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**Predicting marine phytoplankton community size structure
from empirical relationships with remotely-sensed variables**

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3 remotely-sensed variables
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7 Carolyn Barnes¹, Xabier Irigoien², José A. A. De Oliveira¹ and Simon Jennings^{1,3}.
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11 ¹ Centre for Environment, Fisheries and Aquaculture Science, Lowestoft, Suffolk, NR33 0HT, UK.

12 ² AZTI - Tecnalia / Marine Research Division, Herrera kaia portualdea z/g 20110 Pasaia
13 (Gipuzkoa), Spain
14

15 ³ School of Environmental Sciences, University of East Anglia, Norwich, NR7 4TJ, UK.
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For Peer Review

Abstract

The size composition of primary producers has a potential influence on the length of marine food chains and carbon sinking rates, thus on the proportion of primary production that is removed from the upper layers and available to higher trophic levels. While total rates of primary production are widely reported, it is also necessary to account for the size composition of primary producers when developing food web models that predict consumer biomass and production. Empirical measurement of size composition over large space and time scales is not feasible, so one approach is to predict size composition from environmental variables that are measured and reported on relevant scales. Here, we describe relationships between the environment and the size composition of phytoplankton communities, using a collation of empirical measurements of size composition from sites that include polar, tropical and upwelling environments. The size composition of the phytoplankton communities can be predicted using two remotely-sensed variables, Chl *a* concentration and sea surface temperature. Applying such relationships in combination allows prediction of the slope and location of phytoplankton size spectra and estimation of the percentage of different sized phytoplankton groups in communities.

Keywords: Primary production, community, phytoplankton, trophic level, transfer efficiency, size composition, picoplankton, nanoplankton, microplankton.

Introduction

Global primary production by marine phytoplankton is around 5×10^{10} tonnes C y^{-1} (Carr et al. 2006) but there are large regional variations in the proportion of this production being removed from the upper layer through sinking and therefore available to higher trophic levels. This is due to variations in absolute productivity among regions, with 50% of production estimated to come from 27% of ocean area (Longhurst et al. 1995), and to regional differences in phytoplankton community structure. Regionally, the factors that affect the availability of phytoplankton to a given size class of consumers are their (i) spatial and temporal distribution, (ii) palatability, (iii) abundance, and (iv) size composition (due to morphological constraints of consumers and because cell individual sinking rates are related to size (Smayda 1971)).

Marine pelagic food chains are strongly size-based, with larger predators eating smaller prey. This size-based predation is predominantly responsible for the transfer of energy from phytoplankton to

1 progressively larger animals and total production falls with body mass as trophic level rises
2 (Sheldon et al. 1972). Mean ratios between predator and prey body mass (predator-prey mass ratio
3 PPMR) are relatively constant in marine ecosystems (Barnes et al. 2010). Consequently, when
4 primary producers are smaller, there are, on average, more steps in a food chain to a predator of
5 given size. Further, since mean annual trophic transfer efficiency at each step is also relatively
6 constant (Barnes et al. 2010), production by consumers of a given body size will be a smaller
7 proportion of primary production in regions where the primary producers are smaller. Such an
8 effect has been shown in lakes (Sprules and Munawar 1986), although it has not yet been reported
9 in large-scale observations in marine systems (San Martin et al. 2006b).

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20 With knowledge of PPMR and the factors that influence trophic transfer efficiency, estimates of
21 primary production can be used to predict production at higher trophic levels (Dickie 1976). For
22 global scale analyses of the transfer of energy from phytoplankton to higher trophic levels it is often
23 convenient to use primary production estimates based on satellite measurements of surface
24 chlorophyll concentration provided by the Sea-viewing Wide Field-of-view Sensor (SeaWiFS)
25 global time series (McClain et al. 2004). Some progress has been made with estimating
26 phytoplankton cell sizes by linking phytoplankton absorption to phytoplankton size classes using a
27 single variable, the optical absorption by phytoplankton at 443 nm, which can be derived from the
28 inversion of ocean colour data (Hirata et al. 2008) but a complementary approach is to identify
29 general relationships between remotely-sensed environmental variables and the size composition of
30 phytoplankton communities.

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41 Here, we seek to identify relationships between the observed size composition of phytoplankton
42 communities and remotely-sensed environmental variables. Our aim is purely to use readily
43 available remotely-sensed environmental variables such as surface chlorophyll and sea surface
44 temperature to enable estimations of phytoplankton size parameters for input to models. To provide
45 a comprehensive description of the size and relative abundance of cells we used 4 descriptors of
46 community structure: (1) mean mass (\log_{10}), (2) the variance of mass (\log_{10}), (3) the slope of size
47 spectra (relationship between the logarithm of total abundance by cell mass class and the logarithm
48 of cell mass, with individuals binned to cell mass classes irrespective of species identity (Sheldon
49 and Parsons 1967)) and (4) the range of cell masses that encompass a given proportion of total
50 biomass or production. This information is necessary to predict phytoplankton production by size
51 class in inputs to size-based models of production at higher trophic levels (Jennings et al. 2008;
52 Blanchard et al. 2009).

Method

Abundance and species composition were determined for phytoplankton in 361 water samples collected at twelve sites: five transects from 48° N to 50° S in the Atlantic Ocean (hereafter AMT1-5, $n = 125$, taken at 7 m (second samples at each site, taken at the deep Chl *a* maximum were excluded from this analysis), the Benguela upwelling ($n = 54$), mesocosms in the Bergen fjord ($n = 46$), the Irminger Sea ($n = 59$), Long Island Sound ($n = 7$), the North Sea ($n = 44$), the Norwegian Sea ($n = 19$) and the Oregon upwelling ($n = 7$). In all locations samples were taken in subsurface but see Irigoien et al. (Irigoien et al. 2005) for details. Sub-samples (100 ml) were settled (Utermöhl technique (Lund et al. 1958)) and individuals counted at the species level with an inverted microscope. Heterotrophic species were excluded from the analysis. Picoplankton was measured using flow cytometry (see Irigoien et al (Irigoien et al. 2004) for details). Biomass was calculated as the product of numerical abundance and cell mass. More details of sample positions, collection, processing and composition are provided by Irigoien et al. (Irigoien et al. 2004; Irigoien et al. 2005).

To assess the proportion of phytoplankton biomass (B) or production (P) that was attributable to cells in specified mass (M) ranges, we investigated expressing cumulative B or P as a function of M by attempting to fit various forms. All samples were successfully fitted by:

$$B = 100 (1 - \exp(-pM^q)) \quad \text{Equation 1}$$

The fitted relationships were used to predict the M ranges that contributed to 10%, 25%, 50%, 75%, 80% and 90% of total B or P (Fig. 1). For example,

$$M_{80\%} = (-\ln(0.2)/p)^{1/q} \quad \text{Equation 2}$$

Since P was not measured directly, we calculated the net production rate (R) of individuals from M, accounting for photosynthetic active radiation (PAR) following López-Urrutia et al. (López-Urrutia et al. 2006):

$$\ln R = \ln(Nc) + \alpha \times \ln(M) - E \times (1/kT) + \ln\left(\frac{PAR}{PAR + K_m}\right) \quad \text{Equation 3}$$

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2 where N_c is the normalization constant ($\ln(N_c) = -11.28$); α is the allometric exponent ($\alpha = 1.05$); E is
3 the activation energy for photosynthetic reactions ($E = 0.29$); and $PAR/(PAR + K_m)$ is the Michelis-
4 Menten photosynthetic light response where PAR is the photosynthetically available radiation at the
5 sample site and K_m is the half-saturation constant ($K_m = 1.51$) that represents the amount of quanta
6 at which half the maximum photosynthetic activity is reached. R is expressed as metabolic rate in
7 mmol of $O_2 d^{-1}$ and M is expressed in $pg C$, T is the absolute temperature and PAR is irradiance in
8 mol photons (Einsteins) $m^{-2} d^{-1}$ (2003 PAR values for each sample site were taken from
9 <http://oceandata.sci.gsfc.nasa.gov/SeaWiFS/Mapped/Annual/par/>). Rate in mmol of $O_2 d^{-1}$ was
10 converted to rate in $pg C year^{-1}$ (molar mass of $C = 12.01$):
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$$19 \quad \text{Rate in } pg C \text{ year}^{-1} = R / 12.01 \times 365 \times 10^{12} \quad \text{Equation 4}$$

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24 Biomass of each species of phytoplankton in each sample was calculated by multiplying the
25 abundance by species mean M . Attempts to fit Pareto distributions to estimate the slopes of size-
26 spectra (Vidondo et al. 1997) were unsuccessful since the data consisted of abundance by mean
27 species' cell mass rather than individual mass measurements. Instead, traditional normalized
28 biomass size spectra were defined by assigning each species to a \log_2 integer scale size class based
29 on the mean cell size of the species. Total abundance of individuals at mass was then calculated by
30 summing the numbers of individuals of all species in each size class. Biomass in each size class was
31 normalized by dividing the biomass in that class by the width of the class. The size spectrum slope
32 and intercept were calculated for each sample at each site after removing very small and very large
33 classes so that all contiguous classes contained non-zero abundance. To ensure that slope and
34 intercept were independent, mid-point heights of the spectra were zeroised (Daan et al. 2005). All
35 data manipulation and analyses were performed using R (R Development Core Team 2007).
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47 To provide a long-term description of the environment at the sampling sites we estimated mean
48 annual sea surface temperature (SST), surface chlorophyll a concentration (Chl a) and primary
49 production (PP) at each site. SST data were derived from the Moderate-resolution Imaging
50 Spectroradiometer (MODIS) aboard the NASA satellites. Monthly SST averages for 2003 were
51 extracted through the Jet Propulsion Laboratory physical oceanography DAAC web portal
52 (<http://poet.jpl.nasa.gov/>) and averaged to give a mean annual value at a scale of $36 km^2$. Estimates
53 of Chl a were taken from Sea-viewing Wide Field-of-view Sensor (SeaWiFS) data
54 (<http://orca.science.oregonstate.edu/1080.by.2160.monthly.hdf.chl.seawifs.php>) by calculating an
55 annual mean value for each sampling location from data extracted for each month in 2003. Where
56 no value was available for a given month at a particular location then the value from the nearest
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1 available location for that month was used. PP was computed from a wavelength- and depth-
 2 resolved model (Mélin 2003), building on the approach of Longhurst et al. (Longhurst et al. 1995).
 3 The main biological input to the models was the surface concentration of chlorophyll a pigment
 4 provided by SeaWiFS (see above). All changes from the implementation of Longhurst et al.
 5 (Longhurst et al. 1995) are detailed in Mélin (Mélin 2003). Outputs were calculated on a 36km grid.
 6 Annual primary production was obtained by averaging positive values ($\text{mg C m}^{-2}\text{d}^{-1}$) over the
 7 number of available months, except at high latitudes where it was normalized to 12 (as ocean colour
 8 has no good coverage of wintertime high latitudes, owing to the presence of cloud cover and sea
 9 ice). The mean annual values were assigned to each station location. When station locations had to
 10 be matched to point locations rather than onto a grid, we attempted the match in the following
 11 order; (1) number of degrees to one decimal place for both latitude and longitude, (2) number of
 12 degrees to one decimal place for latitude, rounded whole number of degrees for longitude, (3)
 13 rounded whole number of degrees for latitude and number of degrees with one decimal place for
 14 longitude, (4) rounded whole number of degrees for both latitude and longitude. All were
 15 successfully matched (split between the 4 priorities 0%, 8%, 5% and 87% respectively).
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30 For some comparisons, cell size had to be converted between equivalent spherical diameter (ESD)
 31 and M . For example, a phytoplankton cell with ESD $2\mu\text{m}$ has carbon content of approximately
 32 0.8pg (-0.08 on the \log_{10} pg scale used in our Figures) using the conversion
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$$37 \text{ pg C year}^{-1} = 0.216 \times \text{volume}^{0.939} \mu\text{m}^{-3} \quad \text{Equation 5}$$

38 reported for taxonomically diverse protist plankton (Menden-Deuer and Lessard 2000).
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45 Relationships were explored between the remotely-sensed environmental variables primary
 46 production, sea surface temperature and chlorophyll a and (a) cell mass that accounted for 50% of
 47 total phytoplankton biomass (i.e. 50% on cumulative biomass curve) (hereafter M_{B50}), (b) cell mass
 48 range that included 80% of total biomass (range of cell masses from 10% to 90% of biomass on
 49 cumulative biomass curve) (M_{B90-10}), (c) cell mass that accounted for 50% of total phytoplankton
 50 production (i.e. 50% on cumulative production curve) (M_{P50}), (d) cell mass range that included 80%
 51 of total production (M_{P90-10}), (e) size spectra slope and (f) size spectra mid-point height. Prior to
 52 performing ANOVA, where necessary the data were log-transformed to achieve normality and
 53 equality of variance.
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To identify the remotely-sensed environmental variables that best predicted the properties of the phytoplankton communities we randomly divided the data into equal-sized ‘training’ and ‘predicting’ data sets (Tian et al. 2007). We fitted linear models to the cases in the training set and then evaluated how well these models predicted the properties of the phytoplankton communities in the predicting set. The training set models were of the form:

$$Y(t) = f(X(t); \theta) + \text{error} \quad \text{Equation 6}$$

where the $Y(t)$ variable was related to a function of a subset of the environmental variables $X(t)$ and the parameters θ were estimated by least squares. The models were assumed to be normally distributed with mean zero and constant variance. The effect of each variable as a predictor was tested individually; then each was tested as the second variable along with the variable previously identified as the best predictor.

The performance of the training set models was evaluated using the prediction data set and we described performance with the following summary statistic (Tian et al. 2007):

$$D = |Y(p) - Z(p); \hat{\theta}| \quad \text{Equation 7}$$

Where $Y(p)$ are the Y values in the prediction data set and $Z(p)$ contains the predicted values of $Y(p)$ based on the training data set model with its estimated parameter vector $\hat{\theta}$. This statistic can be interpreted as prediction error.

We report the mean values of D from 1000 calculations based on different random choices of the training and prediction data sets. This approach ensures that our results are not affected by the selection of training and prediction data sets. We refer to the mean D as \bar{D} and use it to evaluate our models (rather than a summary of the fit of the model) because we want to use our model for prediction (models with the best fit often include more variables than those that give the best prediction). We then investigate the explanatory power of the best prediction model by fitting the model to the whole dataset.

Applying these relationships in combination allows prediction of the slope, intercept and location of phytoplankton size spectra with respect to M . The location of the cell mass range is calculated so

that that the integrated biomass to either side of the mid-point is equal. Thus the value for mass at 10% (M_{B10}) is calculated as:

$$M_{B10} = M_{B50} \left\{ \left(10^{(b+1) \log_{10}(M_{B90-10})} + 1 \right) / 2 \right\}^{-1/(b+1)} \quad \text{Equation 8}$$

where M_{B50} is mid-point mass, b is slope and $\log_{10}(M_{B90-10})$ is $(\log_{10}(M_{B90}) - \log_{10}(M_{B10}))$, the values predicted by the models. The derivation is shown in the Supplementary Material. M_{B90} is then calculated as:

$$M_{B90} = 10^{(\log_{10}(M_{B10}) + \log_{10}(M_{B90-10}))} \quad \text{Equation 9}$$

A statistical model that adequately fitted the very variable tails of the cumulative distribution was not found, and so to ensure the integrated B was equal to 100% of cumulative B the values of M_{B0} and M_{B100} were estimated by plotting M_{B10} , M_{B50} and M_{B90} and fitting a second order polynomial relationship.

The percentage contribution of a particular size class to total community biomass or production can then be calculated for a given sea surface temperature and chlorophyll a concentration. The derivations of the equations are shown in the Supplementary Material.

Results

Mean cell mass ranged from 243pg of C (AMT3) to 4740pg of C (Bergen fjord) and variance was lowest on AMT1 and highest in the Bergen fjord (Table 1). M_{B50} ranged from 1.6pg C (AMT3) to 1209pg C (Long Island Sound) and M_{B90-10} ranged from 6.2pg C (AMT1) to 4476pg C (AMT4) (Table 1). M_{P50} ranged from 3.0pg C (AMT3) to 1340pg C (Long Island Sound) and M_{P90-10} ranged from 8.9pg C (AMT1) to 4070pg C (Bergen fjord) (Table 1).

Across all sites the mean size spectra slope was -1.19 , ranging from -1.66 in the Norwegian Sea to -0.74 in Long Island Sound. Mean mid-point height (\log_2 C pg) was 22.11 ranging from 20.54 on AMT5 to 23.41 in the Benguela. The fits of all size spectra slopes were significant at the 0.1 level except Long Island Sound ($p=0.14$) and mean r^2 was 0.63 (Table 2).

There was a positive linear relationship between M_{B50} (\log_{10}) and both of the variables primary production (\log_{10}) and chlorophyll a (\log_{10}) and an inverse linear relationship between M_{B50} (\log_{10})

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2 and sea surface temperature. There was an inverse linear relationship between M_{B90-10} (\log_{10}) and
3 both of the variables primary production (\log_{10}) and chlorophyll a (\log_{10}) (Fig. 2 and Supplementary
4 Table 1). There was a positive linear relationship between M_{B90-10} (\log_{10}) and sea surface
5 temperature but the p-value for the intercept was not significant (Fig. 2 and Supplementary Table
6 1). These biomass relationships were consistent with those for production (Fig. 3 and
7 Supplementary Table 1).
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14 There were significant positive linear relationships between size spectra slope and both the
15 variables primary production (\log_{10}) and chlorophyll a (\log_{10}) and an inverse relationship between
16 slope and sea surface temperature (Fig. 4 and Supplementary Table 1). The linear relationships
17 between size spectra mid-point height and primary production (\log_{10}) and chlorophyll a (\log_{10}) were
18 also significant and positive and again there was an inverse relationship with sea surface
19 temperature (Fig. 4 and Supplementary Table 1).
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26 Prediction results

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30 Prediction models are only useful for application on large space and time scales when the
31 explanatory variables are readily available so we only investigated prediction using primary
32 production, sea surface temperature and chlorophyll estimates that can be easily derived from
33 remote sensing.
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39 Chl a and SST together successfully predicted M_{B50} , M_{B90-10} , M_{P50} , M_{P90-10} and the slope of the size
40 spectrum, with all prediction models being significant at $p < 0.001$. No environmental variables
41 predicted the intercept of the size spectrum any better than by just taking the mean value. However,
42 to enable an estimate to be made, we report a single variable model using Chl a (this gave as good a
43 result as using SST or PP or any combination of the three variables) and this single variable
44 prediction model was also significant at $p < 0.001$. The prediction equations are given in Table 3 and
45 the statistical results are given in Supplementary Table 2.
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53 Applying these relationships in combination allows prediction of the slope, height and location of
54 the phytoplankton size spectra over relevant size ranges (Fig. 5) and the make-up of communities
55 by size class (see Supplementary Material). For example, where sea surface temperature is 15°C and
56 chlorophyll a concentration is 1.0 mg m⁻³ there is total biomass of 47 \log_{10} pg C, of which 24% is
57 picoplankton (ESD < 2µm), 76% is nanoplankton (ESD > 2 but < 20µm) and there is no microplankton
58 (ESD > 20µm).
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Discussion

The analyses reveal that relationships between the size composition of phytoplankton communities and the environment can be used to predict mean cell mass, the slopes of size spectra and the range of cell masses that encompass a given proportion of total biomass or production. Since predictions are based on temperature and chlorophyll estimates that are available at high resolution and over large spatial scales, for example from remote sensing, they can be used to predict community size structure at the same scales. Such predictions provide necessary inputs to models that seek to link primary production to production at higher trophic levels.

Chlorophyll concentration varies due to a variety of physical and chemical factors. Irradiance over the mixed layer depth, surface nitrate, sea-surface temperature, and latitude and longitude together can predict 83% of the variation in log chlorophyll in the North Atlantic (Irwin and Finkel 2008). We are not here investigating the mechanisms leading to the correlation between phytoplankton size composition and chlorophyll; instead we accept the practical value of the relationship pending research that identifies mechanistic relationships. Tight correlations between temperature, chlorophyll a and nitrate concentrations are known suggesting that the environmental factors are highly intertwined and strongly regulate the phytoplankton average cell size (Chen and Liu 2010).

Picophytoplankton made up 50% of the biomass at chlorophyll a concentrations less than 0.25 mg m^{-3} and at temperatures over 20.4°C . At this temperature, 50% of production was from cells up to $2.5 \mu\text{m}$. The relationship to chlorophyll is consistent with the findings of Agawin et al. (Agawin et al. 2000) who reported that picophytoplankton dominated ($\geq 50\%$) where chlorophyll a concentration was lower than 0.3 mg m^{-3} but they reported picophytoplankton dominating in waters over 26°C compared to our estimate of 20.4°C . Using our prediction models, which use both temperature and chlorophyll a concentration, we estimate that at 26°C and 0.3 mg m^{-3} chlorophyll concentration, phytoplankton cells up to $1.3 \mu\text{m}$ diameter (0.24 pg C) make up 50% of the biomass (see Fig. 5c) and up to $1.2 \mu\text{m}$ diameter (0.20 pg C) make up 50% of the production.

Slopes of phytoplankton size spectra are predicted to be steeper when total biomass is low and at higher temperatures. If the size-spectrum slope is -1 , then the trend is for all size classes to have equal biomass; if the slope is greater than -1 then biomass tends to increase with increasing cell mass; if the abundance-mass slope is less than -1 then biomass decreases with increasing cell mass. Modellers have often assumed slopes of either -0.75 or -1.0 when describing the size structure of

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2 the phytoplankton community but the model: “ $Slope = -0.007 SST (^{\circ}C) + 0.114 \log_{10} (Chl\ a\ (mg\ m^{-3})) - 1.049$ ” suggests a mean slope of -1.05 at $3^{\circ}C$ but -1.20 at $25^{\circ}C$ (for an intermediate level of
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4 $Chl\ a$ of $1.5\ mg\ m^{-3}$). There are relatively few empirical estimates of size-spectrum slope for
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6 phytoplankton communities with which to compare our estimates, as most estimates are for
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8 communities that include zooplankton. However, those available provide qualitative support for our
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10 results. For example, Marañón et al. (Marañón et al. 2007) reported that unproductive ecosystems
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12 were characterized by more negative slopes (-1.3 to -1.1) than productive ones (-0.8 and -0.6), but
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14 the slopes were rather shallower than reported in our study in which 10 of the 12 sites had a slope
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16 steeper (more negative) than -1 and 3 sites steeper than -1.3 . San Martin et al. (San Martin et al.
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18 2006a) also found that the slopes of biomass size spectra for the picoplankton and microplankton
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20 size ranges were positively related to biomass (but the pattern disappeared with the addition of
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22 mesozooplankton). They did not report relationships with temperature.
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25 Size spectrum slopes as steep as -1.39 have been reported for pure phytoplankton (Huete-Ortega et
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27 al. 2010). These values, like ours, are much steeper than the value of -0.75 that is theoretically
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29 predicted to result from the allocation of energy among competing individuals (Belgrano et al.
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31 2002). Our values are also steeper than the slope of -0.78 (Li 2002) and slopes ranging from -0.74
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33 to -1.06 (Cermeño and Figueiras 2008) reported for phytoplankton. Differences in slope could be
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35 attributed to sampling artefacts or ecological processes. Smaller plankton are not effectively
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37 sampled by some gears, are not so well preserved after sampling and can be under-sampled during
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39 microscopic identification so we expect that any bias in the data will lead to the under
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41 representation of small cells and lower rather than higher estimates of slope (Harris et al. 2000). Of
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43 necessity, deriving a size spectrum by pooling the abundance of cells with a mean mass that fall in
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45 that size class will introduce bias. Biases introduced by working with mean mass in studies of
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47 relationships between abundance and body mass are greatest when working with species that have
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49 indeterminate growth and when the range of body sizes considered is narrow (Jennings et al. 2007).
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51 These biases will be minimal for phytoplankton that have limited and determinate growth and a
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53 range in mean mass that spans over 5 orders of magnitude. Another factor that may have biased
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55 slope estimates is the exclusion of sizes at the extremes of the distribution. However, we excluded
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57 small and large species using the same rules. Since sampling and analytical artefacts are unlikely to
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59 explain why slopes are relatively negative, there may be an influence of ecological processes. For
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61 example, consumer density increases with temperature, leading to increased grazing pressure
(O'Connor et al. 2009) thus the slopes may increase as a result of greater predation rates on larger
phytoplankton or uniform predation rates that have a greater impact on larger phytoplankton owing
to their slower turnover times.

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4 Our analyses do not determine cause and effect and are primarily intended to predict the size
5 composition of phytoplankton communities from readily available large scale and high-resolution
6 remote sensing data to support parameterisation of food web models. However, direct linkages
7 between temperature and the size composition of phytoplankton communities have been proposed
8 (López-Urrutia 2008). He suggests that large cells may dominate in colder systems owing to the
9 different temperature dependence of heterotrophic and autotrophic rates. In colder areas
10 heterotrophs may not grow fast enough to control autotrophs while in warmer areas large
11 phytoplankton cells are likely to have relatively longer division times than smaller ones and may
12 not be able to attain high levels of abundance given the high abundance of heterotrophic predators.
13 We also expect community structure to be influenced by nutrient supply and the colder seas (as
14 represented in the database) often correspond to areas with more nutrients (e.g. upwellings and
15 coastal areas) than the warmer ones (e.g. oligotrophic subtropical gyres).
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27 Other methods are being developed to predict the size composition of phytoplankton communities
28 at high spatial resolution over large spatial scales. For example, Uitz et al. (Uitz et al. 2006; Uitz et
29 al. 2008) have determined size-spectra of phytoplankton communities from near-surface
30 chlorophyll a concentration using accessory pigments as markers for pico, nano and micro-plankton
31 to infer the column-integrated phytoplankton biomass, its vertical distribution, and ultimately the
32 community composition by quantifying on a global scale the phytoplankton biomass associated
33 with each of the three algal assemblages. However pigment analysis based on high-performance
34 liquid chromatography although a widely used method for studying phytoplankton community
35 composition does not provide any information on size structure in each group (Chen and Liu 2010).
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45 Our approach can be used to allocate phytoplankton biomass and primary production to cell mass
46 classes and thus improve the description of the primary producer community in size based models
47 that have been used to link primary production and production at higher trophic levels. This is
48 useful because estimates of phytoplankton production from NPZD (Nutrient Phytoplankton
49 Zooplankton Detritus) models and remote sensing rarely provide information on the size
50 composition of the phytoplankton community alone. At a sea surface temperature of 5°C, our
51 predictions suggest that the phytoplankton cell size at 50% of biomass ranges from 31 to 47 pg C, at
52 15°C it ranges from 5.4 to 8.1 pg C and at 25°C it ranges from 0.9 to 1.4 pg C for intermediate
53 chlorophyll a concentrations from 1 to 1.5 mg m⁻³. At a sea surface temperature of 5°C the
54 phytoplankton cell size at 50% of production ranges from 3.3 to 4.8 pg C, at 15°C from 0.9 to 1.3
55 pg C and at 25°C from 0.2 to 0.3 pg C over the same range of chlorophyll a concentrations. The
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2 range of cell sizes that make up the majority of the community (the mid 80% of
3 biomass/production) is smaller at more productive sites but wider at warmer temperatures. One
4 consequence of the dominance of smaller primary producers in less productive waters is that food
5 chains will be longer. The ratio between the sizes of consumers and their prey (reported as the
6 predator-prey mass ratio, PPMR) does not depend on production or temperature (Barnes et al.
7 2010), so the mean trophic level of a given size class of consumers would be expected to be higher
8 in low productivity areas such as ocean gyres. Since smaller primary producers are linked to lower
9 primary production and PPMR and transfer efficiency may be unrelated to the environment,
10 production at higher trophic levels is disproportionately low when primary productivity is low.
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20 In addition to predicting fluxes of energy to higher trophic levels and the biomass of consumer
21 communities based on measurements of primary production and temperature, our predictive
22 relationships may also be valuable for predicting how the composition of phytoplankton
23 communities may change in relation to environmental change. For example, Morán et al. (Morán et
24 al. 2009) have reported consistent relationships among temperature, cell size and picophytoplankton
25 abundance and speculate that the size of cells in phytoplankton assemblages will gradually decrease
26 as temperatures rise. Li et al. (Li et al. 2009) concur that a reduction in community average cell size
27 because of an increase in the abundance of individuals belonging to small-sized species may be a
28 common response to increasing sea temperatures. There is evidence that reduced body size is the
29 third universal ecological response to global warming besides the shift of species ranges toward
30 higher altitudes and latitudes and the seasonal shifts in life-cycle events (Daufresne et al. 2009).
31 Such influences need to be considered in models that seek to predict how future changes in primary
32 production and temperature will affect production at higher trophic levels.
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44 **Conclusions**

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48 The size composition of primary producers is an essential input to enable estimations of food chain
49 length, consumer biomass and production in any given location. We have described relationships
50 between the environment and the size composition of phytoplankton communities using
51 environmental variables that are easily estimated from ocean colour satellite measurements.
52 Estimates of consumer biomass, production and trophic level depend on the length of food chains
53 that support this biomass, a consequence of predator-prey size relationships and the size
54 composition of primary producers. As mean predator-prey size ratios in marine ecosystems do not
55 depend on temperature or primary production, the size composition of the phytoplankton
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2 community has an overriding influence on food chain length which, in turn can be used to further
3 explore fish production, fisheries catch potential and the bioaccumulation of contaminants.
4

5 6 7 **Acknowledgements**

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31 32 **References**

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4 Figure 1: A graphical summary of the definitions of cell mass ranges that account for various
5 proportions of biomass and production. M_{B50} (M_{P50}) is the cell mass at which 50% of biomass
6 (production) is reached. M_{B90-10} (M_{P90-10}) is the range of cell masses that make up the mid 80% (i.e.
7 $\log_{10}(\text{cell mass at 90\%}) - \log_{10}(\text{cell mass at 10\%})$ of biomass (production)).
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12 Figure 2: Relationships between (a) M_{B50} , the mass of phytoplankton cells that account for 50% of
13 total biomass and (b) M_{B90-10} , the range of phytoplankton cell masses that account for the mid 80%
14 of total biomass and remotely-sensed estimates of primary production, sea surface temperature and
15 chlorophyll a at 12 sites.
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21 Figure 3: Relationships between (a) M_{P50} , the mass of phytoplankton cells that account for 50% of
22 total production and (b) M_{P90-10} , the range of phytoplankton cell mass that account for the mid 80%
23 of total production and remotely-sensed estimates of primary production, sea surface temperature
24 and chlorophyll a at 12 sites
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30 Figure 4: Relationships between (a) size spectra slopes and (b) size spectra mid-point heights and
31 remotely-sensed estimates of primary production, sea surface temperature and chlorophyll a at 12
32 sites.
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37 Figure 5: Size spectra predictions for (a) a range of sea surface temperatures at an intermediate
38 chlorophyll a level of 1 mg m^{-3} ; (b) a range of chlorophyll a levels at 10°C and (c) a range of sea
39 surface temperatures and a range of chlorophyll a levels. • show position of M_{B50} , the mass of
40 phytoplankton cells that account for 50% of total biomass. See text for explanation for mid-point
41 prediction comparison with Agawin et al. (2000).
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50 Table 1: Phytoplankton cell mass ($\mu\text{g C}$) statistics from 12 sites that include polar, tropical and
51 upwelling environments.
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55 Table 2: Properties and statistical analysis of normalised biomass size spectra from 12 sites that
56 include polar, tropical and upwelling environments.
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59 Table 3: Equations for predicting phytoplankton cell sizes from remotely-sensed variables.
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Table 1

	%	AMT1	AMT2	AMT3	AMT4	AMT5	Benguela	Bergen fjord	Irminger	Long Island Sound	North Sea	Norwegian Sea	Oregon upwelling
Mean cell mass		253	279	243	316	246	912	4740	381	742	788	267	702
1 standard deviation		670	1150	817	941	954	4844	99418	1083	2133	3379	1052	1728
1 standard error		5.5	9.9	6.6	7.3	7.5	28	635	6.4	33	25	14	24
Smallest cell mass		0.1	0.1	0.01	0.01	0.01	0.1	1.0	0.2	1.0	1.0	1.0	0.2
Largest cell mass		8206	27123	10686	15221	27123	49930	2799208	14709	27123	143195	7000	14709
Cell mass	10	19	0.31	0.06	0.03	0.22	5.3	4.9	3.4	175	20	2.2	4.6
that	25	25	1.8	0.30	0.19	0.6	20	15	6.4	466	41	5.3	15
accounts	50	35	10	1.6	1.8	3.8	165	117	19	1209	123	12	81
for % of	75	54	47	11	17	35	1324	961	81	2722	496	24	505
total	80	64	69	18	30	60	2181	1558	122	3265	708	28	789
biomass	90	118	184	71	132	229	8051	5174	361	5109	1769	41	2419
Cell mass range that accounts for the mid 80% of total biomass		6.2	590	1098	4476	1049	1509	1050	107	29	90	19	529
Cell mass	10	19	0.81	0.15	0.35	0.58	7.2	6.0	3.8	225	24	2.6	5.6
that	25	25	3.1	0.59	0.61	1.3	35	26	7.4	564	52	6.0	22
accounts	50	36	14	3.0	3.2	7.4	265	208	24	1340	161	13	118
for % of	75	63	61	19	33	53	2056	2590	124	2766	627	25	641
total	80	78	89	30	58	85	3470	4895	190	3252	881	29	951
production	90	165	247	101	258	269	25325	24322	590	4848	2093	41	2526
Cell mass range that accounts for the mid 80% of total production		8.9	305	657	745	463	3506	4070	155	22	88	16	453

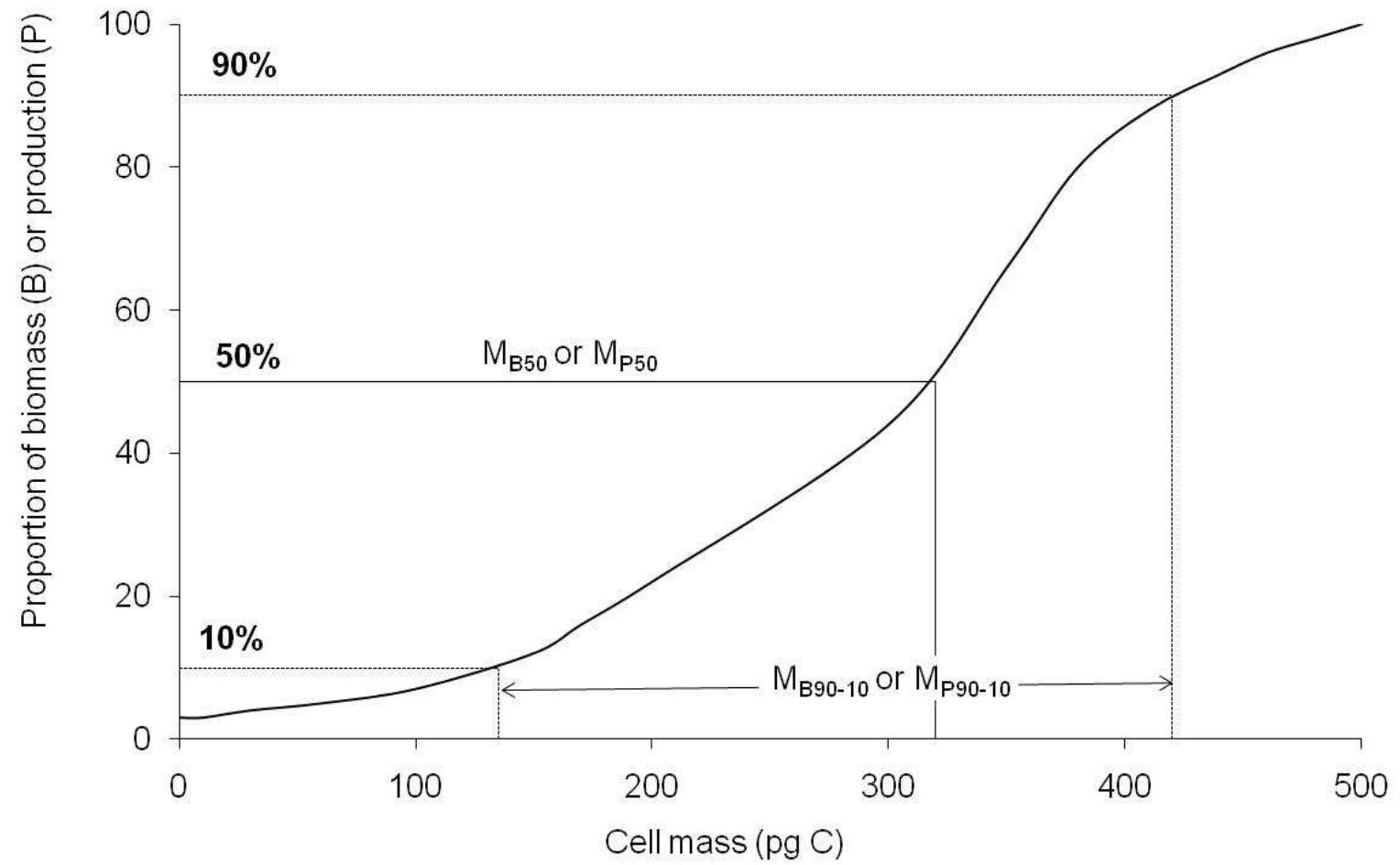
Table 2

	AMT1	AMT2	AMT3	AMT4	AMT5	Benguela	Bergen fjord	Irminger	Long Island Sound	North Sea	Norwegian Sea	Oregon upwelling
No. samples	25	25	25	26	24	54	46	59	7	44	19	7
Mean slope	-1.18	-1.32	-1.36	-1.28	-1.22	-1.16	-0.94	-1.08	-0.74	-1.10	-1.66	-1.21
Mean mid-point zeroised height (\log_2 pg C)	21.38	21.91	21.78	21.37	20.54	23.41	22.56	22.48	23.24	21.11	22.35	23.22
Mean r squared	0.64	0.68	0.75	0.71	0.67	0.62	0.49	0.65	0.38	0.50	0.78	0.71
Mean p-value	0.018	0.014	0.005	0.009	0.016	0.017	0.058	0.015	0.143	0.067	0.004	0.021
Slope coefficient of variation	0.19	0.17	0.13	0.18	0.19	0.26	0.27	0.15	0.49	0.45	0.13	0.28
Mid-point zeroised height coefficient of variation	0.06	0.05	0.07	0.06	0.07	0.09	0.03	0.06	0.08	0.07	0.07	0.07
R squared coefficient of variation	0.28	0.18	0.11	0.17	0.24	0.24	0.39	0.22	0.63	0.38	0.09	0.34

Table 3

	Predicted Value	SST (°C) multiplier	Log ₁₀ (Chl a (mg m ⁻³)) multiplier	Constant
M _{B50}	Log ₁₀ (cell size (pg C) for 50% of biomass)	-0.076	0.999	1.873
M _{B90-10}	Log ₁₀ (cell size range (pg C) for mid 80% of biomass)	0.184	- 1.082	0.447
M _{P50}	Log ₁₀ (cell size (pg C) for 50% of production)	-0.058	0.909	1.813
M _{P90-10}	Log ₁₀ (cell size range (pg C) for mid 80% of production)	0.142	-0.837	0.906
Slope	Slope of the size spectrum	-0.007	0.114	- 1.049
Intercept	Log ₂ (intercept of the size spectrum)	0	0.816	29.802

Figure 1



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Figure 2

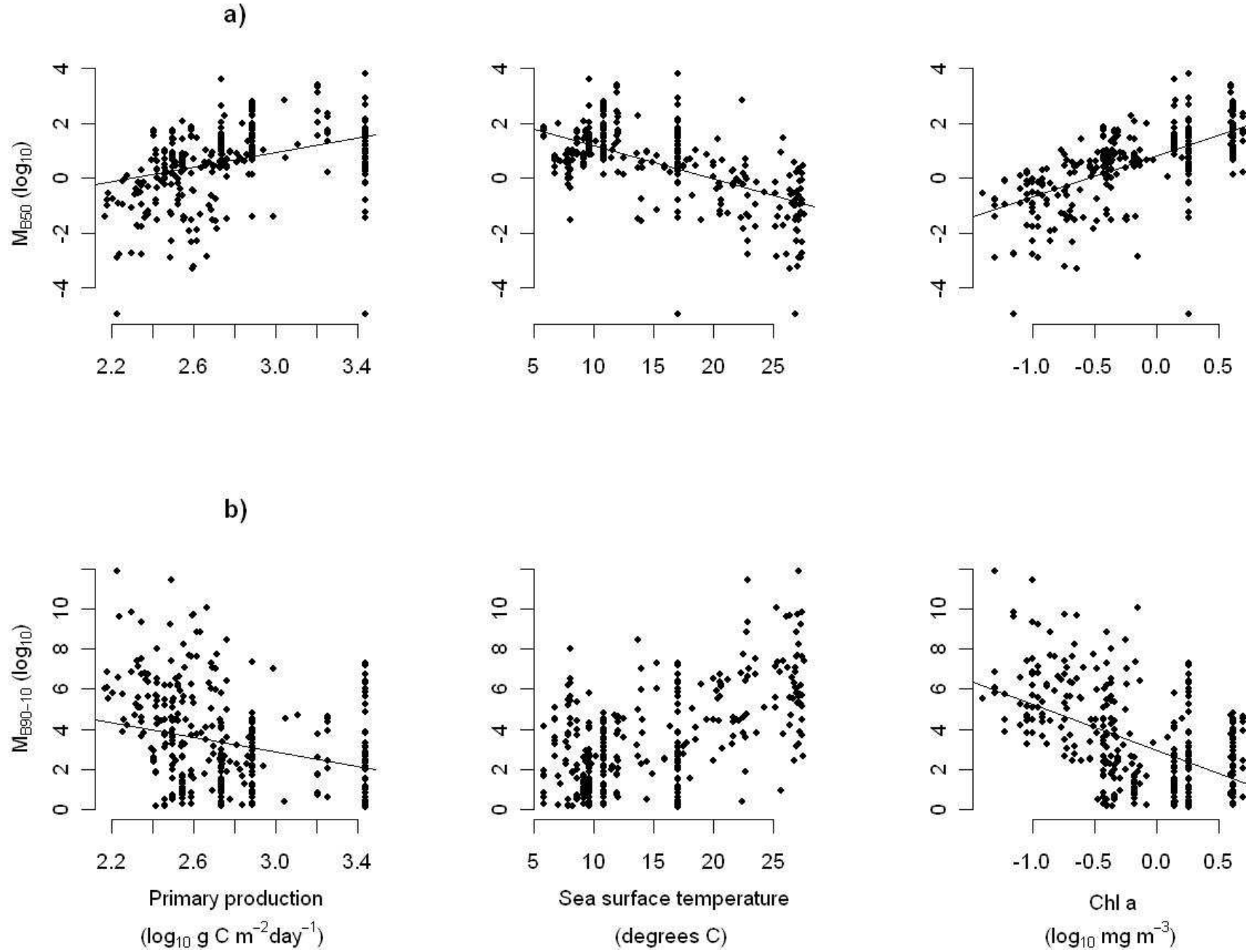


Figure 3

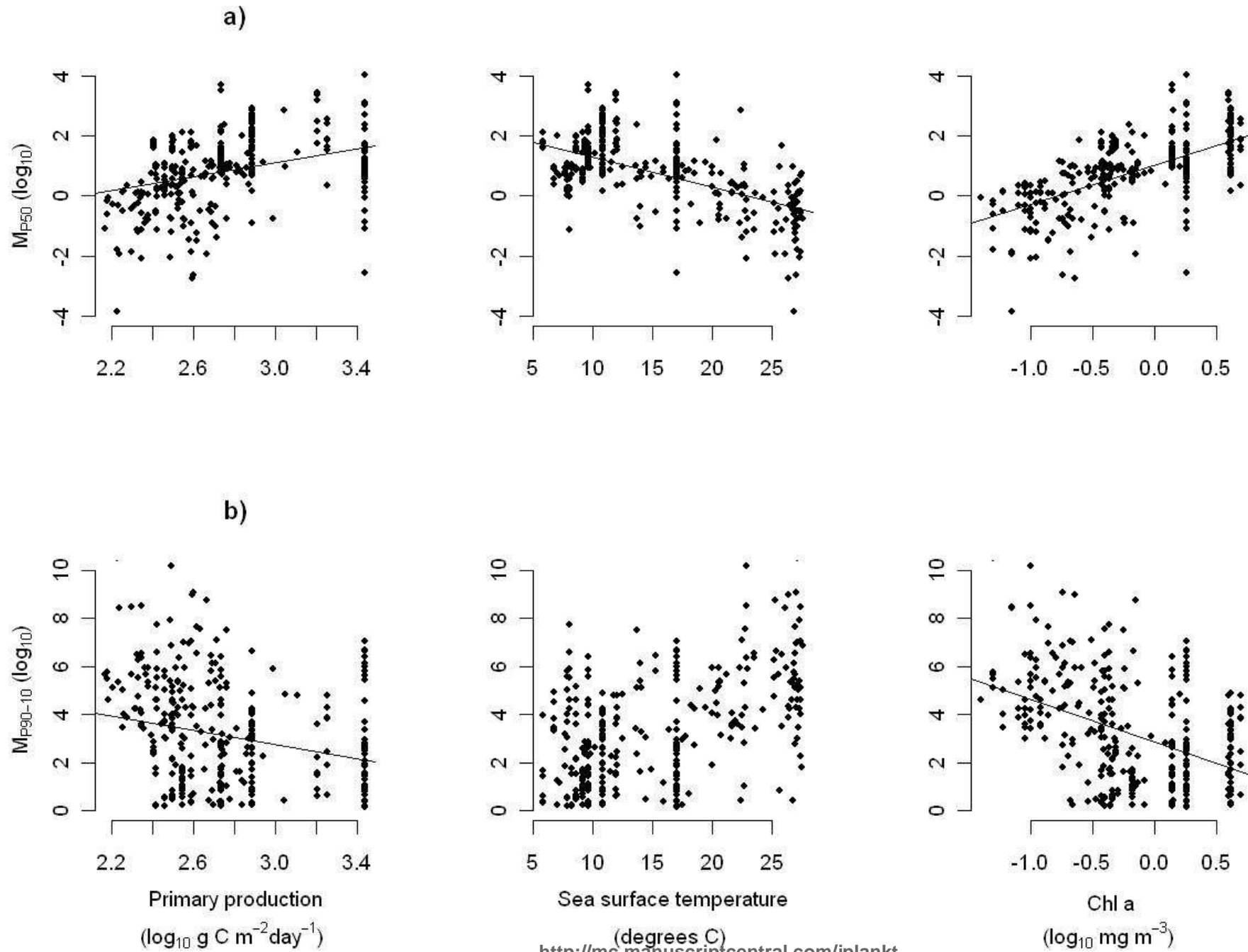


Figure 4

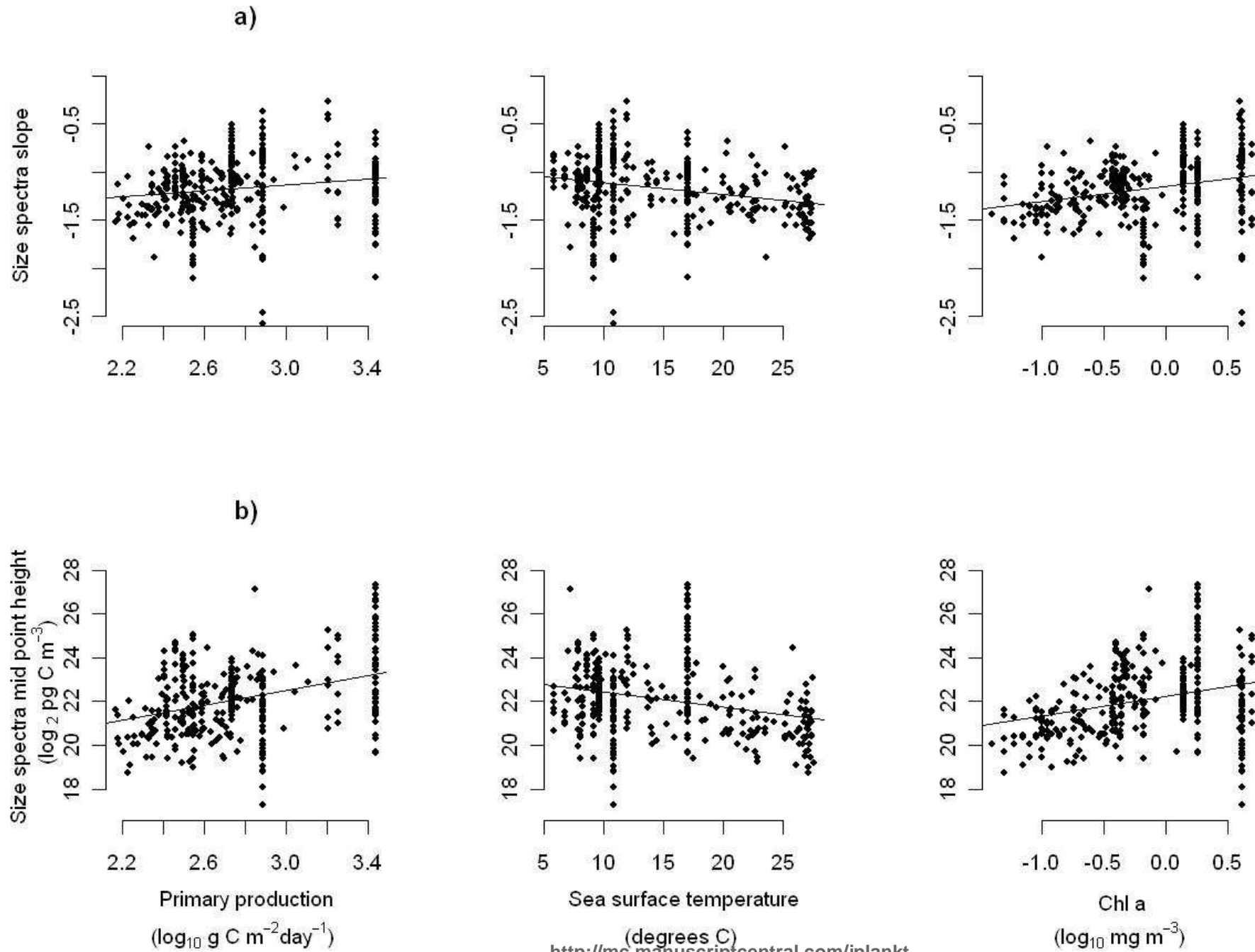
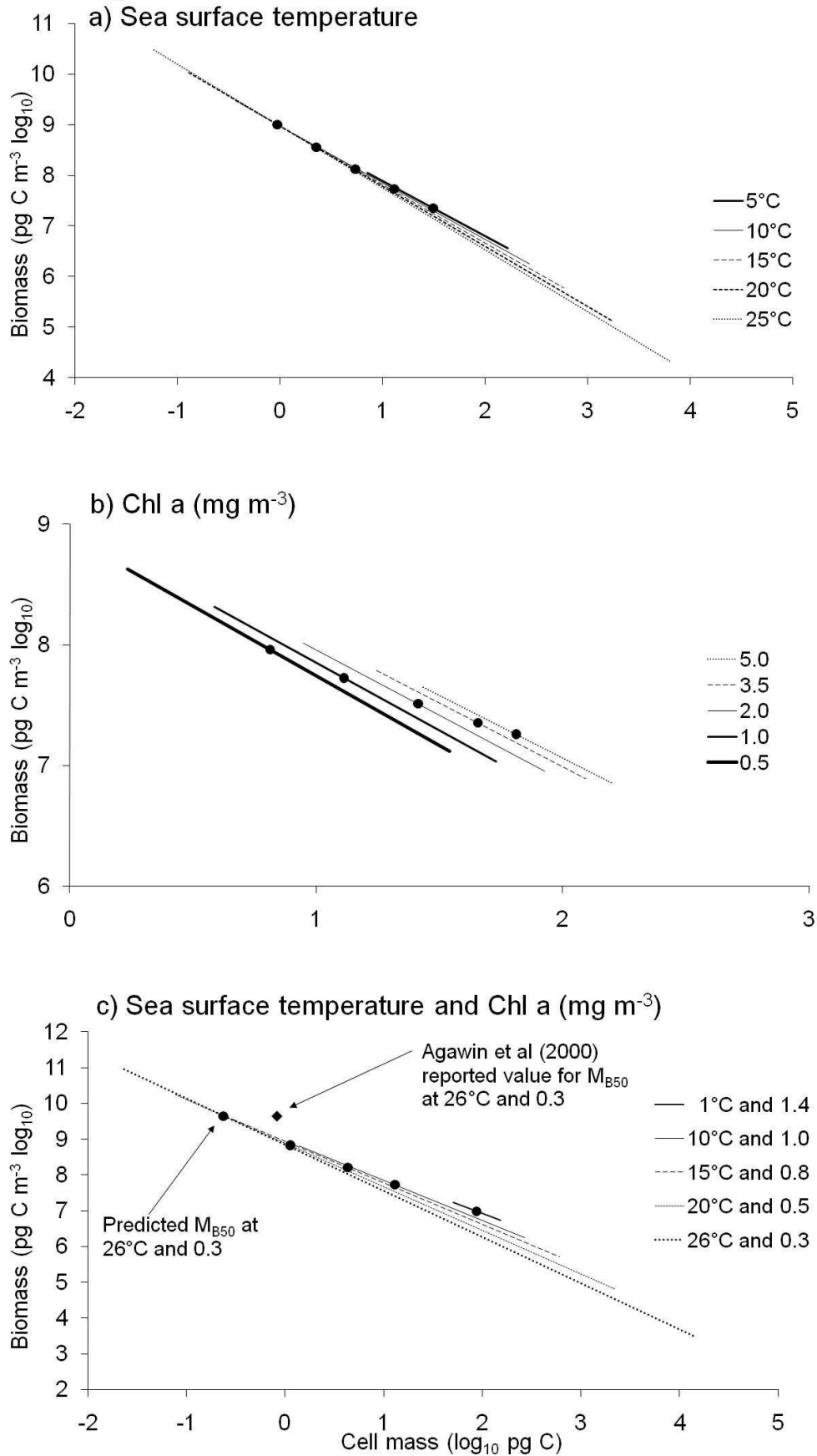


Figure 5



Supplementary Table 1: Analysis of variance statistics for linear relationships between remotely-sensed estimates of environmental variables and the phytoplankton cell masses that account for 50% of total biomass/production, the range that accounts for 80% of total biomass/production and the slope and mid-point height of size spectra of phytoplankton.

	Predicted Value	Environmental variable	F-stat	DF	P-value	Slope	Slope P-value	Intercept	Intercept P-value	R ²
M _{B50}	Cell mass (log ₁₀ pg C) that accounts for 50% of total biomass (log ₁₀)	Primary production (log ₁₀ g C m ⁻² day ⁻¹)	55.71	1,359	<0.001	1.331	<0.001	-3.063	<0.001	0.13
		Sea surface temperature (°C)	217.1	1,359	<0.001	-0.121	<0.001	2.388	<0.001	0.38
		Chlorophyll a (log ₁₀ mg m ⁻³)	235.1	1,359	<0.001	1.501	<0.001	0.834	<0.001	0.39
M _{B90-10}	Cell mass (log ₁₀ pg C) that accounts for the mid 80% of total biomass (log ₁₀ (90%) – log ₁₀ (10%))	Primary production (log ₁₀ g C m ⁻² day ⁻¹)	21.13	1,359	<0.001	-1.810	<0.001	8.304	<0.001	0.05
		Sea surface temperature (°C)	162.5	1,359	<0.001	0.232	<0.001	-0.109	0.709	0.31
		Chlorophyll a (log ₁₀ mg m ⁻³)	93.95	1,359	<0.001	-2.299	<0.001	2.965	<0.001	0.21
M _{P50}	Cell mass (log ₁₀ pg C) that accounts for 50% of total production (log ₁₀)	Primary production (log ₁₀ g C m ⁻² day ⁻¹)	56.86	1,359	<0.001	1.166	<0.001	-2.392	<0.001	0.13
		Sea surface temperature (°C)	179.5	1,359	<0.001	-0.099	<0.001	2.280	<0.001	0.33
		Chlorophyll a (log ₁₀ mg m ⁻³)	227.7	1,359	<0.001	1.292	<0.001	1.021	<0.001	0.39
M _{P90-10}	Cell mass (log ₁₀ pg C) that accounts for the mid 80% of total	Primary production (log ₁₀ g C m ⁻² day ⁻¹)	16.78	1,359	<0.001	-1.477	<0.001	7.192	<0.001	0.04
		Sea surface temperature (°C)	103.8	1,359	<0.001	0.179	<0.001	0.475	0.094	0.22

	production ($\log_{10}(90\%) - \log_{10}(10\%)$)	Chlorophyll a ($\log_{10} \text{ mg m}^{-3}$)	63.06	1,359	<0.001	-1.776	<0.001	2.850	<0.001	0.15
Slope	Size spectrum slope	Primary production ($\log_{10} \text{ g C m}^{-2} \text{ day}^{-1}$)	9.207	1,359	0.003	0.147	0.003	-1.576	<0.001	0.02
		Sea surface temperature ($^{\circ}\text{C}$)	22.86	1,359	<0.001	-0.012	<0.001	-0.990	<0.001	0.06
		Chlorophyll a ($\log_{10} \text{ mg m}^{-3}$)	27.03	1,359	<0.001	0.161	<0.001	-1.147	<0.001	0.07
Intercept	Size spectrum intercept ($\log_2 \text{ cells m}^{-3}$)	Primary production ($\log_{10} \text{ g C m}^{-2} \text{ day}^{-1}$)	54.16	1,359	<0.001	1.714	<0.001	17.378	<0.001	0.13
		Sea surface temperature ($^{\circ}\text{C}$)	29.21	1,359	<0.001	-0.070	<0.001	23.146	<0.001	0.07
		Chlorophyll a ($\log_{10} \text{ mg m}^{-3}$)	32.53	1,359	<0.001	0.897	<0.001	22.244	<0.001	0.08

Supplementary Table 2: Prediction statistics for remotely-sensed environmental variables used to predict phytoplankton cell sizes.

M_{B50}			M_{P50}				
Explanatory Variables		\bar{D}	Explanatory Variables		\bar{D}		
Mean		0.93	Mean		0.80		
Primary production (\log_{10})		0.88	Primary production (\log_{10})		0.77		
Sea surface temperature		0.74	Sea surface temperature		0.69		
Chl a (\log_{10})		0.72	Chl a (\log_{10})		0.65		
Chl a (\log_{10}), primary production (\log_{10})		0.71	Chl a (\log_{10}), primary production (\log_{10})		0.64		
Chl a (\log_{10}), sea surface temperature		0.66	Chl a (\log_{10}), sea surface temperature		0.61		
Prediction models							
Variables		SST + Chl a (\log_{10})		Variables		SST + Chl a (\log_{10})	
F-statistic		178.8		F-statistic		157.6	
Degrees of freedom		2,358		Degrees of freedom		2,358	
Multipliers (SST, \log_{10} Chl a)		-0.076	0.999	Multipliers (SST, \log_{10} Chl a)		-0.058	0.909
Intercept		1.873		Intercept		1.813	
P-value		<0.001		P-value		<0.001	
Adjusted R-squared		0.50		Adjusted R-squared		0.47	
M_{B90-10}			M_{P90-10}				
Explanatory Variables		\bar{D}	Explanatory Variables		\bar{D}		
Mean		2.12	Mean		1.94		
Primary production (\log_{10})		2.01	Primary production (\log_{10})		1.85		
Sea surface temperature		1.69	Sea surface temperature		1.66		
Chl a (\log_{10})		1.84	Chl a (\log_{10})		1.74		
Sea surface temperature, primary production (\log_{10})		1.67	Sea surface temperature, primary production (\log_{10})		1.63		
Sea surface temperature, Chl a (\log_{10})		1.67	Sea surface temperature, Chl a (\log_{10})		1.65		
Prediction models							
Variables		SST + Chl a (\log_{10})		Variables		SST + Chl a (\log_{10})	
F-statistic		93.82		F-statistic		58.88	
Degrees of freedom		2,358		Degrees of freedom		2,358	
Multipliers (SST, \log_{10} Chl a)		0.184	-1.082	Multipliers (SST, \log_{10} Chl a)		0.142	-
						0.837	

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Intercept	0.447	Intercept	0.906
P-value	<0.001	P-value	<0.001
Adjusted R-squared	0.34	Adjusted R-squared	0.24

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Supplementary Table 3: Prediction statistics for remotely-sensed environmental variables used to predict slope and intercept of phytoplankton size spectra.

Size spectra slope		Size spectra intercept	
Explanatory Variables	\bar{D}	Explanatory Variables	\bar{D}
Mean	0.26	Mean	1.70
Primary production (\log_{10})	0.25	Primary production (\log_{10})	1.70
Sea surface temperature	0.24	Sea surface temperature	1.70
Chl a (\log_{10})	0.24	Chl a (\log_{10})	1.71
Sea surface temperature, primary production (\log_{10})	0.24	Chl a (\log_{10}), primary production (\log_{10})	1.71
Sea surface temperature, Chl a (\log_{10})	0.23	Chl a (\log_{10}), sea surface temperature	1.72
Prediction models			
Variables	SST + Chl a (\log_{10})		Variable Chl a (\log_{10})
F-statistic	16.48		F-statistic 13.52
Degrees of freedom	2,358		Degrees of freedom 1,359
Multipliers (SST, \log_{10} Chl a)	-0.007	0.114	Multiplier (\log_{10} Chl a) 0.816
Intercept	-1.049		Intercept 29.802
P-value	<0.001		P-value <0.001
Adjusted R-squared	0.08		Adjusted R-squared 0.03

Supplementary Material

Calculation of biomass in defined cell size classes

The empirical models derived in the main paper are used to predict the slope (b) and intercept of the size spectrum, location of size spectrum midpoint (M_{B50}) and endpoints (M_{B10} and M_{B90}). M_{B50} is defined as M at 50% cumulative biomass based on the relationship between cumulative B and M . M_{B10} and M_{B90} are similarly defined for 10% and 90% respectively. All points are derived by fitting the relationship $B = 100 (1 - \exp(-pM^q))$ to data then rearranging and substituting to estimate M as $M = (-\ln(1-x/100)/p)^{1/q}$ where x is the relevant percentage.

The prediction equations were:

$$\text{Slope } (b) = -0.007 \text{ SST } (^{\circ}\text{C}) + 0.114 \log_{10} \text{ Chl } a \text{ (mg m}^{-3}\text{)} - 1.049$$

$$\text{Log}_2 (\text{intercept}) = 0.816 \log_{10} \text{ Chl } a \text{ (mg m}^{-3}\text{)} + 29.802$$

$$\text{Log}_{10} (M_{B90-10}) = 0.184 \text{ SST } (^{\circ}\text{C}) - 1.082 \log_{10} \text{ Chl } a \text{ (mg m}^{-3}\text{)} + 0.447$$

$$\text{Log}_{10} (M_{B50}) = -0.076 \text{ SST } (^{\circ}\text{C}) + 0.999 \log_{10} \text{ Chl } a \text{ (mg m}^{-3}\text{)} + 1.873$$

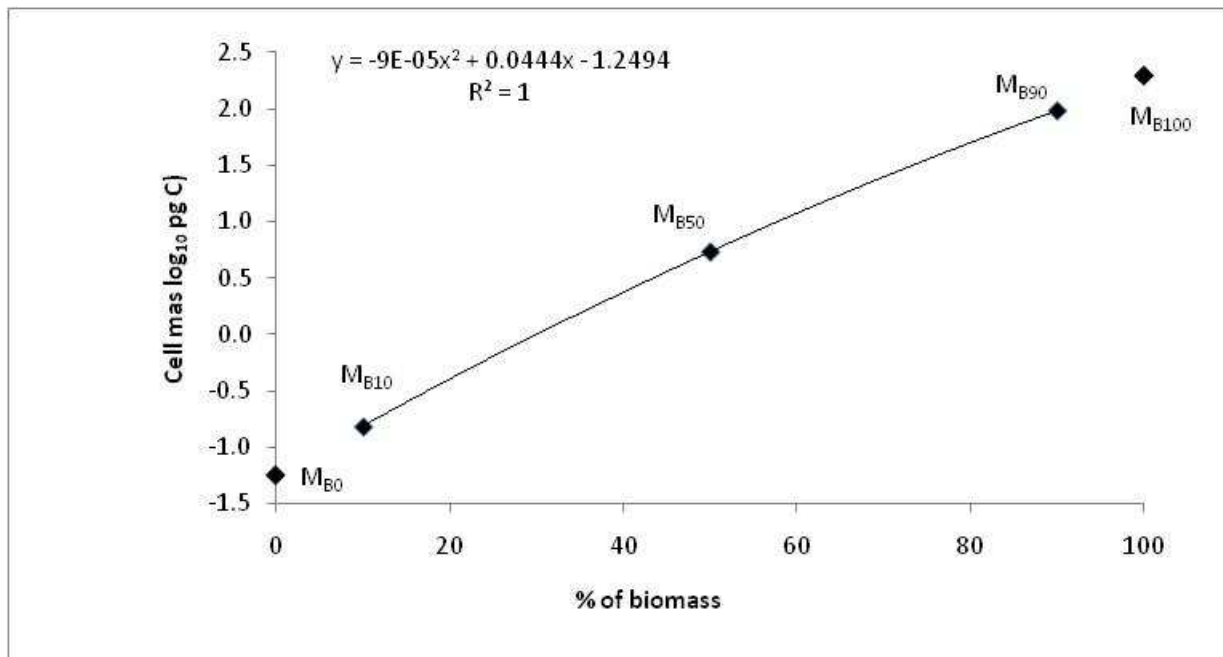
The location of the cell mass range is calculated so that that the integrated biomass to either side of the mid-point is equal. Thus the value for mass at 10% (M_{B10}) is calculated as:

$$M_{B10} = M_{B50} \left\{ \left(10^{(b+1) \log_{10}(M_{B90-10})} + 1 \right) / 2 \right\}^{-1/(b+1)}$$

And 90% (M_{B90}):

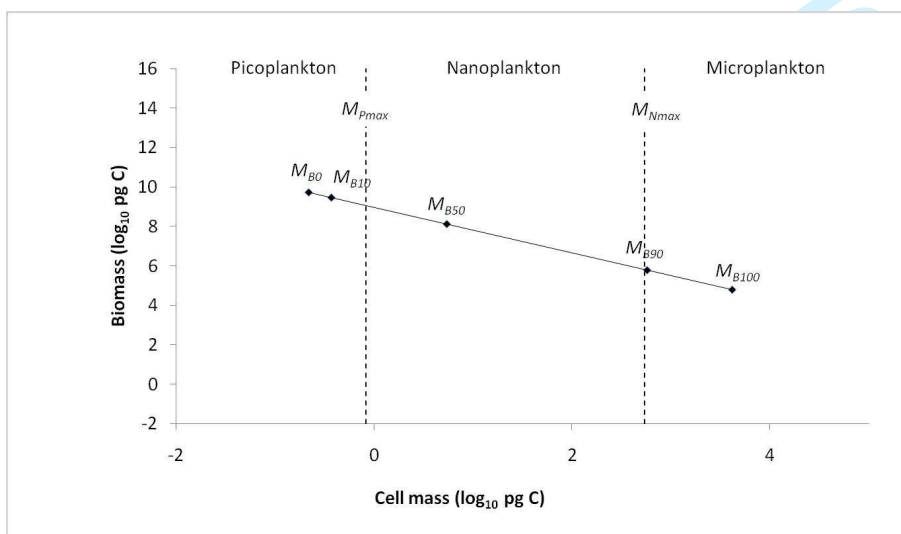
$$M_{B90} = 10^{(\log_{10}(M_{B10}) + \log_{10}(M_{B90-10}))}$$

A statistical model that adequately fitted the very variable tails of the cumulative distribution was not found, and so to ensure the integrated B was equal to 100% of cumulative B the values of M_{B0} and M_{B100} were estimated by plotting M_{B10} , M_{B50} and M_{B90} and fitting a second order polynomial relationship (Supplementary Fig. 1).



Supplementary Figure 1: Example plot of M_{B10} , M_{B50} and M_{B90} with a fitted second order polynomial relationship to enable estimation of M_{B0} and M_{B100} .

If the mass class boundaries between picoplankton and nanoplankton, and between nanoplankton and microplankton, are defined as M_{Pmax} ($=M_{Nmin}$) and M_{Nmax} ($=M_{Mmin}$) respectively then when (1) $M_{B0} < M_{Pmax}$ and $M_{B100} > M_{Nmax}$ then picoplankton, nanoplankton and microplankton are all present. When (2) $M_{B0} > M_{Pmax}$ and $M_{B100} > M_{Nmax}$ then nanoplankton and microplankton are present. When (3) $M_{B0} < M_{Pmax}$ and $M_{B100} < M_{Nmax}$ then picoplankton and nanoplankton are present and when (4) $M_{B0} > M_{Pmax}$ and $M_{B100} < M_{Nmax}$ then only nanoplankton are present (Supplementary Fig. 2).



Supplementary Figure 2: Example of the relationships between M_{B0} , M_{B10} , M_{B50} , M_{B90} and M_{B100} for a hypothetical size spectrum. The biomass of phytoplankton in picoplankton, nanoplankton and

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2 microplankton size classes is defined as the integrated biomass between M_{B0} and M_{B100} that falls
3 within class boundaries (broken lines denote mass class boundaries M_{Pmax} ($=M_{Nmin}$) and M_{Nmax}
4 ($=M_{Mmin}$)).
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9 So, to calculate the biomass of each size class that is present as a percentage of total biomass, the
10 following approaches are adopted in each of these cases: (1), calculate the biomass of picoplankton,
11 nanoplankton and microplankton as a proportion of total biomass, (2) picoplankton are not present,
12 so calculate the biomass of nanoplankton and microplankton as a proportion of total biomass, (3)
13 microplankton are not present, so calculate the biomass of nanoplankton and picoplankton as a
14 proportion of total biomass and (4) only nanoplankton are present, so they must constitute 100% of
15 total biomass.
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23 For biomass, calculate the area under the curve $y = mx + c$ between x_1 and x_n :
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$$27 \int_{x_1}^{x_n} (mx + c) dx = \left[\frac{1}{2} mx^2 + cx \right]_{x_1}^{x_n} = \frac{1}{2} mx_n^2 + cx_n - \frac{1}{2} mx_1^2 - cx_1$$

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33 Total biomass is found between M_{B0} and M_{B100} ; biomass in the picoplankton group is found
34 between M_{B0} and M_{Pmax} , biomass in the nanoplankton group is found between M_{Pmax} and M_{Nmax} and
35 biomass in the microplankton group is found between M_{Nmax} and M_{B100} .
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40 For example, where sea surface temperature is 15°C and chlorophyll a concentration is 1.0 mg m^{-3}
41 there is total biomass of $47 \log_{10} \text{ pg C}$, of which 24% is picoplankton, 76% is nanoplankton and
42 there is no microplankton.
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