

Multimodality approach in extra cervical locally advanced cervical cancer: chemoradiation, surgery and intraoperative radiation therapy. a phase II trial

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- 1 MULTIMODALITY APPROACH IN EXTRA CERVICAL LOCALLY ADVANCED CERVICAL
- 2 CANCER: CHEMORADIATION, SURGERY AND INTRAOPERATIVE RADIATION 3 THERAPY. A PHASE II TRIAL
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	ACCEPTED MANUSCRIPT
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25	ABSTRACT

BACKGROUND. The goal of this study was to determine the rational of radical surgery
 with intraoperative high-dose radiotherapy after chemoradiation (RT-CT) in extra cervical
 locally advanced cervical cancer (LACC) patients.

METHODS. Between 2000 and 2007, 42 LACC (stage IIA bulky-IVA) patients were treated at the Gynecologic Oncology Unit of the C.R.O. of Aviano in a Phase II Clinical Trial. Radiotherapy was administered to the whole pelvic region (50.4 Gy in 28 fractions) in combination with cisplatin (60 mg/mq) plus 5FU (750 mg/mq for 4 days) on first and fifth week of RT. Radical surgery with Intra-Operative Radiation Therapy (IORT) was performed 6-8 weeks after the end of RT-CT treatment.

RESULTS. After RT-CT, 35/42 patients (83%) underwent radical surgery and IORT treatment. At pathologic examination 8/35 (23%) patients showed complete response, while the rest (27/35) had residual disease either microscopic (17/27) or gross (10/27). The 5-year disease free survival (DFS) and the 5-year overall survival (OS) were 46% and 49% respectively. There were significant better DFS and OS when residual tumor was absent or limited to the cervix, respectively 78% versus 16% and 81% versus 20% (p <0.001). All recurrences occurred within 24 months from treatment.

42 CONCLUSIONS. RT-CT followed by surgery and IORT in LACC patients seems to be
 43 active in a subgroup of patients with pathological complete response to treatment or partial
 44 response with residual tumor limited to the cervix.

KEYWORDS: Uterine Cervical neoplasms; Locally Advanced; Chemotherapy; Sugery; Intra-operative
 Radiotherapy; Combined Modality Therapy

- 47 ABBREVIATIONS: Intra-Operative Radiation Therapy (IORT); in-field recurrence(IFR);
- 48 out-field recurrence (OFR)

INTRODUCTION

52 Cervical cancer is the second most frequent cancer for mortality worldwide, due to late 53 diagnosis in developing countries, and the associated mortality [1] correlates with the 54 stage of the disease [2]. Nowadays, concomitant Radiotherapy and Cisplatin based 55 chemotherapy is the standard treatment for locally advanced cases of cervical cancer 56 (LACC, stage IB2-IVA) [3-5].

Indeed, a meta-analysis of 13 randomised trials confirmed that concurrent chemoradiation 57 58 significantly improved disease-free survival, locoregional recurrence-free survival, metastasis-free survival and overall survival compared with irradiation alone [6,7]. Also, 59 there was a suggestion of a difference in the survival benefit with tumour stage, but not 60 across other patient subgroups. Furthermore, neoadjuvant chemotherapy followed by 61 radical hysterectomy contributed to satisfactory results in LACC [8-14] and a meta-analysis 62 of 5 randomised trials showed that this treatment modality was superior to irradiation alone 63 presenting a gain in the 5-year overall survival of 14% [11] [15]. Finally, in an Italian 64 65 randomised trial, chemo-surgical treatment achieved better progression-free and overall survival compared with irradiation alone in patients with stage Ib2-IIb disease, whereas 66 there was no significant difference in the clinical outcome between these two treatment 67 modalities in patients with stage III disease [12]. Actually, one of the main objectives of 68 69 current research in LACC is to improve the response rate either by modifying the treatment schedule of chemoradiation or by using neoadjuvant chemoradiation followed by surgery 70 71 [16-19].

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To this aim, a multimodality treatment approach on women with extra cervical LACC (stage
IIA bulky-IVA) was tested in a Phase II trial including neoadjuvant chemoradiation followed
by radical surgery and Intra-Operative Radiation Therapy (IORT) in this clinical setting.

77

PATIENTS AND METHODS

78 Study

From January 2000 to January 2007, 42 extra cervical LACC patients with biopsy-proven 79 carcinoma of the cervix entered a Phase II Clinical Trial on the role of surgery and IORT 80 after concomitant chemoradiation. Inclusion criteria were: stage IIA-Bulky (>4 cm) to stage 81 IVA disease, no evidence of disease outside the pelvis; age <75years; Eastern 82 Cooperative Oncology Group performance status <2; adequate bone-marrow function 83 (WBC >3000/mm³, platelets >150,000/mm³); normal liver function (blood bilirubin 84 <2mg/dl); adequate renal function (blood urea nitrogen <25 mg/dl) and no prior cancer. All 85 patients signed a written informed consent to participate to the experimental protocol 86 approved by Local Research Ethics Committee. 87

Before accrual, the patients underwent history and clinical examination, gynecological
examination, complete blood count, biochemical workup abdomino-pelvic CT scan (to
exclude extra pelvic disease) and pelvic MRI (to measure the volume of pelvic neoplasia).
Cystoscopy and sigmoidoscopy were performed in the case of clinical suspicion of bladder
or rectal involvement.

93 Treatment Schedule

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95 Treatment started with external beam radiotherapy, 4-field technique, 50.4 Gy in 28 96 fractions (on the pelvic field up to common iliac nodes included) and concurrent 97 chemotherapy consisted of intravenous infusion of cisplatin 60 mg/m² on day 1 plus 5-98 fluorouracil 750 mg/m² on days 2-5 during the first and fifth weeks of radiotherapy. 99 Chemotherapy was administered when leukocyte count was greater than 3000/mm³, 100 platelet count greater than 150,000/mm³ and serum creatinine level less than 1.5 mg/dl.

Toxicity was evaluated in all patients. No patients received pre-operative brachytherapy. 101 Physical and vaginal-pelvic examination together with abdominal-pelvic MRI were 102 repeated 4 weeks after the end of concomitant chemoradiation for the evaluation of clinical 103 response (according to RECIST criteria [20]) and for the planning of surgical treatment. 104 After chemoradiation, laparotomic radical hysterectomy and pelvic lymphadenectomy were 105 performed. If common iliac nodes were positives at frozen section, para-aortic 106 lymphadenectomy below the level of inferior mesenteric artery was also performed. Pelvic 107 exenteration was performed in the presence of bladder involvement. At the end of surgery 108 and before abdomen closure IORT was delivered on bilateral pelvic sites, including the 109 surgical resection margin of parametrium, the obturator fossa and external pelvic vessels. 110 Monolateral delivery of IORT was performed if neoplasia was clearly expanding 111 monolateraly with negative frozen-section in contralateral site. Other sites of pelvis and 112 aortic lymphnodes were irradiated with IORT if the tissue removed was suspect at 113 macroscopic inspection and confirmed at frozen-section. If ureters had to be freed from a 114 115 cuff of neoplastic tissue (evaluated at frozen section), a pig-tail stent was inserted and ureters were included in IORT irradiation. IORT irradiation sites were marked with metallic 116 clips larger than those utilized for lymphadenectomy in order to distinguish in-field from 117 out-field pelvic recurrences. Complications were defined as intra-operative (bladder, 118 ureter, bowel, vascular or nerve injuries and blood loss exceeding 500 ml), peri-operative 119 (within 30 days from surgery) and post-operative (after 30 days from surgery), and 120 described according to Chassagne [21]. Three different categories of pathological 121 responses were determined: complete response (no evidence of tumor), partial 122 microscopic response (only microscopic foci) and partial macroscopic response (gross 123 persistent disease). Partial microscopic or macroscopic responses were classified by 124 either only cervical or outside the cervix (parametrium, lymph nodes and pelvic tissue). 125 126

127 Follow-up

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Postoperative management was individually established on the basis of histological findings on surgical samples, patient age and general conditions, after discussion of the options with the patient

Patients were periodically followed up with physical and gynecological examination, complete blood count and blood chemistry every 3 months for the first two years and every six months thereafter. Pelvic MRI and abdominal CT were performed every 6 months for the first two years and every 12 months thereafter.

Pelvic recurrences were classified as in-field (IFR) or out-field (OFR) if they occurred within or outside the IORT irradiation field. Distant recurrences (DR) were noted if they occurred outside the pelvis.

139 Statistics

Chi-square test was used for discrete and binomial data. Progression-free and overall survivals were estimated by the product limit method of Kaplan and Meier, and survival curves were compared using the log-rank test. Cox's proportional hazard regression model was used to assess the relationship between survival data and covariates. Difference was considered significant if P <0.05. Disease free survival (DFS) and overall survival (OS) were calculated from the date of surgery to the date of relapse and to the date of death or last follow-up, respectively.

RESULTS

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150 Chemoradiation Treatment

The clinical-radiological reassessment after the end of concomitant chemoradiation showed a clinical complete response in 2/42 (5%) patients, and a partial response in 23/42 (54%), with an overall response rate of 59%. Toxicity due to chemoradiation was evaluated in all 42 patients. Hematological toxicity was grade 1-2 in 38 patients (90%) and grade 3-4 in 2 (5%). Grade 1-2 and grade 3-4 gastrointestinal toxicity occurred in 22 (52%) and 5 (12%) patients, respectively. Two patients developed grade 1-2 neurotoxicity (5%).

157

158 Surgical Treatment

159 Four out of the 42 initial women did not undergo surgery, three because of disease progression and one for refusal. Of the 38 patients who underwent surgery, after a median 160 of 38 days (range from 15 to 73) from the completion of chemoradiation, three did not 161 receive radical surgery because of unresactable tumor extended to pelvic wall (two 162 patients) or massive intraoperative hemorrhage (one patient). Thirty-five women 163 164 underwent laparotomic radical hysterectomy (classified according to Piver's nomenclature) with pelvic lymphadenectomy: 5 (14%) had type 2 radical hysterectomy, 21 (60%) had 165 type 3 radical hysterectomy, 6 (17%) type 4-5 radical hysterectomy, and 3 (9%) had 166 anterior exenteration. Systematic pelvic lymphadenectomy was performed in all patients 167 with a mean of 19.8 lymph nodes removed (range from 12 to 31). Para-aortic 168 lymphadenectomy was performed in 7 patients with a mean of 6 lymph nodes (range from 169 3 to 9). The mean operative time duration was 303 minutes (range from 230 to 490). 170

171

172 IORT Treatment

The characteristics of the 35 patients that completed the planned combined treatment RT-CT followed by surgery and IORT are reported on Table 1. IORT was delivered to bilateral pelvic wall in 29 (82.9%) patients, to mono-lateral pelvic wall in 4 (11.3%), to bilateral pelvic wall and central pelvis in one patient (2.9%) and to bilateral pelvic wall and para-

aortic area in one patient (2.9%). As far as IORT is concerned, the mean delivered dose was 11 Gy (range from 10 to 15 Gy) and mean diameter of irradiation field was 6.3 cm (range from 5.7 to 8.3 cm).

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182 Complications

The only major intraoperative complication was the section of the right obturator nerve 183 184 infiltrated by a massively enlarged lymph node. The nerve was repaired immediately with no sequelae. Twelve patients had an intraoperative blood loss greater than 500 ml and 8 185 of them required blood transfusion. In one patient an intraoperative hemorrhage did not 186 allow radical surgery and the delivery of the scheduled IORT. Peri-operative and post-187 operative complications are reported in Table 2. Mono-or bilateral ureteral stents were 188 placed in 7 patients. Five of them had undergone a pre-operative nephrostomy for the 189 presence of hydronephrosis, whereas in 2 patients a pig tail stent was inserted after the 190 ureter was freed from fibrotic tissue with injury of ureteral serosa. Only one ureter was 191 included in IORT field. Three patients who underwent anterior exenteration had their 192 ureters sectioned on tumor-free tissue and were not included in IORT field. All three 193 ureteral fistulae occurred in the first 12 patients when we used to insert the stents through 194 195 ureteral incision. Because of this complication, subsequently catheters were inserted through cystostomy. Deep venous thrombosis, developed in 9% of the patients, were 196 managed with high dosage of low molecular weight heparin without any embolic 197 complications (assessed by lung CT and scintigraphy). 198

The mean hospital stay was 22.1 days (range from 9 to 89). Five patients with long term hospital stay were discharged and re-admitted many times for treatment-related complications.

202 Pathological Findings

Pathological findings are reported on Table 3. No patient had positive surgical margins. In 203 patients with microscopic cervical residue only 4/14 of them (28.6%) had residual 204 infiltration of less than 5 mm from surface of cervical epithelium; none of these four women 205 had residual disease outside cervix. The others 10 patients had multiple foci of residual 206 tumor throughout the cervical stroma; five of them (50%) had also positive parametrium. 207 Nine patients (26%) had positive pelvic nodes within field of pre-surgical irradiation. Three 208 out of seven (43%) patients with para-aortic lymphadenectomy had positive aortic nodes, 209 and one had positive inquinal nodes. 210

211 *Post-operative treatment*

Post-operative adjuvant treatment consisted of vaginal brachitherapy in 4 patients,
chemotherapy with different agents in 8 patients, and brachitherapy plus chemotherapy in
one patient.

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216 Follow-up

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At the time of the present analysis, 17/35 (48%) patients were alive but developed a relapse with a median of 22 months and 15/35 (43%) patients died of disease with a median of 33 months; 3/35 (9%) patients were alive and free of disease.

Recurrent disease was pelvic in 10 patients, pelvic plus distant in 3 (one in the lung and two in aortic nodes), and distant alone in 4 (one in the lung, two in para-aortic nodes, one in neck nodes). As far as the 13 pelvic failures are concerned, 4 recurrences were IFR and 9 were OFR.

As a whole, 4 out of 35 (11%) patients with Locally Advanced Cervical Cancer (LACC) and treated with IORT experienced an IFR. All the recurrences occurred within 24 months.

Three of the 15 significantly, due to septic pelvic complications, were computed as disease related.

229 Statistical Evaluation

In the group of 35 patients treated with radical surgery and IORT, 5-year DFS and 5-year
OS were 46% and 49%, respectively (Figure 1 for OS) with significantly better OS in
patients with no residual disease or disease limited to cervix after chemoradiation.

Both in univariate (log-rank test) and multivariate analysis (Cox proportional hazard model) 233 DFS and OS were significantly associated only with residual disease outside the cervix: 234 HR=0.13 (95% CI=0.04 - 0.44, p<0.002) and HR=0.15 (95% CI 0.03 - 0.65, p<0.02) 235 respectively. Stage (≥Stage 2), age (more or less than 50 years), and tumor diameter 236 (greater or less than 4 cm) were not significantly correlated with DFS and OS (data not 237 shown). These figures were similar both in the whole group of 42 patients with the 238 intention to treat analysis and in the group of 35 patients who underwent radical surgery 239 and IORT. 240

DISCUSSION

Concurrent chemoradiation represents the gold standard for the treatment of patients with 243 LACC[22]. However, further clinical investigation is strongly recommended to identify new 244 treatment strategies aimed to maximize the local control and to improve overall survival. In 245 this context, the integrated use of three-modality treatment (radiotherapy, chemotherapy) 246 and surgery) was tested in this clinical setting [18,23-25]. Some authors have also added 247 IORT procedure in their treatment protocol [26]. Several studies have enrolled a variable 248 249 proportion of stage lb2 patients, a sub-set of advanced cervical cancer that usually experience a more favorable prognosis than women with extra cervical extension of 250 disease. Therefore in the present study we decided to recruit only patients with extra-251 cervical tumor (and a less favorable prognosis within all LACC patients) to test if a 252 253 multimodality treatment including IORT could improve both local control and long-term clinical outcome. Our set of patients includes both stage II cancers, for whom the standard 254 treatment is exclusive concomitant chemoradiation, and stage III patients for whom 255 different treatment approaches seem to have similar dismal results [12, 27] although a 256 recent paper[19] seems to disclose new perspectives in stage III patients treated with 257 258 surgery following neo-adjuvant chemoradiation.

259

260 Complications

Both chemoradiation related side effects and intra-operative complications were 261 acceptable and comparable to those reported in the literature [5,23]. Less than 12 % of 262 women experienced a grade 3-4 toxicity due to chemoradiation, 23% had a blood loss 263 requiring transfusion, and one woman had obturator nerve section. On the other hand, 264 peri-and post-operative complication rates were relatively high, especially as far as 265 lymphocele (21%), pelvic sepsis (14%), ureteral fistulae (8%) and deep venous 266 thrombosis (9%). The mean hospital stay was of 22 days, in excess of that reported by 267 268 other authors in comparable surgical settings [18]. This long hospital stay was due to treatment complications and to the fact that patients were not discharged until lymphorrhea 269 270 from drainage was less than 100 ml per day. Three of the 15 deaths were due to septic pelvic complications but were attributed to the disease in survival calculations. The high 271 rate of surgery-related complications is due to the combination of high radiation dose and 272 aggressive surgery, in agreement with the data of Houvenaeghel et al. [28] who reported a 273

complication rate of 32% after radical hysterectomy compared with 12% after simplehysterectomy.

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277 Response characteristics

In our population, the clinical overall response rate to chemoradiation was 59%, and the 278 optimal pathological (complete plus partial microscopic) response rate was 45.8%, slightly 279 lower than that reported following chemoradiation (61-73%) by other authors [23,25,28]. 280 The different pathological response rates may be partly dependent on the heterogeneity 281 of patient populations and treatment modalities [18,23,25,28]. In our study, the extended 282 pelvic field external irradiation dosage was 10-20% higher, and cisplatin dose intensity 283 was 25-40% lower when compared to those used by other authors, and brachytherapy 284 was not administered. Moreover, patients with stage lb2 cervical cancer were not included 285 286 in the present analysis, and the overall survival was unsatisfactory, albeit similar to that observed for a comparable group (stage II - IV) of patients treated with chemoradiation 287 only in GOG 120 and GOG 165 trials [27]. The only significant variable influencing 288 recurrence rate and survival was the presence of residual tumor outside the cervix (Figure 289 290 1 for OS) irrespective of the amount of cervical residue. The subset of 15 patients (43%) with residual tumor only within the cervix had an excellent prognosis, with 5-year 291 cumulative survival higher than 80%. On the other hand, the 20 patients with extra-cervical 292 tumor residue had a dismal clinical outcome, irrespective of site of residual disease (either 293 pelvic nodes or parametrial-vaginal tissue), with a 3-year cumulative survival of only 24%. 294 It is possible that a rtic lymph nodes positivity could influence the survival figures, 295 although all the patients were selected for absence of disease outside the pelvis by pre-296 treatment imaging. 297

298 Prognostic Factors

Therefore, the persistence of extra cervical residual disease after chemoradiation, even if surgically removed, is a poor prognostic factor. Although IORT improves local control of neoplasia (IFR are only 11.4% of total recurrences), local control of neoplasia does not contribute to overall survival, that is dismal in this set of patients with extra cervical LACC. It is possible that patients without residual disease outside the cervix could be conveniently treated with brachytherapy following chemoradiation as well.

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306 Outlook

307 It seems that extra cervical LACC is not a local neoplasia any more. So the intensification 308 of concurrent chemotherapy regimen (increased cisplatin dose intensity) in chemoradiation 309 protocols and especially a more accurate selection of patients who could benefit from this 310 combined treatment are strongly recommended for future studies in order to improve the 311 clinical outcome and to minimize complications.

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316 CONFLICT OF INTEREST STATEMENT

- 317 There is no direct financial interest with any company in this trial, that was supported only
- 318 by Centro di Riferimento Oncologico (C.R.O) I.R.C.S.S
- 319
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- 408

- TABLE 1: Characteristics of the 35 patients who completed the study protocol

Age, mean (range)	50.0 (33-75)	K
BMI, mean (range)	26.1 (17.5-40.5)	R
Histology SCC:AC	33:2	3
IIA-bulky	2 (6%)	
IIB	17 (48%)	
III-IVA	16 (46%) (8 with nephrostomy)	
Tumor volume, cm, mean (range)	4.5 (3-8)	
Positive pelvic Lymphnodes	10	
At imaging		

5 Legend : BMI, body mass index; SCC, squamous cell carcinoma; AC, adenocarcinoma

9 TABLE 2: Peri-operative and post-operative complications observed in the 35 patients who 10 completed the study protocol

	Dari anarchiva	Deat operative	Total
	Peri-operative	Post-operative	Total
Lymphocoele	3	3	6 (17%)
Pelvic Sepsis	2	3	5 (14%)
Urinary Infection (pyelonephritis)	3	-	3 (8.6%)
Deep Venous Thrombosis	-	3	3 (8.6%)
Ureteral Fistula	2	1	3 (8.6%)
Bladder fistula	-	1	1 (2.9%)
Ureteral Stenosis	-	3 (1 requiring nephrostomy)	3 (8.6%)
Necrotic Wound Dehiscence	2	1	3 (8.6%)

- 16 TABLE 3: Pathological results of the 35 patients who underwent radical surgery

Treatment response		Positive parametrium	Positive vagina	Positive pelvic Nodes
Cervical Complete response	12 (34%)	0%	1/12 (8%)	3/12 (25%) one also aortic positive nodes
Cervical microscopic residual disease	14 (40%)	3/14 (21%)	4/14 (29%)	1/14 (7%)
Cervical macroscopic residual disease	9 (26%)	7/9 (78%) one macroscopic involvement	2/9 (22%)	5/9 (55%) two also positive aortic and one positive inguinal nodes
	35	10 (28.6%)	7 (20%)	9 (26%)

FIGURE 1


