



**HAL**  
open science

# Gravity- and non-gravity-mediated couplings in multiple-field inflation

Francis Bernardeau

► **To cite this version:**

Francis Bernardeau. Gravity- and non-gravity-mediated couplings in multiple-field inflation. *Classical and Quantum Gravity*, 2010, 27 (12), pp.124004. 10.1088/0264-9381/27/12/124004 . hal-00601891

**HAL Id: hal-00601891**

**<https://hal.science/hal-00601891>**

Submitted on 21 Jun 2011

**HAL** is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

# Gravity and non-gravity mediated couplings in multiple-field inflation

**Francis Bernardeau**

CEA, Institut de Physique Théorique, 91191 Gif-sur-Yvette cédex, France  
CNRS, URA-2306, 91191 Gif-sur-Yvette cédex, France

E-mail: [francis.bernardeau@cea.fr](mailto:francis.bernardeau@cea.fr)

**Abstract.** Mechanisms for the generation of primordial non-Gaussian metric fluctuations in the context of multiple-field inflation are reviewed. As long as kinetic terms remain canonical, it appears that nonlinear couplings inducing non-gaussianities can be split into two types. The extension of the one-field results to multiple degrees of freedom leads to *gravity* mediated couplings that are ubiquitous but generally modest. Multiple-field inflation offers however the possibility of generating *non-gravity* mediated coupling in isocurvature directions that can eventually induce large non-Gaussianities in the metric fluctuations. The robustness of the predictions of such models is eventually examined in view of a case study derived from a high-energy physics construction.

PACS numbers: 98.80.-k, 98.65.-r, 98.80.Bp, 98.80.Cq, 98.80.Es

## 1. Introduction

It is now clearly understood that standard single field inflation cannot produce significant non-Gaussianities (NG) during or immediately after the inflationary phase. The result obtained by Maldacena in Ref. [1] explicitly shows that standard single field inflation leads to no or very little primordial non-Gaussianities (see also [2, 3] earlier estimations of these effects). And this result appears to be very robust, independent on the details of the model. This point is best illustrated by the expression of the bispectrum in the squeezed limit. Defining the time dependent curvature modes  $\zeta(t, \mathbf{k})$  and taking advantage of the statistical isotropy of the universe, the power spectrum  $\mathcal{P}_\zeta$  of the field  $\zeta$  can be defined as

$$\langle \zeta(t, \mathbf{k}_1) \zeta(t, \mathbf{k}_2) \rangle = (2\pi)^3 \delta_{\text{Dirac}}(\mathbf{k}_1 + \mathbf{k}_2) \mathcal{P}_\zeta(k_1, t) \quad (1)$$

and its bispectrum<sup>‡</sup>  $\mathcal{B}_\zeta$  as

$$\langle \zeta(t, \mathbf{k}_1) \zeta(t, \mathbf{k}_2) \zeta(t, \mathbf{k}_3) \rangle = (2\pi)^3 \delta_{\text{Dirac}}(\mathbf{k}_1 + \mathbf{k}_2 + \mathbf{k}_3) \mathcal{B}_\zeta(\mathbf{k}_1, \mathbf{k}_2, \mathbf{k}_3, t). \quad (2)$$

In the squeezed limit, i.e. when  $k_1 \ll k_2 \approx k_3$ , the bispectrum scales like  $(n_s - 1) \mathcal{P}_\zeta(k_1) \mathcal{P}_\zeta(k_2)$  where  $n_s$  is the spectral index [4]. Not only are the nonlinear couplings naturally small – say of order unity<sup>§</sup> – they are even suppressed by the slow-roll parameters (that ensures that  $n_s$  is close to unity).

<sup>‡</sup> In this context, both the power spectrum and the bispectrum will eventually be time independent at super-Hubble scales.

<sup>§</sup> Note however that the amount of NGs determined by a dimensionless quantity such as  $\mathcal{B}/\mathcal{P}^{3/2}$  is of the order of  $\mathcal{P}_*(n_s - 1)$  where  $\mathcal{P}_* \approx 10^{-5}$  is the amplitude of the metric fluctuations.

There are then two possible strategies to escape the limits set by Maldacena's results. One can modify the kinetic term by introducing higher order terms in the action that are not due to the potential shape. An example is provided by the Dirac-Born-Infeld action [5]. Such models will succeed in producing large NGs if precisely the kinetic term is, at the time of horizon crossing, at a non standard running point. That does not change however the squeezed limit case but allows large NG couplings for more equilateral type configurations of modes. This has been put forward as a powerful way for discriminating models [6].

Another way of evading the constraints of standard single field inflation is to introduce multiple scalar degrees of freedom. It can actually be argued that this is a natural hypothesis since it is unlikely that only one fundamental degree of freedom will be light (e.g. compared to the Hubble energy scale) during the epoch of inflation. What is more hypothetical is whether those extra degrees of freedom can have observational consequences. By definition, degrees of freedom that do not participate in the metric fluctuation, at a given time, are called isocurvature modes. There is no reason why the isocurvature modes should remain so all along the history of the universe and various mechanisms have been put forward that can lead to a transfer of modes, from isocurvature to adiabatic modes.

For instance the curvaton model is based on the survival of (massive) isocurvature modes until late after the end of inflation that can alter the subsequent expansion history of the universe [7]. This is a particular case of modulated inflation [8, 9, 10]. Other mechanisms assume that isocurvature modes can change the end-point of inflation or alter the (p)-reheating effects (see [11] and contribution by A. Frolov, this volume). Such mechanisms can also happen in the context of hybrid inflation. It does not mean yet that it induces non-Gaussian metric fluctuations. That would happen only if there are nonlinearities in the isocurvature-curvature transfer or if isocurvature modes are intrinsically NG at the time of transfer. This latter situation is in particular advocated in Refs. [12, 13, 14, 15] where isocurvature modes are shown to be able to develop large NG after horizon crossing. The aim of this paper is to show how different models that have been put forward in the literature differ in their mechanisms for producing NGs and how they differ in the amplitude and/or shapes of NGs they produce. This will be described in section 2 where it is argued that one can distinguish between gravity and non-gravity mediated contributions.

The mere construction of working mechanisms cannot however be fully satisfactory. It is now clear that models can lead to a variety of observational signatures. However, whether there exist natural realizations for those models from high-energy physics point of view is largely open. That will be tentatively addressed in section 3.

## 2. From single to multiple-field inflation

The class of models we are interested in corresponds to action that takes the form,

$$\mathcal{S} = \int dx^3 dt \sqrt{-g} \left[ \frac{R m_{\text{Pl}}^2}{2} - \frac{1}{2} \partial_\mu \Phi_a \partial^\mu \Phi_a - V(\Phi_1, \dots, \Phi_N) \right] \quad (3)$$

assuming that the  $N$  scalar fields  $\Phi_a$  are all minimally coupled to the metric and that they all have standard kinetic terms. Here, and in the following, summation over repeated latin indices  $a, b, c, \dots$  from 1 to  $N$  is implicitly assumed;  $m_{\text{Pl}}$  is the

reduced Planck mass,  $m_{\text{Pl}}^{-2} = 8\pi G$ . We will also assume that the spatial sections are Euclidean.

As usual we will then assume that a semi classical approach can be used, e.g. that the fields  $\Phi_a$  can be decomposed into an inhomogeneous part  $\phi_a$  and a space dependent part  $\varphi_a$  which, together with the scalar parts of the metric fluctuations, can be quantized (e.g. [16]).

The zeroth order motion equation defines the field trajectory that is the time dependence of  $\phi_a$ . When slow-rolling is reached it defines an adiabatic direction  $\mathbf{n}$  in the field space. More precisely we can define  $\mathbf{n}_a$  as a unit vector with,

$$\mathbf{n}_a = \frac{\dot{\phi}_a}{\sqrt{\dot{\phi}_b^2}} \quad (4)$$

so that  $\mathbf{n}$  is tangential to the field trajectory during slow-roll.

The statistical properties of the metric field and/or perturbations will then be obtained from an expansion of the action around the homogeneous evolution,

$$\mathcal{S} = \frac{1}{2} \int dx^3 dt a^3 [\mathcal{L}_0 + \mathcal{L}_2 + \mathcal{L}_3 + \dots] \quad (5)$$

where  $\mathcal{L}_p$  is of order  $p$  in the field fluctuations<sup>||</sup>.

### 2.1. The equations

From a practical point of view the choice of gauge can be crucial. Here we chose a spatially flat slicing gauge and derive the motion equation using the ADM formalism. This technique is very efficient and has been presented (and used) in Ref. [1] in this context. We refer the reader to this paper for a detailed presentation of the method. In the ADM formalism the metric is written,

$$ds^2 = -N^2 dt^2 + h_{ij}(dx^i + N^i dt)(dx^j + N^j dt), \quad (6)$$

and we make the gauge choice so that

$$h_{ij} = a^2(t)\delta_{ij}, \quad (7)$$

introducing a spatially flat slicing gauge.

The resulting second order action is then given by,

$$\begin{aligned} \mathcal{L}_2 = & -V_{,ab}\varphi_a\varphi_b + \dot{\varphi}_a^2 - \frac{1}{a^2}(\partial_i\varphi_a)^2 \\ & - \frac{2}{Hm_{\text{Pl}}^2}(V_{,a}\varphi_a)(\dot{\phi}_a\varphi_a) - \frac{V}{H^2m_{\text{Pl}}^4}(\dot{\phi}_a\varphi_a)^2, \end{aligned} \quad (8)$$

The motion equation of the field  $\varphi_a$  can then be read out of the action,

$$\ddot{\varphi}_a + 3H\dot{\varphi}_a - \frac{1}{a^2}\Delta\varphi_a = s_{ab}\varphi_b \quad (9)$$

with

$$s_{ab} = -V_{,ab} - \frac{1}{Hm_{\text{Pl}}^2}(V_{,a}\dot{\phi}_b + \dot{\phi}_a V_{,b}) + \frac{V}{H^2m_{\text{Pl}}^4}\dot{\phi}_a\dot{\phi}_b. \quad (10)$$

And finally, in this gauge the adiabatic metric fluctuations are,

$$\zeta = -\frac{H}{\dot{\phi}_b^2}\dot{\phi}_a\varphi_a = -\mathbf{n}_a\varphi_a\frac{H}{\sqrt{\dot{\phi}_b^2}}. \quad (11)$$

<sup>||</sup> Up to a total derivative term,  $\mathcal{L}_1$  vanishes about the homogeneous trajectory.

In the slow-roll regime, it can then be written,

$$\zeta = \frac{1}{m_{\text{Pl}}\sqrt{2\epsilon}} \mathbf{n}_a \varphi_a, \quad (12)$$

where  $\epsilon$  is the (first) slow-roll parameter,  $2\epsilon \equiv (m_{\text{Pl}}^2 \mathbf{n}_a V_{,a}/V)^2$ . This set of equations provides the necessary ingredient to compute the shape of the power spectrum however complicated the potential might be.

To obtain the induced amplitude of the NGs it is however necessary to extend the calculations to higher order terms. Both the relations (8) and (11) should then be extended to higher order terms. These calculations were initially carried by Maldacena in Ref. [1] for a single field.

The relation between the curvature perturbation and the field fluctuations is in general intricate. The  $\delta N$  formalism offers however an insightful way of representing those couplings ([17, 18] and see Ref. [19] in the context of nonlinear expansions). Indeed scales of interest being super-Hubble, the curvature can be identified as fluctuation of the number of efoldings from one field trajectory to another. This number can in turn be expanded as a function of the field fluctuations,

$$\delta N(t) = N_{,a} \varphi_a(t_*) + \frac{1}{2} N_{,ab} \varphi_a(t_*) \varphi_b(t_*) + \dots \quad (13)$$

where

$$N_{,a} = \frac{\partial N(t)}{\partial \varphi_a(t_*)} \quad \text{and} \quad N_{,ab} = \frac{\partial^2 N(t)}{\partial \varphi_a(t_*) \partial \varphi_b(t_*)}. \quad (14)$$

Here  $t_*$  is a time for which all modes are evolving at super-Hubble scales. This is usually taken as the time of horizon crossing (as in Refs. [20, 21, 22]) but this is not necessarily so and it can be taken later<sup>¶</sup>. The presence of a second order term ensures that the resulting metric fluctuations are not Gaussian. This is however not the only possible contribution. Other possibilities come from the fact that the fields themselves may not be Gaussian distributed to start with at time  $t_*$ . The unambiguous way to derive the statistical properties of those fields is to start with the third order action and, using for instance for the “in-in” formalism from Schwinger and Keldysh (see Refs. [23, 24] and [25] for a presentation and use in a cosmological context), derive the properties of the field.

In case of multiple-field inflation the result obtained by Maldacena for single field can be readily extended. The third order action is given by (using the same gauge as before),

$$\begin{aligned} \mathcal{L}_3 = & -\frac{1}{6} V_{,abc} \varphi_a \varphi_b \varphi_c \\ & - \frac{1}{4Hm_{\text{Pl}}^2} \dot{\phi}_a \varphi_a \left[ V_{,bc} \varphi_b \varphi_c + \dot{\phi}_b \dot{\phi}_b + \frac{1}{a^2} \partial_i \varphi_b \partial_i \varphi_b \right] - \dot{\phi}_a \partial_i \varphi_a \partial_i \chi \\ & + \frac{1}{8Hm_{\text{Pl}}^4} (\dot{\phi}_a \varphi_a)^3 \left[ 3 - \frac{\dot{\phi}_a^2}{2H^2} \right] + \frac{(\dot{\phi}_a \varphi_a)^2}{4H} \left[ \frac{1}{H} \dot{\phi}_b \dot{\phi}_b + \partial^2 \chi \right] \\ & - \frac{1}{4Hm_{\text{Pl}}^4} \dot{\phi}_a \varphi_a \left[ \partial_i \partial_j \chi \partial_i \partial_j \chi - \partial^2 \chi \partial^2 \chi \right], \quad (15) \end{aligned}$$

<sup>¶</sup> That amounts to compute the nonlinear super-Hubble evolution of the isocurvature fields either separately, from the action expression, or to include it in the  $N_{,ab}$  coefficient as illustrated below.

where

$$\partial^2\chi = -\frac{V_{,a}}{2H}\varphi_a - \frac{1}{2H}\dot{\phi}_a\dot{\varphi}_a - \frac{V}{2H^2m_{\text{Pl}}^2}\dot{\phi}_a\dot{\varphi}_a. \quad (16)$$

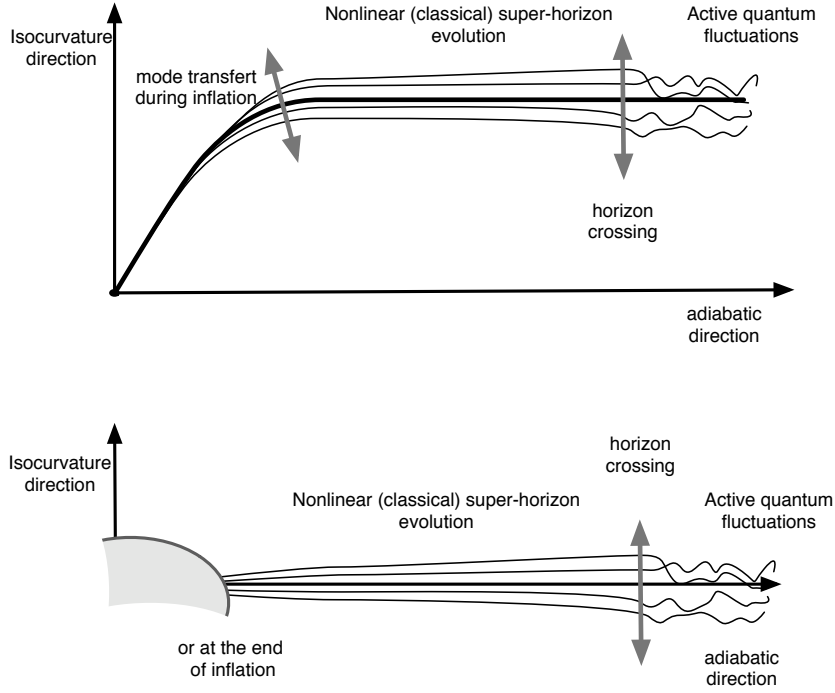
In Eqs. (15) and (16) the different terms are ordered in terms of the power of the Planck mass. They can alternatively be ordered with respect to the slow-roll parameters. For single field inflation such a decomposition is unambiguous as described in [1]. For multiple-field models the decomposition depends on whether the derivatives of the potential have the same order of magnitudes in all field directions. If it is so, the third order action is dominated by the last three term of the second line: they are all of order  $\sqrt{\epsilon}H^5/m_{\text{Pl}}$ . That corresponds to the expression of the action as derived in [26]. On the other hand, if only the adiabatic direction has small derivatives then the expansion should be made with more care. In particular, the first term of the second line can be of the same order of the other terms of that line; and the very first term can dominate all others inducing *non-gravity mediated couplings*. Indeed, whereas field fluctuations in the adiabatic direction are intimately coupled to metric fluctuation, and are therefore constrained by slow-roll conditions, this is not the case in transverse directions (provided its effective mass remains below  $H$ , see discussion in Ref. [14]), and field can develop arbitrarily large couplings. To give another insights into the separation of those terms, one can note that in the de Sitter limit – which implies that all time derivatives of background quantities vanish – the only non-vanishing term of  $\mathcal{L}_3$  is the first one. It simply describes the self-coupling of fields in an arbitrary expanding background.

## 2.2. A geometrical description

We have now all the necessary ingredients to present the various mechanisms at play in this context as is illustrated in Fig. 1. Those sketches describe field trajectories assuming one isocurvature degree of freedom. The right part of the panels correspond to sub-Hubble dynamics where quantum fluctuations are at play. Non-Gaussianities can be induced at that stage as described by the “in-in” formalism. The formula (17) below gives the expression of the field bispectrum but with the assumption mentioned before, that is assuming slow-roll conditions are met in all field directions. It does not therefore encompass all possibilities.

The transfer from isocurvature to adiabatic modes can take place as soon as modes cross the horizon or much later on. In this first case, a full treatment of the metric perturbation with the help of the “in-in” formalism is required. In the latter case further nonlinearities are built during the super-Hubble evolution of the fields. Those evolutions can be taken into account through the  $\delta N$  formalism (which corresponds to a classical evolution of the fields) or in the “in-in” formalism applied to later time. Both descriptions are equivalent and should (see Refs [27] and [28] for a general discussion on that) give the same result.

It is to be noted then that if the super-Hubble evolution of the field is the dominating process, then the bispectrum is going to be local. The later case can be further extended to situations where mode transfer takes place at the very end of inflation. This is the case in particular for extensions of the hybrid models (see Refs. [15, 10, 29, 30, 21]). Two cases though should be distinguished: when the transfer is itself nonlinear (that would correspond to critical region with a strongly curved boundary on the bottom panel) or the transfer is linear (the boundary is



**Figure 1.** Sketch of the sub- to super-Hubble evolution of the field fluctuations in isocurvature and adiabatic directions and the mechanisms that can lead to significant non-Gaussian metric perturbations. In the top panel, the transfer of modes takes place during the inflationary period while in the bottom at the end of inflation assuming it corresponds to the critical region in grey.

essentially a straight line) in which case the non-Gaussianities are those imprinted in the isocurvature modes.

This latter picture is the one put forward in [15, 29] and advocated as a very efficient mechanism for producing non-Gaussianities since it can take advantage of non-gravity mediated mode couplings.

### 2.3. Gravity mediated couplings

When only the leading order in slow-roll parameters is included, and assuming the potential derivatives are of the same order in all directions, one can derive the field three-point correlation functions (e.g. [26, 31, 20]),

$$\begin{aligned}
 \langle \varphi_a(\mathbf{k}_1) \varphi_b(\mathbf{k}_2) \varphi_c(\mathbf{k}_3) \rangle &= (2\pi)^3 \delta_{\text{Dirac}}(\mathbf{k}_t) \mathcal{B}_{abc}(\mathbf{k}_1, \mathbf{k}_2, \mathbf{k}_3) \\
 &= (2\pi)^3 \delta_{\text{Dirac}}(\mathbf{k}_t) \frac{\Delta_*^2}{\prod_i k_i^3} \\
 &\quad \times \sum_{\text{perms}} \frac{\mathcal{M}(k_1, k_2, k_3)}{4Hm_{\text{Pl}}^2} \phi_a \delta_{bc}, \quad (17)
 \end{aligned}$$

with

$$\mathcal{M}(k_1, k_2, k_3) \equiv -k_1 k_2^2 - 4 \frac{k_2^2 k_3^2}{k_t} + \frac{1}{2} k_1^3 + \frac{k_2^2 k_3^2}{k_t^2} (k_2 - k_3), \quad (18)$$

where  $\mathbf{k}_t = \mathbf{k}_1 + \mathbf{k}_2 + \mathbf{k}_3$ , and it is assumed that all the  $k_i$  are of the same order of magnitude so that they cross the Hubble radius approximately at the same time. As a result  $\Delta^2 = k^3 P_\varphi(k)$  is, at leading order in slow-roll parameter, the same for all three  $\mathbf{k}_i$  at horizon crossing. The sum that appears in (17) is over all simultaneous rearrangements of the indices  $a, b$ , and  $c$ , and of the momenta  $k_1, k_2$ , and  $k_3$  in  $\mathcal{M}$ , such that the relative position of the  $k_i$  is respected. The form presented here for the function  $\mathcal{M}$  is the one given in Ref. [20] rewritten from the expression given in Ref. [26].

It can be noticed that the resulting field bispectra scale like  $1/m_{\text{Pl}}^2$  and are proportional to the field time derivative. As a result, when re-expressed in terms of the slow-roll parameter, they are typically of the order of

$$\mathcal{B}_{abc}(\mathbf{k}_1, \mathbf{k}_2, \mathbf{k}_3) \sim \frac{\sqrt{\epsilon}}{m_{\text{Pl}}} \mathcal{P}_\varphi^2 \quad (19)$$

which implies that the bispectrum of the metric fluctuations is of the order of

$$\mathcal{B}_\zeta \sim \epsilon \mathcal{P}_\zeta^2, \quad (20)$$

not taking into account the subsequent growth of mode couplings. What we recover here is qualitatively nothing but the result obtained by Maldacena for single field inflation in Ref. [1].

#### 2.4. Bispectra from non-gravity mediated couplings

By construction the previous section ignored the non-gravity mediated terms. Here we rather focus on the other limit case, that is we assume that fields in the transverse direction develop large NG through intrinsic couplings (e.g. first term of Eq. (15)). So let us consider  $N - 1$  isocurvature modes  $\chi_I$  (which is therefore a  $N - 1$ -dimension subspace of the initial  $N$ -dimension field space) and we keep here the only cubic term of the potential (mainly to be on the same footing with the previous results),

$$\frac{\partial^3 V(\eta, \chi_1, \dots, \chi_{N-1})}{\partial \chi_I \chi_J \chi_K} = \frac{\lambda_{IJK}(\eta)}{3!}. \quad (21)$$

It is then possible to show explicitly that the  $\chi$  fields develop a non-zero bispectra at horizon crossing and after. The amplitude of the coupling is determined by the values of  $\lambda_{IJK}$  that a priori have no relation with the slow-roll parameters. It can be shown that it takes the form (see Refs. [14] and [32] for the general case),

$$\mathcal{B}_{IJK}(\mathbf{k}_1, \mathbf{k}_2, \mathbf{k}_3) = -\nu_{IJK} [\mathcal{P}(k_1)\mathcal{P}(k_2) + \text{sym.}], \quad (22)$$

with,

$$\nu_{IJK} = \int_{\eta_*}^{\eta_c} d\eta' a^4(\eta') \lambda_{IJK}(\eta') \int_{\eta'}^{\eta} \frac{d\eta''}{a^2(\eta'')}, \quad (23)$$

which, in case of a de Sitter background and when the coefficients  $\lambda_{IJK}$  are constant in time, reduces to

$$\nu_{IJK} = \lambda_{IJK} \frac{(N_c - N_*)}{3H^2}. \quad (24)$$

This expression is valid for sufficiently late time after horizon crossing. In case of the de Sitter case that simply means that the number of efoldings  $N_c - N_*$  since horizon crossing for the scales of interest is large (i.e.  $N_c - N_* \gg 1$ ). The results that are presented here correspond to cases where the super-Hubble evolution dominates over



coupling effects at horizon crossing time. This can be shown explicitly using the “in-in” formalism (an early derivation of the three-point correlation function of a test field in a de Sitter background can be found in [33], the four-point correlation in [34]).

An important consequence of this approximation is that the bispectra take a *local* form, e.g. the  $\mathbf{k}_i$  dependence of the bispectrum is simply that of a product of spectra.

What is then the amplitude of the couplings? In case of a cubic potential one needs the effective mass of the isocurvature mode to be small compared to the Hubble constant. That imposes that the elements of the matrix  $1/H \sum_I \lambda_{IJK}$  are small compared to unity, the  $\varphi_J$  being all of the order of  $H^+$ .

That implies that the bispectra can be up to the order of

$$\mathcal{B}_\chi(\mathbf{k}_1, \mathbf{k}_2, \mathbf{k}_3) \lesssim \frac{(N_c - N_*)}{H} [\mathcal{P}_\chi(k_1)\mathcal{P}_\chi(k_2) + \text{sym.}] \quad (25)$$

This is to be compared to Eq. (19). Here, the resulting amplitude is not suppressed by the slow-roll parameter anymore; it is also a factor  $m_{\text{Pl}}/H$  larger! That shows how strong non-gravity mediated couplings can be. There is however a missing ingredient: this mechanism can only be efficient if a transfer of modes occurs during the inflationary period of the universe, or at the very least at the end.

### 3. Multibrand inflation, from toy models to SUSY models

What the previous analysis has shown is that multiple-field inflation offers plethora of mechanisms to play with. In the absence of guideline from high-energy physics it seems therefore difficult to extract robust common features. Attempts to overcome this issue with the help of high-energy motivated constructions have all, in this context, lead to inflationary models that are hybrid and or extensions of hybrid type inflation. Hybrid inflation (see Ref. [37]) is by itself an appealing model; its extensions in the context of multiple-field inflation can lead to interesting phenomenological properties we wish to describe in this section. Let us start with a simple model we will later motivate from super-symmetric (susy) theories.

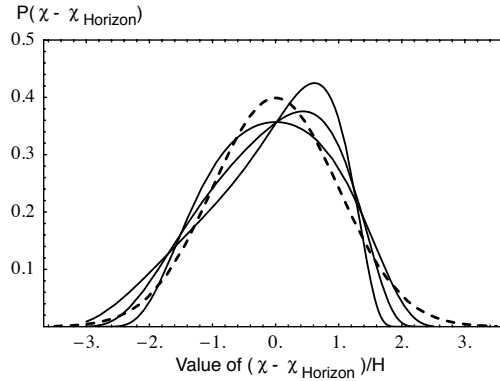
#### 3.1. A toy model

Let us consider a simple extension of hybrid inflation with one field (this model has been proposed in Ref. [15]),  $\phi$ , the inflaton; a light scalar,  $\chi$ , that will give birth to isocurvature fluctuations and a third field,  $\sigma$ , which is coupled to the two others so that the end of inflation is triggered when  $\sigma$  undergoes a phase transition. To make the model robust in particular with fine tuning in parameters and in the initial conditions, we introduce a quartic coupling in the isocurvature direction so that the potential reads

$$V = V(\phi) + \frac{\nu^2}{4!}\chi^4 + \frac{\mu}{2}(\sigma^2 - \sigma_0^2)^2 + \frac{g}{2}\sigma^2(\phi \cos \theta + \chi \sin \theta)^2. \quad (26)$$

Here  $\sigma_0$  is the vacuum expectation value (vev) reached by  $\sigma$  after the phase transition,  $\theta$  is the mixing angle between  $\phi$  and  $\chi$  in their coupling to  $\sigma$ ,  $\nu^2$  is the amplitude of the

<sup>+</sup> Another way of arriving to a similar amplitude is to start with a (positive) quartic potential of the shape  $\lambda\chi^4$ . In this case  $\lambda$  (which is then dimensionless) ought simply to be less than unity. Finite volume effect though gives a non-zero value of  $\chi$  corresponding to the one it acquires at the size of our observable universe. Using the Fokker-Planck approach described in [35] it can be shown that it takes typically a value of about  $H/\lambda^{1/4}$  [36]. It leads to a non-zero cubic term of similar amplitude.



**Figure 2.** Expected one-point probability distribution of the isocurvature perturbation in case of a quartic potential (solid lines). The plot corresponds to the case  $\nu^2(N_c - N_*)/9 = 1$ . Finite volume effects can shift the Hubble size value of  $\chi$  to a non-zero value  $\chi_{\text{Horizon}}$  for our observable universe leading to a skewed distribution of  $\chi$ . The three solid lines correspond to  $\chi_{\text{Horizon}}/H = 1, 0.5$  and  $0$ . The dashed line is a Gaussian distribution of the same width. See Ref. [36] for details.

self-coupling. We assume waterfall conditions so that inflation effectively ends when the effective mass of  $\sigma$  vanishes, that is when

$$g(\phi \cos \theta + \chi \sin \theta)^2 - 2\mu\sigma_0^2 = 0. \quad (27)$$

The value of  $\phi$  at the end of inflation is thus

$$\phi_{\text{end}} \equiv \frac{\pm \sqrt{2\mu/g}\sigma_0 - \chi \sin \theta}{\cos \theta}. \quad (28)$$

For  $\phi > \phi_{\text{end}}$ ,  $\sigma = 0$  and the two fields  $\phi$  and  $\chi$  evolve independently:  $\phi$  drives the inflation while  $\chi$  develops non-Gaussianity. The amount of non-Gaussianity of  $\chi$  then depends only on  $\nu^2$  and on the total number of e-foldings between horizon crossing and the end of inflation.

When  $\theta$  is non-zero, fluctuations of  $\chi$  induce metric fluctuations because they change the time at which the phase transition occurs. Thus the  $\chi$ -induced metric fluctuations read (assuming  $H$  is basically constant during the inflationary period),

$$\zeta \simeq H\delta t_{\text{end}} \simeq -\frac{3H^2}{V_{,\phi}} \frac{\sin \theta}{\cos \theta} \chi. \quad (29)$$

Here the transfer of modes is linear and the induced metric fluctuations inherit their non-Gaussian properties from those of the isocurvature modes.

It is to be noted that this model does not require any fine tuning to work, neither in the parameter space (the only requirement is that  $\nu$  is less than unity), nor in the initial conditions (if initial conditions are such that  $\chi$  is large, this field is bound to roll down near its minimum at a vev of about  $H$ ). It is actually to be noted that, despite the fact that the isocurvature potential is symmetric, finite volume effects induce generically a non zero bispectrum but the amplitude of which depends on the peculiar (patch of the) universe we live in. This mechanism has been described more quantitatively in [36]. The picture we eventually arrive at is that the metric fluctuation is the superposition of a Gaussian field and a non-Gaussian field the latter

being obtained essentially from a local nonlinear transformation\* of another Gaussian field of the same variance,

$$\zeta \simeq - \left. \frac{3H^2}{V_{,\phi}} \right|_{t=t_*} \varphi - \left. \frac{3H^2}{V_{,\phi}} \right|_{t=t_c} \tan \theta \mathcal{G}[\chi_0], \quad (30)$$

with

$$\mathcal{G}[\chi_0] = \chi_0 \left[ 1 + \frac{(N_c - N_*)\nu^2}{9H^2} \chi_0^2 \right]^{-1/2} \quad (31)$$

where  $\chi_0$  is a Gaussian field of variance  $H_*$  (but not necessarily of zero mean). Fig. 2 illustrates the expected shapes of the one-point probability distribution function (PDF) of  $\chi$  that one obtains from such a transform.

### 3.2. Protecting masses in multiple-field inflation

From a high-energy physics point of view, the introduction of such multiple degrees of freedom raises a number of questions. Which constructions are more natural? Are such models robust in a high-energy physics context? In particular the protection of the masses and coupling constants of the scalar degrees of freedom we introduced is critical for the viability of the models. If large-scale divergences can be taken care of, mainly from horizon effects or invoking the semi-classical approach described by the Fokker-Planck equation,[35], masses and coupling constant are a priori unprotected from small-scale divergences, a problem encountered in expanding backgrounds as well as in Minkowski space-time. In case of a quartic potential, it is always possible to invoke renormalization properties but it then requires fine-tuning. To avoid it it has been shown in Ref. [38] that if scalar degrees of freedom were imbedded in a super-symmetric multiplet, their power spectrum - and as a consequence their masses - are protected from radiative corrections. Construction in a susy context is then a natural playground for the derivation of viable models and one reason that makes models such as  $D$ -term or  $F$ -term inflation described respectively in Ref. [39] and in Ref. [40] attractive.

### 3.3. A viable model in the context of $D$ -term super-symmetry

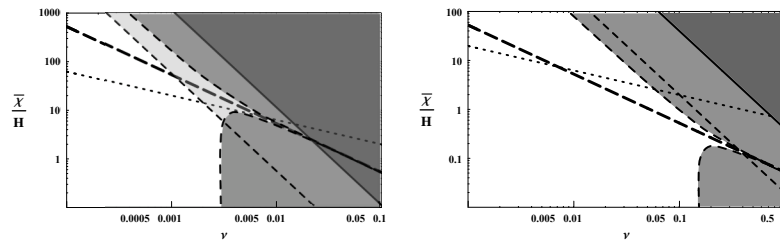
It goes beyond the scope of this short review to describe the mechanisms at play in the construction of the  $D$ -term inflation (see for instance Ref. [41]). It is based of the up-lifting of flat direction due to (weak) radiative corrections when the current vacuum breaks a supersymmetric Lagrangian. In case of  $D$ -term infation, the whole structure derives from the expression of the superpotential that in this case takes the form

$$W = \lambda \mathcal{S} \bar{\phi} \phi \quad (32)$$

where  $\mathcal{S}$ ,  $\bar{\phi}$  and  $\phi$  are neutral or charged fields and  $\lambda$  a dimensionless coupling constant. The resulting potential is

$$V = V_{1\text{-loop}}(\mathcal{S}) + \lambda^2 |\mathcal{S}|^2 |\bar{\phi}|^2 + \frac{g^2}{2} (-|\bar{\phi}|^2 + \xi)^2 \quad (33)$$

\* Note that strictly speaking it can be shown that the tree order correlations of the induced  $\chi$  field are identical by those induce by such a transform (see Refs. [14, 32]). If radiative corrections were to be taken into account the above statement would not be correct.



**Figure 3.** Exclusion diagrams for parameters  $\nu$  and  $\bar{\chi}$  for  $\theta = \pi/4$  (left panel) and for  $\theta = 0.1$  (right panel). The gray areas are the exclusion zones, dark gray corresponding to the constraints derived from WMAP and medium and light gray for the constraints expected from Planck. The straight line boundaries correspond to bispectrum observations (of slope  $-2$ ), the other case to the trispectrum. The long dashed is the location where the terms contributing to the trispectrum cancel. We adopted the results of [43] on the upperbounds the Planck mission is expected to provide,  $f_{\text{NL}} = 5$  and  $\tau_{\text{NL}} = 560$ . The dotted lines are the locations where  $\bar{\chi}$  is equal to its expected  $1\text{-}\sigma$  fluctuation.

where  $g$  is a gauge field coupling constant,  $\xi^{1/2}$  if the energy scale provided by the Fayet-Iliopoulos term and which depends on the (complex scalar) fields  $\mathcal{S}$  and  $\bar{\phi}$ . Here  $V_{1\text{-loop}}$  is the contribution due to the radiative corrections (computed from the formula of Coleman and Weinberg, [42]) that ensures a slow rolling of  $\mathcal{S}$  towards its minimum. One recognizes here a hybrid model.

But then, following [29], nothing prevents the introduction of multiple light fields  $\mathcal{S}_i$  coupled to the same charged  $U(1)$  fields,

$$W = \sum_i \frac{\nu_i}{3} \mathcal{S}_i^3 + \lambda \left( \sum_i \alpha_i \mathcal{S}_i \right) \bar{\phi} \phi \quad (34)$$

where  $\nu_i$  and  $\alpha_i$  are dimensionless parameters. Obviously if a peculiar self-coupling parameter  $\nu_i$  is small enough, the corresponding  $\mathcal{S}_i$  field can participate in the inflaton (depending on its initial vev). The corresponding upper bound for  $\nu_i$  for such a possibility to occur comes from the fact that when the vev of  $\mathcal{S}_i$  is below the Planck scale, the contribution of the quartic potential it induces should be negligible against the radiative correction terms. It leads to the constraint,

$$\nu_i^2 \ll \lambda^4. \quad (35)$$

The fields for which  $\nu_i$  is above this bound will rapidly roll towards the origin but they still can develop significant super-Hubble fluctuations as long as  $\nu_i$  is smaller than unity.

The resulting expression of the potential corresponds to the following effective form,

$$V = V_{1\text{-loop}} + \nu_2^2 |\mathcal{S}_2|^4 + \lambda^2 |\cos \theta \mathcal{S}_1 + \sin \theta \mathcal{S}_2|^2 |\bar{\phi}|^2 + \frac{g^2}{2} (-|\bar{\phi}|^2 + \xi)^2 \quad (36)$$

involving the (complex scalar) fields  $\mathcal{S}_1$ ,  $\mathcal{S}_2$  and  $\bar{\phi}$ . It corresponds to the model (26) with  $\phi \equiv \mathcal{S}_1$ ,  $\chi = \mathcal{S}_2$  with the difference that the fields are complex (and thus carry two degrees of freedom each). It changes the resulting phenomenology only in details (for instance on the expected amplitude of the trispectrum, see Ref. [29]).

The entire non-Gaussian properties of such a model are determined by three parameters that are,

- the coupling constant  $\nu$  that sets the amplitude of the non-Gaussianities that can be reached in the isocurvature mode. For perturbation theory to be valid,  $\nu^2$  should be less than unity. Actually we found that the combination  $N_e \nu^2$ , where  $N_e$  is the number of efoldings since horizon crossing, ought to be small. This condition ensures that the field  $\chi$  is light compared to the Hubble constant, its effective mass is  $\nu^2 H^2$ , and that its evolution is perturbative all through its super-Hubble evolution.
- the mixing angle  $\theta$  that determines the fraction of isocurvature modes that eventually enters the metric perturbations. This angle can be anywhere between 0 and  $\pi/2$ .
- the super-Hubble value of  $\chi$ ,  $\bar{\chi}$ , is an a priori undetermined value which depends on the patch of the universe we live in. Within some hypothesis, its cosmic PDF can be determined and therefore its expected values. Such derivation is done with the help of the Fokker-Planck equation (see Ref. [29] in this multi-dimension context). The value  $\bar{\chi}$  determines in particular the amplitude of the expected bispectrum (it is zero if  $\bar{\chi}$  is set to 0) and the relative contribution of the terms contributing to the trispectrum.

On Fig. 3, one can see the current and expected constraints on parameter space that can be put on such a model in the  $\nu - \bar{\chi}$  plane for two different values of  $\theta$ . The upper right parts of the diagram are a priori excluded. It can be seen that bispectrum and trispectrum play a complementary role in constraining these models.

#### 4. Conclusions

The exploration of the various types of coupling terms that appear in the action leads to distinguish gravity from non-gravity mediated couplings. In one-field inflation, because the field fluctuations and the metric fluctuation are locked together, only the former can be found. In multiple-field inflation however this is not necessarily so and it opens the possibility of having a richer phenomenology. Whereas the gravity mediated couplings are ubiquitous but induce only modest effects, the non-gravity mediated couplings can be very efficient, although nothing ensures that they are generically at play. Giving the freedom one has in building potentials it is however certainly possible to design models exhibiting any kind of scale and geometrical dependences in the bispectrum (this has been tentatively explored in Ref. [44]), but actual constructions motivated by high-energy physics point to hybrid inflation type models where couplings are eventually of local type. The extended  $D$ -term susy model presented here is an example of such a construction. A few lessons can be drawn from its analysis,

- in such a susy framework masses and coupling constants are naturally protected. This is at the expense of the doubling of the number of scalar degrees of freedom;
- this extension of  $D$ -term inflation leads to hybrid models where the end line, the critical line where the inflationary period terminates, is linear in all field directions. This is at variance with the construction proposed in [30] where NGs originate from a nonlinear critical line;

- in such a model there is no need for specific fine tunings, neither in the initial conditions nor in the parameter space. It is also to be noted that such models induce a dumping of the rare event tails - on both sides.
- the transfer of modes, from isocurvature to adiabatic direction, takes place at the time the inflation stops. It leads to local types for bispectrum, and trispectrum, shapes.
- calculations were carried here at leading order in perturbation theory. The conditions for such calculations to be valid during the sub-Hubble evolution is that the coupling constant  $\nu^2$  is less than unity. Once the evolution is super-Hubble however the amplitude of the fluctuation couplings is driven by  $\nu^2(N - N_*)$  which ought then to be small. There is therefore a regime where perturbation theory can be used during sub-Hubble evolution but not during the whole super-Hubble evolution. That could lead to a new phenomenology; e.g. to nonlocal effects in the shape of the high order spectra.

The model at hand is therefore rather sound with not so much freedom in its predictions. But this is by no means exhaustive. Other scenarios are certainly possible.

### Acknowledgments

The author is grateful to J-Ph. Uzan and F. Vernizzi for a careful reading of the manuscript and discussions during the writing of this review and to M. Sasaki and D. Wands for their invitation to write it.

### References

- [1] J. Maldacena. Non-gaussian features of primordial fluctuations in single field inflationary models. *Journal of High Energy Physics*, 5:13–+, May 2003.
- [2] A. Gangui, F. Lucchin, S. Matarrese, and S. Mollerach. The three-point correlation function of the cosmic microwave background in inflationary models. *Astrophys. J.*, 430:447–457, August 1994.
- [3] V. Acquaviva, N. Bartolo, S. Matarrese, and A. Riotto. Gauge-invariant second-order perturbations and non-Gaussianity from inflation. *Nuclear Physics B*, 667:119–148, September 2003.
- [4] P. Creminelli and M. Zaldarriaga. A single-field consistency relation for the three-point function. *Journal of Cosmology and Astro-Particle Physics*, 10:6–+, October 2004.
- [5] E. Silverstein and D. Tong. Scalar speed limits and cosmology: Acceleration from D-cceleration. *Phys. Rev. D*, 70(10):103505–+, November 2004.
- [6] D. Babich, P. Creminelli, and M. Zaldarriaga. The shape of non-Gaussianities. *Journal of Cosmology and Astro-Particle Physics*, 8:9–+, August 2004.
- [7] D. H. Lyth and D. Wands. Generating the curvature perturbation without an inflaton. *Physics Letters B*, 524:5–14, January 2002.
- [8] L. Kofman. Probing String Theory with Modulated Cosmological Fluctuations. *ArXiv Astrophysics e-prints*, March 2003.
- [9] Gia Dvali, Andrei Gruzinov, and Matias Zaldarriaga. New mechanism for generating density perturbations from inflation. *Phys. Rev. D*, 69(2):023505, Jan 2004.
- [10] F. Bernardeau, L. Kofman, and J.-P. Uzan. Modulated fluctuations from hybrid inflation. *Phys. Rev. D*, 70(8):083004–+, October 2004.
- [11] J. R. Bond, A. V. Frolov, Z. Huang, and L. Kofman. Non-Gaussian Curvature Spikes from Chaotic Billiards in Inflation Preheating. *Physical Review Letters*, 103(7):071301–+, August 2009.
- [12] D. S. Salopek and J. R. Bond. Nonlinear evolution of long-wavelength metric fluctuations in inflationary models. *Phys. Rev. D*, 42:3936–3962, December 1990.
- [13] N. Bartolo, S. Matarrese, and A. Riotto. Non-Gaussianity from inflation. *Phys. Rev. D*, 65(10):103505–+, May 2002.

- [14] F. Bernardeau and J.-P. Uzan. Non-Gaussianity in multifield inflation. *Phys. Rev. D*, 66(10):103506–+, November 2002.
- [15] F. Bernardeau and J.-P. Uzan. Inflationary models inducing non-Gaussian metric fluctuations. *Phys. Rev. D*, 67(12):121301–+, June 2003.
- [16] V. F. Mukhanov, H. A. Feldman, and R. H. Brandenberger. Theory of cosmological perturbations. *Physics. Rep.*, 215:203–333, June 1992.
- [17] A. A. Starobinsky. Dynamics of phase transition in the new inflationary universe scenario and generation of perturbations. *Physics Letters B*, 117:175–178, November 1982.
- [18] M. Sasaki and E. D. Stewart. A General Analytic Formula for the Spectral Index of the Density Perturbations Produced during Inflation. *Progress of Theoretical Physics*, 95:71–78, January 1996.
- [19] D. H. Lyth and Y. Rodríguez. Inflationary Prediction for Primordial Non-Gaussianity. *Physical Review Letters*, 95(12):121302–+, September 2005.
- [20] F. Vernizzi and D. Wands. Non-Gaussianities in two-field inflation. *Journal of Cosmology and Astro-Particle Physics*, 5:19–+, May 2006.
- [21] C. T. Byrnes, K.-Y. Choi, and L. M. H. Hall. Large non-Gaussianity from two-component hybrid inflation. *Journal of Cosmology and Astro-Particle Physics*, 2:17–+, February 2009.
- [22] C. T. Byrnes and G. Tasinato. Non-Gaussianity beyond slow roll in multi-field inflation. *Journal of Cosmology and Astro-Particle Physics*, 8:16–+, August 2009.
- [23] J. Schwinger. Quantum Variables and the Action Principle. *Proceedings of the National Academy of Science*, 47:1075–1083, July 1961.
- [24] L. V. Keldysh. Diagram technique for nonequilibrium processes. *Zh. Eksp. Teor. Fiz.*, 47:1515–1527, 1964.
- [25] S. Weinberg. Quantum contributions to cosmological correlations. *Phys. Rev. D*, 72(4):043514–+, August 2005.
- [26] D. Seery and J. E. Lidsey. Primordial non-Gaussianities from multiple-field inflation. *Journal of Cosmology and Astro-Particle Physics*, 9:11–+, September 2005.
- [27] S. Weinberg. Tree theorem for inflation. *Phys. Rev. D*, 78(6):063534–+, September 2008.
- [28] S. Weinberg. Non-Gaussian correlations outside the horizon. II. The general case. *Phys. Rev. D*, 79(4):043504–+, February 2009.
- [29] F. Bernardeau and T. Brunier. Non-Gaussianities in extended D-term inflation. *Phys. Rev. D*, 76(4):043526–+, August 2007.
- [30] M. Sasaki. Multi-Brid Inflation and Non-Gaussianity. *Progress of Theoretical Physics*, 120:159–174, July 2008.
- [31] D. Seery and J. E. Lidsey. Primordial non-Gaussianities in single-field inflation. *Journal of Cosmology and Astro-Particle Physics*, 6:3–+, June 2005.
- [32] F. Bernardeau. Mode coupling evolution in arbitrary inflationary backgrounds. in preparation, 2010.
- [33] T. Falk, R. Rangarajan, and M. Srednicki. The angular dependence of the three-point correlation function of the cosmic microwave background radiation as predicted by inflationary cosmologies. *Astrophys. J. Letter*, 403:L1–L3, January 1993.
- [34] F. Bernardeau, T. Brunier, and J.-P. Uzan. High order correlation functions for a self-interacting scalar field in de Sitter space. *Phys. Rev. D*, 69(6):063520–+, March 2004.
- [35] A. A. Starobinsky and J. Yokoyama. Equilibrium state of a self-interacting scalar field in the de Sitter background. *Phys. Rev. D*, 50:6357–6368, November 1994.
- [36] F. Bernardeau and J.-P. Uzan. Finite volume effects for non-Gaussian multifield inflationary models. *Phys. Rev. D*, 70(4):043533–+, August 2004.
- [37] A. Linde. Hybrid inflation. *Phys. Rev. D*, 49:748–754, January 1994.
- [38] T. Brunier, F. Bernardeau, and J.-P. Uzan. Radiative corrections to scalar masses in de Sitter space. *Phys. Rev. D*, 71(6):063529–+, March 2005.
- [39] P. Binétruy and G. Dvali. D-term inflation. *Physics Letters B*, 388:241–246, February 1996.
- [40] G. Dvali, Q. Shafi, and R. Schaefer. Large scale structure and supersymmetric inflation without fine tuning. *Physical Review Letters*, 73:1886–1889, October 1994.
- [41] A. R. Liddle and D. H. Lyth. *Cosmological Inflation and Large-Scale Structure*. Cosmological Inflation and Large-Scale Structure, by Andrew R. Liddle and David H. Lyth, pp. 414. ISBN 052166022X. Cambridge, UK: Cambridge University Press, April 2000., April 2000.
- [42] S. Coleman and E. Weinberg. Radiative Corrections as the Origin of Spontaneous Symmetry Breaking. *Phys. Rev. D*, 7:1888–1910, March 1973.
- [43] N. Kogo and E. Komatsu. Angular trispectrum of CMB temperature anisotropy from primordial non-Gaussianity with the full radiation transfer function. *Phys. Rev. D*, 73(8):083007–+, April 2006.

- [44] C. T. Byrnes, S. Nurmi, G. Tasinato, and D. Wands. Scale dependence of local  $\mathcal{N}_{\text{NL}}$ . *ArXiv e-prints*, November 2009.



