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Unsupervised amplitude and texture based classification of SAR images with multinomial latent model

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Unsupervised amplitude and texture based classification of SAR images with multinomial latent model

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Abstract: We combine both amplitude and texture statistics of the Synthetic Aperture Radar (SAR) images using Products of Experts (PoE) approach for classification purpose. We use Nakagami density to model the class amplitudes and a non-Gaussian Markov Random Field (MRF) texture model with t-distributed regression error to model the textures of the classes. A nonstationary Multinomial Logistic (MnL) latent class label model is used as a mixture density to obtain spatially smooth class segments. The Classification Expectation-Maximization (CEM) algorithm is performed to estimate the class parameters and to classify the pixels. We resort to Integrated Classification Likelihood (ICL) criterion to determine the number of classes in the model. We obtained some classification results of water, land and urban areas in both supervised and unsupervised cases on TerraSAR-X, as well as COSMO-SkyMed data.

High resolution SAR, TerraSAR-X, COSMO-SkyMed, classifi-Key-words: cation, texture, multinomial logistic, Classification EM, Products of Experts, Jensen-Shannon criterion

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Classification non supervisée d'images RSO fondée sur l'amplitude et la texture à l'aide d'une modèle multinomial latent

Résumé : Nous combinons les statistiques fondées sur l'amplitude et la texture d'images Radar à Synthése d'Ouverture (RSO) en utilisant une approche fondée sur des Produits d'Experts (PdE) à des fins de classification. Nous utilisons la densité de Nakagami afin de modéliser les amplitudes des classes et un champ de Markov non-gaussien pour modéliser la texture, en utilisant l'erreur de régression *t*-distribuée afin de modéliser les textures des classes. Un modéle non-stationnaire Logistique Multinomial (LMn) d'étiquettes de structure latente est utilisé comme densité du mélange afin d'obtenir des segments de classe lissés spatialement. L'algorithme de Classification Espérance-Maximisation (CEM) est utilisé pour estimer les paramétres des classes et classer les pixels. Nous avons recours au critère ICV (Integrated Classification Vraisemblance) pour déterminer le nombre de classes dans le modèle. Nous avons obtenu des résultats de classification pour l'eau, les sols et les zones urbaines dans les cas supervisé ou non-supervisé sur des données TerraSAR-X ainsi que COSMO-SkyMed.

Mots-clés : RSO haute résolution, TerraSAR-X, COSMO-SkyMed, classification, texture, modèle logistique multinomial, Classification EM, Produits d'Experts, critére de Jensen-Shannon

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1 Introduction

The aim of image classification is to assign each pixel of the image to a class with regard to a feature space. In remote sensing, image classification finds many applications varying from crop and forest classification to urban area extraction and epidemiological surveillance. Radar images are preferred in remote sensing because the acquisition of the images are not affected by light and weather conditions. The radar images have taken a place in vegetation classification [2], [3] for instances. The scope of this study is high resolution SAR image classification. To model the statistics of SAR images, both empirical and theoretical probability density functions (pdfs) have been proposed [1]. Basic theoretical multi-look models are the Gamma and the Nakagami densities for intensity and amplitude images respectively. A recent review on densities used in intensity and amplitude based modeling can be found in [4].

The texture which represents the context of the image can also be used as a feature in SAR images. Correlated K-distributed noise is used to capture the texture information of the SAR images in [10]. In [11], Gray Level Cooccurrence Matrix (GLCM) [15] and semivariogram [16] textural features are resorted to classify very high resolution SAR images (in particular urban areas). Markov Random Fields (MRFs) are proposed for texture representation and classification in [17] and [18]. A Gaussian MRF model which is a particular 2D Auto-Regressive (AR) model with Gaussian regression error is proposed for texture classification in [19]. MRF based texture models are used in optical and SAR aerial images for urban area extraction [20], [21], [22]. In [23] and [24], Gaussian AR texture model is resorted for radar image segmentation. In this study, we use a non-Gaussian MRF model, so-called *t*-MRF, for texture representation. In this AR model, we assume that the regression error is an independent and identically distributed (iid) Student's t-distribution. tdistribution is a convenient model for robust regression and it has been used in inverse problems in image processing [25], [29], [30] and image segmentation [31] as a robust statistical model.

In this study, we follow the model based classification approach. Finite Mixture Model (FMM) is a suitable statistical model to represent SAR image histogram and to perform a model based classification [5]. One of the first uses of FMM in SAR image classification may be found in [6]. In [7] mixture of Gamma densities is used in SAR image processing. A combination of the pdfs into a FMM has been used in [8] for medium resolution and in [9] for high resolution SAR images. In mixture models, generally, a single model density is used to represent only one feature of the data, i.e. mixtures of Gaussians, mixtures of Gamma. For example in SAR images, mixture of Gamma densities models the intensity of the images. To exploit different features in order to increase classification performance, we may combine different feature densities into a single classifier. There are some methods to combine the outcomes of the different and independent classifiers [32]. Rather than combining the classifiers, we construct a single classifier by assembling both SAR amplitude and texture features into a FMM using the Products of Experts (PoE) approach [33]. In this approach, we just multiply the amplitude and texture densities to construct a single model density. Similar approaches can be found in feature selective mixture models [26], [27], [28].

To obtain smooth and segmented class label maps, a post-processing can be applied to roughly classified class labels, but a Bayesian approach allows to include smoothing constraints to classification problems. Potts-Markov image model is introduced in [34] for discrete intensity images. In [35] and [36], some Bayesian approaches are resorted for SAR image segmentation. Hidden Markov chains and random fields are used in [37] for radar image classification. [38] exploits a Potts-Markov model with MnL class densities in hyperspectral image segmentation. A double MRFs model is proposed in [23] for optical images to model the texture and the class labels as two different random fields. In [39] amplitude and texture characteristics are used in two successive and independent schemes for SAR multipolarization image segmentation. In our mixture model, we use a texture model along with the amplitude and assume the class label map to be a latent random field.

We assume that each latent class label is a categorical random variable which is a special version of the multinomial random variable where each pixel belongs to only one class [5]. For each class, we have a binary map that indicates the pixels belonging to that class. We introduce a spatial interaction within each binary map adopting multinomial logistic model [40] to obtain a smooth segmentation map. Note that the edge preserving segmentation is out of the scope of this paper. In our logistic regression model, the probability of the class label is proportional to a linear combination of surrounding binary pixels. If we compare the Potts-Markov image model [34] with ours, we may say that we have K different probability density functions for binary random fields of each class, instead of a single multi-level Gibbs distribution. The final density of the class labels is constituted by combining K probability densities into a multinomial density. In this way, we obtain a non-stationary multinomial class density function which incorporates both class mixture probabilities and spatial smoothness into a single density. A single model or algorithm is preferred to avoid the propagation of the error between different models and algorithms.

Since our latent model is varying adaptively with respect to local pixels, we obtain a non-stationary FMM. Non-stationary FMM has been introduced for image classification in [41]. Using hidden MRFs model, a non-stationary latent class label model incorporated with finite mixture density is proposed in [42] for the segmentation of brain MR images. A non-stationary latent class label model is proposed in [43] by defining a Gaussian MRF over the parameters of the Dirichlet Compound Multinomial (DCM) mixture density. DCM density is also called multivariate Polya-Eggenberger density and the related process is called as Polya urn process [44], [45]. The Polya urn process is proposed to model the diffusion of a contagious disease over a population. The idea proposed in [44] has been already used in image segmentation [46] by assuming that each pixel label is related to an urn which contains its neighboring pixels. In this study, we utilize non-stationary FMM for SAR image classification.

Fitting a mixture model to some data can be realized by using EM algorithm. The EM algorithm [47], [48] and its stochastic versions [49] have been used for parameter estimation in latent variable models. We use a computationally less expensive version of EM algorithm, namely Classification EM (CEM) [50], for both parameter estimation and classification, using the advantage of categorical random variables. In classification step, CEM uses the Winner-Take-All principle to allocate each data pixel to the related class according to the posterior probability of latent class label. After the classification step of CEM, we estimate the parameters of the class densities using only the pixels which belong to that class.

Determining the necessary number of classes to represent the data and initialization are some drawbacks of the EM type algorithms. Running EM type algorithms several times for different model orders to determine the model order based on a criterion is a simple approach to reach a parsimonious solution. In [51], a combination of hierarchal agglomeration [52], EM and Bayesian Information Criterion (BIC) [53] is proposed to find necessary number of classes in the mixture model. [54] performs a similar strategy with Component-wise EM [55] and Minimum Message Length (MML) criterion [56, 57]. In this study, we combine hierarchical agglomeration, CEM and ICL [58, 59] criterion to get rid of the drawbacks of CEM.

In Section 2 and 3, the MnL mixture model and CEM algorithm are given. The simulation results are shown in Section 5. Section 6 presents the conclusion and future work.

2 Multinomial Logistic Mixture of Amplitude and Texture Densities

We assume that the observed amplitude at the *n*th pixel, s_n , where $n \in \mathcal{R} = \{1, 2, \ldots, N\}$ represents the lexicographically ordered pixel index, is free from any noise and instrumental degradation. Every pixel in the image has a latent class label. Denoting K the number of classes, we encode the class label as a K dimensional categorical vector \mathbf{z}_n whose elements $z_{n,k}$, $k \in \{1, 2, \ldots, K\}$ have the following properties: 1) $z_{n,k} \in \{0,1\}$ and 2) $\sum_{k=1}^{K} z_{n,k} = 1$. We may write the probability of s_n as the marginalization of the joint probability of $p(s_n, \mathbf{z}_n | \Theta) = p(s_n | \mathbf{z}_n, \Theta) p(\mathbf{z}_n)$, [5], as

$$p(s_n|\Theta) = \sum_{\mathbf{z}_n} p(s_n|\mathbf{z}_n, \Theta) p(\mathbf{z}_n)$$
$$= \sum_{\mathbf{z}_n} \prod_{k=1}^K [p(s_n|\theta_k)\pi_{n,k}]^{z_{n,k}}$$
(1)

where $\pi_{n,k} = p(z_{n,k} = 1)$, θ_k is the parameter of the class density and $\Theta = \{\theta_1, \ldots, \theta_K\}$ is the set of the parameters. Since \mathbf{z}_n is a categorical random vector, (1) is reduced to classical FMM as follow

$$p(s_n|\Theta) = \sum_{k=1}^{K} p(s_n|\theta_k) \pi_{n,k}$$
(2)

We prefer to use the notation in (1) to show the contribution of the multinomial density of class label, $p(\mathbf{z}_n)$, into finite mixture model more explicitly. We give the details of the class and the mixture densities in the following two sections.

2.1 Class Amplitude and Texture Densities

Our aim is to use the amplitude and the texture statistics together to classify the SAR images. For this purpose, we combine both statistics by using the idea of PoE [33]. We model the class amplitudes using Nakagami density, which is a basic theoretical multi-look amplitude model for SAR images [1]. We express the class amplitude density as

$$p_A(s_n|\mu_k,\nu_k) = \frac{2}{\Gamma(\nu_k)} \left(\frac{\nu_k}{\mu_k}\right)^{\nu_k} s_n^{2\nu_k - 1} e^{\left(-\nu_k \frac{s_n^2}{\mu_k}\right)}.$$
(3)

We introduce a *t*-MRF texture model to use the contextual information for classification. We write the *t*-MRF texture model using the neighbors of the pixel in $\mathcal{N}(n)$

$$s_n = \sum_{n' \in \mathcal{N}(n)} \alpha_{k,n'} s_{n'} + t_{k,n} \tag{4}$$

where $\alpha_{k,n'}$ is the regression coefficient and the regression error $t_{k,n}$ is an iid *t*-distributed zero-mean random variable with degree of freedom parameter β_k and scale parameters δ_k . In this way, we write the class texture density as a *t*-distribution such that

$$p_T(s_n | \boldsymbol{\alpha}_k, \beta_k, \delta_k) = \frac{\Gamma((1+\beta_k)/2)}{\Gamma(\beta_k/2)(\pi\beta_k\delta_k)^{1/2}} \times \left[1 + \frac{(s_n - \phi_n^T \boldsymbol{\alpha}_k)^2}{\beta_k \delta_k}\right]^{-\frac{\beta_k+1}{2}}$$
(5)

where the $D = |\mathcal{N}(n)|$ dimensional vectors ϕ_n and α_k contain the neighboring pixels $s_{n'}$ and regression coefficients $\alpha_{k,n'}$, respectively. The *t*-distribution can also be written in implicit form using both of a Gaussian and a Gamma densities [62]

$$p(s_n | \boldsymbol{\alpha}_k, \beta_k, \delta_k) = \int p(s_n | \tau_{n,k}, \delta_k) p(\tau_{n,k} | \beta_k) d\tau_{n,k}$$
$$= \int \mathcal{N}\left(s_n \left| \phi_n^T \boldsymbol{\alpha}_k, \frac{\delta_k}{\tau_{n,k}} \right) \mathcal{G}\left(\tau_{n,k} \left| \frac{\beta_k}{2}, \frac{\beta_k}{2} \right) d\tau_{n,k}.$$
(6)

We use the representation in (6) for calculation of the parameters using EM method nested in CEM algorithm.

We constitute the class density by multiplying the amplitude and texture densities, in (3) and (5), as

$$p(s_n|\theta_k) = p_A(s_n|\mu_k,\nu_k)p_T(s_n|\boldsymbol{\alpha}_k,\beta_k,\delta_k)$$
(7)

where $\theta_k = \{ \boldsymbol{\alpha}_k, \beta_k, \delta_k, \mu_k, \nu_k \}.$

2.2 Mixture Density - Class Prior

The prior density $p(z_{n,k}|\theta_z)$ of the categorical random variable is naturally an iid multinomial density, but we are not able to obtain a smooth class label map if we use an iid multinomial. We need to use a density which models the spatial smoothness of the class labels as well. DCM density can be a solution to introduce smoothing [46]. DCM density is the density of the Polya urn process and give us a non-parametric density estimation in a defined window. In case

that the estimated probabilities are almost equal in that window, Polya urn model may fail to make a decision to classify the pixels. [43] proposes a MRF model over the spatially varying parameter of DCM density. We use a contrast function called Logistic function [40] which emphasizes the high probabilities while attenuating the low ones. The logistic function allows us to make an easier decision by discriminating the probabilities closed to each other. We can introduce spatial interactions of the categorical random field by defining a binary spatial auto-regression model. We have already assumed in (1) that the probability density of label \mathbf{z}_n is a special multinomial density. We can express it with parameters $\boldsymbol{\pi}_n = [\pi_{n,1}, \dots, \pi_{n,K}]$, as

$$p(\mathbf{z}_n|\boldsymbol{\pi}_n) = \operatorname{Mult}(\mathbf{z}_n|\boldsymbol{\pi}_n) = \prod_{k=1}^K \pi_{n,k}^{z_{n,k}}$$
(8)

where $\pi_{n,k} = p(z_{n,k} = 1)$ is the class probability with condition $\sum_{k=1}^{K} \pi_{n,k} = 1$. If we substitute the logistic model with parameter θ_z in place of $\pi_{n,k}$, we obtain MnL density for the problem at hand as

$$p(\mathbf{z}_n|\theta_z) = \prod_{k=1}^K \left(\frac{\exp(\theta_z v_k(z_{n,k}))}{\sum_{j=1}^K \exp(\theta_z v_j(z_{n,j}))} \right)^{z_{n,k}}$$
(9)

where

$$v_k(z_{n,k}) = 1 + \sum_{m \in \mathcal{M}(n)} z_{m,k}.$$
 (10)

The function $v_k(z_{n,k})$ returns the number of labels which belong to class k in a given window. The mixture density in (9) is spatially-varying with given function $v_k(z_{n,k})$ in (10).

3 Classification EM Algorithm

Since our purpose is to cluster the observed image pixels by maximizing the marginal likelihood given in (1), we suggest to use EM type algorithm to deal with the summation. The EM log-likelihood function is written as

$$Q_{EM}(\Theta|\Theta^{t-1}) = \sum_{n=1}^{N} \sum_{k=1}^{K} z_{n,k} \log\{p(s_n|\theta_k)\pi_{n,k}\}p(z_{n,k}|s_n,\Theta^{t-1})$$
(11)

If we used the exact EM algorithm to find the maximum of $Q(\Theta|\Theta^{t-1})$ with respect to Θ , we would need to maximize the parameters for each class given the expected value of the class labels. Instead of this, we use the advantage of working with categorical random variables and resort to Classification EM algorithm [50]. We can partition the pixel domain \mathcal{R} into K non-overlapped regions such that $\mathcal{R} = \bigcup_{k=1}^{K} \mathcal{R}_k$ and $\mathcal{R}_k \cap \mathcal{R}_l = 0$, $k \neq l$. We can write the classification log-likelihood function as

$$Q_{CEM}(\Theta|\Theta^{t-1}) = \sum_{k=1}^{K} \sum_{m(k)\in\mathcal{R}_k} \log\{p(s_{m(k)}|\theta_k)\pi_{m(k),k}\}p(z_{m(k),k}|s_n,\Theta^{t-1})$$
(12)

The CEM algorithm incorporates a classification step between the E-step and the M-step which performs a simple Maximum-a-Posteriori (MAP) estimation to find the highest probability class label. Since the posterior $p(z_{n,k}|s_n, \Theta^{t-1})$ is a discrete probability density function of a finite number of classes, we can perform the MAP estimation by choosing the maximum class probability. We summarize the CEM algorithm for our problem as follows:

E-step: For k = 1, ..., K and n = 1, ..., N, calculate the posterior probabilities

$$p(z_{n,k}|s_n, \Theta^{t-1}) = p(s_n|\theta_k^{t-1}) \frac{\exp(\theta_z^{t-1}v_k(z_{n,k}))}{\sum_{j=1}^K \exp(\theta_z^{t-1}v_j(z_{n,j}))}$$
(13)

given the previously estimated parameter set Θ^{t-1} using (3), (5) and (7).

C-step: For n = 1, ..., N, classify the *n*th pixel into class j as $z_{n,j} = 1$ by choosing j which maximizes the posterior $p(z_{n,k}|s_n, \Theta^{t-1})$ over k = 1, ..., K as

$$j = \arg\max_{k} p(z_{n,k}|s_n, \Theta^{t-1})$$
(14)

M-step: To find a Bayesian estimate, maximize the classification log-likelihood in (12) and the log-prior functions $\log p(\Theta)$ together with respect to Θ as

$$\Theta^{t-1} = \arg\max_{\Theta} \{ Q_{CEM}(\Theta | \Theta^{t-1}) + \log p(\Theta) \}$$
(15)

To maximize this function, we alternate among the variables μ_k , ν_k , α_k , β_k and δ_k . We only define an inverse Gamma prior with mean 1 for $\beta_k \sim \mathcal{IG}(\beta_k|N_k, N_k)$ where N_k is the number of pixels in class k. We choose this prior among some positive densities by testing their performance in the simulations. We have obtained better results with small values of β_k . This prior ensures β_k to take a value around 1. We assume uniform priors for the other parameters. The functions of the amplitude parameters over all pixels are written as follows

$$Q(\mu_k; \Theta^{t-1}) = -N_k \nu_k \log \mu_k - \frac{\nu_k}{\mu_k} \sum_{n \in \mathcal{R}_k} s_n^2$$
(16)

$$Q(\nu_k; \Theta^{t-1}) = N_k \nu_k \log \frac{\nu_k}{\mu_k} - N_k \log \Gamma(\nu_k) + (2\nu_k - 1) \sum_{n \in \mathcal{R}_k} \log s_n - \frac{\nu_k}{\mu_k} \sum_{n \in \mathcal{R}_k} s_n^2$$
(17)

We estimate the texture parameters using another sub-EM algorithm nested within CEM. The nested EM algorithm has already been studied in [61]. We can express the *t*-distribution as a Gaussian scale mixture of gamma distributed latent variables $\tau_{n,k}$. Thereby, the EM log-likelihood functions of the *t*-distribution in (5) are written as [62], [30]

$$Q(\boldsymbol{\alpha}_k; \Theta^{t-1}) = -\sum_{n \in \mathcal{R}_k} \frac{(s_n - \phi_n^T \boldsymbol{\alpha}_k)^2}{2\delta_k} \langle \tau_{n,k} \rangle$$
(18)

$$Q(\delta_k; \Theta^{t-1}) = -\frac{N_k}{2} \log \delta_k - \sum_{n \in \mathcal{R}_k} \frac{(s_n - \phi_n^T \boldsymbol{\alpha}_k)^2}{2\delta_k} \langle \tau_{n,k} \rangle$$
(19)

$$Q(\beta_k; \Theta^{t-1}) = -N_k \log \Gamma(\frac{\beta_k}{2}) + \frac{N_k \beta_k}{2} \log \frac{\beta_k}{2} + \sum_{n \in \mathcal{R}_k} \left(\frac{\beta_k}{2}\right) \langle \log \tau_{n,k} \rangle - \sum_{n \in \mathcal{R}_k} \frac{\langle \tau_{n,k} \rangle \beta_k}{2} \left(1 + \frac{(s_n - \phi_n^T \mathbf{\alpha}_k)^2}{2\delta_k \beta_k} \right) - (N_k + 1) \log \beta_k - \frac{N_k}{\beta_k}$$
(20)

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where $\langle \tau_{n,k} \rangle$ is the posterior expectation of the gamma distributed latent variable and calculated as

$$\langle \tau_{n,k} \rangle = \frac{\beta_k + 1}{\beta_k} \left(1 + \frac{(s_n - \phi_n^T \boldsymbol{\alpha}_k)^2}{\beta_k \delta_k} \right)^{-1}$$
(21)

For simplicity, we use $\langle . \rangle$ to represent the posterior expectation $\langle . \rangle_{\tau_{n,k}|\Theta^{t-1}}$. The solutions to (16), (18) and (19) can be easily found as

$$\mu_k = \frac{1}{N_k} \sum_{n=1}^{N_k} s_n^2 \tag{22}$$

$$\boldsymbol{\alpha}_k = (\Phi^T \Phi)^{-1} \Phi^T \mathbf{s} \tag{23}$$

$$\delta_k = \sum_{n=1}^{N_k} \frac{(s_n - \phi_n^T \boldsymbol{\alpha}_k)^2}{N_k} \langle \tau_{n,k} \rangle \tag{24}$$

For (17) and (20), we use a zero finding method to determine their maximum [63] by setting their first derivatives to zero

$$\log \frac{\nu_k}{\mu_k} - \psi_1(\nu_k) + \frac{2}{N_k} \sum_{n=1}^{N_k} \log s_n = 0$$
(25)

$$\log \frac{\beta_k}{2} - \psi_1(\frac{\beta_k}{2}) + 1 + \frac{1}{N_k} \sum_{n=1}^{N_k} \langle \log \tau_{n,k} \rangle$$
$$- \langle \tau_{n,k} \rangle - \frac{N_k + 1}{\beta_k} + \frac{N_k}{\beta_k^2} = 0$$
(26)

The parameter θ_z of the MnL class label is found by maximizing the following function

$$Q(\theta_{z}; \Theta^{t-1}) = \sum_{n=1}^{N} \left(\theta_{z} v_{k}(z_{n,k}) - \log \sum_{j=1}^{K} e^{\theta_{z} v_{j}(z_{n,j})} \right)$$
(27)

We use a Newton-Raphson iteration to fit θ_z as

$$\theta_z^t = \theta_z^{t-1} - \frac{1}{2} \frac{\nabla Q(\theta_z; \Theta^{t-1})}{\nabla^2 Q(\theta_z; \Theta^{t-1})}$$
(28)

where the operators $\nabla \cdot$ and $\nabla^2 \cdot$ represent the gradient and the Laplacian of the function with respect to θ_z .

4 Algorithm

In this section, we present the details of the unsupervised classification algorithm. Our strategy follows the same general philosophy as the one proposed in [52] and developed for mixture model in [51, 54]. We start the CEM algorithm with a large number of classes, $K = K_{max}$, and then we reduce the number of

Table 1: Unsupervised CEM algorithm for classification of amplitude and texture based mixture model.

Initialize the classes defined in Section 4.1 for $K = K_{max}$. While $K \ge K_{min}$, do

 $\theta_z=c,\,c\geq 0$ While the condition in (33) is false, do

E-step: Calculate the posteriors in (13) C-step: Classify the pixels regarding to (14) M-step: Estimate the parameters of amplitude and texture densities using (21-26) Update the smoothness parameter θ_z using (28)

Find the weakest class using (29) Find the closest class to the weakest class using (30-32) Merge these two classes $\mathcal{R}_l \leftarrow \mathcal{R}_l \bigcup \mathcal{R}_{k_{weak}}$ $K \leftarrow K - 1$

classes to $K \leftarrow K - 1$ by merging the weakest class in probability to the one that is most similar to it with respect to a distance measure. The weakest class may be found using the average probabilities of each class as

$$k_{weak} = \arg\min_{k} \frac{1}{N_k} \sum_{n \in R_k} p(z_{n,k}|s_n, \Theta^{t-1})$$
(29)

Kullback-Leibler (KL) type divergence criterions are used in hierarchical texture segmentation for region merging [64]. We use a symmetric KL type distance measure called Jensen-Shannon divergence [65] which is defined between two probability density functions, i.e. $p_{k_{weak}}$ and p_k , $k \neq k_{weak}$, as

$$D_{JS}(k) = \frac{1}{2} D_{KL}(p_{k_{weak}} ||q) + \frac{1}{2} D_{KL}(p_k ||q)$$
(30)

where $q = 0.5p_{k_{weak}} + 0.5p_k$ and

$$D_{KL}(p||q) = \sum_{k} p(k) \log \frac{p(k)}{q(k)}$$
(31)

We find the closest class to k_{weak} as

$$l = \arg\min D_{JS}(k) \tag{32}$$

and merge these two classes to constitute a new class $\mathcal{R}_l \leftarrow \mathcal{R}_l \bigcup \mathcal{R}_{k_{weak}}$.

We repeat this procedure until we reach the predefined minimum number of classes K_{min} . We determine the necessary number of classes by observing the ICL criterion explained in Section 4.3. The details of the initialization and the stopping criterion of the algorithm are presented in Section 4.1 and 4.2. The summary of the algorithm can be found in Table 1

4.1 Initialization

The algorithm can be initialized by determining the class areas manually in case that there are a few number of classes. We suggest to use an initialization strategy for completely unsupervised classification. It removes the user intervention from the algorithm and enables to use the algorithm in case of large number of classes. First, we run the CEM algorithm for one global class. Using the cumulative distribution of the fitted Nakagami density $g = F_A(s_n|\mu_0,\nu_0)$ where $g \in [0,1]$ and dividing [0,1] into K equal bins, we can find our initial class parameters as $\mu_k = F_A^{-1}(g_k|\mu_0,\nu_0), k = 1,\ldots,K$ where g_k 's are the centers of the bins. We initialize the other parameters using the estimated parameters of the global class. We reset the parameter θ_z to a constant c after reducing the number of classes.

4.2 Stopping Criterion

We observe the normalized and weighted absolute difference between sequential values of parameter set θ_k to decide the convergence of the algorithm. We assume that the algorithm has converged, if the following expression is satisfied:

$$\sum_{k=1}^{K} \frac{N_k |\theta_k^t - \theta_k^{t-1}|}{N |\theta_k^{t-1}|} \le 10^{-3}$$
(33)

4.3 Choosing the Number of Classes

The SAR images which we used have a small number of classes. We aim at validating our assumption on small number of classes using the Integrated Classification Likelihood (ICL) [59]. Even though BIC is the most used and the most practical criterion for large data sets, we prefer to use ICL because it is developed specifically for classification likelihood problem, [58], and we have obtained better results than BIC in the determination of the number of classes. In our problem, the ICL criterion may be written as

$$ICL(K) = L(K) - H(K) - \frac{1}{2}d_K \log N + P(k)$$
 (34)

where L(K) is the logarithm of (2) for all pixels,

$$L(K) = \sum_{n=1}^{N} \log \left(\sum_{k=1}^{K} p(s_n | \hat{\theta}_k) p(z_{n,k} | s_n, \hat{\Theta}) \right)$$
(35)

H(k) is an entropic penalty term,

$$H(K) = -\sum_{n=1}^{N} \sum_{k=1}^{K} z_{n,k} \log p(z_{n,k}|s_n, \hat{\Theta})$$
(36)

and P(K) is the term formed by the logarithm of the prior distribution of the parameters. In our case, it is $P(K) = \sum_{k=1}^{K} \log \mathcal{IG}(\hat{\beta}_k | N_k, N_k)$. We also use the BIC criterion for comparison. It can be written as

$$BIC(K) = L(k) - \frac{1}{2}d_K \log N + P(k)$$
 (37)

where d_K is the number of free parameters. In our case, it is $d_K = 12 * K + 1$.

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5 Simulation Results

This section presents the high resolution SAR image classification results of the proposed method called ATML-CEM (Amplitude and Texture density mixtures of MnL with CEM), compared to the corresponding results obtained with other methods. The competitors are DSEM [9], CoDSEM-GLCM [11] and K-MnL. We have also tested three different versions of ATML-CEM method. One of them is supervised ATML-CEM [60] where training and testing sets are determined by selecting some spatially disjoint class regions in the image, and we run the algorithm twice for training and testing. We implement the other two versions by considering only Amplitude (AML-CEM) or only Texture (TML-CEM) statistics.

The K-MnL method is the sequential combination of K-means clustering for classification and Multinomial Logistic label model for segmentation to obtain a more fair comparison with K-means clustering since K-means does not provide a segmented map. The weak point of the K-means algorithm is that it does not converge to the same solution every time, since it starts with random seed. Therefore, we run the K-MnL method 20 times and select the best result among them.

We tested the algorithms on the following four SAR image patches:

- SYN: 200 × 200 pixels, synthetic image constituted by collating 4 different 100 × 100 patches from TSX1 image. The small patches are taken from water, urban, land and forest areas (see Fig. 2(a)).
- TSX1: 1200×1000 pixels, HH polarized TerraSAR-X Stripmap (6.5 m ground resolution) 2.66-look geocorrected image which was acquired over Sanchagang, China (see Fig. 4(a)). ©Infoterra.
- TSX2: 900 × 600 pixels, HH polarized, TerraSAR-X SpotLight (8.2 m ground resolution) 4-look image which was acquired over the city of Rosenheim in Germany (see Fig. 6(a)). (C)Infoterra.
- CSK1: 672 × 947 pixels, HH polarized COSMO-SkyMed Stripmap (2.5 m ground resolution) single-look image which was acquired over Lombriasco, Italy (see Fig. 9(a)). (c)ASI.

For all real SAR images (TSX1, TSX2 and CSK1) classified by ATML-CEM versions, we use the same setting for model and initialization. The sizes of the windows for texture and label models are selected to be 3×3 and 13×13 respectively by trial and error. For synthetic SAR image (SYN), we utilize a 21×21 window in MnL label model and a 3×3 window in texture model. We initialize the algorithm as described in Section 4.1 and estimate all the parameters along the iterations.

We produce SYN image to test unsupervised ATML-CEM algorithm in case of known number of classes, because the real images may contain more classes than our expectations and distinguishing between different classes by eyes to construct a ground-truth is very hard if the number of classes is high. From Fig. 1(a), we can see that the ICL plot has its first peak at 4. BIC does not have any significant peak to allow us to take a decision. The outcomes of the algorithm for different number of classes can be seen in Fig. 3. The numerical

Table 2: Accuracy (in %) of the classification of SYN image for 4 classes and in average.

	water	urban	trees	land	average
ATML-CEM (Sup.)	99.02	99.46	99.28	99.30	99.27
K-MnL (Unsup.)	96.58	80.18	99.60	90.32	91.92
AML-CEM (Unsup.)	97.53	97.89	97.72	94.57	96.93
TML-CEM (Unsup.)	98.18	81.10	85.79	88.72	88.45
ATML-CEM (Unsup.)	97.74	97.61	97.73	94.81	96.97

results are listed in Table 2. For supervised case, we allocate 25% of the data for training and 75% for testing. The similar results of AML-CEM and ATML-CEM show that the contribution of texture information is very weak in this data set. From Fig. 2, we can see that the classification map of ATML-CEM is obviously better than the one of K-MnL.



Figure 1: ICL and BIC values of the classified (a) SYN (b) TSX1, (c) TSX2 and (d) CSK1 images for several numbers of sources.

For TSX1 image in Fig.4(a), the full ground-truth map (Courtesy of V. Krylov) is manually generated. Fig.4 shows the classification results where the red colored regions indicate the misclassified parts according to 3-classes ground-truth map. We can see the plotted ICL values with respect to the number of classes in Fig. 1(b). The ICL plot has its maximum at 5. The BIC values are



(c) Supervised classification

(d) Unsupervised classification

Figure 2: (a) SYN image, (b), (c) and (d) classification maps obtained by K-MnL, supervised and unsupervised ATML-CEM methods. Dark blue, light blue, yellow and red colors represent class 1 (water), class 2 (urban), class 3 (trees) and class 4 (land), respectively.

monotonically increasing and does not allow to take a decision about the number of classes. We can see from the BIC plots of different images in Fig. 5 that BIC has similar monotonically increasing behavior and ICL has clearer peaks. Fig. 5 shows several classification maps found for different numbers of classes. Since we have the 3-classes ground-truth map, we compare our results numerically in the 3-classes case. The numerical accuracy results are given in Table 3. While supervised ATML-CEM gives the better result in average, unsupervised ATML-CEM and supervised DSEM-MRF follow it. Among the unsupervised methods, the performance of K-MnL is better than the others in average, but results of ATML-CEM and AML-CEM are closed to its results.

From the experiment with TSX1 image, we realize that if the image does not have strong texture, we cannot benefit from including texture statistics into the



Figure 3: Classification maps of SYN image obtained with unsupervised ATML-CEM method for different numbers of classes $K = \{3,4,5,10\}$.

	water	wet soil	dry soil	average		
DSEM-MRF (Sup.)	90.00	69.93	91.28	83.74		
ATML-CEM (Sup.)	89.88	76.38	87.33	84.53		
K-MnL (Unsup.)	89.71	86.13	72.42	82.92		
AML-CEM (Unsup.)	89.11	63.69	93.46	82.09		
TML-CEM (Unsup.)	52.71	64.59	93.65	70.32		
ATML-CEM (Unsup.)	88.93	63.47	94.07	82.15		

Table 3: Accuracy (in %) of the classification of TSX1 image in water, wet soil and dry soil areas and average.

model. To reveal the advantage of using texture model, we exploit the ATML-CEM algorithm for urban area extraction problem on TSX2 image in Fig. 6(a). Table 4 lists the accuracy of the classification in water, urban and land areas



(a) TSX1 image

(b) K-MnL classification



(c) Supervised classification

(d) Unsupervised classification

Figure 4: (a) TSX1 image, (b), (c) and (d) classification maps obtained by K-MnL, supervised and unsupervised ATML-CEM methods. Dark blue, light blue, yellow and red colors represent water, wet soil, dry soil and misclassified areas, respectively.

and average according to a groundtruth class map (Courtesy of A. Voisin). We include the result of CoDSEM-GLCM [11] which is the extended version of the DSEM method by including texture information. In both supervised and unsupervised cases, ATML-CEM provides better results than the others. TML-CEM gives better result than other methods in the urban area, however, the combination of amplitude and texture features helps to increase the quality of



(a) K = 2

(b) K = 3



a 5. Classification many of TSV1 image obtained with ungun

Figure 5: Classification maps of TSX1 image obtained with unsupervised ATML-CEM method for different numbers of classes $K = \{2,3,5,8\}$.

classification in average. From Fig. 6, we can see that the K-MnL method fails to classify the urban areas. The classification map of ATML-CEM includes the trees and hills areas into urban area, since their textures are more similar to urban texture than the others. Misclassification in water areas is caused by the dark shadowed regions. Fig. 1(c) shows the ICL and BIC values. From this plot, we can see that the necessary number of classes should be 7. Fig. 7 presents the classification maps for 3-, 5-, 7- and 15-classes cases. In 7-classes case, the

	water	urban	land	average
CoDSEM-GLCM (Sup.)	91.28	98.82	93.53	94.54
DSEM (Sup.)	92.95	98.32	81.33	90.87
ATML-CEM (Sup.)	98.60	97.56	94.78	96.98
K-MnL (Unsup.)	100.00	79.03	80.33	86.45
AML-CEM (Unsup.)	93.27	98.46	79.33	90.35
TML-CEM (Unsup.)	89.88	99.24	65.62	84.91
ATML-CEM (Unsup.)	97.78	97.82	79.22	91.60

Table 4: Accuracy (in %) of the classification of TSX2 image in water, urban and land areas and overall.

urban area is divided into two parts which are related to medium and strong reflections caused by buildings. Land are also divided into several regions.





(c) Supervised classification

(d) Unsupervised classification

Figure 6: (a) TSX2 image, (b), (c) and (d) classification maps obtained by K-MnL, supervised ATML-CEM and unsupervised ATML-CEM methods. Blue, red and green colors represent water, urban and land areas, respectively.

We have tested ATML-CEM on another patch called CSK1 (see Fig. 9(a)). Tab. 5 lists the numerical results. Among the supervised methods, ATML-CEM is very successful. Since this SAR image is a single-look observation, the noise level is higher than in the other images. We can obtain some good unsupervised classification results after applying a denoising process. Among the Lee, Frost and Wiener filters, we prefer using a 2D adaptive Wiener filter with 3×3 window proposed in [66], because we obtain better classification results. In Fig. 8, we show the histogram of the intensity of the CSK1 image before and after



Figure 7: Classification maps of TSX2 image obtained with unsupervised ATML-CEM method for different numbers of classes $K = \{3,5,7,15\}$.

Table 5: Accuracy (in %) of the classification of CSK1 image in water, urban and land areas and overall. Note that unsupervised classification results are obtained after denoising.

	water	urban	land	average
CoDSEM-GLCM (Sup.)	95.28	98.67	98.50	97.48
DSEM (Sup.)	97.74	98.90	81.80	92.82
ATML-CEM (Sup.)	99.76	99.96	99.62	99.78
K-MnL (Unsup.)	99.99	63.39	52.14	71.84
AML-CEM (Unsup.)	99.06	47.08	27.66	57.93
TML-CEM (Unsup.)	98.88	96.69	77.84	91.14
ATML-CEM (Unsup.)	99.64	93.00	92.04	94.89

denoising to justify that our Nakagami/Gamma density assumption is still valid after denoising. CSK1 is an 8-bits image and we plot its intensity histogram between 0 and 254 to demonstrate two histograms in a comparable case. ATML-CEM provides significantly better results in overall, see Fig. 9 and Table 5. The results in Fig. 9 are found for 3-classes case, since we have the 3-classes groundtruth map. The optimum number of classes is found as 6 according to ICL criterion, see Fig. 1(d). Fig. 10 shows some classification maps for different numbers of classes.

The simulations were performed on MATLAB platform on a PC with Intel Xeon, Core 8, 2.40 GHz CPU. The number of iterations and total required



Figure 8: Histograms of the intensity of the CSK1 image (a) before and (b) after denoising.



(c) Supervised classification

(d) Unsupervised classification

Figure 9: (a) CSK1 image, (b), (c) and (d) classification maps obtained by K-MnL, supervised and unsupervised ATML-CEM methods. Blue, red and green colors represent water, urban and land areas, respectively.

time in minutes for the algorithm are shown in Table 6. We also present the required time in seconds for a single iteration in case of the number of classes $K = \{3, 6, 9, 12\}$. The algorithm reaches a solution in a reasonable time, if we take into consideration that more or less a million of pixels are processed.









(d) K = 12

Figure 10: Classification maps of CSK1 image obtained with unsupervised ATML-CEM method for different numbers of classes $K = \{3, 5, 6, 12\}$.

Table 6: The number of pixels of TSX1, TSX2 and CSK1; Corresponding required time in seconds for a single iteration in case of $K = \{2, 4, 6, 8\}$; Total required time in minutes; and Total number of iterations.

	# of pixels	K = 8	K = 6	K = 4	K = 2	Total [min.]	Total it.
TSX1	1200e+3	7.04	6.18	4.62	3.55	5.07	57
TSX2	540e + 3	2.83	2.31	1.89	1.58	3.97	110
CSK1	636e + 3	3.52	3.42	2.65	2.13	2.42	50

Conclusion and Future Work 6

We have proposed a Bayesian model which uses amplitude and texture features together in a FMM along with nonstationary latent class labels. Using these two features together in the model, we obtain better high resolution SAR image classification results, especially in the urban areas. Furthermore, using an agglomerative type unsupervised classification method, we eliminate the negative effect of the latent class label initialization. According to our experiments, the larger number of classes we start the algorithm with, the more initial value independent results we obtain. Consequently, the computational cost is increased as a by-product. The ICL criterion which we prefer over BIC does not always indicate the number of classes noticeably. In some cases it has several peaks very close to each others. In these cases, since we search the smallest number of classes, we can observe the first peak of ICL to take a decision on the number of classes. More complicated criterions may be investigated in a future study. The speckle type noise has impaired the algorithm especially in single-look observation case. The statistics of the speckle noise may be included to the proposed model in order to obtain better classification/segmentation in case of low signal to noise ratio.

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