

A trial of a non-statistical computer program for monitoring fuel reserves, response to wind and other details from GPS tracks of migrating geese

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C. J. Pennycuick, L. R. Griffin, Kendrew Colhoun, Richard Angwin. A trial of a non-statistical computer program for monitoring fuel reserves, response to wind and other details from GPS tracks of migrating geese. Journal für Ornithologie = Journal of Ornithology, 2010, 152 (S1), pp.87-99. 10.1007/s10336-010-0633-6. hal-00652645

HAL Id: hal-00652645 https://hal.science/hal-00652645

Submitted on 16 Dec 2011

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2	A trial of a non-statistical computer program for monitoring fuel reserves,
3	response to wind and other details from GPS tracks of migrating geese
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Summary

22 GPS transmitters were used with the Argos satellite system to track two Brent Geese from 23 Iceland to Arctic Canada, three Greenland White-fronted Geese from Scotland to west 24 Greenland, and two Barnacle Geese from Scotland to Spitsbergen. Each goose's wing span 25 was measured at the time of tagging, and its body mass and fat fraction were estimated at the 26 time of departure. This was the starting point for the *Flight* program's time-marching 27 simulation, which is a non-statistical procedure based on flight mechanics. The ground speed 28 was measured between each GPS fix and the previous one, and combined with a wind 29 estimate to find the air speed. The program calculated the power, using the air density from 30 the GPS altitude. The rates of consumption of fat and muscle tissue were calculated from the 31 power, and used to update the body mass and composition. The total air distance flown by 32 each bird was not consistently less than the ground distance, and there was no indication that 33 the birds could select their routes, or even their timing, so as to bias the wind in their favour. 34 Rates of climb when ascending the Greenland Ice Cap were very low in the Brent Geese, in 35 relation to the maximum rate of climb of which the flight muscles were expected (on 36 mechanical grounds) to be capable of supporting. The Brent Geese stopped often during the 37 ice crossing, suggesting that they could not sustain sufficient aerobic power for continuous 38 flight. The White-fronts' fat fractions were lower across the ice cap, and they climbed faster 39 and stopped less often. Energy height was used as a species-independent measure of energy 40 reserves. All seven northbound geese arrived in their nesting areas at estimated energy 41 heights exceeding 200 km (around 350 km for the two Brent Geese). All of the geese 42 achieved average energy gradients of at least 11, including short stops, meaning that their 43 arrival energy heights were sufficient to fly a further 2200 – 4000 km. We propose that these 44 reserves represent the energy height needed to initiate successful breeding in these arctic 45 habitats, with an element of insurance against contrary winds. 46

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48 Keywords: bird migration, computer simulation, flight mechanics, energy height49

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- 51

Introduction

52 Throughout most of 2008, the BBC ran a series of weekly radio programmes under the title 53 "World on the Move", following the migrations of a wide variety of animals. These included 54 a number of geese, which we tracked by the Argos satellite system, using GPS-based 55 transmitters, deployed under an existing, conservation-oriented Wildfowl and Wetlands Trust

research programme. The background to this programme has been described by Griffin

57 (2008), along with recent results, and current activities are reported at

58 www.wwt.org.uk/research/tracking/maps.asp. We followed three species whose likely 59 destinations were already known from previous satellite tracking, as were the approximate 60 distances and expected timing of the spring migration. We used the *Flight* program, which 61 was developed by one of us (CJP), to run a computer simulation of each individual flight, 62 based on flight mechanics rather than statistics, to see whether we could quantitatively 63 account for each goose's consumption of fat and protein while migrating, and for its energy 64 reserves on arrival in the nesting area. The program is freely available on the internet, and we 65 present the results as a demonstration of what it can do, and also to point out measurements which future observers could make, to check the program's predictions, and improve their 66 67 accuracy.

68 As we had a professional meteorologist among the authors (RA), we were able to take 69 account of the wind at every point of each flight, to estimate the bird's air speed, which in 70 turn allowed us to generate running estimates of a number of variables, including the amounts 71 of fat and protein that each goose consumed, and the reserves remaining, hour by hour 72 throughout the flight. The calculations are based on aeronautical concepts, and we use the 73 conventional terminology from aeronautics wherever possible, as biological terms which 74 have been introduced independently are seldom directly equivalent, and often insufficiently 75 precise. The program works by first estimating the bird's average air speed for each leg of the 76 flight (between each two successive GPS fixes) and then estimating the chemical power, i.e. 77 the rate at which fuel energy is consumed during the leg. The power is multiplied by the 78 duration of the leg to get the amount of energy used, and this in turn is used to estimate the 79 mass of fat and protein consumed. The body mass is revised downwards, before calculating a 80 new power estimate at the start of the next leg. The aeronautical theory, on which the 81 calculations are ultimately based, is set out in such texts as Anderson (1991), but the program 82 takes account of some features that are specific to birds, especially the progressive 83 consumption of protein from the flight muscles and other organs, and its use as 84 supplementary fuel (Lindström & Piersma 1993, Piersma & Gill 1998). It is not practical to 85 give full explanations of the program's operation in a research paper, but references are given 86 in the text to paragraph numbers in a book (Pennycuick 2008), which explains the theory that 87 underlies the program, and also attempts to bridge the gap between the somewhat different 88 concepts and terminology to which aeronautical engineers and biologists are accustomed. The

89 <i>I</i>	Flight program can	be downloaded free	from http:/	//www.bio.	.bristol.ac.uk/	people
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90 /	pennycuick.	htm. or from h	ttp://books.elsevi	ier.com/companio	ns/7780123742995	which is
<i>y</i> 0 <i>i</i>	permy curer.	mun, or monn n	up.//00000.0100/1	company company.	10/1/00123/12//3	, which is

91 the publisher's companion page for the book. Readers who have installed it will find further

- 92 information in the online manual.
- 93
- 94 [Fig. 1 here]
- 95
- 96

97 Geese

98 Complete spring tracks were obtained from seven adult male geese of three species, listed in 99 Table 1, as they migrated from wintering areas in the British Isles or spring staging areas in 100 Iceland to different arctic breeding areas, whose approximate locations were already known 101 from previous satellite tracking studies. Fig. 1 shows one track of each species. There were 102 no major differences between the tracks of different birds of the same species.

Methods

103 Two Light-bellied Brent Geese (*Branta bernicla hrota*), which had wintered in 104 Northern Ireland, were tagged during their main feeding stopover in Iceland, and tracked 105 from there over a route that was first described by Gudmundsson et al. (1995), across 106 Greenland, then up the whole length of Baffin Bay to the south end of Ellesmere Island. The 107 one shown in Fig. 1 (Brent Goose JV) stopped there for ten days, presumably feeding, before 108 continuing further west to Amund Ringnes Island, while the other Brent Goose (DZ) 109 continued a shorter distance to the Bear Peninsula in south-west Ellesmere Island.

110 Three Greenland White-fronted Geese (*Anser albifrons flavirostris*) were tagged in 111 southern Scotland, and tracked to a feeding stopover in Iceland, after which they crossed to 112 Greenland and climbed over the ice cap to the breeding area in West Greenland, just above 113 the Arctic Circle. This route was described from satellite tracks by Glahder et al. (1999).

Two Barnacle Geese (*Branta leucopsis*) were tagged in southern Scotland, and tracked to Spitsbergen, with a feeding stopover in Norway, along a route previously studied by Griffin (2008). A third Barnacle Goose (DDT) is also included in Table 1, although this goose was tagged in 2007, and we had to estimate its wing span. DDT's solar-powered transmitter was still working in the autumn of 2008, as it completed its second round trip to Spitsbergen, giving us some insights into strategy differences between the spring and autumn migrations, which we did not get from any of the 2008 birds.

121

122 Wing measurements

123 The program simulates the flight of an individual bird (not of the mean of a sample), and it 124 was therefore run separately for each individual in Table 1. Ideally a complete set of 125 measurements is needed for each individual. The most important measurement determining 126 speed, power and fuel consumption is the wing span, and we measured this for each goose 127 when it was caught and tagged. The wing area is also needed, but is less important in terms of 128 the effect of errors on the accuracy of the result. We estimated the wing area from the span, 129 using a fixed value for the aspect ratio, measured from a sample of adult birds of each species 130 (Pennycuick 2008, Boxes 1.3-1.4). Captive samples of 12 White-fronted Geese and 8 Brent 131 Geese were measured for this purpose at Slimbridge, while the Barnacle Geese were a 132 cannon-netted sample of 14 wild birds, which included one of the transmitter birds. As the 133 wing span had not been measured on Barnacle DDT, it was assigned the average wing span 134 for adult males in the measured sample.

135

136 [Table 1 here]

137

138 Starting body mass

139 We could not measure each bird's body mass at departure, and had to resort to statistical 140 methods to estimate this, after which changes in mass were calculated by the program, by 141 estimating the power required to fly at the observed height and air speed. Each bird was 142 weighed at the time it was tagged, and the mass values were adjusted upwards to allow for 143 feeding between tagging and initial departure. For the Barnacle Geese and White-fronted 144 Geese this was based on statistical analysis of birds caught and weighed at various dates in previous years. The masses of the Brent Geese were extrapolated to the departure date by 145 146 assuming that energy was acquired by feeding at a net rate based on an estimate of the bird's 147 (increasing) basal metabolic rate. This somewhat crude procedure is explained in the *Flight* 148 program's manual, and was automatically invoked by the program to estimate the mass of fat 149 and protein gained, whenever a bird stopped within a known feeding area. 150

151 Estimating fat fraction from body mass

152 The distance that any bird can fly without feeding is determined by the fat *fraction* at

departure, i.e. by the ratio of the mass of stored fat to the total body mass, not by the fat mass

as such (Pennycuick 2008, 8.1.1). An increase of the fat fraction results in increased range,

155 whether it is achieved by adding fat, or by reducing the mass of other body components, or

both. Measuring the fat fraction directly is impractical as it involves killing the bird, but if the

157 bird belongs to a population from which samples have been trapped and weighed over a 158 period of years, as our geese did, it is possible to use the *Flight* program to estimate the fat 159 fraction from the body mass. Past records were scanned for the lowest mass ever recorded, a 160 statistical outlier representing a goose that had lost its way, or arrived late in the stopover area 161 after all the food had been eaten, or been caught in head winds, and was weighed before it 162 had time to start recovering. Table 1 lists the estimated departure mass for each bird, and also 163 the lowest mass previously reported in each species, for a bird that was thin but apparently healthy. It is assumed that the difference between a bird's current mass and this minimum 164 165 mass represents the combined mass of fat and protein that is available to be consumed as fuel. 166 Each bird's mass was set to the estimated departure value, and the starting fat fraction was 167 varied in repeated runs of the *Flight* program, until a value was found by trial and error that 168 ran down to zero when the body mass was equal to the reported minimum mass (Pennycuick 169 2008, Box 8.4). The division of the energy requirement between fat and protein is taken 170 account of by the program (below). In practice it takes a couple of minutes to estimate the 171 starting fat fraction by this method, and the Excel output of the program can then be used to 172 generate a table or graph that shows the fat fraction corresponding to any mass between the 173 minimum and the starting mass, for that individual bird. The logic does not exclude the 174 possibility that the reported minimum mass refers to a bird that has not completely exhausted 175 its fat, but still has a small reserve remaining. This does not affect the calculations of distance 176 flown or other variables, down to the assumed minimum mass. It might terminate the 177 simulation at a point where the bird could in reality have flown a little further, but this did not 178 happen in any of our simulations.

179

180 Transmitters

181 Solar-powered, 45g PTT-100 GPS transmitters, manufactured by Microwave Telemetry Inc., 182 were used on the Barnacle and Greenland White-fronted Geese, while the Brent Geese (and 183 Barnacle DDT) carried 30g transmitters of otherwise similar specification. All were 184 programmed to log GPS fixes, including altitude, at two-hour intervals during the migration 185 period, although there were some longer gaps. The birds were caught by cannon-netting in 186 winter feeding areas, or at spring stopover sites in the case of the Brent Geese, and the 187 transmitters were attached to the middle of the back using elastic straps which allowed for 188 variation in body circumference (Griffin 2008).

189

190 Data processing

191 The *Flight* program's migration simulation is not a statistical exercise, but a physical 192 computation which runs separately for each bird, using the individual data from Table 1. The 193 original version (still the default) requires the bird's wing measurements and its starting mass 194 and fat fraction, sets rules for the bird to select its height and speed, and computes the power 195 required from the muscles, which in turn determines the rate of consumption of fuel energy. 196 The program then initiates a time-marching computation in which the bird's mass, and the 197 power, is updated 10 times per hour until all of its fat has been consumed. A new GPS variant 198 was developed for this project, which begins with a GPS track, and estimates the bird's fuel 199 consumption between each GPS fix and the previous one. At the end of the GPS data, it 200 reverts to the original programmed simulation, and extrapolates the track until all of the fat 201 has been consumed.

202 The raw Argos data were loaded into an Excel spreadsheet incorporating a macro that 203 calculated and filled in the ground speed and track direction for each leg, and the position, 204 time and height of the mid-point of the leg. The spreadsheet was then passed to the 205 meteorologist (RA) who interpolated the wind speed and direction at the mid-point of each 206 leg, using synoptic weather observations where available. Forecast Met Office low-level 207 aviation charts were also used along with isobaric charts to estimate geostrophic winds, and 208 additional charts were obtained from www.weatherpage.se. Winds from radiosonde balloon 209 data were particularly useful in otherwise data-sparse regions, where some interpolation of 210 data was required. Average air speeds were calculated by a second macro from the ground 211 speeds and wind speeds for each leg, and the completed spreadsheet then became the input 212 source for the *Flight* program.

213 The GPS variant is included in the published program from *Flight* 1.20 onwards, 214 together with the Excel template with the macros, and a set of example files from one of the 215 Greenland White-fronted Geese that feature in this paper. Detailed output from a program run 216 can be generated as an Excel spreadsheet, whose format is the same as the output for 217 programmed migration. It shows the time from start and the ground distance flown at each fix 218 (and 29 other variables), but does not show the GPS positions. Optionally, the program will 219 also generate another spreadsheet, the "Progress Update", which shows the latitude, longitude 220 and height of each fix, together with a reduced set of output variables, originally intended for 221 broadcasters. Another option (used in the preparation of Fig. 1) generates a "kml" file, which 222 plots the track if its icon is dragged and dropped into an open Google Earth window.

Flight's default values were used throughout for variables used in the program, unlessotherwise stated.

226 Muscle burn criterion

227 It has been known for many years that birds consume protein from their flight muscles and 228 other organs when they migrate, and use it, in effect, as supplementary fuel (Lindström & Piersma 1993, Piersma & Gill 1998). The program needs a rule that determines how much 229 230 muscle tissue to take in each calculation cycle. Previous studies have shown that maintaining 231 "constant specific work" accounts for field observations of varying flight muscle mass, better 232 than other criteria that have been tried (Pennycuick 1998). This is not a statistical procedure. 233 It means that as the body mass declines, enough muscle tissue is consumed to keep the work 234 done by unit mass of muscle tissue in each contraction constant (Pennycuick 2008, 8.2.3). 235 Further protein is then withdrawn if necessary from the "airframe" (the body excluding flight 236 muscles and fat) to bring the energy derived from oxidising protein to 5% of the total energy 237 consumption for all purposes, including basal metabolism. This is because the metabolic 238 pathways for oxidising fat require about 5% of the energy released to come from protein 239 (Jenni & Jenni-Eiermann 1998).

- 240
- 241

Results and Discussion

242 Starting fat fraction

243 Although the maximum fat fractions that are possible for different birds are not well known, 244 there is definitely a trend for the upper limit to decline in larger birds. Values above 0.5 have 245 been reported in various passerines and medium-sized waders, meaning that all the other 246 body parts have to be squeezed into less than half of the all-up mass. This includes the heart 247 and lungs, which most probably have to be enlarged to support the aerobic muscle power 248 needed to lift and propel the whole package. It is unlikely that any goose could fly with a fat 249 fraction of 0.5, but our two Brent Geese (the smallest species of the three) both left Iceland 250 with estimated fat fractions of 0.44. They are likely to have been constrained by limitations of 251 muscle power, or oxygen availability, or both, and we looked for signs of this when they 252 crossed the Greenland ice cap (below). They also had the longest distance to cover without 253 stopovers, about 2800 km from Iceland to Ellesmere Island. The White-fronted Geese and 254 Barnacle Geese started with fat fractions in the range 0.29-0.33, but they only had to cover 255 distances up to about 1700 km without stopovers. It will be seen later that all of the 256 northbound geese departed after each stopover with generous fuel reserves.

257

258 Minimum power speed

- 259 One of the variables tracked by the program is the minimum power speed (V_{mp}) , which is the 260 air speed at which work is required from the flight muscles at the lowest rate. A slower air 261 speed than $V_{\rm mp}$ is unstable in level flight, because if the bird happens to speed up slightly, the 262 power requirement drops, and it tends to speed up more, until it meets the rising part of the 263 power curve above V_{mp} (Pennycuick 2008, 3.3). Also, there is an energetic advantage in flying faster than V_{mp} , because the distance covered per unit of work done increases up to the 264 265 maximum range speed (V_{mr}), which is about 1.6 V_{mp} in geese (Pennycuick 2008, 3.3). On the other hand, flying slower than $V_{\rm mp}$ not only requires more power, but also results in lower 266 267 fuel economy in relation to distance. It is safe to say that no migrating bird cruises at a speed 268 below $V_{\rm mp.}$ Hence, if the observed average air speed between two GPS fixes is less than $V_{\rm mp.}$ 269 the bird must have stopped for part of the time.
- 270 The *Flight* program maintains a running estimate of the bird's current V_{mp} , using a 271 simple and robust formula which has been validated by field observation (Pennycuick 2001), 272 and also by wind tunnel experiments (Pennycuick et al. 1996a). The formula involves seven 273 variables, four of which are treated by the program as having values that are fixed for a 274 particular bird. These are the bird's wing span, the acceleration due to gravity, the induced 275 power factor and the drag coefficient of the body. The other three are the mass and frontal 276 area of the body, both of which decrease as fuel is used up, and the air density, which 277 decreases if the bird climbs to a higher altitude, as five of the seven geese were obliged to do, 278 in order to get over Greenland. Decreasing mass causes V_{mp} to decrease, while decreasing 279 frontal area causes $V_{\rm mp}$ to increase, as does lower air density.
- 280
- 281 [Fig. 2 here]
- 282

283 The effects of altitude and body mass can be seen in Fig. 2, which was plotted from 284 the Progress Updates for the seven northbound geese, and shows the current estimate of $V_{\rm mp}$ 285 for each goose against the total ground distance covered so far. Both Brent Geese showed a hump in the $V_{\rm mp}$ curve, from below 17 to 18.5 m s⁻¹, as they climbed to 2500 m or so over 286 287 Greenland. The three White-fronted Geese stopped for three weeks in Iceland, about 1400 km 288 out from Scotland, during which they moved only a short distance while their estimated mass, 289 and also their $V_{\rm mp}$, built up to near the original departure levels. They too showed a 290 pronounced hump in the curve as they crossed Greenland by different routes that involved 291 climbing to heights of between 2500 and 3000 m. The Barnacle Geese flew low over the sea 292 for most of their migration, with $V_{\rm mp}$ declining gradually along with their body mass. Their

293 feeding stopover consisted of 2-3 weeks moving slowly up a 350-km section of the

Norwegian coast, with their mass and their $V_{\rm mp}$ building up progressively.

295

296 [Fig. 3 here]

297

298 Ratio of average air speed to $V_{\rm mp}$

299 It is obvious that a bird has stopped if two or more consecutive GPS fixes are in the same 300 place, but our air speed measurements (only possible with detailed wind data) showed that all 301 of the geese also made short stops between fixes, more often than had been suspected. The 302 average air speed (V_a) at which the bird flew between two GPS fixes was taken at face value if it exceeded the current estimate of the minimum power speed (V_{mp}). If V_a was less than 303 304 $V_{\rm mp}$, then the program assumed that the bird actually flew at $V_{\rm mp}$, but only for a fraction $V_{\rm a}/V_{\rm mp}$ of the time between the fixes, and sat on the ground or water for the rest of the time. 305 306 Fig. 3 shows different patterns in the different individuals, when the ratio V_a/V_{mp} is plotted 307 against ground distance covered.

308 Although both Brent Geese set off from Iceland with the same high fat fraction (0.44), 309 JV stopped frequently while crossing the icecap and took two days to get across, while DZ 310 stopped less and got across in less than a day. Both Brent Geese flew continuously for long 311 periods during the 1100-km transit of Baffin Bay, their mass being well down by then. The 312 three White-fronted Geese stopped on the water shortly before reaching Iceland, and also 313 earlier, during the crossing from Scotland. They stopped on the water near the east coast of 314 Greenland, around 2300 km from the start, and then flew intermittently up the east slope of 315 the ice. Two of the three flew continuously once they reached the top, while the third (V4A) 316 stopped frequently while crossing the ice. The two Barnacle Geese flew continuously across 317 the North Sea, and also on the crossing from Norway to Spitsbergen, except for the first part 318 of the crossing, where they were still heavy from the stopover.

319 Fig. 3 also shows that air speeds during periods of continuous flight were mostly in 320 the region of 1.0 to 1.3 times $V_{\rm mp}$, but that at least one goose of each species reached an average air speed exceeding 1.5 $V_{\rm mp}$ for at least one leg, near the end of the flight, when the 321 322 mass was low. This would be near enough to the maximum range speed (V_{mr}) for the fuel 323 economy (air distance flown / fuel energy consumed) to be near its maximum value. The 324 power required from the flight muscles, and also from the heart and lungs, would be 325 considerably higher than when flying at $V_{\rm mp}$, but flying faster results in the goose using less 326 fuel in total, and arriving sooner.

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328	[Fig. 4 here]
329	
330	Head winds and tail winds
331	If migrating birds were somehow able to plan their routes so as to take advantage of tail
332	winds on one side or another of a moving weather system, the result would be that the air
333	distance flown would be less than the ground distance covered. This was easily tested from
334	our data, as we had the ground distance for each leg directly from the GPS, and we also had
335	the air speed, and the airborne time taking account of stops (above), which were multiplied
336	together to give the air distance for each leg. Fig. 4 shows the total air distance plotted against
337	the ground distance for 8 entire flights, including the southbound flight of Barnacle DDT.
338	Each point would lie on the diagonal line if there was no wind throughout the flight, or if
339	periods of tail wind were balanced by periods of head wind, whereas if the bird managed to
340	bias the result in favour of tail winds, the point would lie below the line. Actually, only
341	Barnacle DUC is well below the line, and the other seven geese are either on the line, or just
342	above it. This does not support the idea that the geese were taking advantage of tail winds in
343	a non-random manner.
344	
345	[Fig. 5 here]
346	
347	In Fig. 5, the "Tail Wind Ratio", is plotted against total ground distance for each
348	flight. It is defined for each leg as
349	
350	Tail Wind Ratio = (Ground Distance – Air Distance) / Ground Distance.
351	
352	This ratio is positive for a tail wind, and negative for a head wind. It can take a large negative
353	value if the bird is flying against a strong head wind that makes its ground speed very small,
354	as happened to Brent Goose JV on the last part of his flight from Ellesmere Island to Amund
355	Ringnes Island. One of the Barnacle Geese (DLT) also persevered against persistent head
356	winds on the crossing from Norway to Spitsbergen, while the other one (DUC) made the
357	same crossing on a different day and got neither help nor hindrance from the wind.
358	Otherwise, each goose got tail winds in some parts of its route, but flew on against head
359	winds in others, with no obvious pattern. There is no indication that any of the geese selected
360	their routes to take advantage of wind patterns, or even that they timed their departures to

take advantage of tail winds. Two of the White-fronted Geese (V1A and V2A) actually
departed from Scotland (together) in head winds that persisted for most of the way across to
Iceland.

364 It is puzzling that geese continue to fly when caught by head winds, rather than 365 stopping and waiting for the wind to change. A low-flying goose or swan should be able to detect visually that it is making little or no progress in the desired direction, when flying 366 367 against a head wind whose strength is a substantial fraction of the bird's V_{mp} . However, 368 Barnacle Goose DLT kept going on the crossing from Norway to Spitsbergen, despite a tail 369 wind ratio of -0.5, and Brent Goose JV's tail wind ratio reached -1.6 during his approach to 370 Amund Ringnes Island. On one nine-hour leg, JV flew an air distance of 273 km, for a 371 ground distance of only 116 km. Similar persistence was seen on two occasions in an earlier 372 study, when Whooper Swans kept going against head winds that were strong enough to force 373 them far off course (Pennycuick et al., 1996a, 1999).

374

375 [Fig. 6 here]

376

377 Altitude and rate of climb

378 Hedenström and Alerstam (1992) introduced the idea that a bird's maximum rate of climb 379 depends on its *power margin*, that is the excess of the maximum power available from the 380 flight muscles over the power required to fly level at $V_{\rm mp}$, and they applied this to radar 381 observations of migrating birds. This principle, in a more general form, is incorporated in the 382 Flight program, and we used it to maintain, for each of our geese, a running estimate of its 383 maximum rate of climb at any point in the flight. This is a mechanical limit, imposed 384 ultimately by the maximum stress that the flight muscles are capable of exerting in an 385 isometric contraction, and the active strain at maximum power (Pennycuick 2008, Box 7.5). 386 In aerobic flight, a lower limit may be imposed by oxygen availability. If the aerobic limit 387 comes down below the power required to fly level at $V_{\rm mp}$, owing to reduced air density at 388 high altitude, then the bird is reduced to proceeding in short hops, with rest periods to recover 389 the resulting oxygen debt. Migration over the Greenland ice cap involves a steep climb to 390 2500 m or more, followed by some hours of level flight at that altitude, and we were 391 especially interested to examine the five tracks that crossed Greenland for signs of both types 392 of limit.

The lower graphs in Figs. 6 and 7 show each bird's GPS altitude versus ground distance, for the Brent Geese and the White-fronted Geese respectively, while the upper 395 graphs show the average rate of climb (negative for descent) for each leg of the flight, found 396 by dividing the height change during the leg by the time. In the case of legs with an average 397 air speed less than $V_{\rm mp}$, meaning that the bird was only flying for part of the time (above), the 398 rate of climb was corrected by dividing by the proportion of the leg time that was spent 399 airborne. This gives the rate of climb during the time that the bird was actually flying, rather 400 than the average for the whole leg. In all five geese, the highest rates of climb were seen 401 during the ascent of the eastern slope of the Greenland ice cap. These observed rates of climb 402 are plotted in Fig. 8 against the mechanical limit for each goose, as calculated by the "Power 403 Curve" section of the *Flight* program, using the estimated body mass for each goose at the 404 time it started the ascent. The points for all five geese were well below the diagonal line in 405 the diagram, meaning that the observed rates of climb were below the calculated mechanical 406 limit.

407

408 [Fig. 7 here]

409

410 The theory from which the mechanical limits were calculated requires the flight 411 muscle fraction, i.e. the ratio of the flight muscle mass to the body mass. This is not well 412 known in geese, and cannot be determined without dissecting dead birds. The program started 413 all the geese with a flight muscle fraction of 0.170 (*Flight*'s default value) and estimated that 414 these values would have declined, by the time the geese started their climb up the ice slope, to values in the range 0.161 to 0.167. If the flight muscle fractions were really lower, then the 415 416 mechanical limit to the rate of climb would also be lower. However, improbably low flight 417 muscle fractions would be needed to invalidate our conclusions.

418 The theory next requires a value for the maximum isometric stress that the flight muscles can exert, which is expected to be constant in all vertebrate skeletal muscles. The 419 default value in the *Flight* program (560 kN m⁻²) comes from observations of whooper swans, 420 421 whose performance is constrained by their large size (Pennycuick 2008, 7.3.7). This leads in 422 turn to an upper limit for the specific work in the myofibrils, which is estimated to be around 41 J kg⁻¹ (Pennycuick 2008, 7.3.1). The margin of power available for climbing is determined 423 424 by the difference between this limit and the specific work required to fly level, which was around 17 J kg⁻¹ in the Brent Geese, and 20 J kg⁻¹ in the White-fronted Geese, the difference 425 426 being due mainly to the smaller size of the Brent Geese. The Brent Geese, with their wider 427 margin, should be capable of a higher rate of climb than the White-fronted Geese (X-axis in 428 Fig. 8). However, the observed rate of climb (Y-axis) was up to three-quarters of the

maximum in the White-fronted Geese, but a much smaller fraction in the Brent Geese, andalso less in absolute terms.

431

432 [Fig. 8 here]

433

434 Gudmundsson et al. (1995) noted that their Brent Geese took a long time to get up on 435 to the ice cap, and suggested that this was due to aerobic limitations. We speculate further 436 that the maximum rate of climb is limited by aerobic capacity to a value below the 437 mechanical limit, and that the high fat fractions taken on by the Brent Geese result in their 438 aerobic power margin for climb being smaller than that of White-fronted Geese. Both of our 439 Brent Geese had sufficient mechanical power to fly level at the top of the ice cap, but only 440 one of them (DZ) was able to fly continuously once he reached the top. According to the 441 simulation, this implies that DZ was able to maintain aerobically a chemical power level of about 130 W, in order to fly at $V_{\rm mp}$ (17.2 m s⁻¹ at that point), at an air density of 0.96 kg m⁻³. 442 443 This is a minimum estimate of his aerobic capacity at that air density. The other Brent Goose 444 (JV) required a slightly lower level of chemical power (121 W) at a similar stage of the flight, 445 but was only able to maintain this intermittently, with frequent stops, meaning that his 446 aerobic capacity was insufficient to maintain continuous level flight. Regrettably, there is no 447 quantitative theory from which the aerobic capacity of the heart and lungs can be calculated 448 as a function of air density, although it is known that bird lungs, being organised differently 449 from those of mammals, are more effective at extracting oxygen when the air density is low 450 (Tucker 1968, Pennycuick 2008, Box 7.7). Our results reveal wide variations between individuals in their ability to sustain continuous flight over the top of the ice cap, in both 451 452 Brent and White-fronted Geese, but we do not see any likely explanation for this.

453

454 [Fig. 9 here]

455

456 Body mass and the wingbeat-frequency fuel gauge

The program estimated that each goose's body mass declined while the goose was flying, and increased during feeding stopovers. That much, but little else, can be seen in Fig. 9, which shows running estimates of body mass for all the northbound geese. However the fat fraction can be estimated from the body mass, as noted in the section (above) on estimating the fat fraction at departure, and if the body mass could be measured remotely, this could be used, in effect, as a fuel gauge. This might be possible in a bird like a goose, which flaps steadily 463 along for most of the time when migrating, by taking a wingbeat count from an 464 accelerometer, in a timed interval just before each scheduled GPS fix, and transmitting the 465 count as one byte of data, along with the fix. To illustrate the principle, Fig. 10 shows the 466 *Flight* program's running estimate of Brent Goose JV's wingbeat frequency. The estimate 467 declines from 6.2 Hz on leaving Iceland with a full load of fat to 5.2 Hz at Ellesmere Island, 468 then jumps to 5.4 Hz following the assumed feeding stop, before declining to 5.0 Hz during 469 the last 500 km, in which the goose had to fly against a strong head wind as he neared Amund 470 Ringnes Island. If the wingbeat frequencies in Fig. 10 had been measured rather than 471 estimated, the calculation could have been inverted to replace JV's mass curve in Fig. 9, so 472 providing a check on the estimated body mass. It would also have shown whether JV actually 473 did feed during his stop at Ellesmere Island as assumed, and if so, how much mass (and fat) 474 he gained. Such a fuel-gauge calculation would have to take account of the altitude, as the air 475 density also affects the wingbeat frequency.

476

477 [Fig. 10 here]

478

479 Energy height and range

The Brent Geese had the lowest mass of all the geese in Fig. 9, but flew the longest distance. The body mass does not of itself tell us much about a bird's range, and neither does the fat mass, for the same reason, that both body mass and fat mass are bigger in a large bird than in a small one. The ratio of fat mass to body mass (the fat fraction) does directly determine the range, but only if a number of other measurements are also taken into account, and the most important of these is the wing span.

486 There is a very direct way to represent the range calculation, and that is to begin by 487 converting the starting fat fraction into an "energy height", at which the bird starts its flight 488 (Pennycuick 2008, 8.3). The goose comes "down" from this virtual starting height on a 489 gradient, which reflects the rate at which it uses fuel. As in a glider, the flatter the gradient, 490 the further the goose goes from a given starting height. The gradient on which a glider comes 491 down is simply its lift-to-drag ratio, while for the goose, the *Flight* program calculates and 492 continuously updates the "effective lift-to-drag ratio", which is the equivalent measure of 493 aerodynamic efficiency in flapping flight. It depends mostly on the wing span and the body 494 drag, and corresponds directly to the lift-to-drag ratio of a glider or fixed-wing aircraft. The 495 effective lift-to-drag ratio increases slightly as the flight proceeds, because of the body's 496 decreasing frontal area, which in turn reduces its drag. It is affected by the air speed, the

497 gradient being flattest if the goose flies at its current maximum range speed ($V_{\rm mr}$), and steeper 498 if lack of power forces it to fly more slowly, near $V_{\rm mp}$.

499

500 [Fig. 11 here]

501

502 The starting energy height is tabulated for each goose in Table 1. It is calculated from 503 the starting fat fraction (Pennycuick 2008, Box 8.3), and also takes account of the conversion 504 efficiency of the flight muscles, and the acceleration due to gravity. Fig. 11 shows the 505 remaining energy height, calculated by the *Flight* program for each goose, plotted against the 506 air distance flown (unlike the earlier graphs, which have ground distance on the X-axis). The 507 two Brent Geese started from Iceland at energy heights around 650 km, and arrived in their 508 respective nesting areas with about 350 km remaining, one (JV) with a late stopover, and the 509 other (DZ) without. The Barnacle Geese and White-fronted Geese all started from energy 510 heights around 350 km, except for Barnacle DUC, who stayed longer in Scotland and fuelled 511 up to 410 km before departing. All five geese replenished their energy height during 512 stopovers in Iceland or Norway, and followed much the same gradient on the second stage of 513 their migration, arriving in the breeding area with around 200 - 250 km of energy height in 514 hand.

515

516 Autumn migration of Barnacle DDT

517 The dotted line at the bottom of Fig. 11 is the southbound flight of Barnacle DDT, for which 518 the calculation is somewhat conjectural, as he was tagged in the previous year, and some 519 items of data were lacking. We assigned him the average wing span for an adult male 520 Barnacle Goose (1.42 m). As we had no recent information on his mass (or indeed on the 521 mass of any Barnacle Geese departing from Spitsbergen after nesting) we assumed that his 522 mass would be no higher than the upper limit for northbound birds when they leave the 523 Solway (about 2.5 kg), and probably less as he would have only recently completed his 524 moult. He left his nesting area in Reindalen, in the middle of Spitsbergen, on 25 September 525 2008, but spent the next four days moving intermittently south down the west coast, covering 526 a distance of 192 km. He would probably have lost some mass during this period, when strong easterly winds (15-20 m s⁻¹) would have made the sea crossing to Norway 527 528 impracticable. We started the simulation when he left the southern tip of Spitsbergen on 29 529 September, and guessed his mass at that point to be 2.10 kg, corresponding to a fat fraction of 530 0.245, and an energy height just below 300 km, slightly lower than the northbound Barnacle

531 Geese departing from Scotland. His subsequent route was essentially the same as that of the 532 northbound birds, in the opposite direction, but without a stopover on the Norwegian coast. 533 According to the simulation, DDT flew an air distance of 2940 km between leaving 534 Spitsbergen on 29 September and crossing the coast of north-east England near Bamburgh on 535 1 October, and lost 257 km of energy height, making an average energy gradient of 11.4 for 536 the whole flight. Our estimate of his energy height on arrival (42 km) might, of course, be too 537 high or too low, reflecting the unknown error in our guess for his mass and energy height at 538 departure. However, it is safe to say that he was low on fat when he arrived, as local 539 observers reported that he spent the next four weeks feeding on stubble, moving up the coast 540 from Bamburgh to North Berwick, before joining the main wintering flock on the Solway on 541 29 October.

542

543 Average energy gradient and transmitter drag

544 Table 1 includes estimates of the effective lift-to-drag ratio for each goose at the beginning 545 and end of each flight, starting at 15.1 for DDT, and increasing to 16.7 at the end of his flight, 546 owing to the reduction of frontal area caused by the decrease of body mass. These values come from the "Power Curve" section of the *Flight* program, and represent the energy 547 548 gradient that this particular goose should be able to achieve, if he were to fly continuously at 549 his maximum range speed $(V_{\rm mr})$. The lower overall average for the whole flight (11.4) results 550 from the goose flying more slowly than this, and also spending periods on the ground or 551 water, when fuel was consumed (and energy height lost) but no progress was made.

552 There could also be another reason why the observed energy gradients appear to be on 553 the low side – the drag of the transmitter. It has long been suspected that a box-shaped 554 package, especially one with a right-angled rear end, might cause separation of the boundary 555 layer over the bird's back, and that this could trigger separation over a larger area, causing a 556 massive increase in the body's drag coefficient. The drag of a transmitter (as distinct from its 557 weight) is liable to decrease migration range by decreasing the lift-to-drag ratio, and hence 558 steepening the energy gradient. Concerns about this have been renewed by Gill et al. (2009), 559 who used two different types of transmitters to track Alaskan Bar-tailed Godwits migrating 560 extreme distances across the Pacific. The larger females were fitted with implanted 561 transmitters, which had a minimal effect on the bird's external shape, and one of these birds 562 was tracked flying non-stop to New Zealand, and others to various Pacific islands. The males, 563 being smaller, were fitted with external back-pack transmitters, and none of these were

tracked over the full distance. It is unclear whether any of them ran out of fuel while still at sea, but it seems possible that they did.

566 The current version (1.22) of the *Flight* program provides a "payload drag factor" to 567 allow for the effect of a transmitter on the body's drag coefficient, but it is not possible to use 568 this feature at present, as there are no experimental data. Obrecht et al. (1988) tried to 569 investigate this effect by measuring the drag of frozen bird bodies in a wind tunnel, with and 570 without dummy transmitters, but discovered only that the boundary layer will not remain 571 attached to a frozen body, even without a transmitter, and consequently that the drag 572 measurements were not valid. Pennycuick et al. (1996a) found a way to measure the (much 573 lower) drag coefficient of a living bird's body, and their method could be used to measure the 574 effect of external transmitters of different shapes. However, because of the sensitive nature of 575 the flow, the method requires a low-turbulence wind tunnel for valid results, and there are 576 other practical difficulties which have so far precluded experiments of this type.

577

578 Fuel reserves

The energy heights of 200 km or more, at which the White-fronted Geese and Barnacle Geese arrived at their spring destinations, would suffice to fly at least another 2200 km without refuelling, if their effective lift-to-drag ratio averaged about 11, while the Brent Geese, arriving at around 350 km, would have been able to fly nearly 4000 km further. These reserves are far more generous than those required by aviation regulations for aircraft, but there are two reasons why they probably are essential for arctic breeding geese.

585 The first is that, as noted above, the geese apparently were not able to anticipate head 586 winds along the route ahead. They did not stop when caught by head winds, but kept going 587 with very low ground speeds, and they did not even use such a simple expedient as to wait for a favourable wind before departing. The cruising air speeds of these geese hardly ever 588 589 exceeded 20 m s⁻¹. As winds of similar speeds are not uncommon in spring and autumn, in arctic and sub-arctic latitudes, delays are inevitable, and there is a real danger of being blown 590 591 off course, to a position from which a goose cannot recover. In the absence of any effective 592 means to avoid unfavourable winds, massive fuel reserves reduce this risk, although they do 593 not eliminate it entirely. Two out of four northbound Barnacle Geese that were tracked by 594 Griffin (2008) in the spring of 2006 reached Spitsbergen safely, but the other two, which left 595 Scotland later, perished at sea after being caught by a north-easterly gale in the Norwegian 596 Sea.

597 The second reason for arriving with generous reserves is that the arctic nesting season 598 is short, and a goose has to arrive sufficiently early to complete the nesting process, in time 599 for the young to be capable of migrating south when the autumn sets in. On the other hand, 600 arriving too early entails the risk that the habitat may still be frozen, and if that happens the bird may have to subsist on its stored reserves of fuel while it secures a territory and 601 602 establishes a nest. The larger reserves carried by the Brent Geese may indicate that their high 603 arctic habitat is more likely to suffer from this problem than the lower latitudes in Greenland 604 where the White-fronted Geese nest, or the Barnacle Goose nesting area in western 605 Spitsbergen, whose climate is influenced by the Gulf Stream.

606

607 Alternative strategies for spring migration

608 Our simulations indicated that Barnacle DDT could have arrived in Scotland at an energy 609 height of around 100 km, if he had left Spitsbergen at the same energy height at which the 610 two northbound Barnacle Geese, DLT and DUC, left Scotland. Conversely, it should be 611 possible for northbound Barnacle Geese to arrive in Spitsbergen in the spring at a similar 612 energy height, without a feeding stopover in Norway. Griffin (2008) noted that the wintering 613 population left the Solway over a period of 2-4 weeks, and that some tagged birds that 614 departed late completed the northbound migration without stopping long enough in Norway 615 for significant replenishment of their reserves. This option may allow the birds to build up 616 more reserves before leaving Scotland, and to arrive earlier in the breeding area than they 617 could if they had to spend time competing with conspecifics in the Norwegian staging areas, 618 but at the expense of arriving with less energy height in hand to survive late snow and frosts 619 while initiating nesting. Climate change would no doubt affect the relative breeding success 620 of birds adopting one strategy or the other, and thus drive the evolution of this aspect of 621 migration.

No such constraints apply to a bird arriving in the wintering area. Barnacle DDT would have arrived in England with a minimal but safe reserve, following the modest energy height at which we guessed that he left Spitsbergen. He could also most probably have stopped in Norway if his fuel had been low when he passed through the usual northbound stopover area. The fact that he did not do that indicates that he had sufficient fuel to complete the migration without a stopover, as the *Flight* program indicates.

628

629 Validating the *Flight* model

630 The *Flight* program's calculations are based on the same body of theory that has been 631 developed by aeronautical engineers over the past century or so, and exhaustively tested. The 632 untested aspects are essentially adjustments that may apply differently to birds and to aircraft. 633 The program predicts many different quantities which appear at first sight to be unconnected 634 with one another, but they are not. They are all inter-connected, and this can exploited by 635 using one prediction to test the accuracy of another. For example, one might suppose that 636 there could be no way to check the estimates of chemical power for Brent Geese in transit 637 over the ice cap, mentioned above - but these are the same estimates that are used by the 638 program to calculate fat and protein consumption, and update the body mass and the 639 remaining fat. If the calculated power is too high or too low, then the estimates of fuel 640 consumption will also be off in one direction or the other. The program accounts for a 641 Barnacle Goose's ability to fly from Spitsbergen to northern England without a stopover, as 642 observed, and also predicts that a stopover in Norway enables them to arrive in Spitsbergen 643 with adequate reserves to start nesting, as field observers believe that they do. Between them, 644 these two observations imply that the power estimates are in the right general area, which is a 645 good start towards validating the program, as most of its predictions depend on the power 646 calculation in one way or another.

647 Since the calculations are common to all species, their accuracy can also be checked 648 on different species. The program has already been used to account successfully for changes 649 in body composition before and after a migratory flight of over 5000 km by Great Knots 650 (Pennycuick and Battley, 2003). No changes were needed to the program, before applying it 651 to geese in the present study. More exact tests of the accuracy of the program's predictions 652 will depend on the ingenuity of observers in devising checks specifically for that purpose. For 653 example if a way could be found to weigh a tagged individual immediately before departure, 654 and again immediately after arrival, then fuel consumption could be checked more accurately. 655 In-flight measurements of wingbeat frequency could also be used for the same purpose, as 656 suggested above. If consistent discrepancies are found, there is plenty of scope in the program 657 for correcting erroneous output, by adjusting the default values assigned to variables used in 658 the calculation.

659

660 Acknowledgements

It is a pleasure to thank Julian Hector, Head of Radio Broadcasting in the BBC Natural
History Unit, Bristol, who devised and directed the *World on the Move* radio series, financed
the satellite tracking in conjunction with the Solway Coast Area of Outstanding Natural

664	Beauty Sustainable Development Fund, and co-ordinated the whole operation, and Baz		
665	Hughes who co-ordinated the Wildfowl and Wetlands Trust (WWT) side of the project. We		
666	are especially grateful to Guðmundur Guðmundsson of the Icelandic Institute of Natural		
667	History for allowing us to take part in the Brent Goose research project, to Nigel Jarrett of		
668	WWT for setting up the wing-measuring operation at Slimbridge and to Richard Hesketh,		
669	staff and volunteers at WWT Caerlaverock who, along with the North Solway Ringing		
670	Group, assisted with the barnacle goose catches. The activities described in this paper		
671	complied with United Kingdom and Iceland law.		
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737	Captions for figures
738	
739	Fig. 1. Map of the study area with the tracks of one goose of each of the three species
740	tracked. The Brent Geese wintered in Northern Ireland but their tracks begin in Iceland,
741	where they were tagged.
742	
743	Fig. 2. Running estimates of the minimum power speed of each goose, plotted against ground
744	distance covered.
745	
746	Fig. 3. Ratio of the average air speed for each leg to the current value of the minimum power
747	speed. If this ratio is less than 1 (horizontal line in each graph), the goose must have stopped
748	during the leg.
749	
750	Fig. 4. Total air distance flown versus total ground distance covered by each goose. If a point
751	is below the diagonal line (air distance < ground distance), the goose had a net tail wind
752	component.
753	
754	Fig. 5. Tail wind ratio, defined as (Ground Distance – Air Distance) / Ground Distance,
755	plotted against ground distance for each leg of each goose's flight. This can only be
756	calculated from the second point in each track onwards. A point above the zero line means
757	that the goose had a tail wind component on that leg, below the line means a head wind.
758	
759	Fig. 6. Lower graph: GPS altitude for the two Brent Geese. Upper graph: corrected rate of
760	climb. The correction was applied to legs in which the goose only flew for part of the time,
761	and gives the average rate of climb during the time that the goose was airborne, rather than
762	the overall average for the leg.
763	

764	Fig. 7. Altitude (lower) and corrected rate of climb (upper) as in Fig. 5, but for the three
765	White-fronted Geese.
766	
767	Fig. 8. Observed rates of climb from Figs. 5 and 6, plotted against calculated mechanical
768	upper limit for each goose.
769	
770	Fig. 9. Estimated body mass versus ground distance covered by the seven northbound geese.
771	
772	Fig. 10. Calculated wingbeat frequency for Brent JV versus ground distance covered. If the
773	wingbeat frequency were measured remotely via the satellite link, this calculation could be
774	inverted, and used to estimate the body mass as in Fig. 9. This would amount to a remote fuel
775	gauge.
776	
777	Figure 11. Energy height plotted against air distance flown. The dotted line at the bottom is
778	for the southbound Barnacle DDT, some of whose data had to be approximated. Energy
779	height curves for other species, large or small, would be directly comparable. Unlike curves
780	of body mass, they could be plotted on the same graph.
781	



















793

794 ble 1. Data for transmitter birds. The southbound Barnacle Goose DDT was tagged in the previous year. His wing 795 an was not measured, and there were no data to gauge his mass and fat fraction on departure from Spitsbergen. 796 797 pecies Migration Start Mass Min Mass Fat Frac Start Energy Wing Span Aspect L/D from Power 798 sirve 799 direction kg Height km Ratio Departure Arrival kg m 800 80Bhrnacle DLT N-bound 2.33 1.4 0.29 349 1.35 8.13 13.9 14.7 802 annacle DUC N-bound 2.52 1.4 0.328 410 1.41 8.13 14.2 15.1 80B3rnacle DDT S-bound [2.1] [282] [1.42] 8.13 15.1 16.7 1.4 [0.245] 80%4hitefront V1A N-bound 3.04 0.299 363 1.44 7.86 13.5 14.5 1.8 7.86 80% hitefront V2A N-bound 2.91 358 1.8 0.296 1.42 13.5 14.3 80% hitefront V4A N-bound 2.95 0.292 352 1.47 7.86 13.9 15.1 1.8 8087 ent DZ N-bound 2.27 0.9 0.441 634 1.24 8.45 13.2 15.1 8088ent JV N-bound 2.27 0.444 641 14.3 0.9 1.17 8.45 12.5 809 810