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## Reduced cortical thickness is associated with the glutamatergic regulatory gene risk variant DAOA Arg30Lys in schizophrenia

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Running title: DAOA Arg30Lys variant and cortical thinning in schizophrenia

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## Abstract

In light of current etiological concepts the glutamatergic system plays an essential role for the pathophysiology of the disorder offering multiple options for new treatment strategies. The DAOA gene is closely connected to the glutamatergic system and its therapeutic and pathophysiological relevance for schizophrenia is therefore intensively debated. In a further step to shed light on the role of DAOA in schizophrenia we aimed to investigate the association of the functional DAOA Arg30Lys (rs2391191) variant and cortical thickness in schizophrenia.

Cortical thickness was computed with an automated surface based technique (FreeSurfer) in 52 genotyped patients with schizophrenia and 42 healthy controls. Cortical thickness of the entire cortex was compared between risk carriers and non-risk carriers regarding the Arg30Lys polymorphism in patients and healthy controls on bases of a node by node procedure and an automated clustering approach.

Risk carriers with schizophrenia exhibit a significantly thinner cortex in two almost inversely arranged clusters on the left and right hemisphere comprising middle temporal, inferior parietal and lateral occipital cortical areas. The clusters encompass an area of 1174 mm<sup>2</sup> (left) and 1156 mm<sup>2</sup> (right). No significant effect was observed in healthy controls.

The finding of our study that the Arg30Lys risk variant is associated with a distinct cortical thinning provides new evidence for the pathophysiological impact of DAOA in schizophrenia. The affected areas are mostly confined to cortical regions with a crucial role in the theory of mind network and visual processing, which both can be influenced via glutamatergic modulation. Our finding thus underlines the importance of DAOA and related glutamatergic processes as a putative target for therapeutic interventions in schizophrenia.

**Key words:** rs2391191; G72; schizophrenia; cortical thickness; susceptibility gene; DAOA

## 1. Introduction

In light of current etiological concepts of schizophrenia the glutamatergic system plays an essential role for the pathophysiology of the disorder offering multiple options for new treatment strategies (Javitt, 2007). Genetic findings of the recent years fundamentally broadened our knowledge of schizophrenia (O'Donovan *et al*, 2009) and particularly in view of the glutamatergic system as several identified genes are implicated to interact with the glutamatergic neurotransmission (Coyle, 2006). However, as a further translational step the identification of the exact pathophysiological mechanisms is mandatory for the development of new treatment strategies. A new emerging approach, imaging genetics, intends to identify the pathophysiological role of genetic variations by analyzing the impact on brain function and structure. In particular, schizophrenia susceptibility genes offering potential targets for new treatment strategies via their biochemical products are of special interest. The G72 gene is one of the identified susceptibility genes in schizophrenia. Its biochemical products play an important role in the glutamatergic neurotransmission through the interaction with the D-amino acid oxidase (DAAO) and was therefore identified as D-amino oxidase activator (DAOA) (Chumakov *et al*, 2002). DAOA and related glutamatergic mechanism are suggested to constitute potential targets for treatment strategies in schizophrenia (Javitt, 2007; Williams, 2009). Genetic variation in this gene was first found to be associated with schizophrenia by Chumakov *et al*. (2002) and Schumacher *et al*. (2004). A recent meta-analysis of all published association studies supported G72 as a risk gene for schizophrenia (Detera-Wadleigh and McMahon, 2006b). Furthermore, DAOA variants predicted the progression from prodromal to the first episode in schizophrenia (Mossner *et al*, 2010). The importance of DAOA gene variants has additionally been

demonstrated using functional magnetic resonance imaging (fMRI) (Jansen *et al*, 2009; Krug *et al*, 2011). A recent study of Hartz *et al*. (2010) examined the association of a four locus haplotype of DAOA including the rs2391191 SNP with longitudinal cerebral lobe volume change in schizophrenia. This association was tested between the volumes of the segregated cerebral lobes, total cerebral volume and the resulting three allele representations of the DAOA haplotype in a longitudinal design in schizophrenia. A significant association of total frontal lobe volume decrease after a period of on average three years of illness for one specific allele representation of the DAOA haplotype was detected indicating that the DAOA risk variants might be associated with progressive cerebral changes in schizophrenia.

The DAOA rs2391191 variant (M15) SNP showed one of the strongest associations with schizophrenia in a previous meta-analysis (Detera-Wadleigh and McMahon, 2006b). It causes an arginine-to-lysine substitution at codon 30 (Arg30Lys) and might therefore be of putative functional relevance (Donohoe *et al*, 2007). Recently, it has been shown that the DAOA SNP rs2391191 variant is associated with a significantly lower visual memory capacity in patients with a psychotic disorder (Soronen *et al*, 2008). Additionally, the rs2391191 SNP variant is associated with an impaired verbal memory in schizophrenia (Donohoe *et al*, 2007). However, the pathophysiological basis for the functional deficits impacted by the rs2391191 SNP remains unclear.

Surface based MRI studies investigate cortical shape parameters in terms of cortical thickness and curvature. Surface based MRI studies showed that cortical thickness is reduced in chronic (Kuperberg *et al*, 2003; Nesvag *et al*, 2008; Schultz *et al*, 2010a) and first episode schizophrenia (Narr *et al*, 2005; Schultz *et al*, 2010b) in mainly fronto-temporal, but also parietal regions. ROI analyses demonstrated cortical thinning in key regions of the medial surface including the anterior cingulum (Fornito

*et al*, 2008) and the entorhinal cortex (Schultz *et al*, 2010c). As cortical thickness is assumed to reflect neurodevelopmental mechanisms (Hilgetag and Barbas, 2006), cortical thickness might be a suitable parameter for the investigation of a potential association of genetic variants and brain morphology. Thus, cortical thinning might constitute one underlying pathomechanism of the DAOA Arg30Lys risk variant. However, the association of the DAOA Arg30Lys coding variant and cortical thickness has to our best knowledge not been explored.

### **1.1 Objectives and Hypothesis**

Based on these considerations, we compared whole brain cortical thickness computed with a surface based MRI method between the different groups in patients and healthy controls according to the genotype status, to identify a potential association of G72 SNP rs2391191 and cortical thickness in patients with schizophrenia and healthy controls. According to the meta - analysis of Detera-Wadleigh (2006a) the A allele represents the risk allele in schizophrenia. Therefore, we hypothesized carriers of the A allele (AA/AG) exhibiting a thinner cortex both in patients with schizophrenia and healthy controls in comparison to homozygous G carriers (GG) reflecting a potential pathomechanism of this DAOA risk variant.

## **2. Subjects and methods**

### **2.1 Participants**

We studied 52 patients with schizophrenia and 42 healthy controls. All participants were right-handed (Annett, 1967). Diagnoses were established by a clinical psychiatrist (M. R.) based on the Structured Clinical Interview for DSM-IV and were confirmed by 2 independent psychiatrists (R. S. and C. Chr. S.). All patients met DSM-IV criteria for schizophrenia and had no second psychiatric diagnosis. They were on stable medication, mostly with second-generation antipsychotics.

Healthy volunteers were screened for major medical, neurological and psychiatric history. None of the healthy subjects had a current or history of a psychiatric disorder and first-degree relatives with a psychiatric disorder according to DSM-IV. Exclusion criteria for all participants were neurological disease or damage, medical disorders potentially influencing neurocognitive function. All participants were caucasian. All participants gave written informed consent to the study approved by the Ethics Committee of the Friedrich-Schiller University. Sociodemographic and psychopathological data are given in Table 1.

<Insert table 1>

### **2.2 MRI-Acquisition**

We acquired high-resolution anatomical T1-weighted scans in a 1.5 T Siemens Magnetom Vision whole-body system using a three-dimensional spoiled gradient echo sequence: 1 mm sagittal slices with TR=15 ms, TE=5 ms, flip angle 30°, FOV=256, matrix=256 x 256, number of sagittal slices=192.

All scans were inspected for motion artefacts and a neuroradiologist confirmed absence of gross pathological findings.



### 2.3 MR scan processing and calculation of cortical thickness

We used the FreeSurfer software package (version 4.0.5, <http://surfer.nmr.mgh.harvard.edu>) for processing of images (Dale *et al*, 1999; Fischl *et al*, 1999). The implemented processing stream comprises the removal of non-brain tissue, transformation to Talairach-like space, and segmentation of gray/white matter tissue. White and gray matter boundary is tessellated and topological defects are automatically corrected. After intensity normalization, transition of gray/white matter and pial boundary is identified by detecting the greatest shift in intensity through surface deformation. The entire cortex of each subject was then visually inspected and any inaccuracies in segmentation were manually edited. After creation of the cortical representations the cerebral cortex is automatically parcellated into anatomical structures (Fischl *et al*, 2004). Cortical thickness is computed by finding the shortest distance between a given point on the estimated pial surface and the gray/white matter boundary and vice versa and averaging these two values (Fischl and Dale, 2000). As the generated cortical maps are not restricted to the voxel resolution of the original data, they are capable of detecting sub-millimeter differences between diagnostic groups. This automated approach of cortical thickness measurement have been validated against manual measurements in schizophrenia (Kuperberg *et al*, 2003).

### 2.4 Genetic analyses and identifying of subgroups

Fifty-two patients with schizophrenia and 42 healthy controls were genotyped for the G72 SNP (M15 = rs2391191). Genotyping was performed as part of a large-scale multi-center study using high throughput technology including 768 SNPs (GoldenGate assay; Illumina, San Diego) (Treutlein *et al*, 2009). Primer sequences and PCR conditions can be obtained from the authors upon request. The raw

genotypes were quality controlled by visual inspection of the genotype cluster plots. The 94 participants recruited in the study center Jena underwent structural MRI and were analyzed in the present study. To estimate the replicate error rate for quality comparison purposes we genotyped a subset of the sample twice. Two out of 94 DNA samples were randomly chosen for this purpose. For the SNPs genotyped in the framework of the multi-center study, all genotypes between duplicates were consistent (0% replicate error rate). By a standard 1 df chi-square test, there were no significant deviations from Hardy–Weinberg equilibrium for the genotype distributions of the studied sample. Based on the considerations given in the introduction section we examined only the DAOA Arg30Lys SNP with regard to a potential association with cortical thickness. Depending on the M15 genotype, patients with schizophrenia and healthy controls were divided in subgroups resulting in two genotype subgroups per diagnostic group, i.e. 2 x A/A and A/G; 2 x G/G.

## **2.5 Statistical analysis**

### **2.5.1 Statistical cortical maps**

Each cortical thickness measurement of each vertex of the subjects' surface was mapped on a common spherical coordinate system using a spherical transformation. Maps were smoothed using a Gaussian kernel of 10 mm. A general linear model controlling for the effect of age was used to estimate differences in cortical thickness between the diagnostic groups according to the genotype in patients and healthy controls separately at each vertex of the surface.

Right and left hemispheres were tested separately.

### **2.5.2 Monte carlo simulation and clustering**

As a conservative procedure for the correction of multiple comparisons a Monte Carlo simulation with 10000 iterations and cluster analysis was then performed to identify

contiguous clusters of significant cortical thickness differences between the genotype groups in patients and healthy controls ( $p < 0.05$ ).

The simulation and clustering approach is implemented in FreeSurfer and based on the AlphaSim algorithm (Ward, 2000). The significance level is obtained through a combination of a probability threshold and cluster size threshold. The Monte Carlo simulation computes the distribution of the maximum cluster size. The p values of the resulting clusters of the original data expressed as cluster wise probability (CWP) represent the probability to identify a maximum cluster of that size or larger during the simulation step. Hence, the cluster wise probability resulting from the simulation and clustering is equivalent to the overall alpha significance level.

### 3. Results

Schizophrenia patients with the AA/AG genotype in comparison to patients with the GG genotype exhibit a significantly (corrected for multiple comparisons) thinner cortex in two almost inversely arranged clusters on the left and right hemisphere. The clusters comprised parts of the middle temporal, inferior parietal and lateral occipital cortex of both hemispheres (s. figure 1). Table 2 summarizes the characteristics of the two clusters.

<Insert figure 1>

<Insert table 2>

In healthy controls the AA/AG carriers demonstrated no significant differences in cortical thickness in comparison to healthy GG carriers.

We additionally performed a genotype x diagnosis interaction analysis with the same four groups as defined for the comparisons of cortical thickness between the genotype groups (HC: AA/AG; HC: GG; SZ: AA/AG; SZ: GG) and applying the same statistical conditions (Monte Carlo simulation, automated clustering). We did not detect a significant difference between the diagnostic groups regarding the effect of the genotypes.

## 4. Discussion

In order to identify a potential pathomechanism of the glutamatergic regulatory gene variant DAOA Arg30Lys, the present study is the first to specifically investigate the association of the DAOA Arg30Lys variant on cortical morphology in schizophrenia and healthy controls. Our data demonstrate that this variant has a significant impact on cortical thickness in schizophrenia, but not in healthy controls. In accordance to our hypotheses based on the results of the meta-analysis of the G72 gene (Detera-Wadleigh and McMahon, 2006b) A carriers with schizophrenia demonstrated a thinner cortex in an area covering the temporo parietal junction and lateral occipital regions on both hemispheres in comparison to GG carriers.

Although the recent meta – analysis from SchizophreniaGene Database of Schizophrenia Research Forum (<http://www.schizophreniaforum.org/res/sczgene>), which did not include family-based association data, did not point into direction of a significant association of the Arg30Lys SNP and schizophrenia, our data implicate that the rs2391191 variant has a distinct impact on cerebral morphology in schizophrenia and might influence pathophysiological processes relevant for the disorder.

### 4.1 Reduced cortical thickness in risk carriers and potential functional implications: the theory of mind network and visual processing deficits in schizophrenia

Beyond other cortical regions comprising the medial prefrontal (Vollm *et al*, 2006) and the posterior cingulate cortex (Gobbini *et al*, 2007) the temporo-parietal junction has been indicated to be an anatomical node of the ToM network (Andreasen *et al*, 2008; Brune *et al*, 2008). Impairments of ToM network are confirmed by two meta-analyses

(Bora *et al*, 2009; Sprong *et al*, 2007) and can be regarded as a trait marker for schizophrenia. In the present study cortical thinning of the temporo-parietal junction has been demonstrated in G72 risk allele carriers. Thus, this subtype of schizophrenia patients might be predominantly vulnerable to develop ToM deficits. This assumption would additionally strengthen the view that the phenotypical heterogeneity of schizophrenia might be reduced by defining endophenotypical and genetically subgroups. It should be emphasized, however, that this assumption is very speculative and further, particularly multimodal, studies are needed to elucidate this issue.

In addition to the observed reduced cortical thickness of the temporo-parietal junction the clusters of cortical thinning in G72 risk carriers comprise inferior parietal and lateral occipital areas. These cortical regions are mostly confined to parts of the “dorsal stream” of visual processing in humans (Ettliger, 1990). Visual processing deficits are core parts of the pathophysiology in schizophrenia (Coleman *et al*, 2009; Kantrowitz *et al*, 2009). fMRI studies revealed that lateral occipital areas, the inferior parietal cortex and the human motion selective cortex (hMT) demonstrate a disturbed activation during visual processing (Green *et al*, 2005). Studies in unaffected siblings of schizophrenia patients illustrated that visual processing deficits are related to genetic liability (Green *et al*, 2006). Interestingly, it has also been demonstrated that theory of mind impairments in schizophrenia are associated with deficits of motion perception as an upward consequence of altered visual processing (Kelemen *et al*, 2005). Visual processing has been shown to be modulated via the glutamatergic system (Javitt, 2009). In accordance, a study of Uhlhaas *et al*. (2007) demonstrated visual perception deficits in ketamine users similar to those deficits found in

schizophrenia. The G72 gene investigated in the present study is strongly connected to the glutamatergic system.

#### **4.2 Functional role of G72 and its impact as a potential target for therapeutic interventions in schizophrenia**

The G72 gene is directly involved in the cerebral glutamatergic neurotransmission. The product of G72 (DAOA) interacts with D - amino acid oxidase (DAAO), which degrades D-amino acids in the human brain. The activity of DAAO is increased in schizophrenia (Habl *et al*, 2009; Madeira *et al*, 2008). These findings would imply a relative cerebral deficit of D-amino acids such as D-Serine, which acts as modulator at the N-methyl-D-aspartate (NMDA) ionotropic glutamate receptor. Indeed, decreased D-Serine serum levels have been shown in schizophrenia (Hashimoto *et al*, 2003). The D-amino acid oxidase activator (DAOA) might act as negative effector for the DAAO (Sacchi *et al*, 2008). Thus, a genetically impaired and inactive DAOA would lead to hyperactivity of DAAO. Subsequently, hyperactivity of DAAO may result in decreased D-Serine levels. Decreased D-Serine levels induce a hypofunction of the glutamatergic system via understimulation of NMDA receptors. Dysfunction of NMDA receptors is a key pathophysiology of schizophrenia (Coyle and Tsai, 2004; Coyle *et al*, 2003; Javitt, 2002). Accordingly, clinical trials demonstrated that the add-on treatment with D-Serine has a significant beneficial effect on negative and cognitive symptoms in schizophrenia (Heresco-Levy *et al*, 2005; Tsai *et al*, 1998).

In accordance to current neurodevelopmental models of schizophrenia (du Bois and Huang, 2007) the present study underlines the relevance of a glutamatergic regulatory gene variant for cortical thickness in regions relevant for schizophrenia. This corroborates the assumption, that glutamatergic dysregulation is associated with

cortical thinning processes as an underlying neuropathological feature of schizophrenia.

### **4.3 Potential cerebral mechanism compensating for the effect of the G 72 risk variant in healthy controls**

The biological effect of the amino acid change caused by the Arg30Lys variant can be assumed to be present in both healthy subjects and patients. Hence, we hypothesized finding cortical thickness differences between the genotype groups in both patients with schizophrenia and healthy controls. In the present study, we did not find an impact of the risk genotype on cortical thickness in healthy controls.

Various cerebral mechanisms might explain this finding. Cortical thinning originated from different processes of brain development and at differing time points. In early stages of brain development cortical thickness is impacted by neuronal migration, forming of cortical layers and building of cortico-cortical connections (Hilgetag and Barbas, 2005). Processes of net synaptic pruning occurring in the adolescence period (Huttenlocher and Dabholkar, 1997) also have an influence on cortical thickness. Later in life age related processes additionally lead to cortical thickness reduction, which might be accelerated in schizophrenia (Hulshoff Pol *et al*, 2002). At which stage a distinct G72 risk genotype impacts these cortical processes is speculative. However, it gets obvious that at every stage compensatory mechanism (i.e. lower synaptic pruning, preservation of accelerated age related processes) might lead to unaltered cortical morphology in healthy risk carriers so that the critical point of vulnerability to manifest the disorder is not reached.

### **4.4 Potential limitations of the study**

1. 5 T MRI was used in the present study to explore the association of the Arg30Lys polymorphism and cortical thickness in schizophrenia and healthy controls



demonstrating a significant association of the Arg30Lys risk variant and cortical thinning in schizophrenia. As higher magnetic field strength might be more sensitive for the detection of structural alterations (Di Perri *et al*, 2009) we cannot definitely exclude that a significant association of the Arg30Lys variant and cortical thickness in healthy controls might get unveiled with the use of higher scanner field strength. Thus, further studies are needed to shed more light on this issue.

#### **4.5 Concluding remarks**

The principal finding of our study that the glutamatergic regulatory gene variant DAOA Arg30Lys is associated with a distinct cortical thinning demonstrates that this variant has an impact on brain morphology in functional relevant areas in schizophrenia. The affected areas are mostly confined to cortical regions constituting central nodes in the theory of mind network and higher visual processing. Both processes might be influenced via glutamatergic modulation. Our finding thus adds new evidence for the pathophysiological relevance of DAOA in schizophrenia and might open new perspectives for DAOA and related glutamatergic mechanisms as a putative target for therapeutic interventions.

### **Disclosure Statement**

The authors declare that HS received compensation from Otsuka and Lilly in 2008.

All of the authors reported no financial, personal or other relationships with other people or organizations that could inappropriately influence, or be perceived to influence, their work.

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## Titles and legends to figures

### Figure 1

Cortical statistical maps displaying cortical thickness differences in schizophrenia patients between carriers of AA/AG and GG. Displayed is the lateral surface of both hemispheres, pial and inflated view, p-values corrected for multiple comparison

## Tables

**Table 1**

Demographic and clinical data. Data expressed as mean (SD). P-values resulting from Mann – Whitney U tests. n.a.: Not applicable; PANSS: Positive and Negative Syndrome Scale (Kay, et al. 1987); CPZ: Chlorpromazine

	genetic groups		p
	GG	AA/AG	
<b>healthy controls</b>			
N	16	26	
age (y)	26.1 (5.7)	27.9 (8.7)	0.745
<b>patients</b>			
N	26	26	
age (y)	28.4 (10.3)	30.4 (10.4)	0.430
PANSS total	75.1 (26.5)	66.9 (20.7)	0.635
PANSS pos	18.2 (8.4)	15.9 (7.2)	0.764
PANSS neg	17.5 (6.2)	17.1 (6.2)	0.984
Duration of illness (y)	2.7 (3.0)	3.8 (6.8)	0.678
CPZ equivalents (mg)	576.5 (412.8)	460.9 (293.1)	0.405



**Table 2**

Significant clusters for the left and right hemisphere, cluster size in mm<sup>2</sup>, p-values from the Monte carlo simulation and clustering as cluster wise probability (CWP), resulting from the vertex wise comparison of cortical thickness between the two patients groups

<b>Cortex area</b>	<b>Size in mm<sup>2</sup></b>	<b>Talx</b>	<b>Taly</b>	<b>Talz</b>	<b>CWP</b>
Left middle temporal - inferior parietal - lateral occipital	1174.9	-38.9	-61.5	30.7	0.0108
Right middle temporal - inferior parietal - lateral occipital	1156.1	37.8	-58.3	15.3	0.0179

Figure 1

