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Cumulative effects of electrode and dielectric surface modifications on pentacene-based transistors

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Surface modifications of the dielectric and the metal of pentacene-based field effect transistors using self-assembled monolayer (SAM) were studied. First, a low interfacial trap density and pentacene 2D-growth were favored by the nonpolar and low surface energy of octadecyltrichlorosilane-based SAM. This treatment leaded to increased mobility up to 0.4 cm² $V^{-1} s^{-1}$ and no observable hysteresis on transfer curves. Second, reduced hole injection barrier and contact resistance were achieved by fluorinated thiols deposited on gold contacts resulting in an increased mobility up to $0.6 \text{ cm}^2 V^{-1} s^{-1}$. Finally, a high mobility of $2.6 \text{ cm}^2 V^{-1} s^{-1}$ was achieved by cumulative effects of both treatments. © 2012 American Institute of Physics. [doi:10.1063/1.3681791]

The performances of organic field-effect transistors (OFETs) are strongly related to the charge carriers injection from contacts and to their transport in the first organic semiconductor (OSC) monolayers close to the dielectric interface.¹⁻³ Indeed, charge transport is affected by the OSC thin film morphology and by the density of interfacial traps depending on the topologic roughness^{4,5} and/or on the "energetic roughness" due to surface dipoles.^{6,7} Furthermore, in the case of bottom gate device architecture, the dielectric surface energy and roughness influence the growth of OSC layers. For instance, for a sublimated pentacene-based polycrystalline film, a high surface energy or a rough surface results in 3D small grains and in high density of grain boundaries associated to charge traps.⁸ Thereby, in order to improve OFETs performances, a very close look at the dielectric surface properties should be taken. These properties can be modified by various self assembled monolayer (SAM) using compounds with phosphonic acid⁹ head groups on Al₂O₃, hexamethyldisilazane (HMDS),¹⁰ or trichlorosilane derivatives^{11,12} on SiO₂, in order to prevent the formation of trapping sites and promote a 2D OSC growth.¹³ Another limitation of OFETs performances is due to the contact resistance (R_C) at the OSC/metal interface, which restricts the charge injection or extraction. R_C increases with the mismatch of the metal Fermi level and either the highest occupied molecular orbital level (HOMO) or the lowest unoccupied molecular orbital level (LUMO) of the OSC, which induces an energetic barrier.^{14,15} Moreover, charge injection is influenced by the tunneling barrier width modulated by alkyl chain length and by the work function related to the local dipole moment. In case of pentacene-based OFETs, the hole injection barrier (HIB), i.e., the difference between the pentacene HOMO level and the metal Fermi level, can be reduced by increasing the metal work function. Previous works have already demonstrated that a modification of gold contacts with fluorinated thiol SAMs reduced the HIB by an increase of metal work function induced by a charge redistribution at metal surface.^{16–19}

In the present work, the influence of both SiO₂ and gold electrodes treatments on pentacene-based OFETs performances (effective mobility μ_e , I_{on}/I_{off} ratio, hysteresis) has been studied. In a first part, octadecyltrichlorosilane (OTS) was grafted on SiO₂ surface. The modifications induced on the surface energy were measured by contact angle measurements and linked to the OSC thin film morphology investigated by AFM. In a second part, the electrode surface was modified by three fluorinated thiols: pentafluorobenzenethiol (PFBT), nonafluoro-1-hexanethiol or 1H,1H,2H,2H-perfluorohexanethiol (CF₃(CF₂)₃CH₂CH₂SH, named PFHT), and heptadecafluoro-1-decanethiol 1H,1H,2H,2H-perfluorodecanethiol or $(CF_3(CF_2)_7CH_2CH_2SH, named PFDT)$ (see Fig. 2(c)). The influence of fluorinated thiols SAMs on the electrode work function was controlled by UV photoemission spectroscopy (UPS). For each electrode treatment, R_C was extracted using the transfer line method (TLM).²⁰ Finally, highest mobilities were achieved with both interfaces modifications.

OFETs devices were fabricated in a bottom gate bottom contact geometry with commercial substrates purchased from *Fraunhofer IPMS* consisting of heavily doped Si wafer featuring a 230 nm SiO₂ layer with patterned (30 nm thickness) Au electrodes on a 10 nm ITO adhesion layer. The electrodes set involved different channel lengths (L = 2.5, 5, 10, and 20 µm) and 1 cm width (*W*). Substrates were rinsed with acetone, isopropanol followed by UV-ozone cleaning for 20 min. Prior to every SAMs grafting process, commercially available OTS (*Aldrich*) was purified by distillation at 220 °C under vacuum. The OTS-based SAMs were formed 26 March 2024 14:47:24

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by immersing the silicon wafers in a 2.5 mM cyclohexane:chloroform solution (70:30 v/v) (*Aldrich*, H₂O < 0.01%) di for 1 h at 20 °C under argon atmosphere.²¹ After the formation of the SAMs, the wafers were rinsed with the same solvents as the one used for deposition. The surface energies of SiO₂ and OTS were determined using a *KRUSS DSA 100* m goniometer by the method proposed by Owens and Wendt (Eq. (1))²² based on the contact angle measurements of different liquids on the substrate surface at 20 °C in static mode.

$$\gamma_{SL} = \gamma_S + \gamma_L + 2\left(\sqrt{\gamma_L^d \gamma_S^d} + \sqrt{\gamma_L^P \gamma_S^P}\right). \tag{1}$$

In our experiments each surface was probed with three test liquids. Ultrapure water (a very polar liquid), diiodomethane (a very nonpolar liquid), and ethyleneglycol were chosen due to their wide range of surface tensions and ratios of dispersive-to-polar components. The values of surface tensions (γ_L) , their polar components (γ_L^p) , and dispersive components (γ_L^d) are listed as following: ultrapure water $(\gamma_L = 72.8 \text{ mN})$ m^{-1} , $\gamma_L^p = 51 \text{ mN m}^{-1}$, $\gamma_L^d = 21.8 \text{ mN m}^{-1}$), diiodomethane $(\gamma_L = 50.8 \text{ mN m}^{-1}, \gamma_L^p = 0 \text{ mN m}^{-1}, \gamma_L^q = 50.8 \text{ mN m}^{-1}),$ and ethyleneglycol $(\gamma_L = 48.3 \text{ mN m}^{-1}, \gamma_L^p = 19 \text{ mN m}^{-1}),$ $\gamma_L^d = 29.3 \text{ mN m}^{-1}$). In case of electrode treatment, the SAM depositions were performed by immersing the substrates into a 3 mM solution of fluorinated PFHT or PFDT in absolute ethanol (H₂O < 0.2%) for 48 h¹⁸ or into a 10 mM solution of PFBT in anhydrous toluene (H₂O < 0.005%) for 2 min.²³ Wafers were then rinsed with the same solvent as the one used for deposition. Pentacene was then vacuum evaporated $(1 \times 10^{-6} \text{ mbar})$ at a rate of 0.1 Å/s to a total thickness of 30 nm in a temperature-controlled alumina-based crucible. The transfer I_{DS} - V_{GS} curves of transistors were measured with a semiconductor analyzer Keithley 4200 on a triaxial connected probe station in a dry nitrogen glove box (O₂ and

FIG. 1. (Color online) Transfer characteristics I_{DS} - V_{GS} for OFETs depending on the dielectric treatment. For non treated OFETs (a), a shift in the threshold voltage in I_{DS} - V_{GS} curves, i.e., an hysteresis, of $3 V(V_{TI}-V_{T2})$ can be noticed. This effect is not observed for OTS pretreated OFETs (b).

 $H_2O < 1$ ppm). In order to investigate the effect of the dielectric surface treatment on the density of grain boundaries in the first OSC layers responsible for charge transport limitation, the surface morphology of a 3 nm-thick pentacene layer deposited on the dielectric surface (bare SiO₂ or OTS-modified SiO₂) was studied by AFM (*Veeco 3100, Digital Instrument*) measurements. For UPS measurement, fluorinated thiols SAMs and a 15 nm-thick pentacene layer were deposited as described above on a 150 nm-thick Au layer. UPS was performed using instruments and experimental methods that have been described in details elsewhere.²⁴ The instrument resolution during data collection was 0.1 eV.

 SiO_2 -based OFETs and the OTS-modified OFETs were electrically characterized and their performances were determined in saturation regime and summarized in Table I. The normalized standard deviation (NSD) was calculated according to Eq. (2)

$$NSD(x) = \frac{SD(x)}{\bar{x}} = \frac{\sqrt{\frac{1}{n-1}\sum (x_i - x)^2}}{\bar{x}}.$$
 (2)

A significant increase of the hole mobility along with a decrease of the threshold voltage, from 8.9 V (bare SiO₂) to -1.8 V, was observed in case of OTS-pretreated OFETs. The reduction of V_T can be attributed to a lower concentration of trap states at the dielectric surface. Moreover, the hysteresis (the difference in transfer curves when sweeping V_{GS} back and forth), that is detrimental for the conception of OTETs based circuits, completely disappeared in the case of OTS-treated OFETs (Fig. 1). As hysteresis in SiO₂ based OFETs is well-known to be due to the SiO₂ surface trap states, $^{25-29}$ this behavior suggests that charge trapping/de-trapping effects at the pentacene/dielectric interface were significantly attenuated by the OTS surface modification.



FIG. 2. (Color online) AFM images (topography) of 3 nm pentacene deposited on (a) SiO₂ and (b) OTS surface. The scanned area is $3 \mu m \times 3 \mu m$; vertical scale is given in nm. (c) Molecular structures of the SAMs used to modify either the dielectric or the electrode surfaces.

TABLE I. Electrical performances of pentacene-based OFETs depending on dielectric and/or on electrode treatment. The values shown are averaged over \sim 20 devices for each surface treatment. The NSD was determined as described in the Eq. (2). The HIB corresponds to the difference between the electrode Fermi level and the pentacene HOMO level (calculated at 5.5 eV).

| Dielectric modification | Electrode modification | Average mobility μ_e (cm ² .V ⁻¹ .s ⁻¹) (NSD) Highest mobility | Average I_{on}/I_{off} | $R_c @ V_G = -10 V \\ (k\Omega)$ | Electrode work function (HIB) (eV) |
|----------------------------|------------------------|---|--------------------------|----------------------------------|--|
| _ | _ | 0.1 (0.7) 0.2 | $1.9 	imes 10^6$ | 9.6 | 4.9 (0.6) |
| OTS | _ | 0.4 (0.2) 0.5 | $1.7 	imes 10^8$ | 2.5 | |
| _ | PFBT | 0.4 (0.3) 0.6 | $1.4 	imes 10^5$ | 2.7 | 5.4 (0.1) |
| _ | PFHT | 0.3 (0.3) 0.4 | $9.8 	imes 10^4$ | 3.8 | 5.1 (0.4) |
| _ | PFDT | 0.2 (0.5) 0.3 | $5.8 	imes 10^5$ | 11.5 | 5.7 (0.2) |
| OTS | PFBT | 1.3 (0.6) 2.6 | $1.7 	imes 10^7$ | 5.1 | |

These traps states can arise in the OSC layer either from dipole disorder at the dielectric interface mainly due to the hydrophilic character of SiO2 surface causing adsorption of water molecules at the interface, or from grain boundaries resulting from crystallographic defects³⁰ due to high surface energy of dielectric^{31,32} and rough surfaces.^{4,5} Therefore, SiO₂ and OTS surface energies were determined by contact angle measurement while the roughness was measured by AFM. Although the SiO₂ surface was initially quite smooth (rms value = 0.4 nm), OTS treatment further reduced the dielectric roughness by a factor 2 (rms value = 0.2 nm).³³ Additionally, OTS modification yielded to a drastic reduction of the polar component of the SiO₂ surface energy from 37 mN m^{-1} to a value close to 0 mN m^{-1} (Table II). Consequently, nonpolar and hydrophobic OTS surface could efficiently shield pentacene from most of the energetic disorder at the SiO₂ surface and prevent adsorption of water molecules explaining the absence of hysteresis. The influence of the SiO_2 treatment on the pentacene growth is presented on Fig. 2. Whereas the mismatch between the surface energies of pentacene and SiO₂ induced a small grains 3D growth (diameter $\sim 200 \,\mathrm{nm}$) resulting in a discontinuous film with a high density of grain boundaries, larger 2D grains (diameter \sim 700 nm) were observed on OTS surface.

Besides, the electrode surfaces were modified by the fluorinated thiols PFBT, PFHT, PFDT, and the electrical characteristics of OFETs were summarized in Table I. As the field-effect mobility, μ_e is not only dependent on the charge transport in OSC but also on charge injection at the OSC/ electrode interface, governed by R_C and HIBs, these aspects were investigated. R_C was extracted from the overall device resistance R_{on} measured by TLM according Eq. (3), with W the channel width, C the capacitance per area, V_T and V_{GS} the threshold and gate-source voltage, respectively. HIB was calculated as the difference between the metal work function of metal and the HOMO of pentacene (experimental value of 5.5 eV). The values of R_C and HIBs are reported in Table I.

TABLE II. Surface energy of the dielectric (OTS) surface modified. The surface energy of pentacene is 38.3 mN m^{-1} . The dispersion and polar components of the energy are $35.3 \text{ and } 3.0 \text{ mN/m}^{-1}$, respectively.

| | Surface energy $\gamma_s (\text{mN.m}^{-1})$ | Polar component $\gamma_s^p \text{ (mN.m}^{-1}\text{)}$ | Dispersive component $\gamma_s^d \text{ (mN.m}^{-1)}$ |
|------------------|---|---|---|
| SiO ₂ | 67.3 ± 3.4 | 37.3 ± 1.7 | 30.3 ± 1.7 |
| OTS | 25.6 ± 1.4 | 0.4 ± 0.2 | 25.2 ± 1.2 |

Concerning HIB, the calculated values are consistent with those reported in litterature^{16,18}

$$\frac{\partial V_{DS}}{\partial I_{DS}}\Big|_{V_{DS\to0}}^{V_{GS}} = R_{on} = R_{ch} + R_c = \frac{L}{WC\mu(V_G - V_T)} + R_c.$$
 (3)

Despite of a non-linear correlation between the performances and the HIBs, the best mobilities were obtained for lower HIB values. Especially, for PFBT treatment, hole mobility was increased up to a factor 4, from 0.1 to 0.4 cm² V⁻¹ s⁻¹, and I_{on}/I_{off} ratio was slightly improved. Considering the influence of R_C itself, the results in Table I show that, as expected, a decrease in R_C came along with a mobility increase. It is worth to mention that in case of PFDT treatment, R_C was increasing whereas the HIB was reduced. Beyond HIB values and as previously mentioned, R_C is governed by the tunneling barrier width linked to SAM thickness. Therefore, this surprising behavior could be attributed to the fact that the long PFDT alkyl chain, being composed of 10 carbons versus 6 in case of PFHT, could act as an insulating barrier.¹⁶ Finally, the device fabrication with cumulative treatments (OTS-treated SiO₂ and PFBT-treated electrodes) were realized and electrically characterized. With such interfaces modifications a mobility, averaged over 20 samples, of 1.3 cm² V⁻¹ s⁻¹ have been obtained with a maximum of 2.6 cm² V⁻¹ s⁻¹.

To conclude, OFETs performances were improved in different ways thanks to OTS treatment on SiO₂ combined with polar thiol SAMs on gold electrodes. The nonpolar alkyl chains greatly reduced the interfacial charge traps density resulting in a low threshold voltage and in an inexistent hysteresis. Moreover, the low surface energy and the smooth OTS surface favored a 2D growth and charge transport, which explained the higher mobility of up to 0.6 cm² V⁻¹ s⁻¹. In case of electrode treatment, a decrease of the HIB and therefore a reduction of the R_C facilitating the charge injection were observed leading to higher mobilities up to 0.6 cm² V⁻¹ s⁻¹. Finally, OFETs with a mobility of 2.6 cm² V⁻¹ s⁻¹ have been obtained according to the cumulative effect of both surface treatments.

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