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► **To cite this version:**

Nicolas Bonnel, Pierre-François Marteau. LNA: Fast Protein Classification Using A Laplacian Characterization of Tertiary Structure. IEEE/ACM Transactions on Computational Biology and Bioinformatics, 2012, 9 Issue: 5, pp.1451 - 1458. 10.1109/TCBB.2012.64 . hal-00639663

**HAL Id: hal-00639663**

**<https://hal.science/hal-00639663v1>**

Submitted on 9 Nov 2011

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# LNA: Fast Protein Classification Using A Laplacian Characterization of Tertiary Structure

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November 9, 2011

## Abstract

### 1 Motivation:

In the last two decades, a lot of protein 3D shapes have been discovered, characterized and made available thanks to the Protein Data Bank (PDB), that is nevertheless growing very quickly. New scalable methods are thus urgently required to search through the PDB efficiently.

### 2 Results:

We present in this paper an approach entitled LNA (Laplacian Norm Alignment) that performs structural comparison of two proteins with dynamic programming algorithms. This is achieved by characterizing each residue in the protein with scalar features. The feature values are calculated using a Laplacian operator applied on the graph corresponding to the adjacency matrix of the residues. The weighted Laplacian operator we use estimates at various scales local deformations of the topology where each residue is located. On some benchmarks widely shared by the community we obtain qualitatively similar results compared to other competing approaches, but with an algorithm one or two order of magnitudes faster. 180,000 protein comparisons can be done within 1 seconds with a single recent GPU, which makes our algorithm very scalable and suitable for real-time database querying across the Web.

### 3 Introduction

Proteins are made of a sequence of amino acids (residues) that folds in a 3 dimensional structure.

Proteins having similar functional properties may have a very different primary structure, but they usually share similar tertiary structures. During the past two decades, a lot of tertiary structures have been discovered, and 3D fold protein data banks such as PDB [4] are quickly growing (PDB holds 79000 protein files as of November 2011). It is therefore crucial to be able to efficiently search through this database.

Best methods designed to compare or match protein 3D structures involve heavy computations. [16] compares  $C_\alpha$  distance matrices, [30] extendedly combines aligned fragment pairs based on local geometry, [18] compares lists of feature of primary, secondary and tertiary structures, [34, 28] maximize the TM-score which is independent of protein lengths contrary to the Root Mean Square Deviation (RMSD: [19]). [35] compares intra-molecular residue-residue relationship. [14, 22] first align secondary structure elements (SSE) and then refine the alignment to residue level. Some approaches are based on contact maps matching which is though to be NP-hard as descibed by [15]: [6, 3, 12]. [27] uses double dynamic programming on vector of  $C_\beta$  distances and is used to build the CATH [9] classification.

On the other hand, a few methods are a lot faster, nevertheless at the cost of results quality. [33] enhances BLAST [2] by using a structural alphabet and corresponding substitution matrix. [23, 24] enhance BLAST using an alphabet corresponding to residue positions in the Ramachandran plot [29]. [7] characterizes proteins as sequences of  $\alpha$  angles (dihedral angle between four consecutive  $\alpha$  carbons) and compares them by searching common fixed length patterns. [21] performs a global alignment of structural signatures.

We present in this paper a novel way for describing protein 3D structures. While Cartesian coordinates

of a residue are dependent upon a reference coordinate system and only give information about its location, differential coordinates carry information about the local topology and the orientation of local structural details. We use the norm of Laplacian coordinates of residues at different scales to encode a sequence of multivariate local deformation descriptors. The norm of the Laplacian coordinates presents the great advantage to be invariant to translation and rotation.

The Laplacian operator have been used in various methods, mainly for 3D computer vision and 3D modeling. [25] performs articulated shape matching. [11] uses this operator to smoothen, enhance or check the quality of triangular meshes.

Dynamic programming algorithms can then be used to match these multivariate descriptor sequences and achieve similar classification or clustering results compared to other approaches. These dynamic programming algorithms in  $O(n^2)$  time complexity require few memory and can be efficiently implemented on Graphical Processing Unit (GPU) for an even faster computation time. This allows to compare one protein structure against 180,000 others within a second time span.

In the following, we describe how we compute the Laplacian coordinates of residues and their norm. We then present two dynamic programming algorithms derived from [26] and [31] for global and local alignment respectively and compare our approach with state of the art methods on two protein datasets known to be difficult to categorize. We show that our approach outperforms the fastest know methods and provides qualitatively similar results than most of the best known approaches, with algorithms one or two order of magnitude faster.

## 4 Method and algorithm

Our method, called Laplacian Norm Alignment (LNA), characterizes proteins as a multi-dimensional sequence of quantity of deformation at different scales of the local space in which residues are embedded. More precisely, the discrete Laplace operator (discrete Laplacian) is used to measure the divergence of the gradient of residue positions in a graph that describes a protein weighted adjacency map. Laplacian coordinates of residues are resilient to translation but not to rotation. This limitation is overcome by only keeping for each residue the Euclidean norm of its Laplacian coordinates that is indeed invariant to

rotation.

The tertiary structure of a protein is usually encoded as sequence of 3D Cartesian space coordinates for each atom of each amino acid. We simplify this sequence by only keeping carbon alpha ( $C_\alpha$ ) 3D positions for each residue.

### 4.1 Computation of the Laplacian coordinates of each residue

A protein  $P$  of length  $n$  is defined by a sequence of 3D coordinates  $p_1, p_2, \dots, p_n$ . Let  $\Omega^P(\sigma)$  be the weighted adjacency matrix of an undirected graph with one node per residue for the protein  $P$ . The weight  $\Omega_{ij}^P(\sigma)$  of each edge  $e_{ij}$  for  $1 \leq i, j \leq n$  is computed using a Gaussian kernel:

$$\Omega_{ij}^P(\sigma) = \begin{cases} e^{-\frac{\|p_i - p_j\|^2}{\sigma^2}} & \text{if } |i - j| > 1 \\ 0 & \text{otherwise and in this case there is no edge} \end{cases} \quad (1)$$

Let the diagonal matrix  $D^P(\sigma)$  be defined as:

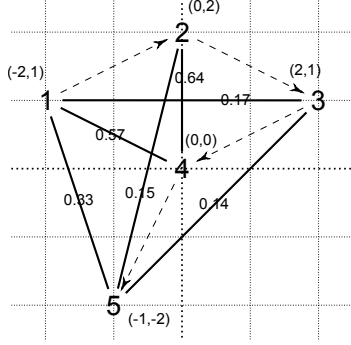
$$D_{ii}^P(\sigma) = \sum_j \Omega_{ij}^P(\sigma) \quad (2)$$

The discrete Laplace operator  $L^P(\sigma)$  of the protein  $P$  is given by:

$$L^P(\sigma) = I - D^P(\sigma)^{-1} \Omega^P(\sigma) \quad (3)$$

By applying this operator to the vector of the residue 3D Cartesian positions, we obtain a vector of 3D Laplacian coordinates for each residue. Finally, we build a sequence  $\tilde{P}(\sigma) = \tilde{p}_1(\sigma), \tilde{p}_2(\sigma), \dots, \tilde{p}_n(\sigma)$  of Euclidean norms of the Laplacian coordinates for each residue, as shown on figure 1.

Since we use a Gaussian kernel, the norm of the Laplacian coordinates is usually higher in the periphery of the protein than in the center, as shown on figure 2(b). Hence, beta sheets generate a lowly deformed surface, the norm of Laplacian coordinates of their residues is quite low. *A contrario* coils can have a high norm of Laplacian coordinates corresponding to their residues if they are found in the periphery of the protein. Alpha helices rarely go through the center of the protein: for each alpha helix, a 'side' is near the center of the protein and the other near the periphery. Consequently, consecutive residues in the chain have a norm of their Laplacian coordinates that vary: thus, alpha helices correspond to a sawtooth shape as shown on figure 2(a).



(a) Graph  $\Omega^P(3)$  with its weights (solid lines).

$$\begin{bmatrix} 1 & 0 & -0.16 & -0.53 & -0.31 \\ 0 & 1 & 0 & -0.81 & -0.19 \\ -0.55 & 0 & 1 & 0 & -0.45 \\ -0.47 & -0.53 & 0 & 1 & 0 \\ -0.53 & -0.24 & -0.23 & 0 & 1 \end{bmatrix}$$

(b) Laplacian operator  $L^P(3)$

Figure 1: Example of Laplacian computation for a protein  $P$  in 2D with  $\sigma = 3$  and  $k = 1$ . The sequence of norm of Laplacian coordinates is  $\tilde{P} = \tilde{P}(3) = 2.48, 2.39, 3.80, 1.80, 3.26$ .

## 4.2 Multi-dimensional characterization of residues

The  $\sigma$  parameter in the Gaussian kernel can be tuned such as to correspond to either a more local or more global description of the protein for each residue. A low  $\sigma$  implies that only the closest residues will be taken into account into the computation of the Laplacian coordinates of residues. On the other hand, a high  $\sigma$  implies that all other residues in the protein will be taken into account into the computation, the difference of contribution between close and far residues being lower.

The Van Der Walls radius of atoms implies that sigma should be above  $2\text{\AA}$ , and the average size of proteins implies that it should be below  $50\text{\AA}$ . As information on residue positions is lost when only keeping the norm of Laplacian coordinates, this geometric description suffers a loss of information that can reduce the discriminating capability of the alignment algorithms. This limitation can be overcome by characterizing the local topology in which each residue is embedded at different scales, while varying parameter  $\sigma$ . For instance, residues can be characterized by

2 norms of Laplacian coordinates: one corresponding to coordinates computed with  $\sigma < 10\text{\AA}$  (local description) and the other with  $\sigma > 20\text{\AA}$  (more global description).

Hence a protein  $P$  of length  $n$  can be characterized by a sequence  $\tilde{P} = \tilde{p}_1, \tilde{p}_2, \dots, \tilde{p}_n$  of  $k$ -dimensional vectors defined as follows:

$$\tilde{p}_i = [\tilde{p}_i(\sigma_1), \tilde{p}_i(\sigma_2), \dots, \tilde{p}_i(\sigma_k)], 1 \leq i \leq n \quad (4)$$

where  $\sigma_1, \sigma_2, \dots, \sigma_k$  are all in  $[2; 50]$ .

## 4.3 Sequence comparison

We propose 2 dynamic programming approaches for global and local comparison of proteins. Given two sequences  $\tilde{P}$  and  $\tilde{Q}$  of respective length  $m$  and  $n$ , both approaches perform computations in  $O(m.n)$  time, and use  $k$ -dimensional vectors for the characterization of residues.

More precisely, the similarity is computed by comparing segments of the multivariate sequence that describe each protein. Let  $\tau(\tilde{p}_i, \tilde{q}_j)$  be the dissimilarity between the  $i$ -th segment (i.e.  $i$ -th values) of  $\tilde{P}$  and  $j$ -th segment of  $\tilde{Q}$ , and defined as follows:

$$\tau(\tilde{p}_i, \tilde{q}_j) = \sum_{t=1}^k \left\{ |\tilde{p}_i(\sigma_t) - \tilde{q}_j(\sigma_t)| + |\tilde{p}_{i-1}(\sigma_t) - \tilde{q}_{j-1}(\sigma_t)| + 3 \cdot |(\tilde{p}_i(\sigma_t) - \tilde{p}_{i-1}(\sigma_t)) - (\tilde{q}_j(\sigma_t) - \tilde{q}_{j-1}(\sigma_t))| \right\} \quad (5)$$

The constant value 3 has been empirically determined during preliminary experiments as a good trade-off allowing to weight the contributions of the differences between segment extremities and the differences between segment slopes.

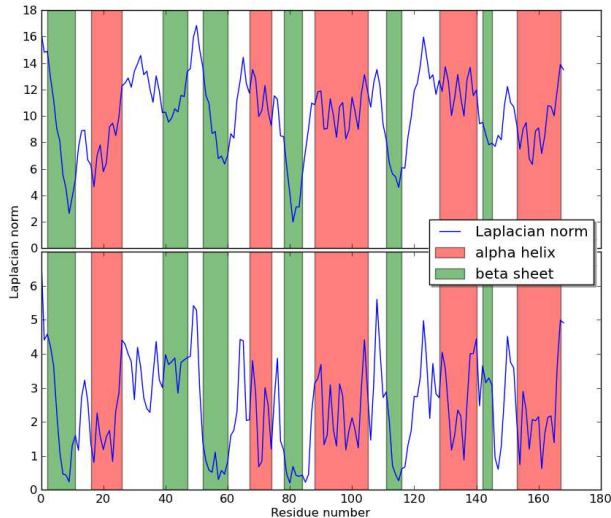
### 4.3.1 Global comparison

The global similarity  $LNA_{NWk}$  between two sequences  $\tilde{P}$  and  $\tilde{Q}$  with respective lengths  $m$  and  $n$  is evaluated using a dynamic programming algorithm derived from [26].

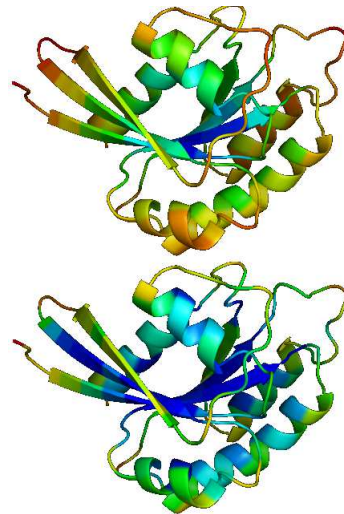
$$LNA_{NWk}(\tilde{P}, \tilde{Q}) = \frac{S(\tilde{p}_m, \tilde{q}_n)}{\sqrt{(m-1).(n-1)}} \quad (6)$$

and recursively defined as follows:

$$S(\tilde{p}_i, \tilde{q}_j) = \max \begin{cases} S(\tilde{p}_{i-1}, \tilde{q}_j) \\ S(\tilde{p}_{i-1}, \tilde{q}_{j-1}) + e^{-\nu \cdot \tau(\tilde{p}_i, \tilde{q}_j)} \\ S(\tilde{p}_i, \tilde{q}_{j-1}) \end{cases} \quad (7)$$



(a) Euclidean norms of the 3D Laplacian coordinates computed for each residue for two different  $\sigma$  values and superimposed secondary structure.



(b) Low values are coded in blue while high values are coded in red. This figure was made with PyMol [10].

Figure 2: Protein SCOP/Astral id d3raba.. Norm of Laplacian coordinates with  $\sigma = 20$  (top) and  $\sigma = 4$  (bottom).

with the following initial conditions:

$$S(\tilde{p}_0, \tilde{q}_j) = S(\tilde{p}_i, \tilde{q}_0) = S(\tilde{p}_0, \tilde{q}_0) = 0$$

The scoring function  $e^{-\nu \cdot \tau(\tilde{p}_i, \tilde{q}_j)}$  ranges in  $[0 .. 1]$  and is maximal when segment extremities have the same Laplacian coordinates norms in all  $k$  dimensions. The constant gap penalty is set to 0 so that the similarity measure we propose is normalized in  $[0 .. 1]$ . This property can be very useful to perform fast filtering for quick searching or large scale database clustering. Fast filtering can be achieved by only comparing sequences length.

### 4.3.2 Local comparison

For local comparison, the computation of  $\tau(\tilde{p}_i, \tilde{q}_j)$  is performed using normalized Laplacian norm sequences: all  $\tilde{p}_i$  and  $\tilde{q}_j$  values are divided by the average of the sequence  $\tilde{P}$  and  $\tilde{Q}$  respectively, so that the average of each Laplacian norm sequence is 1. The local similarity  $LNA_{SWk}$  between two sequences  $\tilde{P}$  and  $\tilde{Q}$  with respective lengths  $m$  and  $n$  is evaluated using a dynamic programming algorithm derived from [31].

$$LNA_{SWk}(\tilde{P}, \tilde{Q}) = \max\{S(\tilde{p}_i, \tilde{q}_j), 1 \leq i \leq m, 1 \leq j \leq n\} \quad (8)$$

and recursively defined as follows:

$$S(\tilde{p}_i, \tilde{q}_j) = \max \begin{cases} S(\tilde{p}_{i-1}, \tilde{q}_j) + g \\ S(\tilde{p}_{i-1}, \tilde{q}_{j-1}) + 1 - \nu \cdot \tau(\tilde{p}_i, \tilde{q}_j) \\ S(\tilde{p}_i, \tilde{q}_{j-1}) + g \\ 0 \end{cases} \quad (9)$$

with the following initial conditions:

$$S(\tilde{p}_0, \tilde{q}_j) = S(\tilde{p}_i, \tilde{q}_0) = S(\tilde{p}_0, \tilde{q}_0) = 0$$

Contrary to  $LNA_{NWk}$ , this measure is not normalized and ranges in  $[0 .. \min(m, n)]$  with a negative gap penalty value.

## 5 Results and discussion

Dynamic programming algorithms require low memory usage. Both  $LNA_{NWk}$  and  $LNA_{SWk}$  algorithms are implemented on GPU using the OpenCL language [20]. Experiments are performed on a Nvidia Tesla

M2050 GPU. We first use a subset of SCOP [17] to determine optimal parameters. We then evaluate our approach on ranking and classification tasks against two different datasets: COPS [13] and proteus300 [3, 12]. We also measure speed performance on the whole PDB database to see how our approach scales when applied to real databases.

## 5.1 Optimization of the parameter values

Both dynamic programming algorithms we propose require to adjust few parameters. We determine optimal sets of parameter values using a subset of SCOP downloaded on the Astral compendium website [8]. From the the 40% ID filtered subset of SCOP 1.75, among 640 families having at least 4 members, we select at random 4 proteins from each family. We then build 4 subsets (one protein from each family in each subset) and perform a 4-fold cross-validation to optimize the set of parameters. For each query set, we record the top 3 returned results and check whether they belong to the same family of the query or not. Thus, the best possible score is  $3 \times 4 \times 640 = 7680$ .

Parameter values are determined for  $LNA_{NWk}$  and  $LNA_{SWk}$  with  $k \in \{1, 2\}$ . We also performed tests with higher values for  $k$ . However, results tend to decrease in quality as a higher number of dimension is used and computational time increases.

Method	$LNA_{SW1}$	$LNA_{SW2}$	$LNA_{NW1}$	$LNA_{NW2}$
Gap	-0.53	-0.5	NA	NA
$\sigma_1$	5.7	5.0	6.1	5.4
$\sigma_2$	NA	14.5	NA	14.3
$\nu$	0.67	0.41	0.24	0.15
Score	5468	5757	5333	5523
Score (%)	71.20	74.96	69.44	71.91
Time (sec)	74	82	86	98

Table 1: Optimal parameter values found using 2560 proteins from the 40% ID filtered subset of SCOP 1.75.

Table 1 shows optimal parameter values found. For the remainder of this paper, our approaches are parameterized with these values. We also reported the time used by the GPU to compute the 4,915,200 comparisons.  $LNA_{SW2}$ , which obtains the best results, performs about 60,000 protein comparisons per second. For best performances, we recommend using  $k = 2$ .

Figure 3 plots the ROC curves for LNA approaches. The false positive rate starts to increase when about 75 % of correct answers is returned.

Table 2 shows the precision obtained according to

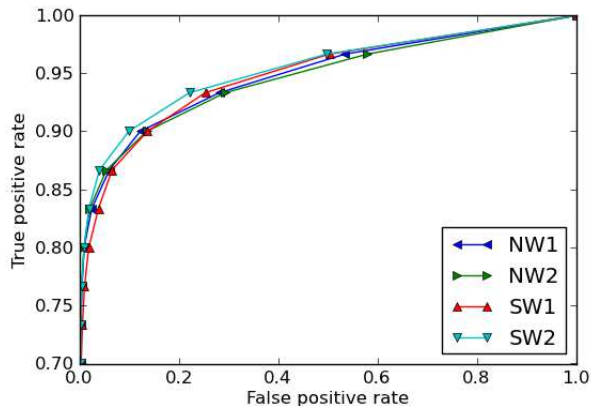


Figure 3: ROC curves for LNA on a 2560 proteins subset of the 40% ID filtered subset of SCOP 1.75.

Precision	$LNA_{SW1}$	$LNA_{SW2}$	$LNA_{NW1}$	$LNA_{NW2}$
1	124	150	0.67	0.59
0.95	50	51	0.56	0.45
0.9	43	42	0.54	0.43
0.8	37	35	0.52	0.40

Table 2: Precision according to LNA score obtained on a 2560 proteins subset of the 40% ID filtered subset of SCOP 1.75.

LNA score returned. For instance, if the comparison of two proteins using  $LNA_{NW2}$  returns a score of 0.45, then there is 95 % chance that these two proteins belong to the same SCOP family.

## 5.2 COPS

The COPS benchmark [13] is built upon the COPS classification performed by [32]. This benchmark contains 176 queries, and for each query there is 6 true positives and 1050 true negatives. We use this benchmark to compare alignment methods by plotting ROC curves. We compare our approach against FAST [35], GOSSIP [21] and Yakuza [7]. We use the default parameters for FAST and Yakuza. For GOSSIP, we use an accuracy of 10 and two similarity values (0.6 and 0.7).

Figure 4 plots the ROC curve for each approach. FAST is the best approach for this benchmark and GOSSIP is the worst. Our approach is above Yakuza using the local alignment method and below it with the global one.

Method	AUC	Max. accuracy	Recognition	Fam. clu.	Clu. err	Time
LNA <sub>SW1</sub>	0.996461	0.993378	298/300	29/30	2/300	< 1 sec (GPU - All vs All)
LNA <sub>SW2</sub>	0.998560	0.995808	298/300	29/30	1/300	< 1 sec (GPU - All vs All)
LNA <sub>NW1</sub>	0.998403	0.995028	300/300	29/30	2/300	< 1 sec (GPU - All vs All)
LNA <sub>NW2</sub>	0.999256	0.995741	300/300	30/30	0/300	< 1 sec (GPU - All vs All)
FAST	0.999697	0.997269	300/300	30/30	0/300	72 min (CPU - One vs One)
Yakuza	0.973567	0.986945	291/300	22/30	22/30	1 min 39 (CPU - One vs All)
GOSSIP-0.6	0.970459	0.987715	290/300	24/30	10/30	53 min 33(CPU - All vs All)
GOSSIP-0.7	0.941064	0.990925	290/300	24/30	16/30	20 min 44 (CPU - All vs All)
CE	0.994442	0.991483	297/300	28/30	5/300	~ 40h (CPU)
DaliLite	0.999024	0.994404	299/300	30/30	0/300	~ 9h30 (CPU)
TM-align	0.998726	0.992999	300/300	28/30	4/300	~ 4h (CPU)
A_purva+sse (7.5Å)	0.997359	0.992865	300/300	30/30	0/300	~ 23h (CPU)
Eig.7 (11Å)	0.990275	0.988071	300/300	29/30	2/300	~ 6h (CPU)

Table 3: Ranking, classification, clustering and speed performances results for the proteus 300 dataset.

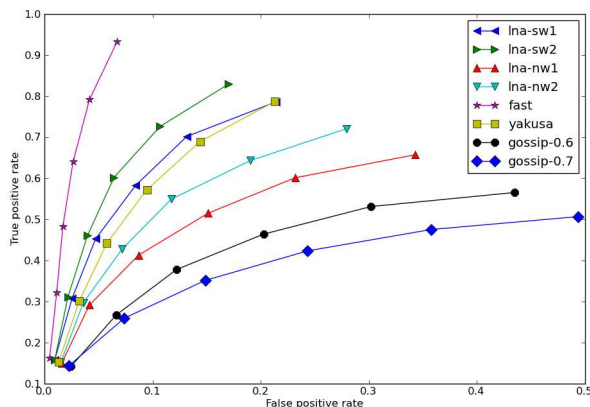


Figure 4: ROC curves for the COPS benchmark.

### 5.3 Proteus300

The proteus300 dataset was first used in [3] and later in [12]. It contains 300 protein domains evenly distributed across 30 SCOP families (27 super-families and 24 folds). The number of residues in the proteins ranges from 64 to 455. Protein length are quite homogeneous inside families. Contrary to COPS benchmark, global alignment methods should perform well on this dataset.

We measure classification, Area Under the ROC Curve (AUC) [5], maximum accuracy, clustering and speed performances. We compare our approach against the same methods than in section 5.2: [35, 21, 7] using the same settings. We also report results from [12]. Results are summarized in table 3. AUC and accuracy measures were computed using the ROCR package [1].

For each protein, we compute its similarity score

with all other proteins and assign the family of the most similar protein in the dataset (Nearest Neighbor rule). We then measure the number of correctly assigned families for the whole dataset. Yakuza and GOSSIP obtain the worst results. All other presented approaches reach similar classification performances (table 3, col. 4): all approaches have at most 3 errors on the 300 protein domains and 6 of them (LNA<sub>NW1</sub>, LNA<sub>NW2</sub>, FAST, TM-align, A\_purva+sse and Eig.7) have no error.

The similarity matrix is also used to compute AUC and accuracy (3, col. 2-3) measures. Our approach has comparable results with respect to other methods. Again, FAST obtains the best results, while we rank second on AUC criterion with the global alignment method and second on maximum accuracy criterion with the local alignment method.

We measure clustering performances at family level with the Un-weighted Pair Group Method with Arithmetic Mean (UPGMA) algorithm (3, col. 5-6)). Our approach achieves comparable results with state of the art methods. The global alignment method performs slightly better than the local one, LNA<sub>NW2</sub> achieving a perfect classification.

Finally we give the computational time required to compute the similarity matrix between all 300 proteins. Experiments were run on a 2 GHz Intel Xeon and 8GB RAM for [35, 21, 7]. For other approaches, we used results reported in [12] and obtained with a 2.8 GHz CPU Intel Pentium and 1GB RAM. *One vs One* means both proteins are preprocessed for each comparison. *One vs All* means a query is preprocessed and compared against a preprocessed database. *All vs All* means all proteins of a preprocessed database are compared between themselves.

Even with a 100x gain compared to CPU (which is usually the best performances obtained by porting an algorithm to GPU), we can see that our approach is one or two order of magnitude faster compared to other approaches, while achieving very good results. These performances are due to the  $O(n^2)$  time complexity of alignment algorithms that is used for the matching of pair of proteins.

We believe Yakuza could be as fast as our approach if ported on GPU, however we obtain better ranking and classification performances with our approach on both tested datasets. On both COPS and Proteus datasets, FAST achieves the best results but ranks fourth for the speed. On the other hand, LNA ranks second for the quality of results but is the fastest method.

## 5.4 Experiment on the whole PDB database

As of November 2011 the Protein Data Bank contains about 79,000 protein files. By only keeping sequences with a minimum length of 30, we obtain 179,094 tertiary structures of proteins, with an average length of 247. We measure in this experiment how our approach performs for a real scale problem. We measure speed for  $LNA_{SW2}$  and  $LNA_{NW2}$ . We also test various score acceptance threshold for  $LNA_{NW2}$  to measure fast filtering performances.

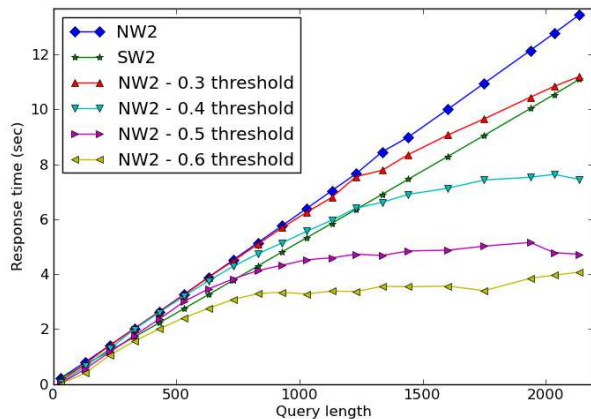


Figure 5: Response time for one query against the whole PDB database.

Figure 5 shows the response time according to query length. As shown on previous experiments,  $LNA_{NW2}$  is the slowest alignment method proposed. For all approaches, the average response time for a

200 residue length query is around 1 second, which is very suitable for a real-time Web service. Fast filtering allowed by the normalized  $LNA_{NW2}$  alignment method is very interesting when looking for high precision. It allows a speedup up to 3 times for very long protein queries. For a 230 residue length query, the reported speedup is around 34 % with a 0.6 score acceptance threshold.

## 6 Conclusions

We have proposed in this paper an approach for speeding up computation of protein structure similarity. We have presented an approach that compresses 3D information into meaningful 1D or 2D information. Our algorithm obtains similar results as state of the art methods, as shown by experiments performed using various datasets. But the key advantage of our approach is its speed. Our algorithm is one or 2 order of magnitude faster than existing methods: 180,000 protein comparisons can be done within 1 seconds with a single recent GPU, which makes our algorithm very scalable and suitable for real-time database querying across the Web. We plan to look if our approach could be improved by using primary structure information. We are also looking forward how to realize multiple sequence alignment.

## 7 Acknowledgement

We thanks the French Ministry of Research, the Brittany Region, the General Council of Morbihan and the European Regional Development Fund.

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