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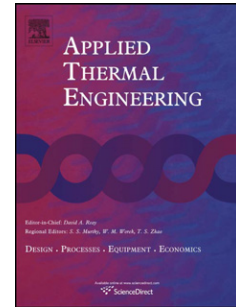
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Stokes Flow Heat Transfer in an Annular, Rotating Heat Exchanger

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rotating heat exchanger

1 Abstract

2 The heat transfer rate into highly viscous, low thermal-conductivity fluids can be enhanced
3 significantly by chaotic advection in three-dimensional flows dominated by viscous forces. The
4 physical effect of chaotic advection is to render the cross-sectional temperature field uniform,
5 thus increasing both the wall temperature gradient and the heat flux into the fluid. A method
6 of analysis for one such flow—the flow in the eccentric, annular, rotating heat exchanger—

7 and a procedure to determine the best heat transfer conditions, namely the optimal values
8 of the eccentricity ratio and time-periodic rotating protocol, are discussed. It is shown that
9 in continuous flows, such as the one under consideration, there exists an optimum frequency
10 of the rotation protocol for which the heat transfer rate is a maximum.

11 **1 Introduction**

12 The efficient heating of high viscosity liquids with a low thermal conductivity is a major
13 engineering problem today due to the increase in the price of energy and also due to a public
14 awareness against processes that waste energy and produce significant amounts of gases, such
15 as carbon dioxide, that are responsible for climate change. The production of cement is one
16 industry sector that is in dire need for improvement since its efficiency is very low. For the
17 production of cement it is necessary to heat oil in a continuous process and this is generally
18 done under turbulent flow conditions and in equipment that is not suitable for highly viscous
19 fluids—the type of fluids whose properties are those considered here.

20 An appropriate apparatus for heating these high Prandtl number fluids under laminar flow
21 conditions, which is the recommended flow regime for highly viscous fluids since otherwise
22 the pressure drop will be quite large and the energy consumption will be very high, is the
23 annular heat exchanger. In this apparatus the fluid flows in the annular region between two
24 concentric cylinders. The advantage of this apparatus—and it is a major one—is that heat
25 can be introduced into the fluid via both the inner and outer boundaries.

26 Under laminar flow conditions, it is possible to solve the flow and energy equations ana-
27 lytically and to determine the thermal performance of this apparatus. This has been done by

28 Kays and co-workers at Stanford University [1]; their results are given in tabular form and
29 for different types of boundary conditions on both walls by Shah and London [2]. One can
30 also find in this last reference analytical and numerical solutions to laminar flow problems in
31 similar geometries such as the eccentric annular region or a confocal elliptic annular region.

32 This efficient system can be further improved if the two cylinders are allowed to rotate
33 at low angular velocities so that the energy consumption is not too high. If the cylinders are
34 in an eccentric position and if one cylinder is allowed to turn at a periodic angular velocity
35 while the other turns at constant speed, it is possible, under certain conditions, to obtain
36 chaotic streaklines very similar to those occurring in turbulent flows. Pictures of experiments
37 where chaotic streaklines appear in the geometry considered here, but also in the partitioned
38 pipe mixer, were taken by Kusch and Ottino [3]. However these authors have not provided a
39 theoretical analysis and have not explained why the flow becomes regular when the average
40 axial velocity is increased. Chaotic advection in flows dominated by viscous forces can occur
41 in two-dimensional periodic flows, such as the journal bearing flow, and in either spatially
42 periodic [4, 5, 6] or time periodic 3-D flows.

43 In this paper the eccentric, annular, rotating heat exchanger is analyzed for oscillatory
44 flow at low Strouhal number driven by the counter-rotation of the inner cylinder with a time-
45 periodic angular velocity. When the two cylinders turn at constant velocity, the three velocity
46 components can be determined analytically by solving the Stokes equations. If one cylinder
47 is turned with a periodic angular velocity at a small Strouhal number, one can assume that
48 the velocity profile is given by the analytical solution for steady cylinder rotation calculated
49 at the instantaneous angular velocity ratio. The energy equation is then solved numerically

50 for adiabatic operation of the heat exchanger to determine the best conditions, namely the
 51 eccentricity ratio and modulation frequency, leading to a maximum heat transfer rate from
 52 the cylinders to the fluid. It is shown that there is a connection between the operating regime
 53 that yields optimal thermal performance and the advection properties of the flow.

54 **2 Flow (3-D) between two eccentric, rotating cylinders**

55 Let us first consider viscous fluid flow between two eccentric cylinders that are rotating at
 56 constant angular velocities Ω_1 and Ω_2 . The radii of the inner and outer cylinders are R_1
 57 and R_2 , respectively, the distance between the centers of the two cylinders is labeled e , and
 58 the axial length of both cylinders is L . A sketch of the cross section and a view of the
 59 complete apparatus are shown in Fig. 1. The cross-sectional geometry is completely defined
 60 by two dimensionless parameters: the clearance ratio, R_2/R_1 , and the eccentricity ratio,
 61 $\epsilon = e/(R_2 - R_1)$.

62 The analytical solution for this flow can be obtained by first solving the cross-sectional
 63 components of the equations of motion (journal bearing flow) and then solving the axial
 64 velocity component of the Stokes equations for pressure-driven axial flow in the eccentric,
 65 cylindrical annulus. An appropriate coordinate system for this geometry are the bipolar
 66 cylindrical coordinates $[\alpha, \beta, z]$, defined by the following transformations from Cartesian co-
 67 ordinates $[x, y, z]$:

$$68 \quad x = -\frac{a \sinh \alpha}{\cosh \alpha - \cos \beta}, \quad y = \frac{a \sin \beta}{\cosh \alpha - \cos \beta}, \quad z = z, \quad (1)$$

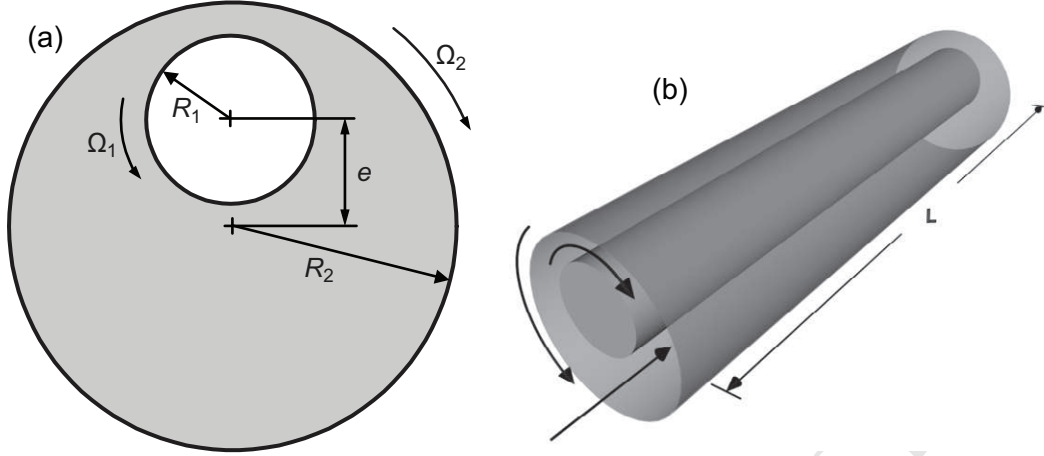


Figure 1: (a) Cross section of the eccentric, rotating, annular heat exchanger and (b) view of the whole apparatus.

69 where

$$70 \quad a = \frac{R_2 - R_1}{2\epsilon} \left[\left(\frac{R_1 + R_2}{R_2 - R_1} - \epsilon^2 \right)^2 - \left(\frac{2R_1\epsilon}{R_2 - R_1} \right)^2 \right]^{1/2}. \quad (2)$$

71 This coordinate system is orthogonal; the scale factors are

$$72 \quad h_\alpha = h_\beta = h = \frac{a}{\cosh \alpha - \cos \beta}, \quad h_z = 1. \quad (3)$$

73 In bipolar cylindrical coordinates the inner and outer cylinders correspond to surfaces of
74 constant α ; the boundary values, α_1 and α_2 , can be determined from the following identities:

$$75 \quad \alpha_1 = \operatorname{arcsinh}(-a/R_1), \quad \alpha_2 = \operatorname{arcsinh}(-a/R_2). \quad (4)$$

76 Other coordinate systems have been used to solve this same problem. Wannier [7] used a
77 mixed nonorthogonal, Cartesian–cylindrical coordinate system, whereas DiPrima and Stuart
78 [8] used a modified bipolar coordinate system. Although the bipolar cylindrical coordinates
79 used in the present work **do not convert to** cylindrical coordinates as the eccentricity tends

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80 towards zero, they are probably the most adequate because the mathematical expressions
81 are simpler than in the other coordinate systems.

82 When the two cylinders rotate at constant velocity, and assuming that inertial effects are
83 negligible, the equations of motion for the cross-sectional flow can be written as

$$84 \quad \frac{\partial^2 \omega}{\partial \alpha^2} + \frac{\partial^2 \omega}{\partial \beta^2} = 0, \quad -h^2 \omega = \frac{\partial^2 \psi}{\partial \alpha^2} + \frac{\partial^2 \psi}{\partial \beta^2}, \quad (5)$$

85 where ω is the axial component of the vorticity vector and ψ is the stream function defined
86 as

$$87 \quad hV_\beta = -\frac{\partial \psi}{\partial \alpha}, \quad hV_\alpha = \frac{\partial \psi}{\partial \beta}. \quad (6)$$

88 Here, V_α and V_β are the two cross-sectional components of the velocity vector.

89 The analytical solution to the above equations with the expressions of all the constants
90 is quite long and is not fully reproduced here; it is given by Ballal and Rivlin [9]. In short,
91 the solution can be written as

$$92 \quad \psi = h [F_0(\alpha) + F_1(\alpha) \cos \beta], \quad (7)$$

$$94 \quad F_0(\alpha) = (A_0 + C_0 \alpha) \cosh \alpha + (B_0 + D_0 \alpha) \sinh \alpha, \quad (8)$$

$$96 \quad F_1(\alpha) = A_1 \cosh 2\alpha + B_1 \sinh 2\alpha + C_1 \alpha + D_1, \quad (9)$$

97 where the A_i , B_i , C_i , and D_i are constants determined from the boundary conditions. These
98 are the prescribed angular velocities of both cylinders (Ω_1 and Ω_2) and the no-slip boundary
99 conditions.

100 The axial velocity component, V_z , is obtained by solving the axial component of the
101 equation of motion,

$$102 \quad h^2 \frac{dP}{dz} = \mu \left(\frac{\partial^2 V_z}{\partial \alpha^2} + \frac{\partial^2 V_z}{\partial \beta^2} \right), \quad (10)$$

103 where μ is the fluid viscosity and dP/dz is the pressure drop per unit axial length of the heat
 104 exchanger. The analytical solution to this problem is given in Snyder and Goldstein [10]; the
 105 axial velocity is

$$106 \quad V_z = -\frac{a^2}{\mu} \frac{dP}{dz} \left(F + E\alpha - \frac{\coth \alpha}{2} + \sum_{n=1}^{\infty} [A_n e^{n\alpha} + (B_n - \coth \alpha) e^{-n\alpha}] \cos n\beta \right), \quad (11)$$

107 where

$$108 \quad F = \frac{\alpha_1 \coth \alpha_2 - \alpha_2 \coth \alpha_1}{2(\alpha_1 - \alpha_2)}, \quad E = \frac{\coth \alpha_1 - \coth \alpha_2}{2(\alpha_1 - \alpha_2)}, \quad (12)$$

$$109 \quad A_n = \frac{\coth \alpha_1 - \coth \alpha_2}{\exp(2n\alpha_1) - \exp(2n\alpha_2)} \quad B_n = \frac{\exp(2n\alpha_1) \coth \alpha_2 - \exp(2n\alpha_2) \coth \alpha_1}{\exp(2n\alpha_1) - \exp(2n\alpha_2)}. \quad (13)$$

111 The average axial velocity over the cross section $A = \pi(R_2^2 - R_1^2)$ of the eccentric annular
 112 region, $\langle V_z \rangle = A^{-1} \iint_A V_z dA$, is defined in terms of bipolar coordinates as

$$113 \quad \langle V_z \rangle = \frac{2a^2}{\pi(R_2^2 - R_1^2)} \int_{\alpha_1}^{\alpha_2} \int_0^{\pi} \frac{V_z}{(\cosh \alpha - \cos \beta)^2} d\alpha d\beta. \quad (14)$$

114 To determine $\langle V_z \rangle$ for a given geometry, Eq. (11) is substituted into Eq. (14) and the latter
 115 is integrated numerically; this gives

$$116 \quad \langle V_z \rangle = -K \frac{a^2}{\mu} \frac{dP}{dz}, \quad (15)$$

117 where K is a constant that depends on R_2/R_1 and ϵ .

118 The three velocity components for the case where both cylinders are turning at constant
 119 speed have been determined analytically by first solving for the two cross-sectional com-
 120 ponents, V_α and V_β , and then for the axial component V_z ; furthermore all three velocity
 121 components are independent of the axial coordinate z . For these reasons, this flow is not
 122 strictly 3-D but really is 2.5-D.

123 When both cylinders turn at constant speed, the streaklines are helicoidal as shown
 124 experimentally by Kusch and Ottino [3]. In order to promote chaotic advection in this flow,
 125 at least one of the cylinders must turn at a time-varying angular velocity. In what follows, it
 126 is assumed that the outer cylinder turns at a constant angular velocity Ω_2 , whereas the inner
 127 cylinder is allowed to rotate in the opposite direction (counter-rotation) with a time-periodic
 128 angular velocity:

$$129 \quad \Omega_1 = \bar{\Omega}_1(1 + \delta \sin \omega t), \quad \bar{\Omega}_1 \cdot \Omega_2 < 0, \quad (16)$$

130 where δ and ω are the amplitude and frequency of the inner-cylinder modulation.

131 The analytical solution of the cross-sectional velocity components, Eqs. (7)–(9), is valid
 132 only if the cylinders rotate at constant angular velocities and if the inertial terms are
 133 negligible. However, if the modulation frequency ω is small then the Strouhal number
 134 $Sr = \omega L_{\text{ref}}/V_{\text{ref}}$, where L_{ref} and V_{ref} are characteristic length and velocity scales for the
 135 cross-sectional flow, is also small. This assumption allows us to consider the flow to be quasi-
 136 static and the velocity profile to be given by the analytical solution for steady rotation at
 137 the instantaneous angular velocity ratio. This can be written mathematically as

$$138 \quad \mathbf{V}(\alpha, \beta, t) \approx \mathbf{V}(\alpha, \beta)|_{\Omega_1(t), \Omega_2}. \quad (17)$$

139 The flow is also quasi-static if $\delta \ll 1$, but this is not the case under consideration here. A
 140 complete discussion of this assumption and of the Strouhal number is given later on.

141 **3 Heat transfer in the rotating, eccentric, annular heat** 142 **exchanger**

143 Now that the velocity profile in the eccentric, rotating, annular heat exchanger is known
 144 analytically, the temperature distribution in the heat exchanger can be determined by solv-
 145 ing numerically the conservation of energy equation for an incompressible, Newtonian fluid
 146 neglecting viscous dissipation:

$$147 \quad \frac{\partial T}{\partial t} + \mathbf{V} \cdot \nabla T = \eta \nabla^2 T, \quad (18)$$

148 where $\eta = k/\rho c_p$ is the thermal diffusivity of the fluid; k , ρ , and c_p are, respectively, the
 149 thermal conductivity, the density, and the heat capacity of the fluid.

150 As mentioned above, for the concentric annular heat exchanger without any rotation,
 151 Kays [1] obtained solutions to four different problems corresponding to different boundary
 152 conditions. From these solutions an internal and an external Nusselt number can be defined.

153 Here, the purpose is to determine the geometry and the way the cylinders have to turn
 154 in order to maximize the heat transfer rate to the fluid. Let us examine in detail the heat
 155 transfer characteristics and the possible ways of increasing the heat transfer rate into the fluid
 156 in this system. Under laminar flow conditions and when the two cylinders are motionless,
 157 in either a concentric or eccentric position, the velocity profile is one-dimensional in the
 158 axial direction; Nusselt numbers for both the fully developed temperature profile and for the
 159 developing profile were calculated by Shah and London [2].

160 For the concentric case, if one or both cylinders are allowed to rotate at constant speed
 161 and assuming that laminar flow prevails, there will be no heat transfer enhancement because

162 the heat flux is in the radial direction and the radial velocity component and the advection
 163 terms $\mathbf{V} \cdot \nabla T = 0$ are both zero.

164 When the cylinders are in an eccentric position and rotating at constant speed, the heat
 165 transfer rate enhancement is due to the velocity component in the heat flux direction; the
 166 temperature gradient and thus the heat transfer rate at both walls increases. In the journal
 167 bearing flow this same phenomenon occurs, it is due to the recirculation region as shown
 168 by Ghosh et al. [11]. In the eccentric case, when both cylinders turn with one of them
 169 turning at a periodic angular velocity, there will be a further heat transfer rate enhancement
 170 due to chaotic advection. This phenomenon occurs in the flow considered here as well as
 171 in the journal bearing flow. Chaotic advection also tends to homogenize the cross sectional
 172 temperature field so that the temperature gradient at the walls and the heat transfer rate
 173 into the fluid both increase.

174 For this reason the following numerical experiment was devised: the heat exchanger is
 175 assumed adiabatic, i.e., no heat is exchanged through the cylindrical walls, and the fluid
 176 entering the heat exchanger has a nonuniform temperature distribution. For simplicity, the
 177 inlet temperature field, $T(\alpha, \beta, z = 0)$, is taken to be linear in the α coordinate, which is the
 178 main direction for cross-sectional heat transfer, such that

$$179 \quad T(\alpha, \beta, 0) = T_1 + \frac{T_2 - T_1}{\alpha_2 - \alpha_1} (\alpha - \alpha_1), \quad (19)$$

180 where T_1 and T_2 are the extreme values of the temperature distribution.

181 Now, since the heat exchanger is adiabatic, the best velocity protocol and the best ge-
 182 ometry will be the ones that give the most uniform outlet temperature distribution—ideally,
 183 the fluid will exit the heat exchanger at a constant temperature.

184 In mathematical terms, the mixed-mean temperature at axial position z in the heat
 185 exchanger and time t , i.e., the average temperature over the cross section at z if that in-
 186 finitesimal slice of fluid is put into an adiabatic cup at time t , is defined as

$$187 \quad \langle T \rangle(z, t) = \frac{1}{A \langle V_z \rangle} \iint_A V_z(\alpha, \beta) T(\alpha, \beta, z; t) dA. \quad (20)$$

188 The standard deviation of the cross-sectional temperature field, $\sigma'(z, t)$, at axial position z
 189 and time t is obtained by calculating

$$190 \quad [\sigma'(z, t)]^2 = \frac{1}{A \langle V_z \rangle} \iint_A V_z(\alpha, \beta) [T(\alpha, \beta, z; t) - \langle T \rangle(z, t)]^2 dA. \quad (21)$$

191 To make the results less dependent on the shape of the chosen inlet temperature distribution,
 192 $\sigma'(z, t)$ is normalized with respect to its inlet value:

$$193 \quad \sigma(z, t) = \sigma'(z, t) / \sigma'(0, t). \quad (22)$$

194 Since the velocity distribution is time-periodic because of the inner cylinder modulation,
 195 the exit standard deviation will also be time-periodic after the influence of the initial con-
 196 ditions vanishes. Therefore, the average value of the standard deviation over a period of
 197 modulation,

$$198 \quad \bar{\sigma}(z, t) = \frac{\omega}{2\pi} \int_t^{t+2\pi/\omega} \sigma(z, t) dt \quad (23)$$

199 will be time invariant after a sufficiently long period of time. We denote by $\bar{\sigma}^\infty(z)$ the axial
 200 $\bar{\sigma}$ -profile when this steady-periodic regime is established; the value for the outlet cross section
 201 of the heat exchanger is $\bar{\sigma}^\infty(L)$. The best heat transfer conditions, namely the optimal values
 202 of the eccentricity ratio and time-periodic rotating protocol, are those that minimize the value
 203 of $\bar{\sigma}^\infty(L)$.

204 The numerical solution of the energy equation in bipolar cylindrical coordinates, with the
 205 velocity field determined by the analytical solution, was obtained using OpenFOAM®[®], an
 206 open source computational-fluid-dynamics (CFD) toolbox [12]. It is worth noting that the
 207 existence of an analytical solution for the velocity field reduces significantly the CPU time
 208 required to compute the numerical solution.

209 The results given below were obtained on a structured grid with $50 \times 80 \times 60$ (α, β, z)
 210 points covering the eccentric, cylindrical annulus of the heat exchanger. The diffusive term in
 211 Eq. (18) was discretized using second-order central differences, whereas the advection term
 212 was approximated by the second-order QUICK scheme [13] combined with the van Leer's
 213 symmetric flux limiter [14] to reduce numerical diffusion and spurious oscillations in the
 214 computed solution.

215 Results and Discussion

216 As in [15, 16], two dimensionless control parameters, N_T and N_P , are first defined. The
 217 former parameter gives the number of rotations that the outer cylinder makes per average
 218 residence time in the annular heat exchanger:

$$219 \quad N_T = \frac{\Omega_2 \tau}{2\pi}, \quad (24)$$

220 where $\tau = L/\langle V_z \rangle$ is the average residence time of a fluid particle in the apparatus. The
 221 second parameter, N_P , is related to the number of *periods* the inner cylinder makes per
 222 average residence time:

$$223 \quad N_P = \frac{\omega \tau}{2\pi}. \quad (25)$$

224 Previous work [17] on chaotic advection in the 2-D flow between two eccentric cylinders
 225 ($V_z = 0$) showed that the amplitude of the modulation must be chosen as large as possible,
 226 as long as the Stokes flow conditions are maintained. This way, the instantaneous hyperbolic
 227 fixed point that exists in the region of minimum gap is periodically displaced from the
 228 vicinity of one cylinder to the vicinity of the other. The periodic forcing allows exchange of
 229 fluid between the three regions separated by the two streamlines stagnating on the hyperbolic
 230 point. For this reason, in the present work δ is fixed at a large value ($\delta = 0.9$).

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231 In all cases analyzed the heat exchanger is operated under Stokes flow conditions. The
 232 axial and cross-sectional Péclet numbers, $Pe_z = \langle V_z \rangle L / \eta$ and $Pe_\Omega = |\Omega_2| R_2 (R_2 - R_1) / \eta$, are
 233 both fixed at 5000. Let us now reconsider the effects of time periodicity in this apparatus. For
 234 the fluid to respond quasi-statically to the modulation of the inner boundary, the Strouhal
 235 number must be small, $Sr < 1$. If the characteristic length is taken equal to the annular
 236 gap spacing, $L_{\text{ref}} = R_2 - R_1$, and the characteristic velocity taken equal to the average value
 237 for the oscillatory cylinder, $V_{\text{ref}} = R_1 \overline{\Omega_1}$, then $Sr = (R_2/R_1 - 1)(|\Omega_2|/\overline{\Omega_1})(N_P/N_T)$. For
 238 $R_2/R_1 = 2$, $|\Omega_2|/\overline{\Omega_1} = 1/2$, and $N_T = 30$ used in our calculations, we get $Sr = N_P/60$.
 239 Thus, to keep $Sr < 1$ implies $N_P < 60$. Although the calculations were carried out up to
 240 $N_P = 64$, we shall see that the interesting results are obtained for $N_P < 40$. Therefore, the
 241 underlying assumption of quasi-static flow seems to be a reasonable one and is not expected
 242 to compromise the physical meaningfulness of the results.

243 The influence of the modulation frequency is first examined for a specific geometry, defined
 244 by a clearance ratio $R_2/R_1 = 2$ and an eccentricity ratio $\epsilon = 0.5$. Figure 2 shows the
 245 normalized standard deviation of the outlet temperature field as a function of N_P for a fixed

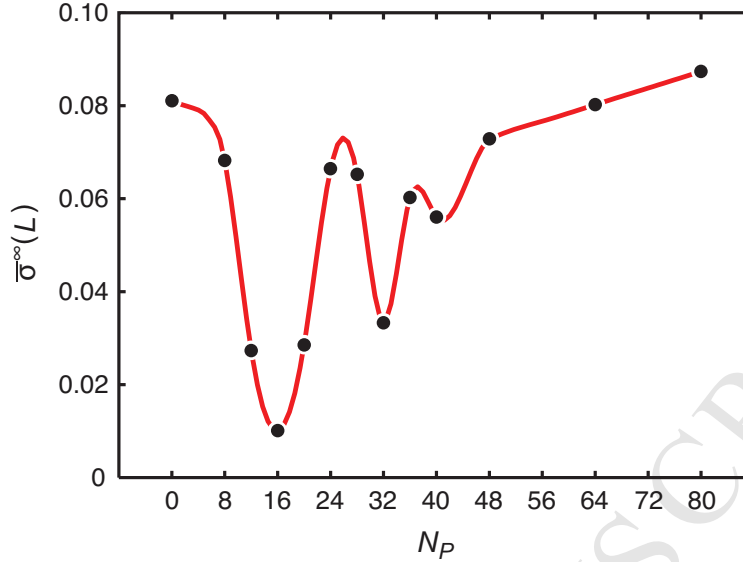


Figure 2: Average value of the normalized standard deviation of the outlet cross-sectional temperature field, $\bar{\sigma}^\infty(L)$, under steady-periodic conditions, as a function of N_P for the rotating, eccentric, 3-D annular heat exchanger. The geometric and velocity parameters are $R_2/R_1 = 2$, $\epsilon = 0.5$, $N_T = 30$, $\Omega_1 = \bar{\Omega}_1(1 + 0.9 \sin \omega t)$, $\bar{\Omega}_1/\Omega_2 = -2$. Both the axial and cross-sectional Péclet numbers, $Pe_z = \langle V_z \rangle L/\eta$ and $Pe_\Omega = |\Omega_2|R_2(R_2 - R_1)/\eta$, are fixed at 5×10^3 . The line is a smooth interpolation of the numerical data and serves as a guide to the eye.

246 value $N_T = 30$. Notice that the curve of $\bar{\sigma}^\infty(L)$ vs N_P exhibits a value for which the standard
 247 deviation is a minimum. Obviously, it is at this modulation frequency that the apparatus
 248 must be operated if the heat transfer rate into the fluid is to be a maximum. Above or below
 249 the modulation frequency the mixing and heat transfer rates within the cross-section are not
 250 as good.

251 Figure 3 shows color plots of the steady-periodic temperature field for the exit cross
 252 section of the heat exchanger for different values of the flow parameters N_T and N_P ; for

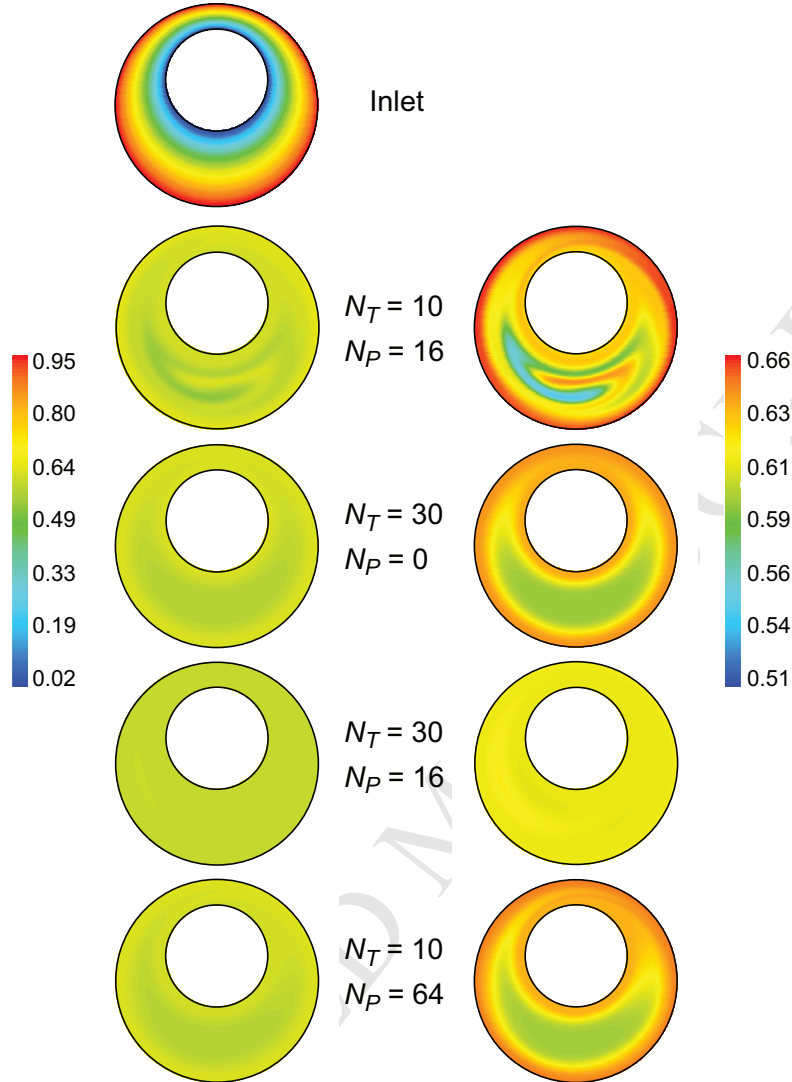


Figure 3: Temperature field for the exit cross section of the heat exchanger as a function of N_P and N_T . The top plot shows the cross-sectional temperature distribution at the inlet of the heat exchanger, as given by Eq. (19). The snapshots of the exit temperature fields were generated under steady-periodic conditions at a time instant that is a multiple of the modulation period ($2\pi/\omega$). The geometry and flow parameters are identical to those of Fig. 2.

253 reference, the topmost plot shows the inlet temperature distribution, which is the same for
 254 all cases, it is defined by Eq. (19). The set of flow parameters considered in Fig. 3 includes the

255 cases of steady rotation of the inner cylinder ($N_P = 0$), low and moderate angular rotations
 256 ($N_T = 10$ and $N_T = 30$), and medium and high forcing frequencies ($N_P = 16$ and $N_P = 64$).
 257 The cross-sectional temperature fields in the left-hand column use the same color scale as that
 258 for the inlet temperature distribution; the righthand plots employ a color scale that varies
 259 over a much smaller temperature range to give a better visualization of the temperature
 260 non-uniformities.

261 Figure 3 shows that a homogeneous outlet temperature field is obtained for the pair of
 262 parameter values $\{N_T = 30, N_P = 16\}$. However, it is incorrect to deduce that the value
 263 $N_P = 16$ is optimal regardless of the value of N_T , as can be seen by analyzing the first case
 264 in Fig. 3. Notice also that the exit temperature field for $\{N_T = 30, N_P = 64\}$ is quite similar
 265 to that obtained for steady rotation of the inner cylinder ($N_T = 30, N_P = 0$).

266 The above results can be confirmed indirectly by other methods based on the analogy
 267 between heat and momentum transfer. One tool consists of placing a blob of dye at the
 268 flow entrance and then following the trajectory of each individual particle all the way to the
 269 exit. The location where each particle crosses the outlet cross section of the heat exchanger is
 270 plotted. These calculations are equivalent to solving the 3-D convection-conduction equation,
 271 Eq. (18), in a Lagrangian framework for the limiting case of vanishing thermal diffusivity. In
 272 this framework, the equations of motion for a non-diffusive scalar are the advection equations,

$$273 \quad \frac{d\mathbf{x}}{dt} = \mathbf{V}(\mathbf{x}, t), \quad (26)$$

274 where $\mathbf{x} = (\alpha, \beta, z)$ is the position vector. In the present work, Eq. (26) was integrated
 275 over the time coordinate using a fourth-order, semi-implicit Runge-Kutta scheme with error
 276 estimation and adaptive stepsize control [18].

277 Figure 4 shows the outcome of two numerical experiments in which 0.1 vol-% of a passive
278 tracer, consisting of over 10^4 material points, is injected at a specific point of the inlet cross
279 section of the heat exchanger. Two injection locations are considered in Fig. 4: one in
280 the region of maximum gap, the other in the region of minimum clearance. The numerical
281 experiments are performed for a fixed value of $N_T = 30$ and various values of N_P : 0 (no
282 modulation), 16 (optimum frequency), 32 (high frequency), and 64 (very high frequency).
283 Notice how, for the optimum frequency ($N_P = 16$), the tracer spreads over the whole section
284 and much less for the other values of N_P .

285 In Hamiltonian systems, such as the flow under study but without an axial velocity
286 component (journal bearing flow), the initial location of a tracer particle is important. The
287 particle can be introduced either in a poorly mixed region or in a chaotic region. If the
288 particle is introduced outside of a chaotic region it will mix poorly and at the end of each
289 period it will lie somewhere in a well defined domain where there is little stretching. If the
290 particle is placed in a chaotic region, it will spread over a large part of the cross-section and
291 at the end of each period it will be in a new location. A major tool for the study of chaos in
292 2-D periodic flows is the Poincaré section. It is obtained by tracking a given particle over a
293 very large number of periods.

294 When an axial Poiseuille velocity profile is superimposed over the above flow, as is the case
295 in the present study, a fluid particle moves forward in the axial direction with an instantaneous
296 velocity that depends on its cross-sectional coordinates. Because of this, a given location can
297 be either in a chaotic region or in a regular domain depending on the time instant. While a
298 fluid particle is moved forward by the axial flow inside the heat exchanger, the particle can

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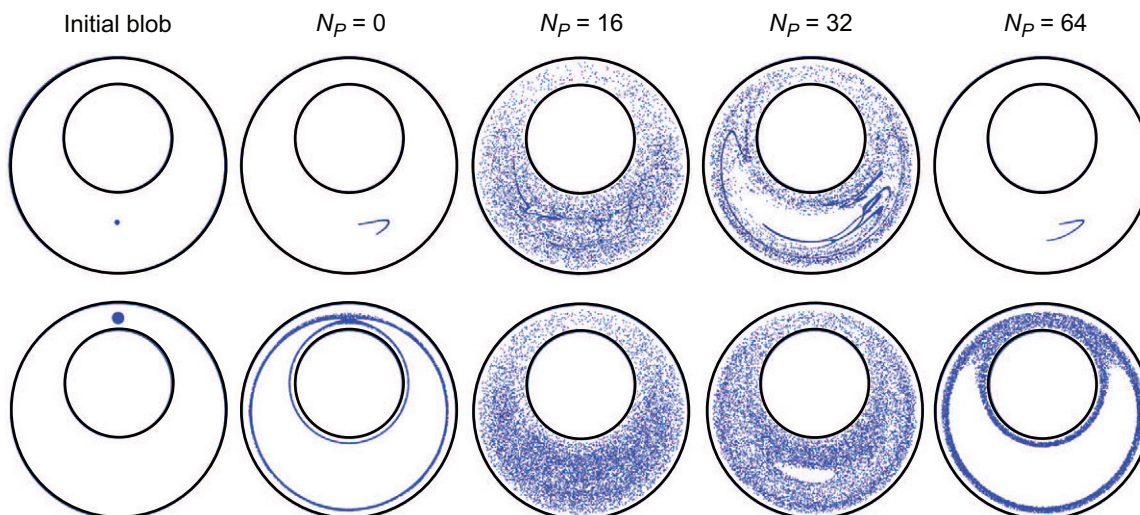


Figure 4: Location of material points at the exit cross section of the heat exchanger for 0.1 vol-% injection of a tracer (passive scalar). The results are presented for different values of N_P and two different injection locations; the exact placements of the injections are shown in the two leftmost plots. The selected values of N_P are: 0 (no modulation), 16 (optimum frequency), 32 (high frequency), and 64 (very high frequency). The geometry and flow parameters are identical to those of Fig. 2. The plots were generated by synchronizing each tracer tracking run with the start of a period of modulation.

299 find itself in a regular domain for some amount of time, then be trapped in a chaotic region,
 300 then escape to another region, and so on. Because of this, the initial location of the particle
 301 is more important in the 2-D flow than in its 3-D counterpart.

302 The initial locations of the two blobs in Fig. 4 are the minimum gap region, where the
 303 hyperbolic stagnation point appears in the journal bearing flow (bottom) and the maximum
 304 gap region, where the vortex center is placed in the 2-D flow (top). If there was no axial
 305 velocity component, only the blob in the hyperbolic point region would mix over a large

306 part of the cross-section. For the reasons stated above, when the axial velocity component
 307 is nonzero, the initial location of the blob is less important even though, qualitatively, the
 308 numerical experiments of spreading of a blob show a better mixing when the blob is injected
 309 in the narrow gap region.

310 Another way to highlight the existence of an optimal forcing frequency is to explore the
 311 relationship between mixing and stretching in this flow. The computation of stretching,
 312 which is related to the increase of interfacial area available for mass and energy transport,
 313 was shown to be an alternative route to Poicaré sections and tracer dispersion simulations
 314 for characterizing microstructure in chaotic flows [20]. Points experiencing high and low
 315 stretching correspond to regions of good and poor micro-mixing, respectively [19, 20]. The
 316 rate of stretching determines the rate of the micromixing process both by increasing the
 317 intermaterial area over which heat conduction can occur but also by decreasing the required
 318 thermal diffusional distance. Stretching calculations are computationally tractable for even
 319 3D flows. This type of calculations was first made in the journal bearing flow by Swanson
 320 and Ottino [21].

321 The stretching vector of a fluid element, \mathbf{l} , associated with a tracer particle initially
 322 located at \mathbf{x}_0 can be calculated by integrating the advection equations, Eq. (26), subject to
 323 $(\mathbf{x})_{t=0} = \mathbf{x}_0$, along with

$$324 \quad \frac{d\mathbf{l}}{dt} = (\nabla\mathbf{V})^T \cdot \mathbf{l}, \quad (\mathbf{l})_{t=0} = \mathbf{l}_0. \quad (27)$$

325 The total accumulated stretching λ experienced by the fluid element at a given time instant
 326 is

$$327 \quad \lambda = \|\mathbf{l}\|/\|\mathbf{l}_0\|. \quad (28)$$

328 Stretching calculations were performed with 2×10^4 particles covering uniformly the en-
 329 trance of the heat exchanger. Each particle was assigned an initial stretch vector $\mathbf{l}_0 =$
 330 $[l_\alpha, l_\beta, l_z] = [0, 0, 1]$. In order to determine the stretching field at the exit of the heat ex-
 331 changer, the cross section was discretized with a square grid of 100×100 cells. Cells that are
 332 entirely within the flow domain were retained, those that fall partially or completely outside
 333 the flow domain were discarded. The value of stretching in each cell was approximated as the
 334 geometric mean of the stretching values of the tracer particles located within the cell. Since
 335 the flow field is time periodic, the overall stretching plot for a given modulation protocol
 336 was computed by averaging stretching distributions determined for 10 equally spaced phase
 337 angles of the sinusoidal velocity protocol.

338 Figure 5 shows the time-average stretching field at the exit cross section of the heat
 339 exchanger for the same values of the parameters as in Fig. 4. The fluid particles that were
 340 subjected to a good stretching rate during their residence in the heat exchanger are colored
 341 in white, while regions of poor stretching are colored in blue. One can see in Fig. 5 that the
 342 regions of low stretching correspond to the regions in Fig. 3 where the outlet temperature
 343 field deviates most from the mean value. Furthermore, there are practically no regions of low
 344 stretching rate when the modulation frequency is at its optimum value.

345 The influence of the eccentricity ratio on the efficiency of the heat exchanger is shown in
 346 Fig. 6, where the exit value of the normalized standard deviation of the temperature field,
 347 $\bar{\sigma}^\infty(L)$, is plotted against N_P for $N_T = 30$ and different values of ϵ . From Fig. 6 it is possible
 348 to determine the optimum value of N_P for each value of ϵ ; for example, the optimum value
 349 for $\epsilon = 0.1$ is $N_P = 12$; over the range $0.3 \leq \epsilon \leq 0.5$ it is $N_P = 16$; for $\epsilon = 0.7$ there are two

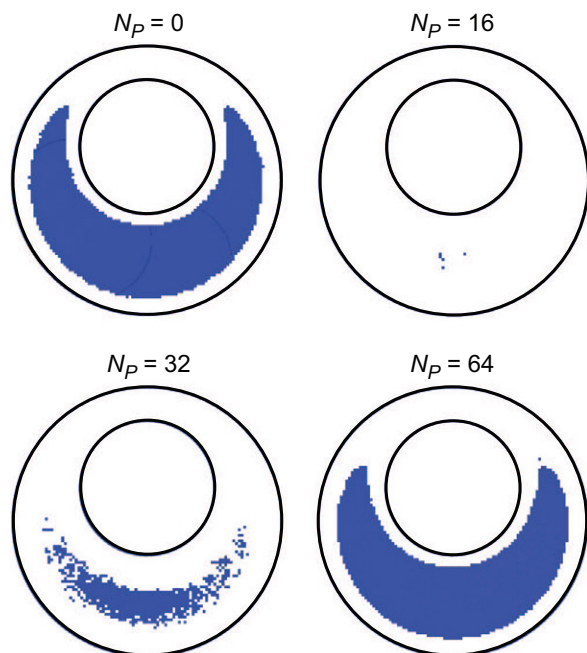


Figure 5: Time averaged stretching field for the exit cross section of the heat exchanger as a function of N_P for a fixed value $N_T = 30$. Any region where the accumulated stretching is less than the cutoff value $\lambda_c = 10^5$ is colored in blue while any region where the stretching is above the cutoff value is white. The geometry and flow parameters are identical to those of Fig. 2.

350 local optimal values of N_P , namely $N_P = 0$ and $N_P = 48$. Notice that the lowest value of the
 351 standard deviation is obtained for $\epsilon \approx 0.5$ and $N_P = 16$. It is also for intermediate values of
 352 ϵ that the effect of the forcing frequency is more pronounced. For small values of ϵ there is a
 353 single local optimum value of N_P . For large values of ϵ , $\bar{\sigma}^\infty(L)$ is weakly dependent on N_P .

354 The color plots of the exit temperature field, displayed in Fig. 7 for selected values of
 355 ϵ , show that the best value is actually closer to $\epsilon = 0.4$. These temperature fields were
 356 generated at the optimum forcing frequency for each value of ϵ . **The thermal profiles can**
 357 **be visually compared in terms of their degree of uniformity or in terms of their degree of**

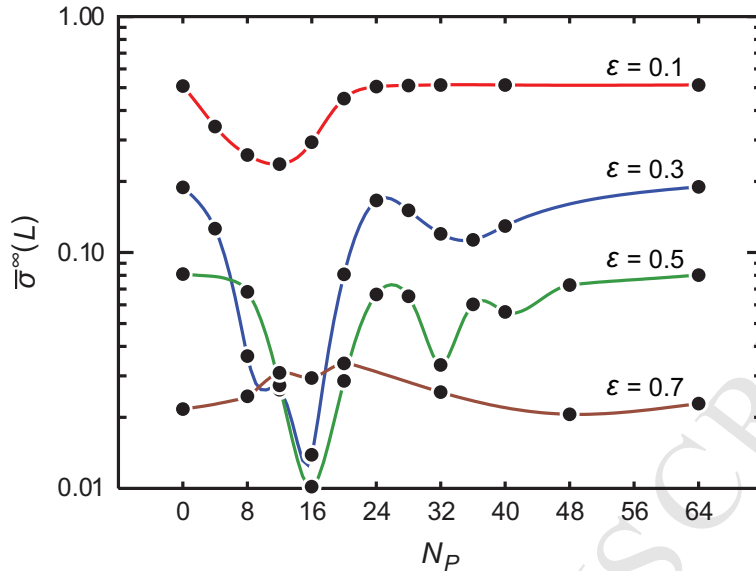


Figure 6: Exit value of the normalized standard deviation of the temperature field, $\bar{\sigma}^\infty(L)$, as a function of ϵ and N_P , for the eccentric, rotating, 3-D annular heat exchanger under steady-periodic conditions. The geometric and rotation parameters are the same as in Fig. 2. The lines are a smooth interpolation of the numerical data and serve as guides to the eye.

358 similitude with the color value of $\langle T \rangle(0)$, which is the exit fluid temperature for a perfectly
 359 homogenized heat exchanger. Obviously, both types of comparison yield the same conclusion
 360 about the optimal choice of ϵ . Particle tracking in the heat exchanger, Fig. 8, shows that
 361 the passive tracer is better and more evenly distributed over the entire exit cross section of
 362 the heat exchanger for an eccentricity ratio ϵ between 0.4 and 0.5 and at the same optimum
 363 forcing frequency as in Fig. 7.

364 Chaotic advection in this flow is, perhaps, best visualized by plotting numerical streak-
 365 lines. As an example, for $\epsilon = 0.5$, $R_2/R_1 = 2$, and $N_T = 30$, Fig. 9 shows the streaklines
 366 for the cases of no inner cylinder modulation ($N_P = 0$), optimum modulation ($N_P = 16$),
 367 and a very high modulation ($N_P = 64$). The point where the tracer is continuously injected

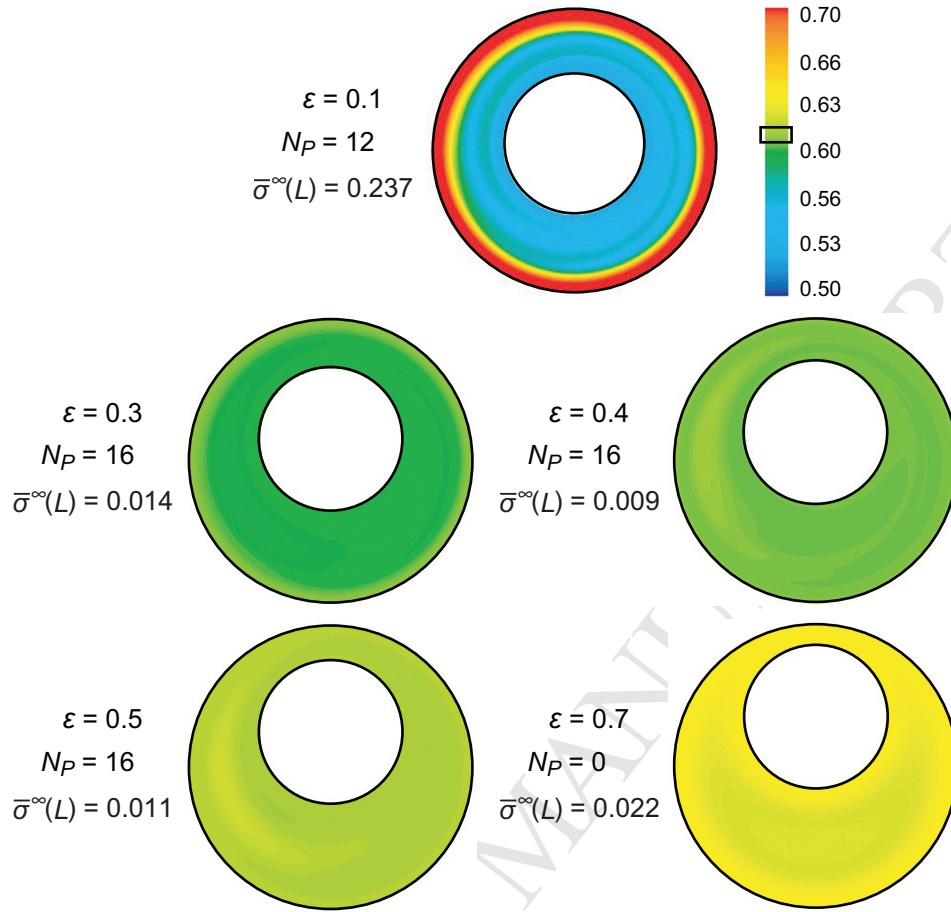


Figure 7: Temperature field at the exit cross section of the heat exchanger as a function of the eccentricity ratio, ϵ , for a fixed value $N_T = 30$ and optimal value of N_P . For each case, the value of the control parameter N_P is the one that minimizes the exit value of the normalized standard deviation of the temperature field, $\bar{\sigma}^\infty(L)$ (see Fig. 6). The snapshots of the exit temperature field were generated under steady-periodic conditions at a time instant that is a multiple of the modulation period ($2\pi/\omega$). The geometric and rotation parameters are the same as in Fig. 2. The rectangle over the color scale shows the temperature value if the cross-sectional exit temperature field in the heat exchanger were perfectly homogenized.

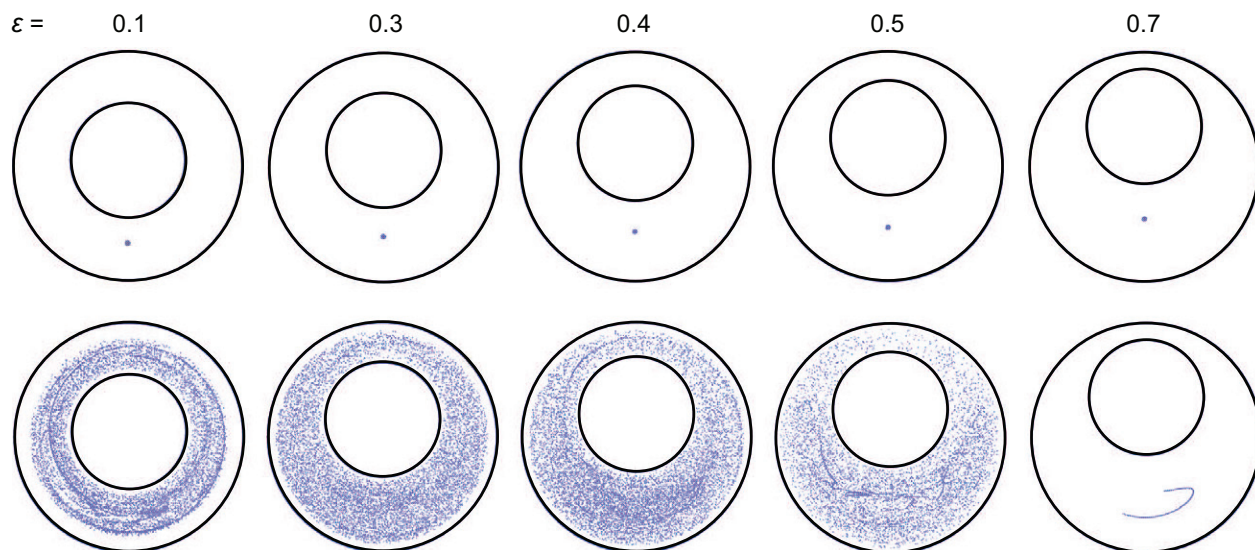


Figure 8: Location of material points at the exit cross section of the heat exchanger for 0.1 vol-% injection of a tracer (passive scalar). The results are presented for different values of ϵ at the optimum forcing frequency for each case. The injection is placed in the region of maximum clearance, its exact placement is shown in the top plots. The values of (ϵ, N_P) are $(0.1, 12)$, $(0.3, 16)$, $(0.4, 16)$, $(0.5, 16)$, and $(0.7, 0)$. The geometry and flow parameters are identical to those of Fig. 2. The plots were generated by synchronizing each tracer tracking run with the start of a period of modulation.

368 is the same in all three cases. When there is no modulation the streaklines are helicoidal,
 369 this result is well known and has been shown by Kusch and Ottino [3]. For the optimum
 370 modulation frequency, $N_P = 16$, the streaklines are chaotic as shown in middle graphic of
 371 Fig. 9. A very high modulation leads to streaklines that are very similar to those obtained
 372 without any modulation.

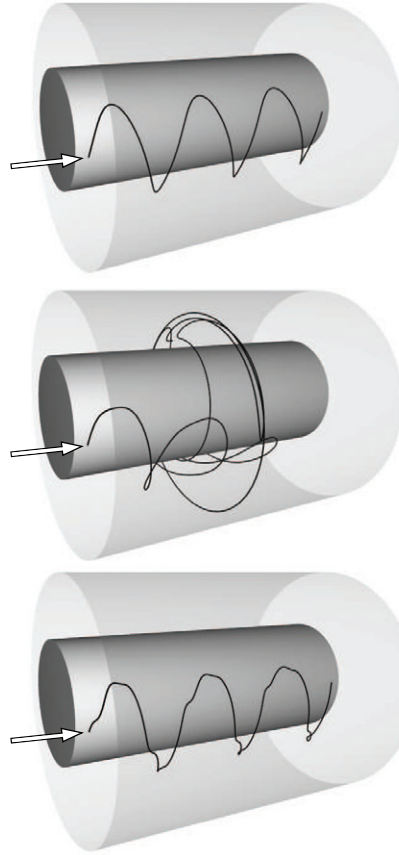


Figure 9: Streaklines for $\epsilon = 0.5$, $R_2/R_1 = 2$, and $N_T = 30$ and three different values of N_P : 0 (top), 16 (middle), and 64 (bottom). The arrows point to the location of the injection point, which is placed in the maximum gap region.

373 Conclusions

374 The heat transfer rate into highly viscous, low thermal-conductivity fluids can be enhanced
 375 substantially in the eccentric, annular heat exchanger when both boundaries are allowed to
 376 rotate. The physical effect of chaotic advection is to render the cross-sectional temperature
 377 field uniform, thus increasing both the wall temperature gradient and the heat flux into the
 378 fluid. If one boundary moves at constant velocity while the other one turns at a periodic

379 angular velocity, an optimum forcing frequency exists for which the heat transfer rate is a
380 maximum. The heat transfer efficiency under such conditions is better than that obtained
381 without modulation. The heat transfer enhancement is due to chaotic advection as in turbu-
382 lent flow. For a given axial flow-rate there is an optimum value of the frequency that enhances
383 the heat-transfer rate most. There is also an optimum value of the eccentricity ratio ϵ . The
384 best performance is obtained for a moderately eccentric heat exchanger, $\epsilon \approx 0.4\text{--}0.5$.

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