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Savanna domain in the herbivores-fire parameter space exploiting a tree-grass-soil water dynamic model

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Abstract

The tree-grass co-existence in savannas involves multiple and sometimes connected biogeophysical conditions. The savanna domain, its boundaries, and transitions (gradual or abrupt) to other vegetation types (i.e., grassland or forest) are fundamental for the management of ecosystems, and for preserving the biodiversity in present conditions and in future changing scenarios. Here we investigate the savanna domain within grazers-fire and browsers-fire parameter planes through a simple ecohydrological model of tree-grass-soil water dynamics. Stability maps allow to identify savanna domains, and to show the behavior of vegetation under increasing pressure of grazing and browsing. Stability maps shed light on the causes behind possible vegetation abrupt transitions (e.g., forest collapse and bush encroachment). An application to 15 African savannas sites is presented and discussed with the support of a local sensitivity analysis of the model's parameters.

Key words: herbivores, fire, savanna, state transition, gradient, model,

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sensitivity analysis

1 1. Introduction

The dynamics of vegetated ecosystems are controlled and driven by climate, substrate and disturbances such as fire, herbivory and human activtities. Vegetated ecosystems whose dynamics are dominated by the climate are called *climate dependent ecosystems* (Bond et al., 2003), whereas in the cases where other perturbations such as fires or herbivores are prominent, they are labeled as *fire dependent ecosystems*, or *consumer controlled ecosystems* (Bond et al., 2003, Bond and Keeley, 2005).

9 Where do savannas - that are ecosystems co-dominated by trees and grass10 - fit in this classification?

Field observations (Sankaran et al., 2005, Bucini and Hanan, 2007) reveal
a complex situation: savannas can be either *climate*, or *disturbance dependent ecosystems*, depending on the environment they occur in.

14Early models of savannas emphasized the role of soil water availability, and inferred savannas to be *climate dependent*. Walter (1971) describes sa-1516vannas as 'broad ecotones' between grasslands and forests depending on the 17mean annual rainfall; Shmida and Burgess (1988) illustrate the subtropical $succession (desert \rightarrow grassland \rightarrow open \ savanna \rightarrow closed \ savanna \rightarrow for-$ 18est) as the sequence of ecosystem types observed along a rainfall gradient. 1920In general under these conceptual models, water scarcity permits the co-21existence of trees and grasses by limiting the abundance of trees allowing 22grass to persist: this is a mechanism of *balanced competition* (Amarasekare, 232003).

24 More recent works have considered the role of fire in permitting the tree-25grass co-existence in savannas (Hanan et al., 2008, D'Odorico et al., 2006, 26Bond et al., 2003, Baudena et al., 2010, Accatino et al., 2010), indicating 27savannas as possible *fire dependent ecosystems*. In ecosystems where water 28scarcity already limits the tree density, and allows tree-grass co-existence, fire 29influences only the tree-grass ratio. On the other hand, if water is sufficient 30 to support the growth of trees, fire can be a limiting factor for tree cover, 31 permitting again the tree-grass co-existence, through a *bottleneck* mechanism in tree demography. Under these conditions, savannas can be viewed as fire 32 33 subclimaxes of forests (Scholes and Walker, 1993).

Yet other studies have suggested a role for herbivores in maintaining savannas (Scholes and Archer, 1997, Bond and Keeley, 2005, Baxter and Getz, 2005, Holdo, 2007). Particular attention has been paid to elephants, which are able to 're-engineer' the tree layer (Dublin, 1995).

Accatino et al. (2010), considering the joint role of rainfall and fire, have suggested that arid savannas are *climate dependent*, while moist savannas can be *fire dependent*.

41 In the savanna literature, tree-grass co-existence is often discussed in re-42lation to the existence of multiple steady states. Bifurcation analyses (van Langevelde et al., 2003, Baudena et al., 2010, Accatino et al., 2010) have 4344 pointed out the possibility that savannas may represent a bistability con-45dition with forest. Beyond the mathematical formalism, the existence of multiple steady states appears evident in field observations. Among the sev-46 47 eral cases that can be mentioned, we recall the macro-mosaics observable in 48tropical dry forests, where fire plays a fundamental role in the abrupt tran-

sitions from forest to savanna (Murphy and Lugo, 1986), the savanna-forest 4950hysteresis cycle in the Amazon basin (da Silveira Lobo Sternberg, 2001), and 51the changes observed in the Serengeti-Maara vegetation due to variations 52in fire and grazing regimes during the rinderpest pandemic period (Dublin, 531995). The key pieces of evidence offered in favor of multiple steady states 54are abrupt state transitions over time (hysteresis cycles) and space (macromosaics), bimodal state variable frequency or distribution, and the dual re-5556sponse to driving parameters (Schröder et al., 2005). The space variability plays an important role in maintaining savannas, and many spatial models 57were built in order to account the dynamics in space of the vegetation (see 58e.g., Klausmeier, 1999, Rietkerk et al., 2002, Gilad et al., 2007, Borgogno 59et al., 2009). However, in this work we point the attention on a spatially 60 61implicit model.

In particular, we extend the spatially implicit ecohydrological model of water dynamics in a tree-grass-soil system forced by rainfall and fire proposed by Accatino et al. (2010) to explicitly consider disturbances by herbivores, distinguishing grazers from browsers. By doing so, we are able to underline the different contributions of fire and herbivore disturbances to the tree-grass co-existence in savannas.

Then, we use stability maps in the grazers-fire and browsers-fire parameter planes to identify savanna domains, and to show the behavior of vegetation under an increasing pressure of grazing or browsing. In this way, we try to answer fundamental questions about the controls on savanna structure and function and explore the causes of abrupt transitions in savanna ecosystems dynamics.

We discuss the theoretical results using observed data collected in 15 savanna sites and characterized by particularly high or low values of fire occurrences and browsers density. The data are extracted by the database reported in Sankaran et al. (2005). The comparison between model outputs and observed data is commented with the help of a local sensitivity analysis of the model's parameters.

80 2. The action of fire, grazing and browsing on savannas

Fires and herbivores are 'direct' disturbances to the demography of veg-81 82 etated ecosystems (Hobbs and Huenneke, 1992). The interactions among 83 rainfall, fire and herbivores in shaping the vegetation are sketched in Fig. 1(a). Fires shape savanna structure by acting as a determinant of the tree 84 85 layer composition (Frost et al., 1986, Bond and van Wilgen, 1996). The quantity of tree biomass is, in general, negatively correlated with the fire 86 87 frequency (Scholes and Walker, 1993). The spread and intensity of fire is supported by the abundance of dead grasses (fuel load) during the dry sea-88 sons (Scholes and Archer, 1997, Govender et al., 2006). Fires seldom damage 89 90 adult trees, but knock back or kill tree seedlings and saplings in the flame zone, the height of which is determined by the abundance of dead grass. 91 92Thus fires act as a demographic bottleneck, restricting the recruitment of 93small trees to the adult class.

94 Large mammal herbivores in African savannas form a continuum from 95 eaters of grass only (grazers) to eaters of trees only (browsers) (McNaughton 96 and Georgiadis, 1986). It is nevertheless useful to split them into two dis-97 crete classes, grazers and browsers, to evidence their different feedbacks on

fire via the quantity of dead grass (fire's fuel load). Grazers directly reduce 9899the quantity of dead grass which controls the spread and intensity of fires, 100 which in turn reduces tree recruitment (negative feedback of grazers on fire), 101see Fig. 1(b). Browsers indirectly increase fuel load (positive feedback of 102 browsers on fire) reducing the competitive effect of trees on grass production (Scholes and Archer, 1997, van Langevelde et al., 2003), see Fig. 1(c). The 103decline of woodlands in presence of browsers has been widely documented, 104 105e.g., in East Africa (Laws, 1970), in Ruaha National Park (Barnes, 1983), in Botswana (Ben-Shahar, 1993, Skarpe et al., 2004), in Serengeti-Maara 106107 (Dublin, 1995), and in Etosha National Park (de Beer et al., 2006). Among 108browsers, the elephants Loxodonta africana have the most dramatic impacts on the woody vegetation, leading to the so-called 'Elephant problem'. Ele-109 phants impacts are more marked when they have been confined within a 110 111 remnant of a formerly wider range (Dublin, 1995). Accepted

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Figure 1: Panel (a) sketches interactions among rainfall, fire, herbivores, soil moisture, trees and grass. Continuous (dashed) lines indicate positive (negative) interactions. Panels (b) and (c) show respectively feedbacks of grazers-fire and browsers-fire on trees and grass.

112 **3.** Ecohydrological model of tree-grass dynamics

113 We represent the tree-grass dynamics by a minimal ecohydrological model introduced by Accatino et al. (2010). This model implicitly considers trees 114115as superior competitors for space (in the sense of Tilman, 1994) since they can overtop grass; while it explicitly models the competition between trees 116 117and grass for soil water within the root zone, and the differential disturbance action of fire on grass and trees. The model has been slightly modified to 118 119explicitly consider also the role of grazers and browsers on grass and trees respectively. The state variables are three: 1) the soil water content, S, 120121within the pore volume of a column of soil unitary area with depth equal to 122the root zone, 2) the fractions of area covered by trees, T, 3) the fractions of area covered by grass, G. All the state variables are dimensionless and 123range between 0 and 1 (a further condition is $0 \le T + G \le 1$). The tree-124125grass-soil water dynamics are mathematically described by the following set 126of differential equations:

$$\begin{cases} \frac{dS}{dt} = \frac{p}{w_1}(1-S) - \epsilon S(1-T-G) - \tau_T ST - \tau_G SG \\ \frac{dT}{dt} = \gamma_T ST(1-T) - \delta_{T0}T - bT - \delta_F fGT \\ \frac{dG}{dt} = \gamma_G SG(1-T-G) - \gamma_T STG - \delta_{G0}G - gG - fG. \end{cases}$$
(1)

127 The first row of Eq.(1) represents the soil water balance in the pore volume 128 (w_1) having unitary area and as depth the root zone. The term p/w_1 is the 129 rainfall input where p [mm/yr] is the mean annual rainfall, and p/w_1S is the 130 leakage, modelled as linearly dependent on S to keep the model analytically 131 tractable. The terms $\epsilon S(1 - T - G)$, $\tau_T ST$, $\tau_G SG$ are representative respec-

tively of evaporation from bare soil fraction (1 - T - G), water uptake from 132133tree fraction, and grass fraction. The maximum water uptake parameters ϵ , τ_T , and τ_G , all in units [1/yr], are representative of the potential evapotran-134135spiration terms scaled by w_1 . The second and third rows in Eq.(1) describe 136the dynamics of trees and grass, respectively. Tree growth term $\gamma_T ST(1-T)$ 137is proportional to the fraction of soil not occupied by trees (1-T), i.e., trees can overtop grass, and grass growth term $\gamma_G SG(1-T-G)$ is proportional to 138139the bare soil fraction (1 - T - G). The tree fraction is reduced by senescence $\delta_{T0}T$, browsing activity bT and fires $\delta_F f GT$, where fG is representative of 140the fuel load. The grass fraction is reduced by tree capability to displace 141 142grass $\gamma_T STG$, senescence $\delta_{G0}G$, grazing activity gG, and fires fG. In particular, γ_T and γ_G represent the potential growth rate for trees and grass, δ_{T0} 143144and δ_{G0} are the disappearance rates in the absence of fire and herbivores. For 145the trees equation, b is an additional removal rate representing browsing, or tree harvesting, while δ_F represents the tree vulnerability to fires. Similarly 146in the grass fraction equation, the additional removal rate is q and repre-147148sents grazing, while f is the fire frequency. All parameters of the second and 149third rows carry dimensions of [1/yr] except δ_F that is dimensionless. All the parameters of Eq.(1) are positively defined. 150

Equation (1) admits five steady state solutions (i.e., dS/dt = dT/dt = dG/dt = 0), but only four satisfy the conditions $0 \le T \le 1$, $0 \le G \le 1$, and $0 \le T + G \le 1$. Of these, the first solution is characterized by the absence of vegetation, and we will refer to it as unvegetated, i.e. T = 0, G = 0. The second solution has grass only, i.e. (desert) grassland, T = 0, $0 < G \le 1$. The third solution has trees only, i.e. forest, $0 < T \le 1$, G = 0. The

fourth solution has a co-existence of tree and grass, i.e. savanna, 0 < T < 1, $0 < G \leq 1 - T$. The steady states are available analytically (not reported here for brevity). A linear stability analysis of the steady states has been performed evaluating the eigenvalues of the Jacobian matrix associated to the model. In particular, if the real part of all the eigenvalues is strictly negative, the steady state is stable. For further details about the model and parameters' values see Accatino et al. (2010).

164In the following section, we will assume as reference values for the parameters: $p = 700 \text{ [mm/yr]} w_1 = 345 \text{ [mm]}, \epsilon = 20 \text{ [1/yr]}, \tau_T = 30 \text{ [1/yr]},$ 165 $\tau_G = 10 \ [1/yr], \ \gamma_T = 2 \ [1/yr], \ \gamma_G = 200 \ [1/yr], \ \delta_{T0} = 0.02 \ [1/yr], \ \delta_{G0} = 2$ 166[1/yr], and $\delta_F = 0.35$, while b varies between 0 and 0.1 [1/yr] and g between 1670 and 10 [1/yr]. Note that the total removal terms, i.e., $\delta_{G0} + g$ and $\delta_{T0} + b$, 168169may exceed the range indicated by Accatino et al. (2010), under conditions of 170a high level of disturbances - a situation of particular interest in the present 171work.

172Annual rainfall, fire, and herbivores are environmental forcings treated 173here as constants. However, rainfall and fire are stochastic variables as prop-174erly assumed by Fernandez-Illescas and Rodriguez-Iturbe (2003) for the rain-175fall forcing, by D'Odorico et al. (2006), Hanan et al. (2008) for fire. Herbi-176vores are more properly considered as dynamic state variables whose abundance depends on the resource availability as treated in May (1977), van de 177178Koppel et al. (1997). The stochastic behavior of the environmental forcings, 179like rainfall and fire, could be considered through a statistical dynamical 180 approach as outlined by De Michele et al. (2008) and Vezzoli et al. (2008). 181 The dynamical behavior of herbivores could be treated by adding new state

182 variables to the present model. The deterministic variable-parsimonious ap-183 proach, we have adopted here, provides results that can be easily extended 184 in a more realistic dynamic-stochastic framework. This extension, however, 185 is out the scope of the present work, and it will be considered in a future 186 study.

187 4. Where are savannas in the herbivores-fire space?

188 Accatino et al. (2010) have investigated the existence and the stability of 189savannas with respect to rainfall and fire forcings (i.e., in the parameter plane p-f) showing that savanna is stable for low values of rainfall ($100 \le p \le 600$ 190[mm/yr]) and fire (0.2 \leq f \leq 0.8 [1/yr]). In this region, savannas are the 191result of a limited water availability, and are *climate dependent ecosystems*. 192Conversely, for high values of rainfall (600) and fire193(f > 0.8 [1/yr]), savanna is in bistability with forest. In this case, savannas 194are permitted by the frequent fire disturbances making them fire dependent 195196 ecosystems.

197In the current work, the savanna domain is explored with respect to 198disturbances, herbivores and fire, with the aim of clarifying the savanna 199steady state stability with different types and levels of disturbances. In the following two subsections, the stability maps in the planes g - f and b - f are 200 201derived and presented in panels (a) of Figs. 2 and 3, respectively. Stability 202maps will be used to study the changes of the vegetation composition along 203gradients of grazing and browsing pressure. The change in the values of tree 204and grass fractions at a fire frequency level of f = 0.5 [1/yr] will be discussed 205in the light of savanna ecosystems transitions, e.g., pathways J-M and L-K

in panels (b) and (c) of Fig. 2 or pathways Z-X and Y-W in panels (b) and(c) of Fig. 3.

In Fig. 2 the parameter g varies from 0 to 10 [1/yr] and browsing is absent (b = 0 [1/yr]). In Fig. 3 the parameter b varies from 0 to 0.1 [1/yr], and grazing is absent (g = 0 [1/yr]). The fire frequency, f, varies from 0 to 1.2 [1/yr] for both maps.

212They show five regions, three of them are characterized by one stable 213state: grassland (G), savanna (S), and forest (F), while the remaining ones 214by two alternative stable states (regions of bistability): sayanna/forest (S/F)and grassland/forest (G/F). The qualitatively symmetrical behavior of the 215stability maps in the parameter space g - f, Fig. 2(a), and b - f, Fig. 2163(a), derives from the use of the same functional form to represent grazers 217218 (-qG) and browsers (-bT) effects respectively on the state variables G and T, as underlined in Figs. 1(b) and 1(c). If grass removal rate g increases, 219220the possibility of trees to completely displace grass is enhanced. Conversely, 221if trees removal rate b is increased, the grass competitiveness for space is 222enhanced, thus savanna and grassland ecosystems appear for high values of 223b. A similar symmetrical behavior is expected drawing the stability maps in $\gamma_G - f$ and $\gamma_T - f$ parameter spaces. 224

225 4.1. Stability map in the grazers-fire space

In the plane g-f, Fig. 2(a), savanna is stable in the bottom-left side of the panel (region S) within the domain $0 \le f \le 0.9$ [1/yr] and $0 \le g \le 2$ [1/yr], where the last upper limit depends on the right boundary of the region. In this region the co-existence mechanism is the balanced competition, because the tree growth is limited by the water scarcity and grass (provided that the

231 grazing off-take is sufficiently low) is still able to grow. Moreover, the light 232 grazing allows the accumulation of dead grass which fosters more frequent 233 and severe fires further limiting the tree fraction.

Grassland is stable in the top-left side of the panel (region G), for $f \gtrsim 0.9$ [1/yr] and $g \lesssim 1$ [1/yr]. Here the high fire frequency and the low grazing level lead to the exacerbation of balanced competition mechanisms causing the absence of trees. Thus, at low grazing pressure, fire is fundamental in maintaining grasslands (Zimmermann et al., 2009).

239The vegetation change from an herbaceous environment to a mixed tree-240 grass landscape, observed at the time of the rinderpest pandemic (late 19^{th} 241century) in Masai Mara, can be discussed using the grazers-fire plane. As described in Dublin (1995), at the end of 19^{th} century, the ecosystem was a 242 243grassland under high levels of grazing and human induced fires. When the 244rinderpest virus began to spread, cattle and wild herbivores died, the human population declined, having as consequence a reduction in grazing activity 245and human induced fires. Thus, trees appeared and spread converting the 246247 ecosystem into a savanna state - a shift from region (G) to region (S) in Fig. 2482(a).

Forest state is stable in the bottom-right side of the panel (region F). Grazing pressure is so high that, though the tree fraction may be at its bioclimatic limit as imposed by the water scarcity, grass does not have any chance to survive. Within this region the tree fraction is independent of the fire frequency (f) and the grazing level (g).

The bistability region (G/F) located in the top-right side of the panel, presents grassland and forest as potential states. Similarly region (S/F)

256presents two alternative steady states: savanna and forest. The bistability 257implies that a small perturbation of the environmental forcings can provoke 258an abrupt transition from one state to another, resulting in changes in the 259landscape at the macro-scale. In both bistability regions, grass can survive 260because fires prevent the tree domination, causing a bottleneck in tree de-261mography. Thus, these are *fire dependent ecosystems*. On the other side, the 262achievement of the forest state is possible thanks to the feedback illustrated 263in Fig. 1(b).

264Panels (b) and (c) of Fig. 2 represent respectively the steady states of 265tree and grass fractions along a grazing gradient at fire frequency f = 0.5266 [1/yr]. Starting from point A (savanna), increasing the grazing level, first the 267 point K is reached, and thence the abrupt transition K-L occurs leading the 268system to the forest state (point L). Transitions like K-L (i.e., the invasion of 269woody species into the grass stratum) are widely encountered in the savanna 270literature under the name of bush encroachment (Skarpe, 1990, Prins and 271van der Jeugd, 1993, Smit, 2004, Wiegand et al., 2005, Coetzee et al., 2008, 272among others). Bush encroachment has been associated with periods of 273high rainfall (O'Connor, 1995), following after periods of drought during 274which there was high grazing pressure by domestic livestock (Moleele and 275Perkins, 1998, Roques et al., 2001). Grazers would promote the dominance 276of trees over grass, directly, by removing grass and, indirectly, by reducing 277the amount of available fuel load (dead grasses). Moleele and Perkins (1998) 278report that in a non-grazed zone fires are more frequent than in adjacent 279encroached zones, probably because of the reduced presence of dead grass in 280the latter.

Transitions like M-J can characterize also other phenomena able to increase the overall tree removal rate (e.g. harvesting for timber or domestic fuel) that facilitate the grass presence and the tree-grass co-existence. This condition is widespread in the most densely-settled rural savanna regions.

and the second s



Figure 2: Type of vegetation in the grazers-fire parameter plane. Panel (a) gives the stability map in the plane g-f. Panels (b) and (c) provide changes in vegetation structure over the grazing gradient A-B at fire frequency f = 0.5 [1/yr]: panel (b) shows grass fraction, while panel (c) the tree fraction. Transitions K-L and M-J are described at the end of the *Stability in the grazers-fire space* paragraph. The parameters values are p = 700 [mm/yr], $w_1 = 345$ [mm], $\epsilon = 20$ [1/yr], $\tau_T = 30$ [1/yr], $\tau_G = 10$ [1/yr], $\gamma_T = 2$ [1/yr], $\gamma_G = 200$ [1/yr], $\delta_F = 0.35$, $\delta_{T0} = 0.02$ [1/yr], b = 0 [1/yr], $\delta_{G0} = 2$ [1/yr].

285 4.2. Stability map in the browsers-fire space

286In the plane b - f of Fig. 3(a), savanna is stable in the bottom-right side 287of the panel within the domain defined by a line from (b = 0.001, f = 0)to (b = 0.01, f = 0.9) on the left, and a line from (b = 0.01, f = 0.9) to 288(b = 0.1, f = 0.6) on the top. In this domain the tree-grass co-existence is 289290due to the balanced competition: trees are limited by water scarcity, fire and 291browsing pressure. Note that in this region the savanna state is stable also in absence of fire, f = 0 [1/yr]. In other words, the presence of browsers is 292293sufficient to prevent the formation of a woodland (Scholes and Archer, 1997). 294In region (G) of Fig. 3(a), grassland is stable in the top-right side for 295 $b \gtrsim 0.01 \ [1/yr]$ and the upper boundary of savanna region. This is due to the 296high fire frequency that enhances the browsing-fire feedback shown in Fig. 1(c). In region (F), forest is stable in the bottom-left side for small values of 297 $f \ (\lesssim 0.6 \ [1/yr])$ and b varying between 0 and 0.004 [1/yr]. In this case the 298browsing level is not enough to balance the competitive pressure of trees on 299grass for space and water. 300

301 In region (S/F) of Fig. 3(a), characterized by $0.6 \leq f \leq 0.9 [1/yr]$ and 302 $b \leq 0.01 [1/yr]$, savanna and forest are the alternative stable states. In this 303 region, fire is sufficient to prevent the closure of the tree canopy, whereas 304 browsing pressure alone is insufficient. In region (G/F), characterized by 305 $f \gtrsim 0.9 [1/yr]$ and $b \leq 0.01 [1/yr]$, fire can cause the extinction of trees. 306 Savannas and grasslands found in these bistability regions are *fire dependent* 307 *ecosystems*.

308 Panels (b) and (c) of Fig. 3 represent respectively the steady states of 309 grass and tree fractions respectively along a browsing gradient at f = 0.5

[1/yr]. Moving along the browsing gradient from point C (forest) to point 310 311 D (savanna), the bistability region (S/F) is crossed, and the steady state 312 is dependent on the initial condition. If the initial state is forest (point 313C) grasses remain absent as long as the browsing pressure is below 0.006 [1/yr], (line C-X-Y in Fig. 3(b)). For further increases of b, the tree-grass 314 co-existence is possible (line W-D in Figs. 3(b) and 3(c)) and the savanna 315316 state is reached. The transition between forest (point Y) and savanna (point 317 W) is abrupt. Conversely, if the initial state is savanna, point D in panels (b) and (c) of Fig. 3, a browsing pressure above 0.0011 [1/yr] supports 318the savanna state (line D-W-Z in Fig. 3(b)), while for b < 0.0011 [1/yr] 319320 grasses disappear and only trees are present at the equilibrium (line X-C). 321The transition between savanna (point Z) and forest (point X) is also abrupt. An example of the hysteresis cycle Y-W-Z-X may be the cyclic elephants-322 323vegetation dynamics hypothesized by Caughley (1976). Elephants can cause 324a forest/woodland collapse (transition Y-W). The recovery of the woody 325fraction (transition Z-X) is possible only reducing the browsers pressure, 326 e.g., when the elephants move to a different place.



Figure 3: Vegetation type in the browsers-fire parameter plane. Panel (a) gives the stability map in the plane b - f. Panels (b) and (c) provide changes in vegetation structure over the browsing gradient C-D at fire frequency f = 0.5 [1/yr]: panel (b) shows grass fraction, while panel (c) the tree fraction. Transitions X-Y and Y-W are described at the end of the *Stability in the browsers-fire space* paragraph. The other parameters values are p = 700[mm/yr], $w_1 = 345$ [mm], $\epsilon = 20$ [1/yr], $\tau_T = 30$ [1/yr], $\tau_G = 10$ [1/yr], $\gamma_T = 2$ [1/yr], $\gamma_G = 200$ [1/yr], $\delta_F = 0.35$, $\delta_{T0} = 0.02$ [1/yr], $\delta_{G0} = 2$ [1/yr] and g = 0 [1/yr].

327 5. Case studies

Here we examine a set of 15 sites contained in the Sankaran et al. (2005) database, which includes 854 savanna sites from all over the African continent. For each of the 854 sites, measurements of mean annual rainfall, fire frequency, and woody cover are available, but information on the biomass of herbivores is available only for 129 of the sites; in particular, we are concerned with information on browsers.

For this reason, we selected 15 sites characterized by particularly high or low values of browsers biomass (\mathcal{B}) and fire frequency (f). Specifically, we mean for 'low' fire frequency values of f < 0.05 [1/yr], and 'low' browsing density $\mathcal{B} < 100$ [kg/km²]; whereas for 'high' fire frequency values of $f \ge 0.5$ [1/yr] and 'high' browsing density $\mathcal{B} \ge 900$ [kg/km²].

339 Consequently, we examine four disturbance regimes: i) low browsing and low fire frequency (hereinafter referred to as L-L and indicated with • in Figs. 340 4-7); ii) high browsing and low fire frequency $(H-L, \circ)$; iii) high browsing and 341 high fire frequency $(H-H, \Diamond)$; iv) low browsing and high fire frequency $(L-H, \Diamond)$ 342 343 \blacklozenge). Figure 4 gives the geographical location of the 15 sites. Four of them are 344 in Ivory Cost (region A), five in Kenya (region B) and six in South Africa 345 (region C). Table 1 reports the main information about the 15 sites and 346 indication of the disturbances regime.



Figure 4: Location of the 15 African sites considered in Ivory Coast (A), Kenya (B), and South Africa (C). Sites symbology is representative of the disturbances regime: L-L (\bullet), H-L (\circ), H-H (\diamond) and L-H (\blacklozenge).

Table 1: Values of the main variables in the 15 African sites, after Sankaran et al. (2005). Sites labeled as A are in Ivory Coast, B in Kenya and C in South Africa. The acronymous CNP stands for Comoé National Park, MRC for Mpala Research Center, KNP for Kruger National Park.

Site	Location	MAP	Woody	Fire return	Browsers	Disturbance
			Cover	period	biomass	regime
		$[\mathrm{mm/yr}]$	[%]	[yr]	$[\mathrm{kg/km^2}]$	R
A1	Lamto	1163	5.5	1	88	L-H
A2	Lamto	1163	14.7	1	88	L-H
A3	Lamto	1163	40.1	1	88	L-H
A4	CNP	943	30	1	1035	H-H
B1	MRC	469	41.3	>20	0	L-L
B2	MRC	469	29.2	>20	4768	H-L
B3	MRC	530	45	>20	0	L-L
B4	MRC	530	29.8	>20	4768	H-L
B5	MRC	366	40.1	>20	0	L-L
C1	Klaserie	465	41	>10	1000	H-L
C2	Klaserie	500	35	>10	2000	H-L
C3	KNP	485	19.55	>50	907	H-L
C4	KNP	497	6.14	1	943	H-H
C5	KNP	510	1.7	1	1016	H-H
C6	KNP	435	51.9	22.25	38	L-L

The four sites, hereinafter referred as A1, A2, A3 and A4, in Ivory Coast 347 348 (region A) are characterized by a tall grass savanna ecosystem. The sites 349 A1, A2, and A3, located in Lamto Research Station, are characterized by a 350mean annual rainfall of about 1160 [mm/yr] and the vegetation is a mosaic 351of grass, shrub, and tree savannas on the edge of rain forests (Abbadie et al., 2006). The fourth site, A4, is located at the Comoé National Park, and is 352 characterized by a mean annual rainfall of about 950 [mm/yr], high browsers 353 density (about 1035 [kg/km²]), and a fire return period of 2 years, Sankaran 354 et al. (2005). The Comoé National Park vegetation is a mosaic of dense 355shrubby savanna, forest islands, and gallery forests along the main rivers. 356 357 The 'climax' vegetation of this area would be semi-deciduous forest, but its development is prevented by fires (Hovestadt et al., 1999). 358

The five sites, B1 to B5, are located at Mpala Research Centre in Kenya (region B), and are characterized by a mean annual rainfall varying from north to south between 366 [mm/yr], B5, and 530 [mm/yr], B3 and B4, and a fire return period greater than 20 years, see Table 1. A bushland community covers 99% of the landscape consisting of a discontinuous layer of perennial grasses and a shrub layer. The remaining 1% of the landscape is dominated by short-grasses (Augustine and McNaughton, 2006).

The South African sites (region C), C1 to C6, are located in the northeast 'lowveld', two of them, C1 and C2 are in the Klaserie reserve adjacent to Kruger National Park where are located sites C3, C4, C5, and C6. From north to south the mean annual rainfall varies between 435 [mm/yr] (site C6) and 510 [mm/yr] (site C5). The site C6, in the Kruger National Park, is characterized by a browsers density of 38 [kg/km²] and a fire frequency of

0.04 [1/yr]. The sites C1 and C2 are in the Klaserie reserve, with a browsers
density of 1000 and 2000 [kg/km²] and a fire frequency of 0.1 [1/yr], and site
C3 is in Kruger National Park with browsers density equal to 907 [kg/km²]
and a fire return period of about 50 years (Biggs et al., 2003). The sites
C4 and C5, both in Kruger National Park, are characterized by a browsers
density of about 1000 [kg/km²] and a fire return period of 1 year (Sankaran
et al., 2005).

379 Figure 5 shows the 15 sites in the mean annual rainfall - woody cover 380plane, where the line represents the piecewise linear regression on the 99th 381 quantile as in Sankaran et al. (2005). The upper limit of woody cover is 382approximately linearly dependent on the mean annual precipitation when is below 650 [mm/yr], and independent above this value. The piecewise linear 383 384 regression is representative of the maximum woody cover attainable for a 385given mean annual rainfall in the absence of disturbances. Ecosystems close to this limit are *climate dependent*. An ecosystem represented by a point 386 below the piecewise linear regression is a site where disturbances allow a 387 388 tree cover different from the one expected considering the climate conditions 389only. In this case the ecosystem is denominated disturbance dependent (fire 390 *dependent* is one example). Conversely, if the action of fire and herbivores 391 induces on the vegetation only small modifications with respect to the state 392 predicted by the climate conditions, we refer to this ecosystem as *perturbed* 393 climate dependent.

Figure 5 shows that the 15 sites are located around two values of mean annual rainfall, 400-500 [mm/yr] (L-L, H-L, and H-H sites) and 1160 [mm/yr] (L-H sites), except for Mpala research center, site B5, with a mean annual

397 rainfall of 360 [mm/yr] and Comoé National Park, site A4, that presents a mean annual value of about 950 [mm/yr]. Using the stability maps given 398 in Fig. 6, we compare the observed ecosystem composition with the steady 399 400state derived by the model. The stability maps in the b-f parameters space 401 are drawn at p = 500 [mm/yr] in Fig. 6(a), and at p = 1160 [mm/yr] in Fig. 6(b). Stability maps in Fig. 6, are obtained using the following values of the 402 parameters: $w_1 = 345 \text{ [mm]}, \epsilon = 20 \text{ [1/yr]}, \tau_T = 30 \text{ [1/yr]}, \tau_G = 10 \text{ [1/yr]},$ 403 $\gamma_T = 2 \ [1/\text{yr}], \ \gamma_G = 200 \ [1/\text{yr}], \ \delta_{T0} = 0.02 \ [1/\text{yr}], \ \delta_{G0} + g = 2 \ [1/\text{yr}] \text{ and}$ 404 $\delta_F = 0.35.$ 405

406We assume an allometric relation between the density of browsers, \mathcal{B} , in 407 units of $[kg/km^2]$, and the removal coefficient, b, in units of [1/yr], mathematically defined by the power law function $b = a_b \mathcal{B}^{\alpha_b}$. This is supported by 408 the existing allometric law between the body mass of mammals and the cor-409 410responding metabolic rate characterized by an exponent approximately equal to 0.7, (Schmidt-Nielsen, 1984, Dodds et al., 2001, White and Seymour, 2003, 411Kolokotrones et al., 2010). Here the parameters of the allometric equation are 412calculated as follows. The vegetation removal term -bT causes a reduction 413414of the tree extinction time, quantified in $\exp(-(\delta_{T0}+b)t)$. Thus if we assume that in presence of a 'low' browsers density, say of $100 \, [kg/km^2]$, the trees 415 416 extinction time is reduced of 33%, and a 'high' density, say of 900 [kg/km²], 417the reduction is of 66% (with a reference value of $\delta_{T0} = 0.02 \, [1/yr]$) then 418 the corresponding estimates are b = 0.01 [1/yr] and b = 0.04 [1/yr]. These 419couples of b and \mathcal{B} allow to estimate the parameters of the allometric relationships using the Least Squares Method: $a_b = 5.82 \cdot 10^{-4} \left[(\mathrm{km}^2/\mathrm{kg})^{\alpha_b}/\mathrm{yr} \right]$ 420 421 and $\alpha_b = 0.62$ dimensionless. The estimate of the exponent α_b (even though

422 an approximation) is not very different from the one used for the methabolic 423 rate-mass law (≈ 0.7). Note that a similar relation could be obtained to 424 link the grazers density with the removal coefficient g. The allometric rela-425 tionship allows to convert the browsers densities observed in the 15 sites of 426 interest into values of the removal coefficient b. Figure 6 gives the location 427 of the sites in the b - f plane.

The 15 sites considered have been located on the stability maps according to the observed values of mean annual rainfall, browsers density, and fire return period, see Table 1. Note that for sites where the fire return period is relatively long and not given specifically (i.e., given as disequalities > 10, > 20, > 50 years in Table 1), we have assumed f = 0 [1/yr].

Each site falls within a stability region according to the estimated parameters' values. Clearly, changes in the parameters' values can cause changes
in the stability region to which a site pertains.

We have performed, for each site, a sensitivity analysis varying (increasing
and decreasing) one parameter at the time until the stability region changes,
obtaining a range of variability for each parameter.

This analysis allows to characterize, locally, which parameter is to be considered 'stiff' (i.e., a small variation in the parameter value causes a structural change in the stable steady state) and which 'sloppy' (i.e., a small variation of the parameter does not correspond a structural change of the steady state) in the sense of Gutenkunst et al. (2007).

444 According to the model, Eq.(1), and in order to reduce the number of 445 parameters to be investigated, we have grouped together p and w_1 as p/w_1 , 446 δ_{T0} and b as $\delta_{T0} + b$, and δ_{G0} and g as $\delta_{G0} + g$. Note that a parameter

447 could behave asymmetrically under increasing or decreasing of its value, i.e., 448 a parameter could be sloppy on the right side (increasing its value) and stiff 449 on the left side (decreasing its value). Here, for simplicity, we have classified 450 a parameter as stiff in average if its range of variability normalized by the 451 parameter value is below 3.5, otherwise it is considered sloppy. Here we have 452 considered 10 as the maximum magnification factor of a parameter.

Figure 7 presents the results of the sensitivity analysis for some keyed sites: A1, B1, B2, C1, C2, C4 and C6. Site A1 is chosen as representative of all the L-H sites. The site B1 is representative of the behavior of the L-L sites B3 and B5, but not of the L-L site C6. The sites B2 and C4 are representative of the behavior of the sites B4 and C5 respectively. Figure 7 will be discussed in the light of the disturbance regimes in the next section where we examine separately the four different combinations of disturbances.

$460 \quad L-L \ sites$

In Fig. 5 L-L sites (•) are located close to the piecewise linear regression 461 462indicating that these are *climate dependent ecosystems*. The low level of 463 browsing and fire disturbances makes the woody cover of these sites mainly dependent by the mean annual rainfall. In the stability map (Fig. 6(a)), 464 465obtained for p = 500 [mm/yr], sites B1 and B3 fall in the forest region and 466 site C6 in the savanna region close to the boundary with the forest. The woody cover is 41.3% and 45% respectively in sites B1 and B3, and 51.9%467 468in site C6, see Table 1 and Fig. 5. The fact that the sites B1 and B3, 469 observed as savannas, fall within the forest stability region can be explained 470considering the results of the sensitivity analysis. From this, it is possible to 471 observe that 1) the parameters $\delta_{T0} + b$ and γ_G are stiff for sites B1 and B3;

472 2) the stability region of these sites changes from forest to savanna if $\delta_{T0} + b$ 473 or γ_G slightly increase. Concerning site C6, the stiff parameters are γ_T and 474 $\delta_{G0} + g$, Fig. 7, and the stability region of C6 changes from savanna region 475 to forest if small increases of γ_T or $\delta_{G0} + g$ occur, from savanna to grassland 476 if γ_T decreases. These results are coherent with the position of these sites 477 close to the boundary between forest and savanna in the stability map, Fig. 478 6(a).

479 H-L sites

H-L sites, namely B2, B4, C1, C2 and C3 (o in Fig. 5) receive approximately the same mean annual rainfall of L-L sites, but have a lower woody cover. The high level of browsing pressure makes the ecosystems *perturbed climate dependent*. All the H-L sites belong to the savanna region, as expected, Fig. 6 (a).

The sensitivity analysis identifies ϵ , τ_G and $\delta_{T0} + b$ as stiff parameters for 485sites B2 and B4, γ_T and $\delta_{G0} + g$ for sites C1 and C3, and $\delta_{T0} + b$ for site C2. 486487 For sites B2 and B4 a small increase of one among ϵ , τ_G and $\delta_{T0} + b$ moves the 488 equilibrium from savanna to grassland, while a decrease of $\delta_{T0} + b$ transforms 489the ecosystem in forest. A variation of γ_T induces a change in the stable region of sites C1 and C3: from savanna to forest if γ_T is increased, and to 490491grassland if γ_T is decreased. An increase in $\delta_{G0} + g$ also causes a change from 492 savanna to forest for sites C1 and C3. Site C2 belongs to grassland region 493when $\delta_{T0} + b$ is increased, and to forest if the parameter value is reduced.

494 H-H sites

495Of the H-H sites, C4 and C5 (\Diamond in Fig. 5) have approximately a mean annual rainfall of 500 [mm/yr] with a low woody cover (1.7% and of 6.1%, re-496497 spectively), and are the result of the browsers-fire synergy. These ecosystems 498are considered *perturbed climate dependent*. According to the parametriza-499tion, these sites do not fall into savanna (as expected) but in grassland region 500(see Fig. 6(a)). This disagreement could be explained noting that the woody 501cover observed in the sites is very low, thus the ecosystems are more sim-502ilar to a grassland than to a savanna. On the other hand, the sensitivity 503analysis indicates p/w_1 , γ_T and $\delta_{G0} + g$ as stiff parameters; thus a slight increase of parameters values p/w_1 and γ_T remap the sites into a savanna 504505region. A small increase of $\delta_{G0} + g$ allocates the sites into the bistability 506 region grassland/forest, as well as, a strong reduction in the values of $\delta_{T0} + b$ 507or γ_g .

508 L-H sites

509L-H sites, namely A1, A2, and A3 (\blacklozenge in Fig. 5) are characterized by an 510observed woody cover of about 5.5%, 14.7%, and 40.1%, respectively, lower than the ones (about 80%) expected by the model for a mean annual rainfall 511512of about 1160 [mm/yr], see Fig. 5. The abundance of water combined with a low browsing pressure ($\mathcal{B} = 88 \, [\text{kg/km}^2]$) would favour a forest state, but 513514the high fire frequency (in average one event/year) causes the reduction of 515the woody cover. According to this combination of environmental forcings, 516the model locates these savanna sites in the bistability region savanna/forest, 517see Fig. 6(b). This result is supported by the geographical location of sites 518A1, A2, and A3: they are close to the edge of the rainforest (Abbadie et al.,



Figure 5: Representation of the 15 African sites in the mean annual rainfall - woody cover plane. Sites are represented according to the disturbances regime: L-L (\bullet), H-L (\circ), H-H (\diamond), and L-H (\blacklozenge).

- 519 2006). This is an example of bistability in space between savanna and forest520 (Schröder et al., 2005).
- 521 For L-H sites, the sensitivity analysis shows that all the parameters, ex-522 cept for τ_T , are stiff, see Fig. 7: this is coherent with the hypothesis that 523 these savannas are fragile, and their vegetation structure can be completely 524 modified by small environmental variations.

×C'



Figure 6: Representation of the 15 African sites in the *herbivores - fire* plane. Panel (a) shows the points in the stability map b - f for p = 500 [mm/yr]; Panel (b) gives the points in the stability maps b - f for p = 1160 [mm/yr]. The other parameters values are $w_1 = 345$ [mm], $\epsilon = 20$ [1/yr], $\tau_T = 30$ [1/yr], $\tau_G = 10$ [1/yr], $\gamma_T = 2$ [1/yr], $\gamma_G = 200$ [1/yr], $\delta_{T0} = 0.02$ [1/yr], $\delta_{G0} + g = 2$ [1/yr], $\delta_F = 0.35$. Sites are represented according to the disturbances regime: L-L (•), H-L (\circ), H-H (\diamond), and L-H (\blacklozenge).



Figure 7: Scaling factor for each of the parameters in order to realize a change of the stable equilibrium, for the sites: A1, B1, B2, C1, C2, C4, C6. Values of the scaling factor > 1 indicate a magnification of the parameter, while values < 1 a reduction. Note that the sensitivity analysis for f (and consequently for δ_F) at B1, B2, C1, and C2, has not been represented because for these sites the fire occurrence is ≈ 0 [1/yr]. Symbols at the end points of each line stand for L-L (•), H-L (•), H-H (\diamond), and L-H (\diamond) sites.

525 6. Conclusions

We have investigated the conditions for the existence and the stability of the savanna domain in the herbivores-fire parameter space, distinguishing between browsing and grazing disturbances. This analysis is based on a minimal ecohydrological model and shows how depending on the level of disturbances savanna can be stable, or bistable with the forest.

In particular, when the innate tendency of trees to overtop grasses is weakened by fire and/or browsing, and grasses are favored by low grazing pressure, the existence of a savanna is mainly due to balanced competition mechanisms. This happens when water is scarce and browsing pressure or harvesting of trees is high. In this situation fire is not fundamental for the tree-grass co-existence and the savanna stability. The system is a *climate dependent* or a *perturbed climate dependent ecosystem*.

538When the water availability and the grazing pressure are high and the 539browsing pressure is low, savannas are maintained by fire, creating a demographic bottlenecks in tree population recruitment. In this case, savannas 540541are in bistable condition with forests, and must be considered a *disturbance* 542dependent ecosystem, more precisely fire dependent ecosystem. Bistability 543implies that, under certain conditions, a small variation of a parameter (such 544as grazing and browsing parameters) can cause macroscopic changes in the 545vegetation. These transitions can be sometimes irreversible.

546 This analysis suggests that the tree-grass co-existence in savanna is pos-547 sible as a *climate dependent ecosystem*, or a *disturbance dependent ecosystem* 548 (classification introduced by Bond et al., 2003), or a *perturbed climate de-*549 *pendent ecosystem*. However it is important to point out that a *disturbance*

550 dependent savanna is a more fragile ecosystem than a climate dependent sa-551 vanna, because the presence of bistability can induce abrupt transitions to 552 other vegetated states.

553The analysis of data available in 15 African sites (referring to particularly 554high or low values of fire occurrence and browsers density) helps to illustrate 555the theoretical findings. Since the comparison between model and data is 556conditioned by the uncertainty associated to parameters' estimates, we have 557 discussed and commented the results of the comparison within the framework of a local sensitivity analysis of the parameters. This type of analysis is 558559fundamental in order to 1) quantify the reliability of the model predictions, 560and 2) understand what happens if modifications in a parameter value occur.

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Highlights of the manuscript

- We investigate the existence and stability of savannas in the herbivores-fire space •
- The analysis is based on a spatially implicit ecohydrological model •
- The results show the types of savannas as function of the disturbance levels
- An application is discussed with the help of a local sensitivity analysis •
- The comparison between model and data supports the theoretical findings •

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