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Bruno Kahn. Around Quillen's theorem A. 2011. hal-00614295v1

# HAL Id: hal-00614295 https://hal.science/hal-00614295v1

Preprint submitted on 10 Aug 2011 (v1), last revised 1 Jul 2014 (v3)

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## AROUND QUILLEN'S THEOREM A

#### BRUNO KAHN

ABSTRACT. We reformulate some exact sequences of Quillen into a spectral sequence converging to the homology of certain K-theory spaces.

In the memory of Daniel Quillen

#### Introduction

Let A be a Dedekind domain. Inspection shows immediately that the exact sequences of [10, Th. 3], used by Quillen to prove that the K-groups of A are finitely generated when A is a ring of S-integers in a global field, assemble to define an exact couple, hence a spectral sequence converging to the homology of KA. This gives potentially more power to Quillen's method, which as such yields no information on the ranks of these K-groups.

A natural way to imagine such a spectral sequence is to consider the maps

$$BQ_{n-1}\mathcal{P}(A) \to BQ_n\mathcal{P}(A)$$

used by Quillen as *homotopy cofibrations* rather than homotopy fibrations. That the resulting rank spectral sequence coincides with the above-mentioned spectral sequence follows from a simple argument of Vogel, see Remark 3.3.4.

The aim of this note is to construct the rank spectral sequence in a way as functorial as possible. The two operative ingredients are Thomason's theorem on the nerve of a Grothendieck construction (Theorem 1.4.3) and the notion of *cellular functor* (Definition 2.3.2), which is well-adapted to the present context thanks to Proposition 2.3.4. The main theorem is Theorem 3.3.3: we apply the theory in the slightly more general case of torsion-free sheaves over an integral scheme, which might (or might not) be useful elsewhere.

The next step would be to describe, or compute, the  $d^1$  differentials of this rank spectral sequence. This appears quite tricky and I don't tackle this issue here.

Date: Aug. 9, 2011.

Acknowledgements. I thank Pierre Vogel for explaining me the argument of Remark 3.3.4 in 2008, and Georges Maltsiniotis for pointing out Thomason's paper [11]. I also thank Fei Sun for several discussions around these questions.

I don't think Theorem A is used explicitly anywhere. Yet I feel its spirit is prevalent in this text, hence the title.

**Notation.** We denote by **Set**, **Ab sSet**, **Cat** the category of (small) sets, abelian groups, simplicial sets, categories. For  $n \geq 0$ , [n] denotes the totally ordered set  $\{0, \ldots, n\}$ , considered as a small category. We write \* for the category with one object and one morphism (sometimes for the set with 1 element). Finally,  $\Delta$  denotes the category of simplices (objects: finite nonempty ordinals, morphisms: non-decreasing maps).

We shall use Mac Lane's comma notation [8, p. 47]: if we have a diagram of functors

$$\mathcal{C} \stackrel{F}{\longrightarrow} \mathcal{D} \stackrel{F'}{\longleftarrow} \mathcal{C}'$$

the comma category  $F \downarrow F'$  has for objects the diagram  $F(c) \to F'(c')$ , and for morphisms the obvious commutative diagrams. We use the following abbreviations: if  $c \in \mathcal{C}$ , yielding the functor  $F_c : * \to \mathcal{C}$ , we write  $F_c \downarrow F' = c \downarrow F'$ ; similarly on the right.

### 1. Nerves with coefficients

Subsections 1.1–1.3 can essentially be found in Goerss-Jardine [5, Ch. IV].

#### 1.1. Set-valued coefficients.

1.1.1. Let  $\mathcal{D} \in \mathbf{Cat}$  be a small category. The *nerve* of  $\mathcal{D}$  is the simplicial set  $N(\mathcal{D})$  with

$$N_n(\mathcal{D}) = Ob \operatorname{Cat}([n], \mathcal{D}) = \coprod_{d_0 \to \cdots \to d_n} *, \ d_i \in \mathcal{D}$$

cf. [4, II, 4.1].

1.1.2. Let  $\mathcal{D} \in \mathbf{Cat}$  and let  $F : \mathcal{D} \to \mathbf{Set}$  be a covariant functor. The nerve of  $\mathcal{D}$  with coefficients in F is the simplicial set  $N(\mathcal{D}, F)$  with

$$N_n(\mathcal{D}, F) = \coprod_{d_0 \to \cdots \to d_n} F(d_0), \ d_i \in \mathcal{D}$$

cf. [4, App. II, 3.2]. For F the constant functor with value \*, we recover the nerve of  $\mathcal{D}$ .

1.1.3. Let  $(\mathcal{D}, F)$  be as in 1.1.2. We have the associated category

$$[\mathcal{D}, F] = \{(d, x) \mid d \in \mathcal{D}, x \in F(d)\}\$$

where a morphism  $(d, x) \to (d', x')$  is a morphism  $f \in \mathcal{D}(d, d')$  such that F(f)(x) = x'. This category has two other equivalent descriptions:

- (1) [4, II, 1.1] Let  $y = \mathcal{D}^{op} \to \mathbf{Cat}(\mathcal{D}, \mathbf{Set})$  be the "coYoneda" embedding: then  $[\mathcal{D}, F] \simeq y \downarrow F$ , where F is considered as an object of  $\mathbf{Cat}(\mathcal{D}, \mathbf{Set})$ .
- (2)  $[\mathcal{D}, F] \simeq * \downarrow F$ , where  $* \in \mathbf{Cat}(\mathcal{D}, \mathbf{Set})$  is the constant functor with value \* and F is considered as a functor.

The following lemma is obvious:

- 1.1.4. **Lemma.** There is a canonical isomorphism:  $N(\mathcal{D}, F) \simeq N([\mathcal{D}, F])$ .
- 1.2. Abelian group-valued coefficients.
- 1.2.1. Suppose that F takes its values in the category  $\mathbf{Ab}$  of abelian groups. Then  $N(\mathcal{D}, F)$  is a simplicial abelian group; it has homology groups [4, App. II, 3.2, p. 153]

$$H_i(\mathcal{D}, F) = H_i([N(\mathcal{D}, F)]) = \pi_i(N(\mathcal{D}, F); 0)$$

where [X] is the chain complex associated to a simplicial abelian group X by taking for differentials the alternating sums of the faces.

1.2.2. Let us recall the Eilenberg-Zilber–Cartier theorem as expounded in [6, p. 7] (see also [3, 2.9 and 2.16]). To a bisimplicial abelian group X, one may associate a double complex [X] as in the simplicial case. To a bisimplicial object X one may associate the diagonal simplicial object  $\delta X$ :

$$(\delta X)_n = X_{n,n}$$

and to a double complex C one may associate the total complex Tot C:

$$(\operatorname{Tot} C)_n = \bigoplus_{p+q=n} C_{p,q}.$$

Then, given the diagram of functors

there exist two natural transformations

$$\operatorname{shuffle}_X : \operatorname{Tot}[X] \to [\delta X]$$

Alexander-Whitney 
$$X:[\delta X]\to \operatorname{Tot}[X]$$

which are quasi-inverse homotopy equivalences.

## 1.3. Simplicial set-valued coefficients.

1.3.1. If X is a simplicial set, we may associate to it the free simplicial abelian group  $\mathbf{Z}X$  generated by X, with  $(\mathbf{Z}X)_n = \mathbf{Z}X_n$ . Similarly with a bisimplicial set. The *homology* of X is the homotopy of  $\mathbf{Z}X$ , or equivalently the homology of  $[\mathbf{Z}X]$ . Similarly with coefficients in an abelian group A, using  $\mathbf{Z}X \otimes A$ .

We shall usually write  $[\mathbf{Z}X] =: C_*(X)$ ; if  $X = N(\mathcal{D})$  for a category  $\mathcal{D}$ , we abbreviate  $C_*(X)$  into  $C_*(\mathcal{D})$ .

1.3.2. Let  $\mathcal{D} \in \mathbf{Cat}$  and let  $\mathbf{F} : \mathcal{D} \to \mathbf{sSet}$  be a functor. We may generalise the definition of 1.1.2 to get a bisimplicial set  $N(\mathcal{D}, \mathbf{F})$ :

$$N_{p,q}(\mathcal{D}, \mathbf{F}) = \coprod_{d_0 \to \cdots \to d_p} \mathbf{F}_q(d_0), \ d_i \in \mathcal{D}.$$

We may then take the diagonal  $\delta N(\mathcal{D}, \mathbf{F})$ , which is a simplicial set. We define

$$\pi_i(\mathcal{D}, \mathbf{F}; (d_0, x_0)) = \pi_i(\delta N(\mathcal{D}, \mathbf{F}); (d_0, x_0))$$

$$C_*(\mathcal{D}, \mathbf{F}; A) = C_*(\delta N(\mathcal{D}, \mathbf{F})) \otimes A$$

$$H_i(\mathcal{D}, \mathbf{F}; A) = H_i(C_*(\mathcal{D}, \mathbf{F}; A))$$

for  $d_0 \in \mathcal{D}_0$  and  $x_0 \in F_0(d_0)$  a chosen base point, and for A an abelian group of coefficients.

- 1.3.3. **Lemma.** a) Let  $X = (X_{p,q}), Y = (Y_{p,q})$  be two bisimplicial sets and  $\varphi : X \to Y$  a bisimplicial map. Suppose that, for each  $p \geq 0$ ,  $\varphi_{p,*} : X_{p,*} \to Y_{p,*}$  is a weak equivalence. Then  $\delta \varphi : \delta X \to \delta Y$  is a weak equivalence.
- b) Let  $\mathbf{F}, \mathbf{G} : \mathcal{D} \to \mathbf{sSet}$  be two functors, and let  $\varphi : \mathbf{F} \to \mathbf{G}$  be a morphism of functors. Suppose that, for each  $d \in \mathcal{D}$ ,  $\varphi(d) : \mathbf{F}(d) \to \mathbf{G}(d)$  is a weak equivalence. Then  $\delta N(\mathcal{D}, \varphi) : \delta N(\mathcal{D}, \mathbf{F}) \to \delta N(\mathcal{D}, \mathbf{G})$  is a weak equivalence.
- *Proof.* a) is well-known (for example, it is the special case of the Bousfield-Friedlander theorem of [5, Th. 4.9 p. 229] where Y = W = \*), and b) follows from a).
- 1.3.4. For an abelian group A and for  $q \geq 0$ , we may consider the additive functor

$$H_q(\mathbf{F}, A) : \mathcal{D} \to \mathbf{Ab}$$
  
 $d \mapsto H_q(\mathbf{F}(d), A).$ 

This gives a meaning to:

1.3.5. **Lemma.** There is a spectral sequence

$$E_{p,q}^2 = H_p(\mathcal{D}, H_q(\mathbf{F}, A)) \Rightarrow H_{p+q}(\mathcal{D}, \mathbf{F}; A)$$

*Proof.* We shall use 1.2.2: it implies that  $[\delta \mathbf{Z}N(\mathcal{D}, \mathbf{F})]$  is homotopy equivalent to  $\text{Tot}[\mathbf{Z}N(\mathcal{D}, \mathbf{F})]$ . Therefore

$$H_*(\mathcal{D}, \mathbf{F}; A) := H_*([\delta \mathbf{Z} N(\mathcal{D}, \mathbf{F})] \otimes A)$$

$$\simeq H_*(\mathrm{Tot}[\mathbf{Z} N(\mathcal{D}, \mathbf{F})] \otimes A) = H_*(\mathrm{Tot} \bigoplus_{p,q} \bigoplus_{c_0 \to \cdots \to c_p} [\mathbf{Z} F_q(d_0)] \otimes A).$$

Consider the first spectral sequence associated to this double complex in Cartan-Eilenberg [2, Ch. XV, §6]: the formula (1) of loc. cit, p. 331 shows that it is the desired spectral sequence.

# 1.4. Category-valued coefficients.

1.4.1. Let  $\mathcal{D} \in \mathbf{Cat}$  and let  $\mathbf{F} : \mathcal{D} \to \mathbf{Cat}$  be a functor. Composing  $\mathbf{F}$  with the nerve functor, we get a functor  $N \circ \mathbf{F} : \mathcal{D} \to \mathbf{sSet}$ , hence a bisimplicial set as in 1.3.2

$$N(\mathcal{D}, \mathbf{F}) = N(\mathcal{D}, N(\mathbf{F})).$$

- 1.4.2. We now extend the construction in 1.1.3. This yields the category  $\mathcal{D} \int \mathbf{F}$  (Grothendieck construction, [SGA1, Exp. VI, §§8,9]):
  - Objects are pairs  $(d, x), d \in Ob(\mathcal{D}), x \in Ob(\mathbf{F}(d)).$
  - For two objects (d, x), (d', x'), a morphism  $(d, x) \to (d', x')$  is a morphism  $f: d \to d'$  and a morphism  $g: \mathbf{F}(f)(x) \to x'$ .
  - For three objects (d, x), (d', x'), (d'', x'') and two morphisms (f, g):  $(d, x) \to (d', x'), (f', g') : (d', x') \to (d'', x''), (f', g') \circ (f, g) := (f' \circ f, g' \circ \mathbf{F}(f')(g)).$

The Grothendieck construction is covariant in  $\mathbf{F}$ . In particular, there is a canonical functor  $\mathcal{D} \int \mathbf{F} \to \mathcal{D}$  induced by the morphism  $\mathbf{F} \to *$ , where \* is the constant functor with value the point category. We call this functor the *augmentation*.

Lemma 1.1.4 then generalises as

1.4.3. **Theorem** (Thomason [11, Th. 1-2]). There is a canonical weak equivalence

$$\delta N(\mathcal{D}, \mathbf{F}) \to N(\mathcal{D} \int \mathbf{F})$$

sending a cell  $(d_0 \xrightarrow{f_1} \dots \xrightarrow{f_n} d_n, x_0 \xrightarrow{g_1} \dots \xrightarrow{g_n} x_n)$   $(x_i \in F(d_0))$  to the cell

$$(d_0 \xrightarrow{f_1} \dots \xrightarrow{f_n} d_n, F(f_n \dots f_1)y_0 \xrightarrow{h_1} \dots \xrightarrow{h_n} y_n) \quad (y_i \in F(d_i))$$
with  $y_i := F(f_i \dots f_1)x_i$  and  $h_i = F(f_i \dots f_1)g_i$ .

1.4.4. Let  $T: \mathcal{C} \to \mathcal{D}$  be a functor between two small categories. To T we associate the functor  $\mathbf{F}_T: \mathcal{D} \to \mathbf{Cat}$  sending d to  $T \downarrow d$ . The category  $\mathcal{D} \int \mathbf{F}_T$  may be identified with the comma category  $T \downarrow Id_{\mathcal{D}}$ . Therefore there are 3 functors:

$$p_1: \mathcal{D} \int \mathbf{F}_T o \mathcal{C}, p_2: \mathcal{D} \int \mathbf{F}_T o \mathcal{D}, s: \mathcal{C} o \mathcal{D} \int \mathbf{F}_T$$

with

$$p_1([T(c) \xrightarrow{\varphi} d]) = c, p_2([T(c) \xrightarrow{\varphi} d]) = d, s(c) = [T(c) = T(c)].$$

We have:

1.4.5. **Lemma.** s is left adjoint to  $p_1$ . Hence s and  $p_1$  induce quasi-inverse homotopy equivalences  $N(\mathcal{C}) \simeq N(\mathcal{D} \int \mathbf{F}_T)$ .

From Theorem 1.4.3 and Lemma 1.3.5, we deduce

1.4.6. Corollary (cf. [4, App. II]). There is a spectral sequence

$$E_{p,q}^2 = H_p(\mathcal{D}, H_q(\mathbf{F}_T, A)) \Rightarrow H_{p+q}(\mathcal{C}, A)$$

for any abelian group A.

## 2. A LONG HOMOLOGY EXACT SEQUENCE

# 2.1. Spectral sequences and exact couples.

2.1.1. Let  $\mathcal{T}$  be a triangulated category with countable direct sums, and let  $C_0 \xrightarrow{i_1} \dots \xrightarrow{i_n} C_n \xrightarrow{i_{n+1}} \dots$  be a sequence of objects of  $\mathcal{T}$ . Let C be a homotopy colimit (mapping telescope) of the  $C_n$  [1]. Let  $H: \mathcal{T} \to \mathcal{A}$  be a (co)homological functor to some abelian category  $\mathcal{A}$ : we assume that H commutes with countable direct sums. (Alternately, we could refuse infinite direct sums and assume that  $i_n$  is an isomorphism for n large enough.) To get an associated spectral sequence, the simplest is the technique of exact couples [7, pp. 152–153]: for each n, choose a cone  $C_{n/n-1}$  of  $f_n$ , so that the exact triangles

$$C_{p-1} \xrightarrow{i_p} C_p \xrightarrow{j_p} C_{p/p-1} \xrightarrow{k_p} C_{p-1}[1]$$

yield long homology exact sequences

$$\dots H_n(C_{p-1}) \xrightarrow{i_{p,n}} H_n(C_p) \xrightarrow{j_{p,n}} H_n(C_{p/p-1}) \xrightarrow{k_{p,n}} H_{n-1}(C_{p-1}) \dots$$

where  $H_n(X) := H(X[-n])$ . The exact couple defined by

$$D_{p,q} = H_{p+q}(C_p), \quad E_{p,q} = H_{p+q}(C_{p/p-1})$$

and the relevant i, j, k define a spectral sequence abutting to  $H_{p+q}(C)$ . The  $E^1$ -terms of this spectral sequence are simply  $E^1_{p,q} = E_{p,q}$ , and  $d^1_{p,q} = j_{p-1,p+q-1}k_{p,p+q}$ . Here is a more concrete description of this differential:

2.1.2. **Lemma.** Let  $C_{p/p-2}$  be a cone for  $i_p i_{p-1}$ , so that we may obtain a commutative diagram

$$C_{p-2} = C_{p-2}$$

$$\downarrow i_{p-1} \downarrow \qquad \downarrow i_{p}i_{p-1} \downarrow$$

$$C_{p-1} \xrightarrow{i_p} C_p \xrightarrow{j_p} C_{p/p-1} \xrightarrow{k_p} C_{p-1}[1]$$

$$\downarrow j_{p-1} \downarrow \qquad \downarrow \qquad \downarrow j_{p-1}[1] \downarrow$$

$$C_{p-1/p-2} \xrightarrow{\bar{i}_p} C_{p/p-2} \xrightarrow{\bar{j}_p} C_{p/p-1} \xrightarrow{\bar{k}_p} C_{p-1/p-2}[1]$$

of exact triangles (from the suitable axiom of triangulated categories). Then  $d_{p,q}^1$  is the boundary map  $\bar{k}_{p,n}$  in the long exact sequence

$$\dots H_{p+q}(C_{p-1/p-2}) \xrightarrow{\bar{i}_{p,n}} H_{p+q}(C_{p/p-2})$$

$$\xrightarrow{\bar{j}_{p,n}} H_{p+q}(C_{p/p-1}) \xrightarrow{\bar{k}_{p,n}} H_{p+q-1}(C_{p-1/p-2}) \dots$$

*Proof.* The diagram shows that  $\bar{k}_p = j_{p-1}[1] \circ k_p$ .

- 2.1.3. In the usual case of a filtered complex  $C_p = F_pC$ , we may of course choose  $C_{p/p-1} = F_pC/F_{p-1}C$  and  $C_{p/p-2} = F_pC/F_{p-2}C$ .
- 2.1.4. Let  $Q_0 \xrightarrow{T_1} Q_1 \xrightarrow{T_2} \cdots \to Q_n \to \ldots$  be a sequence of categories and functors. Let  $Q = \varinjlim Q_n$ . (Since there are no natural transformations involved this is a naïve colimit, defined objectwise and morphismwise.) Considering the corresponding sequence of chain complexes of nerves

$$C_*(\mathcal{Q}_0) \xrightarrow{i_1} C_*(\mathcal{Q}_1) \dots$$

we get from the yoga of 2.1.1 a spectral sequence abutting to  $H_*(\mathcal{Q})$  (possibly with coefficients).

If the functors  $T_n$  are faithful and injective on objects, the maps  $i_n$  are injective and we are in the simpler situation of 2.1.3.

## 2.2. Unreduced and reduced homology.

2.2.1. Let (X, x) be a pointed simplicial set. The reduced homology of X with coefficients in an abelian group A is

$$H_i(X, A) = H_i(X, x; A) := \operatorname{Coker}(H_i(x, A) \to H_i(X, A))$$
  
 $(= H_i(X, A) \text{ if } i \neq 0).$ 

This definition apparently depends on the choice of x: if we don't want to choose a basepoint, we may alternately define

$$\tilde{H}_i(X,A) = \text{Ker}(H_i(X,A) \to H_i(*,A)).$$

Any choice of  $x \in X_0$  will split the map  $X \to *$ , realising  $\tilde{H}_i(X, A)$  as the above-described direct summand of  $H_i(X, A)$ .

A homotopy cofibre sequence  $X \to Y \to Z$  is equivalent to a homotopy cocartesian square

$$\begin{array}{ccc} X & \longrightarrow & Y \\ \downarrow & & \downarrow \\ * & \longrightarrow & Z \end{array}$$

hence the corresponding long exact homology sequence may be written (via Mayer-Vietoris!) as

$$\dots H_i(X,A) \to H_i(Y,A) \to \tilde{H}_i(Z,A) \to H_{i-1}(X,A) \to \dots$$

2.2.2. Suppose  $\mathbf{F}: \mathcal{D} \to \mathbf{sSet}$  is a functor. We then define

$$C_*(\mathcal{C}, \tilde{\mathbf{F}}; A) = \operatorname{Ker} (C_*(\mathcal{C}, \mathbf{F}) \to C_*(\mathcal{C})) \otimes A$$

where the last map is induced by the natural transformation  $\mathbf{F} \to *$ , and

$$H_i(\mathcal{C}, \tilde{\mathbf{F}}; A) = H_i(C_*(\mathcal{C}, \tilde{\mathbf{F}}; A)).$$

(Here the map  $\mathbf{F} \to *$  is not necessarily split, so we have to be more careful. We think of  $\tilde{\mathbf{F}}$  as a desuspension of the homotopy cofibre of  $\mathbf{F} \to *$ .)

More generally, if  $\mathbf{F} \to \mathbf{G}$  is a morphism of functors, we define

$$C_*(\mathcal{C}, \mathbf{F} \to \mathbf{G}; A) = cone\left(C_*(\mathcal{C}, \mathbf{F}) \to C_*(\mathcal{C}, \mathbf{G})\right)[-1].$$

2.2.3. Suppose  $\mathbf{F}: \mathcal{D} \to \mathbf{Cat}$  is a functor. We have the projection  $\mathbf{F} \to *$ , where \* is the constant functor with values the category with 1 onject and 1 morphism. As in 2.2.2 we define

$$C_*(\mathcal{C}, \tilde{\mathbf{F}}; A) = \operatorname{Ker} (C_*(\mathcal{C}, \mathbf{F}) \to C_*(\mathcal{C})) \otimes A$$
  
 $H_i(\mathcal{C}, \tilde{\mathbf{F}}; A) = H_i(C_*(\mathcal{C}, \tilde{\mathbf{F}}; A)).$ 

- 2.3. Cellular functors.
- 2.3.1. **Lemma.** Let  $\mathbf{F}_T$  be as in 1.4.4. Suppose T fully faithful. Then, for any  $c \in \mathcal{C}$ ,  $\mathbf{F}_T(T(c))$  has a final object.

*Proof.* Such a final object is given by [T(c) = T(c)].

2.3.2. **Definition.** Let  $T: \mathcal{C} \to \mathcal{D}$  be a functor as in 1.4.4. We say that T is *cellular* if

- T is fully faithful.
- For any  $d \in \mathcal{D} \mathcal{C}$  and any  $c \in \mathcal{C}$ ,  $\mathcal{D}(d, c) = \emptyset$ .
- 2.3.3. **Definition.** A (naturally) commutative square of categories and functors is homotopy cocartesian if it is after applying the nerve functor.
- 2.3.4. **Proposition.** Let  $\mathcal{D}-\mathcal{C}$  be the full subcategory of  $\mathcal{D}$  given by the objects not in  $\mathcal{C}$ . If T is cellular, the naturally commutative diagram of categories

$$(\mathcal{D} - \mathcal{C}) \int \mathbf{F}_T \xrightarrow{p} \mathcal{C}$$

$$\varepsilon \downarrow \qquad \qquad T \downarrow$$

$$\mathcal{D} - \mathcal{C} \xrightarrow{\iota} \mathcal{D}$$

is homotopy cocartesian, where  $\varepsilon$  is the augmentation (see §1.4.2), p is induced by the first projection  $p_1$  of Lemma 1.4.5 and  $\iota$  is the inclusion.

*Proof.* Note first the natural transformation

$$u: T \circ p \Rightarrow \iota \circ \varepsilon$$
$$u_{[T(c) \xrightarrow{f} d]} = f$$

which explains "naturally commutative" (here in the weak sense). By Theorem 1.4.3 and Lemma 1.4.5, it suffices to prove that the (commutative) diagram of simplicial sets

$$\delta N(\mathcal{D} - \mathcal{C}, \mathbf{F}_T) \longrightarrow \delta N(\mathcal{D}, \mathbf{F}_T)$$

$$\downarrow \qquad \qquad \downarrow$$

$$N(\mathcal{D} - \mathcal{C}) \longrightarrow N(\mathcal{D})$$

is homotopy cocartesian. The horizontal maps are cofibrations, hence it suffices to show that the map induced on the cofibres is a weak equivalence. We may consider the diagram as the diagonal of a diagram of bisimplicial sets:

$$N(\mathcal{D} - \mathcal{C}, N(\mathbf{F}_T)) \longrightarrow N(\mathcal{D}, N(\mathbf{F}_T))$$

$$\downarrow \qquad \qquad \downarrow$$

$$N(\mathcal{D} - \mathcal{C}, N(*)) \longrightarrow N(\mathcal{D}, N(*)).$$

In the cofibres, the cells indexed by  $d_0 \to \cdots \to d_n$ ,  $d_i \in \mathcal{D} - \mathcal{C}$ , are crushed down to a common point. By the assumption,  $\mathcal{D}(\mathcal{D} - \mathcal{C}, \mathcal{C}) = \emptyset$ , hence the remaining cells must all be indexed by chains of the form  $c_0 \to \ldots$ , with  $c_0 \in \mathcal{C}$ . But Lemma 2.3.1 then shows that the hypothesis of Lemma 1.3.3 b) is satisfied; hence the map on the cofibres becomes a weak equivalence after taking the diagonal, as desired.

2.3.5. **Theorem.** Let  $T: \mathcal{C} \to \mathcal{D}$  be a cellular functor. Then the mapping cone of

$$C_*(T): C_*(\mathcal{C}) \to C_*(\mathcal{D})$$

is quasi-isomorphic to  $C_*(\mathcal{D} - \mathcal{C}, \tilde{\mathbf{F}}_T)[1]$  (cf. 2.2.2). In particular, we have a long exact sequence

$$\cdots \to H_i(\mathcal{D} - \mathcal{C}, \tilde{\mathbf{F}}_T; A) \to H_i(\mathcal{C}, A)$$
$$\to H_i(\mathcal{D}, A) \to H_{i-1}(\mathcal{D} - \mathcal{C}, \tilde{\mathbf{F}}_T; A) \to \cdots$$

for any abelian group A.

*Proof.* This follows from Proposition 2.3.4 and Theorem 1.4.3.  $\Box$ 

### 2.4. Cellular filtrations.

- 2.4.1. **Theorem.** Let  $Q_1 \to Q_2 \to \cdots \to Q_n \to \cdots \to Q$  be a sequence of categories. We assume:
  - The functors  $T_n: \mathcal{Q}_{n-1} \to \mathcal{Q}_n$  are cellular (2.3.2).
  - $Q = \underline{\lim} Q_n$ .

Write  $\mathbf{F}_n$  for  $\mathbf{F}_{T_n}$ . Then, for any abelian group A, there is a spectral sequence of homological type

$$E_{p,q}^1 = H_{p+q-1}(\mathcal{Q}_p - \mathcal{Q}_{p-1}, \tilde{\mathbf{F}}_p; A) \Rightarrow H_{p+q}(\mathcal{Q}, A).$$

*Proof.* This is the spectral sequence of 2.1.4, taking Theorem 2.3.5 into account.  $\Box$ 

#### 3. The rank spectral sequence

3.1. K-theory of schemes. The first example of application of Theorem 2.4.1 is to Quillen's Q-construction Q(X) on the exact category of locally free sheaves of finite rank over a scheme X. Let  $Q_n = Q_n(X)$  be the full subcategory of Q(X) consisting of locally free sheaves of rank  $\leq n$ . Then the assumptions of Theorem 2.4.1 are satisfied because, in Q(X), there are no morphisms from a locally free sheaf of rank n to a locally free sheaf of rank < n. The resulting spectral sequence may be called the rank spectral sequence (for the homology of Q(X)).

Note that  $Q_n - Q_{n-1}$  is a groupoid, hence we get

(3.1) 
$$E_{p,q}^{1} = \bigoplus_{E_{\alpha}} H_{p+q-1}(\operatorname{Aut}(E_{\alpha}), \tilde{\mathbf{F}}_{p})$$

where  $E_{\alpha}$  runs through the set of isomorphism classes of locally free sheaves of rank p. We took coefficients  $\mathbf{Z}$ , for simplicity.

- 3.2. K'-theory of integral schemes. Let X be an integral scheme<sup>1</sup>, with function field K. If  $\eta = \operatorname{Spec} K$  is the generic point of X, we have the inclusion  $j = \eta \to X$ . If E is a sheaf of  $\mathcal{O}_X$ -modules, we write  $E_K$  for  $j^*E$ .
- 3.2.1. **Definition.** a) A coherent sheaf E on X is torsion-free if the map  $E \to j_*E_K$  is a monomorphism.
- b) A subsheaf E' of a coherent sheaf E is pure if E/E' is torsion-free.
- 3.2.2. Inside the exact category of coherent sheaves, the full subcategory of torsion-free sheaves is closed under extensions and subobjects. A monomorphism  $E' \to E$  between torsion-free sheaves is admissible (within the exact category of torsion-free sheaves) if and only if E' is pure in E.
- 3.2.3. **Lemma.** Let  $\mathcal{Q}^{\text{coh}}(X)$  be Quillen's Q-construction on the category of coherent sheaves of  $\mathcal{O}_X$ -Modules, and let  $\mathcal{Q}^{\text{tf}}(X)$  be the full subcategory of torsion-free sheaves. Then the inclusion  $\mathcal{Q}^{\text{tf}}(X) \to \mathcal{Q}^{\text{coh}}(X)$  is a weak equivalence.

*Proof.* The conditions of the resolution theorem [9, Th. 3] are verified since any locally free sheaf is torsion-free.

3.2.4. **Proposition.** Let E be a (coherent) torsion-free sheaf on X, with generic fibre  $E_K$ . Then the map

$$F \mapsto F_K$$

defines a bijection from the set Gr(E) of pure subsheaves of E to the set  $Gr(E_K)$  of subvector spaces of  $E_K$ .

*Proof.* Let V be a sub-vector space of  $j^*E = E_K$ . Define

$$E \cap V = E \times_{j_* j^* E} j_* V.$$

Then  $E \cap V \in Gr(E)$ , because the map  $E/(E \cap V) \to j_*j^*(E/(E \cap V))$  is a monomorphism (by definition of  $E \cap V$ ). So we have two maps:

$$j^*: Gr(E) \to Gr(E_K); \quad E \cap -: Gr(E_K) \to Gr(E).$$

We have

$$(E \cap V)_K = j^*(E \times_{j_*j^*E} j_*V) = j^*E \times_{j^*j_*j^*E} j^*j_*V = j^*E \times_{j^*E} V = V$$

so that  $j^* \circ (E \cap -) = Id$ . On the other hand, if F is a pure subsheaf of E, then  $F \subseteq E \cap j^*F$ , and  $j^*E = j^*(E \cap j^*F)$ , hence (by exactness of  $j^*$ ),  $j^*(E \cap j^*F/F) = 0$ . Thus  $(E \cap j^*F)/F$  is a torsion subsheaf of

<sup>&</sup>lt;sup>1</sup>At least if X is Noetherian this restriction is not essential since  $K'_*(X_{\text{red}}) \xrightarrow{\sim} K'_*(X)$  and  $X_{\text{red}}$  is the disjoint union of its irreducible components.

the torsion-free sheaf E/F, hence is 0, and our two maps are inverse to each other.

3.2.5. We write  $Q_n^{\rm tf}(X)$  for the full subcategory of  $Q^{\rm tf}(X)$  of torsion-free sheaves E such that  $\dim_K E_K \leq n$ . We get another rank spectral sequence

(3.2) 
$$E_{p,q}^{1} = \bigoplus_{E_{\alpha}} H_{p+q-1}(\operatorname{Aut}(E_{\alpha}), \tilde{\mathbf{F}}_{p}) \Rightarrow H_{p+q}(\mathcal{Q}^{\operatorname{coh}}(X))$$

cf. Lemma 3.2.3.

3.2.6. Corollary. Let  $E \in \mathcal{Q}_n^{\mathrm{tf}}(X)$ , with generic fibre  $E_K \in \mathcal{Q}_n(K)$ . Then the functor

$$j^*: \mathcal{Q}_{n-1}^{\mathrm{tf}}(X) \downarrow E \to \mathcal{Q}_{n-1}(K) \downarrow E_K$$

is an isomorphism of categories.

*Proof.* These categories are those of proper admissible subsheaves of E and  $j^*E$ , with morphisms the inclusions (thanks to the definition of morphisms in  $\mathcal{Q}^{\mathrm{tf}}(X)$  and  $\mathcal{Q}(K)$ ). Thus they are ordered sets, and the result directly follows from Proposition 3.2.4.

3.2.7. Example. Let X be an integral Dedekind scheme (= noetherian, regular of Krull dimension  $\leq 1$ ), with function field K. As is well-known, a coherent sheaf F over a Dedekind scheme is torsion-free if and only if it is locally free. (Being locally free is checked locally; a module of finite type over a discrete valuation ring is free if and only if it is torsion-free.) Thus the above generalises the remark of [10, pp. 191-192].

## 3.3. The Tits building.

- 3.3.1. In Corollary 3.2.6, suppose  $n \geq 2$ . By [10, Prop. p. 188], the classifying space of the ordered set  $Q_{n-1}(K) \downarrow E_K = J(E_K)$  is  $GL(E_K)$ -weakly equivalent to the suspension of nerve of the Tits building of  $E_K$ , which in turn is weakly equivalent to a wedge of (n-2)-spheres by the Solomon-Tits theorem [10, Th. 2 p. 180]. Hence  $(N_n)_{|E}$  is Aut(E)-weakly equivalent to a wedge of (n-1)-spheres.
- 3.3.2. In Corollary 3.2.6, suppose n = 1. Then  $\mathcal{Q}_{n-1}(K) \downarrow E_K$  has one element:  $\{0\}$ . Hence the conclusion of 3.3.1 is still true.
- 3.3.3. **Theorem.** If X is an integral scheme, then the  $E^1$ -terms of the rank spectral sequence (3.2) (with **Z**-coefficients) are

$$E_{p,q}^1 = \bigoplus_{E_{\alpha}} H_q(\operatorname{Aut}(E_{\alpha}), st(E_{\alpha}))$$

where  $E_{\alpha}$  runs through the isomorphism classes of locally free sheaves of rank p, and  $st(E_{\alpha}) = \pi_{p-1}((\mathbf{F}_p)_{|E_{\alpha}})$  is the Steinberg module of  $j^*E_{\alpha}$ .

*Proof.* This follows from Corollary 3.2.6, 3.3.1, 3.3.2 and Lemma 1.3.5.

3.3.4. Remark. By an argument of Vogel, the exact sequences from Theorem 2.3.5 then coincide with those of Quillen in [10, Th. 3 p. 181]. In general, consider a map  $f: E \to B$  whose homotopy fibre F has the homotopy type of a bouquet of n-spheres, with n > 0. So the Leray-Serre spectral sequence yields a long exact sequence (3.3)

$$\cdots \to H_p(E) \to H_p(B) \to H_{p-n-1}(B, H_n(F)) \to H_{p-1}(E) \to \cdots$$

If C is the homotopy cofibre of f, we have another long exact sequence

$$(3.4) \cdots \to H_p(E) \to H_p(B) \to \tilde{H}_p(C) \to H_{p-1}(E) \to \cdots$$

and we want to know that the two sequences coincide.

Here is Vogel's argument. Let E' be the mapping cone of f and CF the cone over F, so that we have a fibration of pairs

$$(CF, F) \longrightarrow (E', E)$$

$$\downarrow \qquad \qquad (B, B).$$

Since  $H_q(CF, F) = \begin{cases} H_n(F) & \text{for } q = n+1 \\ 0 & \text{else} \end{cases}$ , the Leray-Serre spec-

tral sequence for the pair

$$H_p(B, H_q(CF, F)) \Rightarrow H_{p+q}(E', E)$$

yields isomorphisms

$$\tilde{H}_p(C) \simeq H_p(E', E) \simeq H_{p-n-1}(B, H_{n+1}(CF, F)) \simeq H_{p-n-1}(B, H_n(F))$$

which commute with the differentials of (3.3) and (3.4) by functoriality.

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