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Marine Polysaccharides and their Conversion into Functional Materials

Mike Robitzer and Françoise Quignard*

Abstract: Natural polysaccharides are supports for sensors, absorbents and catalysts. They are also gelling agents in the aqueous phase, due to the high level of dispersion of hydrocolloids. This article focuses on an effective method to prepare dry materials which retain the dispersion of the polymer hydrogel, namely polysaccharide aerogels. The diverse surface functionalities like hydroxy, carboxy or amino groups of the polysaccharide aerogels are accessible to catalysts and reactants and can be easily modified to tune the functionality of the materials.

Keywords: Aerogels · Catalysis · Polysaccharides · Porous materials · Textural properties

1. Introduction

Hydrocolloid-forming polysaccharides are natural polyelectrolytes able to gelify water when added in tiny amounts. Hydrogels containing 1–2% polymer and 98–99% water can be shaped as self-standing spheres or films with good mechanical stability. This property is the basis of their natural function as water-storage agents for living organisms as well as their main industrial application, in which about 45,000 t y⁻¹ of seaweed-extracted polysaccharides are used as thickening agents for the food industry.

Natural polysaccharides, which have been known for many years as supports for enzymatic catalysts, have recently received increased attention as supports for metal catalysts. Indeed, polysaccharides fulfill most of the properties needed for adsorption or remediation^[1–4] applications. However, their use in catalysis suffers from diffusion limitations, due to the low surface area of the dried materials, xerogels or lyophilised solids, generally used. This article focuses on the potential benefits of

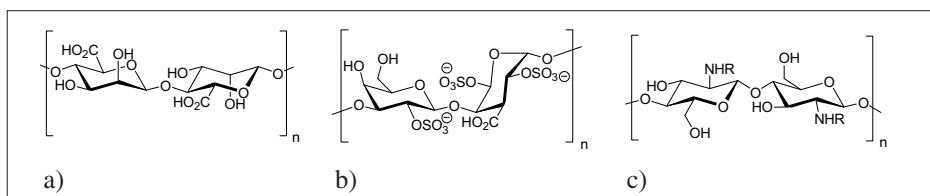


Fig. 1. a) alginate; b) carrageenan; c) chitosan.

an aerogel formulation of polysaccharides for selected applications.

2. From Hydrocolloids to Porous Materials

Natural polysaccharides available on industrial scale include polymers with carboxylic groups (alginates) or sulphonic groups (carrageenans) derived from seaweed, or with amino groups (chitosan), obtained by deacetylation of chitin from seafood shells (Fig. 1).

Alginates are block copolymers constituted of (1–4) linked β-D-mannuronate (M) and α-L-guluronate (G) residues (Fig. 1a). Alginates are widely applied in drug release systems^[5] for the entrapment of biologically active materials.^[6] Carrageenans are a large family of sulphate-bearing polygalactoses (Fig. 1b) Carrageenans beads are often used as a matrix to entrap molecules of biological significance, such as food products, enzymes, and cells.^[7,8] Chitosan is a linear copolymer of linked β-(1,4)-glucosamine (Fig. 1c), traditionally obtained by deacetylation of chitin (poly-β-(1,4)-acetylglucosamine) from seafood industry wastes (crab and shrimp shells and squid pens). Chitosan finds wide application in biomaterials, drug-delivery systems,^[9,10] food additives, water clarification,^[11] and as support for cells and enzymes.^[12]

The gelling procedure starts from a solution of the polysaccharide in an appropriate solvent; water for Na-alginate, acidic solution for chitosan. This viscous solution is added dropwise in the coagulating bath which may contain metal salts,^[13,14] or hydrochloric acid for sodium alginate,^[15–18] or a neutralization step with a concentrated alkaline solution (generally NaOH) for chitosan.^[19–21] The principle of carrageenan beads formation is the thermo- and ionotropic gelation of κ-carrageenan hot droplets falling into a cold saline (KCl) solution. The properties of the alginate gels are affected by the ratio and sequencing of uronate monomers (mannuronate or guluronate),^[22] the concentration of cations in the gelling bath and the time of ripening in the gelling solution.^[23,24] The degree of deacetylation and molecular weight of chitosan influence the physico-chemical properties (such as solubility and viscosity) and can determine the field of application of chitosan.

The preparation of polysaccharide aerogels involves several steps, which are exemplified in Fig. 2 by the preparation of a Ca-alginate aerogel. The first step is the formation of a hydrogel by reaction of a Na-alginate solution with a Ca²⁺ solution. The microspheres are then dehydrated by immersion in a series of successive ethanol-water baths of increasing alcohol concentration (10, 30, 50, 70, 90 and 100%). Finally, the microspheres are dried under

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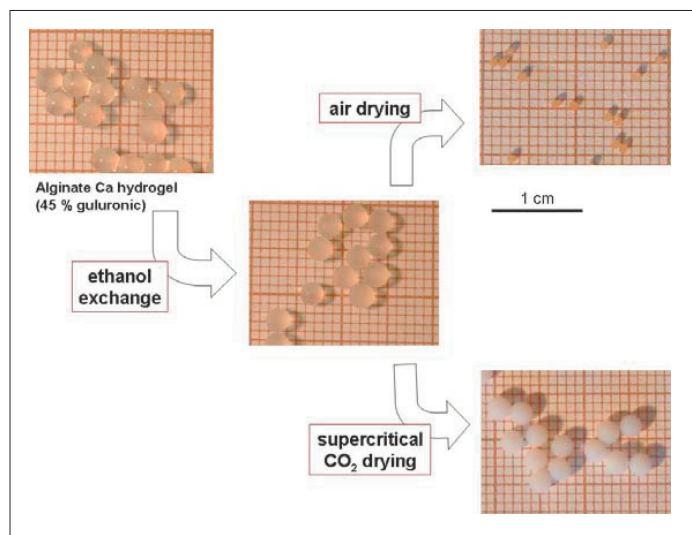


Fig. 2. Ca-alginate aerogel formation.

supercritical CO₂ conditions (74 bars, 31.5 °C). Hydrogel spheres are not stable to evaporative drying and shrink to xerogel particles with no porosity. In contrast, CO₂ supercritical drying allows the apparent volume of the hydrogel spheres to be retained.

Ca-alginate materials were studied by small-angle X-ray scattering (SAXS) at different steps of conversion from gel to aerogel in order to determine the relation between the polymer organization at the nanoscale in the gels and the final dry aerogel.^[25] In all cases, *i.e.* before and after the different exchanges of solvents and after the formation of the aerogel, the SAXS patterns exhibit an asymptotic behavior at low q values close to $I(q) \sim q^{-1}$, indicative of randomly-oriented rod-like scattering objects. This is evidence that in the experimental conditions chosen, the morphology of the aerogel depends on the morphology of pre-existing objects within the gel, *i.e.* that the structure of the aerogel provides a correct image of the structure of the parent gel.

Optical and SEM pictures of beads of several polysaccharide hydrogels (Co-alginate, chitosan from α -chitin, and κ -carrageenan) and the corresponding aerogels are reported in Fig. 3.^[26]

3. Textural Properties of Polysaccharides Aerogels

Shrinkage of aerogels is paralleled by their porosity, the values of which are reported in Table 1. Nitrogen adsorption–desorption isotherms provide useful information about several textural properties.^[27,28] The adsorption at low relative pressure allows the surface area of the samples to be evaluated. Total porosity can be calculated from the volume/mass ratio of the material.

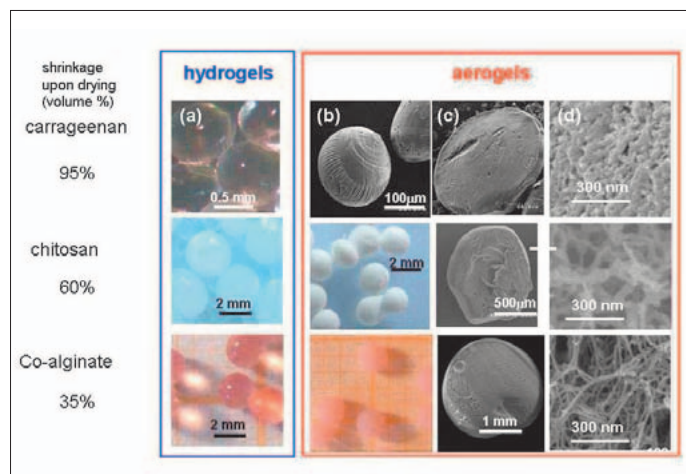


Fig. 3. Optical and SEM images of hydrogel (column a) and aerogel spheres (column b) and of cross-sections of aerogel spheres (column c, d) of carrageenan (line 1), chitosan (line 2) and Co-alginate (line 3).

Table 1. Typical textural data for polysaccharide aerogels

aerogel	Surface area [m ² g ⁻¹]	Porosity [cm ³ g ⁻¹]	Fibril diameter [nm]
Ca-alginate	570	39	4
Alginic acid	390	38	6
chitosan from α -chitin	330	15	7
chitosan from β -chitin	150	19	16
κ -carrageenan	200	1	18

Total porosity of the alginate aerogels is about 38 cm³·g⁻¹, while chitosan has half this pore volume and the pore volume of carrageenan is 40 times smaller. Alginate and chitosan aerogels present an extremely open structure (Fig. 3, column d) and are essentially macroporous (pores with diameter larger than 50 nm). All these aerogels are highly dispersed materials with surface areas in the range 150–600 m²·g⁻¹.

The aerogel from guluronate-rich alginates presents a more compact nanostructure than the mannuronate-rich sample,^[29] in agreement with the better mechanical properties of guluronate-rich hydrogels.

4. Accessibility of the Functional Groups

The high surface area of the polysaccharide aerogels allows good accessibility to their functional groups. The assessment of this property is based on the spectroscopic^[30,31] or chemical monitoring of the interaction of probe molecules with the functional groups.^[32]

Transmission FT-IR spectroscopy has been used to monitor deuteration by D₂O vapour at room temperature of wafers of aerogels of chitosan from β -chitin^[33] since deuteration can be used as a probe of the accessibility of the materials to small polar molecules. The accessibility of the primary

amine functions of chitosan has been quantified in organic solvent by formation of a salicylaldehyde Schiff base upon treatment with salicylaldehyde. In the aerogel formulation, up to 70% of the amine groups are accessible.^[34]

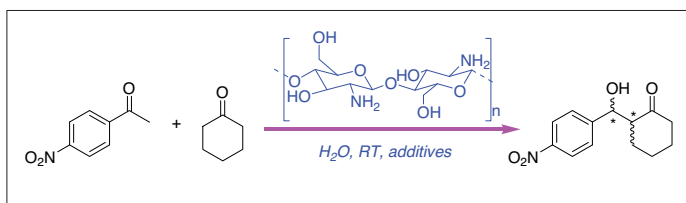
In the case of polysaccharides, supercritical drying was originally used to prepare samples for electron microscopy.^[35] Recently new fields of applications emerged like drug delivery systems,^[36] adsorbents, catalysts or luminescent materials.^[4] A few examples have been selected to illustrate some properties of polysaccharides aerogels.

5. Catalysts Based on Chitosan or Alginate

The high surface area and absence of diffusional limitations in the macroporous framework of polysaccharide aerogels, the high density and the accessibility of their functional groups (up to 5.6 mmol g⁻¹ carboxylic groups for alginic acid, 5.8 mmol g⁻¹ amino groups for chitosan, 2.8 mmol g⁻¹ sulfate groups for κ -carrageenan) make polysaccharide aerogels promising materials for catalysis.

5.1 Organocatalysis

Chitosan aerogel microspheres have been successfully applied as a heteroge-



Scheme 1. Direct aldol reaction of cyclohexanone with *p*-nitrobenzaldehyde catalyzed by chitosan.

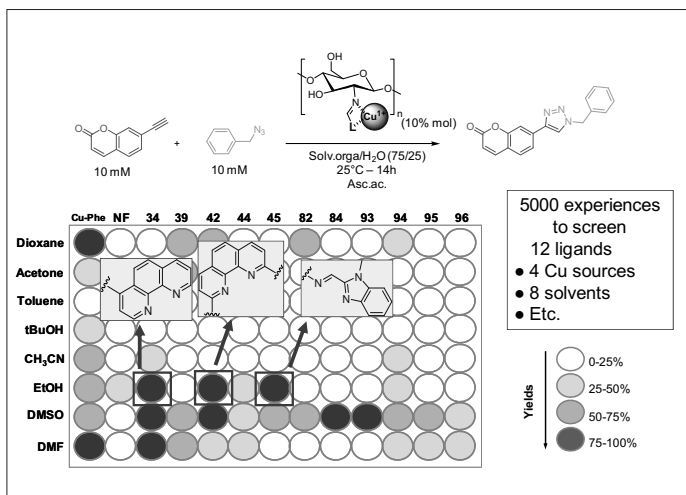


Fig. 4. Catalytic properties of Cu(I)-chitosan complex in Huisgen's cycloaddition.

neous organocatalyst^[37] in the first direct asymmetric aldol reaction that can be performed in the presence of water (Scheme 1) with high yields and good stereoselectivity (up to 93% *ee*), and good recyclability (up to four runs).

5.2 Metal-mediated Catalysis

The most straightforward route to functionalize primary amine containing materials is the formation of an imine *via* a Schiff base formation between the nitrogen and an aldehyde moiety.^[38,39] Several ligands able to coordinate copper (I) ion have been anchored to the polymer scaffold with a rate up to 100%. These compounds have been evaluated as catalyst in the [3+2]-cycloaddition reaction between azides and alkynes in the so-called Huisgen 'click' reaction.^[40] The catalysts were shaped in control size beads which allowed high-throughput experiments in order to find the more efficient solvent, catalyst, base, *etc.* (Fig. 4). Finally, the best system was chitosan functionalized with a bidentate phenanthroline ligand under mild conditions (0.1 mol%, EtOH, 4 h, 80 °C, in air, no additive, yield up to 98%). This heterogeneous catalyst can be easily removed from the batch (with spatula or tweezers) and easily recycled (4th run: yield up to 93%).

Finally, polysaccharides aerogels are good supports for the dispersion of metal nanoparticles. For instance, when reacted

with Na₂PdCl₄, the Ca ions of the alginate matrix are exchanged by Pd(II) cations. During the further steps of preparation of the aerogel, Pd cations spontaneously reduce to metallic species, and metal nanoparticles are obtained (PdNP), homogeneously and highly dispersed in the biopolymer matrix.^[41] The latter material showed high activity for Suzuki carbon-carbon coupling reactions (Fig. 5) and the stability of the catalyst allowed several reuses with only a slight loss of activity.

6. Luminescent Materials based on Ln³⁺-Alginate or Chitosan

Alginates form gels with lanthanide ions.^[42] The luminescent properties of Eu³⁺-alginate gels were investigated at 300 K for the different states from hydrogel to aerogel.^[43] Under UV radiation, all the four states behave as light emitters at room temperature (Fig. 6). The Eu³⁺-alginate aerogel and alcogel exhibit the highest ⁵D₀ quantum efficiencies (9.9 and 8.2%, respectively), while the hydrogel and xerogel have lower *Q* values (5.2 and 5.6%, respectively). The difference in the non-radiative transition probability may be rationalized on the basis of the different number of OH oscillators present in the Eu³⁺-first coordination shell (*n*_{OH} = 3.7 ± 0.1 for aerogel and alcogel and 4.8 ± 0.1 for hydrogel and xerogel).

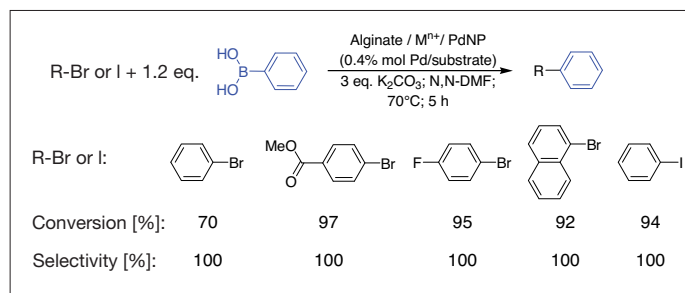


Fig. 5. Conversion of different substrates in the Suzuki reaction with 1.2 equivalents phenylboronic acid catalyzed by Ca, Pd@Alginate (2.5%), (0.4% mol Pd vs. substrate, 3 equivalents of K₂CO₃, *N,N*-DMF, 70 °C, 5 h).

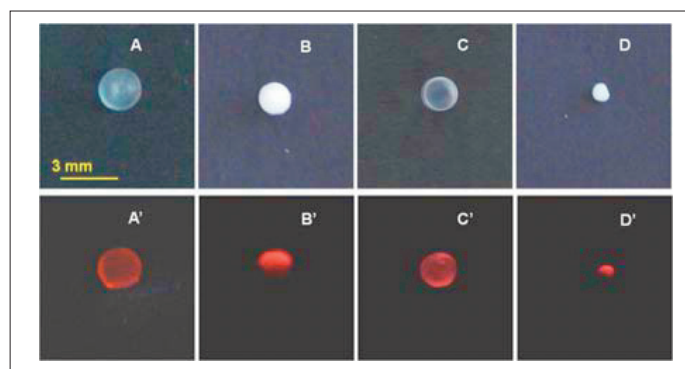


Fig. 6. Eu³⁺-Alg Beads before UV radiation (upper row) and after UV (395 nm) radiation (lower row). Hydrogel (A, A'), aerogel (B, B'), alcogel (C, C'), xerogel (D, D').

The presence of OH oscillators as well as C-H oscillators close to the lanthanides are known to decrease the quantum efficiency by non-radiative desexcitation.

Under UV radiation, the Eu³⁺-, Tb³⁺-, and Tb³⁺/Eu³⁺-based hybrids are room-temperature emitters in the visible spectral range (Fig. 7), whereas for the remaining alginates, no intra-4f photoluminescence was detected.

The excitation spectrum of the Tb³⁺/Eu³⁺-alginate hybrid (Eu/Tb: 0.90/0.10) is strongly modified when the excitation wavelength is modified. In fact this material is a multi-wavelength emitter, in which the emission color can be tuned across the chromaticity diagram by selecting the excitation wavelength (Fig. 8).

The excitation spectra of Eu³⁺ and Tb³⁺ doped chitosan hydrogel in water^[44] showed that chitosan is less effective as a support than alginate.

7. Conclusions

The attractiveness of the applications of polysaccharides as materials stems both from their availability from renewable resources and their intrinsic properties. The variety of polysaccharides with different functional groups renders them especially interesting as polymers with the surface reactivity needed for specific catalysis

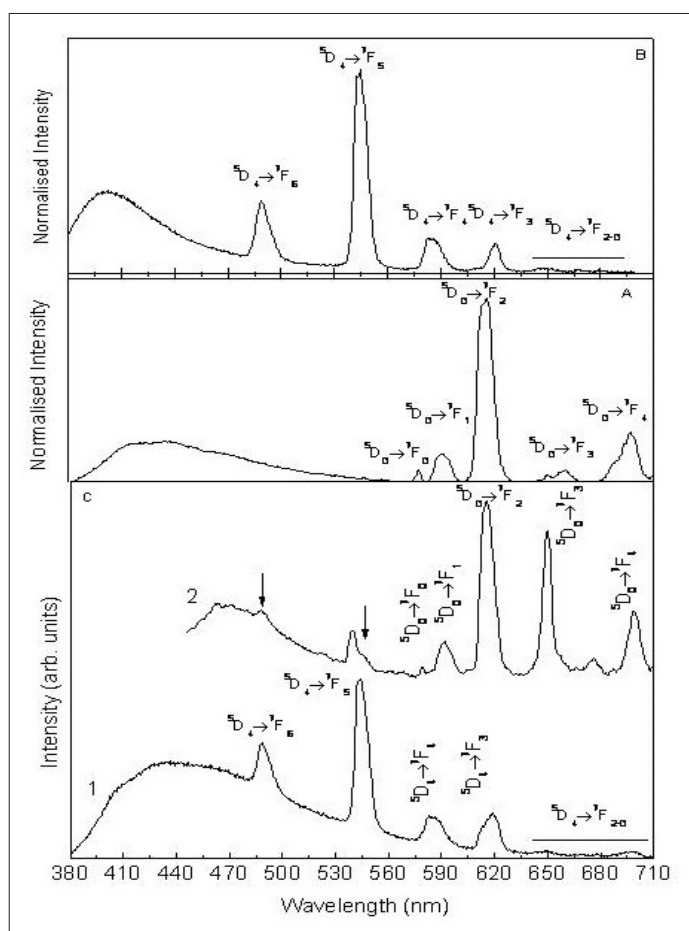


Fig. 7. Room temperature emission spectra of : A) Eu^{3+} -alg excited at 330 nm; B) Tb^{3+} -alg excited at 305 nm; C) $\text{Tb}^{3+}/\text{Eu}^{3+}$ -alg with $[\text{Tb}/\text{Eu}] = 0.90:0.10$ excited at (1) 368 nm, and (2) 395 nm (alg = alginate).

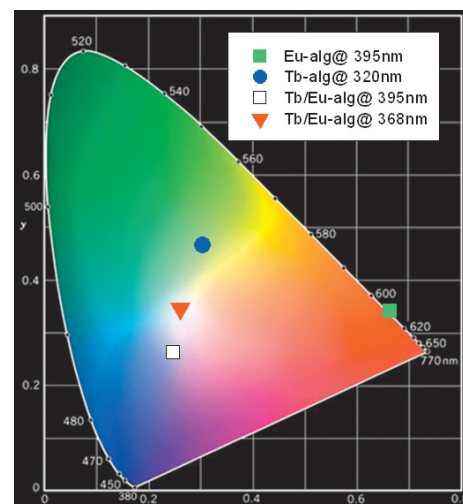


Fig. 8. CIE (1931) (x, y) chromaticity diagram showing the emission color coordinates of the Eu^{3+} -, Tb^{3+} - and $\text{Tb}^{3+}/\text{Eu}^{3+}$ -alginate hybrids under different excitation wavelengths (from ref. [43]).

processes as well as for adsorption, separation and remediation processes. The intrinsic chirality of their glucidic backbone coupled to their easy formation in different shapes and sizes are among their most important assets. It seems thus obvious that the origin of the natural resource, the state in which the gel is used, the functionalization, etc. are important parameters that influence chemical and optical properties of the final materials.

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