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NF-kappaB inhibition improves the sensitivity of human glioblastoma cells to 5-aminolevulinic acid-based photodynamic therapy

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Running title : NF-κB inhibition improves glioblastomas' sensitivity to PDT

Abbreviations list : 5-ALA, 5-aminolevulinic acid ; BCL2, B-cell lymphoma 2 ; c-FLIP, Cellular FLICE-inhibitory protein ; EMSA, Electrophoretic mobility shift assay ; IAP, inhibitor of apoptosis ; IκB, inhibitory κB ; LDH, lactate dehydrogenase ; LTR, long terminal repeat ; mTOR, mammalian target of rapamycin ; NF-κB, nuclear factor kappa B ; PDD, photodiagnosis ; PDT, photodynamic therapy ; PI, propidium iodide ; PPIX, protoporphyrin IX ; ROS, reactive oxygen species ; SR, IκBα super-repressor ; Tunel, terminal deoxynucleotidyl transferase dUTP nick end labeling ; XIAP, X-linked inhibitor of apoptosis

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Abstract

1
2
3 Glioblastoma constitute the most frequent and deadliest brain tumors of astrocytic origin.
4
5 They are very resistant to all current therapies and are associated with a huge rate of
6
7 recurrence. In most cases, this type of tumor is characterized by a constitutive activation of
8
9 the nuclear factor-kappaB (NF- κ B). This factor is known to be a key regulator of various
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11 physiological processes such as inflammation, immune response, cell growth or apoptosis. In
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13 the present study, we explored the role of NF- κ B activation in the sensitivity of human
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15 glioblastoma cells to a treatment by 5-aminolevulinic acid (5-ALA)-based photodynamic
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17 therapy (PDT). 5-ALA is a physiological compound widely used in PDT as well as in tumor
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19 photodetection (PDD). Our results show that inhibition of NF- κ B improves glioblastoma cell
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21 death in response to 5-ALA-PDT. We then studied the molecular mechanisms underlying the
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23 cell death induced by PDT combined or not with NF- κ B inhibition. We found that apoptosis
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25 was induced by PDT but in an incomplete manner and that, unexpectedly, NF- κ B inhibition
26
27 reduced its level. Oppositely PDT mainly induces necrosis in glioblastoma cells and NF- κ B is
28
29 found to have anti-necrotic functions in this context. The autophagic flux was also enhanced
30
31 as a result of 5-ALA-PDT and we demonstrate that stimulation of autophagy acts as a pro-
32
33 survival mechanism conferring protection against PDT-mediated necrosis. These data point
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35 out that 5-ALA-PDT has an interesting potential as a mean to treat glioblastoma and that
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37 inhibition of NF- κ B renders glioblastoma cells more sensitive to the treatment.
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44 **Key words** : Photodynamic therapy, NF- κ B, Glioblastoma, Necrosis, Autophagy, Apoptosis
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1. Introduction

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3 Glioblastoma are among the most frequent and deadliest brain tumors. Prognosis associated
4 with this type of cancer is generally very poor and most patients die within a year after
5 diagnosis despite extensive research and the use of multimodality treatments combining
6 surgical resection, chemotherapy and radiotherapy [1, 2]. In addition, it should be noted
7 that pro-autophagic chemotherapeutic agents such as temozolomide allow to partly
8 overcome glioblastoma's resistance to apoptosis and were shown to have beneficial effects
9 on patients' survival [3].

10
11 Photodynamic therapy is a form of treatment whose efficiency relies on the production and
12 release of highly reactive oxygen species (ROS) following the irradiation of a photosensitizer
13 with visible light of appropriate wavelength [4, 5]. 5-aminolevulinic acid (5-ALA) is a
14 physiological heme precursor widely used to photosensitize cells [6]. Non-photosensitive 5-
15 ALA is metabolized into photosensitive protoporphyrin IX (PPIX) inside cells' mitochondria. PPIX
16 preferentially accumulates into cancer cells due to their ferrochelatase deficiency [7]. In
17 addition, 5-ALA is already efficiently used in tumor photodetection, notably in the case of
18 glioblastoma surgical resection [8-10]. Furthermore, the use of 5-ALA in the context of PDT
19 constitutes a promising treatment as it has already been successfully used in the case of a
20 non-resectable glioblastoma [11].

21
22 The nuclear factor κ B (NF- κ B) is a ubiquitously expressed transcription factor implicated in the
23 regulation of many cellular processes such as immunity, apoptosis, angiogenesis and
24 proliferation. The NF- κ B consists in homo- or hetero-dimers of five proteins belonging to the
25 Rel/NF- κ B family (p50, p52, p65, RelB, c-Rel). Under basal conditions, it is sequestered in the
26 cytoplasm by inhibitor proteins of the I κ B (Inhibitory κ B) family but it can be rapidly activated
27 in response to diverse signals including pro-inflammatory cytokines, pathogen infection,
28 receptor ligands or chemical agents [12]. The inhibitor protein then gets phosphorylated by
29 the IKK complex and is degraded by the proteasome, allowing the nuclear translocation of
30 the NF- κ B. Once in the nucleus, it can activate the transcription of its target genes [13]. The

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constitutive activation of NF- κ B was described in different type of cancer including leukemias, lymphomas [14, 15] and glioma [16-19].

Glioblastoma cells are characterized by a high resistance to pro-apoptotic stimuli.as a result of constitutive activation of the PI3K/Akt, the mTOR, or the NF- κ B signaling pathways [20]. In particular, NF- κ B is known to activate the transcription of anti-apoptotic factors such as cIAPs, cellular FLICE-inhibitory protein (c-FLIP) and anti-apoptotic members of the BCL2 family like A1/Bfl1 and BCL-X_L [21].

In this study, we examined the role of the constitutive activation of NF- κ B in glioblastoma sensitivity to 5-ALA-PDT and explored the cell death mechanisms induced by this treatment in combination with NF- κ B inhibition. We found that NF- κ B plays a protective role against PDT-induced cell death and more particularly against necrosis whereas apoptosis was reduced by NF- κ B inhibition. 5-ALA-PDT stimulated autophagy as well, which acted as a cytoprotective mechanism against PDT-induced necrosis and its inhibition further sensitized glioblastoma cells to necrotic cell death.

2. Material and Methods

2.1. Cell culture

Human glioblastoma LN18 and U87 cell lines were a kind gift of Pierre Robe (CNCR, university of Liège, Belgium). T98G glioblastoma and cervix adenocarcinoma HeLa cell lines were obtained from the ATCC (Manassas, VA). LN18, U87 and T98G cells were grown in DMEM with 10% fetal bovine serum and 100 units/ml penicillin/streptomycin (Gibco-Invitrogen, Grand Island, NY). HeLa cells were cultured in EMEM with 10% fetal bovine serum and 100-units/ml penicillin/streptomycin. All cultures were maintained at 37°C in a 5% CO₂ humidified atmosphere.

2.2. Reagents and antibodies

BAY 11-7082, staurosporine, bafilomycin A1 and Draq5 were purchased from Enzo Life Sciences (Plymouth Meeting, PA). Smac mimetic (BV6) was previously described [22]. 5-aminolevulinic acid (5-ALA), propidium iodide and trypan blue solutions were from Sigma-Aldrich (St. Louis, MO), TNF- α was from Peprotech (Rocky Hill, NJ). The following antibodies were used for western blot analysis: anti-Phospho-I κ B α (S32/36) (#9246), anti-p70 S6 Kinase (#2708), anti-Phospho-p70 S6 Kinase (Thr389) (#9206) (Cell Signaling Technology, Danvers, MA); anti-I κ B α (sc-371), anti-NF- κ B p65 (sc-372G), anti-ATG7 (sc-12497) (Santa Cruz Biotechnology, (Santa Cruz, CA); anti-XIAP (#610717) (BD Biosciences, Erembodegem, Belgium); anti-Caspase-3 (ALX-804-305) (Enzo Life Sciences, Plymouth Meeting, PA); anti-cIAP-1 (#AF8181) (R&D Systems, Abingdon, United Kingdom); anti- β -Tubulin (T4026) and anti- β -Actin (A4700) (Sigma-Aldrich, St. Louis, MO).

2.3. Generation of stable cell lines

Retroviral pBabe-puro based constructs were used to generate stable cell lines. pBabe-eGFP-LC3 was obtained from J. Debnath [23] (Department of Pathology and Biomedical Sciences Graduate Program, San Francisco, CA) and the pBabe-Myc-I κ B α (S32A/S36A) was a gift from Jean-François Peyron (INSERM U526, Nice, France). Stable pools of LN18, U87 and T98G expressing eGFP-LC3 and myc-I κ B α (S32A/S36A) were obtained after infection and selection with puromycin (1 μ g/mL) (InvivoGen, San Diego, CA).

2.4. PDT treatment

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2 Cells were grown to near confluence. They were treated with 0.5 mM 5-ALA for 3 hours prior
3
4 to irradiation with white light delivered by four fluorescent tubes (Aquarelle TLD-15W, Philips,
5
6 Brussels, Belgium) with a fluence rate of 23.70 W/cm². NF-κB inhibition was performed using
7
8 BAY-11 7082 added 30 min prior to irradiation at a concentration of 10 μM.
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2.5. siRNA transfection

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11 A pool of four siRNA duplexes targeting human ATG7 RNA were purchased from Dharmacon
12
13 (Lafayette, CO). A control siRNA was purchased from Applied Biosystems (Carlsbad, CA).
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15 siRNAs were used at a concentration of 50 nM and transfection was performed using calcium
16
17 phosphate (ProFection® Mammalian Transfection System – Promega, Madison, WI).
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2.6. Western Blot analysis

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22 Western Blots were performed on total, cytoplasmic or nuclear cell extracts. Total cell lysates
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24 were obtained by resuspending cells in total lysis buffer (50 mM Tris-HCl pH 7.5, 150 mM NaCl,
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26 5 mM Na-pyrophosphate, 1% Na-deoxycholate, 1% NP-40, 1 mM PMSF, 3.3 mM NaF, 1 mM
27
28 Na₃VO₄, 25 mM β-glycerol-phosphate and Roche Complete™ protease inhibitor set from
29
30 Roche Applied Science, Vilvoorde, Belgium). Cytoplasmic and nuclear extracts were
31
32 obtained as described previously [24]. Briefly, cells were lysed in cytoplasmic buffer (10 mM
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34 Hepes-KOH pH 7.9, 2 mM MgCl₂, 100 μM EDTA, 10 mM KCl, 0.1% Igepal, 1 mM DTT, 1 mM PMSF,
35
36 3.3 mM NaF, 1 mM Na₃VO₄, 25 mM β-glycerol-phosphate, Roche Complete™ protease
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38 inhibitor set from Roche Applied Science, Vilvoorde, Belgium), incubated 20 min on ice and
39
40 centrifuged for 5 min at 4000 g. Supernatants contain cytoplasmic extracts and nuclear
41
42 extracts were then obtained by lysing the pellet in nuclear buffer (50 mM Hepes-KOH pH 7.9,
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44 50 mM MgCl₂, 100 μM EDTA, 10 mM KCl, 300 mM NaCl, 1 mM DTT, 1 mM PMSF, 3.3 mM NaF, 1
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46 mM Na₃VO₄, 25 mM β-glycerol-phosphate, Roche Complete™ protease inhibitor set from
47
48 Roche Applied Science, Vilvoorde, Belgium). Equal amounts of protein extracts were resolved
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50 in 10% SDS-PAGE, transferred to a PVDF membrane (GE Healthcare, Munich, Germany) and
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52 incubated with correspondent antibody. The immune complexes were detected by
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54 enhanced chemiluminescence (GE Healthcare, Munich, Germany). Band quantification was
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56 carried out with the ImageQuant software (GE Healthcare, Munich, Germany).
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2.7. Electrophoretic mobility shift assay (EMSA)

To perform the electrophoretic mobility shift analysis, 5 μ g of nuclear protein extracts were incubated with a radioactive probe containing the NF- κ B binding sequence present in the long terminal repeat (LTR) region of HIV-1 as described in [24].

2.8. Cell survival

Cell survival in response to the various treatments was assessed using trypan blue exclusion test. The survival of LN18 and U87 cells was measured in 6-well cell culture plates. Twenty-four hours after the indicated treatments, cells were trypsinized, collected in PBS and stained with trypan blue. Cells were then counted on a Thoma hemocytometer. Each experiment was performed in triplicate. Results are presented as the mean ratio (\pm SD) between dye-incorporating and non-incorporating cells and are representative of three independent experiments.

2.9. Apoptosis

Caspase-3 activity was measured in vitro with the colorimetric CaspACE™ Assay system (Promega, Madison, WI) according to the manufacturer's instructions. Experiments were conducted in triplicates. Data are presented as the mean caspase-3 activity induction (\pm SD) and are representative of three independent experiments.

Terminal deoxynucleotidyl transferase dUTP nick end labeling (TUNEL) was performed using the DeadEnd Fluorometric TUNEL System (Promega, Madison, WI) according to the manufacturer's instructions, on cells grown on glass coverslips. Cell slides were analyzed on a Leica TCS SP2 confocal microscope (Leica, Wetzlar, Germany).

DNA laddering experiments were conducted with the Apoptotic DNA Ladder Kit (Roche Applied Science, Vilvoorde, Belgium) according to the manufacturer's protocol. Camptothecin-treated U937 cells' DNA used as a positive control for this experiment was provided in this kit.

2.10. Necrosis

Lactate dehydrogenase (LDH) release was measured in cells' supernatants at various time points after the indicated treatments using the CytoTox 96® Non-Radioactive Cytotoxicity Assay (Promega, Madison, WI) on cells grown to 70-80% confluence in 12-well culture plates.

1 Experiments were conducted in triplicates. Data are presented as the mean lactate
2 dehydrogenase release induction (\pm SD) and are representative of at least three
3 independent experiments.
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6 Propidium iodide staining was performed on cells grown on glass coverslips. After the
7 indicated treatments, cells were fixed with 4% paraformaldehyde for 10 min at room
8 temperature. They were then incubated with 1 μ g/ml propidium iodide for 15 min at room
9 temperature in the dark. Cell slides were analyzed by confocal microscopy using the Leica
10 TCS SP2 (Wetzlar, Germany).
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16 **2.11. Quantification of eGFP-LC3 puncta**

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18 LN18 cells stably expressing eGFP-LC3 were grown to near confluence and treated as
19 indicated. Autophagy was quantified by counting the percentage of cells presenting an
20 accumulation of eGFP-LC3 in vacuoles using a FSX-100 fluorescence microscope (Olympus,
21 Hamburg, Germany). A minimum of 200 cells were considered for each analysis and
22 experiments were performed three times independently.
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3. Results

3.1. The NF- κ B pathway is constitutively activated in glioblastoma cells and can be further activated in response to 5-ALA-PDT

To study the role of NF- κ B in glioblastoma cell death by 5-ALA-PDT, we generated stable cell lines expressing the super-repressor form of the NF- κ B inhibitor I κ B α , namely I κ B α SR (I κ B α S32A/S36A). Indeed, we were able to observe by western blot both endogenous I κ B α and the I κ B α SR in three glioblastoma cell lines such as LN18 SR, T98G SR and U87 SR but only the first one was degraded following exposure to TNF- α (Fig. 1A). Furthermore, we noticed that the level of I κ B α phosphorylation on S32 and S36 (P-I κ B α) constitutively present and induced by the TNF- α treatment was profoundly decreased in SR cells compared to WT cells (Fig. 1A). NF- κ B activity was also detected in the nucleus. LN18 cells show a constitutive NF- κ B binding activity, which is strongly increased by a TNF- α treatment (Fig. 1B, *left*). On the other hand, no binding was detected in LN18 SR, even after TNF- α challenge. NF- κ B p65 subunit could also be detected in the nucleus of wild type T98G and U87 cells and an increased nuclear amount could be observed after TNF- α addition whereas no p65 could be encountered in the nucleus of untreated SR cells (Fig.1B). After TNF- α treatment, only a slight amount was found in T98G SR cells' nucleus (Fig. 1B, *right*). The different glioblastoma cell lines we used show a constitutive NF- κ B activity, which is in agreement with previous reports [16-19]. Moreover, they can also undergo a further activation not only in response to TNF- α but also in response to a 5-ALA-PDT treatment (Fig. 1C). In addition, NF- κ B binding on the probe could be efficiently blocked at 1h and 4h post-irradiation using BAY-11 7082, a pharmacological inhibitor of NF- κ B targeting the kinase activity of the IKK complex's β subunit. A p65 western blot performed on nuclear extracts confirmed the results obtained by EMSA and showed that 5-ALA-PDT induced a BAY-inhibitable nuclear translocation of NF- κ B, whereas no p65 nuclear accumulation was seen in SR cells after PDT (data not shown).

3.2. NF- κ B inhibition potentiates 5-ALA-PDT-induced cell death in glioblastoma

1 After having shown that 5-ALA-PDT mediates a further NF- κ B activation in different
2 glioblastoma cell lines we evaluated the role of this transcription factor in PDT-mediated cell
3 death.
4

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6 Our results indicate that LN18 glioblastoma cells were sensitive to a 5-ALA-PDT treatment.
7
8 Interestingly, the level of death due to 5-ALA-PDT in LN18 cells was found to be significantly
9 higher in cells pre-treated with the IKK complex inhibitor BAY and in cells expressing the super
10 repressor form of I κ B α (Fig. 2, top panel). The same phenomenon could be observed in U87
11 cells where survival was sharply decreased after 5-ALA-PDT when NF- κ B was inhibited either
12 by treatment with BAY or by the presence of the un-degradable form of I κ B α (SR cell
13 lines)(Fig.2B lower panel). However, U87 cells proved to be more sensitive to 5-ALA-PDT than
14 LN18 cells, so the light doses had to be reduced accordingly. A similar cell sensitivity to NF- κ B
15 inhibition was also observed in T98G cells (data not shown). We did not observe any
16 significant difference in cell survival between non-irradiated untreated cells and non-
17 irradiated BAY-treated cells. Altogether, these data suggest that constitutive and PDT-
18 induced NF- κ B activation have a key role in the protection against cell death.
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33 **3.3. NF- κ B is pro-apoptotic in the context of glioblastoma treatment by 5-ALA-PDT**

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35 As a previous report suggested that glioblastoma U87 cells underwent apoptosis in response
36 to 5-ALA-PDT [25] and NF- κ B has a well-known ability to suppress apoptosis [21, 26], we
37 wondered whether NF- κ B also protected glioblastoma cells during PDT. However,
38 unexpectedly, NF- κ B inhibition resulted in a decreased cleavage and activity of caspase-3
39 (Fig. 3A, *left*). This cleavage actually turned out to be very weak compared to a positive
40 control like staurosporine-treated HeLa cells. After quantification, we found that caspase-3
41 cleavage was 30 times greater in this positive control than in 5-ALA-PDT-treated LN18 cells at
42 4 hours post-irradiation (Fig. 3A, *right*). We then looked at a later apoptotic step and
43 performed a TUNEL assay experiment, which revealed that none of the PDT-treated cells'
44 nucleus displayed fragmented DNA (Fig. 3B). We also examined DNA laddering not only after
45 PDT but also in response to other apoptosis inducers, such as daunomycin and staurosporine.
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that LN18 cells present a defect in apoptosis completion. Seeking a possible explanation for this inability to properly induce apoptosis, we analyzed the expression of IAPs, which are key endogenous caspase inhibitors [27]. We also tested whether a Smac mimetic (BV6) could, along with PDT, increase the level of apoptosis in LN18 cells. BV6 alone was able to induce caspase-3 processing along with a reduction in cIAP-1 and to a lesser extent of XIAP expression levels, thus confirming the relevance of these IAPs in increasing the threshold for caspase activation in these cells. Surprisingly, while the treatment combining Smac mimetic and PDT resulted in an increased caspase-3 cleavage compared to PDT alone, this induction was remarkably weaker than the sensitization obtained with BV6 alone, despite the weaker cIAP-1 and XIAP levels observed (Fig. 3D). This suggests that PDT in glioblastoma cells further suppresses caspase signaling, in spite of a rapid reduction of IAPs levels.

3.4. Death by necrosis due to 5-ALA-PDT is increased when NF- κ B is inhibited in glioblastoma cells

The necrotic pathway was then examined by measurement of lactate dehydrogenase (LDH), which leaks out into the extracellular medium upon loss of plasma membrane integrity occurring rapidly during necrotic cell death. Our data show that necrosis due to PDT is significantly higher in glioblastoma cells in which the NF- κ B pathway is inhibited as soon as 1h post irradiation (Fig. 4A). To confirm these results, cells were subjected to a propidium iodide (PI) staining, which showed that many more cells were stained by PI consequently to the 5-ALA-PDT treatment when the NF- κ B was inhibited (Fig. 4B). Taken together, these data establish that NF- κ B could have an anti-necrotic role in glioblastoma in the context of 5-ALA-PDT treatments.

3.5. 5-ALA-PDT leads to an increased autophagic flux in glioblastoma

Autophagy was previously shown to be induced by 5-ALA-PDT in PC12 and CL1-0 cancer cell lines [28]. Therefore, we decided to examine the activation of this pathway in our glioblastoma cells. Our findings reveal that 5-ALA-PDT effectively led to a time-dependent conversion of LC3-I into its autophagosome-bound form named LC3-II, which is a hallmark of autophagy [29, 30], in LN18 cells (Fig. 5A, *top*). Of importance, the conversion of LC3-I into

1 LC3-II increased with time after irradiation up to 4h to be reversed at 24h post-irradiation.
2 Another commonly used method to monitor autophagy is the visualization of LC3's cellular
3 distribution by microscopy. Mainly diffused under basal conditions, LC3 re-localizes to the
4 autophagosomes and appears punctuated during autophagy stimulation. These microscopy
5 studies were made in LN18 cells stably expressing eGFP-tagged LC3. In untreated cells, we
6 observed that GFP fluorescence was mainly diffuse whereas it became punctuated after 5-
7 ALA-PDT treatment (Fig. 5A, *middle*). In non-irradiated cells the percentage of cells displaying
8 eGFP-LC3 puncta was significantly higher especially at 2h (30%) and 4h (35%) post-irradiation.
9 Afterwards, at 24h pi, this ratio goes down and reaches 17% (5A, *bottom*). An increase in LC3-
10 II level can actually reflect two opposite situations: it can either be the sign of an enhanced
11 complete autophagic flux or reveal an inhibited clearance of autophagosomes, resulting
12 from an incomplete autophagic process. To discriminate between these two phenomena,
13 we treated our glioblastoma cells with bafilomycin A1, which inhibits a late autophagic step,
14 i.e. the fusion between autophagosomes and lysosomes. Use of bafilomycin A1 resulted in an
15 increased LC3-II level in both irradiated and un-irradiated cells, proving that 5-ALA-PDT
16 indeed leads to a complete autophagic process (Fig.5B).

17 Altogether, these results indicate that along with the induction of cell death, 5-ALA-PDT
18 induces an enhancement of autophagy in glioblastoma.

19 We then addressed the question whether NF- κ B played a role in autophagy induction in
20 response to PDT. The results indicated that the level of LC3-II is higher in LN18 pretreated with
21 the IKK inhibitor BAY both in irradiated and un-irradiated cells while the one observed in SR
22 cells is similar to what is seen in WT cells (Fig. 5C). Consistently, the level of p70S6K
23 phosphorylation on Thr389 (a target of the mammalian Target Of Rapamycin – mTOR)
24 decreased after PDT. Furthermore, inhibition of the mTOR- p70S6K pathway was more
25 pronounced and persistent in BAY-treated cells as compared to wild type cells that were not
26 treated with BAY and to $\text{I}\kappa\text{B}\alpha$ SR-expressing cells (Fig.5B).

3.6. Autophagy protects glioblastoma cells against 5-ALA-PDT-induced necrosis

1 Since autophagy is a process able to promote either cell survival or cell death (27), we
2 decided to knock down ATG7 in order to distinguish between these two opposite outcomes.
3
4 SiRNAs against ATG7 were transfected in LN18 cells and western blot analysis demonstrated
5
6 that the level of ATG7 in transfected cells was strongly decreased compared to the level
7
8 observed in untransfected cells or cells transfected with an irrelevant siRNA (Fig. 6A, left).
9
10 ATG7 knock-down also severely impaired LC3 conversion upon PDT (Fig. 6, right). Necrosis in
11
12 response to 5-ALA-PDT was then examined. Our lactate dehydrogenase assay results show
13
14 that LN18 transfected with the ATG7 siRNA are significantly more sensitive to PDT-induced
15
16 necrosis (Fig. 6B, top). This was again confirmed by a PI staining, clearly showing that many
17
18 more cells had taken up PI after PDT when autophagy is repressed (Fig. 6B, bottom).
19
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21 Thus, these data indicate that siRNA-based knockdown of ATG7 and BAY inhibitor can each
22
23 provoke an enhanced necrosis rate in glioblastoma in response to 5-ALA-PDT but the
24
25 question remained whether autophagy and NF- κ B inhibition could have greater effects when
26
27 used together. Indeed, cells transfected with the ATG7 siRNA and treated with BAY prior to
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29 irradiation appeared significantly more sensitive to PDT-induced necrosis at 4h post-irradiation
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31 than those having undergone only one of the two treatments (Fig. 6C). We then wondered if,
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33 like necrosis, apoptosis would be increased in autophagy-impaired cells in response to PDT.
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35 Interestingly, no difference in the level of caspase-3 cleavage or in its enzymatic activity
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37 could be observed after 5-ALA-PDT between control siRNA and ATG7 siRNA-transfected cells
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39 (Fig. 6D, top left and bottom). Efficiency of ATG7 depletion was verified by western blot (Fig.
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41 6D, right).
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4. Discussion

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3 The present study shows that human glioblastoma cells present a constitutive activation of
4 the NF- κ B pathway, further increased after a 5-ALA-PDT treatment. We demonstrate that, in
5 the context of a treatment by 5-ALA-PDT on glioblastoma cells, (i) inhibition of NF- κ B
6 significantly enhances cell death, (ii) NF- κ B is pro-apoptotic but glioblastoma cells undergo
7 an incomplete apoptotic process, (iii) NF- κ B is anti-necrotic and (iv) autophagy is induced as
8 a pro-survival mechanism.
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13 Consistent with a previous report [25], treatment by 5-ALA-PDT induced cell death and
14 apoptosis in glioblastoma cells. However, oppositely to the results shown in this paper, we do
15 observe an increased activity of NF- κ B rather than a down-regulation by PDT. This
16 discrepancy probably comes from the methods used to study the nuclear translocation of
17 p65. NF- κ B was previously shown to be activated by ROS and particularly by singlet oxygen
18 [31], which was shown to be the main ROS produced by 5-ALA photosensitization, therefore
19 reinforcing our conclusions.
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24 Evasion of apoptosis is commonly observed in cancer cells [32] and glioblastoma are no
25 exception to this rule [20, 33]. They were shown to escape apoptosis by (i) over-expressing
26 anti-apoptotic proteins of the BCL-2 family such as BCL-2 and BCL-X_L, but down-regulating the
27 pro-apoptotic Bax [34], (ii) expressing the BCL2-like 12 protein (BCL2L12), an inhibitor of
28 caspase-3 and caspase-7 [35, 36] and (iii) expressing high levels of IAP proteins [37, 38].
29 Consequently, it is not surprising that 5-ALA-PDT induces such a weak level of apoptosis in
30 these cells. In an attempt to restore apoptosis competency, we used a Smac mimetic, a
31 small IAP antagonist [22]. Unexpectedly, the combination between Smac mimetics and PDT
32 caused a weaker caspase-3 cleavage compared to Smac mimetic treatment alone,
33 although it somehow stimulated caspase-3 processing after PDT treatment. This suggests that,
34 beside displaying intrinsic defects in the apoptotic machinery, PDT by itself may negatively
35 interfere with caspase signaling in these cells, probably through a ROS-mediated inhibition of
36 caspases, as already reported [39]. In this case, cells would preferentially undergo necrosis in
37 response to PDT because cells in which caspases cannot be efficiently activated often
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undergo necrosis in response to apoptotic stimuli [40]. More surprising is the fact that NF- κ B is pro-apoptotic in 5-ALA-PDT-treated glioblastoma. NF- κ B is generally considered as anti-apoptotic but it has already been reported to be pro-apoptotic in some situations [41]. NF- κ B was demonstrated to induce apoptosis mainly by transcriptionally upregulating pro-apoptotic target genes like those encoding pro-apoptotic BCL-2 family members, TRAIL, Fas and p53 [41]. Furthermore, it was recently shown to promote DNA damage and apoptosis in response to DNA intercalators [42]. As glioblastoma over-express anti-apoptotic BCL-2 family proteins to ensure apoptosis resistance, it is very unlikely that those genes would be up-regulated by NF- κ B since no DNA damage is inflicted by 5-ALA-PDT [5]. Consequently, there is little chance that NF- κ B exerts its positive regulation on apoptosis through a p53-dependent mechanism. However, even in the absence of NF- κ B inhibition, apoptosis is very poorly induced in glioblastoma cells and contributes far less to PDT-induced cell death than necrosis.

Unlike apoptosis, necrosis was enhanced by NF- κ B inhibition after treatment by PDT. So, in this situation, NF- κ B fulfils an anti-necrotic role. Indeed, NF- κ B was shown to participate to ROS elimination by inducing the transcription of antioxidant enzymes [43, 44]. If that was the case in response to PDT, it would allow cells to temper the resulting ROS-induced cellular damage.

However, this is obviously not the only mechanism by which NF- κ B could mediate necrosis inhibition because this effect can be observed in BAY-treated cells as soon as 1h post-irradiation. Not much is known yet about the precise mechanisms by which necrosis is regulated but the RIP3 kinase has recently been demonstrated as a major effector of this cell death pathway, triggering cell death by leading to the over-generation of ROS in the mitochondria [45, 46]. Therefore, it is possible that NF- κ B somehow interferes with RIP3-dependent necrosis induction. Further studies are definitely necessary to learn whether or not RIP3 is implicated in PDT-induced necrosis and, if so, what could be the role of NF- κ B in this process.

Autophagy is another pathway activated in glioblastoma in response to 5-ALA-PDT. As already indicated by several studies examining the role of autophagy in cancer, this process

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can be a pro-death [47] as well as a pro-survival pathway [48] (reviewed in [49]). Inducing autophagy in glioblastoma to overcome their resistance to apoptosis was proven efficient both at experimental [50] and clinical levels [2, 3]. Yet, in the case of 5-ALA-PDT, our data reveal that autophagy rather plays a protective role against necrosis. Since autophagy is a quality control mechanism involved in the removal of ROS-damaged proteins and organelles [51], it is plausible that reducing ROS damage by autophagic degradation limits necrotic cell death in our paradigm. Additionally, it is possible that autophagy stimulation by 5-ALA-PDT leads to the removal of a factor (a molecule or an organelle) that is required to promote necrosis.

Furthermore, we noticed that inhibition of the IKK complex (and more particularly of the IKK β kinase), but not expression of I κ B α SR, led to a further increased autophagic flux. These results are consistent with previous reports indicating that there is an interaction and a reciprocal activation between the IKK complex and mTOR as a part of TORC1 complex [52]. In another report, IKK β was also shown to induce the activation of mTOR through the phosphorylation of TSC1 [53]. Knowing that mTOR activity inhibits autophagy, this explains how inhibition of IKK β leads to an increased autophagy.

Given that and despite the use of available treatments, glioblastoma patients' survival rarely exceeds one year. From our results, we can conclude that, in addition to being used in tumor photodetection, 5-ALA has a real therapeutic potential in the context of PDT whether it is used alone as in the case of non-resectable tumors or in combination with surgery to irradiate the resection margins and limit recurrence. One main advantage of 5-ALA-PDT is that PPIX accumulates preferentially in tumor cells so the damage to the surrounding healthy brain tissues is limited compared to other methods like chemotherapy. From the data shown above its efficiency could be increased if this therapy is used along with pharmacological NF- κ B inhibitors to improve cell killing and with inhibitors of autophagy like chloroquine to prevent cells from recovering using the autophagic process. However, our conclusions rely on in vitro experiments and in vivo studies are necessary to confirm that glioblastoma cells similarly respond to 5-ALA-PDT and inhibition of NF- κ B and that patients could actually benefit from

this combined treatment.

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Figure captions

Fig. 1 : Constitutive NF- κ B activity in different glioblastoma cell lines. (A) The expression of I κ B α SR and the phosphorylation status of I κ B α were assessed in cytoplasmic extracts of LN18 WT and LN18 SR cells (left) as well as in T98G, T98G SR, U87 and U87SR cell lines (right) by western blotting. Cells were either left untreated or were treated with 500 U/ml of TNF- α for 30 min. (B) NF- κ B DNA binding activity was studied by EMSA and was performed on LN18 WT and LN18 SR nuclear extracts (left). The presence of NF- κ B p65 subunit was examined in the nuclear extracts of T98G, T98G SR, U87 and U87SR by western blotting. Cells were left untreated or were treated with 500 U/ml of TNF- α for 30 min. (C) EMSA analysis of NF- κ B binding in nuclear extracts of LN18 cells irradiated (2.13 J/cm²) after a 3h-pretreatment with 5-ALA in the presence or absence of BAY. Cells were harvested at various times post-irradiation (pi). NI = cells treated with 5-ALA but not exposed to light.

Fig. 2 : NF- κ B inhibition increases glioblastoma cell death after 5-ALA-PDT. Survival of LN18 and LN18 SR (*top*); of U87 and U87 SR (*bottom*) irradiated with different light doses - pretreated or not with BAY - was determined by trypan blue exclusion 24h after photosensitization. NI = cells treated with 5-ALA but not exposed to light. Data are mean \pm standard deviation of results of three independent experiments. (* p < 0.05, ** p < 0.01, t-test).

Fig. 3 : 5-ALA-PDT induce incomplete apoptosis in glioblastoma and NF- κ B is pro-apoptotic in this context. (A) Caspase-3 cleavage was assessed in LN18 (pretreated or not BAY) and LN18 SR total extracts harvested at various times post-PDT (2.13 J/cm²) by Western Blotting (*top left*). The level of caspase-3 cleavage induced by 5-ALA-PDT at 4h post-irradiation (pi) was compared to that obtained in HeLa treated with 1 μ M staurosporine (STS) for 4h (*top right*). β -actin and β -tubulin are used as loading controls. Caspase-3 enzymatic activity was measured in the same total extracts (*bottom*). Data are mean \pm standard deviation of results of three independent experiments. (* p < 0.05, *** p < 0.001, t-test). (B) DNA fragmentation was assessed by a DNA laddering experiment performed on LN18 cells at various times post-PDT (2.13 J/cm²) and on cells treated with 2 μ M daunomycin for 24h (Dauno) or 2 μ M staurosporine for 4h (STS). The positive control was U937 cells treated with 4 μ g/ml

1 camptothecin for 3h. Scale bar = 40 μm . (C) DNA fragmentation was visualized by a TUNEL
 2 experiment, nuclei were stained with Draq5. Cells were fixed 24h after 5-ALA-PDT (2.13 J/cm²).
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 4 Cells treated with DNase I (10 U/ml) were used as a positive control. (D) Caspase-3
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 6 cleavage, cIAP-1 and XIAP expression were assessed by western blot on cells treated or not
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 8 with 250 or 500 nM BV6 Smac mimetic. BV6 was added 3 hours prior to irradiation (2.13 J/cm²)
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 10 and was left on cells until their harvest 4 hours after photosensitization. β -tubulin is used as a
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 12 loading control. NI = cells treated with 5-ALA but not exposed to light.

14 **Fig. 4 : NF- κ B inhibition increases 5-ALA-PDT-induced necrosis.** (A) Lactate-dehydrogenase
 16 activity was measured at various times post-PDT (2.13 J/cm²) in the supernatants of LN18
 17 (pretreated or not with BAY) and LN18 SR. Data are mean \pm standard deviation of results of
 18 three independent experiments. (* $p < 0.05$, ** $p < 0.01$, t-test). (B) Necrotic cells were
 19 visualized by a propidium iodide staining experiment. Cells were fixed 2h after ALA-PDT (2.13
 20 J/cm²). NI = cells treated with 5-ALA but not exposed to light. Scale bar = 40 μm .

27 **Fig. 5 : 5-ALA-PDT leads to an increased autophagic flux in glioblastoma cells.** (A) LC3-I, LC3-II
 28 and β -actin levels were assessed by western blot in LN18 cells total extracts harvested at
 29 various times post irradiation (top). Confocal microscopy images showing the distribution of
 30 eGFP-LC3 in LN18 eGFP-LC3 cells at 4h and 24h after irradiation (pi). Scale bar = 20 μm
 31 (middle). The percentage of autophagic cells presenting a punctuate distribution of eGFP-
 32 LC3 protein was evaluated in LN18 eGFP-LC3 cells at various times post-irradiation (bottom).
 33 Data are mean \pm standard deviation and are representative of three independent
 34 experiments. (** $p < 0.01$, *** $p < 0.001$, t-test). (B) LC3-I, LC3-II and β -actin levels were
 35 examined by western blot in LN18 - pretreated or not with 100 nM bafilomycin A1 (Baf) – at
 36 various times post-irradiation (2.13 J/cm²). NI = cells treated with 5-ALA but not exposed to
 37 light. (C) S6K phosphorylation as well as S6K, LC3-I, LC3-II and β -actin levels were examined by
 38 western blot in LN18 (pretreated or not BAY) and LN18 SR total extracts harvested at various
 39 times post-PDT (2.13 J/cm²).

55 **Fig. 6 : Inhibition of autophagy induces a further sensitivity to 5-ALA-PDT induced necrosis.**

56 Inhibition of autophagy was obtained by transfecting LN18 cells with an ATG7 siRNA. Non-
 57 transfected cells (NTr) or cells transfected with a control siRNA were used as controls. The
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1 indicated treatments were performed 48 hours post-transfection. (A) Levels of ATG7 and β -
2 actin in LN18 cells were assessed by western blot (left). LC3-I, LC3-II and β -actin levels were
3 assessed by western blot in LN18 cells total extracts harvested at various times post irradiation.
4 The ratio between LC3-II and LC3-I was then quantified and normalized using β -actin level
5 (right). (B) Lactate-dehydrogenase activity was measured at various times post-PDT (2.13
6 J/cm²) in the supernatants of LN18 cells. The supernatants were harvested at the indicated
7 times after irradiation (2.13 J/cm²) (*top*). Data are mean \pm standard deviation and are
8 representative of three independent experiments. (* p < 0.05, ** p < 0.01, t-test). Necrotic cells
9 were visualized by a propidium iodide staining experiment. Cells were fixed 2h after ALA-PDT
10 (2.13 J/cm²). Scale bar = 40 μ m (*bottom*). (C) Lactate dehydrogenase activity was measured
11 in LN18 cells supernatants at the indicated times post-irradiation (2.13 J/cm²). Cells were
12 either left untreated or were pretreated with 10 μ M BAY 30 min prior to photosensitization.
13 Data are mean \pm standard deviation and are representative of three independent
14 experiments. (* p < 0.05, *** p < 0.001, t-test). NI = cells treated with 5-ALA but not exposed to
15 light. (D) Caspase-3 and β -actin (upper left) as well as ATG7 and β -actin levels (upper right)
16 were studied by western blot in LN18 total extracts at the indicated times post-irradiation (2.13
17 J/cm²). Caspase-3 enzymatic activity was measured in the same total extracts (bottom).
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Figure 1

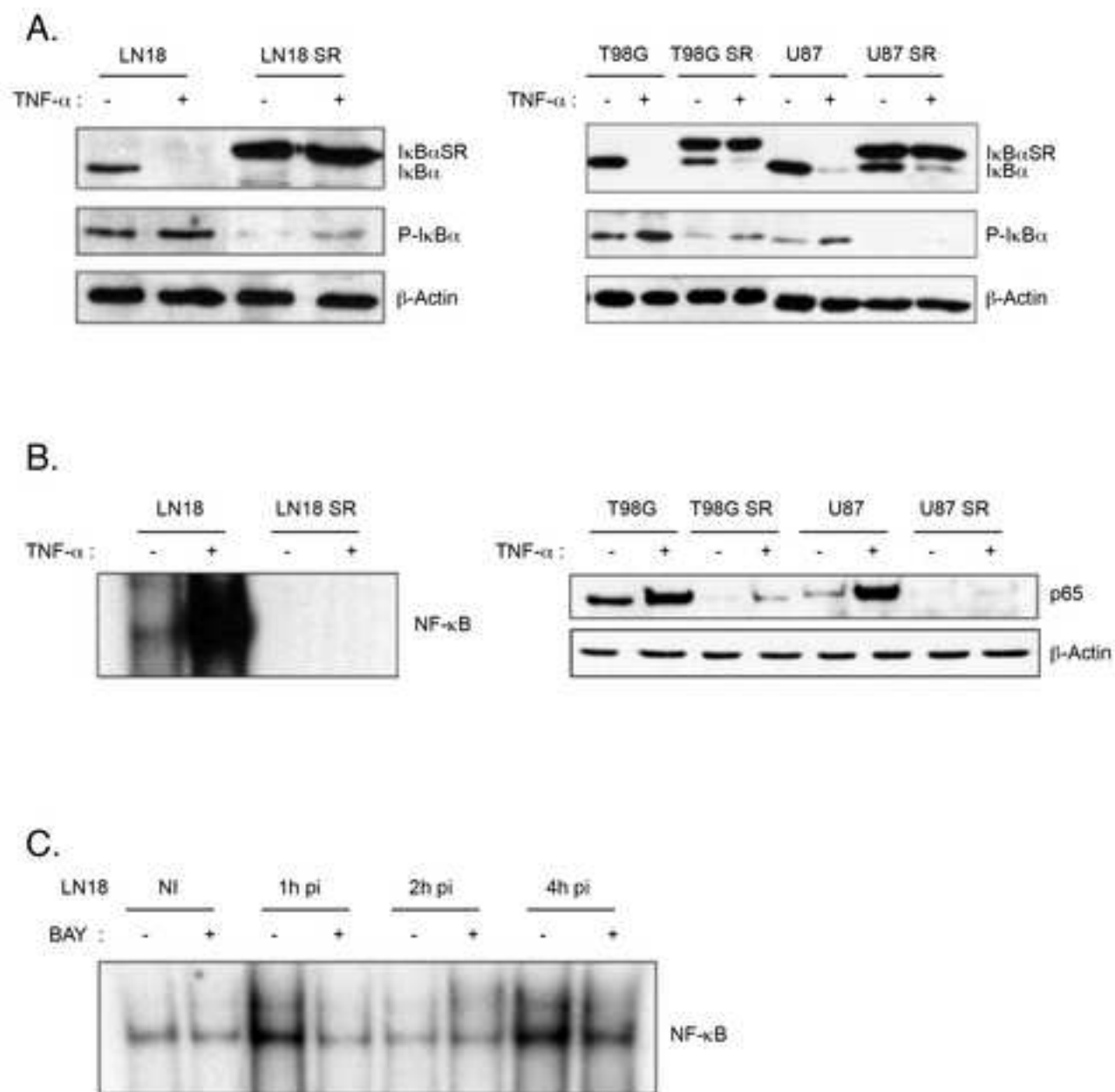


Figure 2

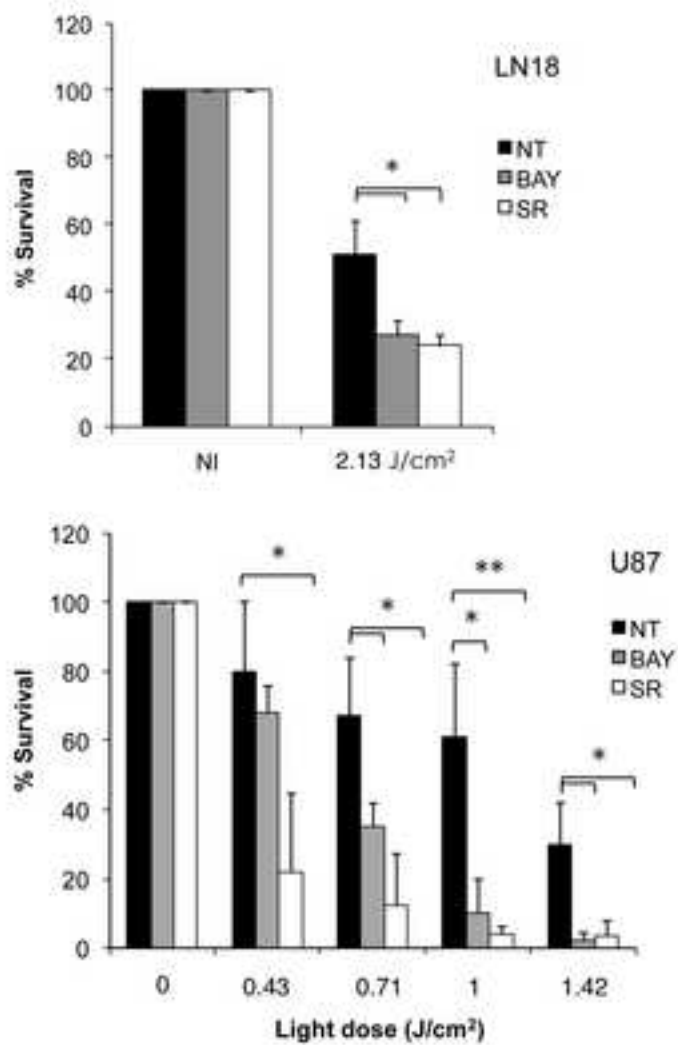


Figure 3

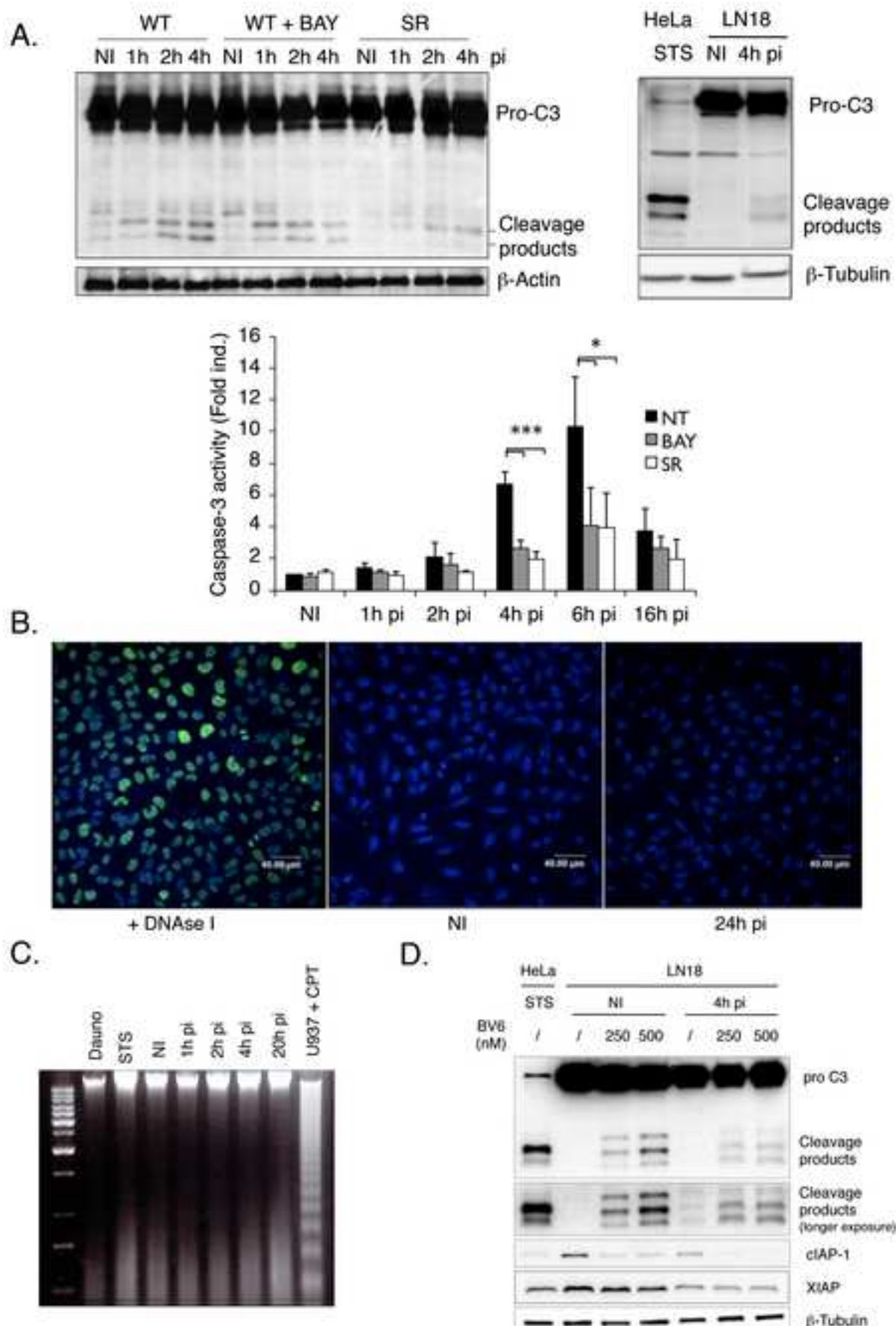
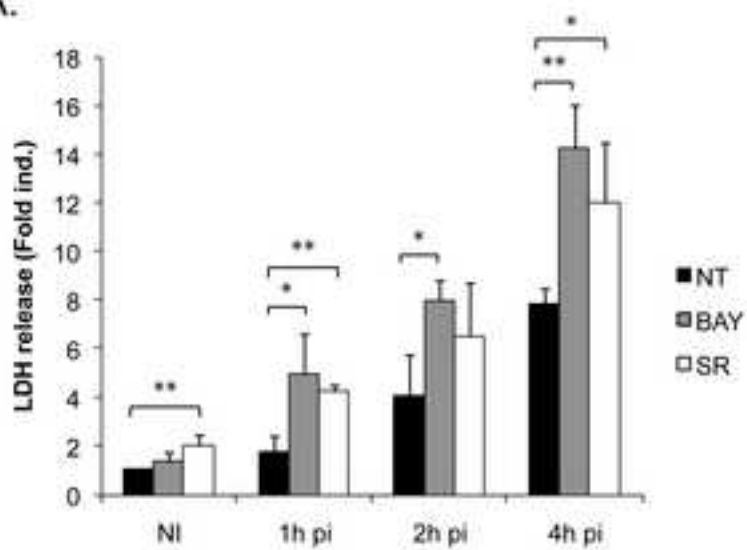


Figure 4

A.



B.

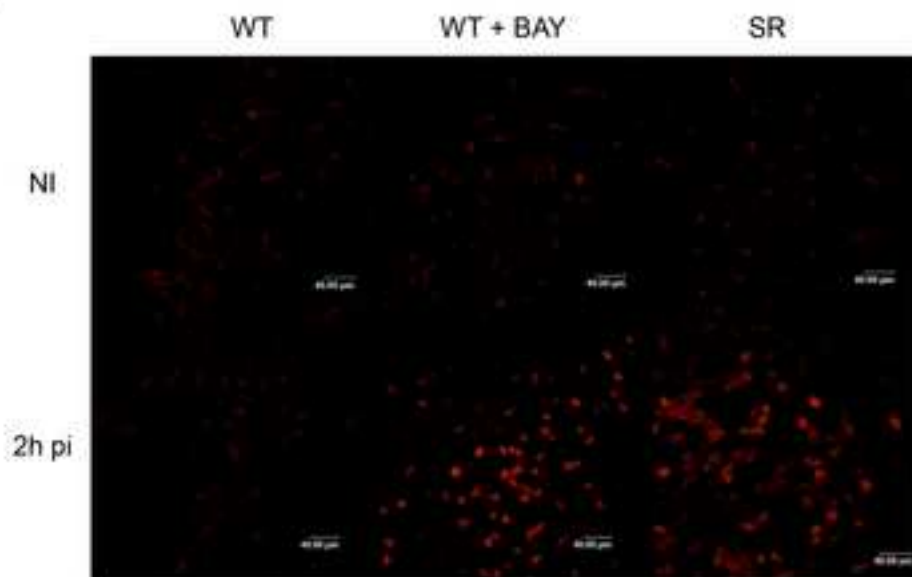


Figure 5

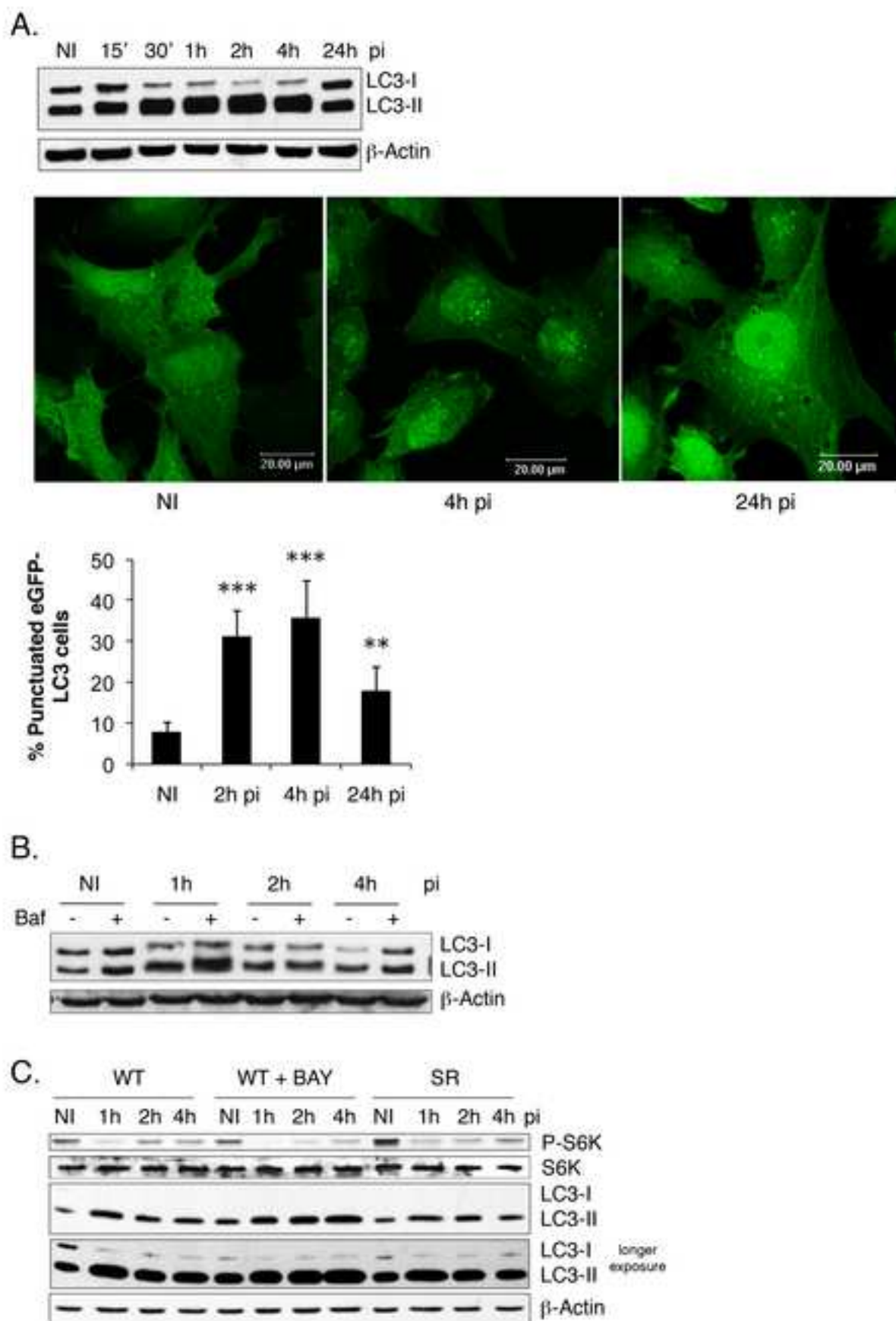


Figure 6

