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Propagation Beam Consideration for 3D THz Computed Tomography

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Abstract: In this paper, a model of the beam propagation is developed according to the physical properties of THz waves used in THz computed tomography (CT) scan imaging. This model is first included in an acquisition simulator to observe and estimate the impact of the Gaussian beam intensity profile on the projection sets. Second, the model is introduced in several inversion methods as a convolution filter to perform efficient tomographic reconstructions of simulated and real acquired objects. Results obtained with three reconstruction methods (BFP, SART and OSEM) are compared to the techniques proposed in this paper. We focus our discussion on the efficiency of optimized algorithms to increase the overall quality and accuracy of the reconstructions.

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1. Introduction

Terahertz (THz) technology, and especially THz spectro-imaging, is now a well-established tool in the field of contact-free and non-destructive testing (NDT). While commercial devices become available, the fundamental issues of the imaging process [1] often remain overlooked. In the field of 3D imaging, X-Ray Computed Tomography (CT) is an omnipresent technique which provides cross-sectional images of an object by analyzing the radiation transmitted by the sample through different incidence angles. This technique provides 3D visualization of dense materials such as human body, biological tissues and also starts to enter in the industrial field. Nevertheless, this powerful technique cannot be easily applied to soft materials such as plastics, papers or paintings owing to the low absorption of the X-Ray radiation for example. Alternatively, THz radiation proposes attractive features such as non-invasive and non-destructive analysis, transparency and good penetration depth through various materials specially below 1 THz. All these remarkable properties make THz radiation very efficient for direct applications in non-destructive inspection and the security field. Most of the experimental demonstrations were performed in 2D whereas THz CT, which is a powerful technique, the literature remains scattered [1, 2, 3, 4, 5, 6].

The first reason concerns the diffraction effects and Fresnel losses experienced by the propagation of the THz wave through the sample [7]. Secondly, although low NEP (Noise Equivalent Power $< 10^{-9}$ W/Hz^{1/2} at room temperature) - and consequently fast detectors [8] - have been developed and successfully applied in imaging [9], they are not yet integrated in an array configuration. Detectors are thus mono- to few-pixels large, resulting in a long scanning process in order to acquire 2D images. Reconstruction algorithms allowing accurate reconstruction from a limited number of slices are crucial in order to circumvent these current technical limitations, but also for future development. The limitation is also directly connected to the number of projection data. At least, an important consideration in CT concerns the choice of the reconstruction method to be able to visualize the different cross-sectional images and the final 3D volume of the sample. In X-Ray, iterative reconstruction methods have been proposed for X-Ray CT such as the Simultaneous Algebraic Reconstruction Technique (SART) [10] and the Ordered Subsets Expectation Maximization (OSEM) [11, 12]. These well-established techniques inherited from X-Ray know-how were successfully applied in a first approximation to

THz CT.

In this paper, we propose to improve the physical modeling by adding an heuristic propagation of a gaussian beam. We aim to take into account a more realistic physical behavior of the electromagnetic waves used in THz CT. This approach is justified by the fact that the reconstruction methods assume a ray tracing approach while THz beam profile is very far from this basic hypothesis. There is indeed a trade-off range in the focusing condition of the diffracting THz wave. While 2λ -waist beams can be qualitatively treated as a ray of light, tightly focused ones (down the ultimate resolution of $\lambda/2$) can badly accommodate with the ray tracing approach of all the reconstruction methods used in X-Ray. This model is first included in an acquisition simulator to observe and estimate the impact of the gaussian beam distribution on the acquisition images. Second, the model is tested in several reconstruction methods as a convolution/deconvolution filter to perform a more efficient tomographic reconstruction of simulated and real acquired objects. Results obtained with three usual methods (BFP, SART and OSEM) are compared to the new methods proposed in the paper. The discussion focuses on the efficiency of optimized algorithms to increases the overall quality and accuracy of the reconstructions.

2. THz Computed Tomography

2.1. Experimental Setup Properties

The experimental setup of the 3D millimeter wave tomographic scanner is shown in Fig. 1(a). The output beam of a compact millimeter wave (mmw) Gunn diode coupled with a horn antenna is collimated using an off-axis parabolic mirror. The Gunn diode used is a 80 GHz diode coupled to a frequency tripler delivering 0.3 mW at 240 GHz (wavelength 1.25 mm). The THz beam is then focused with a Teflon lens on the sample which is positioned on a three-axes motorized stage comprising the X,Y and θ movements, respectively. Fig. 1(b) shows the 2D transversal profile of the THz beam at the beam waist visualized using a photothermal THz convertor. This result shows that, at the sample position, the beam profile is homogeneous with a Gaussian circular shape (2 mm beam diameter, measured at FWHM) in agreement with the theoretical values obtained from the propagation of Gaussian beam models. This result indicates that the spatial resolution of the 3D millimeter wave tomographic scanner is limited to a few millimeters owing to the long wavelength of the emitting sources. Consequently, the system is more adapted for the visualization of sub-centimeter structures within large size object, typically more than ($100 \times 100 \times 100$) mm³.



Fig. 1. (a) Experimental setup. L1 - L2 : HDPE lenses, PM1 - PM2 : Parabolic Mirrors. (b) 2D spatial profile of the THz beam waist at the sample position (240 GHz source) visualized with a pyroelectric detector.

In order to perform a 3D reconstruction of volumetric objects, the experimental procedure is organized as follows [13]. First, we record a 2D transmission image of the sample by moving the object in the X and Y directions with a scan rate of 10 Hz. Usually a (1×1) mm² scan step is selected. The acquisition time for a (100×100) mm² image size is about 15 minutes. Then, the sample is rotated by a rotation step $d\theta$ in order to provide a different visualization of the object. The operation is repeated N_{θ} times from $\theta = 0^{\circ}$ to $\theta = 180^{\circ}$ and we finally get a set of N_{θ} projections of the sample corresponding to the different angles of visualization. For instance, if $N_{\theta} = 18$ and $d\theta = 10^{\circ}$, the total acquisition time is about 5 hours for the complete 3D visualization of the sample. However, to visualize only a single cross-sectional image of the sample, corresponding to a 100 mm horizontal scan associated to 18 different projections, this acquisition time is reduced to approximately 3 minutes. From these projection data, we are able to construct the sinogram of the object which represents, for a given horizontal slice, the evolution of the transmitted THz intensity as a function of the rotation angle. Finally, we apply tomographic algorithms to reconstruct the final 3D volume of the sample.

2.2. Usual Tomographic Reconstruction methods

Tomography is used to reconstruct the volume of an object from the set of projections performed on the exterior of the object [14, 15]. This technique, widely developed in X-Ray CT scan imaging, is modeled by the Radon theorem [16]. The direct transform \mathscr{R} describes the projection line acquisition. It maps a 2D function defined by f(x, y) into a 1D projection along an angle θ and a module ρ . It is defined by the following formula :

$$\mathscr{R}_{\theta}(\rho) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} f(x, y) \delta(\rho - x\cos\theta - y\sin\theta) dxdy$$
(1)

where θ and ρ are respectively the angular and radial coordinates of the projection line (θ, ρ) , and δ is the Dirac impulse. Then, $\mathscr{R}_{\theta}(\rho)$ represents the absorption sum undergone by the ray along the line. The acquisition along several angles of an horizontal cross-section represents a sinogram composed with N_{θ} lines, corresponding to the number of projections, and N_{ρ} columns, corresponding to the number of acquired values per projection. For instance, the sinogram Fig. 2(b) corresponds to an acquisition, at the indicated cross-section, of the object represented Fig. 2(a) with $N_{\theta} = 18$ and $N_{\rho} = 46$.



Fig. 2. (a) Medicine box acquired at the indicated cross-section. (b) Corresponding sinogram with $N_{\theta} = 18$ and $N_{\rho} = 46$. (c) BFP, (d) SART, (e) OSEM results.

The reconstruction process is given by the inverse Radon transform which recovers the original domain from the projections. Given a sinogram $\mathscr{S}_h(\theta, \rho)$ containing several $\mathscr{R}_\theta(\rho)$ values at the cross-section depth h, the discrete inverse Radon transform computes each image pixel as follows :

$$I(i,j) = \sum_{i_{\theta}=0}^{N_{\theta}-1} \sum_{\rho=-\frac{N_{\rho}}{2}}^{\frac{N_{P}}{2}} W_{\theta(i_{\theta})}(\rho) A_{(\theta,\rho),(i,j)}$$
(2)

where :

- $\theta(i_{\theta}) = i_{\theta} \frac{\pi}{N_{\theta}},$
- $A_{(\theta,\rho),(i,j)}$ is the weight-matrix defining the weight value between each pixel and each projection line,

•
$$W_{\theta}(\rho) = \sum_{\nu=-\frac{N\rho}{2}}^{\frac{N\rho}{2}} |\nu| \left(\sum_{\rho_s=-\frac{N\rho}{2}}^{\frac{N\rho}{2}} \mathscr{R}_{\theta}(\rho_s) \exp\left(-i2\pi\rho_s\nu\right) \right) \exp\left(i2\pi\rho\nu\right)$$

First, this inversion filters each projection \mathscr{R}_{θ} in the Fourier domain with the ramp filter |v| to increase geometric details. Second, it computes the pixel values from the filtered projections $W_{\theta(i_{\theta})}$. This method, denoted back-projection of filtered projections (BFP), is widely used in THz CT imaging since it is proposed in most of CT software tools. However, it is known that BFP suffers from several drawbacks such as the beam hardening and noise sensitivity.

To overcome these problems, the iterative methods SART (Simultaneous Algebraic Reconstruction Technique) [10] and OSEM (Ordered Subset Expectation Maximization) [12] have been introduced for THz CT imaging in [17]. The SART method is based on the Karczmarz algorithm used to approach the solution of the linear equation system $I = A^T R$, where I is the image, R is the sinogram and A is the weight-matrix [18]. SART is an iterative process following $k \in [0 \cdots N_{iter}[$. Each sub-iteration $s, 0 \le s < N_{\theta}$, updates each pixel of the image $I^{k,s}$ by comparing the original projection \Re_{θ_s} with $R_{\theta_s}^k$ (computed from $I^{k,s-1}$) as follows :

$$I^{k,s}(i,j) = I^{k,s-1}(i,j) + \lambda \frac{\sum_{i_{\rho}=0}^{N_{\rho}-1} A_{(\theta,\rho),(i,j)} \left[\frac{\mathscr{R}_{\theta_{s}}(\rho) - R_{\theta_{s}}^{k}(\rho)}{D_{\theta_{s}}(\rho)} \right]}{\sum_{i_{\rho}=0}^{N_{\rho}-1} A_{(\theta,\rho),(i,j)}}$$
(3)

where :

• $D_{\theta}(\rho) = \sum_{i=0}^{W-1} \sum_{j=0}^{H-1} A_{(\theta,\rho),(i,j)}$ is the norm of the segment (θ,ρ) crossing the image,

•
$$R^{k}_{\theta_{s}}(\rho) = \sum_{i=0}^{W-1} \sum_{j=0}^{H-1} A_{(\theta_{s},\rho),(i,j)} I^{k,s-1}(i,j),$$

• $(W \times H)$ is the image size.

A super-iteration k is completed when all the projections have been used. Iterations in k are performed until the convergence of the solution. The initial $I^{0,0}$ image is usually an uniform image.

The OSEM algorithm [11] is another iterative process which slightly differs from the SART. The update is done from a subset of several projections at once and the error correction is multiplicative :

$$I^{k+1}(i,j) = I(i,j)^k \frac{\sum_{i_{\theta}=0}^{N_{\theta}-1} \sum_{i_{\rho}=0}^{N_{\rho}-1} A_{(\theta,\rho),(i,j)} \frac{R_{\theta}(\rho)}{R_{\theta}^k(\rho)}}{\sum_{i_{\theta}=0}^{N_{\theta}-1} \sum_{i_{\rho}=0}^{N_{\rho}-1} A_{(\theta,\rho),(i,j)}}$$
(4)

The scheme on Fig. 3 summarizes the iterative method process.



Fig. 3. Iterative reconstruction process.

The images on Fig. 2(c-e) represent the reconstructions from the sinogram on Fig. 2(b) using the three methods. In our example, these results reveal a part of a tablet inside the medicine box.

3. From Propagation Beam Modeling to Tomographic Reconstruction Optimizations

3.1. Propagation beam observation and Modeling

In X-ray CT transmission processes, the beam shape can be considered constant because of the smallness of the wavelength with respect to the object size so that there is no diffraction. For the same reason, the intensity distribution is uniform over the beam cross-section. This property allows Radon model to be used directly in all reconstruction methods.

In THz CT imaging, the propagation beam is close to a Gaussian distribution which is both determined by the THz wave properties and, eventually, the lens used to enforce the beam focus. The radius of the beam (from the beam axis) has its minimum value w_0 at the so-called beam waist. According to the wavelength λ , the radius at the position z from the beam waist is :

$$w(z) = w_0 \sqrt{1 + \left(\frac{z}{z_R}\right)^2} \tag{5}$$

where $z_R = \frac{\pi w_0^2}{\lambda}$ is the Rayleigh range. Moreover, the intensity distribution over the cross-section is given by :

$$I(r,z) = I_0 \left(\frac{w_0}{w(z)}\right)^2 \exp\left(\frac{-2r^2}{w^2(z)}\right)$$
(6)

where :

- r is the distance from the beam axis,
- I_0 is the intensity at the center of the beam waist.

As an illustration, Fig. 4(a) shows beam cross-sections observed for a 240 GHz source along the Z-axis with a 5mm step. Note that the energy decreases from the center to the edge of the beam following a Gaussian distribution. A profile at the central plane of the beam is given in Fig. 4(b). It shows the variation of the radius (horizontally) and the Gaussian distribution of the intensity (vertically).



Fig. 4. (a) Propagation beam acquired along the Z-axis with a 5 mm step. (b) Beam propagation along the Z-axis.

Knowing the source properties and using the Eq. (5) and Eq. (6), the observed Gaussian beam can be computed to simulate a given source. For instance, Fig. 5 shows the 3D profile and energy distribution of a beam simulating the 240 GHz source. When the beam is focalized by a lens, THz source is modified by the influence of the lens which as well is treated by a Gaussian model. In such a case, the overall Gaussian beam is expressed as a convolution of both beams modeling the source and the lens. If the propagation beam distribution is unknown, the overall model could be estimated from each observation of the intensity profiles given in Fig. 4(a) using, for example, a non trivial 3D Newton-Gauss algorithm.



Fig. 5. Simulated propagation of the beam for a 240 GHz source (Intensity (a.u), position in meters).

3.2. Simulated Acquisition

Using the Gaussian beam modeling, we develop now the simulated acquisition from such a beam. Fig. 6 illustrates the θ -projection acquisition. An object is modeled in a N^2 pixel image and the Gaussian beam is given at the same size and resolution. The object is rotated by θ from the original angular position to perform easily the column-by-column convolutions with the Gaussian beam. These convolutions simulate at each depth of the projection point of view (POV), the manner that the beam acquires the object. Then, they lead to a modified object corresponding to what it is viewed by the sensors. Finally, the acquisition of *N* samples leads to the projection acquired through the Gaussian beam. The process is easy to extend in 3D : each 1D convolution is replaced by a 2D convolution between each depth-image and the corresponding 2D cross-section of the beam.



Fig. 6. Simulated acquisition of a projection θ in 2D.

Mathematically, this process is obtained by the following optimized version of the Eq. (1):

$$\mathscr{R}_{\phi=0}(\rho) = \int_{-\infty}^{\infty} f_{\theta}(x, y=\rho) * I(x-x_0, \rho-y_0) \delta(\rho-x\cos\phi) dx$$
(7)

where I(x, y) is the Gaussian propagation model (6), * is the convolution operator and (x_0, y_0) is the center of the beam waist. The f(x, y) domain is rotated by θ to assume $\phi = 0$. It leads to an horizontal acquisition allowing an easier computation of the column-by-column convolutions. Note that the integral along y is simplified by fixing the value $y = \rho$.

As an illustration, let us consider the image Fig. 7(b) representing the four metallic bars of the object in Fig. 7(a). An usual Radon acquisition is shown in Fig. 8(a). Simulated acquisition using Gaussian beam convolutions is given in Fig. 8(b). In such an acquisition, the edges are not well defined anymore. Then, the simulated sinogram characteristics are closer to the real acquisition given in Fig. 8(c). It puts in evidence the better reliability of our model to simulate the THz physical acquisition phenomenon and moreover, the discrepancy between Gaussian beam and ray-tracing approaches.

3.3. Tomographic Reconstruction Optimizations

Up to here, the modeling and the influence of the Gaussian beam have been introduced. Now, we deal with its consideration in the reconstruction methods in order to try to reduce induced artifacts.

Using the BFP, the idea consists in inverting the convolution proposed in Fig. 5. The projection acquired through a Gaussian beam, denoted R_{θ}^{E} , is retroprojected into a temporary image $I_{\phi=0}^{E}$ sized N^{2} . The image is deconvolued column-by-column with the beam model to obtain I_{θ} . This one is rotated by the angle $-\theta$ and added to the result image. The Wiener deconvolution method [19] is used to avoid well-known problems of direct deconvolution in Fourier space.



Fig. 7. (a) Object composed of four metallic bars (two bars diameter 10mm on the top and on the left, one bar diameter 12mm on the right and one bar diameter 8mm on the bottom), (b) Synthetic model of the object.



Fig. 8. (a) Usual Radon acquisition from synthetic model, (b) Simulated acquisition using Gaussian beam convolutions of the synthetic model, (c) Acquisition of the real object.

Using iterative methods, the projection acquired through the Gaussian beam at an iteration k is given by the discrete version of the Eq. (7). The update step comparison between computed and measured acquisitions becomes consistent because the projections are both obtained through a simulated/real Gaussian beam. Moreover, similarly than BFP, the obtained error projection is deconvolued before it is added in the I^{k+1} image. Everything else in the algorithm Fig. 3 remains the same.

4. Results and Discussion

4.1. Discussion from simulated acquisition

Images in Fig. 9(a-c) correspond to the results obtained with BFP, SART and OSEM from the theoretical sinogram Fig. 8(a). Fig. 9(d-f) represent the images obtained with the usual methods from the simulated sinogram Fig. 8(b). The distortions of each metallic bar circular shape are a consequence of the Gaussian distribution encountered during the acquisition. Inversely, images on Fig. 9(g-i), which are obtained from the simulated acquisition using the optimized methods, provide a closer circular shape of the metallic bars.

To show the new method efficiency, quality and accuracy of the results are now compared to each other using image-based comparison metrics. First, the quality preservation is estimated using the equivalence rate between two images. The image properties are compared to each other using the Structural SIMilarity (SSIM) criterion [20]:

$$SSIM(I,J) = l(I,J) \cdot c(I,J) \cdot r(I,J)$$
(8)

where $0 \le l(I,J) \le 1$ (resp. $0 \le c(I,J) \le 1$) is the global intensity (resp. contrast) comparison



Fig. 9. (a)(b)(c) BFP, SART, OSEM results from the ideal sinogram Fig. 8(a). (d)(e)(f), BFP, SART, OSEM from simulated Gaussian beam acquisition 8(b). (g)(h)(i) BFP, SART and OSEM reconstructions from the same sinogram using optimized algorithms.

between two images *I* and *J*, and $0 \le r(I,J) \le 1$ is the correlation coefficient. $0 \le SSIM(I,J) \le 1$ gives the quality rate of J compared to the reference I. The closer the SSIM value is to 1, the better the reconstruction quality is.

Image	l	с	r	SSIM
(a)	0.99	0.98	0.99	0.96
(d)	1.00	0.97	0.93	0.90
(g)	0.99	0.97	0.95	0.92
(b)	0.99	0.99	0.98	0.97
(e)	1.00	0.97	0.94	0.91
(h)	0.97	0.94	0.95	0.87
(c)	1.00	1.00	1.00	0.99
(f)	1.00	0.97	0.93	0.91
(i)	0.99	0.98	0.98	0.94

Table 1. Comparison between reconstruction from sinogram acquired through a Gaussian beam and the original domain. It relates on the quality rate of each method to reconstruct the original image.

Table 1 gives quality results for each image on Fig. 9 according to the theoretical signal Fig. 7(b) chosen as reference. Quality results from the images Fig. 9(a-c) show that iterative reconstructions are better than BFP, especially the OSEM method. Results from images Fig. 9(d-f) highlight the quality loss induced by the Gaussian beam acquisition : SSIM decreases at least by 6%. The detail of SSIM metrics shows that the geometrical properties of the reconstructed images are degraded. The optimized techniques proposed in this paper allow a better recon-

struction of the geometry whatever the method. For instance, r increases by 5% with OSEM, and SSIM is globally more accurate with optimized BFP and OSEM. However, it generates luminance and contrast losses with the SART method.

To complete the quality analysis, an accuracy study is proposed by a comparison of the horizontal profiles of the top bar. Profiles given by the BFP, SART and OSEM reconstructions are shown in Fig. 10(a-c). Whatever the method, the theoretical profile (red curves) is not well recovered even if it is reconstructed from a theoretical sinogram (green curves). However, it is close to the great profile whereas reconstructions from Gaussian beam simulation lead to a spreading of the profile (blue and violet curves). Using the optimized techniques, the spreading is significantly reduced (violet curves), especially using the optimized SART.



Fig. 10. Top bar profiles reconstructed with BFP (a), SART (b) and OSEM (c) : from the theoretical sinogram (Green) and from the simulated Gaussian acquisition with usual (blue) and optimized (Violet) methods. (d) : Top bar profiles reconstructed with the optimized methods. (Green) : BFP. (Blue) : SART. (Violet) : OSEM. (Red) : Theorical signal.

Fig. 10(d) compares the profiles obtained by the optimized techniques and the theoretical signal. These results confirm that the optimized SART gives the minimal spreading, followed by the OSEM and finally by the BFP technique. Then, for a given acquisition, SART provides a better accuracy than BFP and OSEM.

4.2. 2D and 3D reconstructions from real acquisitions

Reconstructions computed from the real acquisition Fig. 8(c) are proposed in Fig. 11. The lesser spreading of the metallic bars observed with the optimized methods confirm the theoretical analysis results discussed above.



Fig. 11. (a)(b)(c) Usual BFP, SART and OSEM results from the real acquisition Fig. 8(d). (d)(e)(f) Optimized BFP, SART and OSEM results.

Images given in Fig. 12 show 3D reconstructions of the medicine box. These visualizations are obtained from the stacks of reconstructed cross-sections, computed one-by-one from the 3D acquisition (stack of sinograms). Using the standard methods, each cross-sectional image is directly computed from its corresponding sinogram. Inversely, the optimized methods need all the sinograms at once to take into account the 3D propagation beam model. From the results, we can remark a better quality and accuracy of details in general. For example, the contact area (defined by the high-intensity pixels) between the tablet and the box is easier to estimate. Indeed, as we can see in the cross-sectional images in Fig 13, this area is less thick on the results obtained with optimized methods. Such qualitative analysis improvement highlights the need to take into account the tomographic reconstructions for THz CT.

5. Conclusion

We demonstrated a development of an improved beam propagation model taking into account the physical properties of THz waves used in THz computed tomography (CT) scan imaging. This more realistic model is clearly justified by the fact that previous models were simply relying on well-known and commonly applied X-Ray reconstruction methods, which assume a ray tracing approach, whereas the THz beam profile is very far from this basic hypothesis. Therefore, we included in an acquisition simulator the Gaussian beam intensity profile in order to observe and to estimate its impact on the projection sets. The simulated sinogram characteristics are found closer to the real acquisition and demonstrate the better reliability of our model to simulate the THz physical acquisition process. Moreover, the discrepancy between Gaussian beam and ray-tracing approaches has been studied. At least, the model is introduced in several inversion methods as a convolution filter to perform efficient tomographic reconstructions of simulated and real acquired objects. Results obtained with three different reconstruction methods (BFP, SART and OSEM) have been compared on the basis of the efficiency of optimized algorithms to increase the overall quality and accuracy of the reconstructions. This rigorous quantitative approach provides a new insight for the improvement of 3D THz CT imaging.

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Fig. 12. 3D reconstructions of the medicine box using usual BFP (a), SART (b) and OSEM (c) compared to the optimized BFP (d), SART (e) and OSEM (f) methods.



Fig. 13. Estimation of the contact area between the tablet and the medicine box (red pixels) on the images obtained with standard BFP (a), SART (b) and OSEM (c) in one hand and optimized BFP (d), SART (e) and OSEM (f) on the other hand.