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**The effect of Echinacea preparations in three laboratory tests of anxiety. Comparison with chlordiazepoxide**

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Keyword:	Echinacea, anxiety, rat, elevated plus-maze, social interaction, stress-induced social avoidance



view

## COVER SHEET

**Title:** The effect of Echinacea preparations in three laboratory tests of anxiety. Comparison with chlordiazepoxide

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**Running head:** Echinacea and anxiety

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**Abstract**

Echinacea preparations are traditionally used to treat upper respiratory infections and inflammations. No psychotropic effects of Echinacea were reported so far, although some recently reported active constituents are behaviorally active. Prompted by these findings, we evaluated the anxiolytic potential of 5 different Echinacea preparations. Three of these decreased anxiety but two of them had a very narrow effective dose range. Only one extract decreased anxiety within a wide dose-range (3-8 mg/kg). Anxiolytic effects were consistently seen in three different tests of anxiety, the elevated plus-maze, social interaction and shock-induced social avoidance tests. No locomotor suppressant effects were seen at any dose. Noteworthy, the doses that showed anxiolytic effects in the present study were much lower than those used in the laboratory models of the traditional indications. Chlordiazepoxide robustly decreased anxiety-like behavior in all tests but suppressed locomotion at higher doses. Perceived and real risks of conventional medications increase the demand for alternative therapies, provided that these are safe and efficient. Earlier evidence shows that Echinacea preparations have an excellent safety profile, while our findings suggest for the first time that certain preparations have a considerable anxiolytic potential. Further research is required to identify factors that differentiate efficient and inefficient preparations.

**Keywords:** Echinacea, anxiety, rat, elevated plus-maze, social interaction, stress-induced social avoidance

## Introduction

Echinacea preparations have been used for centuries by Native Americans as anti-inflammatory agents and for the treatment of upper respiratory infections; such treatments were incorporated into Western medicine about a century ago (Barnes et al., 2005; Birt et al., 2008; Blumenthal and Busse, 1998; Borchers et al., 2000). No psychotropic effects of Echinacea preparations have yet been elucidated, but recent studies identified active constituents by which these may affect behavior, especially anxiety-like behavior.

Echinacea preparations contain a large number of different alkamides, the structures of which are very similar to that of the endocannabinoid anandamide (Bauer and Remiger, 1989; Wu et al., 2009). Certain alkamides behave as cannabinomimetics at both the cannabinoid CB1 and CB2 receptor, and inhibit the anandamide-degrading enzyme fatty acid amid hydrolase (FAAH) (Woelkart et al., 2005). Although the interaction between cannabinoids and anxiety is complex (Witkin et al., 2005), CB1 signaling has been repeatedly implicated in the control of anxiety (Freund, 2003; Haller et al., 2002). Additionally, FAAH inhibition is considered a promising new anxiolytic drug target (Piomelli et al., 2006). Unidentified components of Echinacea extracts have been shown to be agonists at the transient receptor potential vanilloid-1 (TRPV1) receptors (Birt et al., 2008), a mechanism that has also been implicated in the control of anxiety (Starowicz et al., 2008). Certain Echinacea preparations contain rosmarinic acid, which has decreased anxiety in laboratory models at low doses (Biber et al., 2009; Pereira et al., 2005). In addition, caffeic acid is one of the major constituents of Echinacea; this compound decreases anxiety by indirectly modulating  $\alpha_{1A}$  adrenoceptors (Takeda et al., 2003). Also noteworthy is the fact that the above-listed constituents of Echinacea preparations readily cross the blood-brain barrier (Birt et al., 2008; Woelkart et al., 2009; Konishi et al., 2005).

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Some of the above-listed effects of Echinacea components are weak, and/or the brain concentrations after oral administration are too low to effectively modulate the mechanisms involved in anxiety control. For example, the  $K_i$  values of CB1 binding and the brain concentrations of alkamides exclude robust effects of orally administered alkamides on CB1 receptors (Woelkart et al., 2005, 2009). Yet, anandamide –the structure of which is very similar to that of alkamides– affects receptors other than the CB1, e.g. de PPAR $\alpha$  receptor (Mazzola et al., 2009). It is also worth noting that the TRPV1 agonist activity of Echinacea preparations is rather strong as it is produced at doses similar to those employed here (Birt et al., 2008). These considerations suggest that particular components of Echinacea preparations, alone or in combination with synergistic/additive effects, show great promise for anxiolytic drug development.

Prompted by the above findings, we started a series of studies to evaluate the anxiolytic potential of Echinacea preparations. It is noteworthy that, despite the extensive research on and wide use of this plant, no psychotropic effects have thus far been identified. We believe that this paucity of data is mainly due to two factors. First, the anxiolytic effect was detected at doses that were about one order of magnitude lower than those used for the traditional indications (see below). Secondly, only a fraction of Echinacea preparations showed consistent anxiolytic effects in our studies. In addition, the improvement of infectious diseases inherently improves mood, which may subjectively mask the intrinsic anxiolytic effects of the extracts that do show anxiolytic effects.

## 51 **Materials and Methods**

### 52 *Animals*

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Subjects were 3-month-old male Wistar rats provided by Charles River Laboratories (Budapest, Hungary), and weighing 250-300 g. Rats were fed on standard laboratory food (Charles River Laboratories). Water was available ad libitum. Temperature and relative

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3 humidity were kept at  $22\pm 2^{\circ}\text{C}$  and  $60\pm 10\%$ , respectively. A light/dark cycle of 12 h was  
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5 ensured with lights on at 0700 h. All rats were housed in 1354G Eurostandard Type 4 cages  
6  
7 (59.5×38×22 cm) in groups of four. Acclimatization to housing conditions lasted at least 1  
8  
9 week. All subjects were experimentally naive and used in only one experiment each (with no  
10  
11 drug history prior to the experiment).  
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15 Experiments were carried out in accordance with the European Communities Council  
16  
17 Directive of 24 November 1986 (86/609/EEC) and were reviewed and approved by the  
18  
19 Animal Welfare Committee of the Institute of Experimental Medicine.  
20  
21

### 22 *Experimental design*

23  
24  
25 *Experiment 1* evaluated the anxiolytic potential of Extract No. 1 in the elevated plus-  
26  
27 maze test. This experiment was also used to establish effective dose-ranges; therefore, 7  
28  
29 doses were tested (vehicle, 0.5, 1, 1.5, 2, 3, and 6 mg/kg). Rats were submitted to the test 30  
30  
31 min after treatment. Sample size was 10 per treatment group.  
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35 *Experiment 2-5* tested the efficacy of four additional extracts under similar conditions.  
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37 Based on the results of Experiment 1, we tested these extracts in the 1-5 mg/kg dose-range,  
38  
39 except for Extract No. V, which proved to have a very narrow effective dose range in  
40  
41 preliminary studies. Therefore, the increment of doses was 0.5 mg/kg with this extract. The  
42  
43 four extracts were tested in separate experiments. Sample size was 8-10 per group, except for  
44  
45 Extract No V, where sample size was 16 per group.  
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49 Based on the results of Experiments 1-5, Extract No IV was selected for further  
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51 analysis. In *Experiment 6 and 7*, we studied a wider dose range (1-8 mg/kg) in the elevated  
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53 plus-maze, 30 min and 1h after treatments, respectively. Sample size was 12 per group. The  
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55 doses that proved most effective in Experiments 6 and 7 were also studied in the social  
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57 interaction and shock-induced social avoidance tests (*Experiments 8-9*). Sample size was 12  
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3 and 8 per group, respectively. Finally, locomotor effects were studied in the open-field test  
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6 (*Experiment 10*). The doses tested were 2-16 mg/kg; sample size was 15-16 per group.  
7

8 The behavioral effects of the benzodiazepine chlordiazepoxide were also studied for  
9  
10 comparison. In *Experiment 11*, we studied the effects of 1, 2, 3, 4, 6, 8, 10, 12, 14 mg/kg  
11  
12 chlordiazepoxide in the elevated plus-maze, 30 min after treatment. This detailed dose-  
13  
14 response curve was taken as chlordiazepoxide was shown to have a biphasic effect on  
15  
16 locomotion. Sample size was 10-14 per treatment group. *Experiment 12* established the  
17  
18 effects of chlordiazepoxide in the elevated plus-maze 1h after treatments. The dose-range  
19  
20 studied was 2-8 mg/kg, and included doses that increased and decreased locomotion in  
21  
22 *Experiment 11*. Sample size was 10 per group. The effects of chlordiazepoxide in the social  
23  
24 interaction and shock-induced social avoidance tests were investigated in *Experiments 13 and*  
25  
26 *14*. In the social interaction test, rats were given 1, 2, 5, and 10 mg/kg chlordiazepoxide.  
27  
28 Sample size was 12 per group. A specific dose-range was investigated in the shock-induced  
29  
30 social avoidance test. Previous experience showed that this test is especially sensitive to the  
31  
32 sedative effects of chlordiazepoxide. Therefore, rats were treated with 0.5, 1, 2.5, and 5  
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34 mg/kg in this test. Because both the anxiolytic and sedative effects of chlordiazepoxide were  
35  
36 robust in earlier experiments, sample size was 7 in this experiment.  
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#### 43 *Pharmacological treatment*

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46 The following extracts were studied in the experiments: Echinacea purpurea root  
47  
48 extract (EPO Istituto Farmacochimico Fitoterapico, Milano, Italy; batch No 0700326;  
49  
50 **extraction procedure: ethanol 4% V/V; ratio herbal drug : drug preparation: 5-12:1;**  
51  
52 **excipient: maize dextrin 30%; marker: Echinacoside 4%**), Echinacea purpurea herb  
53  
54 extract (Finzelberg GmbH and Co. KG, Andernach, Germany; Batch No. 07022307;  
55  
56 **extraction: ethanol 60% M/M; ratio herbal drug : native extract: 4-10 : 1; excipients:**  
57  
58 **maltodextrin 13% and colloidal silica anhydrate 2%; marker: total phenols 4%**), and  
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3 Echinacea angustifolia root extract (Euromed SA, Millet del Valles, Spain; Batch No.  
4 419061; **extraction: ethanol 85% V/V; ratio herbal drug : native extract: 6.5-8 : 1;**  
5  
6  
7  
8 **excipient: maltodextrin 30%; marker: Echinacoside 4%**). All three extracts were dissolved  
9  
10 in 0.4% methylcellulose in saline. We also studied a hydroalcoholic extract of Echinacea  
11  
12 purpurea roots (FitoChem Ltd. Monor, Hungary; Batch No. FECH-010011205; **extraction:**  
13  
14 **ethanol 70% V/V; ratio herbal drug : native extract: 4-8 : 1; excipient: none; marker: not**  
15  
16 **standardized**). Before experimental use, the ethanol was evaporated at 4 °C, and the dry  
17  
18 residue was dissolved in 0.4% methylcellulose in saline. Finally, we investigated an  
19  
20 Echinacea angustifolia root extract prepared by the Department of Pharmacognosy, Faculty  
21  
22 of Pharmacy, University of Szeged (Hungary). Briefly, 80 g air-dried and powdered root of  
23  
24 Echinacea purpurea was extracted with 1x300 and 2x200 ml 70% EtOH using an ultrasonic  
25  
26 extractor for 10-10 min. The filtered extracts were combined, concentrated and dissolved in  
27  
28 15 ml water. The aqueous solution was lyophilized, yielding 8.67 g dark brown and semi-  
29  
30 fluid extract. Before experimental use, it was physically dispersed, dissolved in small  
31  
32 amounts of dimethyl sulphoxide (DMSO) and diluted to the final volume by 0.4%  
33  
34 methylcellulose. **The final concentration of DMSO was 3.3% at each test concentration.**  
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36 Controls received a similar mixture of DMSO and methylcellulose. Chlordiazepoxide came  
37  
38 from Sigma (Budapest, Hungary) and was dissolved in 0.4% methylcellulose in saline.  
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46 All treatments were administered *per os* in a volume of 2 ml/kg. Controls received  
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48 0.4% methylcellulose except for the last mentioned extract (see above).  
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#### 50 51 *Behavioral tests*

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53 Behavioral studies were performed in the early hours of the dark (active) period  
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55 between 1100 and 1300 h. Each experiment was performed in several series balanced over  
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57 groups. Group assignment was random. Behavior was video recorded and later analyzed by  
58  
59 an experimenter blind to treatment conditions.  
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3 The tests were performed as described earlier (Haller et al., 2000; Haller and Bakos,  
4 2002; Haller et al., 2003; Leveleki et al., 2006). Briefly, *the elevated plus-maze* (arm length  
5 50 cm, arm width 15 cm, wall height 30 cm and platform height 80 cm) was illuminated by  
6 dim red light. Rats were placed into the center of the apparatus and were allowed to explore it  
7 for 5 min. Closed-arm entries were considered indicators of locomotor activity, whereas open  
8 arm exploration (duration and % entries) was used as a measure of anxiety (Pellow et al.  
9 1985). The *social interaction test* arena was a plastic box of 40x60x60 cm that was lit by  
10 white light. Pairs of similarly treated rats were placed in the arena, and their behavior was  
11 recorded for 10 min. Subjects were unfamiliar to each other. In this test, anxiety is shown by  
12 the duration of social interactions defined as sniffing movements directed towards the partner  
13 rat. Exploration/walking and resting are indicative of sedative or muscle-relaxant effects (File  
14 and Hyde, 1978; File and Johnston, 1989; Guy and Gardner, 1985). *The social avoidance test*  
15 was performed on two consecutive days. On the first day, rats were exposed to 10 electric  
16 shocks (3 mA) over 5 min in a plastic box of 30x30x30 cm. Controls were placed into the  
17 box, but received no shocks. On the next day, rats were studied in a three-compartment  
18 plastic cage. The subject was placed in the habituation compartment (15 x 40 cm) that was  
19 separated from the rest of the cage by an opaque sliding door. After 3 min, the sliding door  
20 was removed and the rat was allowed to explore the test arena (40 cm x 40 cm) for 5 min.  
21 The third compartment contained a large unfamiliar male confined behind a transparent,  
22 perforated Plexiglas wall. In this test, anxiety is indicated by the number of entries into, and  
23 the time spent in the test arena i.e. in the vicinity of the unfamiliar opponent. Shock exposure  
24 strongly inhibits opponent visits, a response that is abolished by anxiolytics (Leveleki et al.,  
25 2006). *The open-field* was a circular area with a diameter of 90 cm. Rats were placed next to  
26 the wall of the open-field and were allowed to explore the arena for 10 min. The arena was  
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3 divided into equal sub-areas by concentric and radial lines. Locomotor activity was shown by  
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5 the number of line crossings.  
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### 8 *HPLC analysis*

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10 **HPLC analysis was carried out on a Waters instrument (Milford, MA, USA;**  
11 **solvent delivery system 6000A, pump 600E, UV detector type 2487, Rheodyne 7725i**  
12 **injector, Empower software). The alkamide profile was investigated at 25 °C on**  
13 **LiChrospher RP-18 column (5 µm, 125-4 mm, Merck) using linear gradient of**  
14 **acetonitrile-H<sub>2</sub>O 2:3→4:1 (0-30 min) at flow of 1 ml/min detected at 254 nm. Peak**  
15 **assignment was made according to Bauer and Remiger (1989).**  
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### 24 *Statistical analysis*

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27 **Statistical analysis was made by the STATISTICA software (Tulsa, USA). Data**  
28 **were presented as means ± the standard error of the mean (SEM). Significance level**  
29 **was set at p < 0.05. Behavioral data were analyzed by Kruskal-Wallis ANOVA. Mann-**  
30 **Whitney post-hoc comparisons were also run where appropriate.**  
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## 37 **Results**

### 38 *Screening experiments*

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42 Extract No I significantly increased open arm exploration with marginal effects on  
43 locomotion as shown by closed arm entries (Table 1). However, only the 1.5 and 2 mg/kg  
44 doses were effective; neither 1 nor 3 mg/kg decreased anxiety in the elevated plus-maze. In  
45 addition, the time spent on the open arms was significantly increased, but open arm choice  
46 (% open arm entries) showed non-significant variation only.  
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54 Extracts No II and III failed to affect behavior (Table 2). Extract No IV appeared to  
55 dose-dependently increase open arm exploration (Table 2). The effect was significant at the  
56 highest dose tested. Closed arm entries were not changed by the treatments. Extract No V  
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3 increased the duration of open arm exploration at 1 mg/kg only; neither 0.5 nor 1.5 mg/kg  
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5 were effective (Table 2). In addition, % open arm entries showed no significant changes.  
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#### 8 *Behavioral effects of Extract No IV*

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10 The effects of extract No IV were investigated in the elevated plus-maze over a wider  
11 dose-range, both 30 min and 1h after treatments (Fig. 1, upper panels). Locomotion as shown  
12 by closed arm entries were not affected at either time-point ( $H(7,120)= 5.18$ ;  $p > 0.5$ , and  
13  $H(7,120)= 7.01$ ;  $p > 0.4$ , respectively). The duration of open arm entries increased at both  
14 time points (30 min:  $H(7,120)= 22.96$ ;  $p < 0.01$ ); 1h:  $H(7,120)= 19.65$ ;  $p < 0.01$ ). Open arm  
15 choice was affected significantly after 30 min ( $H(7,120)= 23.70$ ;  $p < 0.01$ ) but not after 1h  
16 ( $H(7,120)= 10.79$ ;  $p = 0.14$ ). Post-hoc comparisons showed that the effective dose-range was  
17 3-6 mg/kg after 30 min and 4-8 mg/kg after 1h.  
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29 In the social interaction test, resting, exploration and agonistic interactions showed non-  
30 significant changes ( $H(3,80)$  values were 1.59, 3.79, and 0.42;  $p$  values were larger than 0.3  
31 at least) (Fig. 1. lower left-hand panel). Social interactions were increased by both the 3 and 4  
32 mg/kg dose ( $H(3,80)= 14.72$ ,  $p < 0.001$ ).  
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39 In the shock-induced social avoidance model, the extract abolished social avoidance at  
40 3 and 4 mg/kg ( $H(6, 80)= 20.11$ ;  $p < 0.005$ ) (Fig. 1. lower middle panel). Locomotion was not  
41 affected by the extract within the 2-16 mg/kg dose range ( $H(4,79)= 1.4$ ;  $p > 0.8$ ) (Fig. 1. lower  
42 left-hand panel).  
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#### 49 *The effects of chlordiazepoxide*

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51 As expected, chlordiazepoxide significantly decreased anxiety-like behavior in the  
52 elevated plus-maze both 30 min and 1h after treatments (% open arm entries 30 min:  
53  $H(9,132) = 29.27$ ;  $p < 0.005$ ; % open arm entries 1h:  $H(4,60) = 15.48$ ;  $p < 0.005$ ; % time in  
54 open arm 30 min:  $H(9,132) = H(9,132) = 34.38$ ;  $p < 0.0001$ ; % time in open arm 1h:  $H(4,60)$   
55 = 19.63;  $p < 0.001$ ) (Fig. 2, upper panels). However, the anxiolytic effects of chlordiazepoxide  
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3 were not devoid of locomotor effects (30 min:  $H(9,132)= 33.13$ ;  $p< 0.0001$ ; 1h:  $H(4,60)=$   
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5 9.83;  $p< 0.04$ ). Post-hoc comparisons showed that 30 min after treatments, chlordiazepoxide  
6  
7 increased locomotion at 2 and 3 mg/kg, and decreased locomotion above 8 mg/kg. The dose  
8  
9 range within which chlordiazepoxide decreased anxiety without affecting locomotion was 4-6  
10  
11 mg/kg at 30 min, and 4 mg/kg at 1h.  
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15 Chlordiazepoxide significantly increased social interactions in the social interaction test  
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17 ( $H(4,72)= 13.39$ ;  $p< 0.01$ ) (Fig. 2, lower left hand panel). No locomotion-suppressing effects  
18  
19 were noticed in this test, as neither resting, nor exploration were affected significantly  
20  
21 ( $H(4,72)= 8.89$ ;  $p< 0.07$  and  $H(4,72)= 3.24$ ;  $p> 0.5$ ). We note that the marginally significant  
22  
23 difference in resting shown by the Kruskal-Wallis test was due to differences between  
24  
25 chlordiazepoxide-treated groups (the lowest resting value was seen at chlordiazepoxide 2  
26  
27 mg/kg). In contrast to extract No. IV, chlordiazepoxide dramatically reduced agonistic  
28  
29 interactions ( $H(4,72)= 29.60$ ;  $p< 0.0001$ ). In the shock-induced social avoidance test, 0.5 and  
30  
31 1 mg/kg did, whereas 2.5 and 5 mg/kg did not abolish social avoidance ( $H(5, 42)= 31.89$ ;  $p<$   
32  
33  $0.0001$ ) (Fig. 2. lower right-hand panel). The failure of higher doses to abolish social  
34  
35 avoidance was likely due to sedation, as opponent entries were reduced from  $5.14\pm 0.70$  at 1  
36  
37 mg/kg chlordiazepoxide to  $2.29\pm 0.57$  and  $1.14\pm 0.55$  at 2.5 mg/kg and 5 mg/kg  
38  
39 chlordiazepoxide, respectively. Conversely, unshocked controls showed  $5.57\pm 0.61$  entries.  
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#### 45 *HPLC measurements*

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48 The results of HPLC measurements were summarized in Fig. 3. Two of the  
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50 extracts (No. 2 and No. 3), contained very low amounts of alkamides (Fig. 3 upper right  
51  
52 hand panel). Alkamides were not lacking in these extracts (see the insert of Fig. 3 upper  
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54 right hand panel), but their absorption curves became almost flat when the scale of the  
55  
56 absorption curve was set such to accommodate the high levels seen in other extracts.  
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58 Alkamide contents were considerably higher in Extracts No 1, 4, and 5. The level of  
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3 **these compounds and, more importantly their fingerprint showed considerable**  
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5 **differences in these extracts.**  
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## 8 **Discussion**

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11 Out of the five Echinacea extracts studied, three affected anxiety-like behavior. Two of  
12 these, however, had a very narrow dose range, encompassing no more than 0.5 mg/kg.  
13  
14 Neither lower nor higher doses proved effective. There was only one extract that robustly  
15  
16 decreased anxiety in three different tests, with an effective dose-range that was comparable  
17  
18 with that of chlordiazepoxide. Locomotion was not affected by this extract at doses that were  
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20 5 times larger than the minimally effective anxiolytic dose. Chlordiazepoxide robustly  
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22 decreased anxiety-like behavior but also suppressed locomotion.  
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28 **The anxiolytic effects of chlordiazepoxide were consistent with those reported**  
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30 **earlier in the same tests (File and Hyde, 1978; Leveleki et al., 2006; Patel and Malick,**  
31  
32 **1982; Pellow et al., 1982; Treit et al., 1981). Locomotor effects were also similar to those**  
33  
34 **reported earlier; low doses (e.g. 2-3 mg/kg) increased, whereas larger doses (e.g. 5-10**  
35  
36 **mg/kg) suppressed locomotion (Davies and Steinberg, 1984; File and Pellow, 1985;**  
37  
38 **Fernandes and File, 1999; Martin et al., 1982; McElroy et al., 1982). Also consistent**  
39  
40 **with earlier findings, locomotor stimulation that was seen at 30 min disappeared when**  
41  
42 **effects were tested 1h after injections (Davies and Steinberg, 1984).**  
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47 To our knowledge, this is the first study showing that Echinacea preparations possess an  
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49 anxiolytic potential. Unfortunately, however, this potential is not common, as only three out  
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51 of five preparations showed any anxiolytic effect. In addition, the effective dose range was  
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53 very narrow (0.5 mg/kg) in the case of two effective extracts. Noteworthy, very narrow dose  
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55 ranges are irrelevant from a therapeutic perspective as such data are difficult to extrapolate to  
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57 effective doses in humans. In addition, a very narrow dose-range involves the establishment  
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59 of precise weight-dependent dosage regimens, which appears unrealistic in a clinical setting.  
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3 Extract No. 4, however, decreased anxiety at a low dose and over a wide, bell-shaped dose-  
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5 response curve that was comparable with that of chlordiazepoxide. **Such bell-shaped dose-**  
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7 **response curves are commonly seen with herbal extracts (Butterweck et al., 1997).**

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10 **Moreover, this phenomenon □ also called hormesis □ appears to be a general feature of**  
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12 **drug effects (Calabrese and Baldwin, 2001, Calabrese, 2008).**

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15 Discrepant findings with different extracts are likely explained by the fact that while the  
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17 main constituents of various Echinacea species are essentially similar, the absolute amounts  
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19 and the quantitative relationships between different constituents are subject to major variation  
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21 (Kapteyn et al., 2002; Pellati et al., 2005; Percival, 2000; Perry et al., 2001; Wu et al., 2009).  
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23 Noteworthy, the immunostimulant effect of Echinacea preparations also show extreme  
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25 variations (Tamta et al., 2008). **We hypothesized that Echinacea preparations affect**  
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27 **anxiety-related behaviors mainly due to their alkamide content (see Introduction). This**  
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29 **hypothesis was partly supported by HPLC findings, as the extracts that contained the**  
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31 **lowest amounts of alkamides were behaviorally inactive. Efficacy, however, was not**  
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33 **directly related to alkamide content. Extract No 5 contained high amounts of alkamides**  
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35 **but had modest behavioral effects, while Extract No 4 strongly decreased anxiety**  
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37 **despite its relatively lower alkamide content. One can hypothesize that behavioral**  
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39 **effects depend partly on the total amount, partly on the fingerprint of the different**  
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41 **alkamides. As such, behavioral effects may develop in conjunction with the**  
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43 **additive/synergistic and possibly antagonistic effects of various alkamides. The**  
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45 **involvement of other components cannot be ruled out either.** Further research is required  
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47 to elucidate the compositions that differentiate efficient and inefficient preparations.  
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56 **The perceived or real risks of conventional drug treatments –as well as personal**  
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58 **beliefs about healthy lifestyles– lead to a strong demand for alternative therapies among**  
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60 **both patients and medical practitioners (Astin, 1998; Barrett et al., 2003; Ben-Arye et**

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3 al., 2008; Dilhuydy, 2003). Such alternative therapies would be welcome provided that  
4 the treatment is safe and its efficacy is proven. Among herbs, anxiolytic effects are not  
5 unique to Echinacea. Effective doses, however, are rather high in most cases (*Albizzia*  
6 *julibrissin*: 100-200 mg/kg in the rat plus-maze, Kim et al., 2004; *Centella asiatica*: 500  
7 mg/kg in the rat plus-maze, Wijeweera et al., 2006; *Eschsholzia californica*: 25 mg/kg in  
8 the mouse light/dark test, Rolland et al., 1991; *Hypericum perforatum*: 100-200 mg/kg in  
9 the mouse T-maze, Flausino et al., 2002; *Passiflora species*: 300-800 mg/kg in the rats  
10 plus-maze, Reginatto et al., 2006; *Valeriana officinalis*: above 100 mg/kg, various tests,  
11 Oliva et al., 2004). In other cases, the effective dose-range was as narrow as with our  
12 Extracts No. 1 and 5 (Hasenohrl et al., 1998). More promising effects were obtained  
13 with kava kava (*Piper mysticum*) that proved to be clinically effective (Pittler and  
14 Ernst, 2003). Later research, however, revealed that this plant has major side effects  
15 (Christl et al., 2009; Teschke et al., 2008).

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34 Evidence accumulated over many decades demonstrates that Echinacea preparations  
35 have an excellent safety profile (Barnes et al., 2005; Birt et al., 2008; Blumenthal and Busse,  
36 1998; Borchers et al., 2000; Izzo and Ernst, 2001; Tesch, 2003). In addition, the doses that  
37 showed anxiolytic effects in the present study (3-7 mg/kg) were about one order of magnitude  
38 lower than those that were efficient in laboratory models of traditional indications (30-130  
39 mg/kg; Abouelella et al., 2007; Zhai et al., 2007). This comparison suggests that human  
40 anxiolytic doses would be similarly low, which further decreases the risks associated with the  
41 potential use of Echinacea preparations for the treatment of anxiety. Taken together, these  
42 considerations suggest that from the point of view of safety, Echinacea preparations are  
43 excellent candidates for the alternative treatment of anxiety. On the other hand, the  
44 remarkable anxiolytic effects demonstrated here suggest that certain Echinacea preparations  
45 are excellent alternative anxiolytics from the point of view of efficacy as well.

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**Table 1.** The effects of extract No I in the elevated plus-maze.

Group	Closed entries ±SEM	% open entries ±SEM	% time in open arm ±SEM
vehicle	7,40 ±0,61	28,18 ±2,04	9,53 ±1,44
<i>E<sub>I</sub></i> 0.05 mg/kg	7,30 ±0,63	32,59 ±3,26	12,17 ±1,78
<i>E<sub>I</sub></i> 1 mg/kg	8,80 ±1,16	27,17 ±2,21	12,42 ±2,33
<i>E<sub>I</sub></i> 1.5 mg/kg	8,67 ±0,53	37,62 ±2,08	<b>21,41</b> * ±4,30
<i>E<sub>I</sub></i> 2 mg/kg	8,30 ±0,91	33,33 ±3,14	<b>17,09</b> * ±1,99
<i>E<sub>I</sub></i> 3 mg/kg	<i>10,80</i> + ±0,80	30,20 ±4,36	12,23 ±3,32
<i>E<sub>I</sub></i> 6 mg/kg	9,30 ±0,68	27,43 ±1,83	10,91 ±1,58
<i>H</i> (6,80)	<i>12,83</i>	<i>10,62</i>	<i>16,10</i>
<i>p</i>	<i>0,05</i>	<i>0,1</i>	<i>0,01</i>

*E<sub>I</sub>*, extract No. I; *H*, Kruskal-Wallis coefficient; *Post hoc comparisons*:\*, significantly different from control (bolded); +, marginal difference from control (italicized).

**Table 2.** The effect of Extracts No II-V in the elevated plus-maze.

<i>Treatment</i>	<b>Closed entries ±SEM</b>	<b>% open entries ±SEM</b>	<b>%time in open arm ±SEM</b>
<i>Control</i>	6.72 ±0.91	21.46 ±4.15	6.07 ±1.36
<i>E<sub>II</sub> 1mg/kg</i>	7.75 ±1.28	19.03 ±4.95	6.53 ±2.67
<i>E<sub>II</sub> 3mg/kg</i>	6.80 ±1.25	18.75 ±7.89	3.08 ±1.01
<i>E<sub>II</sub> 4mg/kg</i>	5.90 ±1.03	19.19 ±5.56	5.43 ±2.15
<i>E<sub>II</sub> 5mg/kg</i>	7.00 ±1.02	22.76 ±5.49	8.92 ±3.18
<i>H(4,56)</i>	1.82	1.23	2.11
<i>p</i>	0.8	0.9	0.7
<i>control</i>	7.50 ±0.78	24.69 ±2.67	7.58 ±1.29
<i>E<sub>III</sub> 1 mg/kg</i>	8.00 ±0.89	29.88 ±4.67	13.38 ±3.12
<i>E<sub>III</sub> 2 mg/kg</i>	5.92 ±1.43	26.34 ±8.52	4.81 ±1.25
<i>E<sub>III</sub> 3 mg/kg</i>	8.20 ±0.63	23.19 ±3.73	10.08 ±2.65
<i>E<sub>III</sub> 4 mg/kg</i>	7.89 ±1.03	23.58 ±5.09	8.26 ±3.38
<i>H(4,55)</i>	2.10	3.55	6.26
<i>p</i>	0.7	0.5	0.2
<i>control</i>	7.33 ±0.71	11.24 ±3.35	2.18 ±0.57
<i>E<sub>IV</sub> 1 mg/kg</i>	7.92 ±0.92	8.46 ±3.57	2.37 ±1.30
<i>E<sub>IV</sub> 2 mg/kg</i>	6.50 ±1.10	10.00 ±3.22	2.67 ±0.84
<i>E<sub>IV</sub> 3 mg/kg</i>	7.92 ±0.92	18.54 ±4.38	6.93 <sup>+</sup> ±2.08
<i>E<sub>IV</sub> 4 mg/kg</i>	7.75 ±0.73	<b>23.93</b> <sup>*</sup> ±4.12	<b>10.43</b> <sup>*</sup> ±3.49
<i>H(4, 60)</i>	2.36	10.32	10.54
<i>p</i> <	0.7	0.04	0.03
<i>Control</i>	8,94 ±0,82	32,85 ±3,93	18,69 ±3,43
<i>E<sub>V</sub> 0.5 mg/kg</i>	8,00 ±0,85	34,94 ±4,84	18,64 ±4,43
<i>E<sub>V</sub> 1 mg/kg</i>	9,00 ±0,87	41,97 ±4,70	<b>35,18</b> <sup>*</sup> ±5,72
<i>E<sub>V</sub> 1.5 mg/kg</i>	9,56 ±0,81	40,47 ±2,66	27,66 ±3,46
<i>E<sub>V</sub> 2 mg/kg</i>	8,63 ±1,04	37,63 ±3,25	25,81 ±3,90
<i>H(4,80)</i>	1,65	3,16	9,42
<i>p</i>	0,80	0,50	0,05

*E<sub>I-V</sub>*, extract No. I-V; *H*, Kruskal-Wallis coefficient; *Post hoc comparisons*:\*, significantly different from control (bolded); <sup>+</sup>, marginal difference from control (italicized).

**Legend for figures**

**Fig. 1.** The effects of extract No 4 on anxiety-like behaviors and locomotion. E-IV, the Echinacea extract that showed promising anxiolytic effects in the screening experiments (see Table 2); \*, significantly different from control in post-hoc comparisons ( $p < 0.05$  at least); <sup>+</sup>, marginally different from control ( $0.1 > p > 0.05$ ).

**Fig. 2.** The effects of chlordiazepoxide in three anxiety tests. CDP, chlordiazepoxide; \*, significantly different from control in post-hoc comparisons ( $p < 0.05$  at least); <sup>+</sup>, marginally different from control ( $0.1 > p > 0.05$ ).

**Fig. 3.** HPLC chromatograms of the 5 extracts tested. The insert (upper right hand chromatogram) shows that Extracts No. 2 and 3 did not lack alkamides, but this is not visible at Y-axis scales appropriate to accommodate the much higher levels seen in the other three extracts. **1**, undeca-2E,4Z-diene-8,10-diynoic acid isobutylamide; **2**, undeca-2Z,4E-diene-8,10-diynoic acid isobutylamide; **3**, dodeca-2E,4Z-diene-8,10-diynoic acid isobutylamide; **4**, undeca-2E,4Z-diene-8,10-diynoic acid 2-methylbutylamide; **5**, dodeca-2E,4Z,10E-triene-8-ynoic acid isobutylamide, **6**, trideca-2E,7Z-diene-10,12-diinsav isobutylamide; **7**, dodeca-2E,4Z-diene-8,10-diynoic acid 2-methylbutylamide; **8**, dodeca-2E,4E,8Z,10E-tetraenoic acid isobutylamide; **9**, dodeca-2E,4E,8Z,10Z-tetraenoic acid isobutylamide; **11**, dodeca-2E,4E-dienoic acid; **18**, pentadeca-2E,9Z-diene-12,14-diynoic acid isobutylamide.

Haller et al., Figure 1

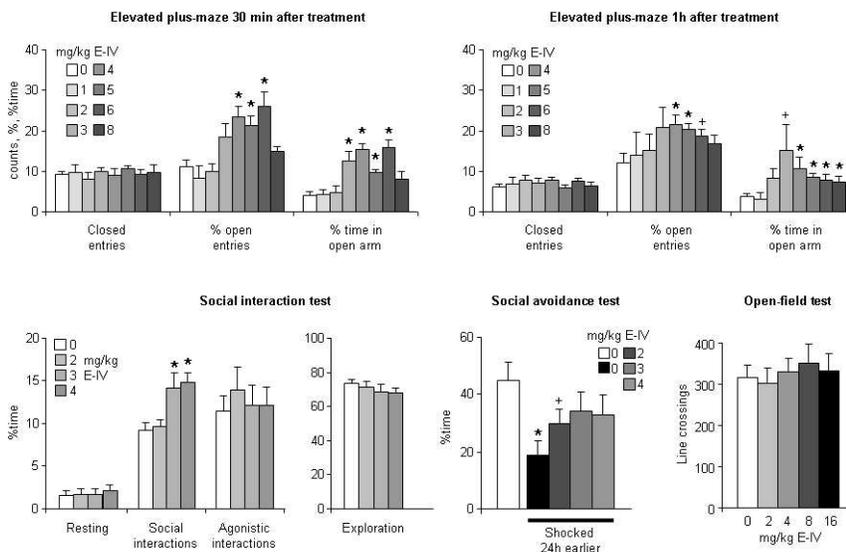


Figure 1  
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Haller et al., Figure 2

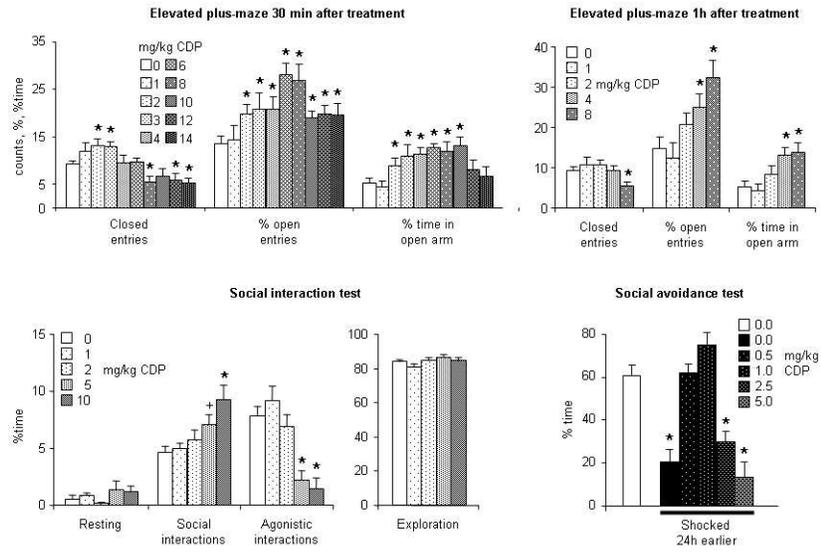
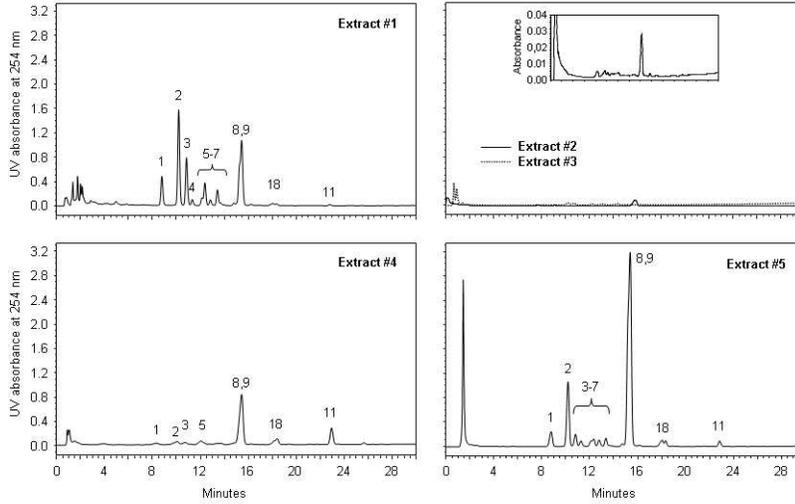


Figure 2  
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