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## ▶ To cite this version:

Elisabetta Poluzzi, Carlo Piccinni, Paolo Carta, Aurora Puccini, Monica Lanzoni, et al.. Cardiovascular events in statin recipients: impact of adherence to treatment in a 3-year record linkage study. European Journal of Clinical Pharmacology, 2010, 67 (4), pp.407-414. 10.1007/s00228-010-0958-3. hal-00649879

## HAL Id: hal-00649879 https://hal.science/hal-00649879

Submitted on 9 Dec 2011

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# Cardiovascular events in statin recipients: impact of adherence to treatment in a 3-year record-linkage study

Journal:	European Journal of Clinical Pharmacology
Manuscript ID:	EJCP-2010-0221.R2
Type of submission:	Original
Date Submitted by the Author:	05-Nov-2010
Complete List of Authors:	Poluzzi, Elisabetta; University of Bologna, Department of Pharmacology Piccinni, Carlo; University of Bologna, Department of Pharmacology Carta, Paolo; University of Bologna, Department of Pharmacology Puccini, Aurora; Emilia Romagna Regional Health Authority, Drug Policy Service Lanzoni, Monica; Emilia Romagna Regional Health Authority, Drug Policy Service Motola, Domenico; University of Bologna, Department of Pharmacology Vaccheri, Alberto; University of Bologna, Department of Pharmacology De Ponti, Fabrizio; University of Bologna, Department of Pharmacology Montanaro, Nicola; University of Bologna, Department of Pharmacology



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## Cardiovascular events in statin recipients: impact of adherence to treatment in a

## 3-year record-linkage study

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## Abstract

## Purpose

In the general population, lack of adherence to statin therapy remains a widespread phenomenon and an important matter of concern both in terms of cost-effectiveness and risk-benefit profile. This study aimed to evaluate the occurrence of cardiovascular events in Italian statin recipients, focusing on the relationship between degree of adherence to therapy and occurrence of events in a 3-year follow-up.

## Methods

Our cohort consisted of all patients from Emilia Romagna (4,027,275 inhabitants) who received statin prescriptions in January–February 2005 and was followed up to 36 months for cardiovascular hospital admission (ie. coronary disease, cerebrovascular accidents, peripheral artheropathy), adherence to statin treatment (proportion of days covered:  $\geq$ 80%) and use of other cardiovascular drugs. The relationship between adherence and cardiovascular events was analysed by multivariate logistic regression; age, sex, other cardiovascular drugs and previous events were covariates of the model.

## **Results**

Patients non-adherent to statin regimen over the 3-year period (76% of the cohort) had higher odds of events, irrespective of risk factors, by more than 40% when compared with adherent patients. Odds of events were in particular: strongly non-adherent adjOR=1.19 (CI95% 1.15-1.23), slightly non-adherent adjOR=1.25 (1.21-1.30), highly variable in the amount of statins received adjOR=1.69 (1.62-1.77).

## Conclusions

This study shows the key role of adherence to statins in the cardiovascular prevention at any level of risk. Appropriateness of statin use needs not only careful selection of patients to be treated, but also cooperation between patient and physician to ensure continued drug use whenever treatment is appropriate.

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59 60 Keywords: statins, medication adherence, general practice, cardiovascular disease, medical record-

linkage

## Introduction

Randomised controlled trials have clearly shown the benefits of lipid-lowering drug treatment for cardiovascular prevention, but how much these translate into actual benefit in the general population is not as well established. Whereas there is consensus on the benefits in secondary prevention [1,2] recommendations to use statins in primary prevention are currently a matter of debate [3-6] and in fact statins are used mostly in the latter setting (75% of statin recipients [7]). Some authors cast doubt on the benefit of statins in patients with low cardiovascular risk [8] whereas others suggested the use of statins (alone or even in the so-called polypill) even in normolipidemic subjects [4,9,10].

Lack of adherence is probably the most relevant factor differencing randomised controlled trials from clinical practice.

In the general population, lack of adherence to statin therapy remains a widespread phenomenon and, consequently, an important matter of concern both in terms of cost-effectiveness and riskbenefit profile. We have previously shown that, among statin recipients, less than 50% were prescribed an amount of drug consistent with daily treatment and this coverage was only slightly higher in patients in secondary prevention, in those aged 50–69 years and among males. Moreover, adherence significantly increased with the complexity of other cardiovascular treatments [11]. On the contrary, prescription of a highly active statin regimen (which can be used as a proxy of the severity of hypercholesterolemia) did not correlate with coverage.

The role of adherence to statins in preventing cardiovascular events in general practice is currently under investigation by different points of view (i.e., risk-benefit and cost-benefit profile) and some investigators have already published useful experiences in different countries [12-16]. However, the scenario is far from complete and the evidence is still partial and insufficient to support Health Policy strategies [17].

The aim of this study was to evaluate the occurrence of cardiovascular events in all patients treated with statins in Emilia Romagna (about 4,000,000 inhabitants), focusing on the relationship between the occurrence of events and the degree of adherence to therapy.

## Methods

## Sources of data

Drug prescription data were retrieved from the Emilia Romagna Regional Health Authority Database, which provides the following information for each reimbursed prescription: identification code of the drug, ATC (Anatomical Therapeutic Chemical) code, number of packages dispensed, patient code, date of prescription. The patient code allows retrieval of his/her drug history without individual identification.

We collected data of statins and other drugs used for cerebro- or cardiovascular risk prevention (i.e., antiplatelet agents, nitrates, antihypertensives, antidiabetics), prescribed by general practitioners (GPs) and reimbursed between January 2003 and February 2008 by the Health Authority of Emilia Romagna (with both urban and rural area, 4,027,275 inhabitants). The following ATC codes were considered: A10 – drugs used in diabetes; B01A – antithrombotic agents; C01DA – antianginal drugs (nitrates); C02, C03, C07, C08, C09 – drugs used in the treatment of hypertension; C10 – lipid modifying agents.

All statins are reimbursed by Italian Health Service for (i) familial hypercholesterolaemia, (ii) patients with previous cardiovascular or cerebrovascular events, or (iii) patients with a 10-year cardiovascular risk higher than 20%.

Data of hospital admissions for cardiovascular or cerebrovascular events, occurred from January 2003 to February 2008, were retrieved from the hospital discharge registry which provides the following information: ICD-9 code (410-414: ischemic heart disease; 430-438: cerebrovascular

diseases; 440: atherosclerosis; 443: other peripheral vascular disease; 444: arterial embolism and thrombosis;), type of the hospital and ward, duration of the hospital stay and patient code.

Data regarding age, sex and deaths were anonymously retrieved from vital statistics.

All the databases are managed by the Drug Policy Service of the Health Department of the Emilia-Romagna Region, which provides anonymous data by attributing an anonymous code to each patient. This code allows the record linkage of individual patient data among different databases according to the provisions of privacy rules. The protocol was approved by the institutional ethics committee.

## Study design

Our cohort consisted of all patients who received at least one statin prescription between January and February 2005 (recruitment period) and at least another prescription of statins during the first year of follow-up. Patient drug prescriptions and cardiovascular hospital admissions were analysed for 36 months following recruitment (March 2005 - February 2008, follow-up). Co-prescriptions of cardiovascular drugs other than statins (recorded in the first year of follow-up) were used as a component of patient risk profile, as well as hospital discharge records for cardiovascular events occurred before recruitment (January 2003-December 2004).

Adherence was evaluated by analysing the amount of statins received during the 3 year-period:

- for each year, patients were considered "covered" if they received at least 300 tablets (allowing a tolerance of ~20% over the 365 day period) [11] and
- patients who met the above criterion throughout the 3-year follow-up were considered as "adherent".

The amount of statins was evaluated as number of tablets purchased. In fact, the availability of different dosage strengths for each active substance allow patients to take their daily medication as one tablet.

Statistical analyses

To evaluate the association between cardiovascular events and adherence to statin treatment, a logistic multivariate regression analysis was performed, by grouping non-adherent patients into 3 different categories: "strongly non adherent", "slightly non adherent" and "highly variable" patients (see table 2 for definitions). Age, sex, comorbidity (diabetes, hypertension-angina-heart failure and thrombosis in the first year of the follow-up) were included as covariates in the models.

Only patients surviving the 3-year follow-up period were included in the logistic analysis.

To better describe the role of the adherence in cardiovascular prevention, two different stratified models for primary and secondary prevention cohort were estimated. Patients in secondary prevention were those who experienced a cardiovascular event before the recruitment. The effect of adherence was assessed by a generalization of Mantel-Haenszel method in different subgroups samples. The differences in subgroups were tested with Pearson  $\chi^2$  test. A p-value <0.05 was considered significant.

All analyses were performed using STATA version 10 (StataCorp. 2001. College Station, TX: Stata Corporation) and SAS version 9.1 (SAS Institute Inc., Cary, NC, USA).

## Results

## Adherence to statin regimen

The cohort of statin recipients consisted of 137,217 subjects, with a mean age of 67  $\pm$ 10 years and 1:1 female/male ratio (Table 1). During the three years of follow up, 6,491 subjects (5%) died. Seventy-seven percent of subjects (106,167) received prescriptions of the same statin and simvastatin was the most prescribed (32% of patients), followed by atorvastatin (24%). The remaining 23% of patients were switched to another statin, especially rosuvastatin (23.6%) or atorvastatin (12.6%). Moreover, 72% received only highly active statin regimens (i.e., at dosages expected to reduce LDL-cholesterol by more than 30% [11]).

Eighty-five percent of patients received additional cardiovascular treatments on the first year of follow-up: in particular, 77% (105,341) of subjects concomitantly received drugs for hypertension

or angina or heart failure, 54% (73,963) used antithrombotics and 16% (21,530) used antidiabetics (Table 1). Along the remaining two years of follow-up, a further 2.5% of patients per year received antihypertensive prescriptions, a further 5% received antithrombotics and a further 2.5% received antidiabetics.

In our cohort, 35% did not receive statin prescriptions in the 2 previous years (new users).

The proportions of patients receiving more than 300 tablets/year of statins and that of patients receiving 201-300 tablets/year were stable along the three years of follow-up (45% and 24% respectively), whereas that of patients receiving 101-200 tablets decreased from 24% to 19% and that of patients taking less than 101 tablets showed an appreciable increase, from 6% in the first year to 13% in the third one.

Sixty-two percent (81,666) of patients were covered for at least 1 year, 44% (60,894) for at least 2 years and 24% (32,355) for the whole 3-year period and only this last figure represents the adherent population according to our criteria. (Figure 1).

Among the 98,371 patients who did not meet the criteria to be considered "adherent", 28% (38,328) were "slightly non-adherent", 30% (41,678) "strongly non-adherent", and 13% (18,382) "highly variable" (Table 2).

## Cardiovascular events

Within the whole cohort of 137,217 patients, 29% (39,708) experienced cerebro- or cardiovascular events during the 3 year-follow-up (annual rate: 13%): 54% of events were represented by coronary heart disease, followed by cerebrovascular accidents (18%).

Cerebro- and cardiovascular events occurred more frequently in non-adherent patients rather than adherent group (reference), with the following ranking: strongly non-adherent (adjOR=1.19; 95% CI 1.15-1.23), slightly non-adherent (1.25; 1.21-1.30), highly variable (1.69;1.62-1.77). These events increased with age (e.g., patients older than 80: adjOR=2.78; 95% CI 2.56-3.03) and were statistically more frequent in men (adjOR=1.39; 95% CI 1.35-1.43), in patients using statins for secondary prevention (2.54; 2.46-2.62), those co-medicated for hypertension, angina or heart failure

(2.77; 2.65-2.89), using antithrombotics (1.87; 1.81-1.93), and with diabetes (1.36; 1.31-1.40; see table 3). By considering separately new users and already in treatment patients, the analysis confirmed the significant variables, with minor differences between the two groups. The following variables weighed on the occurrence of CV events more in new users rather than in those already in treatment: strongly non adherent or highly variable regimens, previous CV events and concomitant anti-thrombotic drugs.

Subgroup analysis showed a higher risk of events among non-adherent patients irrespective of risk factors: adjOR 1.40 (95% CI 1.35-1.45) in patients using statins for primary prevention and adjOR=1.59 (95% CI 1.51-1.68) in those using statins for secondary prevention (Figure 2). The only exception was represented by the younger age group (<50 years), for whom the confidence interval includes 1. Moreover, for subjects older than 80 years both in primary and secondary prevention, and in patients with diabetes in primary prevention, the association between lack of adherence and CV events was statistically stronger than in unstratified analysis.

Considering patients' prescriptions twelve months before and after the occurrence of an event, 53% of patients increased their number of tablets after the event; so that a fraction (15%) of the non-covered patients became covered in the year after the event. On the other hand, 26% of patients did not change their number of tablets and the remaining 21% even decreased it.

## Discussion

In our cohort of 137,217 patients, more than three quarters of statin recipients did not adhere to drug therapy throughout the 3-year follow-up and, these patients showed more than 40% increase of cardiovascular events when compared with adherent statin recipients.

Although the issue of lack of adherence to statin treatment has been already reported [11,18], in our opinion, a population-based cohort study is important because it attempts to translate adherence to statin regimen into actual cardiovascular benefit in unselected patients in a community setting. Some previous studies provided useful findings to clarify this topic. A study on a large Israeli

cohort [19] found a strong association between intensity of statin therapy (in terms of number of tablets/year) and improved survival both in primary and secondary prevention, even higher than that found in clinical trials (up to 45% of risk reduction). Also a Canadian study showed the important role of adherence to statins in the prevention of both cerebrovascular events (reduction of risk: 26%) and coronary disease (18%) [12-14]. Two recent Italian studies focused on incident statin recipients for primary prevention. On one hand, Deambrosis et al. found a better cholesterol outcome in adherent patients, but a paradoxical association between adherence and hospitalisation for coronary events [15]. This finding was probably affected by a confounding factor, represented by the basal cardiovascular risk, which conditioned independently both adherence and hospital admissions. On the other hand, Corrao et al. found a protective role of adherence on nonfatal ischemic heart disease (reduction of risk: 15-20%) [16].

Our results (although not directly comparable to those of the above studies because of different parameters in outcomes and in observed population) substantially agree on the clinical importance of continued statin use and provide a wider picture on cardiovascular outcomes in all users (both incident users and already in treatment at recruitment).

The approach used in this study has strengths and limitations. In our opinion, one strength was represented by performing our analysis in a large community setting, including all statin recipients, no matter what the length of therapy before the recruitment was, and including all meaningful cardiovascular outcomes. On the one hand, the findings provided by this approach refer to prevalent statin users, whereas other studies frequently selected only incident users, which represent only a minority of overall statin recipients. On the other hand, our method intrinsically lacks in completeness on prior morbidity and drug history, and could be affected by the immeasurable time bias [20] because of the lack of information on statin exposure during hospitalisations. In the worst case scenario, this bias could have generated a misclassification of 586 non-adherent subjects (0.4% of the cohort), who would pass to adherent status by considering all hospitalisation days as covered days. On the basis of these data, we found a possible overestimation of the risk of events among

 non-adherent patients by 6 and 8%, respectively in case of primary and secondary prevention. A further limitation was the lack of information on lipidemia, which was an obstacle in the correct association between adherence and cardiovascular outcomes. However information on lipidemia would be very difficult to collect even by using physician's records. In addition, since our prescription data were obtained only from reimbursement database, we probably excluded patients not meeting the Italian reimbursement criteria, because at a lower CV risk. This lack of data could have caused a slight overestimation of the role of adherence in the prevention of CV events.

Moreover, a positive attitude of patients towards their own health could be a confounding factor, influencing independently both statin adherence and event occurrence, but also this information is not available in administrative databases, which are the source of our study. Only studies based on questionnaire or primary care database could collect this data and could quantify the magnitude of the healthy adherer effect.

This phenomenon is usually indicated as "healthy adherer effect", as already acknowledged by Dragomir [13], Rasmussen [21] and Anderson [22], who stated the difficulties in its quantification. Nonetheless a generic "healthy adherer behaviour" could have generated a tolerable overestimation of the protective effect of adherence.

Finally, because of the low frequency and the delayed onset of cardiovascular events in low-risk patients, a longer follow up could provide further evidence on this population.

When addressing the health policy implications of our results showing the close relationship between adherence to statins and their effectiveness, the fact that 3 out of 4 of statin recipients were not adherent suggests that statin use in our community setting produces both scarce gain in terms of health and waste of economic resources.

For efficient resource allocation, quantification of the non-adherent population to optimise overall health gain in the community is a priority [17]. Each Health Authority faces two different scenarios: (a) encouraging efforts to cover a large population entails inherent problems in ensuring adherence, increased overall burden of side effects, in an attempt to reduce as many as possible cardiovascular events; (b) envisaging a strategy more stringently identifying the population with a likely benefit (which implies less effort to ensure adherence, decreased overall burden of side effects, lower drug cost, but incomplete population protection from cardiovascular risk) [17].

In the light of these potential benefit and limitations, there is debate on which strategy is better, but certainly the specific risk profile of the resident population and the availability of economic resources should guide decision makers.

In our community setting, we interpret the paradoxically lower odds of cardiovascular events in strongly non-adherent patients as an indication that this group was at lower risk than the other non-adherent patients. Indeed, the strongly non-adherent group probably included a number of subjects who actually did not require pharmacological lipid-lowering treatment. Therefore, we would not recommend measures to improve adherence in this group. Probably, efforts to improve adherence should focus on the 28% that was not strictly adherent, but received a yearly amount of drug able to cover at least 6 months (slightly non adherent patients), and on the 13% that alternated covered years with years at very low intensity of treatment (highly variable patients). Notably, the last group showed the highest odds of cardiovascular events (about 70% higher than adherent patients).

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## Conclusions

This study shows the key role of adherence to statins in the prevention of cardiovascular events at any level of risk in a community setting. Appropriate use of statins needs both strict selection of patients before starting drug treatment and patient-physician cooperation for continued drug use whenever the treatment was appropriately started.

## Acknowledgments

The study was supported by funds from the Emilia Romagna Region and the University of Bologna. The opinions expressed herein by A.P. and M.L. do not necessarily reflect those of the Emilia Romagna Health Authority.

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## Table 1. Descriptive analysis of patients' characteristics and events

		Number of patients	%	Events	% in the class
Gender <sup>a</sup>	F	67,238	49%	16,210	24%
Gender	М	69,858	51%	23,498	34%
	<50	6,750	5%	943	14%
Age class	50-65	41,914	31%	8,573	20%
Aye class	65-80	73,763	54%	23,707	32%
	>80	14,790	11%	6,519	44%
Previous CV events <sup>b</sup>	Yes	29,144	21%	15,504	53%
Frevious CV events	No	108,073	79%	24,238	22%
Diabetes <sup>c</sup>	Yes	21,530	16%	8,007	37%
Diabeles	No	115,687	84%	31,735	27%
Angina, hypertension, heart failure	Yes	105,341	77%	36,480	35%
Angina, hypertension, heart failure	No	31,876	23%	3,262	10%
Thrombosis <sup>c</sup>	Yes	73,963	54%	29,106	39%
THOMBOSIS	No	63,254	46%	10,636	17%
Three year adherence <sup>d</sup>	Yes	32,355	24%	8,803	27%
Three year aunerence	No	104,862	76%	30,939	30%
New users	Yes	48,386	35%	13,681	28%
new users	No	88,831	65%	26,061	29%

 <sup>a</sup> indication of gender was missing in 121 patients;
 <sup>b</sup> previous CV events were observed for the period 2003-2004;
 <sup>c</sup> concomitant CV disorders were evaluated by the prescription of the relevant drugs in the first year of follow-up. The following ATC codes were considered: A10 – drugs used in diabetes; C01DA – antianginal drugs (nitrates); C02, C03, C07, C08, C09 – drugs used in the treatment of hypertension and B01A – antithrombotic agents; <sup>d</sup> patients were considered adherent when they were prescribed at least 300 doses of statins for each of the 3-year follow-up; patients

who died during follow-up were included in the non-adherent group.

## Table 2. Distribution of patients according to adherence to statins

	Ν.	%
Adherent patients <sup>a</sup>	32,339	24%
Slightly non adherent patients <sup>b</sup>	38,328	28%
Strongly non adherent patients <sup>c</sup>	41,678	30%
Highly variable patients <sup>d</sup>	18,382	13%
Deaths during the 3-year period	6,490	5%

<sup>a</sup> at least 300 tablets per year, <sup>b</sup> at least 200 tablets in each year, but not adherent through the whole 3-year period; <sup>c</sup> never covered and at least 1 year with less than 200 tablets; <sup>d</sup> large differences among the number of tablets per year (ie. Patients with differences of at least 200 pills year by year).

## Table 3. Variables influencing occurrence of cardiovascular events

		<u>New users</u> <u>N = 48,386</u>		<u>Already in treatment patients</u> <u>N = 88,831</u>		Total N = 137,217	
		<u>OR (Cl95%)</u>	<u>adjOR (Cl95%)</u>	<u>OR (Cl95%)</u>	<u>adjOR (Cl95%)</u>	OR (Cl95%)	adjOR (Cl95%)
Lack of Adherence <sup>a</sup>	strongly non adherent	<u>0.73 (0.68-0.77)</u>	<u>1.16 (1.09-1.25)</u>	<u>0.75 (0.72-0.79)</u>	<u>1.07 (1.03-1.12)</u>	0.75 (0.72-0.77)	1.19 (1.15-1.23)
	slightly non adherent	<u>0.98 (0.93-1.04)</u>	<u>1.22 (1.15-1.30)</u>	<u>1.04 (0.99-1.08)</u>	<u>1.27 (1.21-1.32)</u>	1.01 (0.98-1.05)	1.25 (1.21-1.30
	highly variable	<u>1.31 (1.23-1.40)</u>	<u>1.83 (1.70-1.96)</u>	<u>1.26 (1.21-1.32)</u>	<u>1.65 (1.58-1.74)</u>	1.28 (1.23-1.33)	1.69 (1.62-1.77)
Male gender <sup>b</sup>		<u>1.55 (1.49-1.61)</u>	<u>1.39 (1.33-1.46)</u>	<u>1.62 (1.57-1.67)</u>	<u>1.40 (1.35-1.44)</u>	1.59 (1.56-1.63)	1.39 (1.35-1.43)
Age class <sup>c</sup>	50-65	<u>1.56(1.40-1.74)</u>	<u>1.24 (1.10-1.39)</u>	<u>1.61 (1.46-1.78)</u>	<u>1.39 (1.25-1.54)</u>	1.58 (1.47-1.70)	1.30 (1.20-1.41)
	65-80	<u>2.80 (2.52-3.11)</u>	<u>1.86 (1.65-2.09)</u>	<u>3.01 (2.74-3.31)</u>	<u>2.23 (2.01-2.48)</u>	2.92 (2.72-3.13)	2.04 (1.88-2.20)
	>80	<u>4.87 (4.33-5.47)</u>	<u>2.54 (2.22-2.91)</u>	4.92 (4.44-5.44)	<u>3.05 (2.73-3.41)</u>	4.85 (4.50-5.24)	2.78 (2.56-3.03)
Previous CV events <sup>d</sup>		<u>4.61 (4.40-4.84)</u>	2.86 (2.72-3.02)	<u>3.62 (3.51-3.75)</u>	2.38 (2.29-2.47)	3.93 (3.83-4.04)	2.54 (2.46-2.62)
Diabetes <sup>e</sup>		<u>1.48 (1.41-1.56)</u>	<u>1.29 (1.22-1.36)</u>	<u>1.64 (1.57-1.70)</u>	<u>1.39 (1.33-1.45)</u>	1.56 (1.52-1.61)	1.36 (1.31-1.40)
Angina, hypertension heart failure <sup>f</sup>	1	<u>4.72 (4.43-5.02)</u>	<u>2.79 (2.60-2.99)</u>	4.60 (4.38-4.83)	2.76 (2.61-2.92)	4.65 (4.47-4.83)	2.77 (2.65-2.89)
Thrombosis <sup>g</sup>		<u>3.54 (3.39-3.69)</u>	<u>1.96 (1.86-2.06)</u>	<u>3.04 (2.95-3.14)</u>	<u>1.83 (1.76-1.90)</u>	3.21 (3.13-3.29)	1.87 (1.81-1.93)

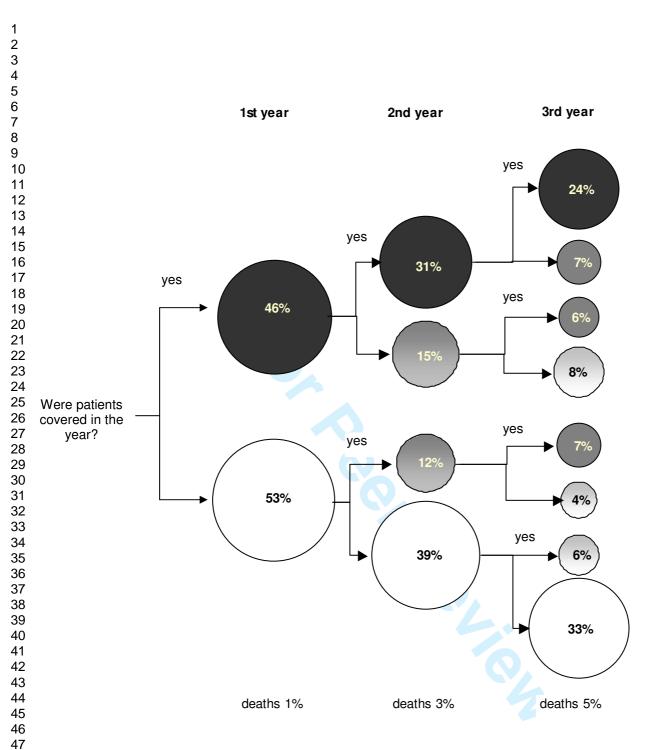
<sup>a</sup> reference: adherent subjects; <sup>b</sup> reference: female gender; <sup>c</sup> reference: <50 years;; <sup>d</sup> reference: subjects without previous cardiovascular events; <sup>e</sup> subjects without antidiabetic drugs; <sup>f</sup> reference: subjects without prescription of drugs for angina, hypertension or heart failure; <sup>g</sup> reference: subjects without prescriptions of antithrombotic agen

## **Figure captions**

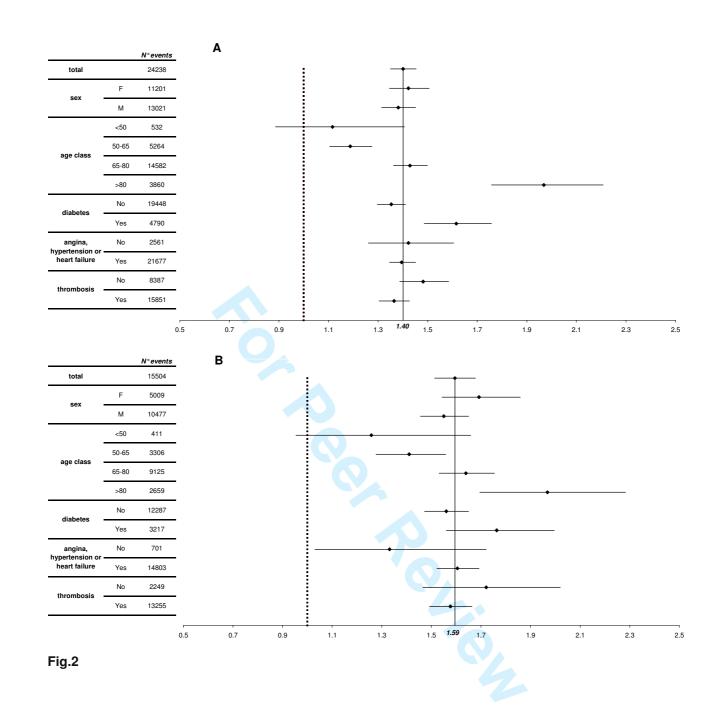
## Fig.1 Year-by-year coverage of patients with statin therapy.

The size of each circle is proportional to the corresponding percentages of patients (value inside); and the density of grey of the circle to the cumulative level of coverage, from black (always covered) to white (never covered). The analysis includes only patients alive at the end of the relevant year: the total alive patients were 136,013, 133,513, 130,727 in 2005, 2006 and 2007, respectively

**Fig. 2 Influence of lack of adherence to statins on cardiovascular events, stratified by sex, age, diabetes and other cardiovascular risk factors**; Points in the middle of lines indicate odds ratios; horizontal lines, 95% confidence intervals. (A) primary prevention: patients without hospital admission for CV event in two years before the recruitment (B) secondary prevention: patients with at last one hospital admission for CV event in two years before recruitment .







## Cardiovascular events in statin recipients: impact of adherence to treatment in a

## 3-year record-linkage study

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#### Abstract

## Purpose

In the general population, lack of adherence to statin therapy remains a widespread phenomenon and an important matter of concern both in terms of cost-effectiveness and risk-benefit profile. This study aimed to evaluate the occurrence of cardiovascular events in Italian statin recipients, focusing on the relationship between degree of adherence to therapy and occurrence of events in a 3-year follow-up.

## Methods

Our cohort consisted of all patients from Emilia Romagna (4,027,275 inhabitants) who received statin prescriptions in January–February 2005 and was followed up to 36 months for cardiovascular hospital admission (ie. coronary disease, cerebrovascular accidents, peripheral artheropathy), adherence to statin treatment (proportion of days covered:  $\geq$ 80%) and use of other cardiovascular drugs. The relationship between adherence and cardiovascular events was analysed by multivariate logistic regression; age, sex, other cardiovascular drugs and previous events were covariates of the model.

#### Results

Patients <u>non-</u>adherent to statin regimen over the 3-year period (76% of the cohort) had <u>higher</u> odds of events, irrespective of risk factors, by <u>more than 40%</u> when compared with adherent patients. Odds of events were <u>in particular</u>: strongly non-adherent adjOR=1.19 (CI95% 1.15-1.23), slightly non-adherent adjOR=1.25 (1.21-1.30), highly variable in the amount of statins received

adjOR=1.69 (1.62-1.77).

## Conclusions

This study shows the key role of adherence to statins in the cardiovascular prevention at any level of risk. Appropriateness of statin use needs not only careful selection of patients to be treated, but also cooperation between patient and physician to ensure continued drug use whenever treatment is appropriate.

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Keywords: statins	, medication adherence, general practice, cardiovascular disease, medic
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#### Introduction

Randomised controlled trials have clearly shown the benefits of lipid-lowering drug treatment for cardiovascular prevention, but how much these translate into actual benefit in the general population is not as well established. Whereas there is consensus on the benefits in secondary prevention [1,2] recommendations to use statins in primary prevention are currently a matter of debate [3-6] and in fact statins are used mostly in the latter setting (75% of statin recipients [7]). Some authors cast doubt on the benefit of statins in patients with low cardiovascular risk [8] whereas others suggested the use of statins (alone or even in the so-called polypill) even in normolipidemic subjects [4,9,10].

Lack of adherence is probably the most relevant factor differencing randomised controlled trials from clinical practice.

In the general population, lack of adherence to statin therapy remains a widespread phenomenon and, consequently, an important matter of concern both in terms of cost-effectiveness and riskbenefit profile. We have previously shown that, among statin recipients, less than 50% were prescribed an amount of drug consistent with daily treatment and this coverage was only slightly higher in patients in secondary prevention, in those aged 50–69 years and among males. Moreover, adherence significantly increased with the complexity of other cardiovascular treatments [11]. On the contrary, prescription of a highly active statin regimen (which can be used as a proxy of the severity of hypercholesterolemia) did not correlate with coverage.

The role of adherence to statins in preventing cardiovascular events in general practice is currently under investigation by different points of view (i.e., risk-benefit and cost-benefit profile) and some investigators have already published useful experiences in different countries [12-16]. However, the scenario is far from complete and the evidence is still partial and insufficient to support Health Policy strategies [17].

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The aim of this study was to evaluate the occurrence of cardiovascular events in all patients treated with statins in Emilia Romagna (about 4,000,000 inhabitants), focusing on the relationship between the occurrence of events and the degree of adherence to therapy.

#### Methods

#### Sources of data

Drug prescription data were retrieved from the Emilia Romagna Regional Health Authority Database, which provides the following information for each reimbursed prescription: identification code of the drug, ATC (Anatomical Therapeutic Chemical) code, number of packages dispensed, patient code, date of prescription. The patient code allows retrieval of his/her drug history without individual identification.

We collected data of statins and other drugs used for cerebro- or cardiovascular risk prevention (i.e., antiplatelet agents, nitrates, antihypertensives, antidiabetics), prescribed by general practitioners (GPs) and reimbursed between January 2003 and February 2008 by the Health Authority of Emilia Romagna (with both urban and rural area, 4,027,275 inhabitants). The following ATC codes were considered: A10 – drugs used in diabetes; B01A – antithrombotic agents; C01DA – antianginal drugs (nitrates); C02, C03, C07, C08, C09 – drugs used in the treatment of hypertension; C10 – lipid modifying agents.

All statins are reimbursed by Italian Health Service for (i) familial hypercholesterolaemia, (ii) patients with previous cardiovascular or cerebrovascular events, or (iii) patients with a 10-year cardiovascular risk higher than 20%.

Data of hospital admissions for cardiovascular or cerebrovascular events, occurred from January 2003 to February 2008, were retrieved from the hospital discharge registry which provides the following information: ICD-9 code (410-414: ischemic heart disease; 430-438: cerebrovascular

diseases; 440: atherosclerosis; 443: other peripheral vascular disease; 444: arterial embolism and thrombosis;), type of the hospital and ward, duration of the hospital stay and patient code.

Data regarding age, sex and deaths were anonymously retrieved from vital statistics.

All the databases are managed by the Drug Policy Service of the Health Department of the Emilia-Romagna Region, which provides anonymous data by attributing an anonymous code to each patient. This code allows the record linkage of individual patient data among different databases according to the provisions of privacy rules. The protocol was approved by the institutional ethics committee.

#### Study design

Our cohort consisted of all patients who received at least one statin prescription between January and February 2005 (recruitment period) and at least another prescription of statins during the first year of follow-up. Patient drug prescriptions and cardiovascular hospital admissions were analysed for 36 months following recruitment (March 2005 - February 2008, follow-up). Co-prescriptions of cardiovascular drugs other than statins (recorded in the first year of follow-up) were used as a component of patient risk profile, as well as hospital discharge records for cardiovascular events occurred before recruitment (January 2003-December 2004).

Adherence was evaluated by analysing the amount of statins received during the 3 year-period:

- for each year, patients were considered "covered" if they received at least 300 tablets (allowing a tolerance of ~20% over the 365 day period) [11] and
- patients who met the above criterion throughout the 3-year follow-up were considered as "adherent".

The amount of statins was evaluated as number of tablets purchased. In fact, the availability of different dosage strengths for each active substance allow patients to take their daily medication as one tablet.

Statistical analyses

 To evaluate the association between cardiovascular events and adherence to statin treatment, a logistic multivariate regression analysis was performed, by grouping non-adherent patients into 3 different categories: "strongly non adherent", "slightly non adherent" and "highly variable" patients (see table 2 for definitions). Age, sex, comorbidity (diabetes, hypertension-angina-heart failure and thrombosis in the first year of the follow-up) were included as covariates in the models.

Only patients surviving the 3-year follow-up period were included in the logistic analysis.

To better describe the role of the adherence in cardiovascular prevention, two different stratified models for primary and secondary prevention cohort were estimated. Patients in secondary prevention were those who experienced a cardiovascular event before the recruitment. The effect of adherence was assessed by a generalization of Mantel-Haenszel method in different subgroups samples. The differences in subgroups were tested with Pearson  $\chi^2$  test. A p-value <0.05 was considered significant.

All analyses were performed using STATA version 10 (StataCorp. 2001. College Station, TX: Stata Corporation) and SAS version 9.1 (SAS Institute Inc., Cary, NC, USA).

#### Results

#### Adherence to statin regimen

The cohort of statin recipients consisted of 137,217 subjects, with a mean age of  $67 \pm 10$  years and 1:1 female/male ratio (Table 1). During the three years of follow up, 6,491 subjects (5%) died. Seventy-seven percent of subjects (106,167) received prescriptions of the same statin and simvastatin was the most prescribed (32% of patients), followed by atorvastatin (24%). The remaining 23% of patients were switched to another statin, especially rosuvastatin (23.6%) or atorvastatin (12.6%). Moreover, 72% received only highly active statin regimens (i.e., at dosages expected to reduce LDL-cholesterol by more than 30% [11]).

Eighty-five percent of patients received additional cardiovascular treatments on the first year of follow-up: in particular, 77% (105,341) of subjects concomitantly received drugs for hypertension

or angina or heart failure, 54% (73,963) used antithrombotics and 16% (21,530) used antidiabetics (Table 1). Along the remaining two years of follow-up, a further 2.5% of patients per year received antihypertensive prescriptions, a further 5% received antithrombotics and a further 2.5% received antidiabetics.

In our cohort, 35% did not receive statin prescriptions in the 2 previous years (new users).

The proportions of patients receiving more than 300 tablets/year of statins and that of patients receiving 201-300 tablets/year were stable along the three years of follow-up (45% and 24% respectively), whereas that of patients receiving 101-200 tablets decreased from 24% to 19% and that of patients taking less than 101 tablets showed an appreciable increase, from 6% in the first year to 13% in the third one.

Sixty-two percent (81,666) of patients were covered for at least 1 year, 44% (60,894) for at least 2 years and 24% (32,355) for the whole 3-year period and only this last figure represents the adherent population according to our criteria. (Figure 1).

Among the 98,371 patients who did not meet the criteria to be considered "adherent", 28% (38,328) were "slightly non-adherent", 30% (41,678) "strongly non-adherent", and 13% (18,382) "highly variable" (Table 2).

Cardiovascular events

Within the whole cohort of 137,217 patients, 29% (39,708) experienced cerebro- or cardiovascular events during the 3 year-follow-up (annual rate: 13%): 54% of events were represented by coronary heart disease, followed by cerebrovascular accidents (18%).

Cerebro- and cardiovascular events occurred more frequently in non-adherent patients rather than adherent group (reference), with the following ranking: strongly non-adherent (adjOR=1.19; 95% CI 1.15-1.23), slightly non-adherent (1.25; 1.21-1.30), highly variable (1.69;1.62-1.77). These events increased with age (e.g., patients older than 80: adjOR=2.78; 95% CI 2.56-3.03) and were statistically more frequent in men (adjOR=1.39; 95% CI 1.35-1.43), in patients using statins for secondary prevention (2.54; 2.46-2.62), those co-medicated for hypertension, angina or heart failure

(2.77; 2.65-2.89), using antithrombotics (1.87; 1.81-1.93), and with diabetes (1.36; 1.31-1.40; see table 3). By considering separately new users and already in treatment patients, the analysis confirmed the significant variables, with minor differences between the two groups. The following variables weighed on the occurrence of CV events more in new users rather than in those already in treatment: strongly non adherent or highly variable regimens, previous CV events and concomitant anti-thrombotic drugs.

Subgroup analysis showed a higher risk of events among non-adherent patients irrespective of risk factors: adjOR 1.40 (95% CI 1.35-1.45) in patients using statins for primary prevention and adjOR=1.59 (95% CI 1.51-1.68) in those using statins for secondary prevention (Figure 2). The only exception was represented by the younger age group (<50 years), for whom the confidence interval includes 1. Moreover, for subjects older than 80 years both in primary and secondary prevention, and in patients with diabetes in primary prevention, the association between lack of adherence and CV events was statistically stronger than in unstratified analysis.

Considering patients' prescriptions twelve months before and after the occurrence of an event, 53% of patients increased their number of tablets after the event; so that a fraction (15%) of the non-covered patients became covered in the year after the event. On the other hand, 26% of patients did not change their number of tablets and the remaining 21% even decreased it.

### Discussion

In our cohort of 137,217 patients, <u>more than three guarters of statin recipients did not adhere to drug</u> therapy throughout the 3-year follow-up and, these patients showed <u>more than 40% increase of</u> cardiovascular events when compared with adherent statin recipients. Although the issue of lack of adherence to statin treatment has been already reported [11,18], in our opinion, a population-based cohort study is important because it attempts to translate adherence to statin regimen into actual cardiovascular benefit in unselected patients in a community setting. Some previous studies provided useful findings to clarify this topic. A study on a large Israeli Deleted: only one Formatted: Not Highlight Deleted: d Formatted: Not Highlight Formatted: Not Highlight Deleted: irrespective of their risk profile. Deleted: about Deleted: 30 Formatted: Not Highlight Deleted: reduction Formatted: Not Highlight Deleted: non-Deleted: In other words, a statin adherent regimen may avoid about 1 out of 3 cardiovascular events.

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cohort [19] found a strong association between intensity of statin therapy (in terms of number of tablets/year) and improved survival both in primary and secondary prevention, even higher than that found in clinical trials (up to 45% of risk reduction). Also a Canadian study showed the important role of adherence to statins in the prevention of both cerebrovascular events (reduction of risk: 26%) and coronary disease (18%) [12-14]. Two recent Italian studies focused on incident statin recipients for primary prevention. On one hand, Deambrosis et al. found a better cholesterol outcome in adherent patients, but a paradoxical association between adherence and hospitalisation for coronary events [15]. This finding was probably affected by a confounding factor, represented by the basal cardiovascular risk, which conditioned independently both adherence and hospital admissions. On the other hand, Corrao et al. found a protective role of adherence on nonfatal ischemic heart disease (reduction of risk: 15-20%) [16].

Our results (although not directly comparable to those of the above studies because of different parameters in outcomes and in observed population) substantially agree on the clinical importance of continued statin use and provide a wider picture on cardiovascular outcomes in all users (both incident users and already in treatment at recruitment).

The approach used in this study has strengths and limitations. In our opinion, one strength was represented by performing our analysis in a large community setting, including all statin recipients, no matter what the length of therapy before the recruitment was, and including all meaningful cardiovascular outcomes. On the one hand, the findings provided by this approach refer to prevalent statin users, whereas other studies frequently selected only incident users, which represent only a minority of overall statin recipients. On the other hand, our method intrinsically lacks in completeness on prior morbidity and drug history, and could be affected by the immeasurable time bias [20] because of the lack of information on statin exposure during hospitalisations. In the worst case scenario, this bias could have generated a misclassification of 586 non-adherent subjects (0.4% of the cohort), who would pass to adherent status by considering all hospitalisation days as covered days. On the basis of these data, we found a possible overestimation of the risk of events among

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non-adherent patients by 6 and 8%, respectively in case of primary and secondary prevention. A further limitation was the lack of information on lipidemia, which was an obstacle in the correct association between adherence and cardiovascular outcomes. However information on lipidemia would be very difficult to collect even by using physician's records. In addition, since our prescription data were obtained only from reimbursement database, we probably excluded patients not meeting the Italian reimbursement criteria, because at a lower CV risk. This lack of data could have caused a slight overestimation of the role of adherence in the prevention of CV events.

Moreover, a positive attitude of patients towards their own health could be a confounding factor, influencing independently both statin adherence and event occurrence, but also this information is not available in administrative databases, which are the source of our study. Only studies based on questionnaire or primary care database could collect this data and could quantify the magnitude of the healthy adherer effect.

This phenomenon is usually indicated as "healthy adherer effect", as already acknowledged by Dragomir [13], Rasmussen [21] and Anderson [22], who stated the difficulties in its quantification. Nonetheless a generic "healthy adherer behaviour" could have generated a tolerable overestimation of the protective effect of adherence.

Finally, because of the low frequency and the delayed onset of cardiovascular events in low-risk patients, a longer follow up could provide further evidence on this population.

When addressing the health policy implications of our results showing the close relationship between adherence to statins and their effectiveness, the fact that 3 out of 4 of statin recipients were not adherent suggests that statin use in our community setting produces both scarce gain in terms of health and waste of economic resources.

For efficient resource allocation, quantification of the non-adherent population to optimise overall health gain in the community is a priority [17]. Each Health Authority faces two different scenarios: (a) encouraging efforts to cover a large population entails inherent problems in ensuring adherence, increased overall burden of side effects, in an attempt to reduce as many as possible cardiovascular

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events; (b) envisaging a strategy more stringently identifying the population with a likely benefit (which implies less effort to ensure adherence, decreased overall burden of side effects, lower drug cost, but incomplete population protection from cardiovascular risk) [17].

In the light of these potential benefit and limitations, there is debate on which strategy is better, but certainly the specific risk profile of the resident population and the availability of economic resources should guide decision makers.

In our community setting, we interpret the paradoxically lower odds of cardiovascular events in strongly non-adherent patients as an indication that this group was at lower risk than the other nonadherent patients. Indeed, the strongly non-adherent group probably included a number of subjects who actually did not require pharmacological lipid-lowering treatment. Therefore, we would not recommend measures to improve adherence in this group. Probably, efforts to improve adherence should focus on the 28% that was not strictly adherent, but received a yearly amount of drug able to cover at least 6 months (slightly non adherent patients), and on the 13% that alternated covered years with years at very low intensity of treatment (highly variable patients). Notably, the last group showed the highest odds of cardiovascular events (about 70% higher than adherent patients).

## Conclusions

This study shows the key role of adherence to statins in the prevention of cardiovascular events at any level of risk in a community setting. Appropriate use of statins needs both strict selection of patients before starting drug treatment and patient-physician cooperation for continued drug use whenever the treatment was appropriately started.

## Acknowledgments

Romagna Health Authority.

The study was supported by funds from the Emilia Romagna Region and the University of Bologna. The opinions expressed herein by A.P. and M.L. do not necessarily reflect those of the Emilia

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## Table 1. Descriptive analysis of patients' characteristics and events

		Number of patients	%	Events	% in the class
Gender <sup>a</sup>	F	67,238	49%	16,210	24%
Gender	М	69,858	51%	23,498	34%
	<50	6,750	5%	943	14%
	50-65	41,914	31%	8,573	20%
Age class	65-80	73,763	54%	23,707	32%
	>80	14,790	11%	6,519	44%
Previous CV events <sup>b</sup>	Yes	29,144	21%	15,504	53%
Fievious CV events	No	108,073	79%	24,238	22%
Diabetes <sup>c</sup>	Yes	21,530	16%	8,007	37%
Diabeles	No	115,687	84%	31,735	27%
Anging hypertension boost follows	Yes	105,341	77%	36,480	35%
Angina, hypertension, heart failure <sup>c</sup>	No	31,876	23%	3,262	10%
Thrombosis <sup>c</sup>	Yes	73,963	54%	29,106	39%
inrombosis	No	63,254	46%	10,636	17%
	Yes	32,355	24%	8,803	27%
Three year adherence <sup>d</sup>	No	104,862	76%	30,939	30%
New years	<u>Yes</u>	<u>48,386</u>	<u>35%</u>	<u>13,681</u>	<u>28%</u>
<u>New users</u>	No	<u>88,831</u>	<u>65%</u>	<u>26,061</u>	<u>29%</u>

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<sup>a</sup> indication of gender was missing in 121 patients; <sup>b</sup> previous CV events were observed for the period 2003-2004;

<sup>6</sup> concomitant CV disorders were evaluated by the prescription of the relevant drugs in the first year of follow-up. The following ATC codes were considered: A10 – drugs used in diabetes; C01DA – antianginal drugs (nitrates); C02, C03, C07, C08, C09 – drugs used in the treatment of hypertension and B01A – antithrombotic agents; <sup>d</sup> patients were considered adherent when they were prescribed at least 300 doses of statins for each of the 3-year follow-up; patients

who died during follow-up were included in the non-adherent group.

## Table 2. Distribution of patients according to adherence to statins

	Ν.	%
Adherent patients <sup>a</sup>	32,339	24%
Slightly non adherent patients <sup>b</sup>	38,328	28%
Strongly non adherent patients <sup>c</sup>	41,678	30%
Highly variable patients <sup>d</sup>	18,382	13%
Deaths during the 3-year period	6,490	5%

<sup>a</sup> at least 300 tablets per year, <sup>b</sup> at least 200 tablets in each year, but not adherent through the whole 3-year period; <sup>c</sup> never covered and at least 1 year with less than 200 tablets; <sup>d</sup> large differences among the number of tablets per year (ie. Patients with differences of at least 200 pills year by year).

## Table 3. Variables influencing occurrence of cardiovascular events

		<u>New users</u> <u>N = 48,386</u>		Already in treatment patients <u>N = 88,831</u>		Total N = 137,217		Formatted: Underline, Font color: Blue
		<u>OR (CI95%)</u>	adjOR (CI95%)	<u>OR (Cl95%)</u>	adjOR (Cl95%)	OR (Cl95%)	adjOR (Cl95%)	<b>Formatted:</b> Underline, Font color: Blue
Lack of Adherence <sup>a</sup>	strongly non adherent	<u>0.73 (0.68-0.77)</u>	<u>1.16 (1.09-1.25)</u>	<u>0.75 (0.72-0.79)</u>	<u>1.07 (1.03-1.12)</u>	0.75 (0.72-0.77)	<u>1.19 (1.15-1.23)</u>	Formatted: Underline, Font color:
	slightly non adherent	<u>,0.98 (0.93-1.04)</u>	<u>1.22 (1.15-1.30)</u>	<u>1.04 (0.99-1.08)</u>	1.27 (1.21-1.32)	1.01 (0.98-1.05)	1.25 (1.21-1.30	Blue Formatted: Underline, Font color:
	highly variable	<u>,1.31 (1.23-1.40)</u>	<u>1.83 (1.70-1.96)</u>	<u>1.26 (1.21-1.32)</u>	1.65 (1.58-1.74)	1.28 (1.23-1.33)	1.69 (1.62-1.77)	Blue
Male gender <sup>b</sup>		<u>,1.55 (1.49-1.61)</u>	<u>1.39 (1.33-1.46)</u>	<u>1.62 (1.57-1.67)</u>	<u>1.40 (1.35-1.44)</u>	1.59 (1.56-1.63)	1.39 (1.35-1.43)	Formatted: Underline, Font color Blue
Age class <sup>c</sup>	50-65	<u>,1.56(1.40-1.74)</u>	<u>1.24 (1.10-1.39)</u>	<u>1.61 (1.46-1.78)</u>	<u>1.39 (1.25-1.54)</u>	1.58 (1.47-1.70)	1.30 (1.20-1.41)	Formatted: Underline, Font color
	65-80	<u>2.80 (2.52-3.11)</u>	<u>1.86 (1.65-2.09)</u>	3.01 (2.74-3.31)	2.23 (2.01-2.48)	2.92 (2.72-3.13)	2.04 (1.88-2.20)	Blue
	>80	<u>4.87 (4.33-5.47)</u>	2.54 (2.22-2.91)	4.92 (4.44-5.44)	3.05 (2.73-3.41)	4.85 (4.50-5.24)	2.78 (2.56-3.03)	Formatted: Underline, Font color Blue
Previous CV events <sup>d</sup>		<u>4.61 (4.40-4.84)</u>	2.86 (2.72-3.02)	<u>3.62 (3.51-3.75)</u>	2.38 (2.29-2.47)	3.93 (3.83-4.04)	2.54 (2.46-2.62)	Formatted: Underline, Font color Blue
Diabetes <sup>e</sup>		<u>,1.48 (1.41-1.56)</u>	<u>1.29 (1.22-1.36)</u>	<u>1.64 (1.57-1.70)</u>	<u>1.39 (1.33-1.45)</u>	1.56 (1.52-1.61)	_ 1.36 (1.31-1.40)	Formatted: Underline, Font color
Angina, hypertension, heart failure <sup>f</sup>		<u>4.72 (4.43-5.02)</u>	<u>2.79 (2.60-2.99)</u>	4.60 (4.38-4.83)	<u>2.76 (2.61-2.92)</u>	4.65 (4.47-4.83)	_ 2.77 (2.65-2.89)	Blue Formatted: Underline, Font color Blue
Thrombosis <sup>g</sup>		<u>3.54 (3.39-3.69)</u>	<u>1.96 (1.86-2.06)</u>	<u>3.04 (2.95-3.14)</u>	<u>1.83 (1.76-1.90)</u>	3.21 (3.13-3.29)	_ 1.87 (1.81-1.93)	Formatted: Underline, Font color Blue
<sup>a</sup> reference: adherent subje	ects; <sup>b</sup> reference: female ge	ender: <sup>c</sup> reference: <50	) vears:: <sup>d</sup> reference: si	biects without previou	s cardiovascular even	ts: <sup>e</sup> subiects without a	ntidiabetic	Formatted: Underline, Font color

<sup>a</sup> reference: adherent subjects; <sup>b</sup> reference: female gender; <sup>c</sup> reference: <50 years;; <sup>d</sup> reference: subjects without previous cardiovascular events; <sup>e</sup> subjects without antidiabetic drugs; <sup>f</sup> reference: subjects without prescription of drugs for angina, hypertension or heart failure; <sup>g</sup> reference: subjects without prescriptions of antithrombotic agen

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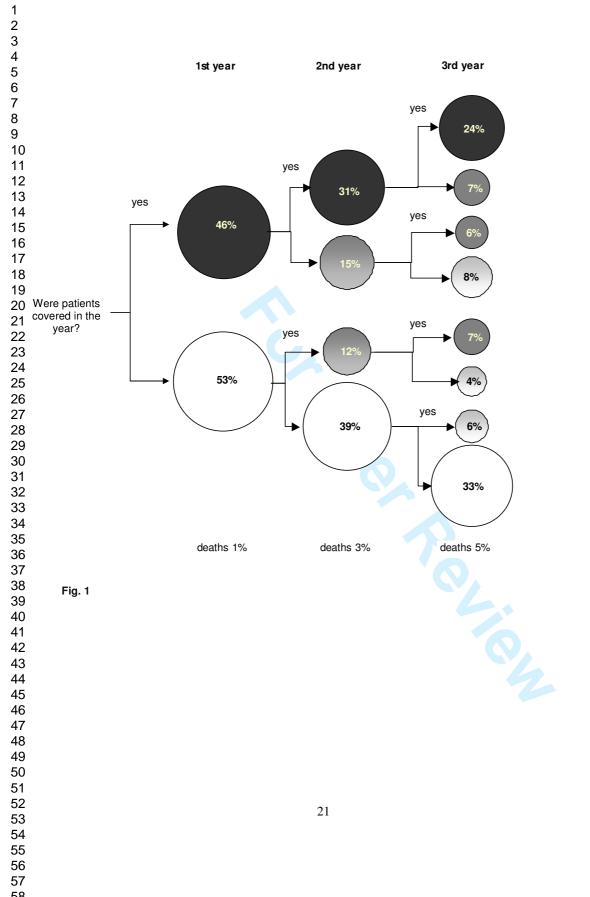
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#### **Figure captions**

#### Fig.1 Year-by-year coverage of patients with statin therapy.

The size of each circle is proportional to the corresponding percentages of patients (value inside); and the density of grey of the circle to the cumulative level of coverage, from black (always covered) to white (never covered). The analysis includes only patients alive at the end of the relevant year: the total alive patients were 136,013, 133,513, 130,727 in 2005, 2006 and 2007, respectively

Fig. 2 Influence of lack of adherence to statins on cardiovascular events, stratified by sex, age, diabetes and other cardiovascular risk factors; Points in the middle of lines indicate odds ratios; horizontal lines, 95% confidence intervals. (A) primary prevention: patients without hospital admission for CV event in two years before the recruitment (B) secondary prevention: patients with at last one hospital admission for CV event in two years before recruitment .



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