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# Distance-dependent plasma composition and ion energy in high power impulse magnetron sputtering

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Short title: Distance-dependent ion flux in HIPIMS

Abstract. The plasma composition of high power impulse magnetron sputtering (HIPIMS) has been studied for titanium and chromium targets using a combined energy analyzer and quadrupole mass spectrometer. Measurements were done at distances from 50 to 300 mm from the sputtering target. Ti and Cr are similar in atomic mass but have significantly different sputter yields, which gives interesting clues on the effect of the target on plasma generation and <u>transport</u> of atoms. The Ti and Cr HIPIMS plasmas operated at a peak target current density of ~0.5 A cm<sup>-2</sup>. The measurements of the argon and metal ion content as well as the ion energy distribution functions showed that: (1) singly and doubly charged ions were found for argon as well as for the target metal, (2) the majority of ions were singly charged argon for both metals at all distances investigated, (3) the Cr ion density was maintained to further distances from the target than Ti. Gas rarefaction was identified as a main factor promoting transport of metal ions, with the stronger effect observed for Cr, the material with higher sputter yield. Cr ions were found to displace a significant portion of the gas ions, whereas this was less evident in the Ti case. The observations indicate that the presence of metal vapour promotes charge exchange and reduces the electron temperature and thereby practically prevents the production of  $Ar^{2+}$  ions <u>near the target</u>. The content of higher charge states of metal ions depends on the probability of charge exchange with Argon.

- 52.25.Fi Transport properties
- 52.77.Dq Plasma-based ion implantation and deposition
- 52.80.Hc Glow; corona
- 52.70.Nc Particle measurements
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#### 1. Introduction

High Power Impulse Magnetron Sputtering (HIPIMS) is increasingly applied in industrial thin film deposition processes, and the range of deposition materials is growing [1-7]. The prime benefit of HIPIMS discharges is the increase in the metal ion current compared to conventional DC sputtering, allowing for improved substrate-film interface tailoring and film texture tuning by controlling the kinetic ion energy in the deposition process, not unlike what has been studied and used in cathodic arcs [8, 9].

The target material in HIPIMS operation strongly influences the total plasma density at the substrate, the metal ion to gas ion ratio, the speed of evolution of the discharge current, and the establishment of steady state [10, 11]. The transport of particles from the target to the substrate is consistent with ambipolar diffusion for metal ions and classical diffusion for metal neutrals [12]. However the interaction of particles with the gas phase and dependence of transport on the target material are still not well understood. Additional measurements like in the present contribution aim to provide more details about the underlying physical mechanisms.

As shown by Hecimovic et al. [13], the metal and gas ion energy distribution functions are significantly affected by the background pressure of the process gas. This was related to the number of collisions of ions during their flight to the spectrometer. A similar effect on the number of collisions of target atoms and ions when travelling from the target to a substrate or collector can be observed by measuring species and distribution functions at different distances from the target. Recent developments in the tuneable-diode laser induced fluorescence (TD-LIF) technique have obtained in-situ measurements of spatially resolved velocity, energy and flux distributions of sputtered particles in conventional magnetron sputtering discharges [14]. At the same time, the phenomenon of gas rarefaction in magnetrons is strongly present in HIPIMS plasma discharges [15]. This leads to local reduction of pressure and associated increase in collision mean free path. These experiments can also provide clues on how to best utilize the flux of different species - gas ions, metal ions and metal neutrals – during deposition of thin films. Chromium and titanium targets were selected for this study because those materials have similar mass, different sputter yields and are both important for hard coatings applications.

#### **2. Experimental Details**

The experiments were carried out at Berkeley Lab in a stainless steel vacuum chamber cryogenically pumped to a base pressure of  $< 10^{-4}$  Pa and backfilled with 4N purity argon with a flow rate of 20 sccm. The chamber had an adjustable gate valve setting the pumping speed for an operational pressure of 0.64 Pa as measured with a Baratron<sup>®</sup> gauge. Figure 1 shows a simplified schematic of the experimental arrangement.

The magnetron was a nominally magnetically balanced gun (US Inc.) with a planar 50 mm (2 inch) diameter target having a maximum magnetic field strength of 47 mT parallel to the target surface in the "racetrack" region of the 6.35 mm (<sup>1</sup>/<sub>4</sub> inch) thick target. The sputter target (Cr or Ti) was directly facing the sampling orifice of the energy-resolving mass spectrometer (described later). The magnetron gun was mounted on a long shaft that allowed the distance, *d*, between the target surface and the sampling orifice to be changed from d = 50 mm to 300 mm.

High power pulses were provided to the target by a SPIK2000A pulser (Melec GmbH) charged by a Pinnacle DC power supply (Advanced Energy). The pulse voltage was maintained constant throughout the pulse as monitored by a 100:1 voltage probe (Tektronix) connected to the target feedthrough. The pulse voltage was set by the DC charging unit, while the pulse duration, repetition rate, and arc suppression were handled by the pulser unit. The current was measured using a broadband inductive current monitor (Pearson, 0.01 V/A). All electrical signals were recorded and stored on a digital oscilloscope (Tektronix TDS5104B). Figures 2 (a) and (b) show examples of the voltage and current pulses. Constant pulse length of 150 µs and duty cycle of 1% were used for all measurements.



Figure 1. Schematic presentation of the experimental apparatus showing the magnetron gun mounted on a moveable shaft and the fixed energy-resolving mass spectrometer.

For chromium, the maximum available voltage of -1000 V was used resulting in a peak current of 7.5 A (current density 0.38 A cm<sup>-2</sup> when averaged over the target area). For titanium, a lower voltage of -870 V was used to obtain a comparable peak current. However, with 9.5 A (0.48 A cm<sup>-2</sup>) it was still higher than that for chromium. Although the voltage was constant throughout the pulse, the current increased sharply in the beginning of each pulse and then decreased to a level of 4.5 A and 3.5 A for Cr and Ti, respectively. The pulse shapes were not exactly the same for both materials: higher currents were not attainable for Cr whereas the Ti discharge could not be maintained at lower currents. However, the difference in peak current is regarded small for the purposes of further discussions. The current and voltage levels and shapes

indicate that the magnetron was operated in HIPIMS mode but not in the sustained self-sputtering mode, as discussed in section 4. The shape of the current is also different. As discussed previously [10, 11], the current of the discharge falls even at constant voltage due to gas rarefaction and decreasing gas plasma density. That is, unless one considers high powers or a very high yield material where sustained self-sputtering is supported and metal plasma replenishes gas plasma losses. In the Cr case, the sputter yield by Ar and Cr ions is high and a significant number of metal ions are produced adding a component of Cr ion self-sputtering current to the discharge current. In addition, the production of  $Cr^{2+}$  ions is intensified, which promote a higher secondary electron emission coefficient. This allows the maintaining of a relatively high current over a longer time within the pulse. In the case of Ti, in contrast, the sputter yield is significantly lower and the transient phase of high current gas discharge quickly decays by rarefaction and the post-peak level is not much enhanced by the current of metal ions which cause self-sputtering.



Figure 2. Voltage and current of HIPIMS discharges of (a) Cr and (b) Ti at 4.8 mTorr (0.64 Pa).

As indicated in Figure 1, the magnetron centre directly faced the orifice of the energyresolving mass spectrometer (EQP by HIDEN Ltd.). A small deviation from the perfectly axially symmetric position cannot be excluded because the gun was mounted on a long shaft. As was shown by Horwat et al. [16], even as there is an angular dependence in the average charge state and deposition rate for HIPIMS, the variation is small for angles close to the target normal. The sampling orifice of the analyser was grounded and had a diameter of 100  $\mu$ m. The acceptance angle of the instrument is less than 2° and as such the particles collected represent a relatively small fraction of all ions. However, it is expected that ions have a relatively random velocity vector and ion energy distribution function (IEDF) measurements in facing and 58° orientation of the spectrometer have shown negligible differences in IEDFs (compare [17] and [13]).

The spectrometer was differentially pumped using a 70 l/s turbo pump to ensure that the trajectories of sampled particles inside the instrument were collisionless. The IEDF of the ion species in the HIPIMS plasma were determined in time-averaged mode. The relative error (in %) in mass spectroscopy measurements was determined from the count rate according to the formula 100/sqrt(count rate) as recommended by the manufacturer.

#### 3. Results

#### 3.1. Plasma composition in HIPIMS of Cr and Ti

The variation of the  $Ar^{1+}$  ion count rate with distance from the target reveals strong differences between HIPIMS of Cr, shown in Figure 3(a), and HIPIMS of Ti, shown in Figure 3(b). In the case of HIPIMS of Cr, the  $Ar^{1+}$  count rate is very low near the target and increases appreciably with distance, whereas it steadily decreases for HIPIMS of Ti. Furthermore, the flux of  $Cr^{1+}$  to the orifice is maintained until longer distances of d = 200 mm before it starts to diminish, while the flux of Ti<sup>1+</sup> starts to drop as early as d = 100 mm.

A slightly higher content of  $Ti^{2+}$  than  $Cr^{2+}$  is observed and a discussion on mechanisms of creation is given in Section 4.2.



Figure 3. Ion count rate (integrated over the energy distribution function) as a function of distance for HIPIMS of (a) Cr and (b) Ti.

Figure 4(a) shows the normalized ion content of HIPIMS Cr plasma measured with increasing distance *d*. The dominating ions are  $Ar^{1+}$  at all distances. Their fraction remains constant in the range 62-72% up to *d* = 300 mm when it rises to 85%. The Cr<sup>1+</sup> ion content exhibits strong variations reaching a maximum of 30% at 75 mm. The figure shows that the plasma is rich in metal ions at distances less than 150 mm, whereas the plasma consists of ionized gas at greater distances. It is interesting to note that concurrently with the drop in metal ion content, the content of  $Ar^{2+}$  ions increases sharply and at a distance of 170 mm overtakes that of Cr<sup>1+</sup>.



Figure 4. Normalised ion content as a function of distance from the target for HIPIMS of (a) Cr and (b) Ti. Lines are drawn to guide the eye.

In the case of HIPIMS of Ti, Fig. 4(b), the  $Ar^{2+}$  content is observed to rise even more sharply at long distances compared to the Cr case.  $Ar^{2+}$  overtakes the metal at a distance of 125 mm, which is shorter than in the Cr case. The Ti<sup>1+</sup> metal ion content reaches a lower maximum value of 22% compared to Cr<sup>1+</sup> with 33%.

A local maximum of the  $Ar^{1+}$  signal is observed at ~125 mm for both HIPIMS of Cr and HIPIMS of Ti. As indicated by the error bars, these excursions are an existing phenomenon and not a fluctuation in the measurement.

#### 3.2. Ar gas ion energy

Figure 5(a) shows the IEDF of  $Ar^{1+}$  ions at different distances from the target for HIPIMS with a chromium target. At a distance d = 50 mm, the IEDF exhibits a main peak at low energy

and a pronounced high energy tail reaching approximately 8 eV. With increasing distance from the target, the tail gradually diminishes and becomes negligible at d = 150 mm.



Figure 5. Ion energy distribution functions of  $Ar^{1+}$  ions in HIPIMS discharges utilizing (a) Cr and (b) Ti targets. Ion energies are presented in electronvolts corresponding to the potential <u>settings</u> within the mass spectrometer.

In the case of HIPIMS of Ti, Fig. 5(b), the high energy tail of  $Ar^{1+}$  is significantly smaller reaching only up to 6 eV and is maintained to a distance up to 125 mm.

#### 3.3. Metal ion energy

The  $Cr^{1+}$  IEDF changes with distance. Figure 6a shows that the main group of ions has a low energy of about 4 eV. Near the target, d = 50 mm, the IEDF contains a significant high energy tail. At larger distances, the low energy peak is narrower and of less intensity. Remnants of the high energy tail are still detected at 200 mm.



Figure 6. Ion energy distribution function of a) Cr<sup>1+</sup> ions in HIPIMS with a chromium target and
b) Ti<sup>1+</sup> ions in HIPIMS with a titanium target. Ion energies are presented in electronvolts as determined by the potential <u>settings</u> within the mass spectrometer.

For Ti<sup>1+</sup> (Figure 6b) the high energy tail component is much smaller than in the Cr case, both in energy and in relative intensity.

#### 4. Discussion

Differences in total plasma density and electron temperature produced in HIPIMS with Ti and Cr targets have been observed by Vetushka et al. [18]; our measurements provide additional insight into the spatial distribution of metal and gas ions as well as their energies utilising a different magnetron, power supply, process chamber, and measuring configuration.

4.1. Gas ions

The differences in the spatial distribution of  $Ar^{1+}$  in HIPIMS of Ti and Cr plasmas shown in Figures 3(a) and 3(b) could be attributed to gas rarefaction effects [19]. It may be considered that  $Ar^{1+}$  ions are mainly produced by electron impact ionisation from neutral gas atoms. In this case, the ions and their parent atoms are expected to have a similar kinetic energy distribution function. The density of process gas atoms in the vicinity of the target is known to be affected by the presence of sputtered metal. In the case of Cr, the flux of metal atoms produced at the target is both very high due to (i) the high sputtering yield of the material and (ii) due to the relatively high energy of the sputtered atoms resulting from the collision cascade producing the sputtered atoms [20]. When interacting with the Ar gas, these particles transfer their kinetic energy, mainly via elastic collisions, leading to a net transfer of momentum ("sputter wind"), and heating of the gas via successive, randomizing collisions; both causing a reduced gas density near the target, known as rarefaction [19]. The momentum transfer manifests itself in the observed high energy of Ar ions, Fig. 5(a).

In the case of HIPIMS of chromium, the density of metal vapour is high due to the high sputter yield. This results in high energy input to the background gas <u>through elastic collisions</u>, and can explain its high energy tail. Kadlec [15] has calculated a rarefaction degree of 70% in HIPIMS in the vicinity of the target due to this process. In the current experiment we observe a high number of Cr metal ions near the target, Fig. 4(b), which may amplify the strong rarefaction.

In the case of titanium, the sputter yield and, consequently, the <u>flux of metal atoms</u> are lower. Additionally, the instantaneous power is slightly lower thus less energy is put into the system. The combination of these factors leads to a much weaker rarefaction effect. Hence, the high energy tail of Ar ions in the Ti sputter plasmas, Fig. 5(b), is smaller compared to the Cr sputter plasmas. Since the effect of rarefaction is weaker in the Ti discharge, the count rate of  $Ar^{1+}$  does not increase with distance near the target, as it does in the Cr discharge, but rather displays a steady decay with distance. The normalised content of  $Ar^{1+}$  is slightly augmented at ~ 125 mm (Figure 4a and 4b). This may be coupled to non-uniformities in the magnetic field structure that affect local power dissipation and trapping of plasma; subject to further investigations. It is understood that much of the energy dissipation, and ionization, occurs in the magnetized negative glow, rather than in the sheath near the target. Clearly, ionization is originally powered by electrons that gain energy in the sheath but that travel to great lengths before colliding and losing energy. Magnetization and the closed drift of electrons in the magnetron's field structure ensure that ionization and electron thermalization occur within a few centimetres from the target even as the "great lengths" of the electron's mean free path can exceed the size of the discharge zone.

#### 4.2. Metal ions

The content of singly charged metal ions was observed to be higher for chromium than for titanium. This could be attributed to the sputter yield of the respective materials, as follows. The metal ions have a significantly lower first ionisation potential (5.6 eV for Cr and 6.5 eV for Ti) than Ar (15.76 eV). Metal ions can be created through collisions with those electrons that have energy above their first ionization energy, whereas the formation of Ar gas ions would require electron energies above 15.76 eV. Assuming, for the time being, a Maxwellian electron energy distribution function (EEDF) with a temperature of 3 eV, we can derive that approximately 30% of all electrons lie within the range for metal ion creation whereas only < 1 % are able to generate  $Ar^{1+}$  and  $Ar^{2+}$ . However, calculations [21] have shown that the EEDF of a magnetron discharge (and in general glow discharge) may significantly deviate from Maxwellian by exhibiting a non-Maxwellian hot electron tail. Instead of the characteristic Maxwellian exponential drop, the tail comprises a rather constant electron density at all energies between ~ 25 eV and up to the full cathode potential. According to this model,  $Ar^{1+}$  and  $Ar^{2+}$  could be produced by almost 5% of electrons through direct double ionisation and/or possibly inner-shell ionisation. The effect is weaker in HIPIMS due to its low mean electron energy <E> of 3 eV compared to conventional magnetrons, where <E> can exceed 5 eV. The high density in HIPIMS plasma promotes electron-electron energy exchange and therefore the EEDF in HIPIMS plasmas should be much closer to the equilibrium Maxwellian than in conventional magnetron discharges.

Additionally, the metal vapour absorbs energy from a large proportion of electrons and its presence cools the overall distribution. Gas ions have a high ionisation threshold whereas metal is more readily ionized. Thus materials with higher sputtering yield produce plasmas with greater proportion of metal ions compared to gas ions [13].

For double charged metal ions, the relation is reversed - the amount of  $Cr^{2+}$  is less than  $Ti^{2+}$ . The content of higher ionisation states such as  $Cr^{2+}$  and  $Ti^{2+}$  depends on the balance of production by electron impact ionization and reduction mainly by charge exchange and other processes. Charge exchange could proceed with gas or with neutral atoms of the same metal.

A significant factor is charge exchange with Ar neutrals, for example:  $Cr^{2+} + Ar \rightarrow Cr^{1+} + Ar^{1+} + \Delta E$ , where  $\Delta E$  is an energy defect as defined by the difference in ionisation potentials of  $Cr^{2+}$  of 16.49 eV and  $Ar^{1+}$  of 15.76 eV. In the case of  $(Cr^{2+} + Ar^0)$ , charge exchange is highly probable because energy of 0.73 eV is released (positive energy defect). In contrast,  $(Ti^{2+} + Ar^0)$  charge exchange is unlikely since  $Ti^{2+}$  has a lower ionisation potential of 13.6 eV which gives a negative energy defect of -2.16 eV and requires an initial kinetic energy of ions of 4.75 eV to proceed. This discussion indicates that  $Cr^{2+}$  would be strongly depleted through charge exchange whereas  $Ti^{2+}$  would not.

The above analysis could be extended to all metals. It is expected that metal charge states with ionisation potential lower than the first ionisation potential of the process gas would have a high concentration. Table 1 lists the maximum charge state which would escape a charge exchange reaction with Argon. Charge states beyond the listed ones are expected to be depleted strongly.

No.	Name	Q									
6	С	1	24	Cr	1	33	As	2	46	Pd	1
12	Mg	2	25	Mn	2	34	Se	1	47	Ag	1
13	Al	2	26	Fe	1	39	Y	2	44	Cd	1
14	Si	1	27	Co	1	40	Zr	2	49	In	1
21	Sc	2	29	Cu	1	41	Nb	2	50	Sn	2
22	Ti	2	30	Zn	1	42	Mo	1	51	Sb	2
23	V	2	32	Ge	2	44	Ru	1	52	Te	2

Table 1. Maximum charge state (Q) unaffected by the presence of Ar

Charge exchange collisions with atoms of the same metal like  $Cr^{2+} + Cr \rightarrow Cr^{1+} + Cr^{1+} + \Delta E$  are also very likely because energy defect in this reaction is always positive. The probability is governed by the mean free path and is higher for materials having a high sputter yield which generate high densities of metal vapour.

It has been shown that double charged ions are generated in stepwise ionisation collisions [22]. The presence of metal vapour with low ionisation potential increases the frequency of inelastic ionizing collisions, resulting in cooling of plasma electrons. This in turn strongly reduces the production of ions of higher ionisation states such as  $Cr^{2+}$  and  $Ti^{2+}$  considering that a fraction of the ionization is done by the Maxwellian portion of the EEDF. The presence of metal vapour will also affect the hotter, non-Maxwellian part of the EEDF, namely by electron- (metal) atom collisions as well as via electron-electron interaction (cooling of hot electrons). Measurements of the local EEDF are needed to further refine the detailed ionization mechanism. It is clear, though, that the relatively dense HIPIMS plasma assumes intermediate properties between the highly non-Maxwellian glow discharge EEDF and the Maxwellian EEDF of metal arcs.

It is interesting to note that <sup>52</sup>Cr and <sup>48</sup>Ti have similar mass as <sup>40</sup>Ar and as such have similar sputtering yields under Ar and self-sputtering conditions. Since Cr has a significantly greater sputtering yield ( $Y_s = 1.8$  for 1000 eV Ar<sup>1+</sup>) than Ti ( $Y_s = 0.7$  for 870 eV Ar<sup>1+</sup>), the Cr plasma electrons are cooler [18] and the measured content of Cr<sup>2+</sup> ions is lower compared to Ti<sup>2+</sup>, contrary to the trend observed for the respective singly charged ions.

The presence of metal vapour <u>also</u> suppresses the production of  $Ar^{2+}$  ions, which have a higher second ionisation energy than chromium and titanium. <u>As mentioned before, the quantity</u> of  $Ar^{2+}$  is determined from the balance of production (ionization) and reduction (recombination <u>and charge exchange)</u>. Interestingly, the doubly ionized <u>gas</u> ions are only observed at longer distances where the <u>neutral</u> metal vapour density is lower.  $Ar^{2+}$  ions can be created through direct and multi-step processes. In the case of HIPIMS of Ti, Fig. 4(b), the fraction of  $Ar^{2+}$  rises at shorter distances and reaches greater values due to the smaller amount of metal vapour compared to Cr (Fig. 4(a)). Furthermore, the presence of metal vapour may lead to charge exchange reactions between  $Ar^{2+}$  and <u>metal</u> neutral species:  $Ar^{2+} + Cr^0 \rightarrow Ar^{1+} + Cr^{1+} + \Delta E$ , where  $\Delta E = 22eV > 0$  and thus reduce the number of double-charged gas ions. <u>Finally, such reactions</u> may be responsible for a significant anisotropy in the spatial distribution of  $Ar^{2+}$  ions. In <u>summary, the behaviour of  $Ar^{2+}$  would be observed for practically all target materials, since all metals exhibit a smaller first ionisation potential.</u>

The lower content of metal ions at the shortest distance of 50 mm compared to 75 mm can be attributed to the small number of collisions that metal atoms undergo whilst traversing the rarefied atmosphere created initially by the sputter wind. The reduced scattering and therefore retained velocity make ionizing collisions with electrons less likely. When the atoms are slowed by elastic collisions, their local residence time and density increases and ionisation by electron impact becomes more likely. This could explain the reversal of metal ion content at 50 mm where the absolute flux of Ti<sup>1+</sup> ions was greater than Cr<sup>1+</sup> (compare Figures 3b and 3a) in contrast to longer distances. Although a larger number of atoms are sputtered from the target, less Cr ions are formed in its vicinity at 50 mm. For Ti, with less sputter wind and gas rarefaction, collisions with Ar atoms are more likely, which increases the residence time and the number of ionisation events close to the target. Therefore, although the flux of Ti atoms is smaller than in the case of Cr, there is nevertheless a higher density of Ti ions. The Cr atoms are slowed down at larger distances, where their ionisation frequency is larger, giving a higher ion content at larger distances from the target compared to the Ti case.

The plasma analyzer may affect the plasma production to some degree, especially when the orifice is closer than several target radii from the target surface. The grounded orifice of the spectrometer may penetrate the outer parts of the magnetic confinement field and drain electrons from the plasma region. Those electrons could have contributed to plasma production and metal ionisation. The reduced amount of metal ions in the measurement near the target may therefore be a result of the interaction of the plasma discharge with the plasma spectrometer. Thus, measurements are limited to a region outside the immediate closed drift zone.

The energy of metal ions is determined by the initial kinetic energy of atoms resulting from the sputtering process [13, 20], the electric field in the magnetic sheath (the zone where  $\mathbf{E} \times \mathbf{B}$  closed drift occurs), and by collisions with the low energy Ar atoms.

The above discussions have established that gas rarefaction plays a significant role in the transport of metal species to the target. An important factor influencing gas rarefaction is the area of the target. Large size targets are operated at high power which is transferred to the gas and results in more heating and higher degree of gas rarefaction. Thus the measurements in this paper should be regarded in terms relative to the target size of ø50 mm. We have shown in Figure 3 and Section 3.1 that a Cr-rich plasma is maintained at a distance of up to ~200 mm, and Ti-rich plasma up to a distance of ~120 mm. These are equivalent to 4 and 2.4 times the target diameter for Cr and Ti respectively. It is expected that larger targets could sustain a metal-rich plasma over longer distances.

The fact that the magnetron is balanced limits ion transport into the chamber. In the case of unbalancing the magnetic field [23] and reducing its strength [24], an enhanced ion flux of metal can be observed at longer distances.

In practical terms, the presented results give some hints on how to best utilize the flux of ionic species. It has been previously reported that the degree of metal ionisation in HIPIMS is

constant with distance from the target [12]. Clearly, to utilize metal ions for self-ion assisted deposition and minimise gas ion bombardment, a relatively short distance between target and substrate is desirable. The optimum distance is different for Ti and Cr, respectively and may depend on the target size, the magnetic field strength on the target surface and the degree of unbalancing of the magnetron. If gas ion assisted deposition is deemed beneficial, a larger distance is preferred. This has to be weighed with other factors such as deposition rate, coating homogeneity and interplay with reactive species. This work shows that the use of HIPIMS introduces several complications for the practitioner interested in optimizing the benefits from the increased ionisation compared to cathodic arc deposition. Further research and development of models will have to account for the individual behaviours of different metals in this regard.

As a final remark, we agree that the transport of ion species from the target to the substrate proceeds according to ambipolar diffusion laws [12]. This process is effectively governed by magnetic fields because one type of the diffusing particles, the electrons, is magnetized, and therefore impeding cross-field motion.

We have shown that the sputtering yield of the target material is a significant factor. The yield strongly affects collision processes and controls both the gas rarefaction effect and the existence of higher charged gas ions.

#### **5.** Conclusions

Titanium and chromium HIPIMS plasmas have been investigated with a HIDEN plasmasampling energy-resolving mass spectrometer at different distances from the sputtering target. Measurements of the argon and metal ion content as well as the ion energy distribution functions showed for the specific power density and pulse length used that (1) singly and doubly charged ions were found for argon as well as the target metal, (2) the majority of ions were singly charged argon ions for both metal targets and for all distances investigated, (3) the Cr ion density extended to further distances from the target than the Ti ion density.

The high density of metal vapour in Cr caused displacement of the Ar gas, i.e. argon gas rarefaction in the vicinity of the target, whereas the rarefaction was less evident in the Ti case. The presence of metal vapour was found to be consistent with cooling of the plasma electrons and charge exchange leading to suppression of the formation as well as to a charge reduction of higher charged ions, especially  $Ar^{2+}$  ions. High charge states of metals with a second ionisation potential higher than the first ionisation potential of the process gas were suppressed due to charge exchange collisions.

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#### **Figure Captions**

- Figure 1. Schematic presentation of the experimental apparatus showing the magnetron gun mounted on a moveable shaft and the fixed energy-resolving mass spectrometer.
- Figure 2. Voltage and current of HIPIMS discharges of (a) Cr and (b) Ti at 4.8 mTorr (0.64 Pa)
- Figure 3. Ion count rate (integrated over the energy distribution function) as a function of distance from the target for HIPIMS of (a) Cr and (b) Ti.
- Figure 4. Normalised ion content as a function of distance from the target for HIPIMS of (a) Cr and (b) Ti. Lines are drawn to guide the eye and not to define local minima and maxima.
- Figure 5. Ion energy distribution functions of Ar<sup>1+</sup> ions in HIPIMS discharges utilizing (a) Cr and
  (b) Ti targets. Ion energies are presented in electronvolts corresponding to the potential settings within the mass spectrometer.
- Figure 6. Ion energy distribution functions of  $Cr^{1+}$  ions in HIPIMS with a chromium target. Ion energies are presented in electronvolts corresponding to the potential settings within the mass spectrometer.
- Figure 7. Ion energy distribution functions of Ti<sup>1+</sup> ions in HIPIMS with a titanium target. Ion energies are presented in electronvolts corresponding to the potential within the mass spectrometer.

Table Captions:

Table 1. Maximum charge state (Q) unaffected by the presence of Ar

No.	Name	Q									
6	С	1	24	Cr	1	33	As	2	46	Pd	1
12	Mg	2	25	Mn	2	34	Se	1	47	Ag	1
13	Al	2	26	Fe	1	39	Y	2	44	Cd	1
14	Si	1	27	Co	1	40	Zr	2	49	In	1
21	Sc	2	29	Cu	1	41	Nb	2	50	Sn	2
22	Ti	2	30	Zn	1	42	Mo	1	51	Sb	2
23	V	2	32	Ge	2	44	Ru	1	52	Te	2

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Figure 1 (Figure1.tif)



Figure 2 (Figure2a.EPS)



Figure 3 (Figure2b.EPS)



Figure 4 (Figure3aerror.EPS)



Figure 5 (Figure3berror.EPS)



Figure 6 (Figure4aerror.EPS)



Figure 7 (Figure4berror.EPS)



Figure 8 (Figure5a.EPS)



Figure 9 (Figure5b.EPS)



Figure 10 (Figure6a.EPS)



Figure 11 (Figure6b.EPS)