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ON THE HOMEOMORPHISMS OF THE SPACE OF GEODESIC LAMINATIONS ON A HYPERBOLIC SURFACE

C. CHARITOS, I. PAPADOPOERAKIS, AND A. PAPADOPOULOS

ABSTRACT. We prove that for any orientable connected surface of finite type which is not a sphere with at most four punctures or a torus with at most two punctures, any homeomorphism of the space of geodesic laminations of this surface, equipped with the Thurston topology, is induced by a homeomorphism of the surface.

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1. INTRODUCTION

In this paper $S = S_{g,p}$ is an orientable connected surface of finite type, of genus $g \geq 0$ with $p \geq 0$ punctures. We assume that S is not a sphere with at most four punctures or a torus with at most two punctures. Fixing a complete hyperbolic metric of finite area on S we consider the set $\mathcal{GL}(S)$ of geodesic laminations on S with compact support. As a set of compact subspaces of the metric space S , $\mathcal{GL}(S)$ is equipped with the Hausdorff metric which we denote by d_H . We denote by \mathcal{T}_H the topology induced by d_H , and we call it the Hausdorff topology. We consider on $\mathcal{GL}(S)$ a second topology \mathcal{T} , referred to as the Thurston topology (see the definition in §2 below) and which is weaker than \mathcal{T}_H . Any homeomorphism $h : S \rightarrow S$ induces by push-forward a map $h_* : \mathcal{GL}(S) \rightarrow \mathcal{GL}(S)$ which is a homeomorphism with respect to both topologies \mathcal{T}_H and \mathcal{T} . The main result of this paper is the following:

Theorem 1.1. *Assume that $f : \mathcal{GL}(S) \rightarrow \mathcal{GL}(S)$ is a homeomorphism with respect to the topology \mathcal{T} . Then there is a homeomorphism $h : S \rightarrow S$ such that $h_* = f$.*

This shows in particular that the space $\mathcal{GL}(S)$ equipped with the Thurston topology is definitely not topologically homogeneous. Furthermore, since its homeomorphism group is countable, this space does not contain any open set which is a manifold of any positive dimension, in contrast with measured lamination space which is topologically a sphere.

The analogous result for the Hausdorff topology is not true but it is conceivable that for every isometry f of the metric space $(\mathcal{GL}(S), d_H)$, there is a homeomorphism $h : S \rightarrow S$ such that $h_* = f$.

The result is in the spirit of several rigidity results that were obtained by various authors in the context of mapping class group actions on different spaces, and it is close more specially to the results in [11], [8] and [9] which concern actions by homeomorphisms. We note that although the statements of these and other rigidity theorems all look alike, all of them are interesting because each proof displays new special features of the space on which the mapping class group acts, in different settings (combinatorial, topological, holomorphic, metric, etc.), and the arguments in each setting are different.

The proof of Theorem 1.1 is given in §4. The proof involves the construction from the homeomorphism f of an automorphism of the complex of curves of S . The main technical point is to show that f preserves the set of finite laminations. For the passage between properties of laminations and homeomorphisms of $\mathcal{GL}(S)$, the idea is to translate inclusions $\Lambda_1 \subset \Lambda_2$ between geodesic laminations into set-theoretical properties between open sets of $\mathcal{GL}(S)$.

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2. DEFINITIONS AND PRELIMINARIES

On the surface S , we fix a complete hyperbolic metric of finite area. A *geodesic lamination* $\Lambda \subset S$ is a compact non-empty subset which is the union of disjoint simple geodesics. We say that a geodesic lamination is *maximal* if it is not a proper sublamination of any other geodesic lamination. We say that a geodesic lamination is *minimal* if it does not contain any proper sublamination. Note that this set-theoretic definition of a minimal lamination that we make here is not the same as the usual definition of a minimal laminations, where a lamination is said to be minimal if its leaves are dense in the support.

A geodesic lamination which is a finite union of geodesics is called a *finite lamination*. Otherwise, the lamination is said to be *infinite*.

The following two subsets of $\mathcal{GL}(S)$ will play special roles in the sequel:

- $\mathcal{FGL}(S)$ is the subset of finite laminations of $\mathcal{GL}(S)$. An element of $\mathcal{FGL}(S)$ is made out of a finite union of disjoint simple closed geodesics $\{\gamma_i\}$ together with a finite number of infinite geodesics, each spiraling from each end around one geodesic in $\{\gamma_i\}$.

- $\mathcal{CGL}(S)$ is the set of geodesic laminations whose leaves are simple closed geodesics.

Obviously $\mathcal{CGL}(S) \subset \mathcal{FGL}(S)$.

For any subset X of S and for any $\epsilon > 0$, we set

$$N_\epsilon(X) = \{x \in S : \exists y \in X \text{ with } d(x, y) < \epsilon\}.$$

The following definition is classical:

Definition 2.1. Let X and X' be two compact subsets of S . The *Hausdorff distance* between X and X' is the quantity

$$d_H(X, X') = \inf\{\epsilon > 0 : X \subset N_\epsilon(X') \text{ and } X' \subset N_\epsilon(X)\}.$$

It is easy to see that the function d_H is a distance function on the set of compact subsets of S . Such a definition was made by F. Hausdorff for the set of compact subsets of \mathbb{R}^n , and it was used by H. Busemann [1] for the set of compact sets of a general metric space.

We shall mostly use the notions $N_\epsilon(X)$ and $d_H(X, Y)$ for elements $X, Y \subset S$ which are geodesic laminations on S . We denote by d_H the restriction of the Hausdorff metric to $\mathcal{GL}(S)$.

We also use the following notation:

For any $\Lambda \in \mathcal{GL}(S)$ and for any $\epsilon > 0$,

$$\mathcal{V}_\epsilon(\Lambda) = \{\Lambda' \in \mathcal{GL}(S) : N_\epsilon(\Lambda') \supset \Lambda \text{ and } N_\epsilon(\Lambda) \supset \Lambda'\}.$$

The topology induced by d_H on the set of subsets of S as well as its restriction to $\mathcal{GL}(S)$, which we shall denote by \mathcal{T}_H , are called the *Hausdorff topology*. For the topology \mathcal{T}_H any set $\mathcal{V}_\epsilon(\Lambda)$ is open. Moreover, it is easy to see that the collection of sets $\mathcal{V}_\epsilon(\Lambda)$ with $\epsilon > 0$ and $\Lambda \in \mathcal{GL}(S)$, constitute a basis for \mathcal{T}_H .

We now equip the set $\mathcal{GL}(S)$ with a second topology.

Definition 2.2. Let V be an open subset of S . Set

$$\mathcal{U}_V = \{\Lambda \in \mathcal{GL}(S) : \Lambda \cap V \neq \emptyset\}.$$

We let \mathcal{T} be the topology on $\mathcal{GL}(S)$ with subbasis the sets \mathcal{U}_V , where V varies over the set of open subsets of S .

Following the terminology of ([2], Def. I.4.1.10), we call the topology \mathcal{T} on $\mathcal{GL}(S)$ the *Thurston topology*. The original reference for the topology \mathcal{T} is (Thurston [12], Section 8.10), where \mathcal{T} is referred to as the *geometric topology*. Clearly the topology \mathcal{T} does not satisfy the first axiom of separation. Indeed, take a geodesic lamination Λ that contains a strict sublamination $\Lambda_1 \subsetneq \Lambda_2$; then every open set for \mathcal{T} containing Λ_1 contains Λ . In particular the topology \mathcal{T} is not Hausdorff, unlike the topology \mathcal{T}_H , which is induced by a metric.

Lemma 2.3. $\mathcal{T} \subset \mathcal{T}_H$ i.e. the topology \mathcal{T} is weaker than the topology \mathcal{T}_H .

Proof. It suffices to prove that for each open subset V of S , $\mathcal{U}_V \in \mathcal{T}_H$. For $\Lambda \in \mathcal{U}_V$, we have $\Lambda \cap V \neq \emptyset$. For any $x \in \Lambda \cap V$, there exists an open ball $B(x, \varepsilon_\Lambda)$ in S of center x and radius ε_Λ such that $B(x, \varepsilon_\Lambda) \subset V$. We now prove the following:

$$\Lambda \in \mathcal{V}_{\varepsilon_\Lambda}(\Lambda) \subset \mathcal{U}_V. \quad (*)$$

First, it is obvious that $\Lambda \in \mathcal{V}_{\varepsilon_\Lambda}(\Lambda)$. To prove the inclusion $\mathcal{V}_{\varepsilon_\Lambda}(\Lambda) \subset \mathcal{U}_V$ we note that if $\Lambda' \in \mathcal{V}_{\varepsilon_\Lambda}(\Lambda)$ then $\Lambda \subset N_{\varepsilon_\Lambda}(\Lambda')$ and hence $x \in N_{\varepsilon_\Lambda}(\Lambda')$. Therefore we can find a point y in Λ' such that $d(x, y) < \varepsilon_\Lambda$ and hence $y \in \Lambda' \cap B(x, \varepsilon_\Lambda) \neq \emptyset$. Since $B(x, \varepsilon_\Lambda) \subset V$, this implies that $\Lambda' \cap V \neq \emptyset$. Therefore $\Lambda' \in \mathcal{U}_V$ and the inclusion $\mathcal{V}_{\varepsilon_\Lambda}(\Lambda) \subset \mathcal{U}_V$ is proven.

Now from (*) we deduce immediately that

$$\mathcal{U}_V = \cup \{\mathcal{V}_{\varepsilon_\Lambda}(\Lambda) : \Lambda \in \mathcal{GL}(S) \text{ and } \Lambda \cap V \neq \emptyset\}.$$

Therefore $\mathcal{U}_V \in \mathcal{T}_H$. □

The metric space $(\mathcal{GL}(S), d_H)$ is compact. This is a general result on the Hausdorff metric on the set $\mathcal{B}(X)$ of compact subsets of a metric space X , and $\mathcal{GL}(S)$ is a closed subset of $\mathcal{B}(S)$ (cf. [3], Theorem 3.4 for this special case). Therefore \mathcal{T}_H is a compact topology. Since $\mathcal{T} \subset \mathcal{T}_H$, it follows that \mathcal{T} is also a compact topology.

In the next theorem we summarize basic properties of minimal geodesic laminations and we also give a description of the structure of maximal geodesic laminations. The properties are all well known from Thurston's theory, and we give references for the convenience of the reader.

Theorem 2.4. (I) (Proposition A.2.1, p.142 in [10]) *Let Λ be an arbitrary geodesic lamination of S . Then $S - \Lambda$ consists of finitely many components. Let U be such a component. The completion $C(U)$ of U with respect to the metric induced by the Riemannian metric of S is a complete hyperbolic surface of finite area with geodesic boundary.*

(II) (Corollary A.2.4, p. 143 in [10] or Lemmata 4.2 and 4.3 in [3]) *Let Λ be a minimal geodesic lamination with infinitely many leaves. Then every leaf of Λ is dense in Λ . Furthermore, Λ contains a finite number of leaves which are isolated from one side. These leaves appear as boundary geodesics of some $C(U)$, where U is a component of $S - \Lambda$; they will be referred to as boundary leaves of Λ .*

(III) Theorem I.4.2.8, p. 83 in [2]) *Let Λ be an arbitrary geodesic lamination of S . Then Λ consists of the disjoint union of a finite number of minimal sublaminations of Λ together with a finite set of additional geodesics each end of which "spirals" onto a minimal lamination. Each of the additional geodesics is isolated, i.e. it is contained in an open subset of S which is disjoint from the rest of the lamination.*

In Item (III) above, the fact that an end of a geodesic spirals on a minimal sublamination Λ' of Λ means that the set of accumulation points of this end on the surface is Λ' .

The following lemma and proposition will also be used in the next section.

Lemma 2.5. *Let Λ be an infinite minimal geodesic lamination of S . Then Λ has at least two boundary leaves.*

Proof. The lift of Λ to the universal cover of S is a geodesic lamination $\hat{\Lambda}$ of the hyperbolic plane. Each component of the complement of $\hat{\Lambda}$ is an ideal polygon. The images in S of two boundary leaves of such a polygon give the desired boundary leaves of Λ . \square

Proposition 2.6 (see [2], I 4.2.14 p. 81). *The finite laminations are dense in the space of geodesic laminations equipped with the Hausdorff topology. Hence the same holds for the Thurston topology.*

3. ON THE ACTION OF A HOMEOMORPHISM OF $\mathcal{GL}(S)$

We denote by $\mathcal{O}(S)$ the set of open subsets of S and we fix an element Λ of $\mathcal{GL}(S)$. We consider the sets

$$\mathcal{O}_\Lambda(S) = \{V \in \mathcal{O}(S) : V \cap \Lambda \neq \emptyset\} \subset \mathcal{O}(S)$$

and

$$\mathcal{U}(\Lambda) = \bigcap_{V \in \mathcal{O}_\Lambda(S)} \mathcal{U}_V \subset \mathcal{GL}(S).$$

We have the following.

Lemma 3.1. *Let $\Lambda_1, \Lambda_2 \in \mathcal{GL}(S)$. Then $\Lambda_1 \subset \Lambda_2$ if and only if $\Lambda_2 \in \mathcal{U}(\Lambda_1)$.*

Proof. Assume that $\Lambda_1 \subset \Lambda_2$. For any $V \in \mathcal{O}_{\Lambda_1}(S)$, we consider the set \mathcal{U}_V . Then $\Lambda_2 \cap V \neq \emptyset$, hence $\Lambda_2 \in \mathcal{U}_V$. Therefore $\Lambda_2 \in \mathcal{U}(\Lambda_1)$.

Conversely, assume $\Lambda_2 \in \mathcal{U}(\Lambda_1)$. If Λ_1 is not a subset of Λ_2 , then there exists $x \in \Lambda_1$ with $x \notin \Lambda_2$. Since Λ_2 is a compact subset of S , there exists $\varepsilon > 0$ such that the open ball $B(x, \varepsilon)$ does not intersect Λ_2 . This implies that $\Lambda_2 \notin \mathcal{U}_{B(x, \varepsilon)}$. This is a contradiction since $\Lambda_2 \in \mathcal{U}(\Lambda_1)$. Hence $\Lambda_1 \subset \Lambda_2$. \square

Lemma 3.2. *Let $\Lambda_1, \Lambda_2 \in \mathcal{GL}(S)$. Then $\Lambda_1 \subset \Lambda_2$ if and only if $\mathcal{U}(\Lambda_2) \subset \mathcal{U}(\Lambda_1)$.*

Proof. First assume that $\Lambda_1 \subset \Lambda_2$ and let $\Lambda' \in \mathcal{U}(\Lambda_2)$. Then $\Lambda' \cap V \neq \emptyset$ for each $V \in \mathcal{O}(S)$ with $V \cap \Lambda_2 \neq \emptyset$. Therefore $\Lambda' \cap V \neq \emptyset$ for each $V \in \mathcal{O}(S)$ with $V \cap \Lambda_1 \neq \emptyset$. Therefore $\Lambda' \in \mathcal{U}(\Lambda_1)$.

Now assume that $\mathcal{U}(\Lambda_2) \subset \mathcal{U}(\Lambda_1)$. Obviously, $\Lambda_2 \in \mathcal{U}(\Lambda_2)$ and hence $\Lambda_2 \in \mathcal{U}(\Lambda_1)$. From Lemma 3.1, this implies that $\Lambda_1 \subset \Lambda_2$. \square

Lemma 3.3. *Assume that f is a homeomorphism of $\mathcal{GL}(S)$ with respect to the Thurston topology. If $\Lambda \in \mathcal{GL}(S)$, then $f(\mathcal{U}(\Lambda)) = \mathcal{U}(f(\Lambda))$.*

Proof. It suffices to show that

$$\mathcal{U}(f(\Lambda)) \subset f(\mathcal{U}(\Lambda)) \quad \forall \Lambda \in \mathcal{GL}(S).$$

Indeed, this implies

$$\mathcal{U}(f^{-1}(\Lambda)) \subset f^{-1}(\mathcal{U}(\Lambda)),$$

which implies

$$f(\mathcal{U}(f^{-1}(\Lambda))) \subset \mathcal{U}(\Lambda),$$

which implies

$$f(\mathcal{U}(\Lambda_0)) \subset \mathcal{U}(f(\Lambda_0)),$$

where $\Lambda_0 = f^{-1}(\Lambda)$. Thus, we get $f(\mathcal{U}(\Lambda_0)) \subset \mathcal{U}(f(\Lambda_0))$ for any Λ_0 in $\mathcal{GL}(S)$.

To prove the assertion, we start by

$$f(\mathcal{U}(\Lambda)) = f(\cap\{\mathcal{U}_\Lambda : V \in \mathcal{O}_\Lambda(S)\}) = \cap\{f(\mathcal{U}_V) : V \in \mathcal{O}_\Lambda(S)\}.$$

Now let V_0 be an arbitrary element of $\mathcal{O}_\Lambda(S)$. Then, Λ is in \mathcal{U}_{V_0} and $f(\Lambda)$ is in $f(\mathcal{U}_{V_0})$. But $f(\mathcal{U}_{V_0}) \in \mathcal{T}$ (that is, $f(\mathcal{U}_{V_0})$ is an open set of Thurston's topology). Therefore, there exist $V_1, \dots, V_n \in \mathcal{O}_{f(\Lambda)}(S)$ such that

$$\cap_{1 \leq i \leq n} \mathcal{U}_{V_i} \subset f(\mathcal{U}_{V_0}).$$

Therefore, we have

$$\cap\{\mathcal{U}_V : V \in \mathcal{O}_{f(\Lambda)}(S)\} \subset f(\mathcal{U}_{V_0}),$$

which implies that for every $V_0 \in \mathcal{O}_\Lambda(S)$, we have

$$\mathcal{U}(f(\Lambda)) \subset f(\mathcal{U}_{V_0}).$$

Finally, we obtain

$$\mathcal{U}(f(\Lambda)) \subset \cap\{f(\mathcal{U}_V) : V \in \mathcal{O}_\Lambda(S)\}$$

which implies $\mathcal{U}(f(\Lambda)) \subset f(\mathcal{U}(\Lambda))$. \square

From the above lemmata, we obtain the following:

Corollary 3.4. *Assume that f is a homeomorphism of $\mathcal{GL}(S)$ with respect to the Thurston topology and let $\Lambda_1, \Lambda_2 \in \mathcal{GL}(S)$. Then $\Lambda_1 \subset \Lambda_2$ implies that $f(\Lambda_1) \subset f(\Lambda_2)$.*

Proof. From Lemma 3.2, the inclusion $\Lambda_1 \subset \Lambda_2$ implies that $\mathcal{U}(\Lambda_2) \subset \mathcal{U}(\Lambda_1)$. Since f is a bijection, we have $f(\mathcal{U}(\Lambda_2)) \subset f(\mathcal{U}(\Lambda_1))$. From Lemma 3.3 we get $\mathcal{U}(f(\Lambda_2)) \subset \mathcal{U}(f(\Lambda_1))$ which implies again, by Lemma 3.2, that $f(\Lambda_1) \subset f(\Lambda_2)$. \square

Lemma 3.5. *Let f be a homeomorphism of $\mathcal{GL}(S)$ with respect to the Thurston topology. Then f sends a maximal (respectively minimal) geodesic lamination of S to a maximal (respectively minimal) geodesic lamination of S .*

Proof. Let Λ be a maximal geodesic lamination of S . If $f(\Lambda)$ is not maximal then there is a maximal geodesic lamination Θ such that $f(\Lambda) \subsetneq \Theta$. Let $\Lambda' = f^{-1}(\Theta)$. Then, by Corollary 3.4, we have $\Lambda \subsetneq \Lambda'$ which contradicts the maximality of Λ .

Likewise, let Λ be a minimal geodesic lamination of S . If $f(\Lambda)$ is not minimal, then there exists a lamination Θ such that $\Theta \subsetneq f(\Lambda)$. Let $\Lambda' = f^{-1}(\Theta)$. Then, from Corollary 3.4 again, we have $\Lambda' \subsetneq \Lambda$, which contradicts the minimality of Λ . \square

From Theorem 2.4 (III), every $\Lambda \in \mathcal{GL}(S)$ has a finite number of sublaminations. Thus, we give the following definition.

Definition 3.6. Let $\Lambda \in \mathcal{GL}(S)$. A *chain of sublaminations* of Λ is a finite sequence (Λ_i) , $i = 0, 1, \dots, n$ of sublaminations of Λ such that $\emptyset \neq \Lambda_n \subsetneq \Lambda_{n-1} \subsetneq \dots \subsetneq \Lambda_1 \subsetneq \Lambda_0 = \Lambda$. We denote such a chain by \mathcal{C}_Λ . The integer n will be called the *length* of \mathcal{C}_Λ and will be denoted by $l(\mathcal{C}_\Lambda)$.

A chain of sublaminations \mathcal{C}_Λ will be called *maximal* if its length is maximal among all chains of sublaminations of Λ .

The length of a maximal chain of sublaminations \mathcal{C}_Λ of Λ depends only on Λ . Therefore the number $l(\mathcal{C}_\Lambda)$ will be referred to as the length of Λ and will be denoted by $\text{length}(\Lambda)$.

Lemma 3.7. *Let f be a homeomorphism of $\mathcal{GL}(S)$ with respect to the Thurston topology and let $\Lambda_n \subsetneq \Lambda_{n-1} \subsetneq \dots \subsetneq \Lambda_1 \subsetneq \Lambda_0 = \Lambda$ be a maximal chain of sublaminations of Λ . Then $f(\Lambda_n) \subsetneq f(\Lambda_{n-1}) \subsetneq \dots \subsetneq f(\Lambda_1) \subsetneq f(\Lambda_0) = f(\Lambda)$ is a maximal chain of sublaminations of $f(\Lambda)$ and $\text{length}(\Lambda_k) = \text{length}(f(\Lambda_k))$ for each $k = 0, 1, \dots, n$.*

Proof. The proof follows immediately from Corollary 3.4. \square

We call a *generalized pair of pants* a hyperbolic surface which is homeomorphic to a sphere with three holes, a hole being either a geodesic boundary component or a cusp.

Now we can prove the following proposition.

Proposition 3.8. *Let f be a homeomorphism of $\mathcal{GL}(S)$ with respect to the Thurston topology. Then,*

- (1) *f sends any maximal finite lamination which contains a collection of curves that decompose S into generalized pair of pants to a maximal finite lamination that has the same property.*
- (2) *f sends any laminations whose leaves are all closed to a lamination having the same property. Furthermore if such a lamination Λ has k components then $f(\Lambda)$ has also k components.*
- (3) *f sends finite laminations to finite laminations.*

Proof. (1) Let Λ be a maximal geodesic lamination. From Theorem 2.4, $S - \Lambda$ consists of finitely many open components.

Claim 1: *Let U be a component of $S - \Lambda$. Then, the completion $C(U)$ of U is a hyperbolic surface which is isometric either to a hyperbolic ideal triangle or to a surface of genus 0 with a cusp and an open geodesic as boundary. The latter will be referred to as a cusped hyperbolic monogon ; it is obtained from a hyperbolic ideal triangle by gluing together two sides of this ideal triangle.*

Proof of Claim 1. The surface $C(U)$ is a complete hyperbolic surface of finite area. Therefore $C(U)$ has finitely many components which are either closed geodesics or open geodesics. We may easily verify that if $C(U)$ is not of the type described in the claim then the lamination Λ would not be maximal because we could add open geodesics l_i to Λ and construct a lamination $\Lambda' \supsetneq \Lambda$. This proves Claim 1.

Now let $\lambda_1, \dots, \lambda_n$ be the leaves of Λ which are boundary geodesics of the completion $C(U)$ of some component U of $S - \Lambda$. The leaves λ_i can be of two types:

- (i) an open geodesic of S which is an isolated leaf of Λ ;
- (ii) an open geodesic of S which is a leaf isolated from one side in Λ .

A geodesic of type (ii) appears as a boundary leaf of a minimal infinite sublamination of Λ . Among the $\{\lambda_i\}$, we may assume, without loss of generality, that $\lambda_1, \dots, \lambda_k$ are the isolated leaves of Λ , for $0 \leq k \leq n$. From Theorem 2.4, it follows that if Λ is a finite maximal geodesic lamination then $k = n$ and that if Λ is a maximal infinite geodesic lamination which is also minimal then $k = 0$.

To the leaves $\lambda_1, \dots, \lambda_n$ of Λ we add the leaves c_1, \dots, c_m of Λ which are simple closed geodesics, if there exist any. Let $A_\Lambda = \{\lambda_1, \dots, \lambda_n, c_1, \dots, c_m\}$.

We define a *generating set* for a geodesic lamination to be a set $\mathcal{A} = \{\mu_1, \dots, \mu_k\}$ of leaves of Λ such that the union of the closures of the leaves that belong to \mathcal{A} is the lamination Λ . It follows from Theorem 2.4 that every geodesic lamination on S has a finite generating set.

Our terminology is motivated by Claim 2 that follows now.

Claim 2: *The set $A_\Lambda = \{\lambda_1, \dots, \lambda_n, c_1, \dots, c_m\}$ is a generating set for Λ and any proper sublamination of Λ is the closure of some proper subset of A_Λ .*

Proof of Claim 2. The claim follows immediately from the definition of A and from Theorem 2.4 (III).

It is well-known and easy to see, using an Euler characteristic count, that the maximum number of pairwise disjoint simple closed geodesics in S is equal to $3g - 3 + b$ and that these geodesics cut S into $2g - 2 + b$ hyperbolic generalized pairs of pants. It is also easy to see that the maximum number of open geodesics l_i of S which decompose S into hyperbolic ideal triangles is equal to $6g - 6 + 3b$.

Claim 3: If Λ is a maximal finite geodesic lamination that contains a generalized pair of pants decomposition P then a maximal chain of sublaminations of Λ has length $9g - 9 + 3b$.

Proof of Claim 3. The lamination Λ contains $3g - 3 + b$ simple closed geodesics, say c_1, \dots, c_{3g-3+b} , which cut S into generalized pairs of pants, and additional open isolated geodesics, say $\lambda_1, \dots, \lambda_r$, such that each λ_i spirals about some c_j . We may add to λ_i open geodesics λ'_i , which from one direction about to a cusp and from the other direction spiral about some c_j , such that all the geodesics λ_i and λ'_i decompose S into hyperbolic ideal triangles. Since the total number of λ_i and λ'_i is equal to $6g - 6 + 3b$ we deduce that the number r of λ_i is equal to $6g - 6 + 2b$. Therefore the set $A_\Lambda = \{\lambda_1, \dots, \lambda_{6g-6+2b}, c_1, \dots, c_{3g-3+b}\}$ is a generating set of Λ . From Claim 2, a chain of sublaminations $\Lambda_n \subsetneq \Lambda_{n-1} \subsetneq \dots \subsetneq \Lambda_1 \subsetneq \Lambda_0 = \Lambda$ is maximal if and only if for each $k = 1, \dots, n$, $\Lambda_k - \Lambda_{k-1}$ is a single leaf belonging to A_Λ . Such a sequence Λ_i can be constructed as follows: From $\Lambda_0 = \Lambda$ we first remove, one by one, all leaves λ_i ; after removing all these leaves we continue removing, one by one, all leaves c_j . Obviously $n = 9g - 9 + 3b$.

Claim 4: Let Λ be a maximal finite geodesic lamination which does not contain a generalized pants decomposition. Then $\text{length}(\Lambda) < 9g - 9 + 3b$.

Proof of Claim 4. Since Λ does not contain a generalized pants decomposition it follows that if c_1, \dots, c_k are the closed geodesics in Λ , then k is strictly smaller than $3g - 3 + b$. Now as in the proof of Claim 3, we may prove that Λ contains additional open geodesics $\lambda_1, \dots, \lambda_{6g-6+2b}$ which spiral about the c_j 's. Obviously, a maximal chain \mathcal{C}_Λ of sublaminations of Λ has length less than $9g - 9 + 3b$.

Claim 5: Let Λ be a maximal infinite geodesic lamination. Then $\text{length}(\Lambda) < 9g - 9 + 3b$.

Consider a maximal chain of sublaminations of Λ , say $\Lambda_k \subsetneq \Lambda_{k-1} \subsetneq \dots \subsetneq \Lambda_1 \subsetneq \Lambda_0 = \Lambda$. Let $A_\Lambda = \{\lambda_1, \dots, \lambda_n, c_1, \dots, c_m\}$ be a generating set of Λ , where each λ_i is an open geodesic and each c_i is a closed geodesic, and we assume that the generating set A_Λ is *minimal* in the sense that no proper subset of A_Λ is a generating set of Λ .

First, we prove that $m < 3g - 3 + b$. We know that $m \leq 3g - 3 + b$. If $m = 3g - 3 + b$ then the closed geodesics cut S into generalized pairs of pants. This implies that a minimal sublamination, say Λ' , of Λ with infinitely many leaves must be in the interior of a generalized pair of pants. But it is easy to see that such a sublamination Λ' does not exist. Therefore $m < 3g - 3 + b$.

Second, we prove that $n \leq 6g - 6 + 2b$. By Theorem 2.4, the leaves $\lambda_1, \dots, \lambda_n$ are either isolated open leaves or boundary leaves. Since Λ is maximal, for each component U of $S - \Lambda$ the completion $C(U)$ is either a hyperbolic ideal triangle or a cusped hyperbolic monogon. The area of every such surface is equal to π , therefore, from the Gauss-Bonnet theorem the number of components U is equal to $4g - 4 + 2b$. Now, each hyperbolic ideal triangle has three sides and each cusped hyperbolic monogon has one side. On the other hand the number of cusped hyperbolic monogons is equal to b . Therefore the total number of sides of $C(U)$ is $3(4g - 4 + 2b) - 2b = 12g - 12 + 4b$. Now if a leaf λ_i is isolated it belongs exactly to two components $C(U)$. If a leaf λ_i is not isolated then it belongs to a minimal sublamination of Λ , say K_i . From Lemma 2.5, K_i contains at least two boundary leaves and we take λ_i to be one of them. Therefore, among all the sides of the given $C(U)$, at least two of them are boundary leaves of K_i . This implies that $n \leq \frac{12g-12+4b}{2} = 6g - 6 + 2b$. Assume that $\lambda_1, \dots, \lambda_r \in A_\Lambda$, $0 \leq r < n$ are isolated leaves and $\lambda_{r+1}, \dots, \lambda_n \in A_\Lambda$ are isolated from one side. Every λ_s with $s > r$ belongs to a unique minimal infinite sublamination Λ'_s of Λ .

Now the maximal chain of sublaminations $\Lambda_k \subsetneq \Lambda_{k-1} \subsetneq \dots \subsetneq \Lambda_1 \subsetneq \Lambda_0 = \Lambda$ of Λ is constructed as follows: We pass from Λ_{i-1} to Λ_i by removing all the isolated open geodesics $\lambda_i \in A_\Lambda$ with $i \leq r$, then every minimal infinite sublamination Λ'_i of Λ and every closed geodesic $c_j \in A_\Lambda$. Obviously the length of Λ is less than $9g - 9 + 3b$. This finishes the proof of Claim 5.

Now, from Lemma 3.7 the homeomorphism f preserves the length of a maximal lamination Λ and this finishes the proof of (1).

To prove (2), let K be a lamination consisting of k closed geodesics. Then $\text{length}(K) = k - 1$. Consider a maximal finite geodesic lamination Λ containing a generalized pants decomposition P with $K \subset P$. We note that in a maximal chain of sublaminations \mathcal{C}_Λ of Λ only the sublamination consisting of k closed geodesics have length $k - 1$. From (1), $f(\Lambda)$ is a maximal finite geodesic lamination containing a pants decomposition. From Lemma 3.7, for each sublamination Λ_i belonging to \mathcal{C}_Λ , we have $\text{length}(\Lambda_i) = \text{length}(f(\Lambda_i))$. Therefore $f(K)$ consists of k closed geodesics.

Now we prove (3). Let K be a finite lamination. Obviously, a minimal sublamination of K consists of a single closed geodesic. Assume that $f(K)$ is not finite. Then there is an infinite minimal sublamination Λ_0 of $f(K)$. From Lemma 3.5, Λ_0 is the image of a minimal sublamination K_0 of K , via f , i.e. $f(K_0) = \Lambda_0$. But from (2), $f(K_0)$ consists of a single closed geodesic. Therefore we have a contradiction, which proves (3). \square

4. PROOF OF THEOREM 1.1

Assume that f is a homeomorphism of $\mathcal{GL}(S)$ for the Thurston topology. We need the following lemma.

Lemma 4.1. *Assume that (Λ_n) is a sequence of laminations that converges to a lamination Λ with respect to the Hausdorff topology. Assume also that (Λ_n) converges to Λ' with respect to the Thurston topology. Then $\Lambda' \subset \Lambda$.*

Proof. If Λ' is not contained in Λ then there exists a point $x \in \Lambda' - \Lambda$. Therefore there exists an open ball $B(x, \varepsilon)$ in S of center x and radius ε such that $B(x, \varepsilon) \cap \Lambda = \emptyset$. We have also that $\Lambda' \in \mathcal{U}_{B(x, \varepsilon)}$.

Now, since $\Lambda_n \rightarrow \Lambda$ with respect to the Hausdorff topology we deduce that there is $n_0 \in \mathbb{N}$ such that

$$\Lambda_n \cap B(x, \varepsilon) = \emptyset \text{ for } n \geq n_0 \text{ (*).}$$

On the other hand, $\Lambda_n \rightarrow \Lambda'$ with respect to the Thurston topology. This implies that there exists $n_1 \in \mathbb{N}$ such that $\Lambda_n \in \mathcal{U}_{B(x, \varepsilon)}$ for $n \geq n_1$ and hence $\Lambda_n \cap B(x, \varepsilon) \neq \emptyset$ for $n \geq n_1$. This gives a contradiction to the relation (*) which implies that $\Lambda' \subset \Lambda$. \square

Now note that there is a natural identification between the subset $\mathcal{CGL}(S)$ and the complex of curves $\mathcal{C}(S)$ of S . Therefore, from Proposition 3.8, f induces an automorphism on $\mathcal{C}(S)$. From [4], [5] and [6] and under the hypothesis of Theorem 1.1, we obtain a homeomorphism $h : S \rightarrow S$ such that $h_* = f$ on $\mathcal{CGL}(S)$. From Proposition 2.6, $\mathcal{FGL}(S)$ is a dense subset of $\mathcal{GL}(S)$ with respect to the Thurston topology. First we will prove that $h_* = f$ on $\mathcal{FGL}(S)$.

Composing f with $(h_*)^{-1}$, if necessary, it suffices to assume that $f = \text{id}$ on $\mathcal{CGL}(S)$ and prove that $f = \text{id}$ on $\mathcal{FGL}(S)$. To do it, we first prove two lemmas:

Lemma 4.2. *Let $\Lambda = \{\gamma, \gamma_1, \gamma_2\}$ be a geodesic lamination consisting of two disjoint simple closed geodesics γ_1, γ_2 and one open geodesic γ spiraling in one direction about γ_1 and in the other direction about γ_2 . Then $f(\Lambda) = \Lambda$.*

Proof. We take a generalized pair of pants decomposition P and a finite geodesic lamination Λ_P such that

- (1) $\Lambda \subset \Lambda_P$
- (2) the geodesics in P are leaves of Λ_P and
- (3) for each component γ of P , there are leaves of Λ_P spiraling about γ from different sides of γ and two leaves of Λ_P which spiral about γ from different sides of γ induce opposite orientations on γ .

Such a lamination Λ_P can be approximated in the Hausdorff topology and hence in the Thurston topology by a sequence C_n of simple closed geodesics, where the C_n are viewed as elements of $\mathcal{CG}\mathcal{L}(S)$. This implies that $f(\Lambda_P) = \Lambda_P$. Indeed, $C_n \rightarrow \Lambda_P$ with respect to the Hausdorff topology and hence with respect to the Thurston topology. Therefore $f(C_n) \rightarrow f(\Lambda_P)$ with respect to the Thurston topology. This implies, since f is the identity on $\mathcal{CG}\mathcal{L}(S)$, that $C_n \rightarrow f(\Lambda_P)$ with respect to the Thurston topology. From Lemma 4.1 we deduce that $f(\Lambda_P) \subset \Lambda_P$. But from Lemma 3.7 the laminations Λ_P and $f(\Lambda_P)$ have the same length. Therefore $f(\Lambda_P) = \Lambda_P$.

Assume now that $f(\Lambda) = \Lambda'$. From Proposition 3.8 and Corollary 3.4, we deduce that $\Lambda' = \{\gamma', \gamma_1, \gamma_2\}$ where γ' spirals about γ_1 and about γ_2 and we will prove that $\gamma' = \gamma$.

We can find a surface $Q \subset S$ with geodesic boundary containing the lamination Λ and which is the closure of a component of $S - P$ such that Q is one of the following types:

- (i) a torus with one boundary component, with $\partial Q = \gamma_1$ or $\partial Q = \gamma_2$;
- (ii) a pair of pants with no cusps, with $\gamma_1 \cup \gamma_2 \subset \partial Q$;
- (iii) a generalized pair of pants with a single cusp with $\partial Q = \gamma_1 \cup \gamma_2$.

First we show that $\gamma' \subset Q$. Let $P_\gamma = P \cup \{\gamma\} \subset \Lambda_P$. Then, it is not hard to show, using Corollary 2.6 and Proposition 3.8, that $f(P_\gamma) = P \cup \gamma'$. Therefore $P \cap \{\gamma'\} = \emptyset$. This implies that if γ' is not contained in Q then it is contained in some generalized pair of pants Q' with $\gamma_1, \gamma_2 \in \partial Q'$ and $\text{Int}(Q) \cap \text{Int}(Q') = \emptyset$. Thus, we may find a simple closed geodesic γ_0 of S which intersects only one of the geodesics γ, γ' . To prove the last statement we need the assumption on the topological type of S that we made in the introduction. Assume without loss of generality that $\gamma \cap \gamma_0 = \emptyset$ and $\gamma' \cap \gamma_0 \neq \emptyset$. From Corollary 3.4 the lamination $\Lambda_1 = \{\gamma, \gamma_1, \gamma_2, \gamma_0\}$ is sent to a lamination Λ'_1 which should contain the geodesics $\gamma', \gamma_1, \gamma_2, \gamma_0$. But this is impossible since $\gamma' \cap \gamma_0 \neq \emptyset$ and hence $Q' = Q$.

Now in the cases (ii) and (iii) above there is a unique leaf of Λ_P contained in Q , namely the leaf γ , which spirals about γ_1 and γ_2 . This implies that $\gamma' = \gamma$ since $f(\Lambda) = \Lambda' \subset \Lambda_P = f(\Lambda_P)$ and $\gamma' \subset Q$.

Consider now the case (i) and without loss of generality we assume that $\partial Q = \gamma_2$. In this case we assume furthermore that the lamination Λ_P which contains Λ and which satisfies the requirements (1)-(3) above is chosen such that $\Lambda_P \cap Q = \{\gamma_1, \gamma_2, \gamma, \delta\}$, where δ is a geodesic spiraling about γ_1 in both directions but from different sides of γ_1 . Therefore, there is again a unique leaf of Λ_P contained in Q , namely the geodesic γ , which spirals about γ_1 and γ_2 . Thus, we deduce again that $\gamma' = \gamma$ and Lemma 4.2 is proved. \square

Lemma 4.3. *Let $\Lambda = \{\gamma, \gamma_1\}$ be the geodesic lamination consisting of one simple closed geodesic γ_1 and one open geodesic γ spiraling in both directions about γ_1 . Then $f(\Lambda) = \Lambda$.*

Proof. First we find a surface $Q \subset S$ with geodesic boundary containing γ, γ_1 such that Q is one of the following surfaces:

- (i) a torus with one boundary component such that γ_1 is not the boundary of Q ;
- (ii) a torus with one boundary component such that $\gamma_1 = \partial Q$;

(iii) a generalized pair of pants with $\gamma_1 \subset \partial Q$.

Then, as in the proof of Lemma 4.2, we prove that $\gamma' \subset Q$.

Assume that $f(\Lambda) = \Lambda'$. From Proposition reffinite to finite and Corollary 3.4, we deduce that $\Lambda' = \{\gamma', \gamma_1\}$, where γ' spirals in both directions about γ_1 .

Now in all cases (i)-(iii) we may verify that there is a unique leaf of Λ_P contained in Q , namely the leaf γ , which spirals about γ_1 . As in Lemma 4.1, this implies that $\gamma' = \gamma$. Therefore, $\Lambda = \Lambda'$ and Lemma 4.3 is proven. \square

Now we can prove that $f = id$ on $\mathcal{FGL}(S)$. Indeed, consider $K \in \mathcal{FGL}(S)$ such that $f(K) = K' \neq K$. Then, without loss of generality, we may assume that there is a leaf γ of K such that γ is not a leaf of K' . First we remark that γ cannot be a closed geodesic since $f(\{\gamma\}) = \{\gamma\}$ and by Corollary 3.4 $f(\{\gamma\}) = \{\gamma\} \subset f(K)$. Let γ be an open geodesic and assume that γ spirals about two disjoint closed geodesics γ_1, γ_2 . (The case where γ spirals about a single closed geodesics γ_1 in both directions is treated similarly using Lemma 4.3.) Consider the lamination $\{\gamma, \gamma_1, \gamma_2\}$. By Lemma 4.2, $f(\{\gamma, \gamma_1, \gamma_2\}) = \{\gamma, \gamma_1, \gamma_2\}$ and hence $\{\gamma, \gamma_1, \gamma_2\} \subset f(K)$ by Corollary 3.4. Therefore γ is a leaf of $f(K)$, a contradiction which implies that $f(K) = K$.

Finally we will show that $f = id$ on $\mathcal{GL}(S)$. Let $\Lambda \in \mathcal{GL}(S)$. Then from Proposition 2.6 such a lamination Λ can be approximated in the Hausdorff topology and hence in the Thurston topology by a sequence F_n of finite laminations. This implies that $f(\Lambda) = \Lambda$. Indeed, $F_n \rightarrow \Lambda$ with respect to the Hausdorff and hence with respect to the Thurston topology. Therefore $f(F_n) = F_n \rightarrow f(\Lambda)$ with respect to the Thurston topology. From Lemma 4.1 we deduce that $f(\Lambda) \subset \Lambda$. Both Λ and $f(\Lambda)$ are infinite laminations. Therefore, from Theorem 2.8 (III), Λ and $f(\Lambda)$ consist of the disjoint union of a finite number of infinite minimal sublaminations with a finite set of isolated, open geodesics each end of which spiral onto a minimal sublamination. Now, if $f(\Lambda) \neq \Lambda$ then $f(\Lambda)$ must consist either of a smaller number of minimal sublamination of Λ or/and of a smaller number of isolated, open geodesics. This implies that $\text{length}(f(\Lambda)) < \text{length}(\Lambda)$ which contradicts Lemma 3.7 Therefore $f(\Lambda) = \Lambda$ and this finishes the proof of the theorem.

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