Philosophical Magazine & Philosophical Magazine Letters



Eshelby's tensor fields and effective conductivity of composites made of anisotropic phases with Kapitza's interface thermal resistance

Journal:	Philosophical Magazine & Philosophical Magazine Letters
Manuscript ID:	TPHM-10-Dec-0512.R1
Journal Selection:	Philosophical Magazine
Date Submitted by the Author:	03-Apr-2011
Complete List of Authors:	LE QUANG, HUNG; Université Paris-Est, Laboratoire Modélisation et Simulation Multi Echelle, MSME UMR 8208 CNRS He, Qi-Chang; Université Paris-Est, Laboratoire Modélisation et Simulation Multi Echelle, MSME UMR 8208 CNRS Bonnet, Guy; Université Paris-Est, Laboratoire Modélisation et Simulation Multi Echelle, MSME UMR 8208 CNRS
Keywords:	anisotropy, composite materials, conductivity, interfaces, micromechanics, thermal transport
Keywords (user supplied):	Eshelby problem, Eshelby tensor, Kapitza interface thermal resistance

SCHOLARONE™ Manuscripts

59 60

April 3, 2011

Page 1 of 35

Philosophical Magazine Letters Vol. 00, No. 00, Month 2010, 1–35

RESEARCH ARTICLE

Eshelby's tensor fields and effective conductivity of composites made of anisotropic phases with Kapitza's interface thermal resistance

H. Le Quang, Q.-C. He and G. Bonnet

Université Paris-Est, Laboratoire Modélisation et Simulation Multi Echelle, MSME UMR 8208 CNRS, 5 Boulevard Descartes, F-77454 Marne-la-Vallée Cedex 2, France (Received 00 Month 20xx; final version received 00 Month 20xx)

Eshelby's results and formalism for an elastic circular or spherical inhomogeneity embedded in an elastic infinite matrix are extended to the thermal conduction phenomenon with a Kapitza's interface thermal resistance between matrix and inclusions. Closed-form expressions are derived for the generalized Eshelby's interior and exterior conduction tensor fields and localization tensor fields in the case where the matrix and inclusion phases have the most general anisotropy. Unlike the relevant results in elasticity, the generalized Eshelby's conduction tensor fields and localization tensor fields inside circular and spherical inhomogeneities are shown to remain uniform even in the presence of Kapitza's interface thermal resistance. With the help of these results, the size-dependent overall thermal conduction properties of composites are estimated by using the dilute, Mori-Tanaka, self-consistent and generalized self-consistent models. The analytical estimates are finally compared with numerical results delivered by the finite element method. The approach elaborated and results provided by the present work are directly applicable to other physically analogous transport phenomena, such as electric conduction, dielectrics, magnetism, diffusion and flow in porous media, and to the mathematically identical phenomenon of anti-plane elasticity.

Keywords: Eshelby problem; Eshelby tensor; Kapitza interface thermal resistance; Interfaces; Anisotropy; Composite materials; Conductivity; Micromechanics; Thermal transports.

Introduction

Most of the studies dedicated to estimating the effective properties of inhomogeneous materials from their local phase properties and their microstructure adopt the assumption that the interfaces between the phases are perfect. In the context of thermal conduction, a perfect interface is a material surface across which the temperature and the normal component of the heat flux are both continuous. In practice, many situations occur where the assumption of perfect interface is inappropriate. Frequently, a thermal resistance appears at the interface between the constituent phases, so that the heat flux remains continuous but the temperature suffers a jump.

The thermal interface resistance in liquid helium was first observed in 1936 by Kurti et al. [27] and Keesom and Keesom [26] and then systematically studied in 1941 by Kapitza [25]. The presence of an interfacial thermal resistance, called hereafter Kapitza's thermal resistance, was also shown experimentally at solid/solid

ISSN: 0950-0839 print/ISSN 1362-3036 online © 2010 Taylor & Francis DOI: 10.1080/09500839.20xx.xxxxxx

 $^{{\}rm *Corresponding\ author.\ Email:\ hung.lequang@univ-paris-est.fr}$

2

3

4

5

6

7

8 9

10

11

12

13

14

15

16

17

18 19

20

21

22 23

24

25

2 H. Le Quang, Q.-C. He and G. Bonnet

interfaces by many other researchers (see e.g. Swartz and Pohl [43, 44], Challis [6], Stoner [40] and Cahill et al [5]). In fact, in physics and materials science, the Kapitza thermal interface resistance has been recognized to be of a great theoretical and practical importance. Applications concern, for example, the development of microelectronic semiconductor devices which need an efficient heat dissipation. Another example is related to the interface of high thermal resistance which can be applied to elements requiring a good thermal isolation such as those in jet engine turbines. To achieve a high thermal resistance, metal-ceramic composites and multilayered systems are currently used for these applications.

The effect of thermal resistance interfaces on the effective conductivity of composites was the subject of many studies (see, e.g., Benveniste and Miloh [4], Benveniste [2], Hasselman and Johnson [21], Lipton and Vernescu [30, 31], Torquato and Rintoul [41], Cheng and Torquato [8], Nan et al. [34], Hashin [18], Dunn and Taya [11], Hatta and Taya [22, 23]). However, all of these works are limited to the case where the phases constituting the composite are isotropic.

The present work is concerned with the effective conductivity of composites consisting of a matrix in which circular inclusions in the two-dimensional (2D) case or spherical inclusions in the three-dimensional (3D) case are embedded via interfaces exhibiting Kapitza's thermal resistance. It has the following two objectives:

- (i) First, it aims at deriving the closed-form expressions for the generalized Eshelby's interior and exterior conduction tensor fields and for the localization tensor fields in the general case where the materials constituting the matrix and the inclusions have the most general thermal anisotropy, i.e., orthotropy. In contrast with the results presented by Hashin [19], Qu [36] and Zhong and Meguid [46] for Eshelby's elastic inhomogeneity problem with the linear spring-layer interface which is the elastic counterpart of Kapitza's thermal resistance interface model, our results show that Eshelby's thermal conduction tensor fields inside the circular or spherical inclusion are uniform. This uniformity makes it possible to transform the circular or spherical inhomogeneity problem into an equivalent circular or spherical inclusion problem and thus to preserve Eshelby's formalism even in the presence of Kapitza's thermal interface resistance. The temperature gradient and heat flux localization tensors can then be obtained and expressed compactly in terms of Eshelby's tensors.
- (ii) Next, it has the purpose of estimating the effective conductivity of composites under consideration by using the derived expressions of the localization tensor fields for extending some well-established micromechanical schemes to situations where the interface resistance cannot be neglected.

The paper is structured as follows. In Section 2, the constitutive laws of the constituent phases of composites under investigation, the interface model with Kapitza's thermal resistance and the general form of the effective thermal conduction behavior are specified. Section 3 is dedicated to deriving the size-dependent Eshelby's and localization tensor fields in the context of thermal conduction in the presence of Kapitza's interface thermal resistance. In Section 4, closed-form expressions are obtained for the effective conductivity moduli by using the dilute, Mori-Tanaka, self-consistent and generalized self-consistent schemes, respectively. In Section 5, the Kapitza thermal interface resistance and inhomogeneities size effect on the effective conductivity of composites are numerically discussed and illustrated; in addition, the closed-form solutions obtained are compared with the results provided by the finite element method (FEM). In section 6, a few concluding remarks are provided.

56

57

58

59 60

2. Local constitutive laws

The composite under investigation consists of circular or spherical inclusions embedded in a matrix via imperfect interfaces. Let Ω be the domain of a 2D or 3D Euclidean space \mathcal{R}^d (d=2,3) occupied by a representative volume element (RVE) of the composite and let $\partial\Omega$ be the boundary of Ω . The subdomains of Ω inhabited by the inclusions and the matrix are denoted by $\Omega^{(1)}$ and $\Omega^{(2)}$, respectively. The interface between $\Omega^{(1)}$ and $\Omega^{(2)}$ and its unit vector directed from $\Omega^{(1)}$ toward $\Omega^{(2)}$ are designed by Γ and Γ 0 (see Fig. 1b). In a system of Cartesian coordinates $\{x_i\}$ associated to a right-handed orthonormal basis $\{\mathbf{f}_i\}$ ($1 \le i \le d$), the matrix, referred to as phase 2, and inclusions, denoted as phase 1, are assumed to be individually homogeneous and have the linear thermal conduction behavior described by an anisotropic Fourier's law

$$\mathbf{q}^{(i)} = \mathbf{K}^{(i)} \cdot \mathbf{e}^{(i)} \text{ or } \mathbf{e}^{(i)} = \mathbf{H}^{(i)} \cdot \mathbf{q}^{(i)}, \tag{1}$$

where $\mathbf{K}^{(i)}$ and $\mathbf{H}^{(i)}$, with i=1 or 2, stand for the thermal conductivity and resistivity second order tensors of phase i, which are symmetric, positive definite and in general orthotropic.

The intensity field $\mathbf{e}^{(i)}$ is related to the temperature field $T^{(i)}$ by

$$\mathbf{e}^{(i)} = -\nabla T^{(i)}.\tag{2}$$

In the case of stationary thermal conduction without heat source, the heat flux field $\mathbf{q}^{(i)}$ must fulfill the energy conservation equation

$$\nabla \cdot \mathbf{q}^{(i)} = 0. \tag{3}$$

In addition, the interface Γ between the matrix and inclusions is described by

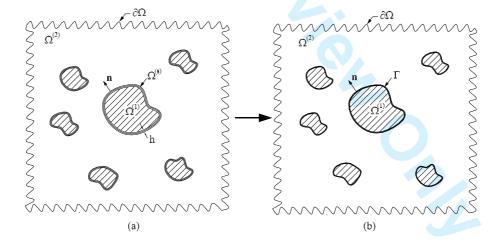


Figure 1. Two- and three-phase configurations: (a) matrix/interphase/inclusion composite; (b) matrix/inclusion composite with imperfect interface exhibiting the Kapitza thermal resistance.

an imperfect interface model with Kapitza's thermal resistance. According to the latter, the normal component of the heat flux $\mathbf{q}(\mathbf{x})$ is continuous across Γ , i.e. $\mathbf{q}^{(1)}(\mathbf{x}) \cdot \mathbf{n}(\mathbf{x}) = \mathbf{q}^{(2)}(\mathbf{x}) \cdot \mathbf{n}(\mathbf{x})$ for any $\mathbf{x} \in \Gamma$. At the same time, the temperature field $T(\mathbf{x})$ is in general discontinuous across Γ and its jump is related to the normal component of the heat flux field by either of the following interface conditions

April 3, 2011

Philosophical Magazine & Philosophical Magazine Letters

H. Le Quang, Q.-C. He and G. Bonnet

holding on Γ :

$$T^{(2)} - T^{(1)} = -\alpha(\mathbf{n})\mathbf{q}^{(1)} \cdot \mathbf{n} = -\alpha(\mathbf{n})\mathbf{q}^{(2)} \cdot \mathbf{n}, \tag{4}$$

$$\beta(\mathbf{n})(T^{(2)} - T^{(1)}) = -\mathbf{q}^{(1)} \cdot \mathbf{n} = -\mathbf{q}^{(2)} \cdot \mathbf{n},$$
 (5)

where α and $\beta = 1/\alpha$ stand for the Kapitza thermal resistance and joint conductivity of Γ , respectively, and depend in general on \mathbf{n} .

Next, to clarify the physical background and validity domain of the interface model with Kapitza's thermal resistance used here to describe Γ , we consider two configurations in Fig. 1. In the three-phase one (Fig. 1a), a representative volume element Ω consists of a finite number of inclusions $\Omega^{(1)}$ embedded in the matrix phase $\Omega^{(2)}$ via the interphase $\Omega^{(s)}$. The interface between $\Omega^{(1)}$ and $\Omega^{(s)}$ and the one between $\Omega^{(2)}$ and $\Omega^{(s)}$ are assumed to be perfect, and the thickness h of $\Omega^{(s)}$ is uniform and very small in comparison with the minimum size of the inclusions. In the two-phase configuration (Fig. 1b), $\Omega^{(s)}$ is replaced by a thermally resistive surface of zero thickness located at the middle surface Γ of the interphase, and the inclusions and matrix are extended up to the middle surface Γ . By using asymptotic expansions, the jump conditions that the imperfect interface Γ has to verify for the two configurations to be physically equivalent between themselves within an error of order 0(h) were derived first by Sanchez-Palencia [37] and Pham Huy and Sanchez-Palencia [35] in a particular case and then completed by Miloh and Benveniste [32], Hashin [18] and Benveniste [3] in the general situation. More precisely, when the interphase with thermal conductivity tensor $\mathbf{K}^{(s)}$ is assumed to be weakly conducting, namely $\| \mathbf{K}^{(s)} \| \ll \| \mathbf{K}^{(1)} \|$ and $\| \mathbf{K}^{(s)} \| \ll \| \mathbf{K}^{(2)} \|$, then the conditions that the imperfect interface Γ must satisfy are exactly those characterizing the imperfect interface model with Kapitza's thermal resistance described above. The corresponding Kapitza thermal resistance of Γ can be expressed in terms of $\mathbf{K}^{(s)}$ and h as follows (see Benveniste [3]):

$$\alpha(\mathbf{n}) = \frac{h}{\mathbf{n} \cdot \mathbf{K}^{(s)} \cdot \mathbf{n}}.$$
 (6)

In what follows, we make the assumption that $\mathbf{K}^{(s)}$ is circularly or spherically orthotropic (see e.g. Dryden [10]; Chen [7]), so that $k_s = \mathbf{n} \cdot \mathbf{K}^{(s)} \cdot \mathbf{n}$ is constant. Then, it is immediate from Eq. (6) that

$$\alpha = \frac{h}{k_s} \tag{7}$$

is independent of **n**.

Finally, at the macroscopic scale, the composite under consideration is assumed to be statistically homogeneous. The corresponding effective thermal behavior is characterized by

$$\mathbf{Q} = \mathbf{K}^{eff} \cdot \mathbf{E} \quad \text{or} \quad \mathbf{E} = \mathbf{H}^{eff} \cdot \mathbf{Q}$$
 (8)

where \mathbf{K}^{eff} and \mathbf{H}^{eff} are the effective thermal conductivity and resistivity secondorder tensors, \mathbf{Q} and \mathbf{E} denote the macroscopic heat flux and intensity fields. More

 Philosophical Magazine Letters

precisely, the macroscopic intensity field ${\bf E}$ and the heat flux field ${\bf Q}$ are defined as

$$\mathbf{E} = -\frac{1}{|\Omega|} \int_{\partial \Omega} T(\mathbf{x}) \mathbf{v}(\mathbf{x}) d\mathbf{x}, \tag{9}$$

$$\mathbf{Q} = \frac{1}{|\Omega|} \int_{\partial\Omega} (\mathbf{q} \cdot \mathbf{v}) \mathbf{x} d\mathbf{x}, \tag{10}$$

where $\mathbf{v}(\mathbf{x})$ is the outward unit normal vector to $\partial\Omega$ and $|\Omega|$ denotes the volume or area of the domain Ω according to the case (3D or 2D) which is concerned. As in the classical case of perfect interfaces, the macroscopic heat flux field Q defined by (9) is equal to the volume or surface average of the local counterpart $\mathbf{q}(\mathbf{x})$ over Ω , i.e.,

$$\mathbf{Q} = \frac{1}{|\Omega|} \int_{\Omega} \mathbf{q}(\mathbf{x}) d\mathbf{x} = \langle \mathbf{q} \rangle, \tag{11}$$

where $\langle \bullet \rangle$ is the volume or surface average of quantity \bullet over the domain Ω . However, due to the fact that the temperature field suffers a jump across Γ , the macroscopic intensity field **E** defined by (9) is not simply the volume or surface average of the local counterpart $\mathbf{e}(\mathbf{x})$ over Ω but given by

$$\mathbf{E} = \langle \mathbf{e} \rangle - \frac{1}{|\Omega|} \int_{\Gamma} [T^{(2)}(\mathbf{x}) - T^{(1)}(\mathbf{x})] \mathbf{n}(\mathbf{x}) d\mathbf{x}, \tag{12}$$

or equivalently by

$$\mathbf{E} = \langle \mathbf{e} \rangle + \frac{1}{|\Omega|} \int_{\Gamma} \alpha[\mathbf{q}^{(1)}(\mathbf{x}) \cdot \mathbf{n}(\mathbf{x})] \mathbf{n}(\mathbf{x}) d\mathbf{x}. \tag{13}$$

Thus, compared with the classical case, new terms due to the Kapitza interface thermal resistance appear in both Eqs (12) and (13).

Generalized Eshelby's formalism 3.

Integral formulation

Now, let V be a subdomain of a 2D or 3D domain Ω made of a homogeneous material with thermal conductivity and resistivity tensors denoted by \mathbf{K}^0 and \mathbf{H}^0 , respectively. The boundary of V and its unit outward normal vector are denoted by ∂V and n. The interface Γ between the subdomain V and the remaining part of Ω is not necessarily perfect. If a heat-free (or an eigen) intensity field $\mathbf{e}^*(\mathbf{x})$ is prescribed over V, the induced heat flux field $\mathbf{q}(\mathbf{x})$ is related to the intensity field $\mathbf{e}(\mathbf{x})$ produced by $\mathbf{e}^*(\mathbf{x})$ through Fourier's law

$$\mathbf{q}(\mathbf{x}) = \mathbf{K}^0 \cdot \mathbf{e}(\mathbf{x}) + \mathbf{q}^*(\mathbf{x}) \tag{14}$$

with $\mathbf{x} \in \Omega \setminus \Gamma$ and

$$\mathbf{q}^*(\mathbf{x}) = -\mathbf{K}^0 \cdot \mathbf{e}^*(\mathbf{x}). \tag{15}$$

With the help of the thermal result analogous to the Maxwell-Betti reciprocal theorem of elasticity, we can derive an integral representation of the temperature

Page 6 of 35

H. Le Quang, Q.-C. He and G. Bonnet

field $T(\mathbf{x})$ due to the prescribed heat-free intensity field $\mathbf{e}^*(\mathbf{x})$ as follows:

$$K_{ij}^{0} \int_{\partial V} [T(\mathbf{y})G_{,j}(\mathbf{y} - \mathbf{x}) - T_{,j}(\mathbf{y})G(\mathbf{y} - \mathbf{x})]n_{i}(\mathbf{y})d\mathbf{y}$$
$$-K_{ij}^{0} \int_{V} e_{j,i}^{*}(\mathbf{y})G(\mathbf{y} - \mathbf{x})d\mathbf{y} = \begin{cases} T(\mathbf{x}) \text{ for } \mathbf{x} \in V, \\ 0 \text{ for } \mathbf{x} \in \Omega \setminus V. \end{cases}$$
(16)

Philosophical Magazine & Philosophical Magazine Letters

Hereafter, the subscript i following a comma denotes the derivative with respect to y_i ; $G(\mathbf{y} - \mathbf{x})$ represents the Green's function giving the temperature at a point x produced by a unit point heat source at a point y in an infinite domain. More precisely, $G(\mathbf{y} - \mathbf{x})$ is the solution to the equation

$$K_{ij}^{0}G_{,ij}(\mathbf{y} - \mathbf{x}) = \delta(\mathbf{y} - \mathbf{x}), \tag{17}$$

where δ is the Dirac delta function.

Generalized Eshelby's conduction tensor fields

In this subsection, we are interested in the thermal counterpart of the well-known Eshelby's elastic inclusion problem [12, 13] while accounting for imperfect interface effects. Indeed, the domain Ω described above in the last subsection is now assumed to be infinitely extended and the heat-free intensity $e^*(\mathbf{x})$, which plays a role similar to the eigenstrain tensor in elasticity, is distributed within a subdomain ω of Ω and vanishes outside ω (Fig. 2). The interface Γ between the inclusion ω and the external medium has the outward normal vector \mathbf{n} and is assumed to exhibit the Kapitza thermal resistance.

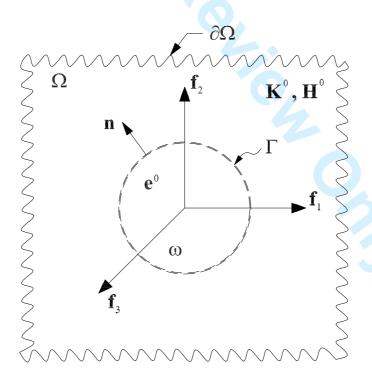


Figure 2. The Eshelby's conduction problem for the thermal conduction phenomenon consisting of a subdomain ω embedded in an infinite medium Ω , subjected to a uniform heat-free intensity ${\bf e}^0$ within ω

When the domain V is taken to be the inclusion ω , applying the integral formulation (16) and using the divergence theorem yield

$$T(\mathbf{x}) = K_{ij}^{0} \int_{\Gamma^{-}} \{T(\mathbf{y})G_{,j}(\mathbf{y} - \mathbf{x}) - G(\mathbf{y} - \mathbf{x})[T_{,j}(\mathbf{y}) + e_{j}^{*}(\mathbf{y})]\}n_{i}(\mathbf{y})d\mathbf{y}$$
$$+ K_{ij}^{0} \int_{\omega} e_{j}^{*}(\mathbf{y})G_{,i}(\mathbf{y} - \mathbf{x})d\mathbf{y}, \text{ for } \mathbf{x} \in \omega.$$
(18)

Here Γ^- denotes the inside part of the interface between the inclusion and the external medium, i.e. the limit of a surface inside ω tending to Γ . For the points outside the inclusion ω , it follows from Eq. (16) together with the divergence theorem that

$$0 = -K_{ij}^{0} \int_{\Gamma^{-}} \{T(\mathbf{y})G_{,j}(\mathbf{y} - \mathbf{x}) + G(\mathbf{y} - \mathbf{x})[T_{,j}(\mathbf{y}) + e_{j}^{*}(\mathbf{y})]\}n_{i}(\mathbf{y})d\mathbf{y}$$
$$-K_{ij}^{0} \int_{\omega} e_{j}^{*}(\mathbf{y})G_{,i}(\mathbf{y} - \mathbf{x})d\mathbf{y}, \text{ for } \mathbf{x} \in \Omega \setminus \omega.$$
(19)

Next, if V is taken to be the exterior domain of ω , i.e. $V = \Omega \setminus \omega$, from the integral formulation (16) and by taking into account the fact that the Green function $G(\mathbf{y} - \mathbf{x})$ and the temperature field $T(\mathbf{y})$ are equal to zero for $\mathbf{y} \in \partial \Omega$, we can show that

$$0 = K_{ij}^{0} \int_{\Gamma^{+}} \left[T(\mathbf{y}) G_{,j}(\mathbf{y} - \mathbf{x}) - G(\mathbf{y} - \mathbf{x}) T_{,j}(\mathbf{y}) \right] n_{i}(\mathbf{y}) d\mathbf{y}$$
 (20)

for $\mathbf{x} \in \omega$. Here, Γ^+ is the outside part of the interface Γ as opposed to Γ^- . For the points exterior to the inclusion ω , we derive from Eq. (16) the temperature field outside the inclusion as follows:

$$T(\mathbf{x}) = -K_{ij}^0 \int_{\Gamma^+} \left[T(\mathbf{y}) G_{,j}(\mathbf{y} - \mathbf{x}) - G(\mathbf{y} - \mathbf{x}) T_{,j}(\mathbf{y}) \right] n_i(\mathbf{y}) d\mathbf{y}$$
(21)

for $\mathbf{x} \in \Omega \setminus \omega$.

Due to the fact that the normal component of the heat flux field $\mathbf{q}(\mathbf{x})$ is continuous across Γ , subtracting Eqs. (20) and (19) from Eqs. (18) and (21), respectively, leads to the temperature field both inside and outside the inclusion

$$T(\mathbf{x}) = -K_{ij}^0 \int_{\Gamma} [[T(\mathbf{y})]] G_{,j}(\mathbf{y} - \mathbf{x}) n_i(\mathbf{y}) d\mathbf{y} + K_{ij}^0 \int_{\Omega} e_j^*(\mathbf{y}) G_{,i}(\mathbf{y} - \mathbf{x}) d\mathbf{y}, \quad (22)$$

where $[[T(\mathbf{y})]] = T(\mathbf{y}^+) - T(\mathbf{y}^-)$ with $\mathbf{y}^+ \in \Gamma^+$ and $\mathbf{y}^- \in \Gamma^-$. Correspondingly, the resulting intensity field components both inside and outside the inclusion are given by

$$e_{m}(\mathbf{x}) = -\frac{\partial T(\mathbf{x})}{\partial x_{m}} = -K_{ij}^{0} \int_{\Gamma} [[T(\mathbf{y})]] G_{,jm}(\mathbf{y} - \mathbf{x}) n_{i}(\mathbf{y}) d\mathbf{y}$$
$$+ K_{ij}^{0} \int_{\omega} e_{j}^{*}(\mathbf{y}) G_{,im}(\mathbf{y} - \mathbf{x}) d\mathbf{y}. \tag{23}$$

Moreover, by using the interface condition Eq.(4), the intensity field components

can be rewritten in the following equivalent form

$$e_{m}(\mathbf{x}) = K_{ij}^{0} \int_{\omega} e_{j}^{*}(\mathbf{y}) G_{,im}(\mathbf{y} - \mathbf{x}) d\mathbf{y}$$

$$+ \alpha K_{ij}^{0} K_{sr}^{0} \int_{\Gamma} G_{,jm}(\mathbf{y} - \mathbf{x}) n_{i}(\mathbf{y}) n_{s}(\mathbf{y}) (e_{r}(\mathbf{y}) - e_{r}^{*}(\mathbf{y})) d\mathbf{y}. \tag{24}$$

Now, let us show that if the heat-free intensity e* is uniformly distributed within a spherical or circular inclusion, i.e. $e^*(\mathbf{x}) = \chi^{\omega}(\mathbf{x})e^0$ with the characteristic function $\chi^{\omega}(\mathbf{x})$ of ω defined by

$$\chi^{\omega}(\mathbf{x}) = \begin{cases} 1 \text{ for } \mathbf{x} \in \omega, \\ 0 \text{ for } \mathbf{x} \notin \omega, \end{cases}$$
 (25)

then the intensity field given by Eq.(24) inside the inclusion ω is, as in the case of perfect interfaces, independent of the position \mathbf{x} . To this end, we first compute the right members of Eq. (22) and Eq. (24) for a constant intensity field $\mathbf{e}(\mathbf{x}) = \mathbf{e}^{\omega}$ within the inclusion.

In the important special case where ω is a spherical or circular inclusion of radius R, substituting $n_i(\mathbf{y}) = y_i/R$ for any $\mathbf{y} \in \Gamma$ into Eq.(24), using the divergence theorem to transform the second integral of Eq. (24) and accounting for the fact that

$$\frac{\partial G(\mathbf{y} - \mathbf{x})}{\partial x_i} = -\frac{\partial G(\mathbf{y} - \mathbf{x})}{\partial y_i},\tag{26}$$

the resulting intensity components are given by

$$e'_{m}(\mathbf{x}) = K_{ij}^{0} e_{j}^{0} \int_{\mathcal{O}} G_{,im}(\mathbf{y} - \mathbf{x}) d\mathbf{y} + \frac{\alpha}{R} K_{ij}^{0} K_{sr}^{0} M_{ijms}(\mathbf{x}) (e_{r}^{\omega} - e_{r}^{0}), \tag{27}$$

for $\mathbf{x} \in \omega$. Here,

$$M_{ijms}(\mathbf{x}) = -\frac{\partial N_{ijs}(\mathbf{x})}{\partial x_m} + \delta_{is} D_{mj}(\mathbf{x}), \tag{28}$$

with

$$N_{ijs}(\mathbf{x}) = \frac{\partial^2}{\partial x_i \partial x_j} \int_{\omega} G(\mathbf{y} - \mathbf{x}) y_s d\mathbf{y}, \tag{29}$$

$$D_{mj}(\mathbf{x}) = \frac{\partial^2}{\partial x_m \partial x_j} \int_{\omega} G(\mathbf{y} - \mathbf{x}) d\mathbf{y}.$$
 (30)

Next, it is shown in the appendix that when ω is an ellipsoidal or elliptical inclusion and when $\mathbf{x} \in \omega$, then $N_{mji}(\mathbf{x})$ and $D_{mj}(\mathbf{x})$ are polynomial functions of degree 1 and 0 in terms of the position vector \mathbf{x} . Thus, the tensor $\mathbb{M}(\mathbf{x})$ with the components $M_{ijms}(\mathbf{x})$ given by Eq.(28) is uniform inside ω and equal to a constant tensor \mathbb{M}^{ω} , i.e. $\mathbb{M}(\mathbf{x}) = \mathbb{M}^{\omega}$ when $\mathbf{x} \in \omega$.

As a consequence, the intensity field is constant within the inclusion and the components e'_m are identical to e^{ω}_m for any **x** inside ω . Thus, for any **x** inside ω , we

 have

$$e_m^{\omega}(\mathbf{x}) = K_{ij}^0 e_j^0 \int_{\omega} G_{,im}(\mathbf{y} - \mathbf{x}) d\mathbf{y} + \frac{\alpha}{R} K_{ij}^0 K_{sr}^0 M_{ijms}^{\omega} (e_r^{\omega} - e_r^0). \tag{31}$$

This last equation can be recast into

$$\mathbf{e}^{\omega} = \bar{\mathbf{S}}^{\omega} \cdot \mathbf{e}^0 \tag{32}$$

with

$$\bar{\mathbf{S}}^{\omega} = [\mathbf{I} - \frac{\alpha}{R} \mathbf{K}^0 : \mathbb{M}^{\omega} \cdot \mathbf{K}^0]^{-1} \cdot [\mathbf{S}^{\omega} - \frac{\alpha}{R} \mathbf{K}^0 : \mathbb{M}^{\omega} \cdot \mathbf{K}^0]. \tag{33}$$

Here, S^{ω} stands for the classical Eshelby's conduction tensor inside ω without interface effect while $\bar{\mathbf{S}}^{\omega}$ is defined as the Eshelby's conduction tensor inside ω with Kapitza interface thermal resistance; I is the second-order identity tensor. Indeed, the classical Eshelby's conduction tensor fields both inside and outside ω with perfect interface are, in general, given by (see, e.g. Le Quang et al. [28])

$$\mathbf{S}(\mathbf{x}) = \left(\int_{\omega} \nabla \otimes \nabla G(\mathbf{y} - \mathbf{x}) d\mathbf{y} \right) \cdot \mathbf{K}^{0}. \tag{34}$$

Using the definition (30), the classical Eshelby's conduction tensor field $\mathbf{S}(\mathbf{x})$ can be determined via the tensor $\mathbf{D}(\mathbf{x})$:

$$S_{ij}(\mathbf{x}) = D_{im}(\mathbf{x})K_{mj}^0. \tag{35}$$

Thus, the general solution to equation (24), both inside and outside ω , can be written as

$$\mathbf{e}(\mathbf{x}) = \bar{\mathbf{S}}(\mathbf{x}) \cdot \mathbf{e}^0 \tag{36}$$

where the tensor $\bar{S}(x)$, called thereafter Eshelby's conduction tensor field with Kapitza interface thermal resistance, is defined as:

$$\bar{\mathbf{S}}(\mathbf{x}) = \mathbf{S}(\mathbf{x}) + \frac{\alpha}{R} \mathbf{K}^0 : \mathbb{M}(\mathbf{x}) \cdot \mathbf{K}^0 \cdot [\bar{\mathbf{S}}^\omega - \mathbf{I}], \tag{37}$$

where $\bar{\mathbf{S}}^{\omega}$ is given by Eq. (33).

Eq. (37) shows that the Eshelby's conduction tensor $\bar{\mathbf{S}}(\mathbf{x})$ with Kapitza's interface thermal resistance is uniform inside the inclusion ω but varies outside the inclusion ω . Note that $\tilde{\mathbf{S}}(\mathbf{x})$ depends not only on the geometry of ω and the material thermal anisotropy as in the case of perfect interfaces but also on the interfacial thermal resistance parameter α and the inclusion size R. When $\alpha = 0$ or when the size R of the heterogeneity is very large, the imperfect interface effect disappears or becomes negligible so that the expression (37) of S(x) reduces to the formula (34) of the classical Eshelby's conduction tensor.

The elastic counterpart of Kapitza's interface thermal resistance model is the well-known spring-layer imperfect interface model. When the latter is adopted in studying a spherical inclusion embedded in an isotropic matrix, Hashin [19], Qu [36] and Zhong and Meguid [46] have shown that the Eshelby's elastic tensor S(x)is in general a quadratic function of the position vector, so that it is not uniform inside the spherical inclusion.

April 3, 2011

Philosophical Magazine & Philosophical Magazine Letters

H. Le Quang, Q.-C. He and G. Bonnet

The results derived above for Eshelby's thermal conduction tensor $\bar{\mathbf{S}}(\mathbf{x})$ with Kapitza's interface thermal resistance hold for any thermal anisotropy. In order to obtain the explicit expression of $\bar{\mathbf{S}}(\mathbf{x})$, we now consider the most important special case where the 2D or 3D infinite body Ω consists of an isotropic material whose thermal conductivity tensor takes the form $\mathbf{K}^0 = k_0 \mathbf{I}$ with k_0 being a positive scalar.

By using (35) with $D_{im}(\mathbf{x})$ given by Eqs. (A-43) and (A-44) for the 3D case or by Eqs. (A-55) and (A-56) for the 2D case, the classical Eshelby's conduction tensor field reads

$$\mathbf{S}(\mathbf{x}) = \frac{1}{d} \mathbf{I} \chi^{\omega}(\mathbf{x}) + (1 - \chi^{\omega}(\mathbf{x})) \rho^{d} \left(\frac{1}{d} \mathbf{I} - \bar{\mathbf{x}} \otimes \bar{\mathbf{x}} \right)$$
(38)

where

$$\rho = \frac{R}{r} = \frac{R}{|\mathbf{x}|}, \quad \bar{\mathbf{x}} = \frac{\mathbf{x}}{r}.$$
 (39)

By substituting Eq. (38) into Eq. (37) with $D_{mj}(\mathbf{x})$ and $N_{mij}(\mathbf{x})$ determined in the appendix, Eshelby's conduction tensor field with Kapitza's interface thermal resistance in the case of an isotropic medium is obtained as

$$\bar{\mathbf{S}}(\mathbf{x}) = \left(\frac{1 + (d-1)\hat{\alpha}}{d + (d-1)\hat{\alpha}}\right) \mathbf{I}\chi^{\omega}(\mathbf{x}) + (1 - \chi^{\omega}(\mathbf{x})) \frac{d\rho^{d}}{d + (d-1)\hat{\alpha}} \left(\frac{1}{d}\mathbf{I} - \bar{\mathbf{x}} \otimes \bar{\mathbf{x}}\right),\tag{40}$$

where the dimensionless parameter $\hat{\alpha}$ is defined by

$$\hat{\alpha} = \frac{\alpha k^0}{R}.\tag{41}$$

From a dual point of view, we now consider the conjugate Eshelby's conduction problem where a heat-free flux is uniformly distributed within a spherical or circular inclusion, or equivalently $\mathbf{q}^*(\mathbf{x}) = \chi^{\omega}(\mathbf{x})\mathbf{q}^0$ (see Fig. 3). The general heat flux field solution, both inside and outside the inclusion ω , can be determined via the conjugate Eshelby's conduction tensor field with Kapitza's interface thermal resistance, denoted by $\bar{\mathbf{C}}(\mathbf{x})$, such as

$$\mathbf{q}(\mathbf{x}) = \bar{\mathbf{C}}(\mathbf{x}) \cdot \mathbf{q}^0. \tag{42}$$

It can be shown that $\bar{\mathbf{C}}(\mathbf{x})$ is related to $\bar{\mathbf{S}}(\mathbf{x})$ by

$$\bar{\mathbf{C}}(\mathbf{x}) = -\mathbf{K}^0 \cdot \bar{\mathbf{S}}(\mathbf{x}) \cdot \mathbf{H}^0 + \chi^{\omega}(\mathbf{x})\mathbf{I}. \tag{43}$$

This equation indicates that, as expected, the conjugate Eshelby's conduction tensor $\bar{\mathbf{C}}(\mathbf{x})$ with Kapitza's interface thermal resistance is also uniform inside ω , i.e. $\bar{\mathbf{C}}(\mathbf{x}) = \bar{\mathbf{C}}^{\omega}$ for $\mathbf{x} \in \omega$ with

$$\bar{\mathbf{C}}^{\omega} = -\mathbf{K}^0 \cdot \bar{\mathbf{S}}^{\omega} \cdot \mathbf{H}^0 + \mathbf{I}. \tag{44}$$

In particular, when the interface between the inclusion and the exterior medium is perfect, i.e. $\alpha = 0$ or when the size R of the inclusion ω is very large, we obtain

April 3, 2011

Philosophical Magazine & Philosophical Magazine Letters Philosophical Magazine Letters

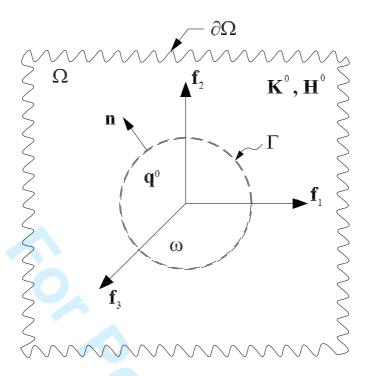


Figure 3. The conjugate Eshelby's conduction problem concerned with a subdomain ω embedded in an infinite medium Ω and subjected to a uniform heat-free flux equal to \mathbf{q}^0 within ω and vanishing outside ω .

from Eq. (43) the classical conjugate Eshelby's conduction tensor

$$\mathbf{C}(\mathbf{x}) = -\mathbf{K}^0 \cdot \mathbf{S}(\mathbf{x}) \cdot \mathbf{H}^0 + \chi^{\omega}(\mathbf{x})\mathbf{I}$$
 (45)

and the classical conjugate Eshelby's conduction tensor inside the inclusion reads

$$\mathbf{C}^{\omega} = -\mathbf{K}^0 \cdot \mathbf{S}^{\omega} \cdot \mathbf{H}^0 + \mathbf{I}. \tag{46}$$

In the particular case where the 2D or 3D infinite body Ω is made of an isotropic material, by substituting the expressions of $\bar{\mathbf{S}}(\mathbf{x})$ and $\mathbf{S}(\mathbf{x})$ given by Eqs. (40) and (38) into Eqs. (43) and (45), respectively, we obtain the expressions for the conjugate Eshelby's conduction tensors with and without Kapitza's thermal resistance effect:

$$\bar{\mathbf{C}}(\mathbf{x}) = \left(\frac{d-1}{d+(d-1)\hat{\alpha}}\right) \mathbf{I} \chi^{\omega}(\mathbf{x}) - (1-\chi^{\omega}(\mathbf{x})) \frac{d\rho^{d}}{d+(d-1)\hat{\alpha}} \left(\frac{1}{d}\mathbf{I} - \bar{\mathbf{x}} \otimes \bar{\mathbf{x}}\right),$$
(47)

$$\mathbf{C}(\mathbf{x}) = \left(\frac{d-1}{d}\right) \mathbf{I} \chi^{\omega}(\mathbf{x}) - (1 - \chi^{\omega}(\mathbf{x})) \rho^{d} \left(\frac{1}{d} \mathbf{I} - \bar{\mathbf{x}} \otimes \bar{\mathbf{x}}\right). \tag{48}$$

Generalized localization tensor fields 3.3.

Now, consider a 2D or 3D infinitely extended matrix Ω with the thermal conductivity and resistivity tensors, \mathbf{K}^0 and \mathbf{H}^0 , in which an inclusion (or more precisely an inhomogeneity) ω made of another material with the thermal conductivity and resistivity tensors denoted by $\mathbf{K}^{(1)}$ and $\mathbf{H}^{(1)}$, is embedded. As before, the interface

 Γ between matrix and inclusion is assumed to exhibit the Kapitza thermal resistance. On the boundary $\partial\Omega$ of Ω , the following homogeneous heat flux boundary condition is prescribed

$$\mathbf{q}(\mathbf{x}) \cdot \mathbf{v}(\mathbf{x}) = \mathbf{q}^0 \cdot \mathbf{v}(\mathbf{x}),\tag{49}$$

where $\mathbf{x} \in \partial\Omega$, $\mathbf{v}(\mathbf{x})$ is the outward unit normal to $\partial\Omega$ and \mathbf{q}^0 is a constant heat flux field.

Similarly to the results obtained in the previous subsection for the Eshelby's conduction tensor with Kapitza interface thermal resistance, we proceed to show that the heat flux and intensity fields are uniform within the spherical or circular inhomogeneity i.e. $\mathbf{q}(\mathbf{x}) = \mathbf{q}^{\omega}$ and $\mathbf{e}(\mathbf{x}) = \mathbf{H}^{(1)} \cdot \mathbf{q}^{\omega}$ for any $\mathbf{x} \in \omega$. For

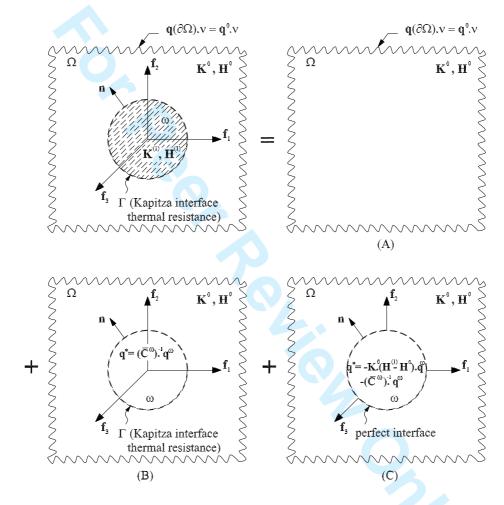


Figure 4. Decomposition of the initial heterogeneity problem into three Sub-problems (A), (B) and (C) with \mathbf{q}^{ω} being the uniform heat flux solution field of the initial heterogeneity problem within ω .

this, we decompose the inhomogeneity problem under consideration into three Sub-problems, denoted as A, B and C (see Fig. 4). Each Sub-problem and its solution are presented in detail as follows.

a) Sub – problem A

This Sub-problem is concerned with the determination of the heat flux solution field within the infinite homogeneous matrix Ω subjected to the homogeneous flux boundary condition (49) on its external surface $\partial\Omega$. Clearly, the corresponding

heat flux solution field $\mathbf{q}^{(A)}(\mathbf{x})$ is provided directly by

$$\mathbf{q}^{(A)}(\mathbf{x}) = \mathbf{q}^0 \tag{50}$$

for any $\mathbf{x} \in \Omega$.

b) Sub - problem B

Sub-problem B consists in computing the heat flux field within the infinite heterogeneous matrix medium Ω with the thermal conductivity and resistivity tensors, \mathbf{K}^0 and \mathbf{H}^0 , in which the sub-domain ω made of the same material as the matrix and surrounded by the interface Γ with Kapitza's thermal resistance is subjected to a uniform heat-free flux field $\mathbf{q}^* = (\bar{\mathbf{C}}^\omega)^{-1} \cdot \mathbf{q}^\omega$. Applying the conjugate Eshelby's conductivity tensor fields obtained in Section 3, the heat flux field is provided by

$$\mathbf{q}^{(B)}(\mathbf{x}) = \bar{\mathbf{C}}(\mathbf{x}) \cdot (\bar{\mathbf{C}}^{\omega})^{-1} \cdot \mathbf{q}^{\omega}$$
(51)

where $\mathbf{x} \in \Omega$.

c) Sub – problem C

In this problem, the sub-domain ω embedded in the infinite homogeneous matrix medium Ω is formed of the same material as the matrix, with the thermal conductivity and resistivity tensors, \mathbf{K}^0 and \mathbf{H}^0 , and the interface between ω and the external medium is assumed to be perfect. Then, we subject ω to uniform heat-free flux field $\mathbf{q}^* = -\mathbf{K}^0 \cdot (\mathbf{H}^{(1)} - \mathbf{H}^0) \cdot \mathbf{q}^\omega - (\bar{\mathbf{C}}^\omega)^{-1} \cdot \mathbf{q}^\omega$. Due to the fact that the interface is perfect, the heat flux solution field $\mathbf{q}^{(C)}(\mathbf{x})$ can be determined via the classical conjugate Eshelby's conductivity tensor field by

$$\mathbf{q}^{(C)}(\mathbf{x}) = -\mathbf{C}(\mathbf{x}) \cdot \mathbf{K}^0 \cdot (\mathbf{H}^{(1)} - \mathbf{H}^0) \cdot \mathbf{q}^{\omega} - \mathbf{C}(\mathbf{x}) \cdot (\bar{\mathbf{C}}^{\omega})^{-1} \cdot \mathbf{q}^{\omega}$$
 (52)

with $\mathbf{x} \in \Omega$.

Finally, by applying the superposition principle, the heat flux field of the inhomogeneity problem is given by

$$\mathbf{q}(\mathbf{x}) = \mathbf{q}^{(A)}(\mathbf{x}) + \mathbf{q}^{(B)}(\mathbf{x}) + \mathbf{q}^{(C)}(\mathbf{x})$$

$$= \mathbf{q}^{0} + \bar{\mathbf{C}}(\mathbf{x}) \cdot (\bar{\mathbf{C}}^{\omega})^{-1} \cdot \mathbf{q}^{\omega} - \mathbf{C}(\mathbf{x}) \cdot \mathbf{K}^{0} \cdot (\mathbf{H}^{(1)} - \mathbf{H}^{0}) \cdot \mathbf{q}^{\omega} - \mathbf{C}(\mathbf{x}) \cdot (\bar{\mathbf{C}}^{\omega})^{-1} \cdot \mathbf{q}^{\omega}$$
(53)

where $\mathbf{x} \in \Omega$.

When $\mathbf{x} \in \omega$, we have $\mathbf{q}(\mathbf{x}) = \mathbf{q}^{\omega}$. Introduction of this relation into Eq. (53) allows us to calculate \mathbf{q}^{ω} as follows:

$$\mathbf{q}^{\omega} = \bar{\mathbf{B}}^{\omega} \cdot \mathbf{q}^0, \tag{54}$$

where $\bar{\mathbf{B}}^{\omega}$, called thereafter the localization tensor for the inhomogeneity ω , has the expression

$$\bar{\mathbf{B}}^{\omega} = [\mathbf{C}^{\omega} \cdot (\bar{\mathbf{C}}^{\omega})^{-1} + \mathbf{C}^{\omega} \cdot \mathbf{K}^{0} \cdot (\mathbf{H}^{(1)} - \mathbf{H}^{0})]^{-1}, \tag{55}$$

with \mathbf{C}^{ω} and $\bar{\mathbf{C}}^{\omega}$ given by Eqs. (46) and (44), respectively.

April 3, 2011

Philosophical Magazine & Philosophical Magazine Letters

H. Le Quang, Q.-C. He and G. Bonnet

After obtaining the expression of \mathbf{q}^{ω} , the heat flux field $\mathbf{q}(\mathbf{x})$ in Eq. (53) for both inside and outside ω can be calculated by combining equations (54) and (55) with (53), so that

$$\mathbf{q}(\mathbf{x}) = \bar{\mathbf{B}}(\mathbf{x}) \cdot \mathbf{q}^0 \tag{56}$$

where $\mathbf{\bar{B}}(\mathbf{x})$, named the localization tensor field with Kapitza's interface thermal resistance, is given by:

$$\bar{\mathbf{B}}(\mathbf{x}) = \mathbf{I} - \{\mathbf{C}(\mathbf{x}) \cdot \mathbf{K}^0 \cdot (\mathbf{H}^{(1)} - \mathbf{H}^0) + [\mathbf{C}(\mathbf{x}) - \bar{\mathbf{C}}(\mathbf{x})] \cdot (\bar{\mathbf{C}}^{\omega})^{-1}\} \cdot \bar{\mathbf{B}}^{\omega}$$
 (57)

where $\bar{\mathbf{B}}^{\omega}$, $\mathbf{C}(\mathbf{x})$, $\bar{\mathbf{C}}(\mathbf{x})$ and $\bar{\mathbf{C}}^{\omega}$ are provided by Eqs. (55), (45), (43) and (44).

In the important particular case where the matrix and inclusion phases are both isotropic, their thermal conductivity and resistivity tensors are given by $\mathbf{K}^0 = k_0 \mathbf{I}$, $\mathbf{H}^0 = 1/k_0 \mathbf{I}$, $\mathbf{K}^{(1)} = k_1 \mathbf{I}$ and $\mathbf{H}^{(1)} = 1/k_1 \mathbf{I}$ with k_0 and k_1 being two positive scalars. Then, the localization tensor field $\mathbf{B}(\mathbf{x})$ has the simple expression

$$\bar{\mathbf{B}}(\mathbf{x}) = \frac{dk_1}{k_1 + (d-1)(k_0 + k_1\hat{\alpha})} \mathbf{I} \chi^{\omega}(\mathbf{x})
+ (1 - \chi^{\omega}(\mathbf{x})) \left\{ \mathbf{I} + \frac{d\rho^d [k_0 + k_1(\hat{\alpha} - 1)]}{k_1 + (d-1)(k_0 + k_1\hat{\alpha})} \left(\frac{1}{d} \mathbf{I}^{(d)} - \bar{\mathbf{x}} \otimes \bar{\mathbf{x}} \right) \right\}$$
(58)

where $\hat{\alpha}$ is defined by Eq. (41). Remark that, if $\hat{\alpha} = 0$, $\bar{\mathbf{B}}(\mathbf{x})$ reduces to the well-known classical localization tensor field $\mathbf{B}(\mathbf{x})$.

4. Effective thermal behavior

Starting from the results obtained in the previous two sections, we will derive in this section the closed-form expressions for the effective conductivity of composites accounting for the effects of the Kapitza interface thermal resistance between the matrix and inclusion phases following the dilute, Mori-Tanaka, self-consistent and generalized self-consistent schemes. For more details about these schemes in the case of perfect interfaces, the reader can refer to the review papers of Hashin [15, 17].

4.1. Dilute distribution model

Assuming that the interaction between the inhomogeneities of the composite described in Section 2 is neglected, a dilute distribution of inhomogeneities is adopted to estimate the effective thermal conductivity or resistivity tensors, \mathbf{K}^{eff} or \mathbf{H}^{eff} , respectively. For this purpose, we first let Ω be subjected to the homogeneous heat flux boundary conditions given by Eq. (49).

Under boundary condition (49) and owing to the fact that the normal component of heat flux is continuous across the interface between the matrix and inclusions phases, the macroscopic heat flux defined by Eq. (10) is obtained by

$$\mathbf{Q} = \langle \mathbf{q} \rangle = \mathbf{q}^0. \tag{59}$$

Within the framework of the dilute distribution model, the interaction between the inhomogeneities is omitted and, accounting for the results obtained for the

 Philosophical Magazine Letters

localization tensor fields in Section 3, it can be shown that the heat flux and intensity fields inside the inhomogeneity ω are uniform and given by

$$\bar{\mathbf{q}}^{(1)} = \left[\mathbf{C}^{\omega} \cdot (\bar{\mathbf{C}}^{\omega})^{-1} + \mathbf{C}^{\omega} \cdot \mathbf{K}^{(2)} \cdot (\mathbf{H}^{(1)} - \mathbf{H}^{(2)})\right]^{-1} \cdot \mathbf{q}^{0}, \tag{60}$$

$$\bar{\mathbf{e}}^{(1)} = \mathbf{H}^{(1)} \cdot \bar{\mathbf{q}}^{(1)}. \tag{61}$$

Here, $\mathbf{K}^{(i)}$ and $\mathbf{H}^{(i)}$ are thermal conductivity and resistivity second-order tensors of phase i, respectively. The conjugate Eshelby's conduction tensors without and with interface effects, \mathbf{C}^{ω} and $\bar{\mathbf{C}}^{\omega}$, inside ω are respectively given by Eqs. (46) and (44) taking into account Eqs. (33) and (34) with $\mathbf{K}^0 = \mathbf{K}^{(2)}$ and $\mathbf{H}^0 = \mathbf{H}^{(2)}$.

From Eq. (59), the volume or surface averages of the heat flux and intensity fields in the matrix phase are given by

$$\bar{\mathbf{q}}^{(2)} = c_2^{-1} (\mathbf{q}^0 - c_1 \bar{\mathbf{q}}^{(1)}), \tag{62}$$

$$\bar{\mathbf{e}}^{(2)} = \mathbf{H}^{(2)} \cdot \bar{\mathbf{q}}^{(2)},$$
 (63)

where c_1 and $c_2 = 1 - c_1$ are the volume or surface fractions of the inclusion and matrix phases, respectively. The macroscopic intensity field can be obtained from Eq. (13) and is given by

$$\mathbf{E} = c_1 \bar{\mathbf{e}}^{(1)} + c_2 \bar{\mathbf{e}}^{(2)} + c_1 \frac{\alpha}{R} \bar{\mathbf{q}}^{(1)}.$$
 (64)

Unlike the classical case where the matrix-inclusion interface is perfect, the macroscopic intensity field given by Eq. (64) is not simply the volume or surface average of the different contributions over the domain Ω . More precisely, a new term due to the discontinuity of both temperature field and normal component of the intensity field across the Kapitza interface Γ is added in Eq. (64). By introducing Eqs. (60)-(63) into Eq. (64) and by identifying the resulting expression with Eq. (8), we obtain the expression of the effective thermal conductivity tensor

$$\mathbf{K}^{DD} = \{\mathbf{H}^{(2)} + c_1[\mathbf{H}^{(1)} - \mathbf{H}^{(2)} + \frac{\alpha}{R}\mathbf{I}] \cdot [\mathbf{C}^{\omega} \cdot (\bar{\mathbf{C}}^{\omega})^{-1} + \mathbf{C}^{\omega} \cdot \mathbf{K}^{(2)} \cdot (\mathbf{H}^{(1)} - \mathbf{H}^{(2)})]^{-1}\}^{-1}.$$
(65)

It is interesting to note that when $\alpha = 0$, the expression (65) of \mathbf{K}^{DD} reduces to the well-known formula without interface effects. In the particular case where the matrix and inclusion phases are isotropic and have the thermal conductivities k_2 and k_1 , respectively, Eq. (65) leads to

$$k^{DD} = k_2 \left\{ 1 + \frac{c_1 d[k_2 - k_1 + \alpha k_1 k_2 / R]}{k_1 + (d - 1)k_2 (1 + \alpha k_1 / R)} \right\}^{-1}$$
(66)

where k^{DD} is the effective thermal conductivity of the composite for the dilute distribution model. The expression (66) for the effective thermal conductivity allows us to recover the formula derived by Benveniste and Miloh [4].

 16 H. Le Quang, Q.-C. He and G. Bonnet

4.2. Mori-Tanaka model

To account for the interaction between the heterogeneities, the Mori-Tanaka model is now applied. In this model, under the boundary conditions written as in Eq. (49), the heat flux field in the matrix and far from the inclusions is assumed to be uniform and equal to \mathbf{Q}^0 . Consequently, the heat flux and intensity fields inside the inhomogeneities ω are obtained by using Eqs. (60) and (61) with \mathbf{q}^0 being replaced by \mathbf{Q}^0 . Thus, this yields

$$\bar{\mathbf{q}}^{(1)} = \left[\mathbf{C}^{\omega} \cdot (\bar{\mathbf{C}}^{\omega})^{-1} + \mathbf{C}^{\omega} \cdot \mathbf{K}^{(2)} \cdot (\mathbf{H}^{(1)} - \mathbf{H}^{(2)}) \right]^{-1} \cdot \mathbf{Q}^{0}, \tag{67}$$

$$\bar{\mathbf{e}}^{(1)} = \mathbf{H}^{(1)} \cdot \bar{\mathbf{q}}^{(1)},\tag{68}$$

where the conjugate Eshelby's conduction tensors without and with interface effects, \mathbf{C}^{ω} and $\bar{\mathbf{C}}^{\omega}$, inside ω are respectively provided by Eqs. (46) and (44), taking into account Eqs. (33) and (34) with $\mathbf{K}^0 = \mathbf{K}^{(2)}$ and $\mathbf{H}^0 = \mathbf{H}^{(2)}$. Concerning the matrix phase, the volume or surface average intensity and heat flux fields are given by

$$\bar{\mathbf{q}}^{(2)} = \mathbf{Q}^0, \quad \bar{\mathbf{e}}^{(2)} = \mathbf{H}^{(2)} \cdot \mathbf{Q}^0.$$
 (69)

Due to the fact that $\mathbf{Q} = \langle \mathbf{q} \rangle = \mathbf{q}^0$, we can express \mathbf{Q}^0 in terms of the macroscopic heat flux field as follows

$$\mathbf{Q}^0 = \mathbf{L} \cdot \mathbf{q}^0. \tag{70}$$

with

$$\mathbf{L} = \{ c_1 [\mathbf{C}^{\omega} \cdot (\bar{\mathbf{C}}^{\omega})^{-1} + \mathbf{C}^{\omega} \cdot \mathbf{K}^{(2)} \cdot (\mathbf{H}^{(1)} - \mathbf{H}^{(2)})]^{-1} + c_2 \mathbf{I} \}^{-1}.$$
 (71)

By substituting Eqs. (67)-(71) into Eq. (64) and by identifying the resulting equation with Eq. (8), the effective thermal conductivity tensor is given by

$$\mathbf{K}^{MT} = \{\mathbf{H}^{(1)} + \frac{\alpha}{R}\mathbf{I} - c_2[\mathbf{H}^{(1)} - \mathbf{H}^{(2)} + \frac{\alpha}{R}\mathbf{I}] \cdot \mathbf{L}\}^{-1}.$$
 (72)

In the special case where the matrix and inclusion phases are isotropic and have the thermal conductivities k_2 and k_1 , respectively, we obtain from Eq. (72) the effective thermal conductivity of the composite for the Mori-Tanaka model

$$k^{MT} = k_2 \left\{ 1 + \frac{c_1 d[k_2 - k_1 + \alpha k_1 k_2 / R]}{k_1 c_1 d + c_2 [(d-1)k_2 (1 + \alpha k_1 / R) + k_1]} \right\}^{-1}.$$
 (73)

We notice that this expression for the effective thermal conductivity is identical to the one given by Benveniste [2]. Moreover, it is easy to check that when the volume or surface fraction of the inclusion is small enough $(c_1 \ll 1)$, Eq. (73) giving the effective thermal conductivity of the composite for the Mori-Tanaka model reduces to the one obtained by Eq. (66) for the dilute distribution model.

As for all estimate schemes for the effective thermal behavior of the composite, it is necessary to determine the volume or surface average of the intensity and heat flux fields inside the inhomogeneities. In the self-consistent model, the intensity and heat flux fields inside each inhomogeneity are estimated by embedding this inhomogeneity in a fictitious infinite medium which has unknown effective properties. By using the expression for the solution of the localization problem for thermal conduction described in Section 4, we can calculate the heat flux and intensity fields inside the inhomogeneity ω as follows:

$$\bar{\mathbf{q}}^{(1)} = \left[\mathbf{C}^{\omega} \cdot (\bar{\mathbf{C}}^{\omega})^{-1} + \mathbf{C}^{\omega} \cdot \mathbf{K}^{SC} \cdot (\mathbf{H}^{(1)} - \mathbf{H}^{SC})\right]^{-1} \cdot \mathbf{q}^{0},\tag{74}$$

$$\bar{\mathbf{e}}^{(1)} = \mathbf{H}^{(1)} \cdot \bar{\mathbf{q}}^{(1)}.$$
 (75)

In these expressions, \mathbf{C}^{ω} and $\bar{\mathbf{C}}^{\omega}$ are given by Eqs. (46) and (44) together with Eqs. (33) and (34) in which \mathbf{K}^0 and \mathbf{H}^0 are replaced by the unknown effective thermal conductivity and resistivity tensors, \mathbf{K}^{SC} and \mathbf{H}^{SC} , respectively.

As in the dilute distribution model, owing to the fact that $\mathbf{Q} = \langle \mathbf{q} \rangle = \mathbf{q}^0$, the volume or surface averages of the heat flux and intensity fields in the matrix phase are given by

$$\bar{\mathbf{q}}^{(2)} = c_2^{-1} (\mathbf{q}^0 - c_1 \bar{\mathbf{q}}^{(1)}), \tag{76}$$

$$\bar{\mathbf{e}}^{(2)} = \mathbf{H}^{(2)} \cdot \bar{\mathbf{q}}^{(2)}. \tag{77}$$

Introducing Eqs. (74)-(77) into Eq. (64) and taking into account the macroscopic behavior expressed by Eq. (8), the effective thermal conductivity tensor is obtained from a quadratic equation in \mathbf{K}^{SC} which can be written as

$$\mathbf{K}^{SC} = \{\mathbf{H}^{(2)} + c_1[\mathbf{H}^{(1)} - \mathbf{H}^{(2)} + \frac{\alpha}{R}\mathbf{I}] \cdot [\mathbf{C}^{\omega} \cdot (\bar{\mathbf{C}}^{\omega})^{-1} + \mathbf{C}^{\omega} \cdot \mathbf{K}^{SC} \cdot (\mathbf{H}^{(1)} - \mathbf{H}^{SC})]^{-1}\}^{-1}.$$
(78)

This second order polynomial matrix equation provides the effective conductivity tensor \mathbf{K}^{SC} . If the matrix and inclusion phases are isotropic and characterized by the thermal conductivities k_2 and k_1 , Eq. (78) is reduced to a quadratic equation

$$k^{SC} = k_2 \left\{ 1 + \frac{c_1 d[k_2 - k_1 + \alpha k_1 k_2/R]}{k_1 + (d-1)k^{SC}(1 + \alpha k_1/R)} \right\}^{-1},$$
 (79)

and the effective thermal conductivity k^{SC} is given by the real positive root of Eq. (79).

Generalized self-consistent model

The model proposed in this section does not use the Eshelby's tensor fields and localization tensor fields but is presented here to provide results allowing a comparison with those coming from the three models described above. It can be considered April 3, 2011

 H. Le Quang, Q.-C. He and G. Bonnet

Philosophical Magazine & Philosophical Magazine Letters

as an extension to the thermal conduction problem with interface effects of the classical GSCM for elasticity which was initiated by Van der Poel [42], improved and completed by Smith [38, 39] and Christensen and Lo [9].

According to this model, we first consider an infinite three-dimensional medium M consisting of the effective homogeneous and isotropic medium whose thermal behavior is characterized by Eq. (8) in which the effective thermal conductivity tensor is denoted by $\mathbf{K}^{eff} = k^{GSCM}\mathbf{I}^{(3)}$. As before, let M be subjected to the uniform boundary conditions Eq. (49) in which the constant heat flux vector \mathbf{q}^0 is chosen such as $\mathbf{q}^0 = (0,0,q^0)^T$ where q^0 is a constant heat flux field (Fig. 2). In the system of spherical coordinates (r,θ,φ) corresponding to the spherical orthogonal basis $(\mathbf{f}_r,\mathbf{f}_\theta,\mathbf{f}_\varphi)$, this uniform boundary condition takes the equivalent form

$$\mathbf{q}^{0}(\mathbf{x}) = q^{0}(\cos\theta \mathbf{f}_{r} - \sin\theta \mathbf{f}_{\theta}), \quad \mathbf{x} \in \partial M.$$
(80)

This boundary condition gives rise to the following temperature, intensity and heat flux fields at point \mathbf{x} of M

$$T^{0}(\mathbf{x}) = -\frac{q^{0}}{k^{GSCM}} r \cos \theta,$$

$$\mathbf{e}^{0}(\mathbf{x}) = \frac{q^{0}}{k^{GSCM}} (\cos \theta \mathbf{f}_{r} - \sin \theta \mathbf{f}_{\theta}),$$

$$\mathbf{q}^{0}(\mathbf{x}) = q^{0} (\cos \theta \mathbf{f}_{r} - \sin \theta \mathbf{f}_{\theta}).$$
(81)

Let us introduce the following energy $U_0(\mathbf{q}^0)$ of M (see e.g. Hashin [16])

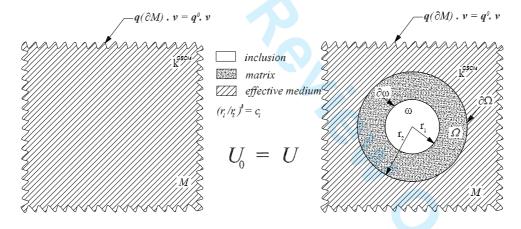


Figure 5. Generalized self-consistent model applied to thermal conduction problem.

$$U_0(\mathbf{q}^0) = \int_M \mathbf{q}^0(\mathbf{x}) \cdot \mathbf{e}^0(\mathbf{x}) d\mathbf{x} = vol(M)(q^0)^2 / k^{GSCM}.$$
 (82)

Next, we cut a sphere out of the foregoing infinite effective medium and substitute into its place a composite sphere Ω , while applying the same boundary condition on ∂M as before. The interface between the composite sphere and the outside medium is assumed to be perfect. The core of this composite sphere is made of the inclusion phase, referred to as phase 1 and surrounded by a concentric shell consisting of the matrix phase, denoted by phase 2. The core and outer coating consist of two isotropic materials whose thermal conductivities are k_1 and k_2 . The radii of the

Philosophical Magazine & Philosophical Magazine Letters

Philosophical Magazine Letters

core and coating, symbolized by r_1 and r_2 are chosen so as to be compatible with the prescribed phase volume fraction

$$c_1 = 1 - c_2 = \frac{r_1^3}{r_2^3}. (83)$$

The 3D spherical interface Γ between the matrix and inclusion is modeled by the Kapitza interface thermal resistance as described in Section 2.

Under boundary condition (80), the expressions of the temperature field, non-zero intensity and heat flux field components are given by (see, e.g. Hervé [24])

$$T^{(i)} = -\left(a_i r + \frac{b_i}{r^2}\right) \cos \theta,\tag{84}$$

$$e_r^{(i)} = \left(a_i - \frac{2b_i}{r^3}\right)\cos\theta, \ e_\theta^{(i)} = -\left(a_i + \frac{b_i}{r^3}\right)\sin\theta,\tag{85}$$

$$q_r^{(i)} = k_i \left(a_i - \frac{2b_i}{r^3} \right) \cos \theta, \ q_\theta^{(i)} = -k_i \left(a_i + \frac{b_i}{r^3} \right) \sin \theta.$$

$$(86)$$

with i=1 referring to the core, i=2 to the outer coating and i=e to the external effective medium. In these expressions, a_i and b_i are constants to be determined from the boundary and interface conditions together with a condition avoiding the displacement singularity in the core of the composite sphere. More precisely, the requirement of the temperature in the core at r=0 to be finite implies that $b_1=0$. The value of a_e , determined by using the boundary condition (80) with $r \to \infty$, is given by $a_e = q^0/k^{GSCM}$.

At the interface between the core and the coating, the normal component of heat flux field is continuous, so that

$$k_2 \left(a_2 - \frac{2b_2}{r_1^3} \right) = k_1 a_1. \tag{87}$$

The surface condition (4) at the interface between the core and the coating can be specified by :

$$\left(a_2 + \frac{b_2}{r_1^3}\right) - a_1 = \hat{\alpha} \left(a_2 - \frac{2b_2}{r_1^3}\right),\tag{88}$$

with $\hat{\alpha} = \alpha k_2/r_1$.

The interface at $r=r_2$ between the coating matrix and outside effective medium is perfectly bonded. Thus, the continuity conditions of both temperature field T and normal component heat flux field q_r across the interface at $r=r_2$ are expressed as

$$\frac{q^0}{k^{GSCM}} + \frac{b_e}{r_2^3} = a_2 + \frac{b_2}{r_2^3},\tag{89}$$

$$k^{GSCM} \left(\frac{q^0}{k^{GSCM}} - \frac{2b_e}{r_2^3} \right) = k_2 \left(a_2 - \frac{2b_2}{r_2^3} \right). \tag{90}$$

As in the GSCM of Christensen and Lo [9] in the context of elasticity, the effective

)

thermal conductivity is required to be such that the energy $U(\mathbf{q}^0)$ after introducing the sphere composite is equal to the initial one $U_0(\mathbf{q}^0)$ which is given by Eq. (82). On the other hand, we can show that the actual energy $U(\mathbf{q}^0)$ can be expressed in terms of $U_0(\mathbf{q}^0)$ as follows

Philosophical Magazine & Philosophical Magazine Letters

$$U = U_0 - \int_{\Gamma} (\mathbf{q}^0 T^{(e)} - \mathbf{q}^{(e)} T^0) \cdot \mathbf{n} d\mathbf{x}.$$
(91)

Thus, the self-consistency condition $U_0 = U$ is reduced to

$$\int_{\Gamma} (\mathbf{q}^0 T^{(e)} - \mathbf{q}^{(e)} T^0) \cdot \mathbf{n} d\mathbf{x} = 0.$$
(92)

Substituting Eqs. (80), (81), (84) and (84) with i = e into Eq. (92), we obtain the simple equation

$$b_e = 0. (93)$$

Finally, substituting $b_e = 0$ into Eqs. (87), (88), (89) and (90), we obtain a system of four homogeneous linear equations for the four unknowns a_1 , a_2 , b_2 and q^0 . A non-trivial solution to this system exists if and only if the determinant of the relevant 4×4 matrix is equal to zero. This necessary and sufficient condition yields the expression for the effective thermal conductivity as follows:

$$k^{GSCM} = k_2 \left\{ 1 + \frac{3c_1[k_2 + (\hat{\alpha} - 1)k_1]}{3k_1c_1 + c_2[2(k_2 + \hat{\alpha}k_1) + k_1]} \right\}^{-1}.$$
 (94)

We remark that the effective thermal conductivity obtained by Eq. (94) depends not only on the phase thermal properties and volume fractions but also on the interface thermal conductivity and on the size of the inhomogeneities through $\hat{\alpha}$. When setting $\hat{\alpha} = 0$, the expression (94) of k^{GSCM} reduces to the one obtained by Hashin and Shtrikman [20] or Hervé [24] without interface effects.

In the 2D case, the corresponding effective thermal conductivity is given by

$$k^{GSCM} = k_2 \left\{ 1 + \frac{2c_1[k_2 + (\hat{\alpha} - 1)k_1]}{2k_1c_1 + c_2[k_2 + (\hat{\alpha} + 1)k_1]} \right\}^{-1}.$$
 (95)

The details of the derivation are omitted here.

Finally, it is convenient to express (94) and (95) for k^{GSCM} by the following compact one:

$$k^{GSCM} = k_2 \left\{ 1 + \frac{c_1 d[k_2 + (\hat{\alpha} - 1)k_1]}{k_1 c_1 d + c_2 [(d - 1)(k_2 + \hat{\alpha}k_1) + k_1]} \right\}^{-1}, \tag{96}$$

where d = 3 or 2) is the dimension of the problem. Compared Eq. (96) with Eq. (73) for the case where the matrix and inclusion phases are isotropic, we notice that $k^{GSCM} = k^{MT}$. This remark has been also mentioned in the work of Benveniste ([2]) for the tridimensional case.

Philosophical Magazine & Philosophical Magazine Letters

Philosophical Magazine Letters

5. Numerical examples

This section is dedicated to numerically illustrate the features of the results obtained above. The first example is related to the plane problem of a circular inhomogeneity having the radius $R=1\mu m$ located within a 2D host matrix phase. The matrix and inclusion phases are both assumed to be anisotropic. Additionally, the thermal conductivity tensors, $\mathbf{K}^{(1)}[Wm^{-1}K^{-1}]$ of the inclusion and $\mathbf{K}^{(2)}[Wm^{-1}K^{-1}]$ of the matrix, are provided by :

$$\mathbf{K}^{(1)} = \begin{pmatrix} 1.5 & -0.5 \\ -0.5 & 1.5 \end{pmatrix}, \quad \mathbf{K}^{(2)} = \begin{pmatrix} 0.15 & 0.05 \\ 0.05 & 0.15 \end{pmatrix}$$
(97)

relative to the orthonormal basis $\{\mathbf{f}_1, \mathbf{f}_2\}$ and by

$$\mathbf{K}^{'(1)} = \begin{pmatrix} 1 & 0 \\ 0 & 2 \end{pmatrix}, \quad \mathbf{K}^{'(2)} = \begin{pmatrix} 0.2 & 0 \\ 0 & 0.1 \end{pmatrix}$$

$$(98)$$

according to the orthonormal basis $\{\mathbf{f}_1' = \frac{1}{\sqrt{2}}(\mathbf{f}_1 + \mathbf{f}_2), \mathbf{f}_2' = \frac{1}{\sqrt{2}}(\mathbf{f}_2 - \mathbf{f}_1)\}$ which is obtained from the orthonormal basis $\{\mathbf{f}_1, \mathbf{f}_2\}$ by an anti-clockwise rotation of angle $\pi/4$.

Concerning the thermal parameters of the interface, we choose different values of the Kapitza interface thermal resistance α ranging from $10^{-11}m^2K/W$ (nearly perfect interface) to $10^{-3}m^2K/W$ (nearly insulating interface).

Next, by applying a uniform heat flux vector $\mathbf{q}^0 = (1,0)^T [W/m^2]$ at the boundary of the heterogeneous medium consisting of a circular inclusion with radius $R = 1\mu m$ embedded in a square matrix domain $10\mu m \times 10\mu m$ and $20\mu m \times 20\mu m$ and by assuming the interface between the matrix and inclusion to be imperfect with Kapitza thermal resistance $\alpha = 10^{-3}$, 10^{-5} , 10^{-6} and $10^{-11}m^2K/W$, we calculate first the heat flux field in the inclusion and matrix phases from the closed-form solution of section 3 corresponding to an infinite matrix. Then, these values obtained for the heat flux field are compared with the ones given by using the Finite Element Method (FEM) with Comsol Multiphysics 3.5 for the meshes described in Fig. 6. A temperature compatible with a constant intensity field is applied at the boundary of the model and Fig. 7 provides the temperature distribution along the axis parallel to the given intensity field obtained from the FEM results provided by using the mesh described in Fig. 6a. Owing to the fact that the phase configurations are dilute, it is meaningful and efficient to compare the analytical estimates obtained for homogeneous flux boundary conditions with the numerical results delivered by the FEM for homogeneous intensity boundary. It can be seen from Fig. 7 that any modelling provides a resulting heat flux field which is uniform within the inclusion. Due to the higher value of conductivity taken within the inclusion, it can be observed that the heat flux inside the inclusion can be higher (for small interface effect) or smaller (for high interface effect) than the heat flux at infinity.

However, we observe also from Fig. 7 that there is a difference for the heat flux field inside the inclusion and matrix phases between both solutions. This difference can be explained by the fact that the closed-form solution obtained in Section 3 is related to a matrix extending to infinity while the numerical solution obtained by FEM is calculated for a finite square domain. A second computation was performed for the mesh defined in Fig.6b where the size of the square domain is increased from $10\mu m \times 10\mu m$ to $20\mu m \times 20\mu m$. As for the first example, we observe from Fig. 8 that the heat flux field is uniform in the inclusion. It can be seen now from Fig. 8 that the proposed analytical solution presents a complete agreement with the

Philosophical Magazine & Philosophical Magazine Letters

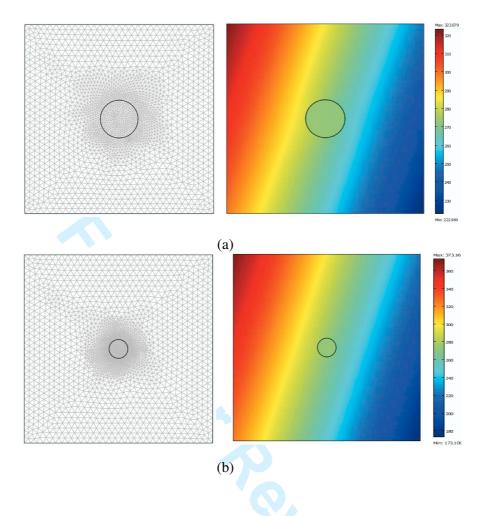


Figure 6. Meshing and temperature solution field distributed in the matrix and inclusion phases for the heterogeneity problem undergoing a uniform heat flux vector $\mathbf{q}^0 = (1,0)^T [W/m^2]$ at the boundary with $\alpha = 10^{-5} m^2 K/W$ and solved by FEM: (a) Case 1 with inclusion radius $R = 1\mu m$ embedded in a square matrix domain $10\mu m \times 10\mu m$; (b) Case 2 with inclusion radius $R = 1\mu m$ embedded in a square matrix domain $20\mu m \times 20\mu m$.

numerical solution by FEM both inside and outside the inclusion, meaning that the size of the square domain is now such that the solution is no more affected by the limited extension of the meshed domain.

A second numerical example is provided in order to study the size-dependence of the effective thermal conductivity of the composite. In this example, the matrix and inclusion phases are assumed to be both isotropic and the inhomogeneity radius R takes different values between 1 and $50\mu m$ while the inhomogeneity volume fraction is kept constant. Additionally, the inclusion phase is supposed to be less conducting than the matrix one. The thermal conductivities of the matrix and of the inhomogeneities are :

$$k_1 = 0.1Wm^{-1}K^{-1}, \quad k_2 = 1Wm^{-1}K^{-1}.$$
 (99)

The ratio k^{eff}/k_c^{eff} , where k_c^{eff} is computed without taking into account the interface and k^{eff} is obtained from various models of section 4, is plotted in Fig. 9 in terms of the inhomogeneity radius R. k^{eff} is the effective thermal conductivity

Philosophical Magazine Letters

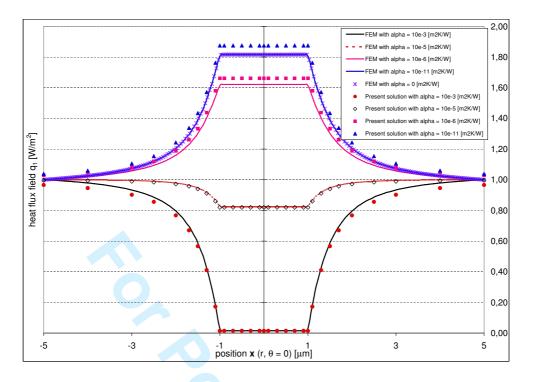


Figure 7. The heat flux fields in the matrix and inclusion obtained by the present analytical method and by FEM for Case 1 with inclusion radius $R = 1\mu m$ embedded in a square matrix domain $10\mu m \times 10\mu m$.

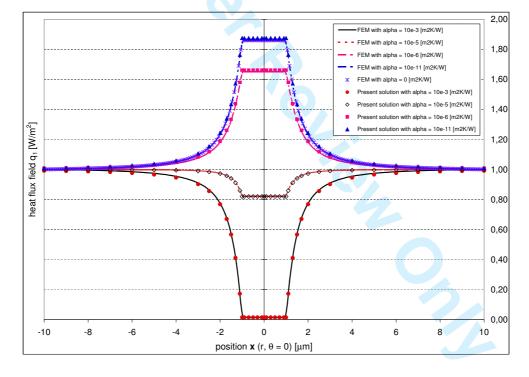


Figure 8. The heat flux fields in the matrix and inclusion obtained by the present analytical method and by FEM for Case 2 with inclusion radius $R = 1\mu m$ embedded in a square matrix domain $20\mu m \times 20\mu m$.

calculated by Eqs. (66), (73), (79) and (96) with $\alpha = 10^{-5} m^2 K/W$ according to the dilute distribution, Mori-Tanaka, self-consistent or generalized self-consistent models, respectively. It can be seen from Fig. 9 that: (i) k^{eff} depends on the inhomogeneity radius R while k_c^{eff} (corresponding to the "surface C" case) is obviously independent of R; (ii) the difference between k^{eff} and k_c^{eff} decreases when R in-

creases; (iii) the surface effect becomes negligible when R is larger than 50 μm .

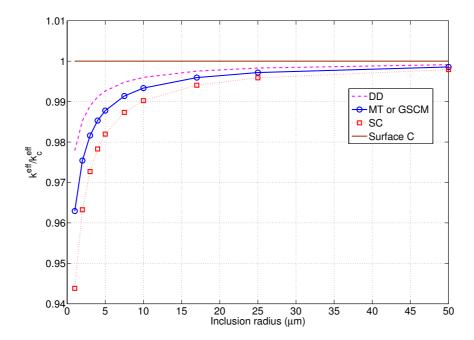


Figure 9. The ratio k^{eff}/k_c^{eff} versus the inclusion radius $R(\mu m)$ with volume fraction of inclusions $c_1 = 0.3$ and Kapitza thermal resistance $\alpha = 10^{-5} m^2 K/W$.

The ratio k^{eff}/k_c^{eff} in terms of the inhomogeneity volume fraction c_1 is presented in Fig. 10 for an inhomogeneity radius $R = 1 \mu m$ and a Kapitza thermal resistance $\alpha = 10^{-5} m^2 K/W$. It can be seen from Fig. 10 that when the inhomogeneity volume fraction increases, the values of the ratio k^{eff}/k_c^{eff} obtained from closed-form solutions decrease with the the concentration of inclusions. This result depicts a size effect which increases with the concentration. In addition, all models give the same results for low concentrations (below, say, 0.1), but differ for higher concentrations.

Concluding remarks

Being complementary with the recent work of Le Quang et al. [29] devoted to the highly conducting imperfect interface model, the present work is based on Kapitza's concept of thermal resistance and contributes to the development of the micromechanics of inhomogeneous materials with imperfect interfaces. However, both problems have quite different solutions because, in the present work, it is noteworthy that the solution has been obtained by using an extension to conduction problems of the classical Eshelby's inclusion problem in the case of a polynomial heat free intensity field.

In the context of elasticity, Eshelby's problem for a spherical inclusion embedded in an infinite matrix via an interface characterized by the linear spring-layer model was solved, in the case where the constituent material of the matrix is isotropic, by Hashin [19] and Zhong and Meguid [46] who showed that Eshelby's strain tensor field inside the spherical inclusion is generally no longer uniform. In Philosophical Magazine Letters

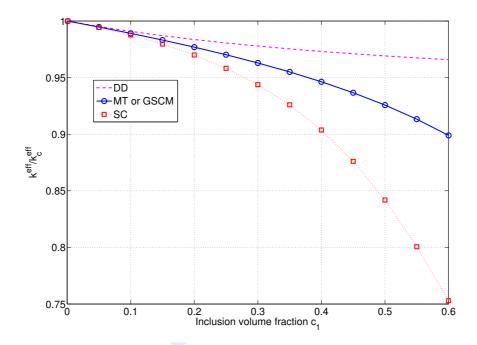


Figure 10. The ratio k^{eff}/k_c^{eff} versus the inclusion volume fraction c_1 with inclusion radius $R=1\mu m$ and Kapitza thermal resistance $\alpha = 10^{-5} m^2 K/W$.

the case with anisotropic elastic material constituting the matrix, Qu [36] obtained a semi-analytical solution by using an iterative computation, leading again to a non-uniform strain field inside the inclusion. Thus, Eshelby's formalism allowing the transformation of an inhomogeneity problem into an inclusion problem is no more valid. This is because Eshelby's formalism is based on the uniformity of the strain field inside an ellipsoidal inclusion embedded in an infinite matrix and subjected to a prescribed uniform eigenstrain. Unlike the results of Hashin [19], Zhong and Meguid [46] and Qu [36] for elasticity, the results given in the present paper for thermal conduction show that the temperature gradient field inside a circular or spherical inclusion remains uniform even in the presence of a Kapitza interface and holds for any thermal anisotropy of materials constituting the matrix and the inclusions. This fact allows to preserve the Eshelby's formalism and to apply some well-known micromechanical schemes to obtain closed-form expressions for the effective conductivity of composites with Kapitza thermal interface resistance.

Finally, it is well known that due to the physical analogy existing between the different transport phenomena, the approach elaborated and results obtained by the present work for the thermal conduction phenomenon are straightforwardly transposable to other classes of problems such as electric conduction, dielectrics, magnetism, diffusion and flow in porous media. Moreover, owing to the close relation existing between the depolarization tensor in electrostatics and Eshelby's conduction tensor under consideration (see e.g. [45] and [14]), all results previously obtained for the thermal conduction phenomenon can be directly generalized to the electromagnetic phenomenon. Additionally, since a mathematical correspondence between anti-plane elasticity and 2D thermal conduction exists, new results for anti-plane anisotropic elasticity can be deduced directly from the 2D anisotropic results derived above.

3 4

5

6

7

8 9

10

11

12

13

14

15

16

17

18 19

20

21

22

23

24

25

26

27

28

29 30 31

32 33 34

35 36

37 38

39 40

41

42

43

44 45

46 47 48

49 50

51

52 53 54

55

56

57 58

59 60

Appendix: temperature field induced by a polynomial heat-free intensity inside an ellipsoidal inclusion

The intensity field induced by a constant heat-free intensity inside an ellipsoidal inclusion is obtained from tensor N_{mij} defined in Eq. (29) which is related to a linearly varying heat-free intensity inside an ellipsoidal inclusion. In this appendix, similarly to the generalized Eshelby's results in the case of elasticity (see e.g. Asaro and Barnett [1] and Mura [33]) we show in the thermal conduction Eshelby's problem with perfect interfaces that: when an ellipsoidal region in an infinite anisotropic medium undergoes a heat-free intensity $e^*(\mathbf{x})$ which is a polynomial of degree M in the position coordinates x. then the resulting intensity and heat flux fields in this ellipsoidal region are also polynomials of degree M in \mathbf{x} .

The results described in [33] and [1] are completely developed in the case of elasticity, more specifically in the description of the strain field outside inclusions produced by polynomial eigenstrains of order 0 and 1. These results cannot however be easily extended to the case of conduction problems. However, the process leading to the solution described in the previously cited references can be used again. The main difference is now that, instead of using harmonic and biharmonic potentials as in [33] and [1], only harmonic potentials are now necessary.

As described above in Section 3, we consider now a subdomain ω embedded in a 3D infinitely extended domain Ω constituting of a homogeneous material whose thermal conductivity and resistivity tensors are \mathbf{K}^0 and \mathbf{H}^0 , respectively. The interface Γ between inclusion ω and external medium is assumed to be perfect.

By using the Green function, G(y-x) and by applying the divergence theorem, the temperature field $T(\mathbf{x})$ produced by $\mathbf{e}^*(\mathbf{x})$ can be expressed in terms of $G(\mathbf{y} - \mathbf{x})$ and $e^*(x)$ by (see e.g. Le Quang et al. [28])

$$T(\mathbf{x}) = -\int_{\omega} G_{,j}(\mathbf{y} - \mathbf{x})q_j^*(\mathbf{y})d\mathbf{y},$$
 (A-1)

where the heat flux field $\mathbf{q}^*(\mathbf{x})$ relates to the heat-free intensity $\mathbf{e}^*(\mathbf{x})$ by

$$q_j^*(\mathbf{x}) = -K_{ji}^0 e_i^*(\mathbf{x}), \text{ for } \mathbf{x} \in \omega.$$
 (A-2)

It is clear from the last relation that if $e^*(\mathbf{x})$ is a polynomial of degree M in the position coordinates x then the heat flux field $\mathbf{q}^*(\mathbf{x})$ is also a polynomial of degree M in \mathbf{x} .

By using the property (26) of G(y-x), the resulting intensity field components are given by

$$e_p(\mathbf{x}) = -\frac{\partial T(\mathbf{x})}{\partial x_p} = -\frac{\partial^2}{\partial x_p \partial x_j} \int_{\omega} G(\mathbf{y} - \mathbf{x}) q_j^*(\mathbf{y}) d\mathbf{y},$$
 (A-3)

Now, this equation can be written in Fourier space as follows

$$\hat{e}_p(\boldsymbol{\xi}) = |\boldsymbol{\xi}|^2 z_p z_j \hat{G}(\boldsymbol{\xi}) \int_{\omega} q_j^*(\mathbf{y}) \exp(i |\boldsymbol{\xi}| \mathbf{z} \cdot \mathbf{y}) d\mathbf{y}, \tag{A-4}$$

where $i = \sqrt{-1}$, ξ is the discrete wave vector and $\mathbf{z} = \xi/|\xi|$ with $|\bullet| = (\bullet_s \bullet_s)^{1/2}$. The Fourier transform $\hat{G}(\xi)$ of $G(\mathbf{y} - \mathbf{x})$ can be derived from Eq. (17) and is given by

$$\hat{G}(\boldsymbol{\xi}) = -k^*(\mathbf{z})/\left|\boldsymbol{\xi}\right|^2,\tag{A-5}$$

with $k^*(\mathbf{z})=(K_{ij}^0z_iz_j)^{-1}$. The Fourier inversion of Eq. (A-4) , using Eq. (A-5) is given by

$$e_{p}(\mathbf{x}) = -(2\pi)^{-3} \int_{\Theta} z_{p} z_{j} k^{*}(\mathbf{z}) d\mathbf{z} \int_{0}^{\infty} |\mathbf{\xi}|^{2} \exp(-i|\mathbf{\xi}|\mathbf{z} \cdot \mathbf{x}) d|\mathbf{\xi}|$$

$$\times \int_{\omega} q_{j}^{*}(\mathbf{y}) \exp(i|\mathbf{\xi}|\mathbf{z} \cdot \mathbf{y}) d\mathbf{y}$$
(A-6)

where Θ is the surface of the unit sphere.

Next, owing to the fact that

$$\nabla_x^2 \cos\{|\mathbf{\xi}| \mathbf{z} \cdot (\mathbf{y} - \mathbf{x})\} = -|\mathbf{\xi}|^2 \cos\{|\mathbf{\xi}| \mathbf{z} \cdot (\mathbf{y} - \mathbf{x})\},\tag{A-7}$$

and

$$\int_0^\infty \cos\{|\mathbf{\xi}|\,\mathbf{z}\cdot(\mathbf{y}-\mathbf{x})\}d\,|\mathbf{\xi}| = \pi\delta[\mathbf{z}\cdot(\mathbf{y}-\mathbf{x})],\tag{A-8}$$

where

$$\nabla_x^2 \bullet = \frac{\partial^2 \bullet}{\partial x_s \partial x_s},\tag{A-9}$$

Eq. (A-6) can be rewritten in the following equivalent form

$$e_p(\mathbf{x}) = \frac{1}{8\pi^2} \int_{\Theta} z_p z_j k^*(\mathbf{z}) d\mathbf{z} \nabla_x^2 \int_{\omega} q_j^*(\mathbf{y}) \delta(\mathbf{z} \cdot \mathbf{y} - \mathbf{z} \cdot \mathbf{x}) d\mathbf{y}.$$
 (A-10)

We remark that this equation holds for an arbitrary thermal anisotropy of material forming Ω and for an arbitrary closed inclusion ω . However, we are interested now in the important case where ω is an ellipsoidal inclusion and can be expressed by the following equation of its surrounding surface:

$$\sum_{\gamma=1}^{3} x_{\gamma}^{2} / a_{\gamma}^{2} = 1, \tag{A-11}$$

where a_{γ} are semi principal axes of the ellipsoidal inclusion.

Owing to the fact that a polynomial of degree M in $\{x_i\}$ remains a polynomial of same degree M under any change of a system of Cartesian coordinates $\{x_i\}$ by rotation or translation, without loss of generality, we can choose a system of Cartesian coordinates $\{x_i\}$ such that the $\{x_i\}$ axes coincide with the principal axes of the ellipsoidal inclusion.

Next, in order to calculate the intensity field $e_p(\mathbf{x})$ given by Eq. (A-10), the polynomial $q_i^*(\mathbf{y})$ of degree M can be expressed in the following general form

$$q_j^*(\mathbf{y}) = \sum_{r=0}^M A_{ji_1i_2...i_r} y_{i_1} y_{i_2}...y_{i_r},$$
(A-12)

where $A_{ji_1i_2...i_r}$ are constant.

 H. Le Quang, Q.-C. He and G. Bonnet

Substituting Eq. (A-12) into Eq. (A-10), we have

 $a_1a_2a_3$ $\left(\sum_{r=2}^{M} -2r(r)\right)$

$$e_p(\mathbf{x}) = \frac{a_1 a_2 a_3}{8\pi^2} \int_{\Theta} g_{pj}(\mathbf{z}) \left\{ \sum_{r=0}^{M} \nabla_x^2 I_j^{(r)}(\mathbf{x}, \mathbf{z}) \right\} d\mathbf{z}, \tag{A-13}$$

with

$$g_{pj}(\mathbf{z}) = z_p z_j k^*(\mathbf{z}), \tag{A-14}$$

$$I_j^{(r)}(\mathbf{x}, \mathbf{z}) = \frac{A_{ji_1i_2...i_r}}{a_1a_2a_3} \int_{\omega} y_{i_1}y_{i_2}...y_{i_r} \delta[\mathbf{z} \cdot (\mathbf{y} - \mathbf{x})] d\mathbf{y}.$$
(A-15)

It can be shown, by the change of variables

$$t_{\gamma} = \frac{x_{\gamma}}{a_{\gamma}}, \quad \tau_{\gamma} = \frac{y_{\gamma}}{a_{\gamma}},$$
 (A-16)

where the summation convention rule does not apply for index γ , that the integral (A-15) reduces to an integral over the unit sphere, $|\tau| \leq 1$, i.e.,

$$I_{j}^{(r)}(\mathbf{x}, \mathbf{z}) = \sum_{i_{1}, i_{2}, \dots, i_{r}}^{3} A_{j i_{1} i_{2} \dots i_{r}} a_{i_{1}} a_{i_{2}} \dots a_{i_{r}} \int_{|\mathbf{t}| \leq 1} \tau_{i_{1}} \tau_{i_{2}} \dots \tau_{i_{r}} \delta[\mu(\mathbf{s} \cdot \mathbf{\tau} - \mathbf{s} \cdot \mathbf{t})] d\mathbf{\tau},$$
(A-17)

with

$$\mu = \left\{ \sum_{\gamma}^d a_{\gamma}^2 z_{\gamma}^2 \right\}^{1/2}, \ s_{\gamma} = \frac{z_{\gamma} a_{\gamma}}{\mu} \quad \text{(no sum on } \gamma\text{)}.$$

By transformation of variable z by s in Eq. (A-13), we obtain the following equivalent form

$$e_p(\mathbf{x}) = \frac{1}{8\pi^2} \int_{\Theta} \mu^3 g_{pj}(\mathbf{z}) \left\{ \sum_{r=0}^M \nabla_x^2 I_j^{(r)}(\mathbf{x}, \mathbf{z}) \right\} d\mathbf{s}, \tag{A-18}$$

with $I_j^{(r)}(\mathbf{x}, \mathbf{z})$ given by Eq. (A-17).

Explicit computation of the intensity field e(x)

In the following, the previous results, and more specifically (A-17) and (A-18) are provided in a more explicit form. They are studied in a first step for interior points and next for exterior points.

(i) For interior points of the inclusion ω

We note that the delta function $\delta[\mu(\mathbf{s} \cdot \boldsymbol{\tau} - \mathbf{s} \cdot \mathbf{t})] = \frac{1}{\mu} \delta(\mathbf{s} \cdot \boldsymbol{\tau} - \mathbf{s} \cdot \mathbf{t})$ is non-zero only when $\mathbf{s} \cdot \boldsymbol{\tau} - \mathbf{s} \cdot \mathbf{t} = 0$. When \mathbf{x} is inside the inclusion ω , or equivalently when $|\mathbf{t}| \leq 1$, then $|\mathbf{s} \cdot \mathbf{t}| \leq 1$ and $\mathbf{s} \cdot \boldsymbol{\tau} - \mathbf{s} \cdot \mathbf{t} = 0$ if and only if $\boldsymbol{\tau}$ takes the following form:

$$\tau = \eta(\mathbf{u}\cos\psi + \mathbf{v}\sin\psi) + (\mathbf{s}\cdot\mathbf{t})\mathbf{s},\tag{A-19}$$

Page 29 of 35

Philosophical Magazine Letters

where $0 \le \eta \le \sqrt{1 - (\mathbf{s} \cdot \mathbf{t})^2}$ and \mathbf{u} and \mathbf{v} are two orthonormal basis vectors of the plane normal to s, while ψ is a polar angle in this plane. Thus, the volume integral (A-15) reduces to an integral over a plane circular region of radius $\sqrt{1-(\mathbf{s}\cdot\mathbf{t})^2}$ as follows

$$I_j^{(r)}(\mathbf{x}, \mathbf{z}) = \frac{1}{\mu} \sum_{i_1, i_2, \dots, i_r}^{3} A_{j i_1 i_2 \dots i_r} a_{i_1} a_{i_2} \dots a_{i_r} \int_0^{2\pi} J^{(r)}(\mathbf{s}, \mathbf{t}, \psi) d\psi \qquad (A-20)$$

where

$$J^{(r)}(\mathbf{s}, \mathbf{t}, \psi) = \int_0^{\sqrt{1 - (\mathbf{s} \cdot \mathbf{t})^2}} [\eta h_{i_1} + (\mathbf{s} \cdot \mathbf{t}) s_{i_1}] [\eta h_{i_2} + (\mathbf{s} \cdot \mathbf{t}) s_{i_2}] ... [\eta h_{i_r} + (\mathbf{s} \cdot \mathbf{t}) s_{i_n}] \eta d\eta,$$
(A-21)

with $\mathbf{h} = \cos \psi \mathbf{u} + \sin \psi \mathbf{v}$.

Applying the binomial theorem for $J^{(r)}(\mathbf{s}, \mathbf{t}, \psi)$ yields

$$J^{(r)}(\mathbf{s}, \mathbf{t}, \psi) = \int_0^{\sqrt{1 - (\mathbf{s} \cdot \mathbf{t})^2}} \sum_{\kappa = 0}^r \eta^{\kappa + 1} (\mathbf{s} \cdot \mathbf{t})^{r - \kappa} \begin{pmatrix} r \\ \kappa \end{pmatrix} f_{i_1, i_2, \dots, i_r}^{(\kappa)}(\psi) d\eta, \quad (A-22)$$

with

$$f_{i_{1},i_{2},...,i_{r}}^{(0)}(\psi) = s_{i_{1}}s_{i_{2}}...s_{i_{r}},$$

$$f_{i_{1},i_{2},...,i_{r}}^{(1)}(\psi) = h_{i_{1}}s_{i_{2}}...s_{i_{r}} + s_{i_{1}}h_{i_{2}}...s_{i_{r}} + ... + s_{i_{1}}s_{i_{2}}...h_{i_{r}},$$

$$\vdots$$

$$f_{i_{1},i_{2},...,i_{r}}^{(r)}(\psi) = h_{i_{1}}h_{i_{2}}...h_{i_{r}}.$$
(A-23)

Moreover, it is easy to show that

$$\mathbf{s} \cdot \mathbf{t} = \frac{\mathbf{z} \cdot \mathbf{x}}{\mu},\tag{A-24}$$

$$\int_0^{\sqrt{1-(\mathbf{s}\cdot\mathbf{t})^2}} \eta^{\kappa+1} d\eta = \frac{1}{\kappa+2} (\sqrt{1-(\mathbf{s}\cdot\mathbf{t})^2})^{\kappa+2}, \tag{A-25}$$

$$\int_0^{2\pi} \sin^m \psi \cos^n \psi d\psi = 0, \quad \text{if } \frac{m+n}{m} \text{ is odd or if both } \frac{m}{m}, \frac{n}{m} \text{ are odd,} (A-26)$$

$$\int_0^{2\pi} f_{i_1, i_2, \dots, i_r}^{(\kappa)}(\psi) d\psi = 0, \quad \text{if } \kappa \text{ is odd.}$$
(A-27)

Finally, by combining Eq. (A-20) with Eqs. (A-22)-(A-27), we obtain the following expression of $I_i^{(r)}(\mathbf{x}, \mathbf{z}) = I_i^{(r)}(\mathbf{s} \cdot \mathbf{t})$

$$I_{j}^{(r)}(\mathbf{s} \cdot \mathbf{t}) = \frac{1}{2\mu} \sum_{i_{1}, i_{2}, \dots, i_{r}}^{3} A_{ji_{1}i_{2}\dots i_{r}} a_{i_{1}} a_{i_{2}} \dots a_{i_{r}} \sum_{\kappa=0}^{\left[\frac{1}{2}r\right]} {r \choose 2\kappa} \left\{ \int_{0}^{2\pi} f_{i_{1}, i_{2}, \dots, i_{r}}^{(2\kappa)}(\psi) d\psi \right\}$$

$$\times \frac{1}{\kappa+1} (\mathbf{s} \cdot \mathbf{t})^{r-2\kappa} \left\{ 1 - (\mathbf{s} \cdot \mathbf{t})^{2} \right\}^{\kappa+1}, \tag{A-28}$$

 H. Le Quang, Q.-C. He and G. Bonnet

where $\left[\frac{1}{2}r\right]$ is the integer part of $\frac{1}{2}r$.

First, we remark from Eq. (A-28) that $I_j^{(r)}(\mathbf{s} \cdot \mathbf{t})$ with $\mathbf{x} \in \omega$ is a polynomial of degree r+2 in \mathbf{x} . Thus, the resulting intensity field $\mathbf{e}(\mathbf{x})$ given by Eq. (A-18) and the corresponding heat flux field $\mathbf{q}(\mathbf{x}) = \mathbf{K}^0 \cdot [\mathbf{e}(\mathbf{x}) - \mathbf{e}^*(\mathbf{x})]$ inside the inclusion ω are polynomials of degree M in \mathbf{x} .

Philosophical Magazine & Philosophical Magazine Letters

Next, in order to calculate integral (A-18), it can be seen from Eq. (A-14) that $g_{pj}(\mathbf{z}) = g_{pj}(z_1, z_2, z_3)$ with

$$z_1 = \frac{\mu s_1}{a_1}, \quad z_2 = \frac{\mu s_2}{a_2}, \quad z_3 = \frac{\mu s_3}{a_3}$$
 (A-29)

However, since $g_{pj}(z_1, z_2, z_3)$ are rational fractions with the numerator and denominators being both homogeneous polynomials of degree 2 of z_1 , z_2 and z_3 then $g_{pj}(\mathbf{z})$ can be computed alternatively from:

$$z_1 = \frac{s_1}{a_1}, \quad z_2 = \frac{s_2}{a_2}, \quad z_3 = \frac{s_3}{a_3},$$
 (A-30)

Moreover, the components of the unit vector s can be expressed in the following form

$$s_1 = (1 - s_3^2)^{1/2} \cos \zeta, \ s_2 = (1 - s_3^2)^{1/2} \sin \zeta, \ s_3 = s_3.$$
 (A-31)

Thus, by substituting $d\mathbf{s} = ds_3 d\zeta$ into Eq. (A-18), we obtain

$$e_p(\mathbf{x}) = \frac{1}{8\pi^2} \int_{-1}^1 ds_3 \int_0^{2\pi} \mu^3 g_{pj}(\mathbf{z}) \left\{ \sum_{r=0}^M \nabla_x^2 I_j^{(r)}(\mathbf{s} \cdot \mathbf{t}) \right\} d\zeta, \tag{A-32}$$

with $I_i^{(r)}(\mathbf{s} \cdot \mathbf{t})$ given by Eq. (A-28).

(ii) For exterior points of the inclusion ω

When x is outside the inclusion ω , or equivalently $|\mathbf{t}| > 1$, the delta function $\delta[\mu(\mathbf{s} \cdot \mathbf{\tau} - \mathbf{s} \cdot \mathbf{t})] = \frac{1}{\mu} \delta(\mathbf{s} \cdot \mathbf{\tau} - \mathbf{s} \cdot \mathbf{t}) \text{ is non-zero only if } |\mathbf{s} \cdot \mathbf{t}| \le 1 \text{ and } \mathbf{s} \cdot \mathbf{\tau} - \mathbf{s} \cdot \mathbf{t} = 0.$ These conditions imply that τ must take the same form as in Eq. (A-19) under the condition $|\mathbf{s} \cdot \mathbf{t}| \leq 1$.

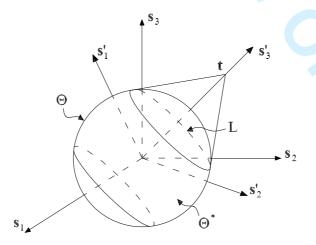


Figure 11. The subdomains Θ^* and L of Θ satisfying the condition $|\mathbf{s} \cdot \mathbf{t}| \le 1$ and $\mathbf{s} \cdot \mathbf{t} = 1$ and the chosen new coordinate system $\{s'_1, s'_2, s'_3\}$ for a given vector **t**

Philosophical Magazine & Philosophical Magazine Letters

Philosophical Magazine Letters

By using a process similar to the one described above for interior points of inclusion ω , we obtain the following expression of the intensity field $\mathbf{e}(\mathbf{x})$ for exterior points of the inclusion ω (see, e.g. [33])

$$e_{p}(\mathbf{x}) = \frac{1}{8\pi^{2}} \int_{\Theta^{*}} \mu^{3} g_{pj}(\mathbf{z}) \left\{ \sum_{r=0}^{M} \nabla_{x}^{2} I_{j}^{(r)}(\mathbf{s} \cdot \mathbf{t}) \right\} d\mathbf{s} + \frac{1}{2\pi} \oint_{L} |\mathbf{t}|^{-1} \left\{ q_{j}^{*}(\mathbf{y}) g_{pj}(\mathbf{z}) \right\}_{\mathbf{s} \cdot \mathbf{t} = 1} d\zeta$$
(A-33)

where Θ^* and L are subdomains of Θ satisfying the condition $|\mathbf{s} \cdot \mathbf{t}| \leq 1$ and $\mathbf{s} \cdot \mathbf{t} = 1$, respectively; $I_i^{(r)}(\mathbf{s} \cdot \mathbf{t})$ is given by Eq. (A-28). More precisely, the integral on Θ^* and L can be calculated by introducing a new coordinate system $\{s'_1, s'_2, s'_3\}$ in which s_3' -axis is taken in the direction of t, and s_1' - and s_2' -axis are given along two perpendicular directions in the plane normal to t (see Fig. 11). We can then express the relation between $\{s'_1, s'_2, s'_3\}$ and $\{s_1, s_2, s_3\}$ by

$$s_i = \Xi_{ij} s_j' = \frac{z_i a_i}{\mu}, \quad \text{(no sum on } i\text{)}$$
 (A-34)

where Ξ_{ij} are the direction cosines between the s_i - and s'_i -axis. Since

$$g_{pj}(\mathbf{z}) = g_{pj}(\mu \frac{\Xi_{1m} s'_m}{a_1}, \mu \frac{\Xi_{2m} s'_m}{a_2}, \mu \frac{\Xi_{3m} s'_m}{a_3})$$

$$= g_{pj}(\frac{\Xi_{1m} s'_m}{a_1}, \frac{\Xi_{2m} s'_m}{a_2}, \frac{\Xi_{3m} s'_m}{a_3}) = g'_{pj}(\mathbf{s}')$$
(A-35)

and by setting

$$s_1' = (1 - s_3'^2)^{1/2} \cos \zeta', \ s_2' = (1 - s_3'^2)^{1/2} \sin \zeta', \ s_3' = s_3',$$
 (A-36)

the integral in (A-33) is rewritten as:

$$e_{p}(\mathbf{x}) = \frac{1}{8\pi^{2}} \int_{-1/|\mathbf{t}|}^{1/|\mathbf{t}|} ds'_{3} \int_{0}^{2\pi} \mu^{3} g'_{pj}(\mathbf{s}') \left\{ \sum_{r=0}^{M} \nabla_{x}^{2} I_{j}^{(r)}(s'_{3}|\mathbf{t}|) \right\} d\zeta'$$

$$+ \frac{1}{2\pi} \int_{0}^{2\pi} \left\{ q_{j}^{*}(\mathbf{y}) g'_{pj}(\mathbf{s}') \right\}_{s'_{3}=1/|\mathbf{t}|} |\mathbf{t}|^{-1} d\zeta'.$$
(A-37)

Application to the cases of constant and linear polarization tensors

The following particular cases with M=0 and 1 are studied in detail, because they are explicitly used within the paper.

First, for M=0, the polynomial $q_i^*(\mathbf{y})$ takes the simple form $q_i^*(\mathbf{y})=A_j$. By using Eqs. (A-23) and (A-28), we obtain the expressions of $f^{(0)}(\psi)$ and $I_i^{(0)}(\mathbf{x}, \mathbf{z})$ as follows

$$f^{(0)}(\psi) = 1, (A-38)$$

$$I_j^{(0)}(\mathbf{s} \cdot \mathbf{t}) = \frac{\pi}{\mu} A_j \left\{ 1 - (\mathbf{s} \cdot \mathbf{t})^2 \right\}. \tag{A-39}$$

 H. Le Quang, Q.-C. He and G. Bonnet

Philosophical Magazine & Philosophical Magazine Letters

a.

Since

$$\nabla_x^2 \left\{ (\mathbf{s} \cdot \mathbf{t})^2 \right\} = \frac{2}{\mu^2},\tag{A-40}$$

introducing Eq. (A-39) and Eq. (A-40) into Eq. (A-32) and Eq. (A-37) leads to the expression of the intensity fields

$$e_p(\mathbf{x}) = -\frac{1}{4\pi} A_j \int_{-1}^1 ds_3 \int_0^{2\pi} g_{pj}(\mathbf{z}) d\zeta.$$
 (A-41)

inside the inclusion and

$$e_{p}(\mathbf{x}) = -\frac{1}{4\pi} A_{j} \int_{-1/|\mathbf{t}|}^{1/|\mathbf{t}|} ds_{3}' \int_{0}^{2\pi} g_{pj}'(\mathbf{s}') d\zeta' + \frac{1}{2\pi} A_{j} \int_{0}^{2\pi} |\mathbf{t}|^{-1} \left\{ g_{pj}'(\mathbf{s}') \right\}_{s_{3}'=1/|\mathbf{t}|} d\zeta'$$
(A-42)

outside the inclusion.

Comparing Eq. (A-41) with Eq. (A-3) leads to:

$$D_{pj}(\mathbf{x}) = \frac{\partial^2}{\partial x_p \partial x_j} \int_{\omega} G(\mathbf{y} - \mathbf{x}) d\mathbf{y} = \frac{1}{4\pi} \int_{-1}^{1} ds_3 \int_{0}^{2\pi} g_{pj}(\mathbf{z}) d\zeta.$$
 (A-43)

for $\mathbf{x} \in \omega$ and

$$D_{pj}(\mathbf{x}) = \frac{\partial^2}{\partial x_p \partial x_j} \int_{\omega} G(\mathbf{y} - \mathbf{x}) d\mathbf{y}$$

$$= \frac{1}{4\pi} \int_{-1/|\mathbf{t}|}^{1/|\mathbf{t}|} ds_3' \int_0^{2\pi} g_{pj}'(\mathbf{s}') d\zeta' - \frac{1}{2\pi} \int_0^{2\pi} |\mathbf{t}|^{-1} \left\{ g_{pj}'(\mathbf{s}') \right\}_{s_3' = 1/|\mathbf{t}|} d\zeta'$$
(A-44)

for $\mathbf{x} \notin \omega$.

Next, for M=1, in addition to the polynomial of degree 0, the polynomial $q_j^*(\mathbf{y})$ contains a polynomial which is homogeneous and linear in \mathbf{y} , i.e. $q_{j\alpha}^*(\mathbf{y}) = \sum_{\alpha=1}^3 A_{j\alpha} y_{\alpha}$. The values of $f^{(r)}(\psi)$ and $I_j^{(r)}(\mathbf{x}, \mathbf{z})$ defined by Eqs. (A-23) and (A-28) become:

$$f^{(0)}(\psi) = 1, \quad f_{\alpha}^{(0)}(\psi) = s_{\alpha}, \quad f_{\alpha}^{(1)}(\psi) = 0,$$

$$I_{j}^{(1)}(\mathbf{x}, \mathbf{z}) = \frac{\pi}{\mu} \sum_{\alpha=1}^{3} A_{j\alpha} s_{\alpha} a_{\alpha} \left(\mathbf{s} \cdot \mathbf{t} \right) \left\{ 1 - (\mathbf{s} \cdot \mathbf{t})^{2} \right\},$$
(A-46)

Owing to the fact that

$$\nabla_x^2 \left\{ (\mathbf{s} \cdot \mathbf{t})^3 \right\} = \frac{6}{\mu^2} (\mathbf{s} \cdot \mathbf{t}), \qquad (A-47)$$

 we obtain the intensity fields

$$e_p(\mathbf{x}) = -\frac{3}{4\pi} \sum_{\alpha=1}^3 A_{j\alpha} a_\alpha \int_{-1}^1 ds_3 \int_0^{2\pi} s_\alpha \left(\mathbf{s} \cdot \mathbf{t} \right) g_{pj}(\mathbf{z}) d\zeta \tag{A-48}$$

inside the inclusion and

$$e_{p}(\mathbf{x}) = -\frac{3}{4\pi} \sum_{\alpha=1}^{3} A_{j\alpha} \Xi_{\alpha\gamma} a_{\alpha} \int_{-1/|\mathbf{t}|}^{1/|\mathbf{t}|} ds_{3}' \int_{0}^{2\pi} s_{\gamma}' s_{3}' |\mathbf{t}| g_{pj}'(\mathbf{s}') d\zeta'$$

$$+ \frac{1}{2\pi} \sum_{\alpha=1}^{3} A_{j\alpha} \Xi_{\alpha\gamma} a_{\alpha} \int_{0}^{2\pi} |\mathbf{t}|^{-1} \left\{ s_{\gamma}' g_{pj}'(\mathbf{s}') \right\}_{s_{3}'=1/|\mathbf{t}|} d\zeta' \qquad (A-49)$$

outside the inclusion. The comparison of Eqs. (A-48) and (A-49) with Eq. (A-3) implies that

$$N_{pji}(\mathbf{x}) = \frac{\partial^2}{\partial x_p \partial x_j} \int_{\omega} G(\mathbf{y} - \mathbf{x}) y_i d\mathbf{y} = \frac{3a_i}{4\pi} \int_{-1}^{1} ds_3 \int_{0}^{2\pi} s_i \left(\mathbf{s} \cdot \mathbf{t}\right) g_{pj}(\mathbf{z}) d\zeta$$
(A-50)

when $\mathbf{x} \in \omega$ and

$$N_{pji}(\mathbf{x}) = \frac{\partial^{2}}{\partial x_{p} \partial x_{j}} \int_{\omega} G(\mathbf{y} - \mathbf{x}) y_{i} d\mathbf{y}$$

$$= \frac{3a_{i}}{4\pi} \Xi_{i\gamma} \int_{-1/|\mathbf{t}|}^{1/|\mathbf{t}|} ds_{3}' \int_{0}^{2\pi} s_{\gamma}' s_{3}' |\mathbf{t}| g_{pj}'(\mathbf{s}') d\zeta'$$

$$- \frac{a_{i}}{2\pi} \Xi_{i\gamma} \int_{0}^{2\pi} |\mathbf{t}|^{-1} \left\{ s_{\gamma}' g_{pj}'(\mathbf{s}') \right\}_{s_{3}' = 1/|\mathbf{t}|} d\zeta'$$
(A-51)

when $\mathbf{x} \notin \omega$.

Application to the case with elliptic or circular inclusion

The 2D case where ω is an elliptic or circular inclusion is now considered. This case can be considered as a special case of the one described above related to an ellipsoidal inclusion which is now degraded to a cylindrical one, which corresponds to $a_3 \to \infty$. Next, for $a_3 \to \infty$ Eq. (A-30) implies that $z_3 = 0$. Therefore, the homogeneous functions $g_{pj}(s_1/a_1, s_2/a_2, 0)$ depend no more on s_3 and the values of s_1 and s_2 can be computed using $s_3 = 0$. As a consequence s_1 and s_2 have now the simple form

$$s_1 = \cos \zeta, \ s_2 = \sin \zeta, \tag{A-52}$$

The intensity fields $\mathbf{e}(\mathbf{x})$ for interior points given by Eq. (A-41) with $\mathbf{x} = (x_1, x_2, 0)$ reduce now to

$$e_p(\mathbf{x}) = -\frac{1}{4\pi} A_j \int_{-1}^1 ds_3 \int_0^{2\pi} g_{pj}(s_1/a_1, s_2/a_2, 0) d\zeta$$
$$= -\frac{1}{2\pi} A_j \int_0^{2\pi} g_{pj}(s_1/a_1, s_2/a_2, 0) d\zeta. \tag{A-53}$$

 34 H. Le Quang, Q.-C. He and G. Bonnet

For exterior points, Eq. (A-42) leads to:

$$e_{p}(\mathbf{x}) = -\frac{1}{4\pi} A_{j} \int_{-1/|\mathbf{t}|}^{1/|\mathbf{t}|} ds'_{3} \int_{0}^{2\pi} g_{pj}(\frac{\Xi_{1m} s'_{m}}{a_{1}}, \frac{\Xi_{2m} s'_{m}}{a_{2}}, 0) d\zeta'$$

$$+ \frac{1}{2\pi} A_{j} \int_{0}^{2\pi} |\mathbf{t}|^{-1} \left\{ g_{pj}(\frac{\Xi_{1m} s'_{m}}{a_{1}}, \frac{\Xi_{2m} s'_{m}}{a_{2}}, 0) \right\}_{s'_{3} = 1/|\mathbf{t}|} d\zeta'. \quad (A-54)$$

Correspondingly, $D_{pj}(\mathbf{x})$ with p and j=1 or 2 takes the following form

$$D_{pj}(\mathbf{x}) = \frac{\partial^2}{\partial x_p \partial x_j} \int_{\omega} G(\mathbf{y} - \mathbf{x}) d\mathbf{y} = \frac{1}{2\pi} \int_0^{2\pi} g_{pj}(s_1/a_1, s_2/a_2, 0) d\zeta \quad (A-55)$$

when $\mathbf{x} \in \omega$ and

$$D_{pj}(\mathbf{x}) = \frac{\partial^{2}}{\partial x_{p} \partial x_{j}} \int_{\omega} G(\mathbf{y} - \mathbf{x}) d\mathbf{y}$$

$$= \frac{1}{4\pi} \int_{-1/|\mathbf{t}|}^{1/|\mathbf{t}|} ds'_{3} \times \int_{0}^{2\pi} g_{pj}(\frac{\Xi_{1m} s'_{m}}{a_{1}}, \frac{\Xi_{2m} s'_{m}}{a_{2}}, 0) d\zeta'$$

$$- \frac{1}{2\pi} \int_{0}^{2\pi} |\mathbf{t}|^{-1} \left\{ g_{pj}(\frac{\Xi_{1m} s'_{m}}{a_{1}}, \frac{\Xi_{2m} s'_{m}}{a_{2}}, 0) \right\}_{s'_{2}=1/|\mathbf{t}|} d\zeta' \qquad (A-56)$$

when $\mathbf{x} \notin \omega$.

When a given heat-free intensity within an elliptic or cylindrical inclusion is linear, the intensity fields $\mathbf{e}(\mathbf{x})$ inside and outside the inclusion are determined by

$$e_p(\mathbf{x}) = -\frac{1}{\pi} \sum_{\alpha=1}^{2} A_{j\alpha} a_{\alpha} \int_0^{2\pi} s_{\alpha}(s_1 t_1 + s_2 t_2) g_{pj}(s_1/a_1, s_2/a_2, 0) d\zeta \quad (A-57)$$

when $\mathbf{x} \in \omega$ and

$$e_{p}(\mathbf{x}) = -\frac{3}{4\pi} \sum_{\alpha=1}^{2} A_{j\alpha} \Xi_{\alpha\gamma} a_{\alpha} \int_{-1/|\mathbf{t}|}^{1/|\mathbf{t}|} ds_{3}' \int_{0}^{2\pi} s_{\gamma}' s_{3}' |\mathbf{t}| g_{pj} (\frac{\Xi_{1m} s_{m}'}{a_{1}}, \frac{\Xi_{2m} s_{m}'}{a_{2}}, 0) d\zeta'$$

$$+ \frac{1}{2\pi} \sum_{\alpha=1}^{2} A_{j\alpha} \Xi_{\alpha\gamma} a_{\alpha} \int_{0}^{2\pi} |\mathbf{t}|^{-1} \left\{ s_{\gamma}' g_{pj} (\frac{\Xi_{1m} s_{m}'}{a_{1}}, \frac{\Xi_{2m} s_{m}'}{a_{2}}, 0) \right\}_{s_{3}'=1/|\mathbf{t}|} d\zeta',$$
(A-58)

when $\mathbf{x} \notin \omega$.

Finally, we obtain from Eqs. (A-57) and (A-58) the expressions of $N_{pji}(\mathbf{x})$ with p, j and i = 1 or 2 for the case where the inclusion is elliptic or cylindrical

$$N_{pji}(\mathbf{x}) = \frac{a_i}{\pi} \int_0^{2\pi} s_i(s_1 t_1 + s_2 t_2) g_{pj}(s_1/a_1, s_2/a_2, 0) d\zeta$$
 (A-59)

4 5 6

11 12 13

14 15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

30

31

32

33

34

35

36

37

38 39

40

41

42 43

45

46

47

48

49

50

51

Philosophical Magazine & Philosophical Magazine Letters

35

Philosophical Magazine Letters

when $\mathbf{x} \in \omega$ and

$$N_{pji}(\mathbf{x}) = \frac{3}{4\pi} \Xi_{i\gamma} a_i \int_{-1/|\mathbf{t}|}^{1/|\mathbf{t}|} ds_3' \int_0^{2\pi} s_\gamma' s_3' |\mathbf{t}| g_{pj}(\frac{\Xi_{1m} s_m'}{a_1}, \frac{\Xi_{2m} s_m'}{a_2}, 0) d\zeta'$$
$$- \frac{1}{2\pi} \Xi_{i\gamma} a_i \int_0^{2\pi} |\mathbf{t}|^{-1} \left\{ s_\gamma' g_{pj}(\frac{\Xi_{1m} s_m'}{a_1}, \frac{\Xi_{2m} s_m'}{a_2}, 0) \right\}_{s_3' = 1/|\mathbf{t}|} d\zeta', \text{ (A-60)}$$

when $\mathbf{x} \notin \omega$.

References

- R. J. Asaro and D. M. Barnett, J. Mech. Phys. Solids 23 (1975) p.77.
- Y. Benveniste, J. Appl. Phys. 61 (1987) p.2840.
- Y. Benveniste, J. Mech. Phys. Solids 54 (2006) p.708.
- Y. Benveniste and T. Miloh, Int. J. Engng Sci. 24 (1986) p.1537.
- D. G. Cahill and W. K. Ford and K. E. Goodson and G. D. Mahan and H. J. Maris and R. Merlin and S. R. Phillpot, J. Appl. Phys. 93 (2003) p.793.
- L. J. Chalis, J. Phys. C7 (1974) p.481.
- T. Chen, Mech. Mater. 14 (1993) p.257.
- H. Cheng and S. Torquato, Roy. Soc. London A 453 (1997) p.145.
- R.M. Christensen and K. H. Lo, J. Mech. Phys. Solids 27 (1979) p.315.
- J. R. Dryden, J. Mech. Phys. Solids 36 (1988) p.477.
- M.L. Dunn and M. Taya, J. Appl. Phys. 73 (1993) p.1711.
- J.D. Eshelby, Roy. Soc. London A 241 (1957) p.376.
- J.D. Eshelby, Elastic inclusion and inhomogeneities, edited by I.N. Sneddon and R. Hill (North-Holland, Amsterdam, 1961), Vol. 2, p. 89.S. Giordano, P. L. Palla, J. Phys. A41 (2008) p.415205.
- Z. Hashin, J. Compos. Mater. 2 (1968) p.284.
- Z. Hashin, NASA Report No. NASA CR-1974 (1972, unpublished).
- [17] Z. Hashin, J. Appl. Mech. 50 (1983) p.481.
- Z. Hashin, J. Appl. Phys. 84 (2001) p.2261.
- Z. Hashin, J. Appl. Mech. 58 (1991) p.444.
- [20] Z. Hashin and S. Shtrikman, J. Appl. Phys. 33 (1962) p.3125.
- D.P.H. Hasselman and L.F. Johnson, J. Compos. Mater. 21 (1987) p.508.
- H. Hatta and M. Taya, Int. J. Eng. Sci. 24 (1986a) p.1159.
- H. Hatta and M. Taya, J. Appl. Phys. 59 (1986b) p.1851.
- E. Herve, Int. J. Solids Struct. 39 (2002) p.1041.
- S. B. Kapitza, J. Phys. (USSR) 4 (1941) p.181
- W. H. Keesom and A. P. Keesom, Physica 3 (1936) p.359.
- N. Kurti and B. V. Rollin and F. Simon, Physica 3 (1936) p.266.
- H. Le-Quang and Q.-C. He and Q.-Z. Zheng, Int. J. Solids Struct. 45 (2008) p.3845. H. Le-Quang and G. Bonnet and Q.-C. He, Phys. Rev. B 81 (2010) p.064203.
- R. Lipton and B. Vernescu, Proc. Roy. Soc. Lond. A 452 (1996a) p.329.
- R. Lipton and B. Vernescu, J. Appl. Phys. 79 (1996b) p.8964.
- T. Miloh and Y. Benveniste, Proc. Roy. Soc. Lond. A 455 (1999) p.2687.
- T. Mura, Mircomechanics of Defects in Solids, Martinus Nijhoff Publishers, Dordrecht (1987). C.-W. Nan and R. Birringer and D. R. Clarke and H. Gleiter, J. Appl. Phys. 81 (1997) p.6692.
- H. Pham-Huy and E. Sanchez-Palencia, J. Math. Anal Appl. 47 (1974) p.284.
- J. Qu, J. Appl. Mech. 60 (1993) p.1048.
- E. Sanchez-Palencia, C. R. Acad. Sci. Paris Ser. A270 (1970) p.1026.
- J. C. Smith, J. Res. Nat. Bur. Stand. 78A (1974) p.355.J. C. Smith, J. Res. Nat. Bur. Stand. 79A (1975) p.419.
- [40]R. J. Stoner and H. J. Maris, Phys. Rev. B 48 (1993) p.16373.
- [41]S. Torquato and M. D. Rintoul, Phys. Rev. Lett. 75 (1995) p.4067.
- C. Van-der-Poel, Rhoel. Acta 1 (1958) p.198.
- [43]E. T. Swartz and R. O. Pohl, Rev. Mod. Phys. 61 (1989) p.605.
- E. T. Swartz and R. O. Pohl, Appl. Phys. Lett. 51 (1987) p.2200.
- W. S. Weiglhofer, A. Lakhtakia and B. Michel, Micro. Opt. Technol. Lett. 15 (1997) p.263.
- Z. Zhong and S.A. Meguid, J. Elas. 46 (1997) p.91.