

Plasticity of Plant Form and Function Sustains Productivity and Dominance along Environment and Competition Gradients. A Modeling Experiment with GEMINI

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1Running title: Plant plasticity modeling experiment

Title: Plasticity of plant form and function sustains productivity and dominance along 2 **environment and competition gradients. A modeling experiment with GEMINI.** 3

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Website of GEMINI project: https://www1.clermont.inra.fr/urep/modeles/gemini.htm 21

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23Key-Words: Partitioning, growth, carbon, nitrogen, functional balance, coordination theory, 24biodiversity; grassland community

25ABSTRACT

26GEMINI, a mechanistic model linking plant functional traits, plant populations, community 27dynamics, and ecosystem scale fluxes in grasslands has been reported in a companion paper 28(Soussana et al., 2012). For monocultures and six species mixtures of perennial grass species, 29this model has been successfully evaluated against experimental data of above-ground net 30 primary productivity (ANPP) and plant community structure across nitrogen and disturbance 31 (cutting frequency) gradients. The GEMINI model combines two categories of processes: i) C 32and N fluxes, ii) morphogenesis and architecture of roots and shoots and demography of 33clonal plant axes. These two process categories constrain the form and function of the 34 simulated clonal plants within plastic limits. We show here that the plasticity of the simulated 35plant populations accounts for well-established empirical laws: i) root:shoot ratio, ii) self-36thinning, iii) critical shoot N content, and iv) role of plant traits (specific leaf area and plant 37height) for population response to environmental gradients (nitrogen and disturbance). 38Moreover, we show that model versions for which plasticity simulation has been partly or 39fully suppressed have a reduced ANPP in monocultures and in binary mixtures and do not 40 capture anymore productivity and dominance changes across environmental gradients. We 41 conclude that, along environmental and competition gradients, the plasticity of plant form and 42 function is required to maintain the coordination of multiple resource capture and, hence, to 43 sustain productivity and dominance.

1. INTRODUCTION 44

The outcome of biotic interactions is a dynamic process which entails '*the explicit inclusion* 45 46of organismal trade-offs, of environmental constraints, and of the basic mechanisms of *interspecific interactions'* (Tilman, 1990) to be predictable. In a companion paper (Soussana 47 48et al., 2012), we present the GEMINI model (Grassland Ecosystem Model with INdividual 49 centred Interactions), which couples within a common modeling framework: carbon, nitrogen 50 and water cycles (ecosystem scale), plant population dynamics based on resource competition 51(community scale) and physiological and morphological plasticity of clonal plants 52(organismal trade-offs).

An individual-centred approach was used to develop this coupling across scales and, 54hence, to develop a dynamical structure-function-diversity model capable of simulating the 55 dynamics of plant species within a community as well as the role of traits and their plasticity 56for ecosystem functioning (Soussana et al., 2012; Maire, 2009). GEMINI is an individual-57 centred model, rather than being individual-based, since it simulates average individuals 58 within each plant population. Here we show that the details of physiological, morphological 59 and demographic mechanisms allowing plant and population plasticity in the model are both 60necessary and sufficient (according to the parsimony principle) to simulate major trends in 61 productivity and dominance across the perennial grass species studied. 53

The individual-based modeling approach offers the possibility to simulate the plastic 63adjustments of plant form and function in response to resource levels mediated by interactions 64with neighbors (Höglind et al., 2001; Yin and Schapendonk, 2004). Such plastic responses are 65shown to be mainly phenotypic (Grassein et al., 2010), species-specific and sometimes 66adaptive when they are correlated positively to changes in plant growth (Useche and Shipley, 2010a, 2010b; Pontes et al., 2010). Competition / facilitation biotic interactions are in part 67 68determined by these plastic responses of plants to resource levels (Grime, 1973; Tilman, 62

691984), which may enhance species coexistence and complementarity in plant communities 70(Soussana and Lafarge, 1998). For instance, Van Ruijven and Berendse (2003) have shown a 71 reduction of leaf nitrogen content associated with higher plant nitrogen use efficiency when 72 species grew at higher diversity level, enhancing the complementarity of nitrogen use and the 73functioning of grassland community. Therefore, mechanisms underpinning species plasticity 74 are a prerequisite to explain species assembly rules in grassland communities and their 75 consequences for ecosystem functioning (Grime and Mackey, 2002). Structure-function-76 diversity models like GEMINI can help in understanding the role of plasticity for the adaptation 77 of plant populations to environmental gradients across species diversity levels.

Phenotypic plasticity is only adaptive if it is properly co-ordinated with environmental 79fluctuation (Sultan, 2004; De Jong, 2005), *i.e.* when a plant modifies its phenotype by an 80appropriate amount and at a certain speed in order to optimize growth and fitness (Useche et 81al., 2010a). This principle is applied in the GEMINI model (see the companion paper, Soussana 82et al., 2012 for full details) through a coordination of above and below-ground resource 83 capture that ensures that no resource is in excess (Chapin, 1991) and through the coordination 84of morphogenesis and of assimilate supply. First, physiological plasticity to light and nitrogen 85levels is obtained by combining: i) the functional balance hypothesis (Davidson, 1969; 86Wilson, 1988), which assumes that partitioning of growth between shoots and roots tends to 87balance shoot photosynthesis and root N acquisition to ensure C and N homeostasis; ii) the 88photosynthesis coordination hypothesis (Chen et al., 1993, Maire et al., 2012), which assumes 89that partitioning between shoot structures and photosynthetic proteins maintains a leaf protein 90 content co-limiting the dark (Rubisco activity) and light driven (RuBP regeneration) reactions 91 of C_3 leaf photosynthesis. Second, morphological plasticity is obtained by assuming a 92potential morphogenesis which adjusts the emission, the elongation and the senescence of 93leaves and roots in function of daily temperature and photoperiod and of daily temperature 78

94and soil nitrogen concentration, respectively (Lemaire, 1999; Wu et al., 2004). Third, at the 95 population scale, daily temperature and PAR (photosynthetically active radiation) fraction at 96the bottom of the canopy determine the plant axis ramification process (Neuteboom and 97Lantinga, 1989; Lafarge et al., 2005). Finally, plant growth is simulated as the minimum 98between supply (assimilate partitioning and reserves remobilization) and demand 99(morphogenesis and ramification) limited growth rates.

In this second paper, we focus on the role of physiological, morphological and 101 population plasticity for species net primary productivity (an estimator of fitness for clonal 102plants) along resource (nitrogen), disturbance (cutting frequency) and competition (mixtures 103 versus monocultures) gradients. We first evaluate the ability of the model to account for well-104 established empirical laws concerning: i) resource-based adjustments in root / shoot ratio, ii) 105self-thinning, iii) critical shoot N content, and iv) role of plant traits (specific leaf area and 106plant height) for responses to environmental gradients (nitrogen and disturbance). By 107 comparing model versions of different complexity, we then test the following hypothesis: 108plant morphological (root and shoot morphology) and physiological (leaf N content, leaf 109photosynthesis) plasticity, as well as plant population (axis density) plasticity, are needed for 110 maintaining population fitness (i.e. above-ground net primary productivity) across resource, 111 disturbance and competition gradients. 100

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1132. METHODS

2.1. Model purpose 114

115The model is described following the ODD (Overview, Design concepts and Details) standard 116protocol proposed by Grimm et al. (2006) for individual-based and agent-based models in 117 Soussana et al. (2012). A detailed list of all 132 equations, as well as the 187 variables and the

100 default parameter values and their units is available (at 119www1.clermont.inra.fr/urep/modeles/gemini.htm) and will be send on request. 118100

The main purpose of GEMINI is to understand the dynamics and plasticity of plant species 121 within a community and the role of traits and their plasticity for ecosystem functioning. The 122model considers climatic (short-wave radiation, temperature and precipitation) and 123atmospheric $(CO₂$ concentration) abiotic drivers. Management conditions concern both 124 disturbance (through defoliation) and fertilization (inorganic and organic N supply). The 125 model was built with a modular architecture, which allows the inclusion, or not, of a range of 126biotic agents (plant species, soil microbial decomposers and domestic herbivores) as well as 127 environment and management modules (soil, vegetation, fertilization and cutting). 120

GEMINI can simulate a potentially unlimited number of plant species (or plant populations 129from the same species) from currently two plant functional types (perennial grasses and 130legumes). The model focuses on the acquisition and the utilization of two major resources 131(light and nitrogen) by plants and their consequences for the carbon and nitrogen cycles. 128

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2.2. State variables and scales 133

134GEMINI consists of vegetation, soil and herbivore sub-models, coupled with environment and 135management sub-models (for a full description of the model, see Soussana et al., 2012). The 136vegetation sub-model, named CANOPT is an individual-centred model of a multi-species stand 137 comprising clonal grasses and/or legumes and forming a multi-layer plant canopy. Each 138 cl onal plant population is described as a collection of identical axes ($e.g.$ tillers for grasses). 139Moreover, all plant species are assumed to be perfectly mixed in the horizontal plane. Plant 140 population demography is calculated from the vegetative multiplication and mortality of axes. 141Other demographic processes, including flowering, fruiting, dispersion, germination and 142 recruitment from seeds are not considered, since these processes are minimized by regular

143disturbance through cutting and grazing in temperate grasslands dominated by perennial 144clonal pasture species (e.g. Harper, 1978).

The vegetation sub-model consists of four modules: i) a biochemical module, which 146 simulates the C and N balance and the partitioning of growth among shoot structures (W_S) , 147leaf proteins (W_{P}) and roots (W_{R}) for mean plant axes in each plant population. For each plant 148 population, the corresponding state variables are the axes number (D, m^{-2}) , and the mass per 149 axis of three structural compartments (W_S , W_P and W_R) and of C and N substrates and of two C 150 and two N reserve compartments; ii) a shoot morphogenesis module, which computes the 151 demography and size of leaves (two state variables, length and mass per leaf); iii) a root 152morphogenesis module, which computes the demography and size of roots (two state 153 variables, length and mass per root); iv) a competition module which calculates short-wave 154 radiation and inorganic N partitioning among mixed plant populations. 145

The environment sub-model calculates the microclimate within the canopy and the 156inorganic N balance of the soil (or of the substrate when the vegetation model is not coupled 157to the soil model). The management sub-model schedules events caused by grassland 158 management (cutting dates, grazing periods, N fertilizer applications). 155

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2.3. Model parameterization and evaluation 160

161The experimental site used for model calibration was established in spring 2002 in an upland 162area of central France (Theix, 45°43'N, 03°01'E, 870 m a.s.l.) on a granitic brown soil 163(Cambisol, FAO). The local climate is semi-continental, with a mean annual temperature of 1649 $^{\circ}$ C and a mean annual rainfall of 760 mm. 13 native perennial C₃ grass species that co-occur 165in mesic permanent grasslands were studied in monocultures: Alopecurus pratensis, *Anthoxanthum odoratum*, *Arrhenatherum elatius*, *Dactylis glomerata*, *Elytrigia repens*, 166 *Festuca arundinacea*, *Festuca rubra*, *Holcus lanatus*, *Lolium perenne*, *Phleum pratense*, *Poa* 167

pratensis, *Poa trivialis*, *Trisetum flavescens*. A *Lolium perenne* cultivar ('Clerpin') was added 168 169as a control. Henceforth, species are referred to by their species (e.g. A. pratensis) name.

The calibration procedure of the model has been reported by Soussana et al., (2012). 171Briefly, out of a total of 100 parameters, 65 have been considered as constant across the grass 172 species investigated with a value taken from the literature. The remaining parameters were 173 estimated by species from plant trait values measured in a non-limiting nutrient treatment 174(360 kgN ha⁻¹ yr⁻¹; 3 cuts yr⁻¹) of the field experiment comparing 13 grass species grown in 175monocultures (see the calibration of these parameters in Soussana et al., 2012). In this 176treatment, neither nutrients nor water resources were limiting above-ground grass growth 177(Pontes et al., 2007, Maire et al., 2009). 170

Two parameters (*LL*_{plast}, the branching order dependency of potential root length and 179Tr, the thermal time interval between two successive root emission events) were optimized by 180 maximizing axis biomass (W_G). This first optimization was done on C-N+ management 181treatment using constant axis density for each species. The two population demography 182parameters (*intcl*, the clonal integration and *Tsen*₀, the lifespan of an axis) were then 183 optimized by fitting simulated to measured tiller density (D) per unit ground area. This second 184 optimization was done after the root parameters optimization. 178

Predicted and measured annual dry-matter yields were highly correlated without bias 186 across species, N supply and cutting frequency treatments in monocultures and in mixtures of 187six species (Soussana et al., 2012). The GEMINI model could therefore simulate without bias 188 responses to nitrogen and disturbance gradients of net primary productivity and of plant 189community structure. 185

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2.4. Experimental design for testing the model against empirical laws 193

194We have tested model patterns against four types of well-established empirical laws: i) 195changes in root:shoot ratio following an abrupt change in resource availability, ii) self-196thinning, iii) critical shoot N content decline during shoot growth, and iv) role of plant traits 197(specific leaf area and plant height) for population response to environmental gradients 198(nitrogen and disturbance). These patterns were studied with monocultures grown under 199 constant environmental conditions (T_a = 14°C, *PPFD* = 700 µmol m⁻² s⁻¹).

First, short-term responses of model compartments to disturbance (cutting) and to 201nutrients stress (N deprivation) were tested. Two partitioning functions *P* and *Q* control the 202partitioning of dry matter between shoot and root structures and between shoot structures and 203shoot proteins, respectively. P is calculated as the functional balance between shoot C and 204root N capture rates (Eq. 1, adapted from Hilbert and Reynolds, 1991; see supplementary 205materials SI1 and SI2 in Soussana et al. (2012) for full details). Q is calculated following the 206coordination hypothesis of leaf photosynthesis (Chen et al., 1993; Maire et al., 2009). 200

$$
207 P = \begin{array}{c}\n\bigotimes_{C} \mathbb{C} & \sigma_{r \text{act}} \bigotimes_{R} \mathbb{Q}_{N} r \cdot f_{\text{active_root}} \\
\hline\n\sigma_{c} & LA & \downarrow\n\end{array}
$$
\n
$$
208 Q = \begin{array}{c}\n\bigotimes_{T} \mathbb{Q}_{N} \bigotimes_{P} \mathbb{Q} \bigotimes_{z=1}^{m} N_{\text{pac}_{z}} & LAI_{z} \bigotimes_{z=1}^{m} \\
\hline\n\end{array}
$$
\n(Eq. 1)\n
$$
(Eq. 1)
$$
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$$
(Eq. 2)
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209Where f_C / f_N is the C:N plant ratio, $\sigma_{r\text{act}}$ is the root N uptake rate, W_R is the root dry mass, 210*lwr* is the root area to mass ratio, $f_{\text{active_root}}$ is the proportion of active roots, σ_{c} is the net 211 photosynthesis rate, *LA* is the plant axis leaf area, f_{np} is the N fraction in shoot proteins, W_p is 212the shoot proteins mass, *D* is the axis density per unit ground area, N_{pac_z} and LAI_z are the 213 coordinated leaf photosynthetic content and the leaf area index in layer z, respectively. Power 214 coefficients q_1 and q_2 vary the degree of control on partitioning for *P* and *Q* variables, 215respectively.

A critical N concentration curve is defined as being the minimum plant N 217 concentration allowing maximum growth rate and is related to stand dry matter accumulation 218by a negative power function in a number of C_3 species, including perennial forage grasses 219(Lemaire et al., 2008). The ability of the model to simulate critical shoot N content decline 220during canopy regrowth was tested by simulating *D. glomerata* monocultures grown under 221 close to non-limiting nitrogen conditions (corresponding to the $C-N+$ experimental treatment). Third, the model's ability to simulate self-thinning (*i.e.* the negative power law 223 relating the individual mass and the density of population units; *e.g.* Harper, 1978) was tested 224 across species in monocultures and for two N fertilizer supplies $(N-, N^+)$ at low cutting 225 frequency (three cuts per year). 216 222

Finally, the ability of the model to reproduce species specific responses to disturbance 227 and to nitrogen was tested for a range of measured plant functional trait values (*SLA*, specific 228leaf area and plant height) by using simulated log response ratios (LNRR). Log response 229 ratios are the logarithms of the ratios of species performances along an environmental 230gradient (Suding, et al., 2003), here at low *vs.* high cutting frequency (from 3 to 6 cuts yr^{-1}) 231 and at low vs. high N supply (from 12 to 36 g N m^{-2} yr⁻¹). A positive LNRR value indicates a 232higher species performance at the higher end of the gradient (*i.e.* at high cutting frequency and 233at high N). Conversely, a negative value indicates a lower species performance at the lower 234 end of the gradient. 226

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2.5. Testing the role of plant and population plasticity 236

237To test the role of plasticity for net primary productivity and its stability along resource and 238 disturbance gradients (see Fig. 1 for the conceptual design of the modeling experiment), the 239full GEMINI model (FP) was compared with simplified versions offering reduced plasticity 240(from RP_1 to RP_4) and reduced coordination of growth (RP_5 and RP_6):

 241 - RP₁, full model but without axis demography resulting in constant axis density,

242- RP_2 , same as RP_1 but without explicit root morphogenesis,

243- RP_3 , same as RP_1 but without explicit shoot morphogenesis,

244- RP_4 , same as RP_1 but without explicit root and shoot morphogenesis,

245- RP_5 , same as RP_4 but with equal distribution of assimilates to leaf structure and leaf proteins, 246- RP_6 , same as RP_4 but with equal distribution of assimilates to leaf structure and roots.

The corresponding modules (*i.e.* axis demography, root and shoot morphogenesis, 248 assimilate partitioning) were inactivated either by setting parameter values to zero, or by 249 replacing them by fixed parameter values (Table 1). 247

In order to suppress axis demography in RP₁, *intcl* and *Tsen*₀ parameters were set to zero 251 in the ramification module. In RP_2 , RP_3 and RP_4 , morphogenesis modules were replaced by 252 constant parameter values by species (Fig. 1). In RP_2 and RP_4 , root morphogenesis was 253 replaced by two species-specific parameters: a constant area to mass ratio of roots (*lwr*) and a 254 constant root senescence rate (*TRoot*_{Sen}). In RP₃ and RP₄, shoot morphogenesis was replaced 255by a constant leaf area ratio (*LAR*, leaf area to plant mass ratio) and by a constant shoot 256senescence rate (*TShoot*_{Sen}). In addition, the shoot architecture module was replaced by a 257 constant plant canopy density (leaf area per unit canopy volume, $C_{\rm H}$) and by a constant leaf 258 angle (*theta*) (Table 1). In RP₅ and RP₆, fixed and equal assimilate fractions to leaf structure 259 and leaf proteins (RP₅) and to leaf structure and roots (RP₆) were obtained by setting the value 260of q_2 and q_1 parameter to zero in Eq. 2 and 1, respectively. 250

The optimization procedure used for the full plasticity version (FP) was then applied to 262each reduced plasticity model version. In the reduced plasticity version RP_1 , the two root 263 parameters LL_{plast} (branching order dependency of potential root length) and *Tr* (thermal time 264interval between two successive root emission events) were optimized as in FP version. 261

265Values of these parameters were calculated for each species using the outputs of previous 266 equilibrium simulations for the $C-N+$ treatment (Table 1B).

Reduced and full plasticity model versions were first compared for monocultures. Three 268grass species, which are representative of three plant N strategies (Maire et al., 2009), were 269used: A. odoratum (short and exploitative grass), A. elatius (tall and exploitative grass), and 270F. arundinacea (tall and conservative grass). Then, in order to test the role of plasticity for the 271outcome of plant-plant interactions, model versions with full and reduced plasticity were 272 compared with binary mixtures. Finally, simulated species and model versions were compared 273along resource and disturbance gradients: (i) a light gradient with 100, 80 and 60 $%$ of the 274daily short-wave radiation (PPFD) of the experimental site; (ii) a nitrogen gradient 275 contrasting fertilization supply rates of 360, 120 and 60 kgN ha⁻¹ yr⁻¹; (iii) a disturbance 276 gradient with 8, 6, 3 and 1 cuts $yr⁻¹$. 267

Simulations were run for 10 years with the experimental site climate (years 2003-2004 in 278loop for which data were available, see Pontes et al., 2007) and management conditions. Spin-279up runs and a restart procedure allowed initializing plant populations close to steady-state. 280Simulations were stopped for a given plant population whenever the substrate C mass tended 281towards zero (*i.e.* population death) or increased above an unrealistic value (greater than the 282plant structural C mass). Means were calculated over the simulation period of the plant 283 population. With the FP model version simulations lasted in monocultures and mixtures 3655 284 and 3000 days, on average. With the RP_1 model version simulations lasted in monocultures 285and mixtures 2237 and 1715 days, on average. Within three species mixtures, the average 286duration of coexistence between two simulated species reached 473 days. Therefore, some of 287 the simulations lasted for a shorter time period than 10 years. 277

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2.6. Data analysis 290

291An analysis of variance and Tukey's post-ANOVA comparisons at a p-level of 5% were used 292to analyze simulated above-ground net primary productivity (ANPP) across species, plasticity 293levels and environmental gradients in both monocultures and mixtures. Moreover, these tests 294were also applied to model outputs indicating light and nitrogen capture: the absorbed fraction 295of photosynthetically active radiation (*FAPAR*) and the nitrogen uptake rate per unit root area 296(Su). All statistical tests were performed with the software - Statgraphics Plus (Manugistics, 297Rockville, MD, USA).

298

3. RESULTS 299

3.1. Simulating co-limitation of growth by light and inorganic N capture 300

301After a cut, the P partitioning variable peaks at a high value thereby increasing partitioning to 302shoot structures at the expense of partitioning to root structures. During shoot regrowth after 303 cutting, the gradual increase in photosynthesis leads to an exponential decline in *P* which 304tends asymptotically to one (Fig. 2A). This indicates the reestablishment of a functional 305balance between roots and shoots. Cutting also induces a sharp drop in the second partitioning 306 variable (Q) as the residual leaf area becomes exposed to full radiation. This increases the leaf 307N content required for photosynthetic coordination, leading to an increased partitioning to leaf 308photosynthetic proteins at the expense of leaf structures. During shoot regrowth, 309photosynthetic proteins replenishment increases asymptotically Q value towards one and leaf 310photosynthesis coordination is re-established. Within one month after a cut, as *P* and *Q* values 311both converge towards one, growth is again co-limited by nitrogen, light and $CO₂$ capture.

In the same way, after nitrogen deprivation a reduced root inorganic N uptake leads to a 313 decline in *P* value (Fig. 2B) and, thereby, to a preferential partitioning of assimilates to roots 314 compared to shoot structures. Both increased root growth and a de-repression of root N uptake 312

315 capacity (Soussana et al., 2012) lead to a restoration of N uptake and to an asymptotic 316 increase of *P* value towards one. *Q* is little affected by inorganic N deprivation (Fig. 2B) and 317its value stays close to one. Hence, by adjusting their root: shoot ratio and their N uptake 318 capacity, N deprived plants tend towards a co-limitation of growth by nitrogen uptake and by 319photosynthesis. P and Q values show oscillations over a few days (Fig. 2). Such oscillations 320are caused by the emission and senescence of individual leaves and roots, which alters 321 root: shoot functional balance through small changes in leaf and root area.

322

3233.2. Critical shoot nitrogen content decline

324 Critical shoot N content (see Methods) declines with above-ground biomass (Lemaire et al., 3252008) according to a power law. This empirical law was tested with *Dactylis glomerata* under 326 c lose to non-limiting nitrogen conditions (corresponding to the $C-N+$ experimental treatment). 327During the first part of the regrowth, simulated shoot N concentration fits to the empirical law 328(Fig. 3), showing that this law may therefore be a consequence of coordinated plant growth. 329However, above a leaf area index of 5 simulated changes in shoot N content slightly 330 underestimate the empirically established critical nitrogen decline curve.

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3.3. Simulating size vs. density relationships 332

333Between species variations in mean individual shoot mass (W_S+W_P) and mean density (D) of 334plant axes were negatively correlated according to a power law (exponent -0.94, Fig. 4A). 335Data obtained in spring in the field experiment (Maire, 2009) showed a similar exponent (-3361.12) between mean shoot tiller mass and tiller density (Fig. 4B). Simulations of size vs. 337 density relationships with both shoots and roots (Fig. 4A, W_G) indicated a lower exponent in 338absolute value (close to -¾) of the size vs. density relationship. Therefore, the model captures 339an essential property of plant canopies that relates the size and density of a plant population.

3.4. Predicted productivity vs. traits relationships. 340

341 The simulated log-response ratio (LNRR) of above-ground net primary productivity (ANPP) 342to cutting frequency is significantly and positively correlated with *SLA* ($r^2 = 0.59$, $p < 0.01$, 343Fig. 5A). This indicates that the higher the *SLA* of the species, the higher its biomass at high 344 compared to low cutting frequency. In the same way, the LNRR of *ANPP* to N supply is 345 significantly and negatively correlated with plant height (r^2 = 0.36, p < 0.05, Fig. 5B). Hence, 346the lower the plant height, the higher its biomass at high compared to low N supply. Overall, 347 these two results indicate that simulated species response to cutting frequency and N 348fertilization varies with plant functional traits and therefore with the functional strategy of 349species.

350

3.5. Plant plasticity and simulated above-ground productivity in monocultures 351

352The role of plasticity for *ANPP* and its stability along cutting frequency, N supply and light 353gradients was tested with three grass species that are representative of contrasting N strategies (Maire et al., 2009) (Fig. 6, Table 2A). The full model (FP) provided a higher *ANPP* (Tukey's 354 355 post-ANOVA comparison of multiple means, $p < 0.05$) than other model versions that 356 restricted plasticity and coordination (Fig. 6). An intermediate *ANPP* was obtained for model 357 versions without tiller dynamics and/or root, shoot morphogenesis (RP_1 , RP_2 , RP_3 and RP_4 358versions). Model versions which, moreover, suppressed coordination between shoot structures 359 and roots, or between shoot structures and leaf proteins (RP_5 and RP_6) had significantly lower *ANPP* (Tukey, *p* < 0.05) than other versions. The large role of plasticity for *ANPP* was 360 361 underlined by a 51 % share of total variance for this factor and its interactions with others.

Radiation and nitrogen captures (*FAPAR* and *Su*, respectively) also varied strongly with 363plasticity level (42 and 32 % of total variance, Table 2A). An explicit root morphogenesis 364(RP_1 , RP_2 and RP_4) significantly increased root uptake activity (S_u) compared to model 362

365 versions without root morphogenesis and architecture (Tukey, $p < 0.05$, data not shown). An 366 explicit shoot morphogenesis (FP, RP_1 and RP_2 versions) increased the absorbed radiation 367($FAPAR$) compared to intermediate plasticity (RP_3 and RP_4) (data not shown).

With full plasticity (FP), the three grass species showed contrasting behaviors in response 369to environmental gradients. ANPP responses to N fertilization indicated a strong increase for 370all species, except *F. arundinacea* which reached a plateau (Fig. 6). *ANPP* responses to 371 cutting frequency were smaller than with N supply and displayed contrasted slopes among 372 species (see FP Fig. 6). *F. arundinacea* reached its optimum *ANPP* around 3 cuts per year; *A.* 373elatius around 6 cuts yr⁻¹ and *A. odoratum* between 6 and 8 cuts yr⁻¹ (Fig. 6). *F. arundinacea* 374ANPP decreased strongly with increasing shade, while the two other species were less 375 affected (Fig. 6). In contrast to the full model version (FP), there was almost no increase in 376AMPP for the least plastic versions (RP_5 and RP_6) when light and N supply levels were 377 increased and when disturbance level was reduced (Fig. 6). 368

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3793.6. Plasticity, productivity and dominance in binary mixtures

Within binary mixtures, the fraction of total variance (12, 24 and 33% for *ANPP*, *FAPAR* and 380 381S_u, respectively, Table 2B) attributed to the plasticity level was relatively smaller than in 382 monocultures. However, the plasticity x species interaction explained also a substantial share 383of the total variance (17 and 39%, respectively) of *ANPP* and of *FAPAR*. The decline in 384ANPP at reduced plasticity levels was not systematically observed in mixtures. However, 385ANPP was always low when growth partitioning coordination was suppressed (RP₅, RP₆, Fig. 3867).

In simulated binary mixtures, the dominance of *F. arundinacea* markedly declined with 388 cutting frequency (Fig. 7). At three cuts per year, this tall grass overcompeted simulated A. 389odoratum and A. elatius, which were conversely dominant at higher cutting frequencies (Fig. 387

3907). This disturbance induced change in dominance became less marked when root and shoot 391 morphogenesis were not modeled explicitly (RP_1 and RP_2) and was suppressed when growth 392 partitioning coordination was knocked out $(RP₅$ and $RP₆)$. In the latter case, the ANPP of 393simulated *F. arundinacea* was always close to zero (Fig. 7).

Within simulated mixtures of *A. odoratum* and *A. elatius*, *A. elatius* became increasingly 395 dominant at high compared to low frequency when full plasticity was included. In contrast, 396when explicit root and shoot morphologies were suppressed (RP₂, RP₃ and RP₄), *A. odoratum* 397was favored by an increased cutting frequency (Fig. 7). Therefore, the outcome of simulated 398biotic interactions depended upon model assumptions on the degree of plant plasticity. 394

399

4004. DISCUSSION

401By combining an individual-centred approach with coordination hypotheses, we have 402developed a mechanistic model linking plant functional traits, plant population and plant 403 community dynamics and ecosystem processes (e.g. net primary productivity). In agreement 404 with our initial hypothesis, we have shown through a modeling experiment that both 405individual plant plasticity and population plasticity are needed to maintain grass species 406fitness (i.e. above-ground net primary productivity) along gradients of resource levels 407(nitrogen, light), disturbance (cutting frequency) and competition (mixtures vs. 408 monocultures). Moreover, emergent properties arising from the model with full plasticity 409 account for four well-established empirical laws: i) resilience to defoliation and to N 410deprivation, ii) critical N decline during stand growth, iii) self-thinning and iv) trait mediated 411 species productivity response to defoliation and N deprivation. Furthermore, simplified 412 versions of the model lacking processes controlling plant plasticity and population plasticity 413 display a lower net primary productivity as compared to the full plastic model.

4.1. Emergent properties of the model 415

416At full complexity, the model presents emergent properties, which account for four well-417 established empirical laws.

First, the GEMINI model re-establishes a functional balance after a disturbance caused by 419defoliation and after a stress caused by N deprivation. After a defoliation event, a preferential 420 partitioning of substrates first to leaf proteins and then to shoot structures is induced at the 421 expense of roots. This response to defoliation replenishes leaf N content and increases leaf 422area. Conversely, after N deprivation, preferential partitioning to roots takes place and the 423 activity of root transporters is de-repressed which also contributes to increased N uptake, 424 thereby restoring a functional equilibrium and a coordination of leaf photosynthesis. Hence, 425through changes in the relative sizes and physiological activities of roots and shoots, plant 426growth will again be co-limited by multiple resources capture (photosynthetically active 427 radiation, atmospheric $CO₂$ and inorganic N). 418

Second, the model accounts for the decline in critical N concentration during stand 429 regrowth. Even when there is ample supply of N, plant shoot N concentration declines during 430growth within dense canopies. This observation led to the development of a law relating 431 critical shoot N concentration (see Methods) and stand dry matter accumulation by a negative 432power function (Lemaire et al., 2008). For LAI values below ca. 5, this empirical law is well 433accounted for by the model. This shows that when leaves become gradually shaded, changes 434in leaf proteins to structure ratio support the hypothesis of leaf photosynthesis coordination 435(Maire, 2009). Through changes in the *Q* partitioning variable, an increasing fraction of 436 shaded leaves induces the simulated decline in shoot N concentration during canopy growth. 437In contrast, simulated N content of leaves in full light at the top of the canopy remain constant 438(data not shown), in agreement with previous measurements (Gastal and Lemaire, 2002). At 439high *LAI* values (above 5), the empirical critical N content is slightly above the coordinated 428

440 simulated leaf N content. Overall, it is remarkable that the value and plasticity of C-N 441stoichiometry (see Gruber and Galloway, 2008) during autotrophy is an emergent property of 442 coordinated resource acquisition by plants.

Third, self-thinning, a well-established empirical law is also predicted. The self-thinning 444rule relates plant mass to plant density in crowded, even-aged stands by a power-law equation 445 with a negative exponent which is usually close in absolute value to 3/2. The rule is widely 446 accepted as an empirical generalization and quantitative law that applies across the plant 447kingdom (e.g. Sackville-Hamilton et al., 1995; West et al., 1999). It is remarkable that the 448 combination in the GEMINI model of detailed ecophysiological and morphogenetic processes at 449the individual plant scale results in a self-thinning rule relating size and density of tillers 450 across grass species. Interestingly, both the model and the measurements show a negative 451 exponent close to one, and therefore substantially lower than the 3/2 exponent (but see 452Enquist and Niklas, 2002). This could be caused by the physiological integration among tillers 453within clonal grasses which tends to reduce tiller mortality within crowded stands (De Kroon 454et al., 1992). 443

Finally, another emerging model property concerns its ability to explain some of the 456observed trait mediated species productivity responses to defoliation and N deprivation. The 457 correlation between the log-response ratio of *ANPP* to cutting frequency and *SLA* is in good 458agreement with previous observations (Caldwell, 1981; Westoby, 1999) showing that high 459SLA favors fast leaf regrowth and hence a tolerance response to defoliation, by increasing the 460rate of leaf recovery (Gross et al., 2007). Similarly, the negative correlation between the log-461 response ratio of *ANPP* to N supply and plant height is consistent with the positive 462 relationship which was found between plant height and N conservation with the same grass 463 species (Maire et al., 2009). 455

464

465**4.2. Role of plasticity**

466The plasticity of traits can be influenced by allometric or physiological constraints and some 467 species might be less plastic than others depending on their traits (Givnish, 2002; Enquist and 468Niklas, 2002; Weiner, 2004). However, to date, few studies have investigated relationships 469between trait plasticity and the variations of physiology, morphology and growth across plant 470 species (Ackerly and Sultan, 2006).

The present modeling study supports the hypothesis that plasticity favors the resilience 472along nutrients and disturbance gradients of plant production and competitive ability (Figures 4736, 7, 8) in agreement with previous reports (e.g. Soussana and Lafarge, 1998). With an 474individual centered-model such as GEMINI, suppressing axis demography (RP₁ version) halved 475the overall mean *ANPP* simulated by the model. Plastic adjustments in tiller density have been 476observed in response both to cutting frequency and to nitrogen supply (Lemaire and 477Chapman, 1996). Indeed, the negative exponent between tiller size and density shows that 478these two traits co-vary both within and across grass species. Imposing a constant tiller 479 density, leads either to excess C and N substrates (under environmental conditions favorable 480for growth), or to substrate deficiency whenever resources become too limited to support the 481 tiller population (data not shown). 471

Although suppressing shoot morphogenesis simulation (RP_2) did not affect significantly 483ANPP, suppressing both root morphogenesis and axis demography (RP₃) reduced by a factor 484of four *ANPP* in comparison to the full model. Therefore, root architecture and turnover 485 appear to have a major role in an individual-centred model, since they contribute to the 486stability of yield along resource and disturbance gradients. Finally, further suppressing growth 487 coordination (RP₅ and RP₆) leads to a mean *ANPP* that is divided by a factor of 1.25 or more 488 compared to the full model, which underlines the major role of growth and photosynthesis 489 coordination (Fig. 8). 482

These simulations reveal that although simple compartment-based models may be 491 successful at predicting the instantaneous fluxes exchanged between vegetation and the 492 environment, they are not applicable at the individual plant and population scales. Given the 493large variability in resource levels and in microclimate experienced by individual plants 494 within stands, most assumptions of simple models (e.g. constant radiation and nitrogen use 495efficiencies) do not hold at this scale (Faurie et al., 1996; Gastal and Lemaire, 2002). In 496 contrast, individual centered models show that plasticity in the form and function of 497individual plants stabilizes productivity, light interception and N uptake, as well as radiation 498 and N use efficiencies (data not shown). 490

When applying the full model to binary mixtures, simulated grass species dominance was 500 consistent with observations of: 499

an increased dominance of grass species which have high plant stature and conservative traits (such as *F. arundinacea*) at low compared to high cutting frequencies, compared both to short and to tall exploitative grasses (*e.g. A. odoratum* and *A. elatius*), (Louault et al., 2005; Pontes et al., 2011); $501 -$ 502 503 504

- an increased dominance of tall vs. short exploitative grass species (*A. elatius vs. A. odoratum*) at low compared to high cutting frequencies (Grime, 1979; Pontes et al., 2011). 505 506 507

508Without an explicit root and shoot morphogenesis, grass species dominance was not altered 509along a disturbance gradient. Under increased disturbance by cutting, species ability to rapidly 510emit new leaves is an important trait that favors competitive ability for light capture 511(Cingolani et al., 2005). Therefore, without explicit shoot morphogenesis, this capacity is lost 512 and changes in dominance with cutting frequency are not simulated adequately anymore.

513

4.3. Model complexity 516

517There is a common understanding that the complexity of a particular system is linked to the 518 difficulty in predicting the system properties despite a detailed knowledge of the system 519elements and their relationships (Weaver, 1948; Heylighen, 2008). Complexity can be seen 520either as disorganized or as organized. The latter case applies to our study since we consider 521 non-random and correlated interactions between a range of processes at the root, shoot, plant, 522population, community and ecosystem scales. We have retained the emergence concept since 523our simulated system shows plasticity properties which are not dictated by the elementary 524 parameters which are used for modeling these processes. To understand such organized 525 complexity with emergent properties computer simulation was necessary (Hill, 1996).

In this way, we changed the granularity of different submodels of GEMINI in order to 527test their pertinence for the plant fitness in a given environment. This has been partially 528integrated in the software architecture by activating / deactivating some submodels (e.g. leaf 529 and root architectures) that are replaced by more simplistic behaviors. However this 530 replacement is not fully automated in GEMINI, and it should be interesting for a mechanistic 531 model like GEMINI to push away the degree of granularity at will. The difficulty of changing 532 model granularity lies in the issue of coupling models. Coupling models requires a framework 533and a methodology of development (Davis, 2001) accounting for the exchange of data 534between models which should be considered itself as a model (Winiwarter, 2005) as well as 535 for the ordering of variables during the integration process, because a dependency between 536 variables implies an order of computation. This issue requires being able to analyze the 537 formulas when coupling models to find the dependencies between variables and set up a new 538 ordering in the integration process. As G *EMINI* is developed in C^{++} language, one can consider 526

539 designing an EDSL (Embedded Design Specific Language) based on metaprogramming and 540 operators overloading (Fowler, 2010).

5415. Conclusion

542The GEMINI model provides a consistent trait-based mechanistic framework for modeling the 543role of grass diversity and plasticity on community dynamics and ecosystem functioning. In 544this paper, we have shown from *in-silico* experiments the role of morphological (root and 545 shoot morphology) and physiological (leaf N content, leaf photosynthesis) plasticity, as well 546as population (axis density) plasticity, for plant population fitness (*i.e.* net primary 547productivity) across resource, disturbance and competition gradients. Moreover, we have 548 shown that model versions for which plasticity has been suppressed are not able to reproduce 549 observed changes in net primary productivity across environment and competition gradients. 550Therefore, the details of physiological and morphological mechanisms allowing adaptive 551 plasticity in the GEMINI model are both necessary and sufficient (in agreement with the 552 parsimony principle) to simulate major trends in perennial grasses productivity and 553dominance. This modeling experiment shows that along environmental and competition 554gradients the plasticity of plant form and function contributes to maintain the coordination of 555 multiple resource capture and, hence, to sustain productivity and dominance.

556

6. ACKNOWLEDGEMENTS 557

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roots and shoot in crop and grass plants. Njas-Wageningen J. Life Sci. 51, 407-426. 690

TABLE CAPTIONS 691

692Table 1. Parameter calibration of GEMINI for reduced plasticity versions (RP₁₋₆). The 693 parameterization of the full plasticity version (FP) is reported by Soussana et al. (2012). A) 694Modeling experiment evaluating the role of plant plasticity. The full GEMINI model (FP) was 695 compared with reduced demographic and morphogenetic plasticity (from RP_1 to RP_4) and 696 with reduced coordination of growth (RP_5 and RP_6) versions. D_{Sp} , indicates that a dynamic 697 variable is calculated by the model. P_{Sp} , indicates that a parameter has been calibrated for each 698 species using pseudo-equilibrium FP output variables for the C- N+ experimental treatment 699(see Methods). B) Species-specific parameter values (P_{Sp}) in reduced plasticity versions. 700Abbreviations: *intcl*, clonal integration; *Tsen*₀, axis lifespan; q_1 and q_2 , power coefficients 701 varying the degree of control on partitioning between shoot and root structures and between 702shoot structures and leaf proteins, respectively; C_{H} , leaf canopy density; *LAR*, leaf area ratio; 703theta, leaf angle; *TShoot*_{Sen}, shoot senescence rate; *TRoot*_{Sen}, root senescence rate; lwr, root 704 area ratio. nd; not determined.

705

Table 2. ANOVA (General Linear model) for the effects of plant species (*A. odoratum*, *A.* 706 707elatius and *F. arundinacea*), plant plasticity (FP, RP_1 to RP_6 versions of the model, see 708Methods), cutting frequency, incident light and nitrogen supply factors on simulated above-709ground net primary productivity (ANPP), fraction of absorbed photosynthetically active 710radiation (FAPAR) and nitrogen uptake rate (Su). A) Monocultures, B) Binary mixtures. 711Abbreviations: df, degree of freedom; Expl. Var., percentage of variance explained; ns, not 712 significant.

FIGURE CAPTIONS 714

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Figure 1. Conceptual design of a modeling experiment for evaluating the role of plant 716 717 plasticity. Aboveground net primary productivity (ANPP) is compared between full model 718(FP), reduced demographic and morphogenetic plasticity model versions (RP₁ to RP₄) and 719 reduced coordination of growth $(RP_5$ and RP_6) model versions.

720

Figure 2. Effects of a cut (at 5 cm height on day 0) and of N deprivation (from 0.25 to 0.05 721 722gN $m² d⁻¹$ on day 110) on the *P* and *Q* variables partitioning assimilates between shoot and 723root structures, and between shoot structures and leaf photosynthetic proteins, respectively. 724Simulations were run with *D. glomerata* under a constant environment. Note that small 725 σ scillations in *P* and *Q* dynamics after disturbance by cutting and N deprivation are caused by 726 changes in leaf and in root numbers (emission and mortality).

727

Figure 3. Simulated and empirical relationships between shoot N concentration and shoot dry 728 729 matter during regrowth after a cut (open circles) for the C-N+ treatment. Open circles show 730 simulation results. The solid line shows the empirical law relating critical shoot N 731 concentration (Y, %) and shoot dry-matter (X, t DM ha⁻¹) as $Y = 4.8$ X ^{-0.32} (Lemaire et al., 7322008). The dashed line shows the fit of a power law to simulated results for shoot dry-matter 733 values above 2.5 t DM ha⁻¹.

734

735 Figure 4. Relationships between simulated mean tiller mass (W_G), simulated shoot mass (W_S $736+ W_P$) and simulated mean tiller density (A); and between observed shoot mass and observed 737 mean tiller density (B) across 13 grass species. Species abbreviations are: *Alopecurus pratensis* (*Ap*), *Anthoxanthum odoratum* (*Ao*), *Arrhenatherum elatius* (*Ae*), *Dactylis* 738

glomerata (*Dg*), *Elytrigia repens* (*Er*), *Festuca arundinacea* (*Fa*), *Festuca rubra* (*Fr*), *Holcus* 739 *lanatus* (*Hl*), *Lolium perenne* (*Lp*), *Lolium perenne* cultivar (Cl), *Phleum pratense* (*Php*), *Poa* 740 *pratensis* (*Pp*), *Poa trivialis* (*Pt*), *Trisetum flavescens* (*Tf*). In A, simulated relationships for 741 742 mean tiller mass (W_{G}) and for shoot mass ($W_{\text{S}} + W_{\text{P}}$) are displayed in capitals and in small 743 letters, respectively. Simulations were run for 10 years in monocultures at 3 cuts per year and 744for 360 kgN ha⁻¹ yr⁻¹ N fertilizer supply. Slope and intercepts of SMA regressions were 745 calculated according to Warton et al. (2006). In B, the observations of tiller density and shoot 746 mass of grass species were measured under equivalent management in the site of Theix and 747 were fully commented in Pontes et al. (2007) and in Soussana et al. (2012).

748

Figure 5. Relationships between plant functional traits and above-ground net primary 749 750productivity (ANPP) response (log response ratio, LNRR, Suding et al. 2003) to management 751 changes of 12 grass species simulated by GEMINI in monoculture. (A) Relationship between 752 specific leaf area (SLA) and response to cutting intensity increase (LNRR(cut), from 3 to 6 cut $753yr^{-1}$); (B) Relationship between plant height and response to N fertilization increase 754(LNRR(N), from 120 to 360 kgN ha⁻¹ yr⁻¹).

755

756Figure 6. Simulated above-ground net primary productivity (ANPP) of three grass 757 monocultures (A. odoratum, A. elatius, F. arundinacea) along disturbance (cutting frequency: 7581, 3, 6 and 8 cuts yr⁻¹), nitrogen supply (60, 120 and 360 kgN ha⁻¹ yr⁻¹ from substrate and 759fertilizer) and light (100, 80 and 60% of incident daily *PPFD*) gradients. The full GEMINI 760 model (FP) was compared with versions increasingly (from RP_1 to RP_6) restricting plasticity 761 and coordination of plant form and function (see Methods). RP_1 , no axis turnover; RP_2 , same 762as RP₁ but without explicit root morphogenesis; RP_3 , same as RP_1 but without explicit shoot 763 morphogenesis; RP_4 , same as RP_1 but without explicit root and shoot morphogenesis; RP_5 , 764 same as RP_4 with equal distribution of assimilates to leaf structure and leaf proteins; RP_6 ,

765 same as RP_4 with equal distribution of assimilates to leaf structure and roots. The effects of 766 plasticity level (P), of environmental gradients (C: cutting frequency, N: nitrogen fertilization 767level, L: light level) and of their interactions were tested by ANOVA (see SI3 for details) and 768are shown with their corresponding *p*-level.

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Figure 7. Simulated above-ground net primary productivity (ANPP) of binary mixtures of *A.* 770 771odoratum, A. elatius and *F. arundinacea* along a cutting frequency (3, 6 and 8 cuts per year) 772 gradient. Figures in the same row compare the two component species within a binary 773 mixture. The full GEMINI model (FP) was compared with versions increasingly (from RP_1 to $774RP₆$) restricting plasticity and coordination of plant form and function. For abbreviations, see 775Figure 8.

776

777Figure 8. Simulated plasticity levels ratios for mean above-ground net primary productivity 778(ANPP) of grass species (A. odoratum, A. elatius and F. arundinacea) in monocultures and in 779binary mixtures across all N fertilization, cutting regime and light gradients. The plasticity 780 level ratio was calculated as the ratio of a given plasticity level (FP, RP_1 to RP_5) to the lowest 781 plasticity level (RP_6) .

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801**Figure 8**