

# Difference between stable and exotic nuclei: medium polarization effects

R A Broglia, G Potel, F Barranco, E Vigezzi

### ► To cite this version:

R A Broglia, G Potel, F Barranco, E Vigezzi. Difference between stable and exotic nuclei: medium polarization effects. Journal of Physics G: Nuclear and Particle Physics, 2010, 37 (6), pp.64022. 10.1088/0954-3899/37/6/064022 . hal-00600806

# HAL Id: hal-00600806 https://hal.science/hal-00600806

Submitted on 16 Jun 2011

**HAL** is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers. L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

# Difference between stable and exotic nuclei: medium polarization effects

#### R.A. Broglia

Dipartimento di Fisica, Università di Milano, 20133 Milano, Italy INFN, Sezione di Milano, 20133 Milano, Italy The Niels Bohr Institute, 2100 Copenhagen Ø, Denmark

E-mail: broglia@mi.infn.it

#### G. Potel

Dipartimento di Fisica, Università di Milano, 20133 Milano, Italy INFN, Sezione di Milano, 20133 Milano, Italy

E-mail: potel@mi.infn.it

#### F. Barranco

Departamento de Fisica Aplicada III, Universidad de Sevilla, Escuela Superior de Ingenieros, 41092 Sevilla, Spain

E-mail: barranco@us.es

#### E. Vigezzi

INFN, Sezione di Milano, 20133 Milano, Italy

E-mail: vigezzi@mi.infn.it

Abstract. The  ${}^{1}S_{0}$  phase shift is large and positive at low densities (relative momenta), while it vanishes and eventually becomes negative at densities of the order of the saturation nuclear density. The bare NN-potential, parametrized so as to reproduce these phase shifts leads to a sizable Cooper pair binding energy in nuclei along the stability valley. It is a much debated matter whether this value accounts for the "empirical" value of the pairing gap or whether a similarly important contribution arises from the exchange of collective vibrations between Cooper pair partners. In keeping with the fact that two-particle transfer reactions are the specific probe of pairing in nuclei, and that exotic halo nuclei like  ${}^{11}\text{Li}$  are extremely polarizable (representing, as far as this property is concerned, almost a caricature of stable nuclei), we find that the recently studied reaction, namely  ${}^{11}\text{Li}+p \rightarrow {}^{9}\text{Li}+t$ , provides, for the first time, direct evidence of phonon mediated pairing in nuclei.

#### 1. Introduction

Arguably, one of the greatest achievements of many-body physics has been that of providing a complete description and a thorough understanding of superconductivity. At the basis of it one finds BCS theory and the Josephson effect. The first recognized the central role played by the appearance of a macroscopic coherent field usually viewed as a condensate of strongly overlapping Cooper pairs, the quasiparticle vacuum. The second made it clear that a true gap is not essential for such a state of matter to exist, but rather a finite expectation value of the pair field. Consequently, the specific experiments to study the superconducting state is Cooper pair tunneling. Such experiments allowed for a detailed probing of the phonon mediated pairing interaction leading to a thoroughly quantitative "exact" era in the study of pairing in metals, with uncertainties well below the 10% level.

From this vantage point of view, it is not difficult to argue that major progress in the understanding of pairing in atomic nuclei –a subject lying at the forefront of nuclear research but still far away from having entered the "exact" era– will arise from a systematic study of two–particle transfer reactions on the drip line, exotic nuclei like e.g. <sup>11</sup>Li, stabilized by the pairing correlations associated with a single Cooper pair.

While in the infinite system the existence of a bound state of the Cooper pair happens for an arbitrarily weak attractive interaction, in the nuclear case this phenomenon takes place only if the strength of the interaction is larger than a critical value connected with the discreteness of the nuclear spectrum around the Fermi energy. In fact, in the case of <sup>11</sup>Li the pairing interaction arising from the bare nucleon–nucleon potential seems not able to bind the halo neutrons, and one can posit that the exchange of collective vibrations between the Cooper pair partners is the main source of pairing in the low density systems [1]. At the basis of this result is the low momenta (large neutron mean square radius) of the two halo neutrons and associated small two neutron separation energy  $S_{2n}$  ( $\approx 380$  keV) and thus: a) the low angular momentum content (essentially s-, p- and d-waves) of the phase space available to the halo neutrons to correlate, and b) the high polarizability of the halo field extending far away from the <sup>9</sup>Li core. In such a low-angular momentum phase space the two neutrons are not able to fully profit from the strong force-pairing, known to receive important contributions from many high-l partial waves [2], [3], [4]. On the other hand, the collective L = 0, 1and 2 fluctuations of the medium strongly renormalize the neutron motion leading to the barely bound Cooper pair [1].

#### 2. Minimal mean field approximation

Classically, particles have definite positions, waves well defined momenta. Because of the complementary principle, the results of momentum and position measurements must fulfill the relation  $\Delta x \Delta p_x \geq \hbar$ , tantamount to saying that particles can be described equally well as waves and viceversa [5], and that nothing is gained by discussing basic

problems in terms of one rather than the other picture [6]. One of the most important consequences of this shift of paradigm in the description of the physical world is the fact that, contrary to the classical picture where particles in empty space (vacuum) have well defined masses and charges, regardless of the rest of the universe, in quantum mechanics all physical properties can be viewed as collective properties [7] or, more accurately, many-body properties. Quoting from Laughlin, "A nice example of a collective effect ... is emitted light from dilute atomic vapors with special wavelengths so insensitive to outside influences that they can be used to make clocks accurate to one part in one hundred trillion. But these wavelengths have a detectable shift at one part in ten million -ten trillion times larger than the timing errors of the clock- which should not have been present in an ideal world containing nothing but the atom [8], [9] ... calculations then revealed this shift to be an electrical effect of the vacuum of space ... The ostensible empty vacuum space, in other words, is not empty at all but full of "stuff" " (see also [10]).

From this vantage point of view, it is particularly illuminating for the subject of the present article to quote what Ben Mottelson [4] wrote at the beginning of the modern era of nuclear structure: "... in a many-body system such as the nucleus every feature is in some sense a collective phenomenon –every property depends on the total organization of the system and reveals the (collective) contribution of all nucleons. Indeed the most striking and fundamental collective picture in all nuclear phenomena is the existence of an average field in which the nucleons move approximately independently." The impact of these statements becomes even stronger by remembering the fact that the Hartree–Fock vacuum corresponds to a system in which all fermion levels are occupied up to the Fermi energy, similar to the Dirac vacuum (negative energy solutions of Dirac equation). The zero point fluctuations (ZPF) associated with the collective vibrations displayed by nuclei, renormalize in an important way the single–particle motion, giving rise to effective masses (density of levels around  $\epsilon_F$ ) as well as to finite lifetimes for levels removed from the Fermi energy, as required by the experimental data.

This phenomenon is nothing else but another example of the fact that, as stated by Feynman [11] "...nothing is really free. For an electron going from X to Y (see Fig. 1), the pole of the propagator for a free particle is at  $p^2 = m^2$ . However, making measurements at X and Y we could not tell if the electron had emitted and absorbed any number of photons" (tantamount to saying: being affected any number of times by the ZPF of the vacuum). "Such processes, the simplest of which is shown in Fig. 1, cause a shift in the position of the pole. Physically, this means that what we measure (the "experimental" mass,  $m_{exp}$ ) is not the "bare" mass, but something else which includes the effect of the virtual process mentioned above... This discussion shows that the "bare" mass... is in fact not directly observable." In other words, one can state that the different process which renormalize the single-particle motion, namely correlation (CO) and polarization (PO) processes, arise from ZPF (see Fig. 2).

Within this context one can mention that quantal ZPF are also having profound consequences not only at the level of the very small, like an atomic nucleus, but also at the level of the space time description of the Universe as embodied by the theory of general relativity [12]. At the heart of this theory one finds the field equation  $G_{\mu\nu} = 8\pi G T_{\mu\nu}$  which states that the geometry of spacetime, embodied in the curvature tensor  $G_{\mu\nu}$ , is determined by the distribution of matter and energy  $T_{\mu\nu}$  (stress-energy tensor), where G is Newton's constant. To create a static model of the universe, Einstein introduced in his equation a term proportional to the spacetime metric tensor  $g_{\mu\nu}$  of strength  $\Lambda$  (cosmological constant), to counterbalance gravity's attraction on cosmic scales. This cosmological term was added to the left side of the field equation, implying that it was a property of space itself. It was abandoned once it became clear that the universe was expanding. Recent work on quantum gravity has shown the need for a new cosmological term  $\rho_{vac}$  (the energy density vacuum) associated with the quantal ZPF of the vacuum, again proportional to  $g_{\mu\nu}$ . This term is now to be added to the right hand term of the field equation, implying a form of energy which arises from virtual particle-antiparticle pairs (see Fig. 3).

In keeping with the above discussion, but now within the field of nuclear physics, one can posit that minimal mean field theory should contain, aside from the Hartree– and the exchange (Fock–) potential, the (complex) self–energy contributions associated with ZPF renormalization processes (dynamical shell model, see [13]).

In other words, in the simplest version of the nuclear shell model, it is assumed that the nucleons move independently of one another in a static mean field. This is necessarily an oversimplification of the physical reality, but many experiments indicate that it has some degree of validity. In fact, Hartree–Fock theory provides essentially the right sequence of single–particle levels and thus predicts, as a rule, the correct magic numbers for nuclei along the valley of stability. However, close to the Fermi energy (within a range of  $\approx \pm 5$  MeV) it predicts a density of levels which is too low as compared with the experimental data. Furthermore, away from the Fermi energy ( $\pm 10$ MeV), while the predicted HF density of levels is correct, it fails to account for the finite lifetime (width) experimentally observed. The above shortcomings underscore the need for a better theory. Not surprisingly, and using again an analogy from the physics of nature developed by Feynman (as well as by Schwinger and Tomonaga [14]) the whole of the physics of such a theory corresponds to taking into account vacuum fluctuations as has been done in going from the standard (Schrödinger, Dirac) description of the atom, to QED.

In fact, in HF theory, the vacuum (ground state) corresponds to a determinant with all levels below the Fermi energy occupied, those above empty. Now, such a system can vibrate with particle-hole as well as particle-particle like collective modes which, as a rule behave like quasi harmonic modes. The associated ZPF correspond to virtual excitations, a process described, in lowest order, by an oyster type diagram (see Figs. 2 and 4(c'),see also [14]). Such a process renormalizes the single-particle levels leading to the correct density around the Fermi energy, and to a breaking of the singleparticle states far away from the Fermi energy. In other words a minimal, self-consistent theory of independent particle motion is achieved when one considers the fact that in their motion, nucleons interact with the rest of the nucleus and drag core excitations, leading to dispersion corrections of the single–particle motion known as polarization and correlation effects (see Fig. 2). One then speaks of the motion of quasiparticles.

In other words, quasiparticles based on single-particle levels with energies close to the Fermi energy display a group velocity smaller than that of particles with the same wavelength. This effect is measured by both the  $\omega$ -mass  $m_{\omega}(E)$ , which is a function of the energy E of the quasiparticle, and by  $Z_{\omega}(E) = m/m_{\omega}(E)$ , the discontinuity of the Fermi energy, closely connected with the spectroscopic factor as measured in singleparticle transfer reactions. For quasiparticles associated with single-particle levels displaying energies far removed from the Fermi energy, medium polarization effects give rise to inhomogeneous damping. Such a phenomenon is characterized by the FWHM  $\Gamma_{\omega}(E)$ , which provides the range of energies over which, due to the particle-vibration coupling mechanism, the single-particle strength is distributed. Of notice that this phenomenon does not give rise to a proper lifetime of the quasiparticle state but to a fractionation of the single-particle strength and thus to a dephasing.

Because the main contributions to medium polarization effects arise from the coupling of nucleons to low-lying surface collective vibrations ( $\hbar\omega_{\lambda} \approx \text{few MeV}$ ), one expects that  $m_{\omega}(E)$  displays a well defined peak around  $\epsilon_F$ , in keeping with the fact that once  $E - \epsilon_F$  is larger than  $\hbar\omega_{\lambda}$ , the collective excitations are decoupled from the particle, whose group velocity therefore increases. There is strong experimental evidence which testifies to this scenario. Now, in many-particle systems, virtual phonons can, not only be emitted and reabsorbed by the same fermion, but also be exchanged between two fermions, giving rise to an induced interaction (medium polarization effects).

We know that such effects play a central role in the phenomenon of superconductivity in metals at low temperatures. Being consistent with the analogy employed in ref. [15] to justify the use of BCS theory in explaining the energy gap observed in the intrinsic excitation spectrum of spheroidal nuclei - an analogy which started the field of nuclear superfluidity - it seems fair to ask how important medium polarization effects are in nuclear pairing. In fact, in keeping with the dynamical shell model discussed above, the question is not whether one has to consider the pairing interaction arising from the exchange of vibrations between nucleons moving in time reversal states close to the Fermi energy, but what the values of the associated matrix elements are as compared with those associated with the bare nucleon–nucleon interaction.

#### 3. A change in paradigm

Although not explicitly stated, the last section provides evidence for a change of paradigm in the treatment of physical systems, ranging from the Universe to the atomic nucleus. From one of a static, symmetry dominated scenario (geometry of space time, mean field of atoms and nuclei), to another in which the properties of a system do not depend only on the particles which form it, nor on the forces acting among them

6

but also, and primarily, on the medium in which they are embedded (and thus on the  $\omega$ - and k- dependent generalized dielectric function). And by (external) medium it is meant both that felt by the two weakly bound neutrons of <sup>11</sup>Li, namely the halo field created by themselves, as well as the vacuum (field) felt by an atom or by the whole universe, field which its own expansion creates. To these fields are associated ZPF. It is similar to a quantal harmonic oscillator, in which each degree of freedom contributes a quantity  $(1/2)\hbar\omega_0$  to the ground state energy, the associated ZPF being measured by  $(\hbar\omega/2C)^{1/2}$ .

In the case of <sup>11</sup>Li, these quanta are essentially the dipole (pigmy) resonance and the quadrupole mode. In the case of the electromagnetic vacuum, the photon. More generally, on the very small scales where quantum effects become important, even empty space is not really empty. Instead virtual particle–antiparticle pairs pop up of the vacuum, travel for short distances and then disappear again on timescales such that one cannot observe them directly (see Fig. 3). Yet their indirect effects are very important, and can be measured. For example, in the Lamb shift, as well as in the Casimir effect to name but two.

Returning to the nuclear case, mean field defines, among other things, a surface. This surface can vibrate collectively, its instantaneous distortion, associated with the ZPF of the collective modes, pointing at each instant of time, into a different direction, thus averaging out the dynamic breaking of symmetry they introduce. Within this scenario, the ground state mean field properties (mean square radius, binding energy, density of levels at the Fermi energy, etc.) of the system under study will be renormalized by the ZPF. In fact, a finite system which fluctuates (both radius and diffusivity) will effectively display varied properties from those associated with the original static, mean field solution (see refs. [16], [17], and Figs. 4(a)-(e)). Of notice that the same picture can be used to describe rotations, by keeping inertia finite and making the restoring force goes to zero. In this case, the ZPF correspond to an averaging of the angles between the laboratory reference frame, and the privileged orientation defined by the nuclear deformation. Because these angles vary over the whole range of possible values (in keeping with the fact that the restoring force associated with orientation is zero) in this case the ZPF diverge. The associated rotational mode is the equivalent, in the case of finite nuclei, of the Anderson–Goldstone–Nambu mode of field theories displaying spontaneous symmetry breaking. Thus, it is intimately connected with symmetry Neglecting ZPF implies, in this case, violation of angular momentum restoration. invariance, a possibility not contemplated by quantum mechanics. In keeping with this fact one can posit that the same is true in the case of finite (as opposite to divergent) values of the ZPF (vibrations with finite restoring force). In fact, no new physics is introduced in going from finite to vanishing restoring force. If one cannot neglect ZPF in deformed systems (angular momentum projection), one cannot do it either in the case of finite amplitude vibrations.

The same can be argued concerning pairing modes. In fact, mean field not only determines a surface in 3D–space, but also in k–space (Fermi surface), which defines a

number of particles (Fermi energy). Fluctuations in it lead to pair addition and to pair substraction modes (see ref. [18] and Figs. 4(f)-(j)) and eventually pairing rotations when the associated restoring force for pairing vibrational modes vanishes. The coupling of pairing vibrations to single-particle motion can affect alignment in deformed rotating nuclei (see Fig. 4(i)) as well as the value of the pairing gap in an important way (see [19], [20]).

Of notice that when one calculates, on a mean field basis, the nuclear linear response, that is, collective p-h like modes, the only consistent way to avoid talking about ZPF, is to use the Tamm–Dancoff approximation (see e.g. [21] and Fig. 4(b)). Now, we know that such an approximation is not physically correct, as it violates the energy weighted sum rule (EWSR), which, in the case of the (electric) dipole mode is tantamount to saying violation of (charged) particles (Thomas–Reiche–Kuhn sum rule). This is the main reason why linear response is calculated in the RPA (or QRPA for superfluid nuclei) taking into account ground state correlations. As seen from Fig. 4(c) this is equivalent to saying that one can excite collective modes equally well through a direct promotion of a particle from a level lying below to Fermi energy (Fig. 4 (b)) to one above it than by forcing, with the help of an external field, the virtual ZPF (oyster diagram, see Fig. 4(c') from being virtual to become real. As seen from Figs. 4(d) and (e) (see also Fig. 2), a nucleon in presence of ZPF becomes dressed, that is becomes a real nucleon, whose properties can be confronted with experiment (effective-mass ( $\omega$ mass  $m_{\omega}$ ), -occupation ( $Z_{\omega} = (m/m_{\omega})$ ), -charge, etc.). In other words, the same process which is needed to fulfill the EWSR (Figs. 4(b),(c)), implies that nucleons should be dressed (Figs. 4 and 4(d) and (e)). Consequently the RPA used in the calculation of collective modes is intrinsically wrong, as the backwards going amplitude  $Y_{nb}^{\lambda}$  requires the consideration of ZPF which inescapably leads to effective mass process not considered in RPA (nor in QRPA). Consequently, the minimal description of collective modes is that shown with the diagram of Fig. 5(a). Now, this diagram is one of the many which describes the coupling of one- with two-phonon states. Because of Furry's theorem (see e.g. [14]), it necessarily requires that vertex correction processes (see Fig. 5(b)) be taken into account on equal footing than self-energy ones. More appropriate within the framework of Nuclear Field Theory (NFT) (see refs. [22], [23], [24]) context, the diagrams shown in Figs. 5(a) and 5(b) are both necessary to satisfy generalized Ward identities (see e.g. [25]).

Stating the same concept but in an even simpler way one can posit that of all the three possible particle-vibration coupling vertices (see Figs. 5(c), (d) and (e)) RPA (or QRPA) selects only two, namely 5(c) and 5(d). This is because RPA is a harmonic approximation. In other words, one assumes the collective vibration to be a phonon state of an harmonic oscillator Hamiltonian. Thus the coupling between one- and two-phonon states must be zero. This is guaranteed if one does not consider the scattering diagram shown in Fig. 5(e). Now, this is a contradiction in terms, in keeping with the fact that it is through an inelastic scattering experiment, as displayed in Fig. 6(a) that one can measure the particle-vibration coupling vertex, or better the transition density

of the RPA mode (the same is true for pairing vibrations, see Fig. 6 (b)). But if one has eliminated graph 5(e) in the calculation of the mode (of notice that single arrowed lines pointing upwards represent bound particles), one can hardly imagine that the vertex becomes operative only because the nucleon is now in a scattering state (single (curved) arrowed line pointing upwards, Fig. 6(a)).

Summing up, it is certainly very important to try to use the best available fourpoint vertex (including also three-body interactions) in the calculation of the process displayed in Fig. 5(f), as well as those associated with the pairing interaction (Fig. 5 (g) and (h)) taking properly into account, aside from the standard central term, the isovector, the spin-spin, tensor, etc. components. However, without medium polarization effects of the type displayed in Figs. 5(a) and (b) as well as (h), the corresponding theoretical description is not consistent, as e.g. QED is in the description of electromagnetic processes. Even worse, one may be able to fit some data. However sooner or later the need to include fluctuations on par with mean field properties will be forced by experiment. As we shall see below in connection with the (pairing) particleparticle channel (see Fig. 5), by the direct observation of individual quanta of the glue acting among Cooper pairs. Surprisingly, while any modern calculation of the pairing gap in neutron and nuclear matter considers not only the bare NN-interaction (or whatever effective force which plays its role), but also the induced pairing interaction, a clear resistance to consider medium fluctuation effects in the case of pairing in finite nuclei is apparent.

On the other hand, this resistance of today practitioners has in some sense a touch of déjà vu. In fact the surprise and, to some extent resistance caused by the advent of the nuclear shell model (Goeppert Meyer–Jensen) which, apparently, so directly contradicted the liquid drop and compound nucleus model developed by Niels Bohr and collaborators, triggered a number of (static mean field like) theoretical explanations mostly based on the Pauli principle. The limitations of such an approach have been forcefully argued by Ben Mottelson [26] making use of the quantality parameter  $Q = (\hbar/Ma^2)/|v_0|$  which provides a measure of the validity of independent particle motion ( $Q \ll 1$  implies localization, while  $Q \gtrsim 1$  is tantamount to delocalization, an example of the fact that, while potentials prefer definite relations among particles, fluctuations, quantal or classical, favour symmetries). Typical values of the parameter defining the bare NN-potential (a = 1 fm,  $v_0 = -100$  MeV) lead to  $Q \approx 0.4$ . That associated with the induced interaction medium polarization effects arising from the exchange of collective vibrations between nucleons moving close to the Fermi energy  $(a \approx 10 \text{ fm}, v_0 \approx -1 \text{ MeV})$  are associated with  $Q \approx 4$ . While the value  $Q \approx 0.4$  can be assigned to stable nuclei, the second, largest value is more representative of halo nuclei like <sup>11</sup>Li and <sup>12</sup>Be. Consequently, one expects exotic, halo, nuclei to provide an excellent testing ground to study the role virtual processes play in the renormalization of single-particle motion and of NN-interactions, in particular pairing  $({}^{1}S_{0})$  interaction (see Fig. 5 (h)). One- and two-particle transfer reactions which make real such virtual processes, can be used as the specific probes to learn about the highly polarizable fragile objects known as halo nuclei, thus shedding light on what, arguably, can be considered the essence of finite, quantum many-body systems: zero point fluctuations.

Let us conclude this section by reminding that there is an essential difference between the concepts of symmetries and that of spontaneous symmetry breaking (and of its intrinsically connected modes leading to symmetry restoration). The first one provides a static, geometric view of the phenomenon. The second one not only considers paramount all and each one of the symmetries of the Hamiltonian describing the system, but at the same time and on equal footing the fluctuations of the mean field responsible for the dynamic maintenance of the associated invariance (or symmetry restoration). In other words, spontaneous symmetry breaking not only takes fluctuations into account but is, as a rule, associated with a boson (Anderson–Goldstone–Nambu mode) for which the fluctuations are not only large, but diverge.

Of notice that the static  $\rightarrow$  dynamic change of paradigm in the study of complex systems is being observed in other fields of physical research, like that associated with the study of proteins and protein inhibitors (see e.g. [27]). Proteins, the hardware of life (metabolism and structure), are linear chains of amino acids produced by the ribosome. They fold in short times (typically milliseconds) to their native, biologically active structure. In particular enzymes which, in the native conformation display an active (catalysis inducing) site. In other words, proteins to work have to be folded. The static, symmetry driven picture of nature, see proteins as folded proteins. Not surprisingly, conventional drugs are designed to bind to the active site thus blocking activity, and consequently the ability of the patogen agent (virus, bacteria, etc.) for which the target enzyme plays a central role, to mature and eventually reproduce. Mutations, as a rule, distorting the active site, create resistance. In certain cases (e.g. in connection with inhibitors of the viral hepatitis C) within days from the beginning of therapy.

Studying the mechanism through which a protein, starting from its denatured state folds into the native conformations, one can develop inhibitors which block folding, that is the dynamic process, by binding to those segments of amino acids which, playing a central role in the folding process, cannot be mutated. As a consequence, folding inhibition is likely not to create resistance, opening new venues to deal with infectious diseases. In other words, also in the field of biological physics and, as a result of it, in the design of drugs, a shift of paradigm is taking place, recognizing that proteins are dynamic systems folding as well as displaying conspicuous fluctuations, also in the native (ground state).

#### 4. Medium polarization pairing interaction in nuclei

There are a couple of facts which have made the discussion of bare versus induced pairing interactions in nuclei difficult:

1) The bare NN-interaction leads, as a rule, to a solution of the BCS (or to the HFB) equations with a finite value of the pairing gap (at variance with the case of metal

superconductivity, where the (screened) Coulomb repulsion among electrons leads to values of  $\Delta = 0$ ).

2)Although the pairing gap is an important quantity in the discussion of superfluidity and superconductivity, it is not the specific observable of these phenomena. In fact, the specific probe of pairing correlations in nuclei are two-particle transfer reactions, the associated absolute cross section being indirectly related to the pairing gap. Not surprisingly, we have found [28] that a recent two-particle transfer experiment provides direct, quantitative evidence of the role played in pairing correlations by the exchange of collective modes between Cooper pair partners as had been predicted few years ago (see [29], Ch. 11).

A multipole expansion of the NN-pairing interaction reveals that none of the multipole terms is more important the other, and that high multipoles have to be considered to achieve convergence. One can thus quench the importance of this interaction by concentrating on a nucleus in which the phase space allowed to them to correlate has small angular momentum content. A second condition is that of choosing a system which is very polarizable. It is argued in the next section that such a system are light halo nuclei in general and <sup>11</sup>Li in particular.

# 5. Exotic versus stable nuclei, direct observation of phonon mediated pairing

In ref. [30] it is stated that exotic nuclei, being much less bound than stable nuclei, offer a unique framework to study mean field properties without the complications of medium polarization effects. Paraphrasing the paper's arguments with the help of a cartoon representation of <sup>210</sup>Pb and of <sup>11</sup>Li (Fig. 7), one could argue that valence neutrons in Pb can exert a stronger polarization of the core than in Li, because they are closer to it.

Now, nucleons in a nucleus resents not only of the bare NN-force, but also of medium polarization effects. In other words, the NN-force is modified by the nuclear dielectric function. Microscopically, this means that nucleons in a nucleus not only exchange pions but also nuclear vibrations. The fact that the full Thomas-Reiche-Kuhn (dipole) sum rule is concentrated in Pb at about 14 MeV, while as much as 20% of it is found around 1 MeV in <sup>11</sup>Li (pigmy resonance), constitutes a sobering warning concerning which of the two systems is more or less polarizable. In fact, microscopic calculations suggest that while the relative contribution to the pairing interaction associated with the bare NN-potential and with the exchange of collective vibrations is fifty-fifty in the case of stable nuclei [31], [32], it is more 20%-80% in the case of light exotic, halo nuclei (<sup>11</sup>Li, <sup>12</sup>Be) [1], [33]. At this point it is natural to ask about the experimental evidence which, specifically, can test these predictions.

Recently the reaction  ${}^{11}\text{Li}(p,t){}^{9}\text{Li}$  has been studied [34]. Aside from the ground state, the first excited state of  ${}^{9}\text{Li}(1/2^{-}, E_x = 2.69 \text{ MeV})$  was populated. The 2.69 MeV state of  ${}^{9}\text{Li}$  is the  $1/2^{-}$  member of the multiplet  $(2^+ \otimes p_{3/2}(\pi))$ , the  $|2^+\rangle$  being the quadrupole, particle-hole like vibration of <sup>8</sup>He. Assuming a direct reaction process, it can be seen from Fig. 8, that the reaction  ${}^{11}\text{Li}+p \rightarrow {}^{9}\text{Li}(1/2^-) + t$  provides direct evidence of the exchange of quadrupole phonons between the halo neutrons [28]. The absolute value of the associated cross section is consistent with an amplitude of 0.1 of the  $|((s, p)_{2^+} \otimes 2^+)_{0^+} p_{3/2}(\pi); 3/2^-\rangle$  configuration in the ground state wavefunction  ${}^{11}\text{Li}$ , in overall agreement with the prediction of ref. [1].

In the last sentence of their paper [34] the authors state two things, namely: 1) that the population of the first excited state of <sup>9</sup>Li suggests a 1<sup>+</sup> or 2<sup>+</sup> configuration of the halo neutrons; 2) that this shows that a two-nucleon transfer reaction as they study may give new insight in the halo structure of <sup>11</sup>Li. We cannot emphasize strongly enough our support for such statements. Concerning the first one, because NFT studies of the structure of <sup>11</sup>Li [1] indicated this to be the only mechanism for <sup>11</sup>Li to be bound, at the risk of questioning all what has been learned concerning the workings of the particle-vibration coupling mechanism (see e.g. [35], [13], [22], [23], [24]). In reference to the second one, because it is high time to rediscover that pair transfer is the specific probe of pairing in nuclei (see [35], [36], see also [37]).

#### 6. Conclusions

The essence of finite many-body systems, like e.g. the atomic nucleus, are fluctuations. The interweaving of single-particle motion and the collective vibrations is required by sum rule arguments. The less bound a system is, the more important polarization effects are expected to be. It is then not surprising that by studying a very fragile object like <sup>11</sup>Li through a two-particle transfer experiment, specific probe of pairing, one has obtained the first direct evidence of phonon mediated Cooper pair binding in nuclei. Such a result indicates that while medium polarization effects pervasively renormalize all of the observables, they may be directly observed only in specific experiments. On the other hand, their effect, virtually, is present at all times. The sooner one comes to terms with this fact the better.

The authors want to acknowledge important discussions with Thomas Duguet concerning the pairing NN-interaction. His work and that of his collaborators on the subject are likely to be instrumental in ushering the field of nuclear superfluidity into its really quantitative era.

#### References

- [1] Barranco F, Bortignon PF, Broglia RA, Colò G and Vigezzi E 2001 Eur. Phys. Jou A 11 385
- [2] Belyaev ST 1959 Kgl. Danske Videnskab. Selskab, Mat.-fys. Medd. 31 No. 11
- [3] Mottelson B 1958, in Proceedings of the Ecole d'été de Physique Théorique, Les Houches, eds. C. Dewitt and P. Nozières, p. 283, Dunod, Paris
- [4] Mottelson B 1962, in International School of Physics "Enrico Fermi" Course XV, Nuclear Spectroscopy, ed. G. Racah, p. 44, Academic Press, New York

- [5] Bohr, N 1928 Nature **121** 580
- [6] Heisenberg W 1930 The physical principles of quantum mechanics, Dover, New York
- [7] Laughlin R 2006 A different universe, Basic Books, Cambridge, Mass.
- [8] Lamb WE and Retherford RC 1950 Phys. Rev. 79 549
- [9] Lamb WE and Retherford RC 1951 Phys. Rev. 81 222
- [10] Broglia RA 2002 in Procs. of the International Meeting "Why is there something", Villa Monastero, Varenna, Italy, eds. C. Sinigaglia, E. Sironi and G. Giorello
- [11] Feynman R 1955 Theory of fundamental processes, Benjamin, Reading, Mass.
- [12] Krauss L and Turner M Geometry and destiny in General Relativity and Gravitation
- [13] Mahaux C, Bortignon PF, Broglia RA and Dasso CH 1985 Phys. Rep. 120 1
- [14] Schweber S 1994 QED, Princeton University Press, Princeton, New Jersey
- [15] Bohr A, Mottelson BR and Pines D 1958 Phys. Rev 110 936
- [16] Esbensen H and Bertsch GF 1983 Phys. Rev. C 28 355
- [17] Barranco F and Broglia RA 1985 Phys. Lett. B 151 90
- [18] Bes DR and Broglia RA 1966 Nucl. Phys. 80 289
- [19] Shimizu YR, Garrett JD, Broglia RA, Gallardo M and Vigezzi E 1989 Rev. Mod. Phys. 61 131
- [20] Barranco F, Gallardo M and Broglia RA 1987 Phys. Lett. B 198 19
- [21] Rowe DJ 1970 Nuclear Collective Motion. Models and Theory, Methuen & Co., London
- [22] Bes DR, Broglia RA, Dussel GG, Liotta RJ and Perazzo RP 1976 Nucl. Phys. A 260 77
- [23] Bortignon PF, Broglia RA, Bès DR and Liotta R 1977 Phys. Rep. 30 305
- [24] Mottelson BR 1976 Elementary Modes of Excitation in Nuclei, Le Prix Nobel en 1975, Imprimerie Royale Norstedts Tryckeri, Stockholm, p.80
- [25] Schrieffer J 1964 Superconductivity, Benjamin, New York
- [26] Mottelson BR 2002 in Trends in Nuclear Physics, 100 years later, Les Houches, Session LXVI, eds. H. Nifenecker, J.-P. Blaizot, G.F. Bertsch, W. Weise and F. David, Elsevier, Amsterdam
- [27] Broglia RA, Levy Y and Tiana G 2008, Curr. Opin. Struct. Biol. 18, 60
- [28] Potel G, Barranco F, Vigezzi E and Broglia RA 2009 arXiv:0912.0847v2/nucl-th]
- [29] Brink D and Broglia RA Nuclear Superfluidity, Cambridge University Press, Cambridge
- [30] Kuo TTS, Krmpotic F and Tzeng Y 1997 Phys. Rev. Lett. 78 2708
- [31] Barranco F, Broglia RA, Gori G, Vigezzi E, Bortignon PF and Terasaki J 1999 Phys. Rev. Lett 83 2147
- [32] Barranco F, Broglia RA, Colò G, Gori G, Vigezzi E and Bortignon PF 2004 Eur. Phys. Jou A 21 57
- [33] Gori G, Barranco F, Vigezzi E and Broglia RA 2004 Phys. Rev. C 69 041302
- [34] Tanihata I et al. 2008 Phys. Rev. Lett. 100 192502
- [35] Bohr A and Mottelson BR 1975 Nuclear structure, vol II, Benjamin, New York
- [36] Broglia RA, Hansen O and Riedel C 1973 Adv. Nucl. Phys 6 287
- [37] Potel G, Barranco F, Vigezzi E and Broglia RA 2009 arXiv:0906.4298



Figure 1. Lowest order self energy process of a particle with its own field.



Figure 2. Relation between CO and PO processes and vacuum ZPF (oyster–like diagrams [14]).



Figure 3. Schematic representation of quantal vacuum ZPF. Particle–antiparticle pairs are spontaneously (virtually) excited and annihilated.



**Figure 4.** Schematic representation of the surface (a) and of pairing (doubled arrowed line) (f) vibrations.



Figure 5. Self-energy processes of particle hole vibration ((a) and (b)) RPA ((c), (d)) and scattering (e) particle-vibration coupling vertices. In (f) we display the RPA diagrams in terms of p-h bubbles. (g) Four point vertex, (h) particle-particle (pairing) interaction mediated by collective p-h vibrations.



Figure 6. Diagramatic representation of: a) inelastic scattering process and b) twoparticle transfer process.



Figure 7. Schematic representation of the stable <sup>210</sup>Pb and of the exotic (halo) nucleus <sup>11</sup>Li viewed as a system with two neutrons (solid dots) moving around a core (dashed area). The distance of the valence neutrons from the core reflects the fact that the two neutron separation energy of these nuclei is  $S_{2n} = 15.2$  MeV and 0.380 MeV respectively.



**Figure 8.** (a) NFT–Feynman diagram associated with the process <sup>1</sup>H (<sup>11</sup>Li(gs),<sup>9</sup>Li(1/2<sup>-</sup>;2.69 MeV)<sup>3</sup>H), which treats on equal footing the nuclear structure ( $\ddagger^{2^+}, \ddagger^{2^+}, \ddagger$ ) and the reaction mechanism ( $\uparrow, \ddagger$ ). Arrowed lines indicate bound particles, curved arrowed lines, scattering states. Curly brackets indicate angular momentum coupling, while horizontal dashed lines indicate magnetic quantum number conservation In (b) and (c) a schematic representation of the initial (<sup>11</sup>Li) and final (<sup>9</sup>Li(1/2<sup>-</sup>, M<sub>f</sub>; 2.69 MeV)) nuclear states is given, respectively.