chromosome segregation [49]. This observation potentially reinforces the importance of H3.3 at centromeres. Interestingly, at the time of chromocenter formation in mouse early embryos, pericentric satellites undergo a transient peak in expression that is

strongly biased by the parental asymmetry, an event that is necessary for proper chromocenter formation and development progression [56]. Whether the accumulation of H3.3 at centromeric regions is actually required for pericentric repeats transcription, or the converse, is worth to explore.

H3.3 accumulation is thus observed both in active chromatin where it is proposed to participate in the epigenetic transmission of active chromatin states, and in regions of the genome that should be transcriptionally silent. Whether centromeric and telomeric regions undergo transcription that is linked to H3.3 accumulation is still unclear. Knowing how H3.3 is incorporated at these specific loci and identifying the histones chaperone complexes involved in its deposition is critical to better understand the meaning of H3.3 enrichment patterns.

3. H3.3 deposition and the importance of dedicated specific histone chaperones

An important aspect of histone variant dynamics relates to their mode of incorporation. This mechanism involves histone chaperones, which can represent a crucial step with major implications for cell fate, and stability of expression programs. Analysis of the preassembly complexes associated with the different H3 variants has therefore been of interest to get more insights in the dynamic of their incorporation and the potential histone chaperones implicated.

HIRA complex

While the study of the human canonical histone H3.1 predeposition complexes revealed the presence of the Chromatin Assembly Factor-1 (CAF-1), the isolation of H3.3 predeposition complexes identified a distinct factor, the Histone Regulator A (HIRA) [57]. CAF-1, which is composed of three subunits p150, p60 and RbAp48, represents the prototype of a chaperone that promotes nucleosome assembly in a DSC pathway during replication and UV repair [58, 59]. In contrast, HIRA was described as a chaperone involved in DSI nucleosome assembly pathway *in vitro* using the *Xenopus laevis* egg extract system and shows a critical role in H3.3 deposition [57, 60]. The genome-wide enrichment of H3.3 at promoters and in the body of active genes is affected in HIRA knockout ES cells, suggesting a critical requirement for HIRA in H3.3 deposition at these specific regions (**Figure 3**) [24]. Moreover, HIRA is required for H3.3 deposition in the paternal chromatin during sperm nucleus decondensation upon fertilization in *Drosophila* and in mouse [53, 54, 61]. HIRA might also be involved in global H3.3 incorporation in the process of meiotic sex chromosome inactivation (MSCI) as HIRA level increases in the XY body concomitantly with H3.3 deposition [51]. Accumulation of HIRA was also observed during early mouse development in primordial germ cell (PGC) nuclei that undergo reprogramming to establish a distinct chromatin signature that is reminiscent of pluripotency, including the exchange of histone variants [62]. Investigating whether this HIRA behavior relates to particular H3.3

rearrangement would shed light on a general importance of H3.3 dynamics during reprogramming events. HIRA has two orthologs in *S. cerevisiae*, Hir1p and Hir2p, and biochemical purification of the Hir complex in this species revealed the presence of two co-purifying proteins Hir3 and Hpc2. Interestingly, their corresponding orthologs in human, Cabin1 and Ubinuclein (UBN1 and 2), respectively [63, 64] co-purify with human H3.3 in a sub-complex with HIRA, showing that the yeast Hir complex is conserved in human [57, 65]. It is thus tempting to speculate that HIRA is likely to function as a complex in the process of H3.3 deposition although the respective role of each protein is still unclear. Future work should shed light on the mechanism at play.

DAXX-ATRX complex

Two other unexpected proteins have been specifically identified in H3.3 preassembly complexes [48]: the Death Domain-Associated protein (DAXX), a protein originally described as being associated with FAS-mediated apoptosis [66], and the Alpha-Thalassemia/mental Retardation X-linked syndrome protein (ATRX), a SNF2-like ATP-dependent chromatin remodeling factor [67]. ATRX localizes to pericentric heterochromatin in HeLa cells [68] and was previously shown to physically associate and form a complex with DAXX *in vivo* [69]. DAXX was recently shown to exhibit chaperone activity and directly interacts with H3.3 in a tighter manner than with H3.1 *in vitro* [48]. Moreover, DAXX preferentially facilitates the deposition of purified recombinant H3.3 onto naked DNA in “nucleosome reconstitution” assays [48, 70]. Unexpectedly, the purification of H3.3-containing complexes in DAXX^{-/-} MEF cells identified the DNA-Synthesis Coupled deposition factor CAF-1 [48]. This result suggests that DAXX-deficient cells exploit H3.3 by using alternative mechanisms to bypass the loss of DAXX but further investigation is needed to understand the physiological relevance of this finding. Interestingly, ATRX coexists in a complex with the DAXX protein, yet this complex is not required for H3.3 accumulation at active or repressed genes, nor at regulatory elements. Instead, this complex is specifically required for H3.3 enrichment at telomeres of murine ES cells [24, 70] and pericentric heterochromatin in mouse embryonic fibroblasts [48] (**Figure 3**).

Although only DAXX was demonstrated to display H3.3 chaperone activity [70], ATRX is also required for H3.3 accumulation at telomeres [24] suggesting that ATRX plays a role to favor H3.3 accumulation at specific chromosome landmarks. The targets of ATRX were recently shown to include G-rich tandem repeats (TRs) that are found in telomeres, subtelomeric regions, rDNA, and near genes that can display altered expression patterns in the absence of ATRX [71]. However, the distribution of H3.3 at genic and intergenic TRs is only subtly perturbed when ATRX is disrupted, challenging the role of ATRX in H3.3 enrichment and its requirement for gene expression via H3.3 accumulation. An attractive possibility is that ATRX is required for H3.3 enrichment at a subset of TRs such as telomeres, while other proteins can intervene at genic and intergenic regions. Interestingly, DAXX can be found in complex with H3.3 in the absence of ATRX, and this complex has been proposed to mediate H3.3 deposition at regulatory elements. Additionally, DAXX was reported to accumulate in the XY body of mid to late stage pachytene spermatocytes suggesting that it could be involved in the accumulation of H3.3 during MSCI process, as also proposed for HIRA [72]. Lewis et al. found that the interaction between DAXX and H3.3 occurs via the unique “AIG” motif at the base of the α -helix2 of H3.3 (**Figures 1 and 2**) [70]. For the first time, a peptide containing this “AIG” motif is demonstrated as necessary and sufficient for an interaction with a specific chaperone. This remarkable feature is an attractive entry point for structural studies focusing on this domain and its interaction with the other known histone H3-H4/H3.3-H4 chaperones, that offers promises to characterize the molecular nature of the specificity.

Additional players

Other proteins have been involved in the deposition of H3.3 at specific locations even though they are not directly found in H3.3 complexes. The remodeling factor named Chromodomain Helicase DNA-binding protein 1 (CHD1) physically associates with HIRA to mediate massive H3.3 incorporation into male chromatin during the decondensation of the *Drosophila* sperm DNA upon fertilization (**Figure 3**) [73]. Whether this chromatin-remodeling factor is required for other HIRA complex-mediated H3.3 depositions is an open issue.

Another protein, the chromatin-bound oncogene DEK is suggested to be a H3.3 histone chaperone in *Drosophila* and human cells, with potential functions to direct its deposition at regulatory elements and enhance transcription [74]. Given that DEK also associates with DAXX [75], it is possible that DEK and DAXX act together in H3.3 deposition at regulatory elements. Intriguingly, despite the importance of the HIRA complex in the deposition of H3.3 in the male pronucleus upon fertilization, HIRA is apparently dispensable for H3.3 deposition in *Drosophila* HIRA^{-/-} embryos and adult cells [76]. This observation could be explained by the use of alternative mechanisms to bypass the loss of HIRA in *Drosophila*, potentially using distinct histone chaperones. In contrast, in mouse HIRA^{-/-} ES cells, the accumulation of H3.3 at promoters and in the body of active genes is affected, arguing for a critical role of HIRA in H3.3 enrichment at these particular domains in mammals [24]. Altogether, these data suggest that distinct H3.3 deposition processes involving specific histone chaperone complexes have to be considered. They may act depending on the species, the developmental status and the different cell types. Moreover, the existence of alternative H3.3 deposition pathways using non-dedicated chaperones in the absence of the specific H3.3 chaperones would be an interesting compensatory mechanism that needs to be clearly demonstrated.

4. Biological significances of H3.3 deposition in various organisms

Ascomycetes, among which yeast, have only one form of non-centromeric histone H3, closely related to H3.3. This histone H3 (H3.3-like) present in all eukaryotes can be considered as a common ancestor. It is thus reasonable to envisage a “universal role” for this variant that can be used for both DSI and DSC nucleosome assemblies. Accordingly to the view of H3.3 as an ancestor, all non-centromeric H3 would derive from this original form (**Figure 4**). H3.2 variant present in *Drosophila* would be a first derivative that is already present in early branching animals such as *Trichoplax*. H3.1 and H3t, which are restricted to mammals, would appear later [21, 77]. H3.X and H3.Y so far only detected in primate may be restricted to this latter situation [12]. A specialization of H3 deposition and functions could have thus evolved while new H3 variants emerged. In this way, canonical H3 could specifically be restricted to DSC deposition, while H3.3 incorporation would occur mainly through a DSI pathway. Thus, an attractive hypothesis is that canonical forms of H3 derive from H3.3-like forms by duplication and divergence in a recurrent manner during eukaryotic evolution (**Figure 4**). Interestingly, so far organisms containing only a single H3-like variant have not been found. It is therefore tempting to speculate that the assembly of H3.3-containing nucleosomes may be essential, although additional studies may be needed to further explore this issue. In light of this hypothesis, we will present and discuss here the putative biological functions of H3.3 in different organisms from yeast to mammals.

Yeast

As mentioned above, *Saccharomyces cerevisiae* and *Schizosaccharomyces pombe* contain only one non-centromeric H3 variant that is related to H3.3. This H3.3-like variant is used for nucleosome assembly both outside and during S phase and can therefore be deposited through both DSI and DSC pathways. In *S. pombe*, the deposition of H3 in a DNA-Synthesis Independent manner preferentially occurs in euchromatin regions [78]. In *S. cerevisiae*, DSI deposition is essentially correlated with transcription [79] and this H3

incorporation is mainly observed at promoters and to a lesser extent in the body of transcribed genes as well as at promoters of repressed genes [80, 81]. The pattern of yeast H3 DSI deposition thus resembles the one mediated by the HIRA complex for H3.3 incorporation in “higher” eukaryotes. Of note, in both *S. cerevisiae* and *S. pombe*, an homolog of the HIRA complex was identified with orthologs characterized for each components of this complex [82-84]. In *S. cerevisiae*, the Hir complex is one of the factors required for nucleosome reassembly after the passage of the RNA polymerase II that is proposed to play a critical role in transcription-coupled H3 deposition [85]. Moreover, given that a *S. cerevisiae* *hir* Δ mutant is still viable, it is possible that other chaperone complexes might replace the activity of the Hir complex or that H3 DSI deposition is not absolutely required. It would be important to try and assess whether replacing this H3.3-like histone by canonical H3 proteins can preserve viability in *S. cerevisiae*.

Tetrahymena and Drosophila

Canonical H3 is not essential in the ciliate protozoa *Tetrahymena thermophila*. Indeed, cells can grow without canonical H3 if H3.3 is expressed at high levels [86]. However, *Tetrahymena thermophila* cells lacking H3.3 are viable and maintain normal nucleosome density at highly transcribed regions under these conditions. Moreover, when no H3.3 is available, H3 is still not detectably deposited by a DSI pathway, indicating that DSI deposition may not be essential for survival in this organism. Strikingly though, H3.3 is required to produce viable sexual progeny and plays a critical role in the germline micronuclei late in conjugation. Along the same lines, mutations of the two H3.3 genes in *Drosophila* lead to partial lethality but most H3.3-deficient animals that survive to adulthood appear morphologically normal [47, 87]. Thus, here H3.3 is not required for viability and global development as mutant flies compensate for the lack of H3.3 in two ways: they upregulate the expression of the canonical histone H3 genes, and they maintain chromatin structure by using H3 proteins for DSI nucleosome replacement at genes. The increased expression of H3 is therefore sufficient to relieve transcriptional defects. However, *Drosophila*

H3.3 is essential for fertility as germline cells specifically require this histone variant [47, 87]. The recent development of an *in vivo* genetic system that allows the replacement of deleted canonical histone genes by histone transgenes in *Drosophila* [88] should be most useful in order to further the analysis of the importance of H3.3 versus H3 in this species. Furthermore, it is interesting to realize that *Drosophila* paternal chromatin reorganization that necessitates H3.3 at fertilization is the only developmental process that requires HIRA, a key H3.3 histone chaperone [76]. Indeed, while maternally provided HIRA is essential for global H3.3 rearrangement upon fertilization, yet later H3.3 can be deposited in the chromatin of mutant embryos and adult cells, suggesting that other factors are implicated in the assembly of H3.3 nucleosomes. Thus, in *Tetrahymena* and *Drosophila*, H3.3 is not required for viability and development but plays a critical role in the germline.

Xenopus laevis

Further insights in the function of H3.3 during development are provided by studies performed in the vertebrate *Xenopus laevis*, a model organism of wide interest in developmental biology. Indeed, in contrast to *Drosophila*, *Xenopus* sperm chromatin retains H3 variants and H4 histones, possibly allowing sperm decondensation in the absence of global H3.3 DSI assembly. This distinct situation makes *Xenopus laevis* an ideal model to study later roles of H3.3 during early development. The histone H3 lysine 4 methylation mark, enriched on H3.3 and correlated with transcriptional activation, has been linked to the WD40-repeat protein WDR5 as part of the methyltransferase complex associated with H3K4 trimethylation. Interestingly, WDR5-depleted *Xenopus laevis* tadpoles exhibit a variety of developmental defects and abnormal spatial *Hox* genes expression [89]. This result shows that H3K4me3, a mark enriched on H3.3, is essential for vertebrate development. *Xenopus laevis* is the pioneer organism regarding animal cloning from transplanted nuclei [90]. However, the percentage of successful nuclear transfer is low and decreases when highly differentiated donor cells are used. This underlines the complexity of reprogramming a differentiated nucleus into that of an embryonic cell. After nuclear transfer, the memory of an

active gene state indeed persists through numerous cell divisions in the absence of transcription and this mechanism is shown to depend on histone H3.3 incorporation into chromatin [46]. Moreover, the association with promoters of a mutated H3.3 on the methylatable H3.3 lysine 4 eliminates this memory. This indicates a requirement for H3.3 K4 in the stability of gene expression patterns. Thus, incorporation of H3.3 would establish a marking system for cellular memory: after cell division, daughter cells would still maintain their patterns of gene expression by the inheritance of H3.3 at active gene loci. An emerging question is now to consider the developmental importance of this kind of memory. A key issue is thus to determine whether H3.3 is actually important for *X. laevis* early development.

Mouse

In mice, one of the two H3.3 genes, H3.3A, is ubiquitously expressed during embryonic development until 13.5 days post-coitum and is then enriched in the adult heart, kidney, brain, testes, and ovaries [91]. Mutation in H3.3A results in postnatal death of 50% of homozygous mutants. Surviving animals display reduced growth rates when competing with wild-type siblings for food, exhibit neuromuscular deficits, and mutated males display reduced copulatory activity. When copulations did occur, these resulted in very few pregnancies, confirming the requirement of H3.3 for male fertility [91]. Thus, here, mammalian H3.3 seems not only required for reproduction but also for early development. Interestingly, HIRA is also essential for murine embryogenesis. Indeed, all homozygous HIRA^{-/-} mutants die by day 10 or 11 of gestation. Analysis of embryos revealed an initial requirement during gastrulation, with many mutant embryos having a distorted primitive streak and patterning abnormalities of mesoendodermal derivatives prior to early embryonic lethality [92]. A deficiency in DAXX, another H3.3 chaperone, results in extensive apoptosis in early mouse development leading to embryonic lethality by day 9.5 of gestation [93]. In mice embryos null for ATRX, a partner of DAXX in dealing with H3.3, implantation and gastrulation seem normal but the embryos do not survive beyond 9.5 days post-coitum due to a defect in the formation of the extraembryonic trophoblast [94]. It is important to note that

these H3.3 chaperone complexes have been implicated in various cellular functions. For instance, HIRA is involved in the formation of Senescent Associated Heterochromatin Foci (SAHF) [95] and DAXX associates with numerous proteins involved in disparate cellular processes such as apoptosis, survival or transcriptional repression [66]. Knowing whether the H3.3 chaperone activity is playing any role in those distinct processes and whether the observed phenotypes in null mutant mice for HIRA, DAXX and ATRX are related to their function in H3.3 deposition are questions that remain to be explored.

5. Conclusions

The replacement variant H3.3 differs from its canonical counterparts at only few amino acid positions. Although it is still unclear whether these amino acid differences are by themselves important to modulate the chromatin organization, they are sufficient to drive H3.3 and the canonical H3 variants to distinct nucleosome assembly processes through the interaction with specific histone chaperones. Of note, in contrast to canonical H3, which so far uses mainly one identified chaperone, the CAF-1 complex, for incorporation into chromatin, H3.3 deposition may involve at least two different chaperone complexes, HIRA and DAXX-ATRX. The interaction with specific histone chaperones is responsible for the enrichment of the different H3 variants at distinct genomic sites. While the recruitment of CAF-1 at sites of DNA synthesis is likely mediated by the DNA polymerase processivity factor PCNA, ATRX is proposed to be the required component for the targeting of the DAXX-ATRX complex to telomeres or pericentric heterochromatin. How the HIRA complex is targeted to promoters and transcriptionally active genes remains to be uncovered.

Importantly, the actual role of H3.3 incorporation at specific regions of the genome is still under debate. In somatic cells, the presence of H3.3 was first proposed to facilitate transcription by creating a less compact chromatin. However, the importance of H3.3 enrichment for active transcription has been challenged in several organisms where normal expression of genes can occur in the absence of H3.3. Moreover, H3.3 enrichment is also observed in silent chromatin such as centromeres and telomeres, where H3.3 presence in the latter case is correlated with the repression of telomeric RNA transcription. Whether H3.3 deposition is crucial for transcriptional memory in specific contexts, in particular during development or differentiation when new expression programs have to be established, will need further exploration. In zygotes, whether global incorporation of H3.3 is an active mechanism with a specific role at fertilization or whether H3.3 is the only H3 histone available to replace the protamines and allow the decondensation of the sperm DNA is still unclear.

Further investigations will be necessary to illuminate the functional relevance of H3.3 incorporation.

Nevertheless, a specialization of H3.3 functions seems concomitant with the appearance of new H3 variants and the complexity of the organisms across evolution, with a specific requirement for H3.3 during development in mammals that remains to be addressed in *Xenopus*. Further work on how the H3.3-specific pathways affect chromatin structure and functions that are essential during development should help to shed light on the importance of H3.3 during evolution.

Competing interests

The authors declare that there is no conflict of interest.

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Titles and legends to figures

Figure 1: Sequence alignment and specific features of human H3 variants

A. Alignment of amino acid sequences corresponding to human H3 variants. Sequences are compared to the “ancestral” variant H3.3 and the amino acid differences are highlighted. H3.1 and H3.2 differences are highlighted in purple, H3t in gray, H3.X and H3.Y in yellow and CENP-A in light blue. The amino acid position numbers that are different between H3.3 and H3.1/2 are indicated. The positions of the N-terminal tail, and of the α -helixes of the histone-fold motif are shown.

B. Distinct features of human H3 variants. The features of canonical and replacement H3 variants are indicated according to their expression, mode of deposition, and contexts. Canonical histones are shown in purple while H3.3 is in green.

Figure 2: Human histone H3.3 compared to H3.1 and H3.2

Differences between the canonical H3 variants (H3.1 and H3.2) – in purple – and the replacement variant H3.3 – in green – are illustrated. Canonical histone genes are organized in tandem and the cluster *HIST1* located on chromosome 6p21 contains 6 histone H1 and 49 core histone genes including 10 histone H3 genes. Canonical histone genes lack introns and are not polyadenylated in contrast to the regular genes coding for H3.3 (H3.3A and H3.3B). The amino acid differences between the canonical H3 and H3.3 are illustrated. H3.3S31 can be phosphorylated. The motifs SVM and AIG in H3.1/2 and H3.3, respectively, could account for chaperone specificity. We illustrate the distinct enriched marks in H3 and H3.3 before and after deposition into chromatin.

Figure 3: Local enrichment in H3.3 / Complexes promoting deposition

Left. In mouse somatic and embryonic cells, H3.3 is enriched in coding regions and at specific chromatin landmarks. In heterochromatin, DAXX cooperates with the chromatin

remodeler ATRX in accumulating H3.3 at pericentric heterochromatin and telomeres. It has to be noticed that accumulation of H3.3 at telomeres is so far only described in ES cells. In euchromatin, the HIRA complex mediates H3.3 enrichment in the body of transcribed genes and at promoters of transcribed or non-transcribed genes. The chaperone complex that mediates H3.3 enrichment at regulatory elements remains to be clearly identified but DAXX and / or DEK proteins have been suggested to play a role in this process.

Right. In gametes, H3.3 is enriched in sex chromosomes during mouse male meiosis during Meiotic Sex Chromosome Inactivation (MSCI). HIRA and DAXX colocalize with XY bodies but their potential role in this process still need to be uncovered. In zygotes, H3.3 is loaded in the male pronucleus at fertilization in *Drosophila* and in mouse through the HIRA complex that cooperates with the chromatin remodeling factor CHD1.

Figure 4: Emergence of non-centromeric H3 variants and specialization of their functions during evolution

Schematic representation of the emergence of new H3 histone variants concomitant with the specialization of H3.3 functions through evolution. Most probably, all non-centromeric H3 derive from the ancestral H3.3-like histone (green), whose functions get specialized when new H3 variants emerged, in particular the canonical H3.1 and H3.2 histones (purple). The specialization of canonical H3 and H3.3 variants functions from the universal H3.3-like histone is illustrated.

Figure 4: Emergence of non-centromeric H3 variants and specialization of their functions during evolution

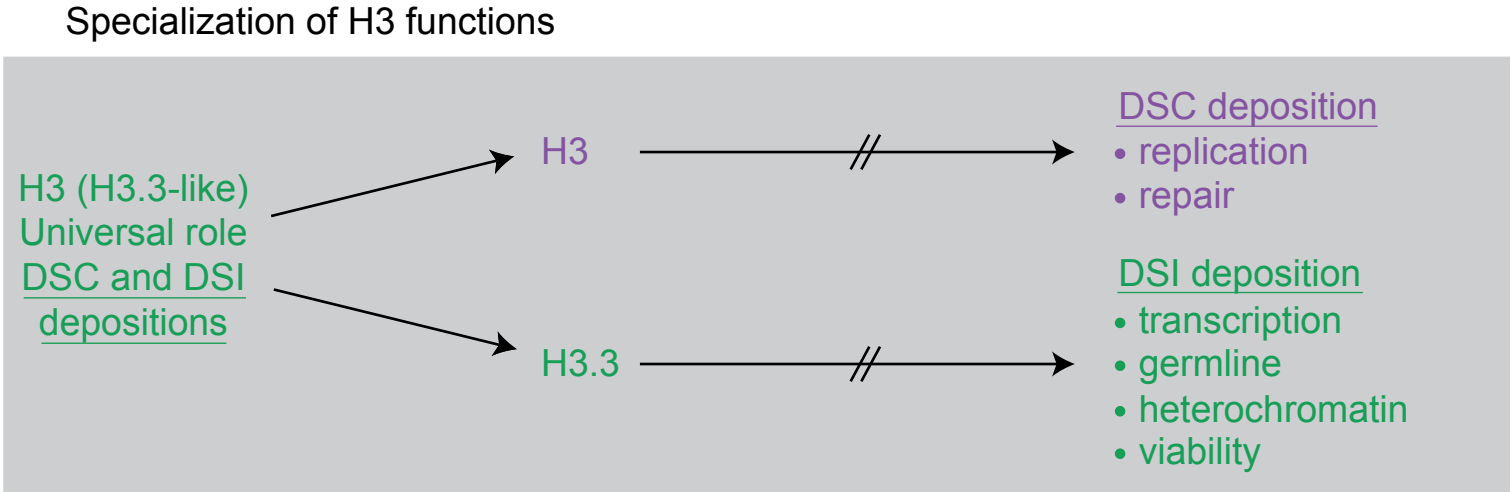
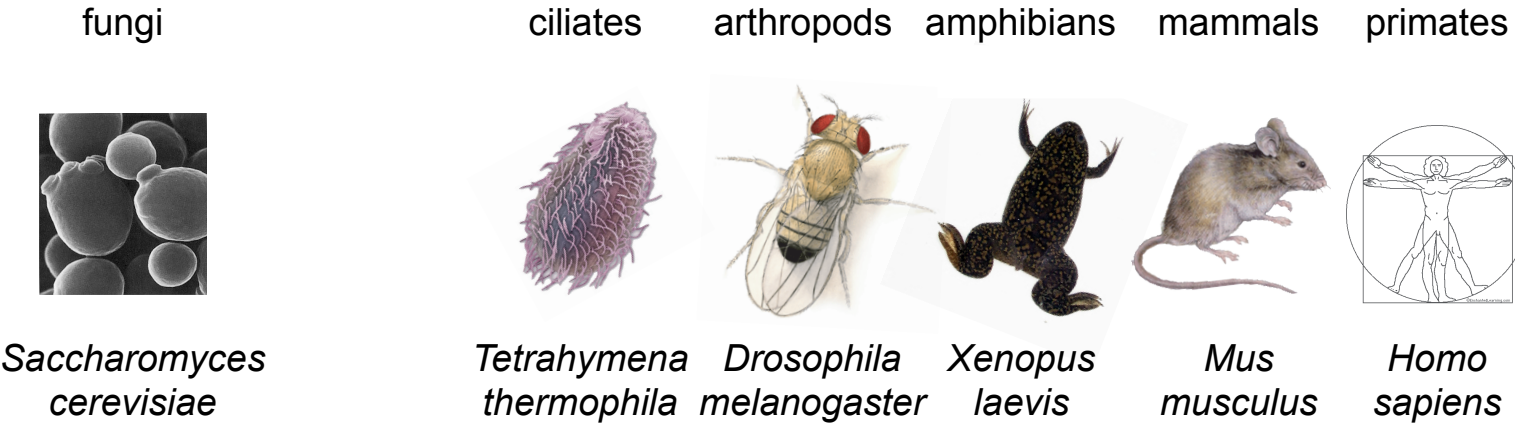
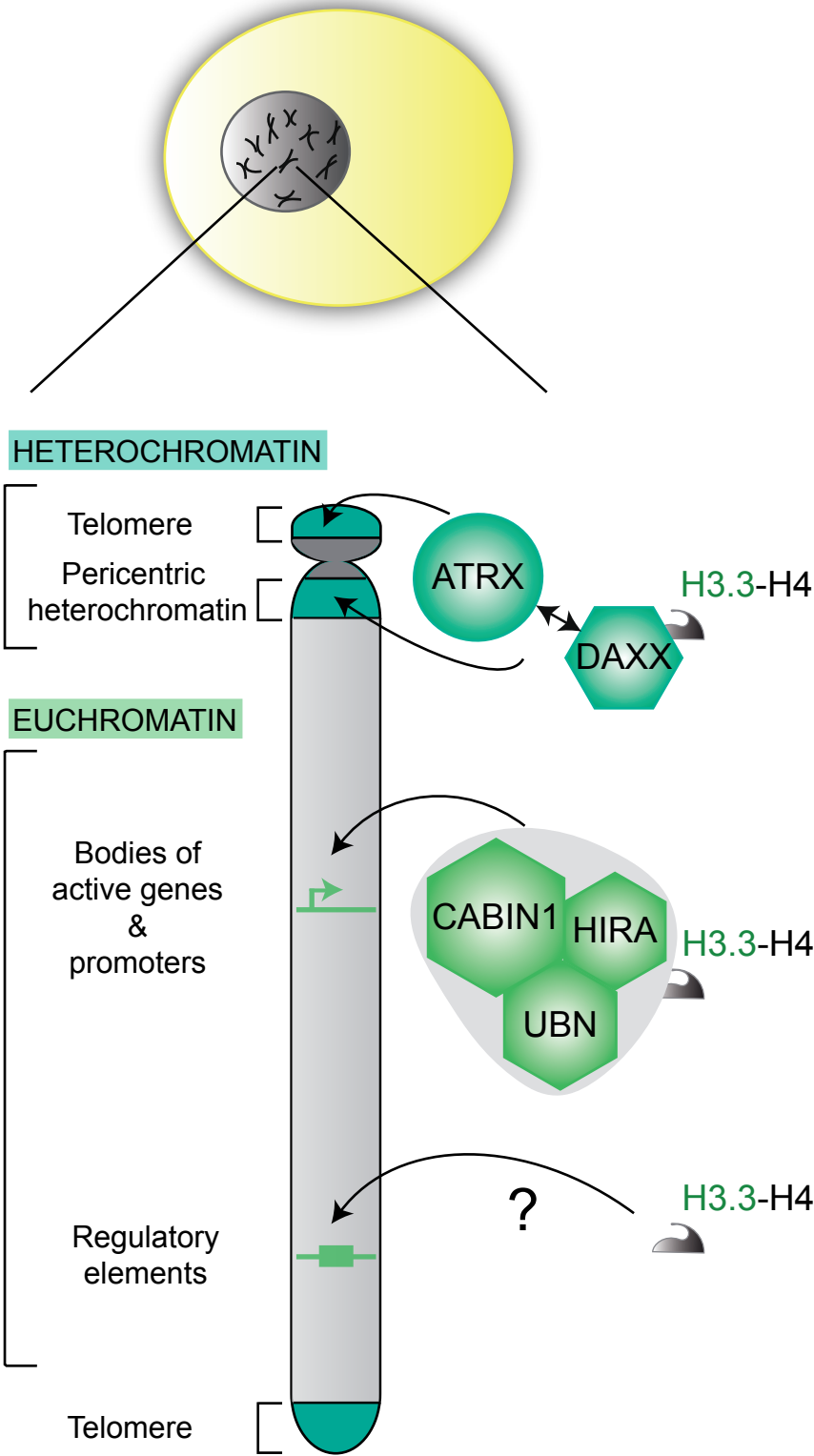


Figure 3 : Local enrichment in H3.3 / Complexes promoting deposition

H3.3 deposition pathways

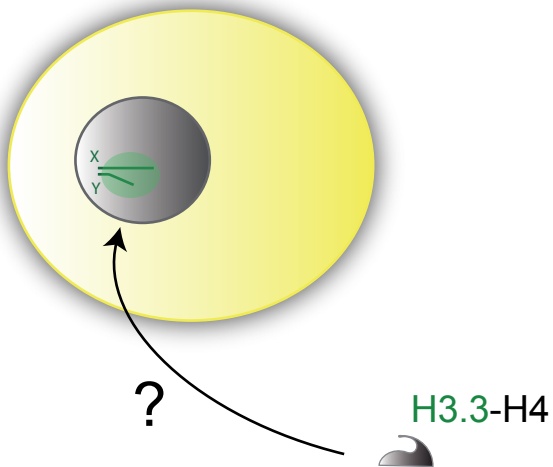
Somatic and embryonic cells

In transcribed regions
and specific chromatin landmarks



Gametes / Zygotes

In sex chromosomes
during male meiosis (MSCI)



In the male pronucleus
at fertilization

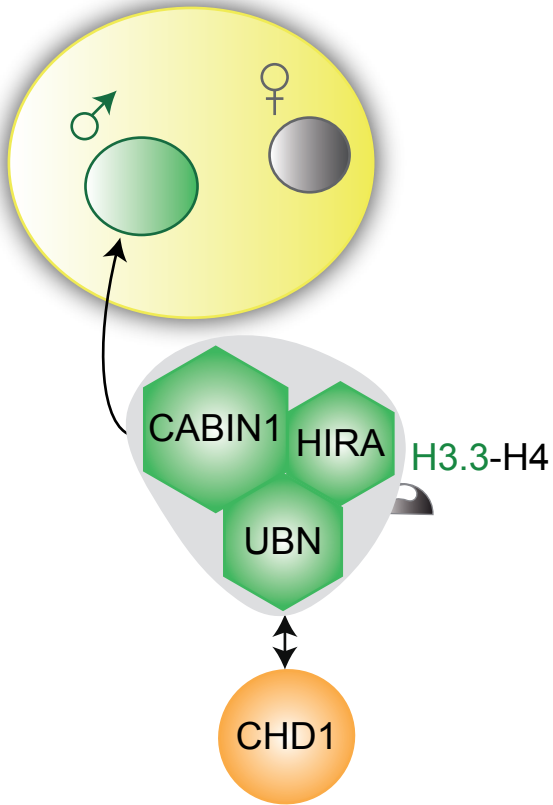
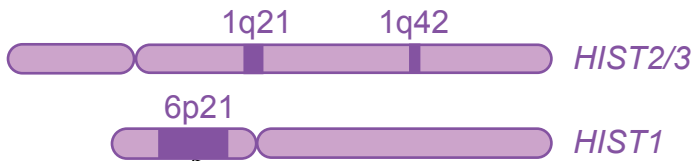


Figure 2: Human histone H3.3 compared to H3.1 and H3.2

CANONICAL H3 VARIANTS

GENOMIC ORGANISATION

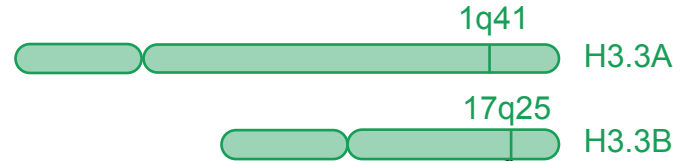
A cluster organisation



Peak of transcription & Increased mRNA processing in S phase

H3.3 VARIANT

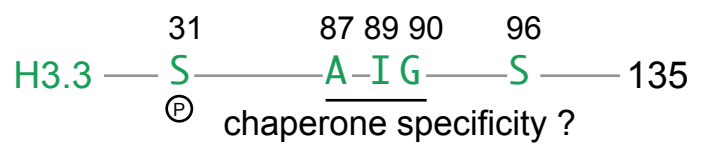
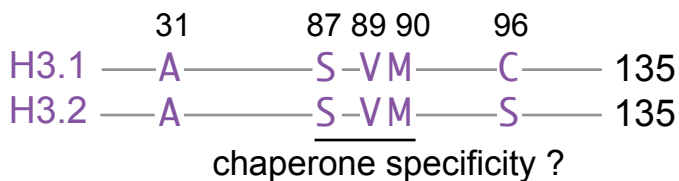
Two single genes



Constitutive expression throughout the cell cycle

TRANSCRIPTION

PROTEIN SEQUENCE



ENRICHED POST-TRANSLATIONAL MARKS

Before deposition

K9me1

K14ac

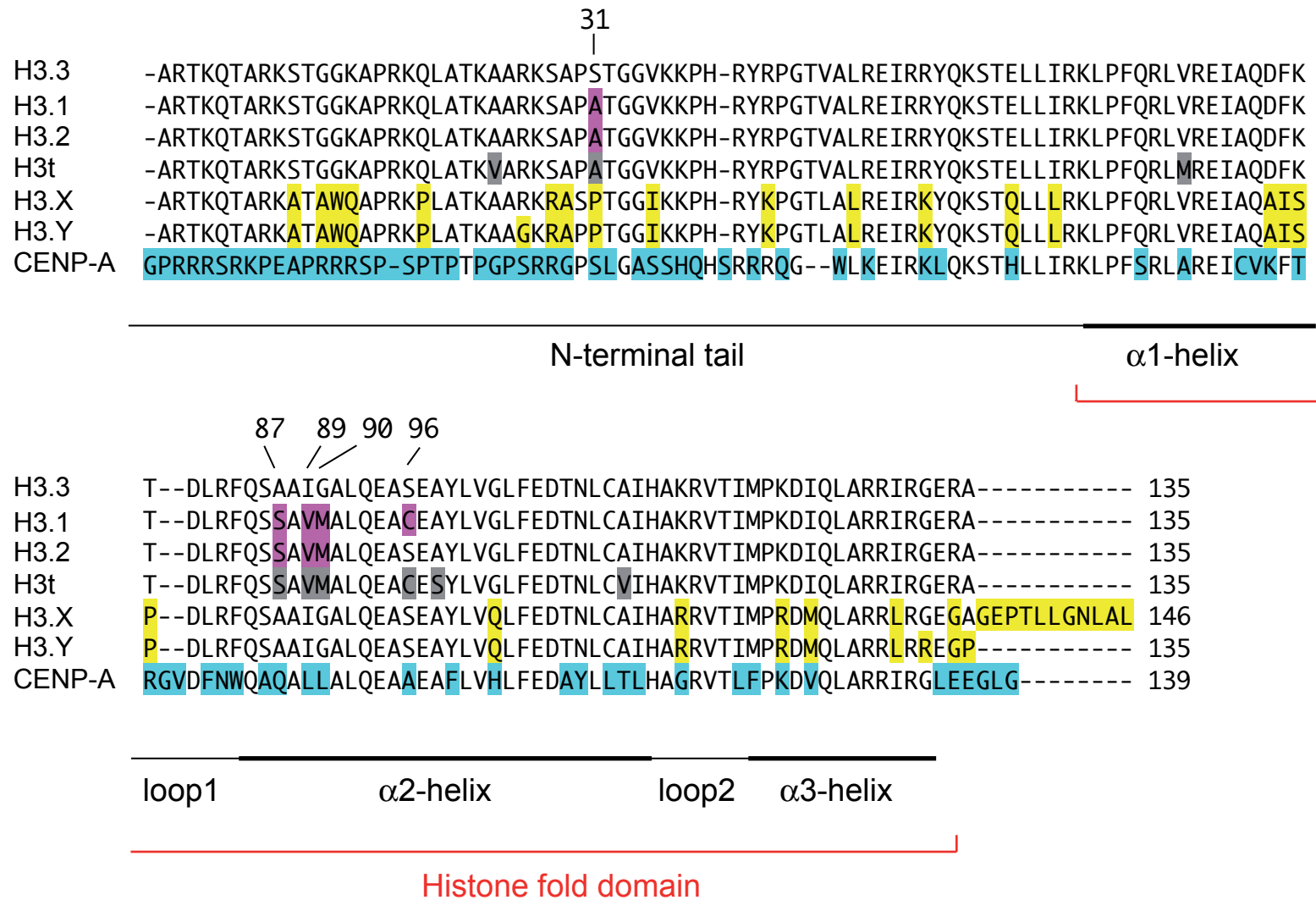
After deposition

K9me2/3
K27me3
K64me1

K4me2/3
K36me1/2
K79me1/2
K122me1
K9ac
K14ac

Figure 1: Sequence alignment and specific features of human H3 variants

A. Alignment of amino acid sequences corresponding to human H3 variants



B. Distinct features of human H3 variants

Histone H3 variants		Expression	DNA-Synthesis Coupled (DSC) or DNA-synthesis independent (DSI) depositions and contexts	
canonical	H3.1 H3.2	peak in S phase peak in S phase	DSC DSC	Replication and repair Replication and repair ?
replacement	H3.3	throughout the cell cycle	DSI	Transcription Male pronucleus formation Meiotic Sex Chromosome Inactivation (MSCI) Telomere and centromere heterochromatin
	H3t	?	-	Tissue specific (testis)
	H3.X	?	-	?
	H3.Y	?	-	Response to stress
	CENP-A	peak in G2 phase	DSI	Proper chromosome segregation