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## A mixing effect induced by sources concentrated in a soft junction and the gradient concentration phenomenon

Anne-Laure Bessoud \*, Pongpol Juntharee †‡, Christian Licht †, and Gérard Michaille ‡

#### Abstract

We show that the variational limit of a  $\varepsilon$ -soft and thin junction problem  $(\mathcal{P}_{\varepsilon})$  with sources concentrated in the junction gives rise to a surface energy mixing the internal energy and sources. The surface energy functional possesses an integral representation with respect to the Gradient Young-Concentration measures generated by sequences  $(\bar{u}_{\varepsilon})_{\varepsilon>0}$  of minimizers of  $(\mathcal{P}_{\varepsilon})$ .

AMS subject classifications: 35B40, 49Q20, 28A33

Keywords: Γ-convergence, Gradient Young measures, concentration measures, minimization problems.

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### 1 Introduction

This paper concerns a soft thin junction subjected to concentrated sources. More precisely, let  $\Omega$  be a domain in  $\mathbb{R}^N$  and let  $B_{\varepsilon} := \Sigma \times (-\frac{\varepsilon}{2}, \frac{\varepsilon}{2}) \subset \Omega$ ,  $\Sigma \subset \mathbb{R}^{N-1}$ , be the layer occupied by the soft thin junction (cf Figure 1). We consider the minimization problem

$$\min_{u \in W_{\Gamma_{\epsilon}^{2}}(\Omega)} \left\{ \int_{\Omega \setminus B_{\varepsilon}} f(\nabla u) \ dx + \varepsilon \int_{B_{\varepsilon}} g(\nabla u) \ dx - \langle \mathcal{S}^{\varepsilon}, u \rangle_{\varepsilon} \right\}$$
  $(\mathcal{P}_{\varepsilon})$ 

where  $W^{1,2}_{\Gamma_0}(\Omega)$  denotes the space of Sobolev functions with null trace on a part  $\Gamma_0$  of the boundary of  $\Omega$ , and the linear form  $\langle \mathcal{S}^{\varepsilon},.\rangle_{\varepsilon}$  represents the work of the source (or the loading). Let  $B:=\Sigma\times (-\frac{1}{2},\frac{1}{2})$ . A suitably rescaled  $\mathcal{S}_{\varepsilon}$  of  $\mathcal{S}^{\varepsilon}$  is assumed to strongly converge to some  $\mathcal{S}$  in the dual of the space  $V(B):=\left\{u\in L^2(\Omega):\frac{\partial u}{\partial x_N}\in L^2(\Omega)\right\}$  when  $\varepsilon$  tends to zero. A general example of such sources which are measures on  $B_{\varepsilon}$  is given in Section 4 of the paper. Sources of the form  $c\frac{1}{L(\varepsilon)}\mathbbm{1}_{B_{\varepsilon}}$  where c is any constant and  $L(\varepsilon)\sim \varepsilon$ , is a trivial example of measures satisfying this condition with  $\mathcal{S}=\mathbbm{1}_B$ . Note that in this paper the source (or the loading)  $\mathcal{S}_{\varepsilon}$  is a non  $L^2$ -continuous perturbation of the energy functional  $\int_{\Omega\setminus B_{\varepsilon}} f(\nabla u)\ dx + \varepsilon \int_{B_{\varepsilon}} g(\nabla u)\ dx$ .

Among the physical motivations of  $(\mathcal{P}_{\varepsilon})$  one may mention various applications to heat conduction or electrostatic problems involving sources concentrated in the layer  $B_{\varepsilon}$  with conductivity or permittivity of order the size of  $B_{\varepsilon}$ . One may also think of membrane problems with an exterior loading concentrated in  $B_{\varepsilon}$  occupied by a material with stiffness of order the small size of  $B_{\varepsilon}$ . Such a problem with a source concentrated in the junction was considered in [3] in a one dimensional case in order to highlight and illustrate a gradient concentration phenomenon, but the authors were not able to express the variational limit problem.

This paper illustrates the same gradient concentration phenomenon with a complete description of the limit problem in the sense of  $\Gamma$ -convergence (Theorem 3.3). When the size  $\varepsilon$  of the layer goes to zero, fields  $u_{\varepsilon}$  of bounded energy develop a discontinuity through  $\Sigma$ . More precisely, at the variational limit, the internal energy functional of the junction  $\varepsilon \int_{B_{\varepsilon}} g(\nabla u) \ dx$  and the work of the loading  $\langle \mathcal{S}^{\varepsilon}, u \rangle_{\varepsilon}$  are combined into a functional of the type

$$\bar{H}(u) = \int_{\Sigma} \bar{h}(\hat{x}, u^+ - u^-, \frac{u^+ + u^-}{2}) d\hat{x}$$

and the limit problem reads as

$$\min_{u \in W_{\Gamma_0}^{1,2}(\Omega \setminus \Sigma)} \left\{ \int_{\Omega} f(\nabla u) \, dx + \bar{H}(u) \right\} \tag{$\mathcal{P}$}$$

where  $u^{\pm}$  denote the traces on  $\Sigma$ . When regarding the various studies devoted to the asymptotic modeling of junction problems (see [2, 9, 7, 10] and references therein) the main novelty is that the density  $\bar{h}$  depends also of the mean  $\frac{u^{+}+u^{-}}{2}$ . Furthermore we show that the sequence of minimizers of  $(\mathcal{P}_{\varepsilon})$  (which converges to a minimizer  $\bar{u}$  of the limit problem  $(\mathcal{P})$ ) generates a gradient Young-concentration measure  $\bar{\mu}$  in the sense defined in [3]. Then we can give an integral representation of the internal part of  $\bar{H}$  with respect to the measure  $\bar{\mu}$  (Theorem 5.5) so that it can be localized in  $\Sigma \times \{\pm 1\}$ . Finally this provides new bounds on the measure  $\bar{\mu}$  (Corollary 5.6).

The paper is organized as follows: in Section 2 we fix notation and give a detailed description of the problem  $(\mathcal{P}_{\varepsilon})$ . Section 3 is devoted to the asymptotic analysis of  $(\mathcal{P}_{\varepsilon})$  in the sense of the Γ-convergence of the functional energy extended to  $L^2(\Omega)$  equipped with its strong topology. In Section 4 we describe a large class of suitable sources  $\mathcal{S}_{\varepsilon}$ . Finally Section 5 is concerned with the analysis of the gradient concentration phenomenon generated by sequences of minimizers of  $(\mathcal{P}_{\varepsilon})$ . We stress the fact that one could treat the problem in  $L^p(\Omega)$ , 1 in the same way without additional difficulties.

### 2 Description of the minimization problem

Let  $\varepsilon > 0$  be a small parameter intended to go to zero, more precisely taking values in a countable subset of  $(0, \varepsilon_0]$  whose 0 is the only cluster point. The reference configuration of the assembly of the two adherents and the adhesive is a cylinder  $\Omega := \Sigma \times (-r, r)$  (with  $r > \varepsilon$ ), where  $\Sigma$  is a bounded domain in  $\mathbb{R}^{N-1}$ ,  $N \ge 2$ , with Lipschitz boundary. For  $x \in \mathbb{R}^N$  we sometimes write  $x = (\hat{x}, x_N)$  where  $\hat{x} \in \mathbb{R}^{N-1}$ . In all the paper, C denotes a non negative constant which does not depend on  $\varepsilon$  and may vary from line to line. We do not relabel the various considered subsequences and the symbols  $\to$  and  $\to$  denote various strong convergences and weak convergences respectively. We define the following sets:

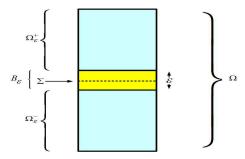


Figure 1: physical domain

- $B_{\varepsilon} := \Sigma \times (-\frac{\varepsilon}{2}, \frac{\varepsilon}{2});$
- $B := \Sigma \times (-\frac{1}{2}, \frac{1}{2});$
- .  $\Omega_{\varepsilon} = \Omega \setminus \overline{B_{\varepsilon}}$ ;
- .  $\Gamma_0$  is a subset of the boundary  $\partial\Omega$  of  $\Omega$  such that  $\operatorname{dist}(\overline{\Gamma}_0, \overline{\partial B_{\varepsilon} \cap \partial\Omega}) > 0$  for all  $\varepsilon < \varepsilon_0$ ;
- . we write  $\Omega_{\varepsilon}^-$ ,  $\Omega_{\varepsilon}^+$ ,  $\Omega^-$ ,  $\Omega^+$ ,  $B_{\varepsilon}^+$  and  $B_{\varepsilon}^-$  for the sets  $\Omega_{\varepsilon} \cap [x_N < 0]$  and  $\Omega_{\varepsilon} \cap [x_N > 0]$ ,  $\Omega \cap [x_N < 0]$ ,  $\Omega \cap [x_N > 0]$  and  $B_{\varepsilon} \cap [x_N > 0]$ ,  $B_{\varepsilon} \cap [x_N < 0]$  respectively.

We will be concerned with the following spaces:

- $W_{\Gamma_0}^{1,2}(\Omega_{\varepsilon}) := \{ u \in W^{1,2}(\Omega_{\varepsilon}) : u = 0 \text{ on } \Gamma_0 \};$
- .  $W^{1,2}_{\Gamma_0}(\Omega) := \left\{ u \in W^{1,2}(\Omega) : u = 0 \text{ on } \Gamma_0 \right\};$
- .  $W^{1,2}_{\Gamma_0}(\Omega \setminus \Sigma) := \{u \in W^{1,2}(\Omega \setminus \Sigma) : u = 0 \text{ on } \Gamma_0\}$ , and for every  $z \in W^{1,2}_{\Gamma_0}(\Omega \setminus \Sigma)$ ,  $z^{\pm}$  will stand for the traces of z on  $\Sigma$  considered as a Sobolev function on  $\Omega^+$  and  $\Omega^-$  respectively.

We say that a function  $h: \mathbb{R}^N \longrightarrow \mathbb{R} \cup \{+\infty\}$  satisfies a growth condition of order 2 if there exist  $\alpha$  and  $\beta$  in  $\mathbb{R}^+$  such that

$$\alpha \left| \xi \right|^2 \le h(\xi) \le \beta (1 + \left| \xi \right|^2)$$
 for all  $\xi \in \mathbb{R}^N$ .

We consider two convex functions  $f, g : \mathbb{R}^N \longrightarrow \mathbb{R}$  satisfying a growth condition of order 2, and we assume that there exists a positively 2-homogeneous function  $g^{\infty,2}$  satisfying

$$\left|g(\xi) - g^{\infty,2}(\xi)\right| \le \beta(1 + |\xi|^{2-\delta}) \text{ for all } \xi \in \mathbb{R}^N,$$
 (2.1)

for some  $\delta$ ,  $0 < \delta < 2$ . Note that  $g^{\infty,2}$  is the positively 2-homogeneous recession function of g, i.e.,

$$g^{\infty,2}(\xi) = \lim_{t \to +\infty} \frac{g(t\xi)}{t^2},$$

is convex and satisfies the same growth condition of order 2. We define the space

$$V(B_{\varepsilon}) := \left\{ u \in L^{2}(B_{\varepsilon}) : \frac{\partial u}{\partial x_{N}} \in L^{2}(B_{\varepsilon}) \right\}$$

equipped with the norm

$$||u||_{V(B_{\varepsilon})} := \left( \int_{B_{\varepsilon}} |u|^2 dx + \int_{B_{\varepsilon}} \left| \frac{\partial u}{\partial x_N} \right|^2 dx \right)^{\frac{1}{2}}$$

and we denote the duality bracket between the topological dual space  $V'(B_{\varepsilon})$  and  $V(B_{\varepsilon})$  by  $\langle , \rangle_{\varepsilon}$ . The considered total energy functional  $F_{\varepsilon}: L^2(\Omega) \longrightarrow \mathbb{R} \cup \{+\infty\}$  is defined by

$$F_{\varepsilon}(u) = \begin{cases} \int_{\Omega_{\varepsilon}} f(\nabla u) \ dx + \varepsilon \int_{B_{\varepsilon}} g(\nabla u) \ dx - \langle \mathcal{S}^{\varepsilon}, u \rangle_{\varepsilon} \text{ if } u \in W^{1,2}_{\Gamma_0}(\Omega) \\ +\infty \text{ otherwise,} \end{cases}$$

where  $S^{\varepsilon}$  is given in  $V'(B_{\varepsilon})$ . Our aim is to describe the asymptotic behavior of the minimization problem

$$(\mathcal{P}_{\varepsilon})$$
 min  $\{F_{\varepsilon}(u): u \in L^2(\Omega)\}$ ,

namely, the limit of min  $\{F_{\varepsilon}(u): u \in L^2(\Omega)\}$  together with the limit of the minimizer  $\bar{u}_{\varepsilon}$ , and to identify the limit problem in the framework of  $\Gamma$ -convergence.

Let us consider the space  $V(B) := \left\{ u \in L^2(B) : \frac{\partial u}{\partial x_N} \in L^2(B) \right\}$  equipped with the norm

$$||u||_{V(B)} := \left( \int_B |u|^2 dx + \int_B \left| \frac{\partial u}{\partial x_N} \right|^2 dx \right)^{\frac{1}{2}},$$

and denote the duality bracket between V'(B) and V(B) by  $\langle ., . \rangle$ . The linear continuous operator

$$\tau_{\varepsilon}: V(B_{\varepsilon}) \longrightarrow V(B)$$

is defined for every  $x = (\hat{x}, x_N) \in B$  by  $\tau_{\varepsilon} u(\hat{x}, x_N) := u(\hat{x}, \varepsilon x_N)$  and we denote its transposed operator by  ${}^T\tau_{\varepsilon}$ :

$$\langle {}^T\tau_{\varepsilon}\theta, u \rangle_{\varepsilon} = \langle \theta, \tau_{\varepsilon}u \rangle, \ \forall (\theta, u) \in V'(B) \times V(B_{\varepsilon}).$$

We make the following assumption on the source  $S^{\varepsilon}$ : there exists S in V'(B) such that

$$S_{\varepsilon} := (^T \tau_{\varepsilon})^{-1} \mathcal{S}^{\varepsilon}$$
 strongly converges to  $\mathcal{S}$  in  $V'(B)$ .

Then, in order to identify the  $\Gamma$ -limit of the functional  $F_{\varepsilon}$ , it will be more convenient to write the functional  $F_{\varepsilon}$  as

$$F_{\varepsilon}(u) = \begin{cases} \int_{\Omega_{\varepsilon}} f(\nabla u) \ dx + \varepsilon^{2} \int_{B} g(\widehat{\nabla} \tau_{\varepsilon} u, \frac{1}{\varepsilon} \frac{\partial \tau_{\varepsilon} u}{\partial x_{N}}) \ dx - \langle \mathcal{S}_{\varepsilon}, \tau_{\varepsilon} u \rangle \text{ if } u \in W_{\Gamma_{0}}^{1,2}(\Omega) \\ +\infty \text{ otherwise.} \end{cases}$$

## 3 The variational asymptotic model

Let  $H:V(B)\longrightarrow \mathbb{R}$  be the functional defined by

$$H(\theta) := \int_{B} g^{\infty,2}(\hat{0}, \frac{\partial \theta}{\partial x_{N}}) dx - \langle \mathcal{S}, \theta \rangle$$
$$= H_{in}(\theta) - \langle \mathcal{S}, \theta \rangle. \tag{3.1}$$

We refer the functional  $H_{in}$  as the internal part of H. We claim that, when  $L^2(\Omega)$  is equipped with its strong topology, the functional  $F_{\varepsilon}$   $\Gamma$ -converges to the functional  $F_0: L^2(\Omega) \longrightarrow \mathbb{R} \cup \{+\infty\}$  given by

$$F_0(u) = \begin{cases} \int_{\Omega} f(\nabla u) \ dx + \inf_{\theta \in X(u)} H(\theta) \ \text{if } u \in W_{\Gamma_0}^{1,2}(\Omega \setminus \Sigma), \\ +\infty \ \text{otherwise,} \end{cases}$$

where  $X(u) := \{ \theta \in V(B) : \theta(., \pm \frac{1}{2}) = u^{\pm} \}.$ 

Before addressing the variational convergence process, we begin by establishing some compactness properties for sequences with bounded energy. Let us introduce the  $\varepsilon$ -translate operator  $T_{\varepsilon}$  from  $W^{1,2}(\Omega)$  into  $W^{1,2}(\Omega \setminus \Sigma)$ . For any function  $w \in W^{1,2}(\Omega)$ ,  $\tilde{w}$  stands for its extension by reflexion on  $\Sigma \times (-2r, -r) \cup (r, 2r)$  and we define the  $\varepsilon$ -translate  $T_{\varepsilon}w$  of w by

$$T_{\varepsilon}w(\hat{x},x_N) = \begin{cases} \widetilde{w}(\hat{x},x_N + \frac{\varepsilon}{2}) & \text{if } x \in \Omega^+; \\ \widetilde{w}(\hat{x},x_N - \frac{\varepsilon}{2}) & \text{if } x \in \Omega^-. \end{cases}$$

**Lemma 3.1** (compactness). Let  $(u_{\varepsilon})_{\varepsilon>0}$  be a sequence in  $L^2(\Omega)$  such that  $\sup_{\varepsilon>0} F_{\varepsilon}(u_{\varepsilon}) < +\infty$ . Then

(i) 
$$\int_{B_{\varepsilon}} |u_{\varepsilon}|^2 dx \le C\varepsilon \Big( \int_{\Omega_{\varepsilon}} |\nabla u_{\varepsilon}|^2 dx + \varepsilon \int_{B_{\varepsilon}} \left| \frac{\partial u_{\varepsilon}}{\partial x_N} \right|^2 dx \Big); \tag{3.2}$$

(ii) 
$$\sup_{\varepsilon>0} \left( \int_{\Omega_{\varepsilon}} |\nabla u_{\varepsilon}|^{2} dx + \varepsilon \int_{B_{\varepsilon}} \left| \frac{\partial u_{\varepsilon}}{\partial x_{N}} \right|^{2} dx \right) < +\infty; \tag{3.3}$$

- (iii) there exist  $u \in W^{1,2}_{\Gamma_0}(\Omega \setminus \Sigma)$  and a subsequence of  $(u_{\varepsilon})_{{\varepsilon}>0}$  such that  $u_{\varepsilon} \to u$  in  $L^2(\Omega)$  and  $u_{\varepsilon} \to u$  in  $W^{1,2}_{\Gamma_0}(\Omega_{\eta})$  for all  $\eta > 0$ ;
- (iv) there exist  $\theta \in V(B)$  and a subsequence such that  $\tau_{\varepsilon}u_{\varepsilon} \rightharpoonup \theta$  in V(B), i.e.

$$\tau_{\varepsilon}u_{\varepsilon} \rightharpoonup \theta \text{ in } L^{2}(B),$$

$$\frac{\partial \tau_{\varepsilon}u_{\varepsilon}}{\partial x_{N}} \rightharpoonup \frac{\partial \theta}{\partial x_{N}} \text{ in } L^{2}(B);$$

moreover,  $\varepsilon \widehat{\nabla} \tau_{\varepsilon} u_{\varepsilon} \rightharpoonup 0$  in  $L^{2}(B, \mathbb{R}^{N-1})$ ;

(v) 
$$\theta(.,\pm\frac{1}{2}) = u^{\pm}$$
.

*Proof. Proof of (i)*. Without loss of generality, we may assume that the N-1-dimensional Hausdorff measure of the intersection of  $\Gamma_0$  with  $[x_N > 0]$  is positive so that (i) is a mere consequence of the following Poincaré-like inequality:

$$\exists C > 0, \ \int_{R} |\varphi|^2 \ dx \le C\varepsilon \left( \int_{\Omega^+} |\nabla \varphi|^2 \ dx + \varepsilon \int_{R} \left| \frac{\partial \varphi}{\partial x_N} \right|^2 \ dx \right) \, \forall \varphi \in W_{\Gamma_0}^{1,2}(\Omega). \tag{3.4}$$

Indeed, because

$$\varphi\left(\hat{x},x_{N}\right)=T_{\varepsilon}\varphi(\hat{x},0)+\int_{\frac{\varepsilon}{2}}^{x_{N}}\frac{\partial}{\partial x_{N}}\varphi\left(\hat{x},t\right)dt \qquad \forall x\in B_{\varepsilon},$$

for all smooth function  $\varphi \in W^{1,2}_{\Gamma_0}(\Omega)$ , we get

$$|\varphi(\hat{x}, x_N)|^2 \le 2\Big(|T_{\varepsilon}\varphi(\hat{x}, 0)|^2 + \varepsilon \int_{-\frac{\varepsilon}{2}}^{\frac{\varepsilon}{2}} \left|\frac{\partial}{\partial x_N}\varphi(\hat{x}, t)\right|^2 dt\Big).$$

Hence, integrating on  $B_{\varepsilon}$  and using trace inequality and Poincaré inequality in  $\Omega^+$  give the desired inequality (3.4) for smooth  $\varphi$ , thus for all  $\varphi$  in  $W_{\Gamma_0}^{1,2}(\Omega)$  by a density argument.

*Proof of (ii).* From the coercivity conditions satisfied by f and g, estimate (3.2), and the strong convergence of  $S_{\varepsilon}$  in V'(B), one has

$$\alpha \left( \int_{\Omega_{\varepsilon}} |\nabla u_{\varepsilon}|^{2} dx + \varepsilon \int_{B_{\varepsilon}} \left| \frac{\partial u_{\varepsilon}}{\partial x_{N}} \right|^{2} dx \right) \leq C + |\langle \mathcal{S}^{\varepsilon}, u_{\varepsilon} \rangle_{\varepsilon}| 
= C + |\langle \mathcal{S}_{\varepsilon}, \tau_{\varepsilon} u_{\varepsilon} \rangle| 
\leq C + ||\mathcal{S}_{\varepsilon}||_{V'(B)} ||\tau_{\varepsilon} u_{\varepsilon}||_{V(B)} 
= C + ||\mathcal{S}_{\varepsilon}||_{V'(B)} \left( \frac{1}{\varepsilon} \int_{B_{\varepsilon}} |u_{\varepsilon}|^{2} dx + \varepsilon \int_{B_{\varepsilon}} \left| \frac{\partial u_{\varepsilon}}{\partial x_{N}} \right|^{2} dx \right)^{\frac{1}{2}} 
\leq C + C \left( \int_{\Omega_{\varepsilon}} |\nabla u_{\varepsilon}|^{2} dx + \varepsilon \int_{B_{\varepsilon}} \left| \frac{\partial u_{\varepsilon}}{\partial x_{N}} \right|^{2} dx \right)^{\frac{1}{2}}.$$

Then, setting  $X_{\varepsilon} := \left( \int_{\Omega_{\varepsilon}} |\nabla u_{\varepsilon}|^2 dx + \varepsilon \int_{B_{\varepsilon}} \left| \frac{\partial u_{\varepsilon}}{\partial x_N} \right|^2 dx \right)^{1/2}$ , (3.3) follows from the estimate  $\alpha X_{\varepsilon}^2 \le C + CX_{\varepsilon}$ .

Proof of (iii).

Step 1. We claim that there exist  $z \in W^{1,2}(\Omega \backslash \Sigma)$  and a subsequence of  $(u_{\varepsilon})_{\varepsilon>0}$  such that  $T_{\varepsilon}u_{\varepsilon} \rightharpoonup z$  in  $W^{1,2}(\Omega \backslash \Sigma)$  and strongly in  $L^2(\Omega \backslash \Sigma)$ . Clearly,

$$T_{\varepsilon}u_{\varepsilon} \in W^{1,2}(\Omega \backslash \Sigma) \text{ and } \frac{\partial}{\partial x_i}T_{\varepsilon}u_{\varepsilon} = T_{\varepsilon}\frac{\partial}{\partial x_i}u_{\varepsilon} \text{ for all } \varepsilon > 0.$$
 (3.5)

Combining the Poincaré inequality, (3.3) and (3.5), we deduce

$$\sup_{\varepsilon>0} \left\| T_{\varepsilon} u_{\varepsilon} \right\|_{W^{1,2}(\Omega \setminus \Sigma)}^{2} \leq C \sup_{\varepsilon>0} \left( \int_{\Omega_{\varepsilon}} \left| \nabla u_{\varepsilon}(x) \right|^{2} dx + \varepsilon \int_{B_{\varepsilon}} \left| \frac{\partial u_{\varepsilon}}{\partial x_{N}}(x) \right|^{2} dx \right) < +\infty.$$

Therefore,  $(T_{\varepsilon}u_{\varepsilon})_{\varepsilon>0}$  is bounded in  $W^{1,2}(\Omega\backslash\Sigma)$  and the claim follows immediately.

Step 2. We establish that there exists u in  $L^2(\Omega)$  such that we can extract from the previous subsequence  $(u_{\varepsilon})_{\varepsilon>0}$  a subsequence strongly converging to u in  $L^2(\Omega)$ . We can write

$$\int_{\Omega_{\varepsilon}} |u_{\varepsilon}(x)|^2 dx = \int_{\Omega^+ \cup \Omega^-} |T_{\varepsilon} u_{\varepsilon}(x)|^2 dx - \int_{\Sigma \times \left(\left(r - \frac{\varepsilon}{2}, r\right) \cup \left(-r, -r + \frac{\varepsilon}{2}\right)\right)} |T_{\varepsilon} u_{\varepsilon}(x)|^2 dx,$$

so that

$$\|u_{\varepsilon}\|_{L^{2}(\Omega)}^{2} = \int_{\Omega^{+} \cup \Omega^{-}} |T_{\varepsilon}u_{\varepsilon}(x)|^{2} dx + \int_{B_{\varepsilon}} |u_{\varepsilon}(x)|^{2} dx - \int_{\Sigma \times \left(\left(r - \frac{\varepsilon}{2}, r\right) \cup \left(-r, -r + \frac{\varepsilon}{2}\right)\right)} |T_{\varepsilon}u_{\varepsilon}(x)|^{2} dx.$$
(3.6)

From step 1 and (3.2), we deduce that  $\sup_{\varepsilon>0} \|u_{\varepsilon}\|_{L^{2}(\Omega)} < +\infty$ . Thus there exist  $u \in L^{2}(\Omega)$  and a not relabelled subsequence such that  $u_{\varepsilon} \rightharpoonup u$  in  $L^{2}(\Omega)$ . Let us prove that u = z. Since  $u_{\varepsilon} \rightharpoonup u$  in  $L^{2}(\Omega)$  and  $T_{\varepsilon}u_{\varepsilon} \rightharpoonup z$  in  $W^{1,2}(\Omega \backslash \Sigma)$ , we have for any  $\varphi \in C_{\varepsilon}^{\infty}(\Omega)$ ,

$$\int_{\Omega} u(x)\varphi(x)dx = \lim_{\varepsilon \to 0} \int_{\Omega} u_{\varepsilon}(x)\varphi(\widehat{x}, x_N - \frac{\varepsilon}{2})dx$$

$$= \lim_{\varepsilon \to 0} \int_{\Omega} T_{\varepsilon}u_{\varepsilon}(x)\varphi(x)dx$$

$$= \int_{\Omega} z(x)\varphi(x)dx.$$

Thus u=z almost everywhere in  $\Omega$  and we deduce that  $u\in W^{1,2}(\Omega\backslash\Sigma)$ . Moreover, from (3.2) we have that  $\int_{B_{\varepsilon}}|u_{\varepsilon}(x)|^2dx\to 0$  as  $\varepsilon\to 0$ . On the other hand, since  $T_{\varepsilon}u_{\varepsilon}\to z$  in  $L^2(\Omega)$ , we infer  $\int_{\Omega^+ \cup \Omega^-} |T_{\varepsilon} u_{\varepsilon}(x)|^2 dx \to \int_{\Omega} |z(x)|^2 dx$  and  $\int_{\Sigma \times \left(\left(r - \frac{\varepsilon}{2}, r\right) \cup \left(-r, -r + \frac{\varepsilon}{2}\right)\right)} |T_{\varepsilon} u_{\varepsilon}(x)|^2 dx \to 0$ . Then we deduce that  $\|u_{\varepsilon}\|_{L^2(\Omega)} \to \|z\|_{L^2(\Omega)} = \|u\|_{L^2(\Omega)}$  and thus that  $(u_{\varepsilon})_{\varepsilon>0}$  strongly converges to u in  $L^2(\Omega)$ .

Step 3. It remains to establish that for any  $\eta > 0$ , there exists a subsequence of  $(u_{\varepsilon})_{\varepsilon>0}$  such that  $u_{\varepsilon \lfloor \Omega_{\eta} \rfloor} \rightharpoonup u_{\lfloor \Omega_{\eta} \rfloor}$  in  $W^{1,2}_{\Gamma_0}(\Omega_{\eta})$ . It will immediately result that  $u \in W^{1,2}_{\Gamma_0}(\Omega \setminus \Sigma)$ . Let  $\eta > 0$ . Clearly, there exists  $0 < \varepsilon_1 < \eta$  such that  $\Omega_{\eta} \subseteq \Omega_{\varepsilon}$  for all  $\varepsilon \le \varepsilon_1$ . By the Poincaré inequality we have

$$\sup_{\varepsilon>0} \|u_{\varepsilon}\|_{W^{1,2}(\Omega_{\eta})}^{2} \leq C \sup_{\varepsilon>0} \left( \int_{\Omega_{\varepsilon}} |\nabla u_{\varepsilon}(x)|^{2} dx + \varepsilon \int_{B_{\varepsilon}} \left| \frac{\partial u_{\varepsilon}}{\partial x_{N}}(x) \right|^{2} dx \right) < +\infty.$$

Thus,  $(u_{\varepsilon})_{\varepsilon>0}$  is bounded in  $W^{1,2}_{\Gamma_0}(\Omega_{\eta})$ , and there exist  $w\in W^{1,2}_{\Gamma_0}(\Omega_{\eta})$  and a not relabelled subsequence of  $(u_{\varepsilon})_{\varepsilon>0}$  satisfying  $u_{\varepsilon}\to w$  in  $L^2(\Omega_{\eta})$  and  $u_{\varepsilon}\rightharpoonup w$  in  $W^{1,2}_{\Gamma_0}(\Omega_{\eta})$ . It is easily seen that in fact  $w=u_{\lfloor\Omega_{\eta}}$ .

*Proof of (iv)*. The weak convergence of  $\tau_{\varepsilon}u_{\varepsilon}$  to some  $\theta$  in V(B) follows from (3.2) and (3.3). Indeed

$$\sup_{\varepsilon>0} \|\tau_{\varepsilon} u_{\varepsilon}\|_{V(B)} = \sup_{\varepsilon>0} \left(\frac{1}{\varepsilon} \int_{B_{\varepsilon}} |u_{\varepsilon}|^{2} dx + \varepsilon \int_{B_{\varepsilon}} \left| \frac{\partial u_{\varepsilon}}{\partial x_{N}} \right|^{2} dx \right)^{\frac{1}{2}} \\ \leq C \sup_{\varepsilon>0} X_{\varepsilon} < +\infty.$$

Now we deduce that  $\widehat{\nabla} \tau_{\varepsilon} u_{\varepsilon} \rightharpoonup \widehat{\nabla} \theta$  in the distributional sense so that  $\varepsilon \widehat{\nabla} \tau_{\varepsilon} u_{\varepsilon} \rightharpoonup 0$  in the distributional sense. On the other hand, from the coercivity of g,  $\varepsilon \widehat{\nabla} \tau_{\varepsilon} u_{\varepsilon}$  weakly converges to some  $L^{2}(B, \mathbb{R}^{N-1})$  function. Hence,  $\varepsilon \widehat{\nabla} \tau_{\varepsilon} u_{\varepsilon} \rightharpoonup 0$  in  $L^{2}(B, \mathbb{R}^{N-1})$ .

*Proof of (v)*. Note that  $\theta(.,\pm \frac{1}{2})$  is well defined. Indeed, one has

$$V(B)\subset W^{1,2}\big((-\frac{1}{2},\frac{1}{2}),L^2(\Sigma)\big)\subset\mathcal{C}\big([-\frac{1}{2},\frac{1}{2}],L^2(\Sigma)\big).$$

Clearly,  $\tau_{\varepsilon}u_{\varepsilon}(\hat{x}, \pm \frac{1}{2}) = (T_{\varepsilon}u_{\varepsilon})^{\pm}(\hat{x})$  (in the sense of traces on  $\Sigma$  of  $W_{\Gamma_0}^{1,2}(\Omega \setminus \Sigma)$ -functions) so that  $\tau_{\varepsilon}u_{\varepsilon}(\hat{x}, \pm \frac{1}{2}) \to u^{\pm}$  in  $L^2(\Sigma)$ . On the other hand, since

$$\tau_{\varepsilon} u_{\varepsilon}(\hat{x}, x_N) = \tau_{\varepsilon} u_{\varepsilon}(\hat{x}, \pm \frac{1}{2}) + \int_{\pm \frac{1}{2}}^{x_N} \frac{\partial \tau_{\varepsilon} u_{\varepsilon}}{\partial x_N}(\hat{x}, s) \ ds$$

for a.e. x in B, we infer that for all  $\varphi \in \mathcal{C}_c(\Sigma)$ ,

$$\int_{-\frac{1}{2}}^{\frac{1}{2}} \int_{\Sigma} \tau_{\varepsilon} u_{\varepsilon}(\hat{x}, x_{N}) \varphi(\hat{x}) dx = \int_{\Sigma} (T_{\varepsilon} u_{\varepsilon})^{\pm}(\hat{x}) \varphi(\hat{x}) d\hat{x} + \int_{-\frac{1}{2}}^{\frac{1}{2}} \int_{\Sigma} \int_{\pm \frac{1}{2}}^{x_{N}} \frac{\partial \tau_{\varepsilon} u_{\varepsilon}}{\partial x_{N}} (\hat{x}, s) \varphi(\hat{x}) ds dx. \quad (3.7)$$

By passing to the limit in (3.7), we obtain

$$\int_{-\frac{1}{2}}^{\frac{1}{2}} \int_{\Sigma} \theta(\hat{x}, x_N) \varphi(\hat{x}) \ dx = \int_{\Sigma} u^{\pm}(\hat{x}) \varphi(\hat{x}) d\hat{x} + \int_{-\frac{1}{2}}^{\frac{1}{2}} \int_{\Sigma} \int_{\pm \frac{1}{2}}^{x_N} \frac{\partial \theta}{\partial x_N}(\hat{x}, s) \varphi(\hat{x}) ds dx$$

from which we deduce

$$\int_{\Sigma} u^{\pm}(\hat{x})\varphi(\hat{x})d\hat{x} = \int_{\Sigma} \theta(\hat{x}, \pm \frac{1}{2})\varphi(\hat{x})d\hat{x}.$$

Thus  $\theta(.,\pm \frac{1}{2}) = u^{\pm}$  almost everywhere in  $\Sigma$ .

**Lemma 3.2.** For every  $u \in W^{1,2}_{\Gamma_0}(\Omega \setminus \Sigma)$ ,  $\inf_{\theta \in X(u)} H(\theta) > -\infty$  and there exists  $\theta(u) \in X(u)$  such that  $\inf_{\theta \in X(u)} H(\theta) = H(\theta(u))$ .

*Proof.* The proof follows from standard arguments used in the direct method of the Calculus of Variation.

As a consequence of Lemma 3.2, in its domain  $W_{\Gamma_0}^{1,2}(\Omega \setminus \Sigma)$ , the functional  $F_0$  may be written

$$F_0(u) = \int_{\Omega} f(\nabla u) \ dx + H(\theta(u)).$$

Theorem 3.3 is the main result of this section.

**Theorem 3.3.** The sequence  $(F_{\varepsilon})_{\varepsilon>0}$   $\Gamma$ -converges to the functional  $F_0$  when  $L^2(\Omega)$  is equipped with its strong topology.

The proof results from the following two propositions.

**Proposition 3.4.** For every  $u \in L^2(\Omega)$  and every  $(u_{\varepsilon})_{{\varepsilon}>0}$  strongly converging to u in  $L^2(\Omega)$  one has  $F_0(u) \leq \liminf_{{\varepsilon}\to 0} F_{\varepsilon}(u_{\varepsilon}).$ 

**Proposition 3.5.** For every  $u \in L^2(\Omega)$  there exists  $(v_{\varepsilon})_{{\varepsilon}>0}$  strongly converging to u in  $L^2(\Omega)$  satisfying  $F_0(u) \ge \limsup_{{\varepsilon} \to 0} F_{\varepsilon}(v_{\varepsilon})$ .

Proof of Proposition 3.4. We may assume  $\liminf_{\varepsilon \to 0} F_{\varepsilon}(u_{\varepsilon}) < +\infty$ . From Lemma 3.1  $u \in W^{1,2}_{\Gamma_0}(\Omega \setminus \Sigma)$  and there exists  $\theta \in X(u)$  such that  $\tau_{\varepsilon}u_{\varepsilon} \to \theta$  in V(B). Since  $\mathcal{S}_{\varepsilon} \to \mathcal{S}$  in V'(B), one has

$$\lim_{\varepsilon \to 0} \langle \mathcal{S}_{\varepsilon}, \tau_{\varepsilon} u_{\varepsilon} \rangle = \langle \mathcal{S}, \theta \rangle. \tag{3.8}$$

On the other hand, since from Lemma 3.1,  $u_{\varepsilon} \rightharpoonup u$  in  $W_{\Gamma_0}^{1,2}(\Omega_{\eta})$  for all  $\eta > 0$ , one has

$$\liminf_{\varepsilon \to 0} \int_{\Omega_{\varepsilon}} f(\nabla u_{\varepsilon}) \, dx \ge \int_{\Omega} f(\nabla u) \, dx. \tag{3.9}$$

Finally from (iv) of Lemma 3.1 and a standard lower semicontinuity argument

$$\lim_{\varepsilon \to 0} \inf \varepsilon^{2} \int_{B} g(\widehat{\nabla} \tau_{\varepsilon} u_{\varepsilon}, \frac{1}{\varepsilon} \frac{\partial (\tau_{\varepsilon} u_{\varepsilon})}{\partial x_{N}}) dx$$

$$\geq \lim_{\varepsilon \to 0} \inf \left( \varepsilon^{2} \int_{B} g(\widehat{\nabla} \tau_{\varepsilon} u_{\varepsilon}, \frac{1}{\varepsilon} \frac{\partial (\tau_{\varepsilon} u_{\varepsilon})}{\partial x_{N}}) dx - \int_{B} g^{\infty, 2} (\varepsilon \widehat{\nabla} \tau_{\varepsilon} u_{\varepsilon}, \frac{\partial (\tau_{\varepsilon} u_{\varepsilon})}{\partial x_{N}}) dx \right)$$

$$+ \lim_{\varepsilon \to 0} \inf \int_{B} g^{\infty, 2} (\varepsilon \widehat{\nabla} \tau_{\varepsilon} u_{\varepsilon}, \frac{\partial (\tau_{\varepsilon} u_{\varepsilon})}{\partial x_{N}}) dx$$

$$\geq \lim_{\varepsilon \to 0} \inf \left( \varepsilon^{2} \int_{B} g(\widehat{\nabla} \tau_{\varepsilon} u_{\varepsilon}, \frac{1}{\varepsilon} \frac{\partial (\tau_{\varepsilon} u_{\varepsilon})}{\partial x_{N}}) dx - \int_{B} g^{\infty, 2} (\varepsilon \widehat{\nabla} \tau_{\varepsilon} u_{\varepsilon}, \frac{\partial (\tau_{\varepsilon} u_{\varepsilon})}{\partial x_{N}}) dx \right)$$

$$+ \int_{B} g^{\infty, 2} (\widehat{0}, \frac{\partial \theta}{\partial x_{N}}) dx$$

$$= \int_{B} g^{\infty, 2} (\widehat{0}, \frac{\partial \theta}{\partial x_{N}}) dx$$
(3.10)

provided that we establish

$$\lim_{\varepsilon \to 0} \left( \varepsilon^2 \int_B g(\widehat{\nabla} \tau_\varepsilon u_\varepsilon, \frac{1}{\varepsilon} \frac{\partial (\tau_\varepsilon u_\varepsilon)}{\partial x_N}) \ dx - \int_B g^{\infty, 2} (\varepsilon \widehat{\nabla} \tau_\varepsilon u_\varepsilon, \frac{\partial (\tau_\varepsilon u_\varepsilon)}{\partial x_N}) \ dx \right) = 0. \tag{3.11}$$

Since  $g^{\infty,2}$  is positively homogeneous of degree 2, and from (2.1), we have

$$\int_{B} \left| \varepsilon^{2} g(\widehat{\nabla} \tau_{\varepsilon} u_{\varepsilon}, \frac{1}{\varepsilon} \frac{\partial (\tau_{\varepsilon} u_{\varepsilon})}{\partial x_{N}}) - g^{\infty, 2} (\varepsilon \widehat{\nabla} \tau_{\varepsilon}^{-1} u_{\varepsilon}, \frac{\partial (\tau_{\varepsilon} u_{\varepsilon})}{\partial x_{N}}) \right| dx$$

$$= \varepsilon^{2} \int_{B} \left| g(\widehat{\nabla} \tau_{\varepsilon} u_{\varepsilon}, \frac{1}{\varepsilon} \frac{\partial (\tau_{\varepsilon} u_{\varepsilon})}{\partial x_{N}}) - g^{\infty, 2} (\widehat{\nabla} \tau_{\varepsilon} u_{\varepsilon}, \frac{1}{\varepsilon} \frac{\partial (\tau_{\varepsilon} u_{\varepsilon})}{\partial x_{N}}) \right| dx$$

$$\leq C \varepsilon^{2} \int_{B} \left[ 1 + \left| \widehat{\nabla} \tau_{\varepsilon} u_{\varepsilon} \right|^{2-\delta} + \left| \frac{1}{\varepsilon} \frac{\partial (\tau_{\varepsilon} u_{\varepsilon})}{\partial x_{N}} \right|^{2-\delta} \right] dx.$$

Thus, by using Hölder's inequality (take  $p = \frac{2}{2-\delta}, q = \frac{2}{\delta}$ ) we deduce

$$\int_{B} \left| \varepsilon^{2} g(\widehat{\nabla} \tau_{\varepsilon} u_{\varepsilon}, \frac{1}{\varepsilon} \frac{\partial \tau_{\varepsilon} u_{\varepsilon}}{\partial x_{N}}) - g^{\infty, 2} (\varepsilon \widehat{\nabla} \tau_{\varepsilon} u_{\varepsilon}, \frac{\partial (\tau_{\varepsilon} u_{\varepsilon})}{\partial x_{N}}) \right| dx \leq C \varepsilon^{\delta}$$

which proves (3.11). The conclusion of Proposition 3.4 follows by collecting (3.8), (3.9) and (3.10).

Proof of Proposition 3.5. Let  $u \in L^2(\Omega)$ . We have to construct a sequence  $(v_{\varepsilon})_{\varepsilon>0}$  strongly converging to u in  $L^2(\Omega)$  such that  $\limsup_{\varepsilon\to 0} F_{\varepsilon}(v_{\varepsilon}) \leq F_0(u)$ . If  $F_0(u) = +\infty$ , then  $u \in L^2(\Omega) \backslash W^{1,2}_{\Gamma_0}(\Omega \backslash \Sigma)$ , and clearly, for any sequence  $(v_{\varepsilon})_{\varepsilon>0}$  converging to u,  $\limsup_{\varepsilon\to 0} F_{\varepsilon}(v_{\varepsilon}) \leq F_0(u)$  is true. Now, for the harder part, we assume  $F_0(u) < +\infty$ . Then  $u \in W^{1,2}_{\Gamma_0}(\Omega \backslash \Sigma)$  and

$$F_0(u) = \int_{\Omega} f(\nabla u(x)) dx + \inf_{\theta \in X(u)} H(\theta).$$

To complete the proof, from  $\overline{\theta} := \theta(u)$ , i.e.  $H(\overline{\theta}) = \inf_{\theta \in X(u)} H(\theta)$ , we construct a sequence  $(v_{\varepsilon})_{\varepsilon>0}$  strongly converging to u in  $L^2(\Omega)$  and satisfying

$$F_0(u) \ge \limsup_{\varepsilon \to 0} F_{\varepsilon}(v_{\varepsilon}).$$

The proof is divided into four steps:

Step 1. Let us extend u and  $\overline{\theta}$  by 0 into  $(\mathbb{R}^{N-1} \setminus \Sigma) \times (-r, r)$  and write these extensions  $\widetilde{u}$  and  $\widetilde{\overline{\theta}}$ . For a sequence  $\delta$  of positive numbers intended to go to 0, consider a standard sequence of molifier  $(\rho_{\delta})_{\delta}$  and set

$$u_{\delta} := \rho_{\delta} * \widetilde{u} \text{ defined by } \rho_{\delta} * \widetilde{u}(\widehat{x}, x_{N}) = \int_{\mathbb{R}^{N-1}} \rho_{\delta}(\widehat{x} - \widehat{y}) \widetilde{u}(\widehat{y}, x_{N}) d\widehat{y} \text{ for all } (\widehat{x}, x_{N}) \in \Omega;$$

$$\theta_{\delta} := \rho_{\delta} * \widetilde{\overline{\theta}} \text{ defined by } \rho_{\delta} * \widetilde{\overline{\theta}}(\widehat{x}, x_{N}) = \int_{\mathbb{R}^{N-1}} \rho_{\delta}(\widehat{x} - \widehat{y}) \widetilde{\overline{\theta}}(\widehat{y}, x_{N}) d\widehat{y} \text{ for all } (\widehat{x}, x_{N}) \in \Omega.$$

Clearly,

$$\begin{cases}
\theta_{\delta}(\widehat{x}, \pm \frac{1}{2}) = u_{\delta}(\widehat{x}, 0) \text{ for all } \widehat{x} \in \Sigma, \\
u_{\delta} \in W^{1,2}(\Omega \setminus \Sigma), \theta_{\delta} \in W^{1,2}(B), \\
u_{\delta} \to u \text{ in } W^{1,2}(\Omega \setminus \Sigma), \ \theta_{\delta} \to \bar{\theta} \text{ in } V(B).
\end{cases}$$
(3.12)

Next, for each  $\delta > 0$ , we define the sequence  $(v_{\delta,\varepsilon})_{\varepsilon>0}$  as follows:

$$v_{\delta,\varepsilon}(\widehat{x},x_N) = \begin{cases} u_{\delta}(\widehat{x},x_N \pm \frac{\varepsilon}{2}) & \text{on } \Omega_{\varepsilon}^{\mp} \\ \theta_{\delta}(\widehat{x},\frac{x_N}{\varepsilon}) & \text{on } B_{\varepsilon}. \end{cases}$$
(3.13)

Obviously  $v_{\delta,\varepsilon}(\hat{x},x_N)$  belongs to  $W^{1,2}(\Omega)$  and strongly converges to  $u_{\delta}$  in  $L^2(\Omega)$ .

Step 2. We we claim that

$$\lim_{\varepsilon \to 0} \int_{\Omega_{\varepsilon}} f(\nabla v_{\delta,\varepsilon})(x) dx = \int_{\Omega} f(\nabla u_{\delta})(x) dx \tag{3.14}$$

$$\lim_{\varepsilon \to 0} \left( \varepsilon^2 \int_B g(\widehat{\nabla} \tau_{\varepsilon} v_{\delta, \varepsilon}, \frac{1}{\varepsilon} \frac{\partial \tau_{\varepsilon} v_{\delta, \varepsilon}}{\partial x_N})(x) dx - \langle \mathcal{S}_{\varepsilon}, \tau_{\varepsilon} v_{\delta, \varepsilon} \rangle \right) = H(\theta_{\delta}). \tag{3.15}$$

Proof of (3.14): one has

$$\lim_{\varepsilon \to 0} \int_{\Omega_{\varepsilon}} f(\nabla v_{\delta,\varepsilon})(x) dx = \lim_{\varepsilon \to 0} \left( \int_{\Omega_{\varepsilon}^{+}} f(\nabla u_{\delta})(\widehat{x}, x_{N} - \frac{\varepsilon}{2}) dx + \int_{\Omega_{\varepsilon}^{-}} f(\nabla u_{\delta}(\widehat{x}, x_{N} + \frac{\varepsilon}{2})) dx \right) \\
= \int_{\Omega^{+}} f(\nabla u_{\delta})(x) dx + \int_{\Omega^{-}} f(\nabla u_{\delta})(x) dx \\
= \int_{\Omega} f(\nabla u_{\delta})(x) dx.$$

*Proof of (3.15):* Since  $g^{\infty,2}$  is positively homogeneous of degree 2 and  $\mathcal{S}_{\varepsilon}$  strongly converges to  $\mathcal{S}$  in V'(B), one has

$$\lim_{\varepsilon \to 0} \left( \varepsilon^2 \int_B g(\widehat{\nabla} \theta_\delta, \frac{1}{\varepsilon} \frac{\partial \theta_\delta}{\partial x_N})(x) dx - \langle \mathcal{S}_\varepsilon, \theta_\delta \rangle \right) = \int_B g^{\infty, 2}(\widehat{0}, \frac{\partial \theta_\delta}{\partial x_N}) dx - \langle \mathcal{S}, \theta_\delta \rangle = H(\theta_\delta).$$

Step 3. We establish that  $\lim_{\delta \to 0} \int_{\Omega} f(\nabla u_{\delta}) dx + H(\theta_{\delta}) = F_0(u)$ . Since

$$\int_{\Omega} f(\nabla u_{\delta}) dx + H(\theta_{\delta}) = \int_{\Omega} f(\nabla u_{\delta}) dx + \int_{R} g^{\infty,2}(\widehat{0}, \frac{\partial \theta_{\delta}}{\partial x_{N}}) dx - \langle \mathcal{S}, \theta_{\delta} \rangle,$$

the result is a straightforward consequence of (3.12).

Step 4. By using a standard diagonalization argument, from step 2 and step 3, there exists a mapping  $\varepsilon \mapsto \delta(\varepsilon)$  such that  $v_{\delta(\varepsilon)} \longrightarrow u$  in  $L^2(\Omega)$  and

$$\lim_{\varepsilon \to 0} \left( \int_{\Omega} f(\nabla v_{\delta(\varepsilon)})(x) dx + \varepsilon^2 \int_{B} g(\widehat{\nabla} \tau_{\varepsilon} v_{\delta(\varepsilon)}, \frac{1}{\varepsilon} \frac{\partial \tau_{\varepsilon} v_{\delta(\varepsilon)}}{\partial x_N})(x) dx - \left\langle \mathcal{S}_{\varepsilon}, \tau_{\varepsilon} v_{\delta(\varepsilon)} \right\rangle \right) = F_0(u).$$

The sequence  $(v_{\varepsilon})_{\varepsilon>0}$  where  $v_{\varepsilon}:=v_{\delta(\varepsilon)}$  fullfils all the conditions except the boundary condition on  $\Gamma_0$ . From assumption  $\operatorname{dist}(\overline{\Gamma}_0, \overline{\partial B_{\varepsilon} \cap \partial \Omega}) > 0$ , and by using a standard slicing method due to De Giorgi in a neighborhood of  $\Gamma_0$  (see [4]), one can modify  $v_{\varepsilon}$  in  $\Omega_{\varepsilon}$  into a function  $\tilde{v}_{\varepsilon}$  equal to  $v_{\varepsilon}$  in  $B_{\varepsilon}$ , satisfying the boundary condition on  $\Gamma_0$ , and  $\limsup_{\varepsilon\to 0} \int_{\Omega_{\varepsilon}} f(\nabla v_{\varepsilon}) \ dx = \limsup_{\varepsilon\to 0} \int_{\Omega_{\varepsilon}} f(\nabla \tilde{v}_{\varepsilon}) \ dx$ . Still denoting by  $v_{\varepsilon}$  this new function, we have  $\lim_{\varepsilon\to 0} F_{\varepsilon}(v_{\varepsilon}) = F_0(u)$  and the proof is complete.

Remark 3.6. In order to give an interpretation of the limit energy functional, it is worthwhile to write

$$\inf_{\theta \in X(u)} H(\theta) = \inf_{\theta \in V_0(B)} \left\{ \int_B g^{\infty,2}(\hat{0}, \frac{\partial \theta}{\partial x_N}(x) + [u](\hat{x})) \, dx - \langle \mathcal{S}, \theta \rangle \right\} - \langle \mathcal{S}, \tilde{u} \rangle \tag{3.16}$$

where  $[u] = u^+ - u^-$ ,  $V_0(B) = \{\theta \in V(B) : \theta = 0 \text{ on } \Sigma \times \{\pm \frac{1}{2}\} \}$  and  $\tilde{u}(x) = x_N[u](\hat{x}) + \frac{u^+(\hat{x}) + u^-(\hat{x})}{2}$ . Thererfore when the limit source S vanishes on V(B), by using Jensen's inequality,  $\inf_{\theta \in X(u)} H(\theta)$  reduces to

$$\bar{H}(u) = \int_{\Sigma} g^{\infty,2} (\hat{0}, [u](\hat{x})) d\hat{x}$$

which is nothing but the surface energy of the model obtained in [9]. When the limit source is not trivial, by using the Euler equation associated with (3.16), it is easily seen that  $\bar{H}$  is a surface energy on  $\Sigma$  of the form

$$\bar{H}(u) = \int_{\Sigma} \bar{h}(\hat{x}, [u](\hat{x}), \frac{u^{+} + u^{-}}{2}(\hat{x})) \ d\hat{x}.$$

In this case we note that the energy density depends explicitly of the mean of the traces and that the surface energy  $\bar{H}$  mixes the internal energy and the work of the loading.

### 4 Examples of measure sources $S_{\varepsilon}$ concentrated in $B_{\varepsilon}$

The general form of elements of V'(B) is given for every  $\theta$  in V(B) by  $\langle \mathcal{S}, \theta \rangle = \int_B s_0 \theta \ dx + \int_B s_1 \frac{\partial \theta}{\partial x_N} \ dx$  where  $(s_0, s_1) \in L^2(B) \times L^2(B)$ . The limit sources  $\mathcal{S}$  considered in this section are generated by measures  $\mathcal{S}^{\varepsilon}$  in  $\mathbb{M}(B_{\varepsilon})$  whose slicing structure  $\mathcal{H}^{N-1}[\Sigma \otimes \mathcal{S}_{\hat{x}}^{\varepsilon}]$  is such that their slicing components  $\mathcal{S}_{\hat{x}}^{\varepsilon}$  do not present a diffuse singular part in their Lebesgue-Nikodym decomposition in  $\mathbb{M}(-\frac{\varepsilon}{2}, \frac{\varepsilon}{2})$ , i.e., are of the general form

$$S_{\hat{x}}^{\varepsilon} = \frac{1}{\varepsilon} a_{\varepsilon}(\hat{x}, \varepsilon t) dt + \sum_{n=-\infty}^{+\infty} b_{\varepsilon,n}(\hat{x}) \delta_{\varepsilon t_n(\hat{x})}$$

where

$$\left\{ \begin{array}{l} a_{\varepsilon} \in L^{2}(B), \ b_{\varepsilon,n} \in L^{2}(\Sigma), \\[1mm] t_{n} : \Sigma \longrightarrow (-\frac{1}{2}, \frac{1}{2}) \ \text{is a Borel measurable map.} \end{array} \right.$$

Roughly, such sources  $S^{\varepsilon}$  are sums of a function in  $L^{2}(B)$  and a countable sum of surface sources, each of them being concentrated in the N-1-dimensional surface included in  $B_{\varepsilon}$  whose graph is  $\varepsilon t_{n}$ . We make the following additional assumptions:

- (H1) there exists  $a \in L^2(B)$  such that  $a_{\varepsilon} \to a$  in  $L^2(B)$ ;
- (H2) there exists  $b_n \in L^2(\Sigma)$  such that  $b_{\varepsilon,n} \to b_n$  in  $L^2(\Sigma)$  when  $\varepsilon \to 0$ ;
- (H3) there exists  $c_n \in \mathbb{R}^+$  such that  $\|b_{\varepsilon,n}\|_{L^2(\Sigma)} \le c_n$  and  $\sum_{n=-\infty}^{+\infty} c_n < +\infty$ ;

It is easy to check that the measure  $S_{\varepsilon} = T_{\varepsilon} S^{\varepsilon}$  of  $\mathbb{M}(B)$  is given by:  $S_{\varepsilon} = \mathcal{H}^{N-1}[\Sigma \otimes (S_{\varepsilon})_{\hat{x}}]$  where

$$(S_{\varepsilon})_{\hat{x}} = a_{\varepsilon}(\hat{x}, t) dt + \sum_{n=-\infty}^{+\infty} b_{\varepsilon,n}(\hat{x}) \delta_{t_n(\hat{x})}.$$

**Proposition 4.1.** The measure  $S_{\varepsilon}$  strongly converges in V'(B) to the measure S defined for every  $\theta \in V(B)$  by

$$\langle \mathcal{S}, \theta \rangle = \int_{B} a(x)\theta(x) \ dx + \sum_{n=-\infty}^{+\infty} \int_{\Sigma} b_{n}(\hat{x})\theta(\hat{x}, t_{n}(\hat{x})) \ d\hat{x}.$$

Therefore, the functional  $F_{\varepsilon}$   $\Gamma$ -converges to the functional  $F_0: L^2(\Omega) \longrightarrow \mathbb{R} \cup \{+\infty\}$  given by

$$F_0(u) = \begin{cases} \int_{\Omega} f(\nabla u) \ dx + \inf_{\theta \in X(u)} \left\{ \int_{B} g^{\infty,2}(\hat{0}, \frac{\partial \theta}{\partial x_N}) \ dx - \int_{B} a\theta \ dx - \sum_{n=-\infty}^{+\infty} \int_{\Sigma} b_n(\hat{x})\theta(\hat{x}, t_n(\hat{x})) \ d\hat{x} \right\} \\ +\infty \ otherwise. \end{cases}$$

*Proof.* The second assertion is a straightforward consequence of Theorem 3.3 provided that we establish the strong convergence of  $S_{\varepsilon}$  to S in V'(B). For every  $\theta \in V(B)$  we have

$$\langle \mathcal{S}_{\varepsilon} - \mathcal{S}, \theta \rangle = \int_{B} (a_{\varepsilon} - a)\theta \ dx + \int_{\Sigma} \sum_{n = -\infty}^{+\infty} (b_{\varepsilon,n} - b_n)\theta(\hat{x}, t_n(\hat{x})) \ d\hat{x},$$

thus

$$|\langle \mathcal{S}_{\varepsilon} - \mathcal{S}, \theta \rangle| \le \|\theta\|_{L^{2}(B)} \|a_{\varepsilon} - a\|_{L^{2}(B)} + \sum_{n = -\infty}^{+\infty} \left[ \|b_{\varepsilon,n} - b_{n}\|_{L^{2}(\Sigma)} \left( \int_{\Sigma} |\theta(\hat{x}, t_{n}(\hat{x}))|^{2} d\hat{x} \right)^{\frac{1}{2}} \right]. \tag{4.1}$$

But it is easy to establish that there exists a non negative constant C such that

$$\left(\int_{\Sigma} |\theta(\hat{x}, t_n(\hat{x})|^2 d\hat{x}\right)^{\frac{1}{2}} \le C \|\theta\|_{V(B)}$$

so that (4.1) yields

$$\|\mathcal{S}_{\varepsilon} - \mathcal{S}\|_{V'(B)} \le \|a_{\varepsilon} - a\|_{L^{2}(B)} + C \sum_{n=-\infty}^{+\infty} \|b_{\varepsilon,n} - b_{n}\|_{L^{2}(\Sigma)}.$$

The conclusion follows from assumptions (H1), (H2) and (H3).

#### 5 The gradient concentration phenomenon

We first recall the notion of gradient Young-concentration measure introduced in [3]. Let us denote the unit sphere  $\{-1,1\}$  of  $\mathbb{R}$  by  $\mathbb{S}^0$ , and consider  $\Sigma' \subset\subset \Sigma$ ,  $B'_{\varepsilon} := \Sigma' \times (-\frac{\varepsilon}{2},\frac{\varepsilon}{2})$ .

**Definition 5.1.** A pair  $(v, \mu_{\Sigma'}) \in L^2(\Omega) \times \mathbb{M}^+(\bar{\Omega} \times \mathbb{S}^0)$  is a gradient Young-concentration measure (localized on  $\Sigma'$ ) iff there exists a sequence  $(v_{\varepsilon})_{\varepsilon>0}$  in  $W^{1,2}_{\Gamma_0}(\Omega)$  satisfying

$$\begin{cases} \sup_{\varepsilon>0} \int_{\Omega \setminus B_{\varepsilon}} |\nabla v_{\varepsilon}|^{2} dx < +\infty, \\ v_{\varepsilon} \to v \text{ in } L^{2}(\Omega), \\ \mu_{\varepsilon} := \delta_{\frac{\partial v_{\varepsilon}}{\partial x_{N}} / \left|\frac{\partial v_{\varepsilon}}{\partial x_{N}}\right| (x)} \otimes \varepsilon \mathbb{1}_{B_{\varepsilon}'} \left|\frac{\partial v_{\varepsilon}}{\partial x_{N}}\right|^{2} dx \stackrel{*}{\rightharpoonup} \mu_{\Sigma'}. \end{cases}$$

We say that the sequence  $(v_{\varepsilon})_{\varepsilon>0}$  generates the gradient Young-concentration measure  $(v, \mu_{\Sigma'})$ . We denote the set of gradient Young-concentration measures localized on  $\Sigma'$  by  $\mathcal{YC}(\Sigma')$ .

Recall that the weak convergence  $\stackrel{*}{\rightharpoonup}$  above is defined by

$$\int_{\bar{\Omega}} \int_{\mathbb{S}^0} \theta(x) \varphi(\zeta) d\mu_{\varepsilon} = \int_{B_{\varepsilon}'} \varepsilon \theta(x) \tilde{\varphi}(\frac{\partial v_{\varepsilon}}{\partial x_N}) \ dx \to \int_{\bar{\Omega}} \int_{\mathbb{S}^0} \theta(x) \varphi(\zeta) \ d\mu_{\Sigma'}$$

for all  $\theta \in \mathcal{C}(\bar{\Omega})$  and all  $\varphi \in \mathcal{C}(\mathbb{S}^0)$ , where the 2-homogeneous extension  $\tilde{\varphi} : \mathbb{R} \to \mathbb{R}$  of  $\varphi \in \mathcal{C}(\mathbb{S}^0)$  is defined for all  $\zeta \in \mathbb{R}^m$  by

$$\tilde{\varphi}(\zeta) = \begin{cases} |\zeta|^2 \varphi(\frac{\zeta}{|\zeta|}), & \text{if } \zeta \neq 0, \\ 0 & \text{otherwise.} \end{cases}$$

In [3], Theorem 3.1, the gradient Young-concentration measures was characterized as follows.

**Theorem 5.2** (Characterization). A pair  $(v, \mu_{\Sigma'} = \mu_x \otimes \pi)$  belongs to  $\mathcal{YC}(\Sigma')$  if and only if  $v \in W^{1,2}_{\Gamma_0}(\Omega \setminus \Sigma)$ ,  $\pi$  is concentrated on  $\bar{\Sigma}'$  and, for every  $\varphi \in \mathcal{C}(\mathbb{S}^0)$  such that  $\varphi^{**} > -\infty$ ,

$$\frac{d\pi}{d\mathcal{H}^{N-1}\lfloor\Sigma'}(x)\int_{\mathbb{S}^0}\varphi(\zeta)\ d\mu_x \ge \varphi^{**}([v](x)) \quad \text{for } \mathcal{H}^{N-1} \ a. \ e. \ x \in \Sigma' 
\int_{\mathbb{S}^0}\varphi(\zeta)\ d\mu_x \ge 0 \quad \text{for } \pi_s \ a. \ e. \ x \in \bar{\Sigma}'$$
(5.1)

where  $\pi = \frac{d\pi}{d\mathcal{H}^{N-1}\lfloor \Sigma'} \mathcal{H}^{N-1} \lfloor \Sigma' + \pi_s$  is the Radon-Nikodym decomposition of  $\pi$  with respect to the measure  $\mathcal{H}^{N-1} \lfloor \Sigma'$ .

Remark 5.3. Although from (3.3),  $\delta_{\frac{\partial v_{\varepsilon}}{\partial x_N}/\left|\frac{\partial v_{\varepsilon}}{\partial x_N}\right|} (x) \otimes \varepsilon \mathbb{1}_{B_{\varepsilon}} \left|\frac{\partial v_{\varepsilon}}{\partial x_N}\right|^2 dx$  possesses weak cluster points in the

sense of the weak convergence  $\stackrel{*}{\rightharpoonup}$  made precise above, for technical reason (proof of the sufficient conditions in Proposition 3.5 in [3]), it was not possible to state such a characterization for these cluster points because of possible concentration effects on the boundary of  $\Sigma$ . This is the reason why we deal with gradient Young-concentration measures localized on  $\Sigma' \subset \subset \Sigma$ .

Taking into account that the 2-homogeneous extension  $\tilde{\varphi}: \mathbb{R} \to \mathbb{R}$  of  $\varphi \in \mathcal{C}(\mathbb{S}^0)$  satisfying  $\varphi^{**} > -\infty$  is of the form

$$\varphi(\zeta) = \begin{cases} c\zeta^2 & \text{if } \zeta \ge 0\\ d\zeta^2 & \text{if } \zeta \le 0, \end{cases}$$

with  $(c,d) \in \mathbb{R}^+ \times \mathbb{R}^+$ , the above characterization theorem can be reduced to the following (cf Corollary 3.6 in [3])

**Corollary 5.4.** A measure  $(v, \mu_{\Sigma'} = (a(x)\delta_1 + b(x)\delta_{-1}) \otimes \pi)$  belongs to  $\mathcal{YC}(\Sigma')$  if and only if  $v \in W^{1,2}_{\Gamma_0}(\Omega \setminus \Sigma)$ ,  $\pi$  is concentrated on  $\bar{\Sigma}'$  and

$$\frac{d\pi}{d\mathcal{H}^{N-1}\lfloor \Sigma'}(x)\big(a(x)c+b(x)d\big) \geq \varphi([v](x)) \ \text{for } \mathcal{H}^{N-1}\lfloor \Sigma' \ \text{a.e.} \ x \ \text{and for all} \ (c,d) \in \mathbb{R}^+ \times \mathbb{R}^+$$

where 
$$\varphi(\zeta) = \begin{cases} c\zeta^2 & \text{if } \zeta \ge 0\\ d\zeta^2 & \text{if } \zeta \le 0 \end{cases}$$

As stated in [3] Remark 2.5, every sequence  $(u_{\varepsilon})_{\varepsilon>0}$  satisfying (3.3) generates a gradient Young-concentration measure. Therefore every sequence  $(\bar{u}_{\varepsilon})_{\varepsilon>0}$ ,  $\bar{u}_{\varepsilon} \in \operatorname{argmin} F_{\varepsilon}$ , generates a measure  $\bar{\mu}_{\Sigma'} \in \mathcal{YC}(\Sigma')$ . Let  $\bar{u}$  be a strong limit of  $(\bar{u}_{\varepsilon})_{\varepsilon>0}$  in  $L^2(\Omega)$ , then, under the condition  $g^{\infty,2}(\hat{\xi},\xi_3) \geq g^{\infty,2}(\hat{0},\xi_3)$ , the next theorem states that the internal term  $H_{in}(\bar{\theta})$  (cf (3.1)) where  $\bar{\theta}$  is the solution of  $\inf_{\theta \in X(\bar{u})} H(\theta)$ , possesses an integral representation with respect to the Young-concentration measure  $\bar{\mu}_{\Sigma'}$ . In some sense we localize  $H_{in}$  on  $S \times \{\pm 1\}$ . Moreover, by using Theorem 5.2 we will deduce some bounds on  $\bar{\mu}_{\Sigma'}$ .

**Theorem 5.5.** Let  $\bar{u}_{\varepsilon}$  be a minimizer of min  $\{F_{\varepsilon}(v) : v \in L^{2}(\Omega)\}$  and, for every  $\Sigma' \subset\subset \Sigma$ ,  $(\bar{u}, \bar{\mu}_{\Sigma'})$  be a gradient Young-concentration measure localized on  $\Sigma'$  generated by the sequence  $(\bar{u}_{\varepsilon})_{\varepsilon>0}$ . Then the two following assertions hold:

- i)  $\bar{u}_{\varepsilon} \to \bar{u}$  in  $L^2(\Omega)$ ,  $F_{\varepsilon}(\bar{u}_{\varepsilon}) \to F_0(\bar{u}) = \min \{F_0(u) : u \in L^2(\Omega)\}$ ;
- ii) Let  $\mathcal{F}$  be a countable family of  $\Sigma' \subset\subset \Sigma$ , then there exists  $\bar{\mu} \in \mathbb{M}(\bar{\Omega} \times \mathbb{S}^0)$ ,  $\bar{\mu} = \bar{\mu}_{\hat{x}} \otimes \bar{\pi}$  with  $\bar{\pi}$  concentrated on  $\bar{\Sigma}$  such that for all  $\Sigma' \in \mathcal{F}$ ,  $\bar{\mu} \lfloor \bar{\Sigma}' \times \mathbb{S}^0 = \bar{\mu}_{\Sigma'}$ . Assume furthermore that  $g^{\infty,2}$  satisfies the condition

$$\forall \xi \in \mathbb{R}^3, \ g^{\infty,2}(\hat{\xi}, \xi_3) \ge g^{\infty,2}(\hat{0}, \xi_3). \tag{5.2}$$

Then, every weak cluster point  $\bar{\theta}$  of the sequence  $(\tau_{\varepsilon}\bar{u}_{\varepsilon})_{\varepsilon>0}$  in V(B) satisfies  $H(\bar{\theta})=\inf_{\theta\in X(\bar{u})}H(\theta)$  and

$$\int_{-\frac{1}{2}}^{\frac{1}{2}} g^{\infty,2}(\hat{0}, \frac{\partial \bar{\theta}}{\partial x_N})(\hat{x}, s) ds = \frac{d\bar{\pi}}{d\hat{x}}(\hat{x}) \int_{\mathbb{S}^0} g^{\infty,2}(\hat{0}, \xi_3) d\bar{\mu}_{\hat{x}} \text{ for a.e. } \hat{x} \text{ in } \Sigma';$$

$$H_{in}(\bar{\theta}) = \int_{\Sigma} \left[ \frac{d\bar{\pi}}{d\hat{x}}(\hat{x}) \int_{\mathbb{S}^0} g^{\infty,2}(\hat{0}, \xi_3) d\bar{\mu}_{\hat{x}} \right] d\hat{x}.$$
(5.3)

*Proof.* According to the variational nature of the  $\Gamma$ -convergence, for a subsequence one has

$$\bar{u}_{\varepsilon} \to \bar{u} \text{ in } L^{2}(\Omega) 
\lim_{\varepsilon \to 0} F_{\varepsilon}(\bar{u}_{\varepsilon}) = F_{0}(\bar{u}) = \min \left\{ F_{0}(v) : v \in L^{2}(\Omega) \right\} 
= \int_{\Omega} f(\nabla \bar{u}) dx + \inf_{\theta \in X(\bar{u})} H(\theta).$$
(5.4)

Fix  $\Sigma' \subset\subset \Sigma$ . From (3.3), for the subsequence (possibly dependent on  $\Sigma'$ ) associated with the gradient Young-concentration measure  $(\bar{u}, \bar{\mu}_{\Sigma'})$ , there exist a subsequence and a measure  $\bar{\mu} = \bar{\mu}_{\hat{x}} \otimes \bar{\pi}$  in  $\mathbb{M}(\bar{\Omega} \times \mathbb{S}^0)$  with  $\bar{\pi}$  concentrated in  $\bar{\Sigma}$ , such that

$$\delta_{\frac{\partial \bar{u}_{\varepsilon}}{\partial x_{N}}/\left|\frac{\partial \bar{u}_{\varepsilon}}{\partial x_{N}}\right|\ (x)}\otimes\varepsilon\mathbb{1}_{B_{\varepsilon}}|\frac{\partial \bar{u}_{\varepsilon}}{\partial x_{N}}|^{2}\ dx\rightharpoonup\bar{\mu}.$$

Thus, from (3.11) and (5.2) we infer

$$\lim_{\varepsilon \to 0} \varepsilon^{2} \int_{B} g(\widehat{\nabla} \tau_{\varepsilon} \bar{u}_{\varepsilon}, \frac{1}{\varepsilon} \frac{\partial \tau_{\varepsilon} \bar{u}_{\varepsilon}}{\partial x_{N}}) dx = \lim_{\varepsilon \to 0} \int_{B} g^{\infty,2} \left( \varepsilon \widehat{\nabla} \tau_{\varepsilon} \bar{u}_{\varepsilon}, \frac{\partial \tau_{\varepsilon} \bar{u}_{\varepsilon}}{\partial x_{N}} \right) dx$$

$$\geq \lim_{\varepsilon \to 0} \int_{B_{\varepsilon}} g^{\infty,2} \left( \widehat{0}, \frac{\partial \bar{u}_{\varepsilon}}{\partial x_{N}} \right) dx$$

$$= \int_{\widehat{\nabla}} \left( \int_{\mathbb{S}^{0}} g^{\infty,2} (\widehat{0}, \xi_{3}) d\bar{\mu}_{\hat{x}} \right) d\bar{\pi}. \tag{5.5}$$

Let  $\bar{\theta}$  be the weak limit of  $\tau_{\varepsilon}\bar{u}_{\varepsilon}$  in V(B) for the considered subsequence. Then, from (5.5) and since

$$\liminf_{\varepsilon \to 0} \int_{\Omega_{\varepsilon}} f(\nabla \bar{u}_{\varepsilon}) \ dx \geq \int_{\Omega} f(\nabla \bar{u}) \ dx \ \text{and} \ \lim_{\varepsilon \to 0} \langle \mathcal{S}_{\varepsilon}, \tau_{\varepsilon} \bar{u}_{\varepsilon} \rangle = \langle \mathcal{S}, \bar{\theta} \rangle,$$

we infer

$$\lim_{\varepsilon \to 0} F_{\varepsilon}(\bar{u}_{\varepsilon}) \ge \int_{\Omega} f(\nabla \bar{u}) \ dx + \int_{\bar{\Sigma}} \left( \int_{\mathbb{S}^0} g^{\infty,2}(\hat{0}, \xi_3) \ d\bar{\mu}_{\hat{x}} \right) d\bar{\pi} - \langle \mathcal{S}, \bar{\theta} \rangle.$$
 (5.6)

Collecting (5.4) and (5.6) we obtain

$$\int_{\Omega} f(\nabla \bar{u}) \ dx + \inf_{\theta \in X(\bar{u})} H(\theta) \ge \int_{\Omega} f(\nabla \bar{u}) \ dx + \int_{\bar{\Sigma}} \left( \int_{\mathbb{S}^0} g^{\infty,2}(\hat{0}, \xi_3) \ d\bar{\mu}_{\hat{x}} \right) d\bar{\pi} - \langle \mathcal{S}, \bar{\theta} \rangle,$$

in particular

$$\int_{\Omega} f(\nabla \bar{u}) \ dx + H(\bar{\theta}) \ge \int_{\Omega} f(\nabla \bar{u}) \ dx + \int_{\bar{\Sigma}} \left( \int_{\mathbb{S}^0} g^{\infty,2}(\hat{0}, \xi_3) \ d\bar{\mu}_{\hat{x}} \right) d\bar{\pi} - \langle \mathcal{S}, \bar{\theta} \rangle,$$

thus

$$\int_{B} g^{\infty,2} \left( \hat{0}, \frac{\partial \bar{\theta}}{\partial x_{N}} \right) dx \geq \int_{\bar{\Sigma}} \left( \int_{\mathbb{S}^{0}} g^{\infty,2} (\hat{0}, \xi_{3}) d\bar{\mu}_{\hat{x}} \right) d\bar{\pi} 
\geq \int_{\bar{\Sigma}} \frac{d\bar{\pi}}{d\hat{x}} (\hat{x}) \left( \int_{\mathbb{S}^{0}} g^{\infty,2} (\hat{0}, \xi_{3}) d\bar{\mu}_{\hat{x}} \right) d\hat{x}.$$
(5.7)

On the other hand, by a standard lower semicontinuity argument, for every  $\varphi \in \mathcal{C}_c(\Sigma)$ ,  $\varphi \geq 0$ ,

$$\liminf_{\varepsilon \to 0} \int_{B} \varphi(\hat{x}) g^{\infty,2} \left( \hat{0}, \frac{\partial \tau_{\varepsilon} \bar{u}_{\varepsilon}}{\partial x_{N}} \right) dx = \int_{\Sigma} \varphi(\hat{x}) \left( \int_{\mathbb{S}^{0}} g^{\infty,2} (\hat{0}, \xi_{3}) d\bar{\mu}_{\hat{x}} \right) d\bar{\pi}$$

$$\geq \int_{B} \varphi(\hat{x}) g^{\infty,2} \left( \hat{0}, \frac{\partial \bar{\theta}}{\partial x_{N}} \right) dx$$

so that

$$\int_{-\frac{1}{2}}^{\frac{1}{2}} g^{\infty,2} \left(\hat{0}, \frac{\partial \bar{\theta}}{\partial x_N}\right) (\hat{x}, s) \ ds \le \frac{d\bar{\pi}}{d\hat{x}} (\hat{x}) \int_{\mathbb{S}^0} g^{\infty,2} (\hat{0}, \xi_3) \ d\bar{\mu}_{\hat{x}} \quad \text{for a.e. } \hat{x} \in \Sigma.$$
 (5.8)

Combining (5.7) and (5.8) we deduce

$$\int_{-\frac{1}{2}}^{\frac{1}{2}} g^{\infty,2} \left(\hat{0}, \frac{\partial \bar{\theta}}{\partial x_N}\right) (\hat{x}, s) \ ds = \frac{d\bar{\pi}}{d\hat{x}} (\hat{x}) \int_{\mathbb{S}^0} g^{\infty,2} (\hat{0}, \xi_3) \ d\bar{\mu}_{\hat{x}} \quad \text{for a.e. } \hat{x} \in \Sigma.$$

Clearly,  $\bar{\mu}[\bar{\Sigma}' \times \mathbb{S}^0 = \bar{\mu}_{\Sigma'}]$ . Now, by using a standard Cantor's diagonal process, the same equality holds for all  $\Sigma'$  of the countable family  $\mathcal{F}$ . It remains to show that  $H(\bar{\theta}) = \inf_{\theta \in X(\bar{u})} H(\theta)$ . It's enough to notice that

$$\lim_{\varepsilon \to 0} F_{\varepsilon}(\bar{u}_{\varepsilon}) = \int_{\Omega} f(\nabla \bar{u}) \, dx + \inf_{\theta \in X(\bar{u})} H(\theta)$$

$$\geq \lim_{\varepsilon \to 0} \inf \int_{\Omega_{\varepsilon}} f(\nabla \bar{u}_{\varepsilon}) \, dx + \lim_{\varepsilon \to 0} \inf \left( \varepsilon^{2} \int_{B} g(\widehat{\nabla} \tau_{\varepsilon} \bar{u}_{\varepsilon}, \frac{1}{\varepsilon} \frac{\partial \tau_{\varepsilon} \bar{u}_{\varepsilon}}{\partial x_{N}}) \, dx - \langle \mathcal{S}_{\varepsilon}, \tau_{\varepsilon} \bar{u}_{\varepsilon} \rangle \right)$$

$$\geq \int_{\Omega} f(\nabla \bar{u}) \, dx + \int_{B} g^{\infty, 2}(\widehat{0}, \frac{\partial \bar{\theta}}{\partial x_{N}}) \, dx - \langle \mathcal{S}, \bar{\theta} \rangle$$

$$= \int_{\Omega} f(\nabla \bar{u}) \, dx + H(\bar{\theta})$$

which completes the proof.

We define the following two constants associated with the function g:

$$c(g) := \min \Big( \frac{g^{\infty,2}(\widehat{0}, -1)}{g^{\infty,2}(\widehat{0}, 1)}, \frac{g^{\infty,2}(\widehat{0}, 1)}{g^{\infty,2}(\widehat{0}, -1)} \Big), \ C(g) = \frac{1}{c(g)} = \max \Big( \frac{g^{\infty,2}(\widehat{0}, -1)}{g^{\infty,2}(\widehat{0}, 1)}, \frac{g^{\infty,2}(\widehat{0}, 1)}{g^{\infty,2}(\widehat{0}, -1)} \Big).$$

Recall that

$$g^{\infty,2}(\widehat{0},\xi) = \begin{cases} g^{\infty,2}(\widehat{0},-1) |\xi|^2 & \text{if } \xi \le 0 \\ g^{\infty,2}(\widehat{0},1) |\xi|^2 & \text{if } \xi > 0 \end{cases}.$$

Moreover, from the assumption on the function g, clearly,

$$g^{\infty,2}(\widehat{0},1) > 0$$
 and  $g^{\infty,2}(\widehat{0},-1) > 0$ .

We make precise the probability measure  $\bar{\mu}_{\hat{x}}$  localized on  $\Sigma' \subset\subset \Sigma$  as follows:

$$\bar{\mu}_{\hat{x}} := p(\hat{x})\delta_1 + q(\hat{x})\delta_{-1}$$
 with  $p(\hat{x}) + q(\hat{x}) = 1$  a.e.  $\hat{x} \in \Sigma'$ .

Corollary 5.6. Under the assumptions of Theorem 5.5, the three following estimates hold:

(i) for a.e.  $\hat{x}$  in  $\Sigma'$ 

$$c(g) \int_{-\frac{1}{2}}^{\frac{1}{2}} \left| \frac{\partial \overline{\theta}}{\partial x_N}(\hat{x}, s) \right|^2 ds \le \frac{d\overline{\pi}}{dx_N}(\hat{x}) \le C(g) \int_{-\frac{1}{2}}^{\frac{1}{2}} \left| \frac{\partial \overline{\theta}}{\partial x_N}(\hat{x}, s) \right|^2 ds, \tag{5.9}$$

and 
$$\frac{d\overline{\pi}}{dx_N}(\hat{x}) = \int_{-\frac{1}{3}}^{\frac{1}{2}} \left| \frac{\partial \overline{\theta}}{\partial x_N}(\hat{x}, s) \right|^2 ds$$
 when  $g^{\infty, 2}(\widehat{0}, -1) = g^{\infty, 2}(\widehat{0}, 1)$ ;

(ii) 
$$\frac{c(g) ||\bar{u}|(\hat{x})|^2}{\int_{-\frac{1}{2}}^{\frac{1}{2}} \left| \frac{\partial \bar{\theta}}{\partial x_N}(\hat{x}, s) \right|^2 ds} \le p(\hat{x}) \le 1 \text{ for a.e. } \hat{x} \text{ such that } [\bar{u}](\hat{x}) > 0;$$

(iii) 
$$\frac{c(g) |[\bar{u}](\hat{x})|^2}{\int_{-\frac{1}{\bar{a}}}^{\frac{1}{2}} \left| \frac{\partial \bar{\theta}}{\partial x_N}(\hat{x}, s) \right|^2 ds} \le q(\hat{x}) \le 1 \text{ for a.e. } \hat{x} \text{ such that } [\bar{u}](\hat{x}) < 0.$$

*Proof.* Since  $\bar{\mu}_{\hat{x}} = p(\hat{x})\delta_1 + q(\hat{x})\delta_{-1}$ , we have  $\int_{\mathbb{S}^0} g^{\infty,2}(\xi)d\bar{\mu}_{\hat{x}} = p(\hat{x})g^{\infty,2}(\widehat{0},1) + q(\hat{x})g^{\infty,2}(\widehat{0},-1)$  with  $p(\hat{x}) + q(\hat{x}) = 1$  a.e.  $\hat{x}$  in  $\Sigma'$  so that from (5.3), one has

$$\int_{-\frac{1}{2}}^{\frac{1}{2}} g^{\infty,2}(\widehat{0}, \frac{\partial \overline{\theta}}{\partial x_N}(\widehat{x}, s)) ds = \left( \int_{\mathbb{S}^0} g^{\infty,2}(\xi) d\overline{\mu}_{\widehat{x}} \right) \frac{d\overline{\pi}}{d\widehat{x}}(\widehat{x})$$

$$= \frac{d\overline{\pi}}{d\widehat{x}}(\widehat{x}) \left\{ p(\widehat{x}) g^{\infty,2}(\widehat{0}, 1) + q(\widehat{x}) g^{\infty,2}(\widehat{0}, -1) \right\} \text{ a.e. } \widehat{x} \in \Sigma'. \quad (5.10)$$

We are going to establish

$$c(g)\int_{-\frac{1}{2}}^{\frac{1}{2}}\left|\frac{\partial\overline{\theta}}{\partial x_N}(\widehat{x},s)\right|^2ds\leq \frac{d\overline{\pi}}{d\widehat{x}}(\widehat{x})\leq C(g)\int_{-\frac{1}{2}}^{\frac{1}{2}}\left|\frac{\partial\overline{\theta}}{\partial x_N}(\widehat{x},s)\right|^2ds.$$

From (5.10) we deduce that

$$\min \left\{ g^{\infty,2}(\widehat{0},-1), \ g^{\infty,2}(\widehat{0},1) \right\} \int_{-\frac{1}{2}}^{\frac{1}{2}} \left| \frac{\partial \overline{\theta}}{\partial x_N}(\widehat{x},s) \right|^2 ds \leq \int_{-\frac{1}{2}}^{\frac{1}{2}} g^{\infty,2}(\widehat{0}, \frac{\partial \overline{\theta}}{\partial x_N}(\widehat{x},s)) ds$$

$$= \left( \int_{\mathbb{S}^0} g^{\infty,2}(\xi) d\overline{\mu}_x \right) \frac{d\overline{\pi}}{d\widehat{x}}(\widehat{x})$$

$$= \left\{ p(\widehat{x}) g^{\infty,2}(\widehat{0},1) + q(\widehat{x}) g^{\infty,2}(\widehat{0},-1) \right\} \frac{d\overline{\pi}}{d\widehat{x}}(\widehat{x})$$

$$\leq \max \left\{ g^{\infty,2}(\widehat{0},-1), \ g^{\infty,2}(\widehat{0},1) \right\} \frac{d\overline{\pi}}{d\widehat{x}}(\widehat{x}) \quad (5.11)$$

and

$$\min \left\{ g^{\infty,2}(\widehat{0},-1), \ g^{\infty,2}(\widehat{0},1) \right\} \frac{d\overline{\pi}}{d\widehat{x}}(\widehat{x}) \quad = \quad \min \left\{ g^{\infty,2}(\widehat{0},-1), \ g^{\infty,2}(\widehat{0},1) \right\} \left\{ p(\widehat{x}) + q(\widehat{x}) \right\} \frac{d\overline{\pi}}{d\widehat{x}}(\widehat{x})$$

$$\leq \quad \left\{ p(\widehat{x})g^{\infty,2}(\widehat{0},1) + q(\widehat{x})g^{\infty,2}(\widehat{0},-1) \right\} \frac{d\overline{\pi}}{d\widehat{x}}(\widehat{x})$$

$$= \quad \left( \int_{\mathbb{S}^0} g^{\infty,2}(\xi) d\overline{\mu}_x \right) \frac{d\overline{\pi}}{d\widehat{x}}(\widehat{x})$$

$$= \quad \int_{-\frac{1}{2}}^{\frac{1}{2}} g^{\infty,2}(\widehat{0}, \frac{\partial \overline{\theta}}{\partial x_N}(\widehat{x},s)) ds$$

$$\leq \quad \max \left\{ g^{\infty,2}(\widehat{0},-1), \ g^{\infty,2}(\widehat{0},1) \right\} \int_{-1}^{\frac{1}{2}} \left| \frac{\partial \overline{\theta}}{\partial x_N}(\widehat{x},s) \right|^2 ds (5.12)$$

Then, from (5.11) and (5.12) we have

$$c(g) \int_{-\frac{1}{2}}^{\frac{1}{2}} \left| \frac{\partial \overline{\theta}}{\partial x_N}(\widehat{x}, s) \right|^2 ds = \frac{\min \left\{ g^{\infty, 2}(\widehat{0}, -1), \ g^{\infty, 2}(\widehat{0}, 1) \right\}}{\max \left\{ g^{\infty, 2}(\widehat{0}, -1), \ g^{\infty, 2}(\widehat{0}, 1) \right\}} \int_{-\frac{1}{2}}^{\frac{1}{2}} \left| \frac{\partial \overline{\theta}}{\partial x_N}(\widehat{x}, s) \right|^2 ds \leq \frac{d\overline{\pi}}{d\widehat{x}}(\widehat{x})$$

and

$$\frac{d\overline{\pi}}{d\widehat{x}}(\widehat{x}) \leq \frac{\max\left\{g^{\infty,2}(\widehat{0},-1),\ g^{\infty,2}(\widehat{0},1)\right\}}{\min\left\{g^{\infty,2}(\widehat{0},-1),\ g^{\infty,2}(\widehat{0},1)\right\}} \int_{-\frac{1}{2}}^{\frac{1}{2}} \left|\frac{\partial\overline{\theta}}{\partial x_N}(\widehat{x},s)\right|^2 ds = C(g) \int_{-\frac{1}{2}}^{\frac{1}{2}} \left|\frac{\partial\overline{\theta}}{\partial x_N}(\widehat{x},s)\right|^2 ds$$

from which we deduce.

$$c(g)\int_{-\frac{1}{2}}^{\frac{1}{2}}\left|\frac{\partial\overline{\theta}}{\partial x_N}(\widehat{x},s)\right|^2ds\leq \frac{d\overline{\pi}}{d\widehat{x}}(\widehat{x})\leq C(g)\int_{-\frac{1}{2}}^{\frac{1}{2}}\left|\frac{\partial\overline{\theta}}{\partial x_N}(\widehat{x},s)\right|^2ds.$$

Let us prove (ii) and (iii). According to Theorem 5.2, for every  $\varphi \in \mathcal{C}(\mathbb{S}^0)$  such that  $\varphi^{**} > -\infty$ ,

$$\frac{d\bar{\pi}}{d\mathcal{H}_{|\Sigma'}^{N-1}}(x) \int_{\mathbb{S}^0} \varphi(\zeta) \ d\bar{\mu}_x \ge \varphi^{**}([v](x)) \quad \text{for } \mathcal{H}^{N-1} \text{a.e. } x \in \Sigma', \tag{5.13}$$

where  $\bar{\pi} = \frac{d\bar{\pi}}{d\mathcal{H}_{\lfloor \Sigma'}^{N-1}}\mathcal{H}_{\lfloor \Sigma'}^{N-1} + \bar{\pi}_s$  is the Radon-Nikodym decomposition of  $\bar{\pi}$  with respect to the measure  $\mathcal{H}_{\vert \Sigma'}^{N-1}$ . We assume that  $[\bar{u}](\hat{x}) > 0$  and show that

$$\frac{c(g) |[\bar{u}](\hat{x})|^2}{\int_{-\frac{1}{2}}^{\frac{1}{2}} \left| \frac{\partial \bar{\theta}}{\partial x_N}(\hat{x}, s) \right|^2 ds} \le p(\hat{x}) \le 1.$$

Let

$$\varphi(\xi) = \begin{cases} \varphi(1) |\xi|^2 & \text{if } \xi \ge 0 \\ 0 & \text{if } \xi < 0 \end{cases}$$
 (5.14)

Clearly,  $\varphi^{**}([\bar{u}](\widehat{x})) = \varphi([\bar{u}](\widehat{x})) = \varphi(1) |[u](\widehat{x})|^2$ . From the inequality (5.13), it follows that

$$\begin{split} \left. \varphi(1) \, \left| [\bar{u}](\widehat{x}) \right|^2 &= \varphi^{**}([\bar{u}](\widehat{x})) \\ &\leq \frac{d\overline{\pi}}{d\widehat{x}}(\widehat{x}) \left( \int_{\mathbb{S}^0} \varphi(\xi) d\overline{\mu}_x \right) \\ &\leq C(g) \Big( \int_{-\frac{1}{2}}^{\frac{1}{2}} \left| \frac{\partial \overline{\theta}}{\partial x_N}(\widehat{x},s) \right|^2 ds \Big) \Big( \int_{\mathbb{S}^0} \varphi(\xi) d\overline{\mu}_x \Big) \\ &= C(g) p(\widehat{x}) \varphi(1) \int_{-\frac{1}{2}}^{\frac{1}{2}} \left| \frac{\partial \overline{\theta}}{\partial x_N}(\widehat{x},s) \right|^2 ds. \end{split}$$

Then, we obtain

$$\frac{\left|\left[\bar{u}\right](\hat{x})\right|^{2}}{C(g)\int_{-\frac{1}{2}}^{\frac{1}{2}}\left|\frac{\partial \overline{\theta}}{\partial x_{N}}(\hat{x},s)\right|^{2}ds} = \frac{c(g)\left|\left[\bar{u}\right](\hat{x})\right|^{2}}{\int_{-\frac{1}{2}}^{\frac{1}{2}}\left|\frac{\partial \overline{\theta}}{\partial x_{N}}(\hat{x},s)\right|^{2}ds} \leq p(\hat{x}) \leq 1.$$

The proof of (iii) is similar.

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