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Slowness and azimuth determination for Bucovina array (BURAR) applying multiple signal techniques

Felix Borleanu · Mihaela Popa ·
Mircea Radulian · Johannes Schweitzer

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1 Abstract The BURAR seismic array, located in
2 Northern Romania (Bucovina region), is designed
3 to monitor events located in an area poorly cov-
4 ered by other existing seismic stations. In order
5 to use the BURAR array for single-station lo-
6 cations, it is crucial to calibrate the azimuth and
7 slowness parameters, which are currently used in
8 array techniques to locate earthquakes, blasts or
9 nuclear explosions. The goal of this study is to
10 apply “f–k” and plane wave fit techniques in order
11 to constrain the slowness and azimuth parameters
12 at BURAR for teleseismic, regional and local
13 events. The analysis was carried out using P and
14 S waves recorded for events occurred between
15 2004 and 2008 within a radius of 50° around BU-
16 RAR. The azimuth values obtained applying both
17 methods strongly deviated from the theoretical
18 values for regions like Central Turkey, Bulgaria,
19 Dodecanese Islands and part of Greece, while the
20 ray parameter deviations with respect to a 1-D
21 IASP91 reference model are less significant. For
22 the local events, the anomalies are smaller, except

the particular case of Vrancea intermediate-depth 23
earthquakes for which strong azimuth deviations 24
(33.5°), both positive and negative, are observed. 25
We investigate how these systematic deviations 26
in azimuth are explained by the structure lat- 27
eral heterogeneities which characterize the study 28
region. 29

Keywords Array techniques · f–k analysis · 30
Plane wave fit · BURAR array 31

1 Introduction 32

Seismological arrays can be used in many different 33
ways to study the lateral heterogeneities from the 34
lithosphere and upper mantle structure. For this 35
purpose, many different, specialized array tech- 36
niques have been developed and applied to an 37
increasing number of high-quality array datasets. 38
Most of these methods use the ability of seis- 39
mic arrays to measure the velocity of an incident 40
wave front and its backazimuth. This information 41
can be used to distinguish between different seis- 42
mic phases, separate waves from different seis- 43
mic events and improve the signal-to-noise ratio 44
(SNR) by stacking with respect to the varying 45
slowness of different phases (e.g., Schweitzer et al. 46
2002). 47

The Bucovina array (BURAR) is located in the 48
northern part of Romania in the neighborhood 49

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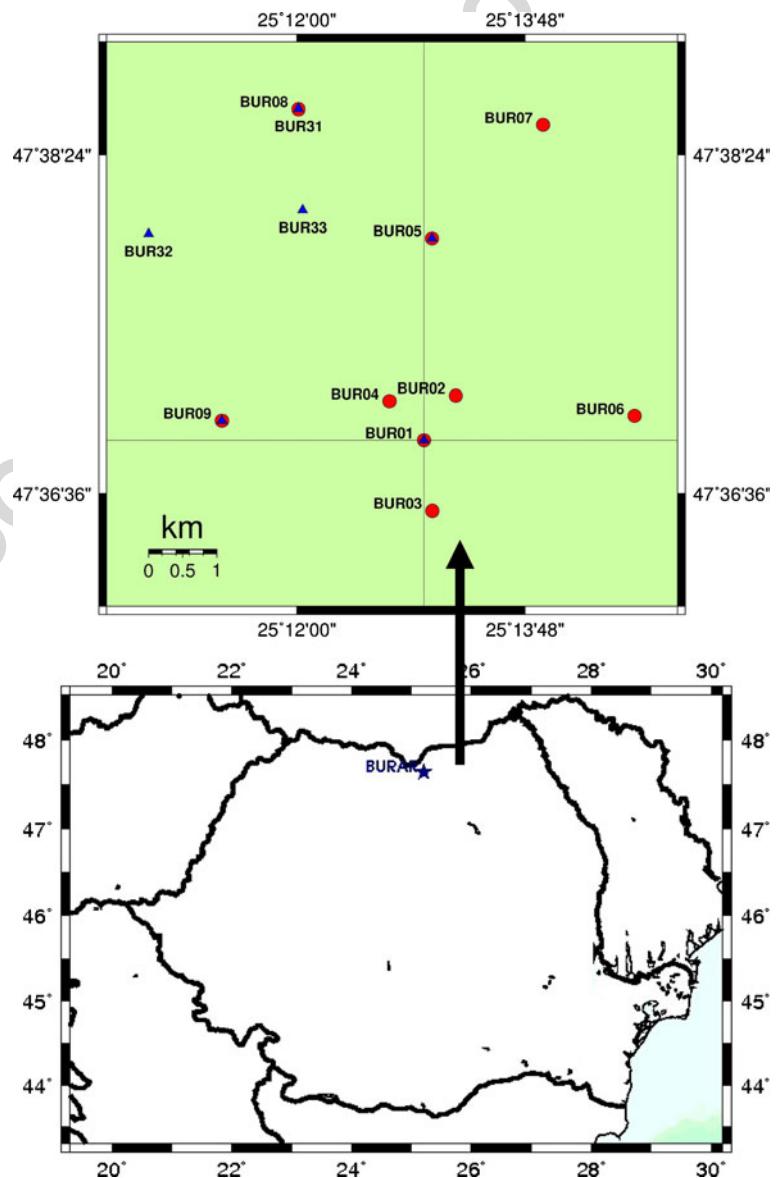
50 of the Ukraine border (Fig. 1). It was installed
51 in cooperation with the Air Force Technical Ap-
52 plications Center (USA) and has been operating
53 since 2002 (Grecu et al. 2002).

54 At the beginning, 10 seismic sensors (nine ver-
55 tical component short-period and one broadband
56 three-component) were installed in boreholes and
57 distributed on 5×5 km area. The array was up-
58 graded in 2008 when five new sensors (CMG40T)
59 were added: three at the same positions with
60 BUR01, BUR05, BUR09 and two at new places

(BUR32 and BUR33). All the new sensors have
61 three components and are installed at surface. 62

The short period components have GS-21 seis- 63
mometers with 1 Hz natural frequency and 0.7 64
damping constant. AIM24S-1 digitizers provide 65
24-bit analog to digital converter (ADC) reso- 66
lution of the seismometer output. The combi- 67
nation of seismometer gain and digitizer gain 68
gives a value of 0.0788 nm/s/count at 1 Hz. The 69
broadband component has a KS54000 sensor with 70
1 Hz natural frequency and 0.7 damping constant. 71

Fig. 1 BURAR location
on Romania map (*bottom
plot*) and array geometry
(*top plot*)—red dots
represent short period
sensors and blue triangles
represent broad band
sensors



72 AIM24S-3 digitizers provide 24-bit A-D resolution of the seismometer output. The combination of seismometer gain and digitizer gain gives 75 a value of 0.0404 nm/s/count at 1 Hz. The new 76 CMG40T sensors have 1 Hz natural frequency 77 and 0.7 damping constant and Quantera 330 digitizers provide 24-bit analog to digital converter 78 (ADC) resolution of the seismometer output. The 79 combination of seismometer gain and digitizer gain gives a value of 1.192 nm/s/count at 1 Hz 80 We record continuous data with a stream of 40 81 samples per second (sps).

82 The position of the BURAR array is of highest 83 interest since it monitors a large area with poor 84 seismicity, including the East European Platform, 85 the Black Sea shield, Ukraine and the northern 86 part of Romania. It is of equal interest to detect 87 and locate regional events in South-Eastern Eu- 88 rope, the Caucasus and Central Asia. Therefore, 89 we are very interested to calibrate as much as pos- 90 sible the earthquake location parameters, slow- 91 ness and backazimuth, using the BURAR array.

92 In this study, the f-k and plane wave fit analy- 93 ses are used in order to evaluate the ray pa- 94 rameter and backazimuth values as recorded by 95 the BURAR array. Likewise, the Velocity Spec- 96 trum Analysis (VESPA) (Davies et al. 1971) tech- 97 nique is applied to investigate the scattering of 98 P waves. The frequency-wave number analysis 99 (f-k analysis) is able to measure the complete 100 slowness vector (i.e., backazimuth and horizontal 101 slowness) simultaneously. The f-k analysis calcu- 102 lates the power distributed among different slow- 103 nesses and directions of incidence (Capon 1973; 104 Harjes and Henger 1973; Aki and Richards 1980) 105 in the frequency domain. Plane wave fit analy- 106 sis (e.g., Schweitzer et al. 2002) also measures 107 slowness vector and backazimuth but in the time 108 domain.

109 If a plane wave arrives at an array, the signal is 110 recorded at the array stations with a certain time 111 offset depending on the slowness vector of the 112 wave and the position of the station in the array. 113 These time delays are used to specify the slowness 114 or backazimuth of the wave front. The VESPA 115 estimates the seismic energy arriving at the ar- 116 ray for a given backazimuth and different hori- 117 zontal slowness values. Alternatively, the VESPA 118 process can be used for a fixed slowness and

119 varying backazimuths. The result of the VESPA 120 process is displayed as a VESPA gram, a diagram 121 of the seismic energy content (amplitudes) of the 122 incoming signals as a function of slowness or back- 123 azimuth and time.

124 The parameters determined using BURAR 125 records are compared with the theoretical values 126 predicted by the source and station geometry and 127 standard Earth models. Finally, the emphasized 128 deviations are correlated with the tectonic fea- 129 tures and lateral heterogeneities as observed in 130 the Balkan region from the previous studies.

131 The BURAR array is located in the East- 132 ern Carpathian Mountains at an altitude of over 133 1000 m, in a complex tectonic setting character- 134 ized by continental collision at the contact zone 135 between the East European Craton and Carpathi- 136 ans orogen area (Fig. 2).

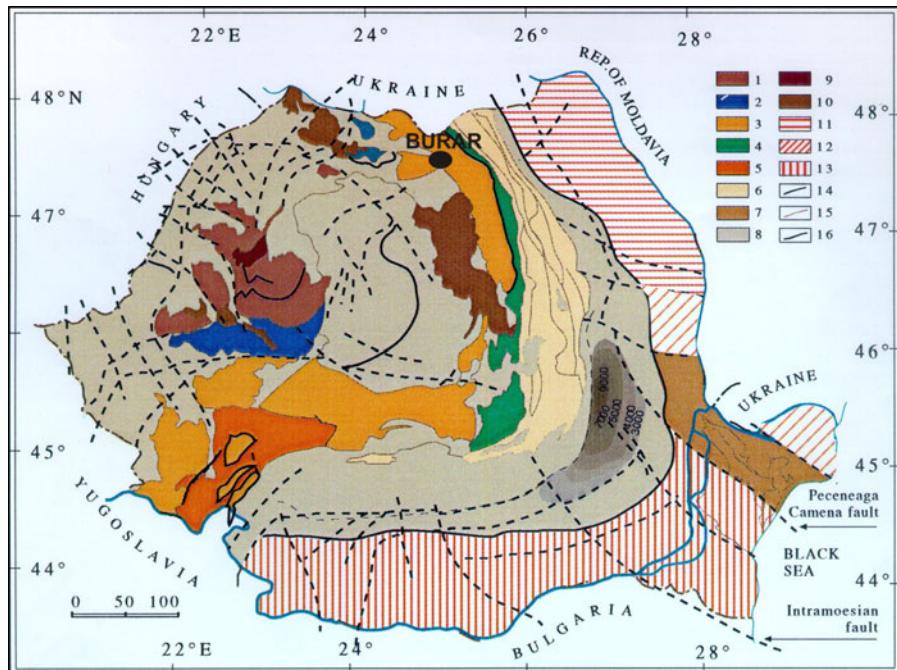
137 The Carpathians fold belt represents a segment 138 of the Tethyan Chain placed and deformed during 139 the Mesozoic and Cenozoic periods (Sandulescu 140 and Visariom 2000) and consists of tectonic units 141 emerging from the deformed lithosphere belong- 142 ing to both the Tethys Ocean (the Main Tethyan 143 Suture) and its continental margins (Southern and 144 Eastern Carpathians).

145 In the Carpathians domain three types of crust 146 can be distinguished:

- 147 – The underthrusted forelandic crust type is 148 situated below the cover nappes of the 149 Carpathian Flysch and belongs to the Moesian 150 and Scythian Platforms. Its thickness is vari- 151 able, having the largest values of 45–50 km in 152 the Carpathian Arc Bend Zone.
- 153 – The second crust type is located in Transylva- 154 nia, placed between the Main Tethyan Suture 155 Zone and the “satellite” suture, being char- 156 acterized by a thickness of 26–28 km. Here, 157 the basaltic layer is normally developed, while 158 the granite layer becomes thicker under the 159 central part of the Transylvanian Basin.
- 160 – The third type is the Pannonian crust, which 161 has a thickness of 24–26 km, including a thin 162 basaltic layer (Stanica et al. 2000).

163 The lateral inhomogeneous structure charac- 164 terizes also the entire Alpine-Mediterranean area, 165 which is a wide and complex geophysical system

Fig. 2 Tectonic map of Romania (after Mandrescu and Radulian 1998) with location of the BURAR array—black dot



168 at the confluence of the African, Arabian and
169 Eurasian blocks. A large amount of research has
170 been focused on explaining and modelling the P
171 and S wave velocity structure of the mantle in the
172 area, ranging from regional to local scales by using
173 different methods and data. On a regional scale,
174 velocity structure has been studied for example
175 by Romanowicz (1980), Spakman et al. (1993)
176 and Bijwaard et al. (1998). Body wave and sur-
177 face wave inversions were applied to determine
178 the lithosphere–mantle structure by Panza et al.
179 (1980), Calcagnile and Panza (1990), Zielhuis and
180 Nolet (1994), Marquering and Snieder (1996).

181 2 Data processing: regional and teleseismic events

182 For this study we selected a number of 180 re-
183 gional and teleseismic events with epicentral dis-
184 tance up to 50°. We considered regional events
185 if the distance between station and source is in
186 range of 7° up to 25° and teleseismic events if
187 the epicentral distance is greater than 25°. The
188 earthquakes are recorded between 2004 and 2008.
189 The distribution of the earthquakes shows strong
190 inhomogeneous azimuth coverage (Fig. 3). Since
191 the majority of the earthquakes occurred in the

southern part relative to BURAR, the corrections 192 of these parameters will be better constrained 193 for these regions. The seismic activity is strongly 194 inhomogeneous in the study area; therefore, we 195 cannot equally cover the entire azimuth domain. 196

In the case of regional and teleseismic events, 197 the backazimuth and ray parameter values were 198 determined for P waves using both plane wave 199 fit and f-k techniques for a number of 180 200 earthquakes. The selected events were divided in 201 earthquakes with high and medium SNR and the 202 analysis was carried out separately. For the events 203 with high SNR (60 events), we calculated these 204 parameters for the S waves too. We used wave- 205 forms recorded just by the short period BURAR 206 elements. The time windows in case of f-k analysis 207 were manually selected with lengths between 2 208 and 5 s. The picks for the plane wave fit method 209 are set manually as well. Note that the station 210 heights differences were taken into account when 211 applying the both analyses. 212

For the theoretical calculations, we adopted 213 the standard 1-D IASP91 model (Kennett 214 1991) and for the parameters of the reference 215 earthquakes the data provided by European 216 Mediterranean Seismological Center (<http://www.emsc-csem.org>). 217 218

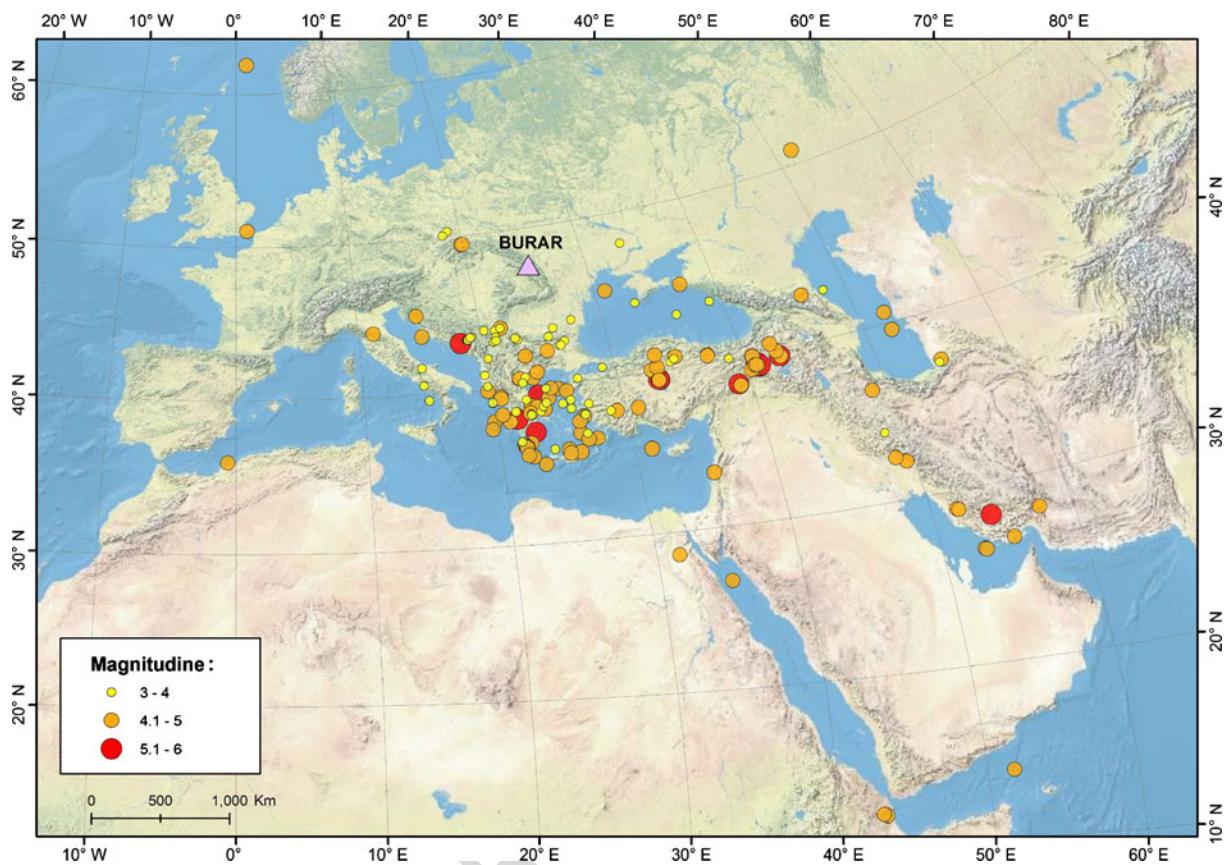


Fig. 3 The distribution of the regional earthquakes (dots); triangle shows the location of the BURAR array

219 To check for possible local structure differences
220 beneath stations, we investigate the travel-times
221 residuals at single stations for the events with best
222 signal-to-noise ratio and did not find any system-
223 atic delays.

224 For the f-k analysis (Fig. 4) of P waves, we dis-
225 tinguish two azimuthal segments with major devi-
226 ations: one is situated between 70° and 150° where
227 negative backazimuthal deviations are prevalent
228 signifying deviations of the seismic rays towards
229 east as compared with the theoretical ray paths;
230 the other is situated between 160° and 200° , where
231 positive backazimuthal deviations are prevalent,
232 showing deviations to the west of the seismic rays.
233 No systematic deviations are noticed in the in-
234 terval 200° – 260° due to the diminishing detection
235 capacity or poor seismicity.

236 The plane wave fit analysis applied for the same
237 events (P waves) shows practically a similar dis-
238 tribution of the backazimuthal deviations (Fig. 4)

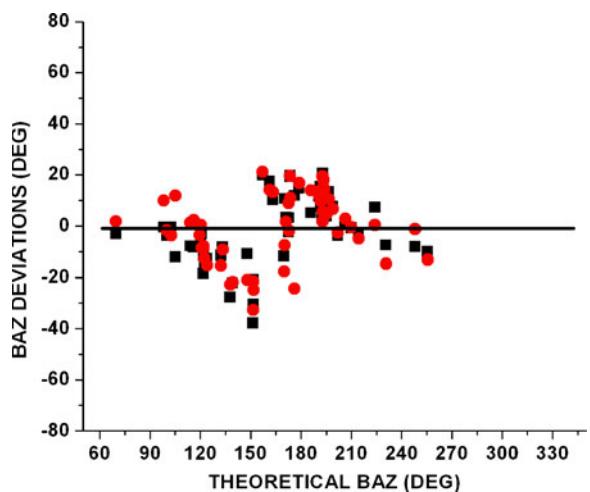


Fig. 4 Backazimuth deviations resulted from f-k (with black squares) analysis and plane wave fit analysis (with red dots) in the case of P waves for the events with high SNR

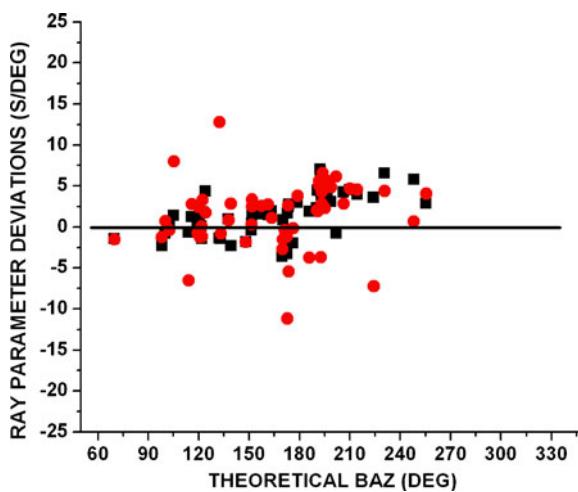


Fig. 5 The ray parameter deviations resulted from f-k (with *black squares*) analysis and plane wave fit analysis (with *red dots*) in the case of P waves for the events with high SNR

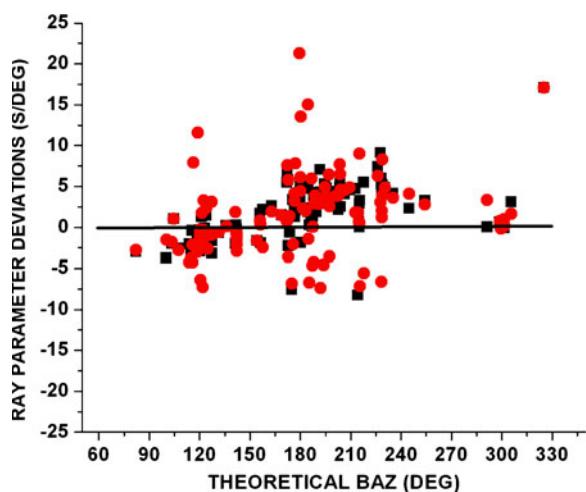


Fig. 7 The ray parameter deviations resulted from f-k (with *black squares*) analysis and plane wave fit analysis (with *red dots*) in the case of P waves for the events with medium SNR

239 with generally smaller deviation values than those
240 resulted from the f-k analysis (Fig. 5).

241 The investigation of the ray parameter devia-
242 tions shows values around zero up to 180° and
243 slightly tendency for positive values from 180° to
244 260°. Figures 6 and 7 show the results of the f-
245 k and plane wave fit analysis when considering
246 the events with medium SNR; in fact, these are

247 represented by events for which P waves entries
248 are not characterized by impulsivity. The same
249 patterns are obtained as for the high SNR events,
250 characterized by a clear impulsive P phase. An
251 azimuth interval with no earthquakes detected
252 previously (280° to 320°) has this time a few earth-
253 quakes recorded. This time the azimuth devia-
254 tions are higher in case of plane wave fit analysis. 254

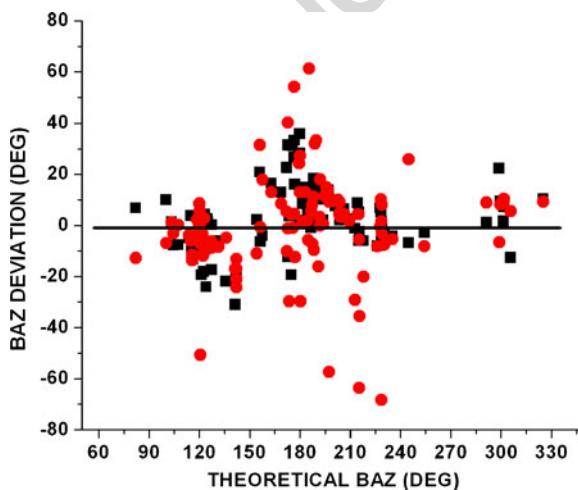


Fig. 6 Backazimuth deviations resulted from f-k (with *black squares*) analysis and plane wave fit analysis (with *red dots*) in the case of P waves for the events with medium SNR

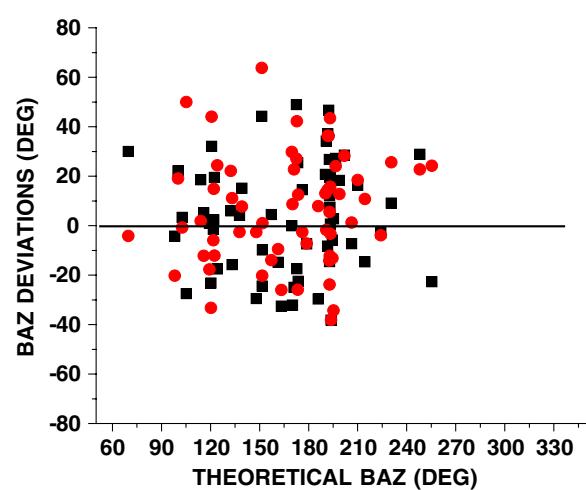


Fig. 8 Backazimuth deviations resulted from f-k (with *black squares*) analysis and plane wave fit analysis (with *red dots*) in the case of S waves for the events with high SNR

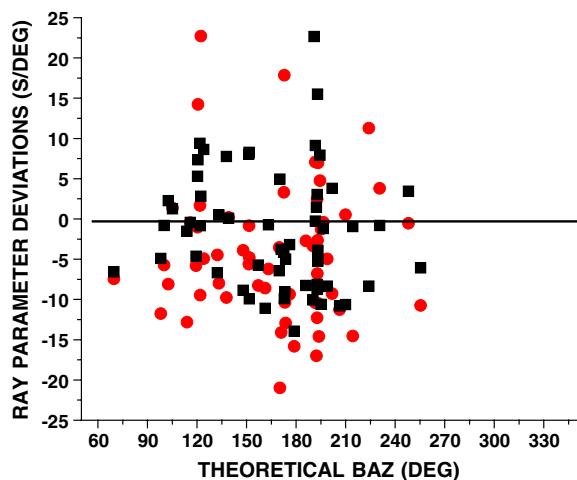


Fig. 9 The ray parameter deviations resulted from f-k (with black squares) analysis and plane wave fit analysis (with red dots) in the case of S waves for the events with high SNR

255 Our investigation shows that the plane wave fit
256 analysis is very sensitive to the SNR and is not
257 appropriate to constrain the deviations provided
258 by the moderate earthquakes. Different filtering
259 ranges were tested in order to increase signal to
260 noise ratio. For all events, a Butterworth band
261 pass filter between 0.8 and 3 Hz can be adopted
262 as most appropriate for both techniques. Note that

263 with increasing the frequency range in case of But-
264 terworth bandpass filter, the onsets were distorted
265 and became unclear what makes the power of the
266 signal to be lower. The filtering application leads
267 to an increase of the signal to noise ratio with an
268 order of 3.
269

We applied both techniques for S waves in the
case of events with high SNR. It is quite difficult
to appreciate arrivals of different phases (e.g.,
Sg, Sn) and the corresponding errors are higher
than in the case of P waves. The distributions
of backazimuth and ray parameter deviations are
more scattered than in case of P waves for events
with high SNR. Both deviations are better defined
using f-k analysis than plane wave fit technique
(Figs. 8 and 9) because the last one requires ac-
curate identification of arrivals. For S waves, it is
difficult to identify any tendency in backazimuth
and ray parameter deviations.
281

The VESPA diagrams provide a way to iden-
tify the direction of waveforms propagation and
the scattering suffered by the P waves and can
be used to sustain our statements concerning the
backazimuthal deviations for P waves. The time
length used for the VESPA plots was up to 40 s
which contains a small time window before the
first onset and the rest of the plotted seismic
signal. The waveforms have been filtered with
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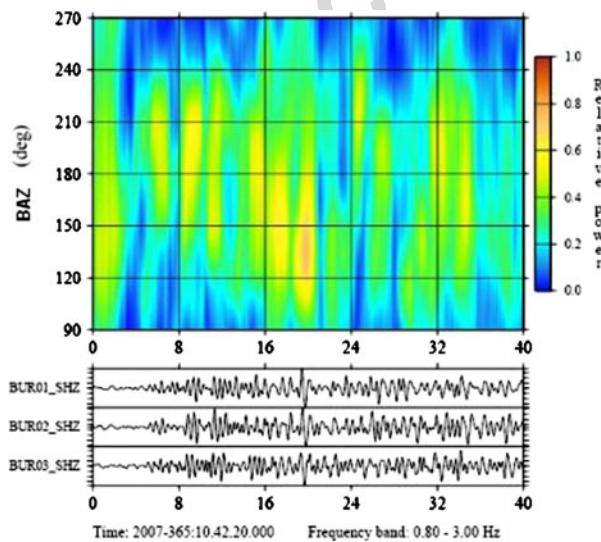
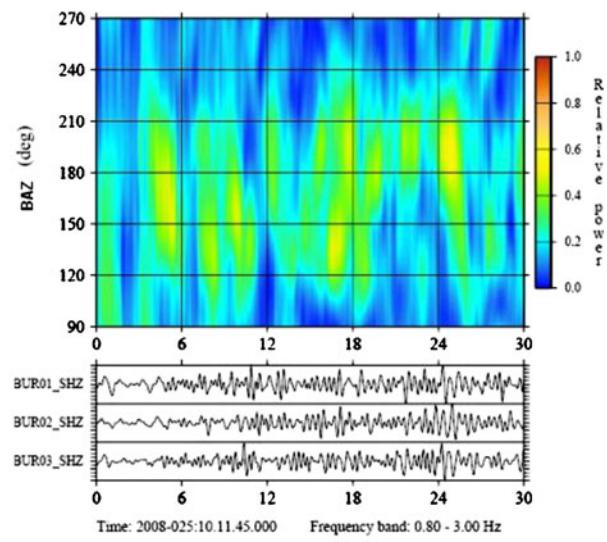
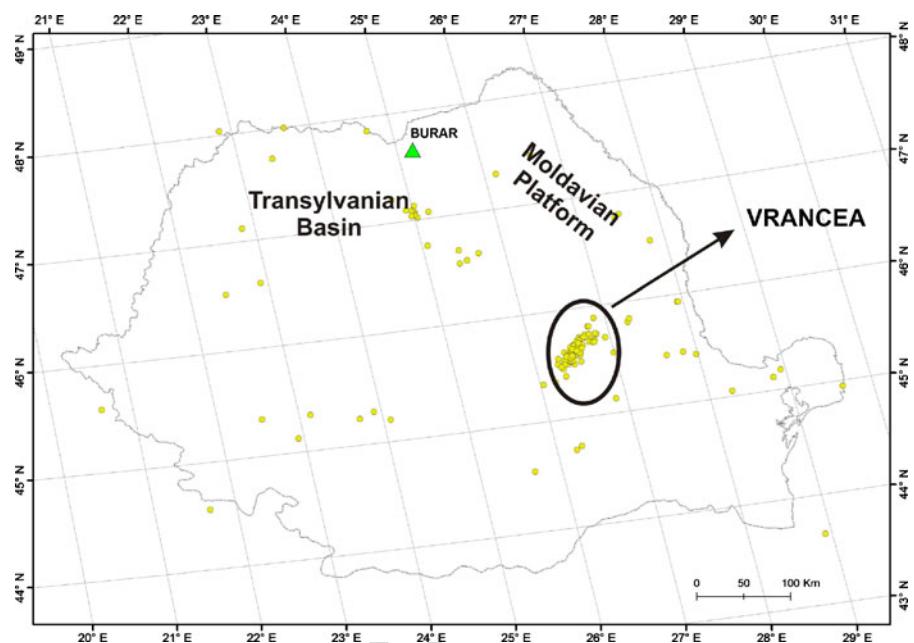


Fig. 10 An example of VESPA gram for the earthquake occurred in the Aegean Sea on 12/31/2007 (left side) and an example of VESPA gram for the earthquake occurred



in Bulgaria on 01/25/2008 (right side). Distinguished onset
phases can be associated in the seismogram as regions with
high incoming energy

Fig. 11 The distribution of the local earthquakes (dots); triangle shows the location of the BURAR array



291 a Butterworth band pass filter between 0.8 and
292 3 Hz. Two examples are given in Figs. 10 and
293 11 for an earthquake in the Aegean Sea ($M_w =$
294 4.0) and another one which occurred in Bulgaria
295 ($M_L = 4.0$). In both cases the backazimuth iden-
296 tified in the VESPA gram (203.39° for the first
297 event and 204.29° for the second one) differ by
298 about 30° from the theoretical values (171.71° in
299 the first case and 172.91° in the second case). The
300 deviations (around 32°) are of the same order as
301 the deviations obtained by f-k and plane wave fit
302 analyses.

303 3 Data processing: local events

304 The local events are distributed within a radius of
305 about 4.5° around BURAR. We selected a num-
306 ber of 121 events with sufficient SNR (>5) for the
307 BURAR recordings. We treated separately the
308 shallow earthquakes (52 events with depths be-
309 tween 0 and 38 km) and intermediate-depth earth-
310 quakes (69 events with depths situated between
311 69 and 160 km). We used waveforms recorded
312 just by the short period BURAR elements. All
313 the intermediate-depth events are coming from
314 the Vrancea seismic source, located beneath the
315 South-Eastern Carpathians Arc bend, at about

316 350 km epicentral distance from the BURAR 317 array (Fig. 11). Because the SNR has generally 318 medium values, we used only f-k analysis and only 319 P waves.

320 The backazimuth anomalies (Fig. 12) are of the 321 same order as for the regional events, but this 322 time we cannot identify segments with systematic 323 deviations. The ray parameter anomalies (Fig. 13) 324 are generally small (below 7 s/deg) so that we 325 conclude that for the crust at local scale the in-

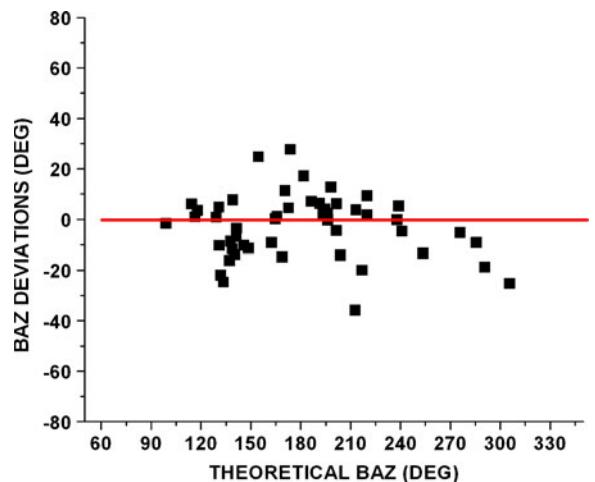


Fig. 12 Backazimuth deviations for the shallow events

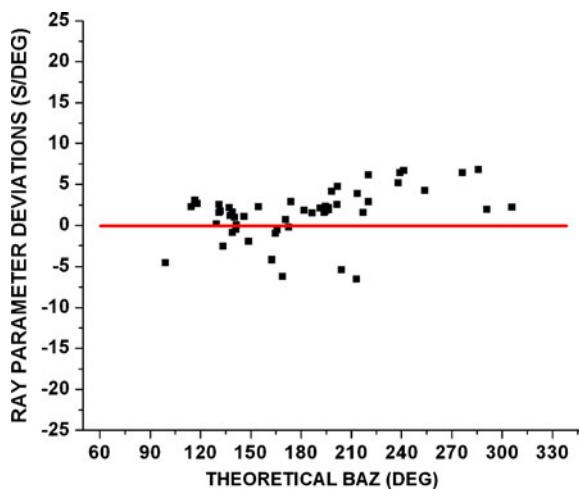


Fig. 13 Ray parameter deviations for the shallow events

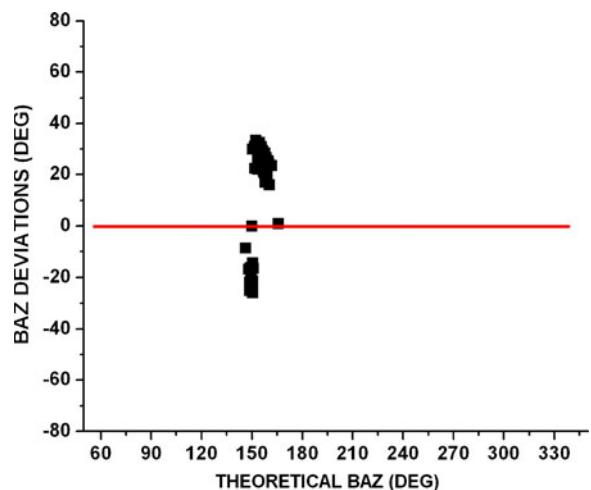


Fig. 15 Backazimuth deviations for the intermediate-depth events

326 homogeneities are not so strong as for the entire
327 lithosphere as revealed at regional scale.

328 At the same time, the VESPA grams outline
329 backazimuthal deviations smaller than in the case
330 of regional events. Two examples are given in the
331 Fig. 14 where the theoretical values of backaz-
332 imuths are 165.12° for the first event (left side)
333 and 186.65° for the second one (right side), while
334 we found from the diagram the values of 164.91°
335 in the first case and 193.66° in the second one.

The intermediate-depth events represent a particular case considering their extreme concentration in space, and also the important backazimuthal deviations (Fig. 15) clustered in two separated groups. The group with negative anomalies is traveling to BURAR through the Moldavian Platform, while the group with positive anomalies is traveling to BURAR through the Transylvanian Basin. Apparently, the azimuth

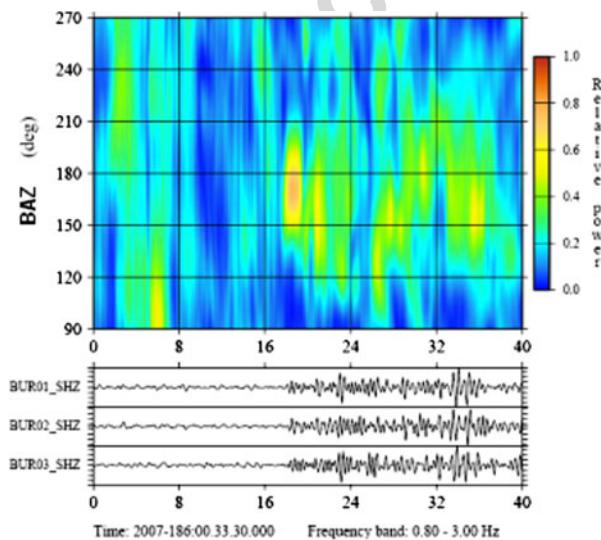
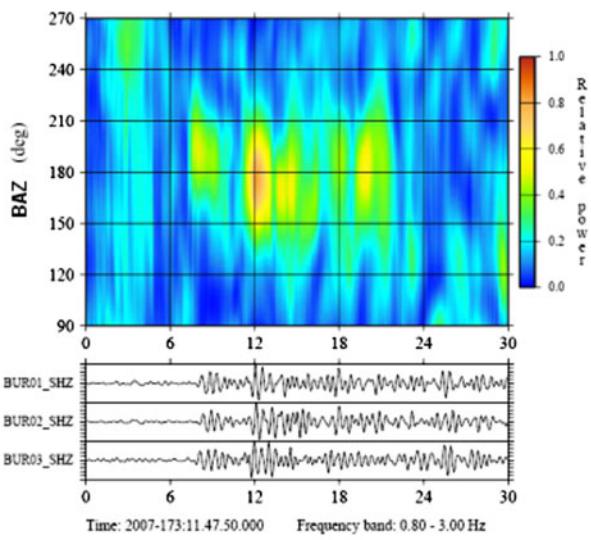


Fig. 14 An example of VESPA gram for the earthquake occurred in Muntenia region (southeastern part of Romania) on 07/05/2007 (left side) and another for the earth-



quake occurred in Transylvania region (western part of Romania) on 06/22/2007 (right side)

Q2

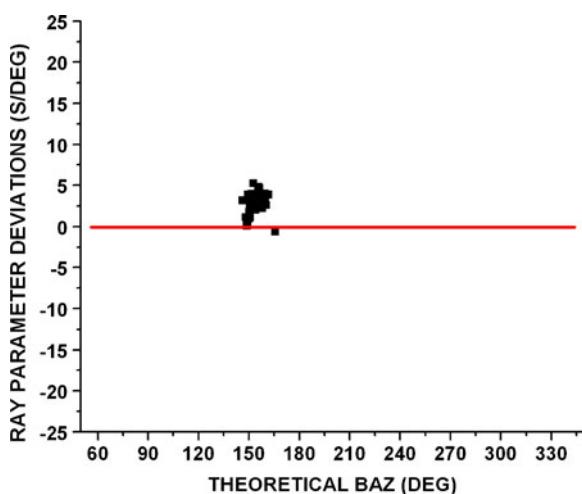


Fig. 16 Ray parameter deviations revealed in case of the intermediate-depth events

345 deviations correlate with the epicentral position
346 (Fig. 17): negative anomalies for the earthquakes
347 produced in the northeastern part of the Vrancea
348 area and positive anomalies for the earthquakes
349 produced in the southwestern part of the Vrancea
350 area.

351 The ray parameter deviations are positive but
352 significantly smaller (Fig. 16) and can be hypothet-
353 ically explained by the structural heterogeneities
354 below the array (Fig. 17).

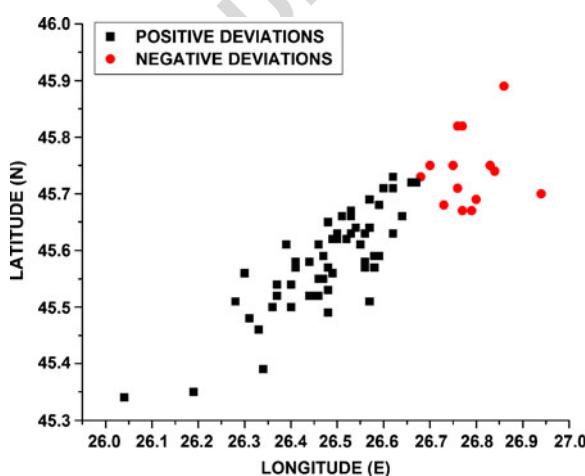


Fig. 17 Distribution of the epicenters of Vrancea earth-
quakes with the associated backazimuth deviations

4 Conclusions

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The present paper describes a quality analysis 356 performed on data recorded by the Bucovina Ar- 357 ray (BURAR), situated in the northern Romania. 358 The application of plane-wave fit and f-k tech- 359 niques to local, regional and teleseismic events 360 outlines significant anomalies in backazimuth and 361 ray parameter measurement as compared with the 362 values predicted by standard IASP91 1-D model. 363 VESPA-grams of a few selected events confirm 364 these results. 365

High backazimuth deviations are found for 366 seismic events from the southern sector, chang- 367 ing abruptly from negative to positive values be- 368 tween 150° and 160°. They are more pronounced 369 in the case of regional and teleseismic events 370 than local events. Since these deviations create 371 severe problems regarding the detection capabil- 372 ities of the BURAR array for the events from 373 the southern sector, they should be considered as 374 corrections when using BURAR array in location 375 procedures. 376

The purpose of this study limits itself to 377 define the deviations relative to a standard 1-D 378 model (IASPEI91) using available recordings and 379 different techniques of investigation and corre- 380 lated them only qualitatively with the seismotec- 381 tonic settings of the region. Certainly, a major 382 step forward in the future work will be to explain 383 these important anomalies by a local/regional 3-D 384 model. 385

The bending of the rays coming in the segment 386 100–140° shows a lateral increase of the velocity 387 to the east (East European Platform), while the 388 bending in an opposite direction for the rays com- 389 ing in the segment 150–200° shows a lateral in- 390 crease of the velocity to the west. We assume that 391 the bending effects are larger in the mantle than 392 in the lithosphere because they are prominent in 393 the case of regional events. 394

As concerns the ray parameter deviations, they 395 are not as evident as the backazimuth deviations. 396 The ray parameter anomalies for regional and 397 local events may be not significant, as (1) the inci- 398 dence angle for P_n does not change with distance 399 and (2) the comparison with IASPEI91 may be 400 too much simplified, considering the complex ge- 401 ological situation of the study area. For example, 402

403 the encounter of high-velocity material descending in the asthenosphere beneath the Vrancea
404 region could induce negative anomalies of the
405 ray parameter for the rays passing through this
406 zone.

407 It is well known that in many cases, the observed direction deviations are caused by local
408 structure directly beneath the stations. Our tests
409 for BURAR using single station travel time de-
410 lays for the events with high signal-to-noise ratio
411 do not indicate systematic delays, so that, to a
412 first approximation, we can assume that lateral
413 differences in the local structure beneath the array
414 do not significantly influence our interpretation.
415

416 The main conclusion of our investigation is the
417 observation of considerable anomalies when using
418 BURAR array data for regional and teleseismic
419 events situated in the southern domain as com-
420 pared with standard IASPEI91 model. Clearly,
421 they show significant heterogeneities in the man-
422 tle along this sector and require insertion of ar-
423 ray corrections for location procedures. At the
424 same time, the raw measurements of azimuth and
425 ray parameter as input for corrections contain
426 considerable scatter. Therefore, future efforts are
427 recommended to remove outliers and to define
428 smoothed functions over azimuth and ray para-
429 meter for correction procedures (either as table
430 or fitted analytic function). Alternatively, a list of
431 selected, representative events can be considered,
432 where the closest match can be transferred to
433 the new event. The increase of available database
434 for BURAR and the improving of interpretation
435 by considering regional 3-D modeling are cru-
436 cial steps to implement the results of the present
437 work.

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439 visit to NORSAR under the NERIES program (EC project
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441 of NERIES for that grant. These results are based on
442 the data recorded by BURAR array installed and main-
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