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Topological operators for grayscale image processing

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ABSTRACT

In a recent work, we introduced some topological notions for grayscale images based on a cross-section topology. In particular, the notion of destructible point, which corresponds to the classical notion of simple point, allows us to build operators that simplify a grayscale image while preserving its topology. In this paper, we introduce new notions and operators in the framework of the cross-section topology. In particular, the notion of λ -destructible point allows us to selectively modify the topology, based on a local contrast parameter λ . By combining homotopic and non-homotopic operators, we introduce new methods for filtering, thinning, segmenting and enhancing grayscale images.

Keywords: digital topology, cross-section topology, segmentation, grayscale skeleton, thinning, filtering, crest restoration.

1. INTRODUCTION

Topological properties of binary images have been extensively studied in the framework of digital topology,¹ and many algorithms based on these notions are now routinely used for 2D and 3D image processing.

For grayscale images, i.e. maps from \mathcal{Z}^2 into \mathcal{Z} , a fuzzy digital topology has been proposed.² Another approach is based on the decomposition of a map into its different sections^{3,4}: let F be a map from \mathcal{Z}^2 into \mathcal{Z} , the section of F at level k is the set F_k of points x in \mathcal{Z}^2 such that $F(x) \geq k$. Following this approach, a transformation is homotopic, i.e. preserves the topology of F , if it preserves the topology, in the binary sense, of every section F_k . This requirement may seem a very strong one: in particular, it implies that every minimum and maximum of F must remain at its original grayscale value. In fact, we show in this paper that this preservation of both the topological and the grayscale information allows us to build transformations that selectively modify the topology in a controlled manner. By combining homotopic and non-homotopic operators, we introduce methods for filtering, thinning, segmenting and enhancing grayscale images.

Skeletonization and thinning are major applications of topology in image processing. A great number of thinning algorithms for binary images have been developed.⁵ The use of this kind of image assumes a prior segmentation which implies a loss of information. Some attention has been given to the development of thinning algorithms acting directly over grayscale images. Dyer and Rosenfeld⁶ proposed an algorithm based on a notion of weighted connectedness. The thinning is done directly over the graylevel values of the points but, as pointed out in the same paper,⁶ the connectivity of objects is not always preserved. Thinning based on a fuzzy framework for image processing has been proposed^{2,7} but also in this case object connectedness is not ensured in the final skeleton. More recent work^{8,9} use an implicit image binarization into a background and a grayscale foreground. The graylevel information guides the removal of points of the foreground that are simple, in the binary sense. This technique makes it possible to obtain certain desired geometric properties. In this paper, we propose grayscale thinning methods that guarantee the preservation of the cross-section topology, based on the definition of destructible points,⁴ i.e., points whose grayscale value can be lowered without changing the topology. We also propose filtered thinning methods that allow to selectively simplify the topology, based on a local contrast parameter λ . To achieve this simplification, we introduce the notion of λ -destructible point which is more flexible than the notion of destructible point.

When dealing with thin and elongated objects in images, e.g. images obtained after using an edge detection operator, we often have to reconnect some lines that have been broken by noise. If we consider a grayscale image as a topographic relief, a thin object may be seen as a crest line, and solving this reconnection problem may be done by detecting and eliminating narrow passes in the crest lines. In the framework of the cross-section topology, we propose a crest restoration method which is both rigorously defined and efficient.

2. TOPOLOGY OF BINARY IMAGES

In this section, we recall the basic notions of digital topology for binary images.¹ We denote by \mathcal{Z} the set of relative integers. A point $x \in \mathcal{Z}^2$ is defined by (x_1, x_2) with $x_i \in \mathcal{Z}$. We consider the two neighborhoods relations Γ_4 and Γ_8 defined by, for each point $x \in \mathcal{Z}^2$: $\Gamma_4(x) = \{y \in \mathcal{Z}^2; |y_1 - x_1| + |y_2 - x_2| \leq 1\}$, $\Gamma_8(x) = \{y \in \mathcal{Z}^2; \max(|y_1 - x_1|, |y_2 - x_2|) \leq 1\}$. In the following, we will denote by n a number such that $n = 4$ or $n = 8$. We define $\Gamma_n^*(x) = \Gamma_n(x) \setminus \{x\}$. The point $y \in \mathcal{Z}^2$ is n -adjacent to $x \in \mathcal{Z}^2$ if $y \in \Gamma_n^*(x)$. A n -path is a sequence of points $x_0 \dots x_k$ with x_i n -adjacent to x_{i-1} for $i = 1 \dots k$.

Let $X \subset \mathcal{Z}^2$, we denote by \overline{X} the complementary set of X . We say that two points x, y of \mathcal{Z}^2 are n -connected in X if there is an n -path in X between these two points. This defines an equivalence relation. The equivalence classes for this relation are the n -connected components of X . An object $X \subset \mathcal{Z}^2$ is said to be n -connected if it consists of exactly one n -connected component. The set composed of all n -connected components of X n -adjacent to a point x is denoted by $C_n[x, X]$.

In order to have a correspondence between the topology of X and the topology of \overline{X} , we have to consider two different kinds of adjacency for X and \overline{X} : if we use the n -adjacency for X , we must use the \overline{n} -adjacency for \overline{X} , with $(n, \overline{n}) = (8, 4)$ or $(4, 8)$.

For the sake of simplicity, we assume in the sequel that an adjacency pair has been chosen (eg. $(n, \overline{n}) = (8, 4)$) and we do not write the subscript n unless necessary.

Let $X \subset \mathcal{Z}^2$ and $x \in \mathcal{Z}^2$, the two connectivity numbers are defined as follows ($\#X$ stands for the cardinality of X):

$$T(x, X) = \#C_n[x, \Gamma_8^*(x) \cap X]; \quad \overline{T}(x, X) = \#C_{\overline{n}}[x, \Gamma_8^*(x) \cap \overline{X}].$$

We say that $x \in X$ is an *isolated point* if $T(x, X) = 0$, a *border point* if $\overline{T}(x, X) > 0$, an *interior point* if $\overline{T}(x, X) = 0$. The point $x \in X$ is *simple (for X)* if there is a bijection between the n -components of X and those of $X \setminus \{x\}$ and also between the \overline{n} -components of \overline{X} and those of $\overline{X} \cup \{x\}$. The following property allows us to locally characterize simple points^{1,10}:

$$x \in \mathcal{Z}^2 \text{ is simple for } X \subset \mathcal{Z}^2 \Leftrightarrow T(x, X) = 1 \text{ and } \overline{T}(x, X) = 1.$$

Let $X, Y \subset \mathcal{Z}^2$. The set Y is *lower homotopic to X* if $Y = X$ or Y may be obtained from X by iterative deletion of simple points. The set Y is *upper homotopic to X* if $Y = X$ or Y may be obtained from X by iterative addition of simple points. Two sets X and Y are *homotopic* if $Y = X$ or Y may be obtained from X by iterative deletions or additions of simple points.

3. CROSS-SECTION TOPOLOGY FOR GRAYSCALE IMAGES

In this section, we recall the basic definitions and properties of the cross-section topology.⁴ First, we recall some basic notions for grayscale images. A 2D grayscale image may be seen as a map F from \mathcal{Z}^2 to \mathcal{Z} . For each point $x \in \mathcal{Z}^2$, $F(x)$ is the (graylevel) value of x . We denote by \mathcal{F} the set composed of all maps from \mathcal{Z}^2 to \mathcal{Z} .

Let $F \in \mathcal{F}$ and $k \in \mathcal{Z}$, the *section of F at the level k* is the set F_k composed of all points $x \in \mathcal{Z}^2$ such that $F(x) \geq k$. Observe that a section is a set of points, i.e. a binary image. As for the binary case, if we use the n -adjacency for the sections F_k of F , we must use the \overline{n} -adjacency for the complementary sets $\overline{F_k}$, with $(n, \overline{n}) = (8, 4)$ or $(4, 8)$. Let us consider the map $-F$, called the *complementary map* of F (for each point x in \mathcal{Z}^2 , $(-F)(x) = -F(x)$). We note that the complementary sets of the sections of F are sections of $-F$. In all the examples and figures of this paper, we will choose $n = 8$ for the sections of F , thus we must use $\overline{n} = 4$ for the sections of $-F$. A non-empty connected component X of a section F_k of F is a *(regional) maximum for F* if $X \cap F_{k+1} = \emptyset$. A set $X \subset \mathcal{Z}^2$ is a *(regional) minimum for F* if it is a regional maximum for $-F$.

3.1. Homotopy

Intuitively, a transformation on F is said to be topology preserving if the topology of all the sections of F is preserved. Thus, the cross-section topology of maps (i.e. of grayscale images) may be directly derived from the topology of binary images. The following notions generalize the notion of simple point to grayscale images.

Let $F \in \mathcal{F}$, the point $x \in \mathcal{Z}^2$ is *destructible (for F)* if x is simple for F_k , with $k = F(x)$. The point $x \in \mathcal{Z}^2$ is *constructible (for F)* if x is destructible for $-F$.

We see that the grayscale value of a destructible (resp. constructible) point can be lowered (resp. raised) by 1 while preserving the topology of F . For example in Fig. 1 (a), the point at level 8 is both destructible and constructible;

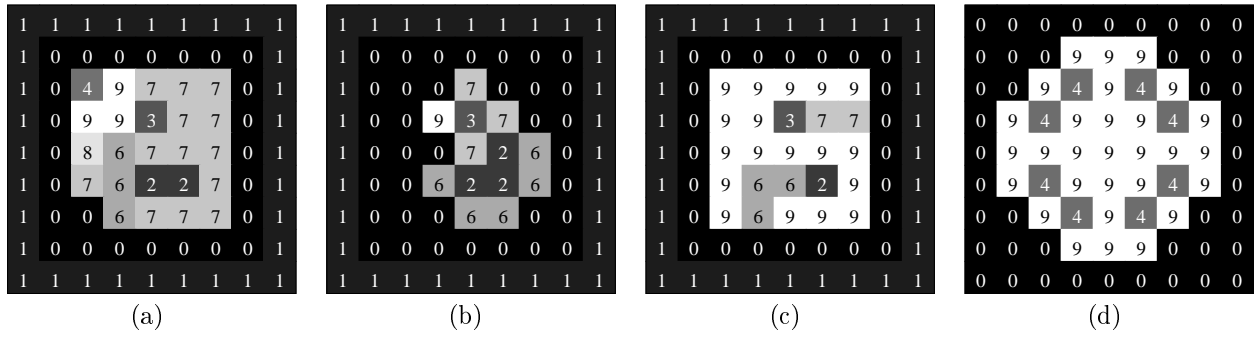


Figure 1. Homotopic kernels. (a): original image; (b): a lower homotopic kernel of (a); (c): an upper homotopic kernel of (a); (d): a non-thin lower homotopic kernel.

the two points at level 2 are constructible, but only one of them may be raised because, afterwards, the other one would become non-constructible.

Let $F \in \mathcal{F}$ and $G \in \mathcal{F}$. The map G is *lower homotopic* to F if $G = F$ or G may be obtained from F by iteratively selecting a destructible point and lowering its value by 1. The map G is *upper homotopic* to F if $G = F$ or G may be obtained from F by iteratively selecting a constructible point and raising its value by 1. The maps G and F are *homotopic* if $G = F$ or G may be obtained from F by iteratively selecting a destructible point and lowering its value by 1, or selecting a constructible point and raising its value by 1.

In Fig. 1, (b) is lower homotopic to (a) and (c) is upper homotopic to (a). Note that F and G are homotopic if and only if every section F_k of F is homotopic, in the binary sense, to the corresponding section G_k of G .

3.2. Local characterizations

Let $F \in \mathcal{F}$ and $x \in \mathbb{Z}^2$. We define the four neighborhoods:

$$\Gamma^{++}(x, F) = \{y \in \Gamma_8^*(x); F(y) > F(x)\}; \quad \Gamma^+(x, F) = \{y \in \Gamma_8^*(x); F(y) \geq F(x)\};$$

$$\Gamma^{--}(x, F) = \{y \in \Gamma_8^*(x); F(y) < F(x)\}; \quad \Gamma^-(x, F) = \{y \in \Gamma_8^*(x); F(y) \leq F(x)\}.$$

We define $\beta^-(x, F) = \min\{F(y); y \in \Gamma(x)\}$; $\beta^+(x, F) = \max\{F(y); y \in \Gamma(x)\}$, and

$$\alpha^-(x, F) = \begin{cases} \max\{F(y); y \in \Gamma^{--}(x, F)\}, & \text{if } \Gamma^{--}(x, F) \neq \emptyset, \\ F(x) & \text{otherwise;} \end{cases}$$

$$\alpha^+(x, F) = \begin{cases} \min\{F(y); y \in \Gamma^{++}(x, F)\}, & \text{if } \Gamma^{++}(x, F) \neq \emptyset, \\ F(x) & \text{otherwise.} \end{cases}$$

For a point x , we define the value $\delta^-(x, F)$ which is the minimal value down to which the point x may be lowered without changing the topology of the sections. So, for a destructible point we have $\delta^-(x, F) < F(x)$, and for a non-destructible point we have $\delta^-(x, F) = F(x)$. The value $\delta^+(x, F)$ is defined in a dual way.

It is easy to prove that lowering a destructible point x down to the value $\alpha^-(x, F)$ is an homotopic transformation, i.e. that $\delta^-(x, F) \leq \alpha^-(x, F)$; and in a dual way, that $\delta^+(x, F) \geq \alpha^+(x, F)$. For example in Fig. 1 (a), the point at level 9 in the third row can be lowered down to 7, then down to 4, and finally down to 0 without changing the cross-section topology. This property, in addition to the local characterization of destructible and constructible points which will be presented in the next paragraphs, allows us to design efficient algorithms to compute topological transforms. Also, Arcelli¹¹ gives a local condition which allows the checking of whether a point x can be lowered down to the value $\beta^-(x, F)$ without changing the topology of F , i.e. to check whether $\delta^-(x, F) = \beta^-(x, F)$.

We define the four *connectivity numbers*:

$$T^{++}(x, F) = \#C_n[x, \Gamma^{++}(x, F)]; \quad T^+(x, F) = \#C_n[x, \Gamma^+(x, F)];$$

$$T^{--}(x, F) = \#C_n[x, \Gamma^{--}(x, F)]; \quad T^-(x, F) = \#C_n[x, \Gamma^-(x, F)].$$

When there is no confusion, we write: $T^{++} = T^{++}(x, F)$, $T^+ = T^+(x, F)$, $T^{--} = T^{--}(x, F)$, $T^- = T^-(x, F)$.

The following property may be directly derived from the above definitions and from the characterization of simple points in binary images. It shows that the connectivity numbers allow to locally characterize destructible and constructible points.

Let $F \in \mathcal{F}$ and $x \in \mathbb{Z}^2$.

x is destructible for $F \Leftrightarrow T^+ = 1$ and $T^{--} = 1$; x is constructible for $F \Leftrightarrow T^- = 1$ and $T^{++} = 1$.
 Furthermore, the connectivity numbers allow a classification of the topological characteristics of a point:
 x is a *peak* if $T^+ = 0$; x is *minimal* if $T^{--} = 0$; x is *k-divergent* if $T^{--} = k$ with $k > 1$;
 x is a *well* if $T^- = 0$; x is *maximal* if $T^{++} = 0$; x is *k-convergent* if $T^{++} = k$ with $k > 1$;
 x is a *lower point* if it is not maximal; x is an *upper point* if it is not minimal;
 x is an *interior point* if it is both minimal and maximal;
 x is an *simple side* if it is both destructible and constructible;
 x is an *saddle point* if it is both convergent and divergent.

By considering all the possible values of the four connectivity numbers it may be seen⁴ that, for $F \in \mathcal{F}$, a point $x \in \mathcal{Z}^2$ corresponds necessarily to one and only one of the following types: 1) a peak; 2) a well; 3) an interior point; 4) a minimal constructible point; 5) a maximal destructible point; 6) a minimal convergent point; 7) a maximal divergent point; 8) a simple side; 9) a destructible convergent point; 10) a constructible divergent point; 11) a saddle point. Figure 2 shows examples of seven of these types of points; the other four types can be obtained by duality (for example a well is the dual of a peak, etc).

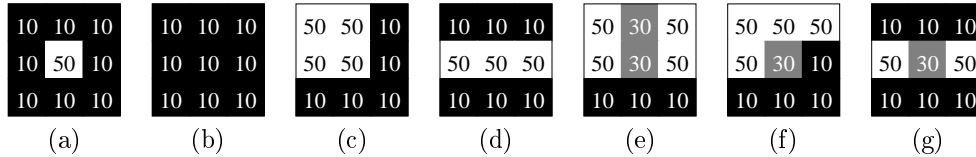


Figure 2. Topological type. The central point has the following type: (a): peak; (b): interior; (c): maximal destructible; (d): maximal 2-divergent; (e): destructible 2-convergent; (f): simple side; (g): saddle.

4. HOMOTOPIC AND LEVELING KERNELS

We are now able to define operators that transform an image while preserving some topological properties. The homotopic kernel⁴ and homotopic reconstruction operators, presented in the next two sections, produce a result that is homotopic to the original image; while the leveling kernel⁴ modifies the topology in a controlled manner. A discussion about some properties of these operators follows, and an application to impulse noise filtering concludes this section.

4.1. Homotopic kernel

Let $F \in \mathcal{F}$, $F' \in \mathcal{F}$. The image F' is a *lower (resp. upper) homotopic kernel* of F if F' is lower (resp. upper) homotopic to F , and if there is no destructible (resp. constructible) point for F' .

In Fig. 1 for example, (b) shows a lower homotopic kernel of (a), (c) shows an upper homotopic kernel of (a). A lower homotopic kernel of an image F may be seen as an “ultimate grayscale thinning” of the image: see Fig. 3 (a) a grayscale image showing dark cells separated by lighter borders, and an homotopic kernel (b) of this image. Note that (a) is a quite smooth image, in consequence its topology is simple: it contains only a few (regional) minima, each of which corresponds to a dark cell (see (a')). We will deal later with noisy images and with the problem of simplifying the topology of such images. In (a',b'), the spatial locations of the minima of (a,b) respectively appear in white: we see that in the homotopic kernel, these minima have been spread as much as possible, while preserving the topology. The minima of the homotopic kernel are separated only by thin “crest lines”.

In fact, due to the discrete nature of the image representation, a lower homotopic kernel of an image may contain non-minimal regions that are not thin: this is the case, for example, if more than four line segments meet in one point (see Fig. 1 (d)), we also retrieve this configuration in homotopic kernels of binary images. Other specific configurations of thick non-minimal regions in grayscale homotopic kernels have been presented in a previous work.⁴

4.2. Homotopic reconstruction

We introduce the notion of homotopic reconstruction which will be used to recover the original values of certain pixels, after a modification of the topology.

Let $F \in \mathcal{F}$, $G \in \mathcal{F}$ with $F \leq G$ (i.e., $\forall x \in \mathcal{Z}^2, F(x) \leq G(x)$), and let $F' \in \mathcal{F}$. The image F' is *homotopic to F under G* if $F' = F$ or if F' may be obtained from F by iteratively selecting a constructible point x such that the

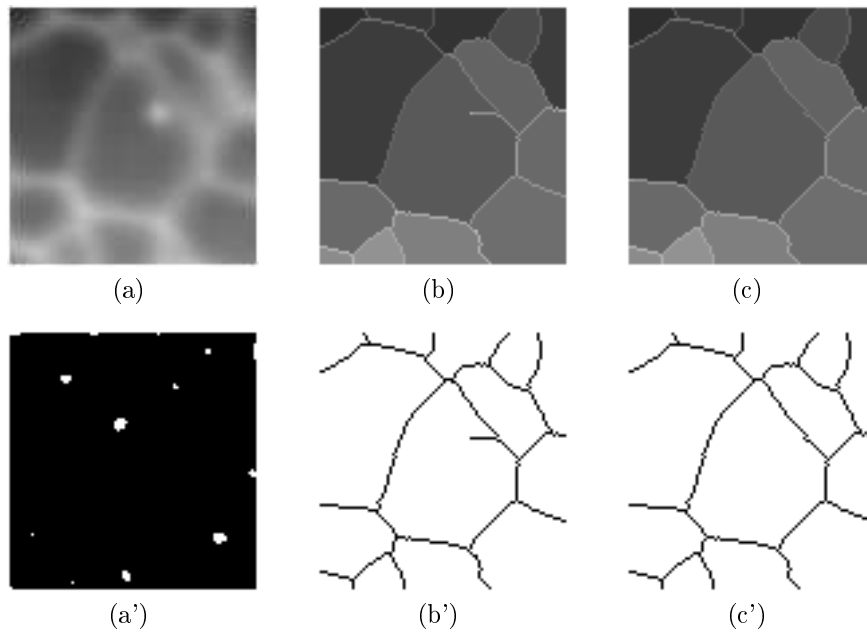


Figure 3. Kernels. (a): original image, (b): lower homotopic kernel, (c): lower leveling kernel, (a',b',c'): in white, the minima of (a,b,c) respectively.

grayscale value of x is strictly lower than $G(x)$, and raising its grayscale value by 1. The image F' is an (*homotopic reconstruction of F under G*) if F' is homotopic to F under G and if there is no point $x \in \mathbb{Z}^2$ such that the grayscale value of x is strictly lower than $G(x)$, and x is constructible for F' . The dual notion of *reconstruction of F over G* is defined in an analogous way. In applications of reconstruction operators, the image F is often called a “marker” image. In Fig. 4 we can see an example of reconstruction. We also show the corresponding result when using the well known reconstruction operator in the field of mathematical morphology.¹² Note that the only difference between the homotopic and the morphologic reconstruction is due to the preservation of the topology of the marker (in the first case).

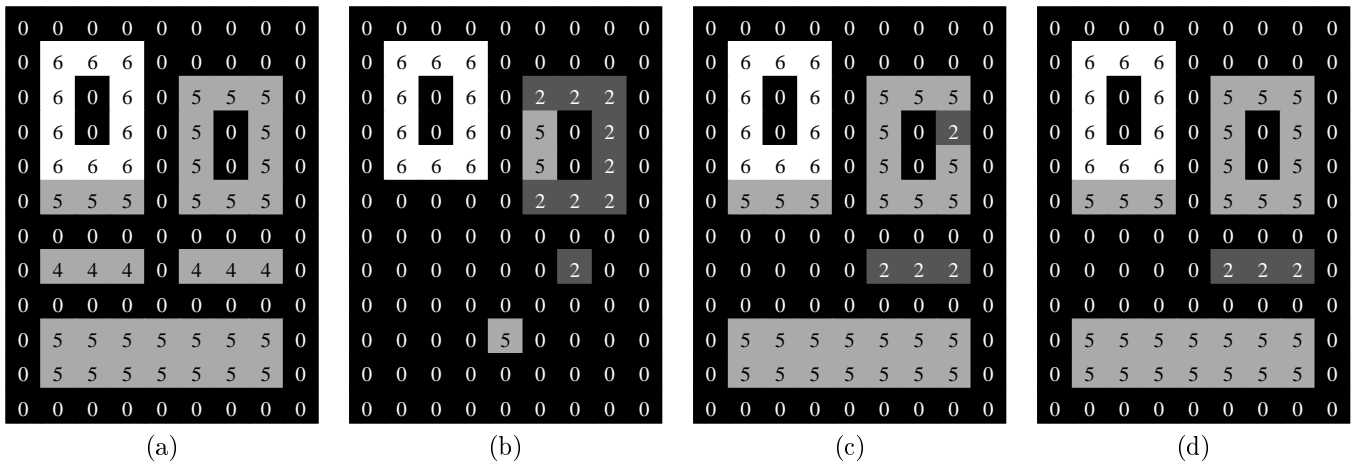


Figure 4. Illustration of reconstruction operators. (a): original image; (b): marker image; (c): homotopic reconstruction of (b) under (a); (d): mathematical morphology reconstruction of (b) under (a).

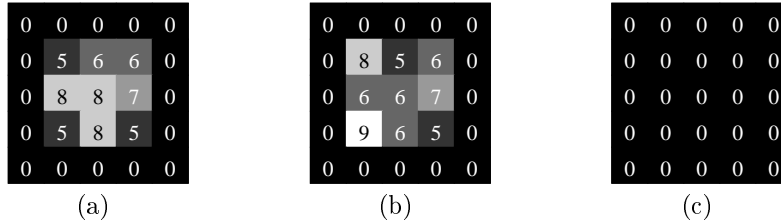


Figure 5. An image F which contains a simply connected component (a), an image G which contains a component without holes (b), a lower leveling kernel for both images (c).

4.3. Leveling kernel

For some applications, it is not desirable to preserve both the minima and the maxima of the original image. For example, if we want to extract the borders between the cells appearing Fig. 3 (a), then we would like to eliminate the peak located in the central cell of (b), which corresponds to a light area in (a).

Let $F \in \mathcal{F}$, $F' \in \mathcal{F}$. The map F' is a *lower leveling* of F if $F' = F$ or F' may be obtained from F by iteratively selecting a destructible or a peak point and lowering its value by 1. The map F' is a *lower leveling kernel* of F if F' is a lower leveling of F and if there is no destructible point and no peak for F' . The dual notions of *upper leveling* and *upper leveling kernel* are defined in an analogous way.

Let us illustrate the lower leveling operator with some basic examples. We first introduce the notions of component without holes and simply connected component, that will help us to understand the action of the leveling operator.

Let X be a finite subset of \mathbb{Z}^2 , the set X is *without holes* if \overline{X} is connected. The set X is *simply connected* if both X and \overline{X} are connected. Let $F \in \mathcal{F}$, let $k \in \mathbb{Z}$, a finite connected component X of F_k is *without holes (resp. simply connected)* for F if $\forall k' \in \mathbb{Z}^2, k' \geq k$, the set $\{x \in X, F(x) \geq k'\}$ is without holes (resp. simply connected).

In Fig 5, consider the image F shown in (a) and the image G shown in (b). We see that the unique connected component of F_5 is simply connected for F , and that the unique connected component of G_5 is without holes for G .

To compute a lower leveling kernel of F , we may first iteratively lower the values of the eight destructible points around the central point down to 0, and then lower the value of the central peak. Thus we obtain the null image (c). To compute a lower leveling kernel of (b), we may first lower the values of the three peaks at levels 7, 8 and 9 down to 6, then iteratively lower the values of the eight points around the central 6 (which are now destructible) down to 0, and finally lower the value of the central peak. We also obtain the null image (c). We see that the lower leveling kernel operator “deletes” a component which is simply connected for an image by reducing it to a peak, then by lowering this peak; it also deletes a component without holes for an image by first deleting the simply connected components it may contain, then by proceeding recursively.

4.4. Links with the notion of dynamics

We will now discuss some properties of regional minima and maxima in homotopic and leveling kernels. Grimaud¹³ introduced the notion of dynamics in order to formalize the intuitive notion of depth of a minimum: intuitively, the dynamics of a minimum m is the difference between the altitude of m and the altitude of the lowest pass that separates m from another minimum m' lower than m .

Let $F \in \mathcal{F}$, $x \in \mathbb{Z}^2, y \in \mathbb{Z}^2$, and let π be a path between x and y . The *dynamics* of the path π is the difference between the values of a highest point and a lowest point of the path: $\text{dyn}(\pi, F) = \max\{F(z); z \text{ in } \pi\} - \min\{F(z); z \text{ in } \pi\}$. We define the dynamics of an absolute minimum of the image F as infinity. For a non-absolute minimum m , we consider a path π_m which has a minimal dynamics among all the possible paths between m and a point m' strictly lower than m . We define the dynamics of m as the difference between the value of m and the value of a highest point on π_m . The dynamics of a minimum m for an image F will be denoted by $\text{dyn}(m, F)$. In a similar way we can define the dynamics of the maxima. Of course, the dynamics of a maximum (resp. a minimum) depends on the adjacency relation that has been chosen for F (resp. for $-F$). For example, the dynamics of both the minima at level 2 and 3 in Fig. 1 (a), (b) and (c) is 4, with the choice of the 4-adjacency for $-F$.

It may easily be seen that a lower homotopic transformation “preserves” the (regional) minima and maxima of the original image, and it also preserves their dynamics. On the other hand, a lower leveling preserves the minima of the original image and their dynamics. Dual statements holds for the upper homotopic and upper leveling transformations, respectively. Let us state these properties more precisely:

Let $F \in \mathcal{F}$, let F' be lower homotopic to F . For any minimum m of F , there is a unique minimum m' of F' such that $m \subset m'$, furthermore $\text{dyn}(m, F) = \text{dyn}(m', F')$; and for any maximum M of F , there is a unique maximum M' of F' such that $M' \subset M$, furthermore $\text{dyn}(M, F) = \text{dyn}(M', F')$.

Let $F \in \mathcal{F}$, let F' be a lower leveling of F , for any minimum m of F , there is a unique minimum m' of F' such that $m \subset m'$, furthermore $\text{dyn}(m, F) = \text{dyn}(m', F')$.

In Fig. 3 (c), a lower leveling kernel of the image in Fig. 3 (a) is shown. The minima and their dynamics have been preserved, this means that the grayscale value on a crest line which separates two minima in the kernel corresponds to the grayscale value of the lowest pass between the corresponding minima in the original image. This property has been used in a previous work^{4,14} to simplify the topology of an image, leading to a segmentation method which does not involve the need for defining and tuning parameters.

4.5. Application to impulse noise filtering

To conclude this section, we show an application of these topological transformations to impulse noise filtering. More details about the implementation can be found in the appendix A.

A positive impulse may be seen as a narrow component which is without holes for the image F , and restricted to a few pixels. We can detect an impulse restricted to only one pixel x by testing the topological type of x : it corresponds to a peak. Deleting the peak x may be done by lowering its value down to the value $\alpha^-(x, F)$. For an impulse which is made of several neighboring pixels, this procedure is not effective. Nevertheless, if we iteratively lower destructible points, an impulse which is a simply connected component for F will eventually reduce to a peak. The peak can then be detected and lowered. Repeating this procedure allows the deletion of impulses which correspond to components without holes as well. On the other hand, we do not want to destroy components that correspond to large, significant objects in the image. Thus, we need a parameter that controls the spatial expanse of the thinning process.

In Fig. 6, we show the result (c) of 3 lower leveling steps applied to the image (b)(see the appendix A for the definition of this notion of step and a more complete description of the algorithm). The impulses, which correspond to narrow components without holes, have been filtered out. The leveling process also lowers pixels that do not belong to impulses. In order to recover the initial values of these pixels, we use the homotopic reconstruction operator. Fig. 6 (d) shows an upper reconstruction of (c) under (b). Negative impulses could also be filtered out by a dual procedure based on upper leveling and homotopic reconstruction. This filtering method gives good results for impulse noise: compared to a median filter with a 3×3 window, the thin details are better preserved.

In the framework of mathematical morphology,^{15,16} a similar filtering method may be constructed, using an erosion or an area opening operator in order to eliminate the noise under the control of a size parameter, and using the geodesic reconstruction operator to recover the original values of the non-noisy pixels. It would give results similar to ours, except for thin and elongated regions: the erosion should filter them out as well as the noise, and an area opening could not make the difference between a disk-shaped region and an elongated region having the same area.

5. FILTERED LEVELING AND GRAYSCALE SKELETON

In this section, we introduce another way of modifying the topology in order to filter out the non-significant information.

5.1. The notion of λ -destructible point

The Fig. 7 (a) shows an image where the human eye perceives dark cells separated by lighter borders. Due to the noise, this image contains a lot of regional minima: they appear in white in (a'). Both the homotopic kernel (b) and the leveling kernel (c) preserve all these minima and spread them as much as possible (b', c'). Fig. 8 (a) shows a 1D profile extracted from such a leveling kernel. In this profile, the points x , y and z correspond to passes (divergent points) between neighboring minima in the two-dimensional kernel. Some of these divergent points (x , y) may be

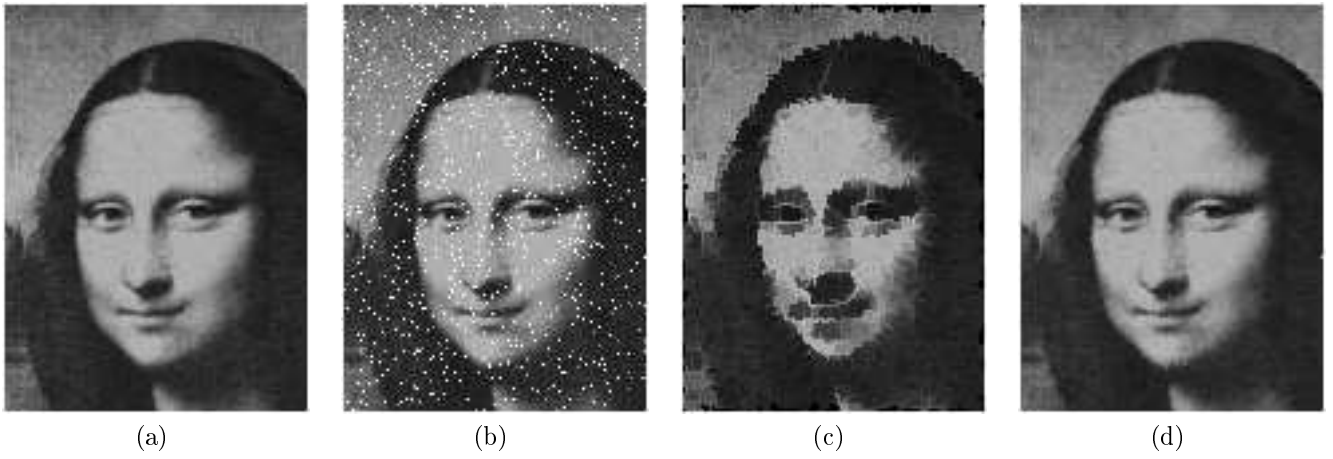


Figure 6. Impulse noise filtering. (a): original image; (b): original image plus impulse noise; (c): after 3 steps of lower leveling; (d): reconstruction of (c) under (b).

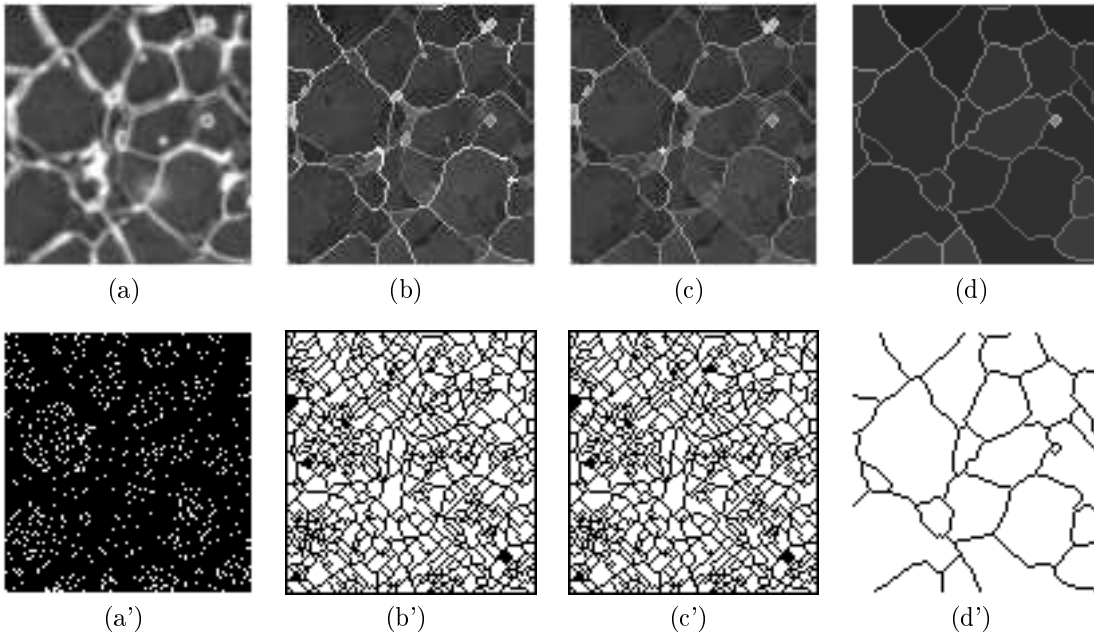


Figure 7. Filtered leveling kernel. (a): original image; (b): lower homotopic kernel; (c): lower leveling kernel; (d): filtered leveling kernel with $\lambda = 40$; (a'), (b'), (c'), (d'): in white, the minima of (a), (b), (c), (d) respectively.

considered as “irregular points”⁴: we would like to lower them in order to eliminate some minima having a small depth.

To perform this filtering, we introduce the notion of λ -destructible point, which allows us to selectively alter the topology, based on a local contrast parameter λ .

Let $F \in \mathcal{F}, X \subset \mathcal{Z}^2$, we define $F^-(X) = \min\{F(x); x \in X\}$.

The point $x \in \mathcal{Z}^2$ is λ -destructible, λ being a positive integer, if it satisfies one of the two following conditions:
i) x is destructible; or
ii) x is k -divergent and at least $k - 1$ connected components $c_i, i = 1, \dots, k - 1$ of $\Gamma^{--}(x, F)$ are such that $F(x) - F^-(c_i) \leq \lambda$.

Intuitively, the condition ii) states that the point x lies on a crest which separates its neighborhood into k regions, and at most one of these regions lies at a graylevel distance from x greater to λ .

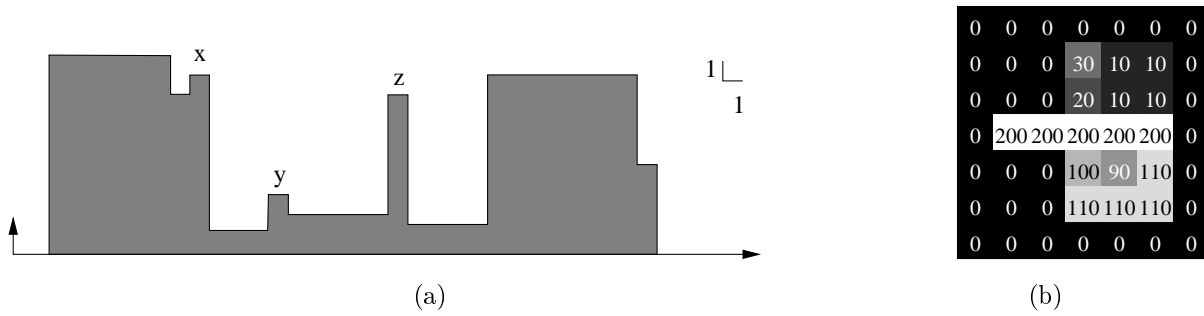


Figure 8. Illustration of λ -destructible points. (a): a 1D profile of a lower leveling kernel; (b): an image with two 10-destructible points (levels 20 and 100) which are not destructible.

For example, the points with values 20 and 100 in Fig. 8 (b) are both 10-destructible, but they are not destructible. Let us consider the point x at level 20: it is 2-divergent, and the set $\Gamma^{--}(x, F)$ contains two connected components c_1 and c_2 , such that $F^-(c_1) = 0$ and $F^-(c_2) = 10$.

The notion of λ -constructible point may be defined in a dual way.

5.2. Filtered leveling

The lower λ -leveling operator iteratively selects a λ -destructible or peak point x and lowers it down to the value $\alpha^-(x, F)$. To obtain a λ -leveling kernel, this procedure must be repeated until stability. More details on the implementation of this operator are given in appendix B.

In Fig 7 (d), we show the result given by the algorithm λ -Leveling applied to the image of Fig 7 (a), with $\lambda = 40$. A binary segmentation (d') is obtained by extracting the regional minima of (d).

On this image, the same result should have been obtained by using a filtered topological grayscale watershed transform,¹⁷ or a watershed algorithm^{18,19} with the minima of dynamics greater than 40 as markers. Nevertheless, it is not true that lowering a λ -destructible point x down to the value $\alpha^-(x, F)$ always preserves the dynamics of minima which have a dynamics greater than λ . A counter-example is given in Fig. 9 with $\lambda=2$. The 10 at the right side of the 8 in the middle row is 2-destructible. After this point has been lowered down to 8 the dynamics of the minima at level 3 is changed from 7 to 5. Furthermore, all the other 10s can be lowered down to 8 (b). Consequently, the 8 (previously 10) at the left side of the 6 in the middle row becomes 2-destructible and thus some 8s can be lowered down to 6 as the iterations continue (c). The dynamics of the minimum at level 3 is now equal to 3. We see that we can construct more complex configurations in which the dynamics of a minimum can be lowered arbitrarily.

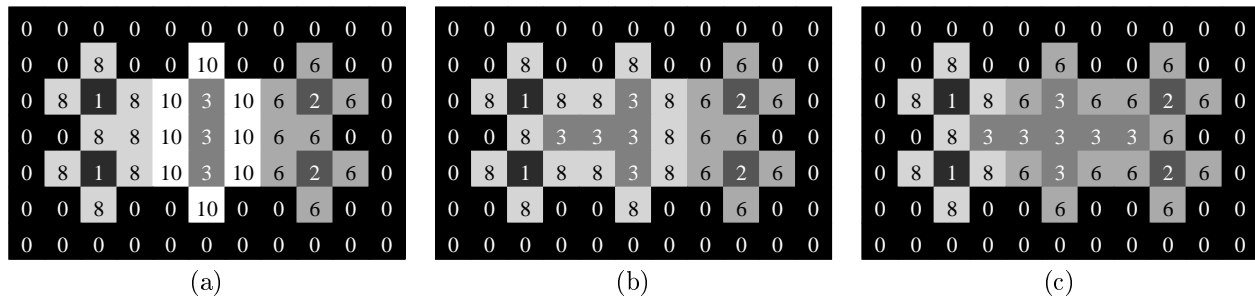


Figure 9. Example of dynamics modification.

On the other hand, the notion of λ -destructible point is purely local: it can be characterized by checking only the 8-neighborhood of a point.

5.3. Grayscale skeleton

The following notions are useful to introduce the notion of filtered grayscale skeleton.

Let $X \subset \mathcal{Z}^2$ and $x \in X$, x is an *end point* (for X) if $\#(\Gamma_n^*(x) \cap X) = 1$. Let $F \in \mathcal{F}$ and $x \in \mathcal{Z}^2$, x is an *end point* (for F) if it is an end point for the set F_k with $k = F(x)$. A point x is said to be a λ -*end point* (for F) if it is an end point for F and if $F(x) - \alpha^-(x, F) > \lambda$. A point x is said to be a λ -*deletable point* (for F) if it is either a λ -destructible point, or a peak point such that $F(x) - \alpha^-(x, F) \leq \lambda$. Figure 10 shows examples of λ -end and λ -deletable points.

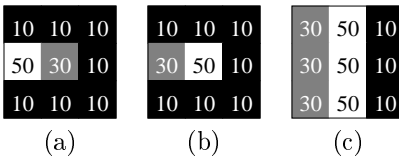


Figure 10. Example of λ -end and λ -deletable points. (a): the central point is a 29-end point; (b) and (c): the central point is a 20-deletable point.

If $X \subset \mathcal{Z}^2$ represents a binary image, we can say that $S \subset \mathcal{Z}^2$ is a skeleton of X if S can be obtained from X by iteratively selecting a simple, non-end point in X and deleting it from X , until stability. Similarly, if $F \in \mathcal{F}$, we say that $G \in \mathcal{F}$ is a skeleton of F if G can be obtained from F by iteratively selecting a destructible, non-end point in F and lowering it down to $\alpha^-(x, F)$, until stability. In order to get a filtered skeleton, that is, to eliminate non-significant branches and regional minima, we will allow λ -deletable, non λ -end points to be lowered. More details on the implementation of this operator are given in appendix C.

Fig. 11 shows a part of a fingerprint image and the corresponding skeletons. Fig. 11(b)-(c) show respectively an homotopic skeleton ($\lambda = 0$) and a skeleton obtained with $\lambda = 40$. As we can see in this filtered skeleton, a number of crest lines, peaks and minima have been removed: there are 589 minima in both (a) and (b), and only 25 in (c). The interest of this method is that no prior segmentation is required, and that the skeleton contains useful grayscale information that can be exploited. For example here, we can use both topological and grayscale informations to extract characteristic points, called minutiae, in the fingerprint: they correspond to “forks” and to end points.

6. A CREST RESTORATION METHOD

All segmentation methods based on the dynamics¹³ (or equivalent notions) have a common drawback : if two neighboring minima are separated by a high crest which contains a narrow and deep pass, the dynamics between these two minima only depends on the altitude of the pass (see Fig. 12 (a)). Thus, any method that takes this criterion into account in order to decide whether two neighboring regions have to be merged or not, would select the aforementioned couple of regions with a high priority. Nevertheless, the human eye perceives a strong separation between the two dark regions. For a discussion about this problem and some alternative approaches, see the work of C. Vachier.²⁰

An other class of segmentation methods is based on the extraction of the contours of the objects. These methods involve the use of a derivative (or gradient) operator which gives a high response at the locations of the original image where sharp graylevel transitions occur. In the images produced by such operators, the contours appear as thin crests which are often irregular, due to the presence of noise (see Fig. 13 (b)).

We propose a method for detecting and eliminating narrow passes in grayscale images. This method allows us to enhance segmentation schemes based on minima extraction and merging, and can also be used in contour-based segmentation schemes.

The first step consists of an homotopic or filtered thinning of the image, reducing the crests to thin lines (see Fig. 12 (b)). The number n_t of thinning iterations (see appendix A) controls the width of the crests in which passes should be eliminated. Note that the result obtained in (b) is not a lower homotopic kernel: two points at level 240 are destructible. Now, we are able to detect points that belong to “thin crest lines” and should be raised in order to eliminate passes.

Let $X \subset \mathcal{Z}^2$ and $x \in X$, x is a *separating point* (for X) if $\overline{T}(x, X) \geq 2$.

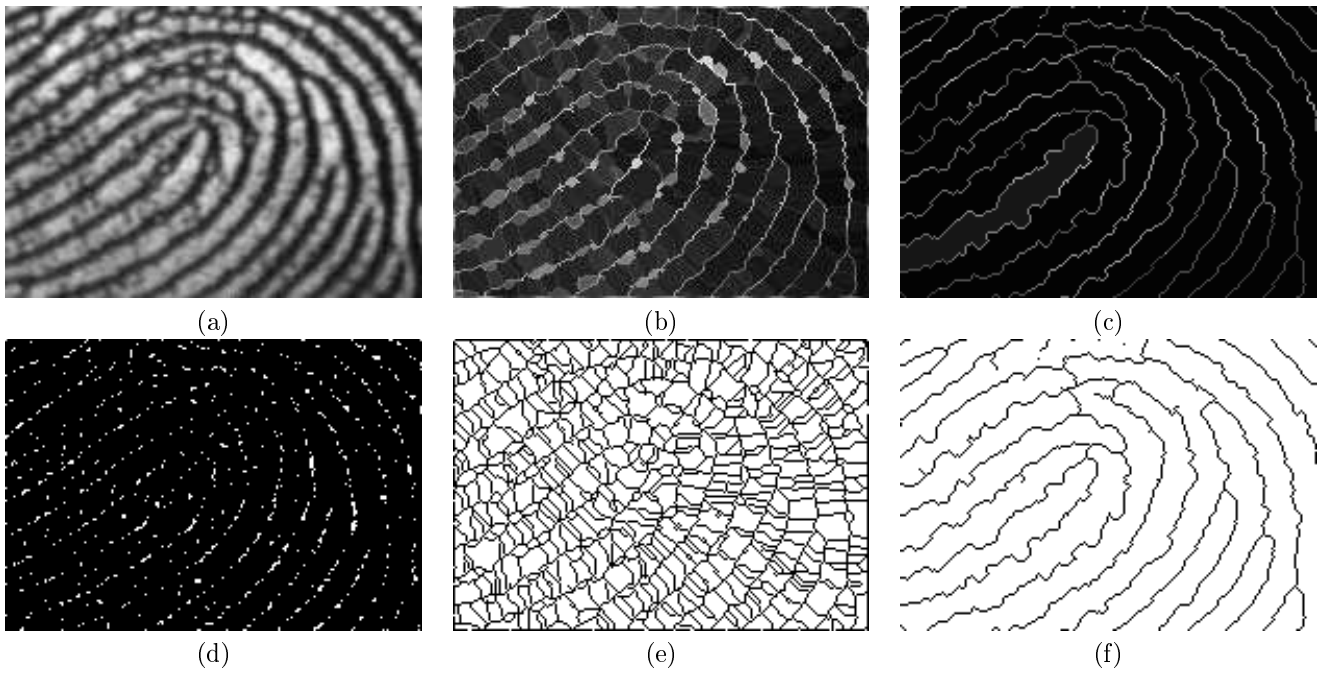


Figure 11. Filtered skeleton. (a): Original image; (b): homotopic skeleton; (c): filtered skeleton with $\lambda = 40$. (d,e,f): In white, the regional minima of (a,b,c) respectively.

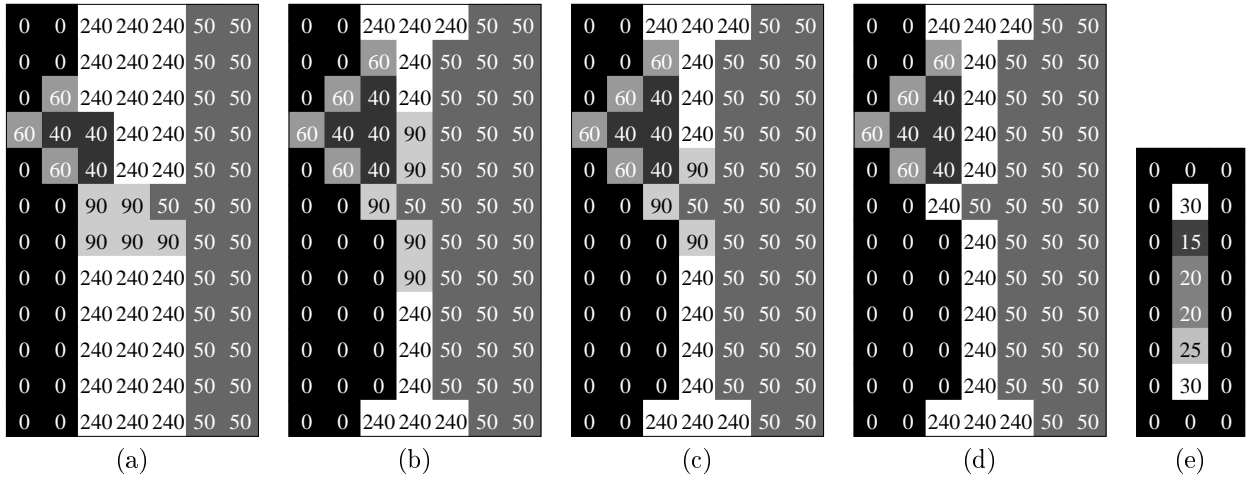


Figure 12. Crest restoration. (a): the dynamics of the minimum of level 50 is only 40, due to the narrow pass at level 90; (b): after 1 iteration of homotopic thinning; (c,d): after 1, 3 iterations of algorithm CrestRestoration; (e): the points at levels 15, 20 and 25 are separating points.

Let $F \in \mathcal{F}$, a point $x \in \mathcal{Z}^2$ is said to be a *separating point (for F)* if there exists $k \in \mathcal{Z}$ such that x is a separating point for the set F_k .

For example, in Fig. 12 (e) the points at levels 15, 20 and 25 are separating points.

We see in Fig. 12 (b) that in order to eliminate the pass at level 90, we can raise constructible separating points until a saddle point appears, the saddle point can then be detected and raised.

We also see in Fig. 12 (b) that iteratively raising constructible separating points without restriction would lead to the reinforcement of low crest lines that are due to noise. The points at level 60 illustrate this problem: for example, the point at level 60 which neighbors some points at level 240 is a constructible separating point. We

cannot use λ -Leveling to eliminate these crests by lowering them, because doing so could also lower some of the narrow passes that we want to raise. In fact, a separating point x which is a good candidate for crest restoration may be characterized by the presence, in its neighborhood, of a point y which is a separating point for the section at level $k = F(x)$ but is not separating for higher sections. More precisely:

Let $F \in \mathcal{F}$, a point $x \in \mathcal{Z}^2$ which is a separating point for F is said to be *extensible* if it is either a constructible point or a saddle point for F , and if x has at least one neighbor y which verifies the two following conditions:

- i) y is a separating point (in the binary sense) for F_k , with $k = F(x)$, and
- ii) y is not a separating point (in the binary sense) for any F_j with $j > k$.

The crest restoration method proceeds by detecting extensible separating points and by raising them. A more precise description of the algorithm is given in appendix D.

In Fig. 12 (c), we see the result after 1 iteration of this algorithm, applied on (b). We see in particular that two points at level 90 in (b) have been raised up to 240, and that the point at level 60 which is an 8-neighbor of a 90 has not been modified: for (b) it is a separating point, but it has no neighbor which is both separating for F_{60} and not separating for F_{61} . In (d), we see the result after 3 iterations: the crest line at 240 has been restored. Additional iterations would not modify this result.

In Fig. 13 we illustrate this method on a gradient image (b) obtained from (a) by the application of the Canny-Deriche^{21,22} gradient operator. For a better visualization, the lowest values appear in white. First, the crests have been reduced to thin lines (c) by a filtered thinning with $\lambda = 5$ (the algorithm is the same as algorithm λ -Leveling, except that peak points are not modified). If we apply a threshold to this image, either we loose many line segments (d), or we get too many irrelevant details. The image (e) was obtained from (c) by 10 steps of the algorithm CrestRestoration, and (g) was obtained from (c) by executing the algorithm CrestRestoration until stability. The same threshold has been applied on (c,e,g), giving (d,f,h) respectively. We see that many significative line segments have been recovered, and that no artefacts have been introduced by our procedure, even when we iterated it until stability. We compared our results with the popular method known as hysteresis thresholding,²¹ which is based in an upper and a lower thresholding. We can see in (i) and (j) the results obtained with the same higher threshold and different lower thresholds. In (i), the hysteresis thresholding misses many significant edges compared with our method. In (j), with a less strict lower threshold, the hysteresis threshold still misses some significant edges that our method recovers, and it also recovers some non significant edges.

7. CONCLUSION

We have presented a series of image processing operators and algorithms in the framework of the cross-section topology. This framework allows us to precisely define transformations on grayscale images that preserve topology. Homotopic thinning, kernel, reconstruction and skeleton are examples of such transformations.

Nevertheless, in many applications a strict preservation of the cross-section topology is not desirable: due to the presence of noise, the topology of the original image is much more complex than the one of the desired result. In order to selectively simplify the topology, according to a local contrast criterion, we introduced the filtered λ -leveling and λ -skeleton operators. We proposed a segmentation method based on the λ -leveling operator, which gives results comparable to those of the methods based on the dynamics. The λ -skeleton operator is a thinning operator acting directly on the grayscale image, which may be used to extract characteristic points in an image (forks, end points) without performing a prior binarization step.

Also, we introduced a crest restoration method which allows us to recover thin crest lines that have been broken by noise. This method may be used to enhance segmentation schemes based on the extraction of minima, and those based on contour extraction. It assumes no a-priori knowledge about direction or curvature of the lines. To our knowledge, it performs better than all the previously introduced methods that share the same assumptions.

This framework may be easily extended to other digital spaces, such as the hexagonal grid in 2D, the cubic grid in 3D. Also, Lohou and Bertrand²³ have generalized these notions in the framework of orders (or partially ordered sets), and proposed new parallel thinning algorithms for grayscale images.



Figure 13. Crest restoration. (a): original image; (b): after Deriche gradient operator (the lowest values appear in white); (c): after a filtered thinning; (e): after 10 steps of CrestRestoration; (g): after iterating CrestRestoration until stability; (d,f,h): threshold at the same level of (c,e,g) resp.; (i,j): hysteresis thresholding.

APPENDIX A. THE THINNING OPERATOR

In section 4.5, we introduced a method for filtering impulse noise. This method is based on a thinning operator that must be able to perform a “unitary shrinking” of the light objects in the image.

The simplest homotopic thinning algorithm consists in iteratively selecting a destructible point x and lowering its value down to the value $\alpha^-(x, F)$. In order to control the spatial expanse of the thinning, a first idea is to build a list of all destructible points, and process only these ones before a next step:

Algorithm: input $F \in \mathcal{F}$, $n \in \mathcal{N}$, output F
 repeat n times:
 compute a list L of all the destructible points;
 for all x in L if x is destructible for F then $F(x) := \alpha^-(x, F)$.

Note that it is not possible to process all the destructible points of L in parallel: this would not preserve the topology. This is illustrated by the configuration of Fig. 5 (a), where the three points at level 8 are destructible: if

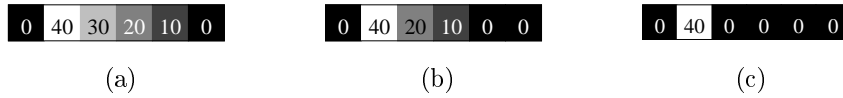


Figure 14. Construction of the list of points L . (a): original image; (b): result obtained with constructing of L with left to right scanning; (c): result obtained with constructing of L with left to right scanning.

we lower these three points in parallel the cross-section topology is modified.

The following 1D example shows the limits of this first idea: see Fig. 14(a). If the list L is constructed by scanning the points from left to right, then the result of one step of the algorithm on the above data is (b). This corresponds to the desired effect of a “unitary shrinking” of a simply connected component. But if the list L is constructed by scanning the points from right to left, then the result of one step of the algorithm is (c), which is not the desired effect.

In order to avoid this, we can memorize, in addition to each destructible point x , the value $\delta^-(x, F)$. We recall that $\delta^-(x, F)$ is the minimal value down to which the point x may be lowered without changing the topology of the sections of F . When a point is extracted from L , it will not be allowed to go down below this memorized value. This leads to the following algorithm, which performs n “steps” of homotopic thinning:

Algorithm *Thinning*: input $F \in \mathcal{F}$, $n \in \mathcal{N}$, output F
 $G := F$;
repeat n times:
 compute a list L of all the destructible points;
 for all x in L , if x is destructible for G then $G(x) := \max(\delta^-(x, G), \delta^-(x, F))$;
 $F := G$.

Note that for each point x , the value $\delta^-(x, F)$ may be stored in the list L together with the coordinates of x , instead of using an auxiliary image G . Furthermore, in order to compute the list L for the first iteration, we must scan the image entirely, but for the following ones, only the neighbors of the points that have been modified during the iteration $n-1$ need to be considered in the iteration n . Note also that, when iterated until stability, this algorithm produces an homotopic kernel of the original image.

By alternating thinning steps and peak deletion steps, we can achieve a leveling process in which the spatial expanse is controlled by the number of steps.

This algorithm (as well as the two following ones) can only lower pixel values by integer steps, and the final result is lower bounded; thus its convergence is guaranteed.

APPENDIX B. THE λ -LEVELING OPERATOR

The lower λ -leveling operator, introduced in section 5.2, iteratively selects a λ -destructible or peak point x and lowers it down to the value $\alpha^-(x, F)$. To obtain a λ -leveling kernel, this procedure must be repeated until stability.

The order according to which λ -destructible points are lowered is important for the final result as we can see in the example of Fig. 15. This 1D figure (a) corresponds to a profile of a 2D leveling kernel, and the points x , y , z and t are divergent points. Furthermore, x , y and z are λ -destructible, while t is not. If we select the points in increasing order of height, then the point x is lowered down to 0 first, followed by the lower points at the right of x . Afterwards, the point y is lowered down to 0, followed by the lower points at the left of y . The point z is no more λ -destructible, thus the final result is the one depicted in (b). The effect is the elimination of minima of dynamics inferior to λ .

On the other hand, if we select the points in decreasing order of height, then the point z is lowered first, then y , then x , and finally all the points but t will be lowered down to 0 (see (c)). We see that minima of dynamics superior to λ may be affected by this procedure. Thus, we will choose the first strategy for our filtered leveling algorithm:

Algorithm λ -Leveling: input $F \in \mathcal{F}$, $\lambda \in \mathcal{N}$, output F
repeat until stability:
 among all the points which are λ -destructible or peak points for F , select a point x of minimal value;
 $F(x) := \alpha^-(x, F)$

This algorithm may be efficiently implemented using a hierarchical queue.¹⁹

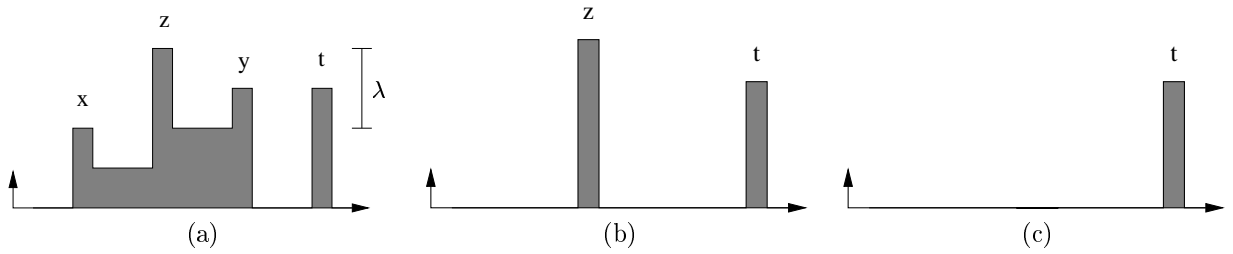


Figure 15. Effect of removing points in different orders.

APPENDIX C. THE λ -SKELETON OPERATOR

In section 5.3, we introduced the λ -skeleton operator. We present here an algorithm that implements this operator. Let us first recall some useful definitions.

Let $X \subset \mathcal{Z}^2$ and $x \in X$, x is an *end point (for X)* if $\#(\Gamma_n^*(x) \cap X) = 1$. Let $F \in \mathcal{F}$ and $x \in \mathcal{Z}^2$, x is an *end point (for F)* if it is an end point for the set F_k with $k = F(x)$. A point x is said to be a *λ -end point (for F)* if it is an end point for F and if $F(x) - \alpha^-(x, F) > \lambda$. A point x is said to be a *λ -deletable point (for F)* if it is either a λ -destructible point, or a peak point such that $F(x) - \alpha^-(x, F) \leq \lambda$.

Algorithm λ -Skeleton: input $F \in \mathcal{F}$, $\lambda \in \mathcal{N}$, output F

repeat until stability:

among all the points which are λ -deletable and not λ -end for F , select a point x of minimal value;

$F(x) := \alpha^-(x, F)$

APPENDIX D. THE CREST RESTORATION OPERATOR

In section 6, we introduced a crest restoration method. We present here an algorithm that implements the main step of this method. Let us first recall some useful definitions.

Let $X \subset \mathcal{Z}^2$ and $x \in X$, x is a *separating point (for X)* if $\overline{T}(x, X) \geq 2$. Let $F \in \mathcal{F}$, a point $x \in \mathcal{Z}^2$ is said to be a *separating point (for F)* if there exists $k \in \mathcal{Z}$ such that x is a separating point for the set F_k .

Let $F \in \mathcal{F}$, a point $x \in \mathcal{Z}^2$ which is a separating point for F is said to be *extensible* if it is either a constructible point or a saddle point for F , and if x has at least one neighbor y which verifies the two following conditions:

- i) y is a separating point (in the binary sense) for F_k , with $k = F(x)$, and
- ii) y is not a separating point (in the binary sense) for any F_j with $j > k$.

We recall that $\delta^+(x, F)$ is the maximal value up to which the point x may be raised without changing the topology of the sections of F .

Algorithm CrestRestoration: input $F \in \mathcal{F}$, $n \in \mathcal{N}$, output F

$G := F$;

repeat n times:

compute a list L of all the extensible separating points;

for all x in L do

if x is constructible for G then $G(x) := \min(\delta^+(x, G), \delta^+(x, F))$

else if x is a saddle point for G then $G(x) := \alpha^+(x, G)$;

$F := G$.

A justification for the use of the list L and some indications concerning the update of this list may be found in the appendix A.

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