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3Short-term Weight Change and Fluctuation as Risk Factors for Type 2 Diabetes 4in Finnish Male Smokers

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38Abbreviations:

39ATBC Study	Alpha-Tocopherol, Beta-Carotene Cancer Prevention Study
40BMI	Body mass index
41HDL	High density lipoprotein
42RR	Relative risk
43RMSE	Root mean square error
4495% CI	95% Confidence interval
45	

47Abstract

48Risk of weight change and fluctuation for type 2 diabetes was studied in a prospective 49study among 20,952 Finnish male smokers aged 50 to 69 years. Baseline data on 50lifestyle and medical history were collected, and height and weight measured. Weight 51 was measured thrice after the baseline, one year apart. Weight change was defined as 52the slope of the regression line fitted to the four measurements and weight fluctuation 53as the root-mean-square-error deviation from this line. Incident cases of diabetes were 54identified from a national medication reimbursement register; 535 cases up to 9 years' 55 follow-up. The Cox proportional hazards model served to estimate relative risk (RR, 5695% confidence interval [CI]). Weight gain and fluctuation associated with higher 57risk for diabetes, multivariate RR = 1.77, 95% CI 1.44-2.17, for weight gain of at least 584 kg compared with those of weight change less than 4 kg, and RR = 1.64, 95% CI 591.24-2.17 in the highest weight fluctuation quintile compared to the lowest. These 60RRs remained similar when weight change and fluctuation were adjusted for each 61other. Large weight fluctuation increased the risk of diabetes both in men who gained 62weight (\geq 4 kg), had stable weight (\pm <4 kg), and lost weight (\geq 4 kg); RR = 2.17, 95% 63CI 1.60-2.94, RR = 1.47, 95% CI 1.14-1.91, and RR = 2.04, 95% CI 1.47-2.83, 64respectively, compared to those with stable weight and moderate fluctuation. Short-65term weight gain and large weight fluctuation are independent risk factors for 66diabetes.

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73Introduction

74Obesity, physical inactivity and smoking are established and modifiable risk factors 75for type 2 diabetes [1-10]. The risk for developing type 2 diabetes also increases with 76age [3, 4, 6]. When dietary and lifestyle factors were analysed simultaneously in the 77Nurses' Health Study, the most important risk factor for type 2 diabetes was a higher 78body mass index (BMI, weight (kg)/ height (m)²) [11].

79Weight gain has been associated with the risk for type 2 diabetes [10, 12-15]. 80Weight loss can prevent or delay the progression to diabetes in obese patients [16,17], 81though some studies have linked weight loss to increased risk for diabetes [18, 19]. 82Unintentional weight regain frequently follows intentional weight loss, thus leading 83easily to weight cycling, which appears to enhance subsequent weight gain and may 84predispose one to obesity [20-23]. Findings concerning the association between 85weight fluctuation or cycling and the risk for type 2 diabetes remain controversial; 86three studies have found weight fluctuation to increase the risk for diabetes [16, 18, 8724], but other three studies have found no significant association [23, 25, 26]. 88We studied the association of various weight change patterns, specified as weight 89gain, weight loss, and weight fluctuation, with the risk for type 2 diabetes in Finnish 90male smokers who participated in the Alpha-Tocopherol, Beta-Carotene Cancer 91Prevention Study (ATBC Study).

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93Methods

94The ATBC Study

95The primary aim of the ATBC Study was to examine whether supplementation with 96alpha-tocopherol, beta-carotene, or both would reduce the incidence of lung cancer

97and other cancers. The study was a randomized, double-blind, placebo-controlled 98clinical trial with a 2x2 factorial design [27, 28]. The participants were screened from 99among the total male population between the ages of 50 and 69 living in south-100western Finland (*n* = 290,406). Men were excluded if they smoked fewer than 5 101cigarettes per day, had prior cancer or a serious disease limiting long-term 102participation or used vitamin E, vitamin A or beta-carotene supplements in excess of 103predefined doses or anticoagulants. A total of 29,133 eligible men were enrolled from 1041985 through 1988, and the trial continued until April 1993 (median intervention 105time: 6.1 years), with the cohort followed up through national registers thereafter. The 106institutional review boards of the National Public Health Institute, Finland, and the 107U.S. National Cancer Institute approved the ATBC Study. All subjects provided their 108written, informed consent prior to randomization.

109Subjects and case ascertainment

110In Finland, patients needing medical treatment for diabetes are entitled to
111reimbursement for the cost of medication according to the sickness insurance
112legislation. This requires a medical certificate from the attending physician. The
113certificate of every case is verified to fulfill the diagnostic criteria for diabetes at the
114Social Insurance Institution which maintains a central register of all patients receiving
115drug reimbursement.

116This study included those men (n = 20,952) who had their weight measured at
117baseline and three times thereafter, one year apart after which the follow-up for
118diabetes began [Fig.1]. During a follow-up period of up to 9 years, 535 incident cases
119of diabetes were diagnosed.

120Data collection

121At baseline, data on medical history, smoking, alcohol consumption and physical 122activity were collected with questionnaires. Height and weight were measured, and 123BMI was calculated. Blood pressure was measured with a mercury 124sphygmomanometer from the right arm with the subject sitting, and the lower reading 125of the two measurements taken at least one minute apart was recorded. A blood 126sample was drawn and serum stored at -70°C. Serum glucose was determined with the 127enzymatic hexokinase method using an Optima analyzer (ThermoFischer, Vantaa, 128Finland). Serum total and high-density lipoprotein (HDL) cholesterol concentrations 129were determined enzymatically [29, 30].

130The participants made a follow-up visit three times annually, and weight was131measured once a year.

132Statistics

133Person years were calculated from the date of the fourth annual weight measurement 134to the date of diabetes occurrence, death, or the end of the follow-up period 135(December 1997), whichever came first. The average trend in weight change was 136assessed through the slope of the regression line fitted to four weight measurements 137taken one year apart. The slope was categorized into three classes: below -1.33 138(kg/year) for weight loss, between -1.33 and 1.33 for stable weight, and over 1.33 for 139weight gain. The 1.33 kg/year corresponded to an average weight change of 4 kg over 140three years. This was similar to a two-year net weight reduction of 2.7 kg, which 141associated with a 58% reduction in diabetes risk in the Finnish Diabetes Prevention 142Study [31].

143To examine the association of risk for diabetes with weight fluctuation, we calculated 144the root mean square error (RMSE) using annual weight deviations from the 145corresponding estimated regression line [18, 32, 33]. This variable was divided into 146quintiles. Weight fluctuation and weight change were also considered as combined 147variable, in which case the weight fluctuation was categorized into two classes: Q1-148Q4 for minor fluctuation and Q5 for large fluctuation.

149The association between weight indicators (short-term weight change and weight 150fluctuation) and the incidence of type 2 diabetes was estimated with the Cox 151proportional hazards regression as relative risks (RR) and 95% confidence intervals 152(CI). The basic model was adjusted for age and supplementation group. The model 153was further adjusted for BMI, years of smoking, number of cigarettes smoked daily, 154systolic and diastolic blood pressure, serum total and HDL cholesterol, alcohol 155consumption and leisure time physical activity (sedentary and moderate-heavy). 156Stratified analysis was performed in order to assess the possible effect modifications, 157and the significance of interactions was tested using the likelihood ratio test. 158Differences between the groups were tested using analysis of variance (ANOVA). 159Analyses were carried out with the R statistical program [34]. All *p* values were two-160sided.

161

162**Results**

163At baseline, men who gained on average at least 4 kg of weight during the subsequent
164three years, were slightly younger and had a lower serum total cholesterol
165concentration than did those whose weight was stable or who lost at least 4 kg of
166weight (Table 1). Men whose weight fluctuated the most during the subsequent three
167years (fifth quintile) were younger and less physically active during leisure time, had
168a higher BMI, but lower serum total cholesterol and HDL cholesterol levels than did
169men of the four lowest quintiles.

170The multivariate-adjusted risk for diabetes was higher in men who gained at least 4 kg 171during three years than in those whose weight was stable (RR = 1.77, 95% CI 1.44-1722.17) [Fig.2]. When compared to those with stable weight, weight loss of at least 4kg 173was not associated with the risk for diabetes (RR = 1.17, 95% CI 0.90-1.53). Neither 174BMI, number of cigarettes, years of smoking, alcohol consumption nor physical 175activity modified the association between short-term weight change and the risk for 176diabetes. Age, however, did modify the risk for diabetes (p = 0.0067 for weight gain 177and p = 0.0036 for weight loss). Among those 60 and older at baseline, weight gain as 178well as weight loss were associated with higher risk for diabetes than among those of 179stable weight (RR = 3.42, 95% CI 1.70-6.88, and 2.07, 95% CI 1.08-3.99, 180respectively), whereas among those younger than 60, no association was observed 181(RR = 1.25, 95% CI 0.90-1.73 and RR = 0.74, 95% CI 0.55-1.01, respectively).

183 The risk for diabetes in the four lowest quintiles of weight fluctuation was similar, 184whereas in the highest quintile the risk was higher than in the lowest quintile (RR = 1851.64, 95% CI 1.24-2.17) [Fig.2]. Neither age, BMI, nor number of cigarettes 186significantly modified the association between short-term weight fluctuation and the 187risk for diabetes. Leisure time physical activity did modify the risk of diabetes with 188weight fluctuation (p = 0.02); among those of light leisure time physical activity, large 189weight fluctuation was associated with increased risk for diabetes (RR = 1.95, 95% CI 1901.28-2.98 for the highest vs. lowest quintile), whereas among those with moderate or 191heavy leisure time physical activity we found no such association (RR = 1.38, 95% CI 1920.95-2.00). In addition, years of smoking modified the risk for diabetes (p = 0.04); 193among those who had smoked less than 36 years, large weight fluctuation increased 194the risk for diabetes (RR = 1.91, 95% CI 1.27-2.88), whereas among those who had

195smoked at least 36 years, the association was weaker (RR = 1.43, 95% CI 0.98-2.09). 196Finally, the association of weight fluctuation to diabetes risk was stronger in men 197who consumed on average 11g or more of alcohol daily (RR = 2.17, 95% CI 1.36-1983.45) than in men consuming less alcohol (RR = 1.58, 95% CI 1.10-2.28; p =1990.0001).

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201Both short-term average weight change (slope) and weight fluctuation remained 202independent risk factors for diabetes when simultaneously adjusted for in the 203multivariate model: RR = 1.69, 95% CI 1.37-2.07, for a weight increase of at least 4 204kg compared with stable weight, and RR = 1.55, 95% CI 1.17-2.06, for the highest vs. 205lowest weight fluctuation quintile, respectively. Weight gain of at least 4kg in three 206years, either steady or fluctuating, increased significantly the risk of diabetes about 207two-fold compared with those whose weight changed less than 4kg and fluctuated 208only moderately (Table 2). Among men whose weight changed less than 4kg, large 209weight fluctuation increased the risk of diabetes 1.47-fold, 95% CI 1.14-1.91. Steady 210loss of weight of at least 4kg was associated non-significantly with decreased risk of 211diabetes, RR = 0.73, 95% CI 0.47-1.15. However, if weight loss was associated with 212large weight fluctuation, the risk of diabetes was two-fold compared with those of 213stable weight and moderate fluctuation, RR = 2.04, 95% CI 1.47-2.83 (Table 2).

215**Discussion**

216Short-term weight gain and fluctuation associated with a higher risk for diabetes. The 217observation is in line with those of other epidemiological studies [10, 15]. In the 218Health Professionals Follow-up Study, weight gain during the preceding ten years was 219associated with a 1.9 times higher risk for diabetes (95% CI 1.4-2.7) among those

220who gained 9 kg or more of weight than among those with a stable weight (\pm 2 kg) 221[15]. A parallel observation resulted in the National Health and Nutrition Examination 222Survey Epidemiologic Follow-up Study, where participants who gained weight at 223least 5 kg during 9 years had up to 3.85 times the risk for diabetes compared with 224participants whose weights remained relatively stable [10].

225

226An average weight loss of 4 kg in three years was not associated with a lower risk for 227incident diabetes. This differs from the findings of several prospective cohort and 228intervention studies where even modest weight loss was associated with a 229significantly reduced risk for diabetes [12, 31, 35-39]. In the Finnish Diabetes 230Prevention Study a net loss of 3.5 ± 5.5 kg by the end of the second year decreased 231risk of diabetes for 58 % [31]. In the Nurse's Health Study women who lost more than 2325.0 kg reduced their risk for diabetes at least 50% compared to those who maintained 233a steady weight [12]. In the Diabetes Prevention Program (DPP) study, a 5 kg weight 234loss accounted for a 55 % reduction in risk of incident diabetes over the mean of 3.2 235years of follow-up [38]. Participants with intentional weight loss in the Cancer 236Prevention Study had a reduction of incident diabetes of about 25% compared with 237participants with no-intentional weight loss [39]. The unintentional weight loss may 238be an effect of diabetes per se, not a cause of diabetes.

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240We found that age did modify significantly the association between weight loss and 241risk of diabetes. Among men 60 years or older weight loss is associated with 242increased risk, whereas among men younger than 60 years no such an association was 243evident. In the Iowa Women's Health Study of women aged 55-69 years and in a

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244Swedish study of middle-aged women, weight loss associated with increased risk of 245diabetes, but effect modification by age was not studied in these materials [18, 19]. 246

247The association of weight loss in our study was, however, different depending on 248whether the weight decreased smoothly or fluctuating. If the weight diminished 249smoothly, the risk of diabetes was decreased, though not significantly (RR = 0.73, 25095% CI 0.47-1.15), which is in line with other studies. The small number of men with 251smooth weight loss may be the reason why the decrease in risk remained non-252significant. If the weight loss was associated with large weight fluctuation, it was 253associated with increased risk of diabetes.

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255Our results support the hypothesis that weight fluctuation is associated with higher 256risk for diabetes. In the Iowa Women's Health Study, weight cycling (an increase of 257≥ 10% of body weight during a ten-year period and a loss of ≥ 10% of weight during 258another ten-year period, regardless of order) increased significantly the risk for 259diabetes (RR = 1.70, 95% CI 1.25-2.29) [18]. A similarly increased risk was found in 260an adult community where the adjusted rate ratio for diabetes risk was 1.7 (p < 0.01) 261for the weight fluctuation (both weight gain and losses of 4.5kg between ages 40 to 60 262vs. all others) [16]. In an other cohort study among women, an index of weight 263fluctuation based on weights in different phases of life associated with diabetes with 264standardized odds ratio of 1.10 (95% CI 1.07-1.14) [24]. Supportive evidence comes 265from the Baltimore Longitudinal Study of Aging, where body weight variability 266associated with a decrease in glucose tolerance [32].

267Some studies, however, have found no association between weight fluctuation and the 268risk for diabetes. In a six-year follow-up of the Nurses' Health Study II, neither mild

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269nor severe weight cycling predicted diabetes [23]. In a prospective study among Pima 270Indians in Arizona, weight fluctuation was not associated with the incidence of 271diabetes in either sex [25]. In the Johns Hopkins Precursors Study the variability in 272BMI before age 50 predicted incident diabetes only marginally [26].

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274Leisure time physical activity modified the association between weight fluctuation 275and risk of diabetes. Large weight fluctuation increased the risk of diabetes two-fold if 276only light exercise were taken during leisure time whereas no association was found if 277exercise was moderate or heavy. This is in keeping with the knowledge that physical 278activity can prevent diabetes. Regular exercise induces various adaptations in the 279body resulting in improved muscle and whole body insulin sensitivity, fuel 280partitioning and cardiovascular function leading to prevention of metabolic disease 281[40, 41].

282The strengths of our study include the prospective study design, with up to 9-year 283follow-up for incident diabetes, and data available for several possible risk factors. 284Weight and height were measured by trained staff at study centres, not self-reported. 285The cases were retrieved from a nationwide register with strict criteria for inclusion. 286The trial supplementation had no effect on the incidence of diabetes [42].

287Some limitations should be kept in mind, however, when interpreting these results. 288We were able to identify only patients receiving medication for treatment of diabetes, 289not individuals treating their disease with dietary changes. This will attenuate our 290estimate between weight indicators and risk of diabetes towards unity. The drug 291reimbursement register for diabetes contains no data on the type of diabetes. 292However, almost all of our diabetes cases would be of type 2 diabetes based on the 293age of the participants at baseline: 50 to 69 years [43]. Some [44, 45] but not all

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294studies [46] suggest that smoking cessation may associate with lower risk of diabetes. 295About 21 % of the ATBC participants stopped smoking during the trial [28]. But we 296do not have smoking data from drop-outs and post-trial follow-up. Thus the possible 297effects of smoking cessation on our results remain unclear.

298For short-term weight change, we had only four weight measurements at intervals of 299one year and were thus unable to detect weight variations occurring over a shorter 300period of time. No information on intentionality of weight changes was recorded. In 301addition, RMSE may have misclassified participants with continuous non-linear 302weight gain without real weight fluctuation [47].

303 The ATBC Study was designed to test whether alpha-tocopherol or beta-carotene 304could reduce the incidence of lung or other cancers in male smokers, thus our results 305may not be generalizable to women or non-smokers.

306

307Globally, over one billion adults are overweight, of whom at least 300 million are 308obese [48]. If epidemic obesity increases worldwide, the disease burden of type 2 309diabetes and cardiovascular diseases will be enormous. Our results confirm that even 310a short-term weight gain is a risk factor for type 2 diabetes. They also contribute to 311previous work by demonstrating a significant association between weight fluctuation 312and higher risk for diabetes. The association between weight fluctuation and risk for 313diabetes may raise concerns about health-promoting weight-control programs that 314could result in such cycling. A key target could then be to maintain not only a normal, 315but a stable body weight in order to optimally lower the risk for diabetes.

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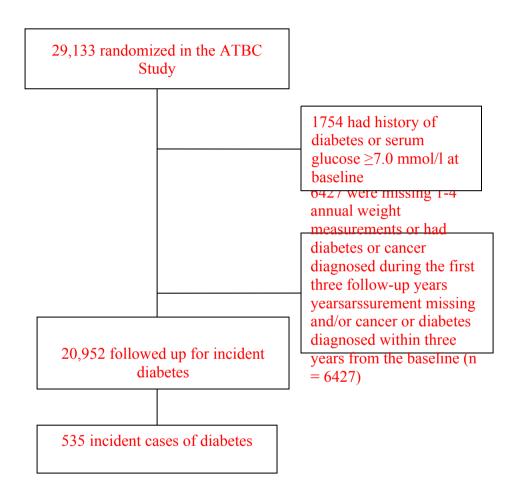
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478Title to Figure 1.
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480Figure 1. Diagram of flow of participants through the study
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487Title to Figure 2

Figure 2. Relative risk and 95% confidence interval for diabetes by average weight change and weight fluctuation (RMSE) during 489three subsequent years in the ATBC Cancer Prevention Study cohort.

491Footnote to Figure 2

492Adjusted for age, intervention (alpha-tocopherol, beta-carotene, both, and placebo), body mass index, smoking, blood pressure, serum total and 493high density lipoprotein cholesterol, alcohol consumption and leisure time physical activity.

494The root mean square error (RMSE) is calculated using annual weight deviations from the corresponding estimated regression line and this 495variable is divided into quintiles (Q). Q1: -0.54, Q2: 0.55-0.84, Q3: 0.85-1.17, Q4: 1.18-1.67, Q5: 1.68.

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508Title to Table 1.
509 Table 1 Trial baseline characteristics by weight changes during the subsequent three years in the ATBC Cancer Prevention Study
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22Characteristic ^a	Average	Average weight change ^b (kg)			Quintile of weight fluctuation ^c (kg)			
523 524	Loss (≥ 4)	Stable ($\pm < 4$)	Gain (≥ 4)	-0.54	0.55-0.84	0.85-1.17	1.18-1.67	1.68-
25 27 ⁿ	2,108	15,347	3,497	4,248	4,244	4,224	4,163	4,073
Age, y	57.6	56.9	56.2	57.1	56.9	57.2	56.8	56.4
Weight, kg	82.5	77.1	79.5	75.6	76.5	76.9	78.9	82.8
BMI, kg/m ²	27.1	25.6	26.2	25.3	25.5	25.6	26.0	27.1
Number of cigarettes/day	20	20	20	20	20	20	20	20
Smoking years	37	36	35	36	36	36	36	35
Systolic blood pressure, mmHg	142	140	140	140	140	140	140	140
Diastolic blood pressure, mmHg	90	88	88	86	88	86	88	88
Serum cholesterol, mmol/l	6.30	6.20	6.08	6.21	6.20	6.20	6.18	6.16
Serum HDL cholesterol, mmol/l	1.11	1.16	1.16	1.17	1.17	1.16	1.15	1.11
Alcohol consumption, g/day	11	11	11	10	11	11	11	11
Leisure time physical activity, moderate or heavy, %	55	62	57	62	63	62	59	56

528Footnote to Table 1

529 ^a Medians or proportions

530 ^bWeight change is based on the slope of the regression line fitted to the four weight measurements taken one year apart

531 ° Weight fluctuation is the RMSE around the slope

534Title to table 2 **Table 2**. Risk for diabetes by weight change and weight 538 fluctuation in three years, in the Alpha-Tocopherol, Beta-Carotene 540(ATBC) Cancer Prevention Study cohort.

368										
569	Weight change	Weight fluctuation								
570		Stable (quintiles 1-4 ^a)			Large (quintile 5 ^a)					
571		<u>cases</u>	person yea	urs RR	<u>95% CI</u>	cases	person years	RR	<u>95% CI</u>	
572	Stable ($\pm < 4$ kg)	226	93,816	1.00 (re	eference)	84	16,283	1.47	1.14-1.91	
573	Gain (≥4kg)	91	17,408	1.86 1	.45-2.39	63	7,281	2.17	1.60-2.94	
574	Loss (\geq 4kg)	23	9,451	0.73 0).47-1.15	48	4,874	2.04	1.47-2.83	
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587Footnote to table 2.
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589Adjusted for baseline age, intervention (alpha-tocopherol, beta-carotene, both and 590
591placebo), BMI, smoking, blood pressure, serum total and HDL cholesterol, alcohol 592
593consumption and leisure time physical activity

594^a The total number of participants is 20,952 divided in quintiles.