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Migration measurement and modelling from poly(ethylene terephthalate) (PET) into softdrinks and fruit juices in comparison with food simulants

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Migration measurement and modelling from poly(ethylene terephthalate) (PET) into soft drinks and fruit juices in comparison with food simulants

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Abstract

Poly(ethylene terephthalate) (PET) bottles are widely used for beverages. Knowledge about the migration of organic compounds from the PET bottle wall into contact media is of interest especially when post-consumer recyclates are introduced into new PET bottles. Using migration theory the migration of a compound can be calculated if the concentration in the bottle wall is known. On the other hand, for any given specific migration limit or maximum target concentration for organic chemical compounds in the bottled foodstuffs, the maximum allowable concentrations in the polymer C_{P,0} can be calculated. Since a food simulant cannot exactly simulate the real migration into the foodstuff or beverages, a worse-case simulation behaviour is the intention. However, if the migration calculation should not be too over-estimative, the polymer specific kinetic parameter for migration modelling, the so-called A_P value, should be established appropriately. One objective of the study was the kinetic determination of the specific migration behaviour of low molecular weight compounds such as solvents with relatively high diffusion rates and therefore with high migration potential from the PET bottle wall into food simulants in comparison with real beverages. For this purpose model contaminants were introduced into the bottle wall during pre-form production. The volatile compounds toluene and chlorobenzene were established at concentrations from about 20 to 30 mg/kg up to 300 to 350 mg/kg. Phenyl cyclohexane was present at concentrations of 35 mg/kg, 262 mg/kg and 782 mg/kg, respectively. The low volatile compounds benzophenone and methyl stearate have bottle wall concentrations of about 100 mg/kg in the low spiking level up to about 1000 mg/kg in the highly spiked test bottle. From these experimental data the polymer specific parameters (A_P values) from mathematical migration modelling were derived. The experimental determined diffusing coefficients were determined, calculated and compared with literature data and an A_P' value of 1 was derived thereof for non-swelling food simulants like 3% acetic acid, 10% ethanol or *iso*-octane. For more swelling condition e.g. 95% ethanol as food simulant an A_{P} ' value of 3.1 seems to be suitable for migration calculation. In relation to PET recycling safety aspects, maximum concentrations in the bottle wall were established

for migrants / contaminants with different molecular weights, which correspond with a migration limit of 10 μ g/kg. From the experimental data obtained using food simulants and in comparison with beverages, the most appropriate food simulant for PET packed foods with a sufficient but not too over-estimative worse case character was found to be 50% ethanol. In addition, it can be shown that mass transport from PET is generally controlled by the very low diffusion in the polymer and, as a consequence, partitioning coefficients (K_{P/F} values) of migrants between the polymer material and the foodstuff do not influence the migration levels significantly. An important consequence is that migration levels from PET food contact materials are largely independent from the nature of the packed food which on the other hand simplifies exposure estimations from PET.

Keywords

PET, food packaging, diffusion, migration modelling, compliance testing, exposure estimation, soft drinks, food simulants

Introduction

Poly(ethylene terephthalate) (PET) is widely used for bottled beverage since several years. Mechanical stability, a low permeability for moisture and carbon dioxide and a high resistance to absorb food ingredients and flavours are the major advantages of PET in comparison to other packaging plastics. The high market share, which corresponds to high recovery rates, together with the high chemical inertness makes PET also the most favourite polymer for closed loop (bottle-to-bottle) recycling. Using the bottle-to-bottle recycling processes the post-consumer recycled PET is coming into direct contact with the beverages in the recyclate containing bottle. This might lead to the unwanted migration of post-consumer compounds from the bottle wall into the foodstuff. Therefore the recycling processes used for bottle-to-bottle recycling have to be very effective in the reduction of the concentrations of chemical components absorbed into the bottle wall during the first use of the material. The concentrations of post-consumer compounds in post-consumer recyclates have to be reduced to concentrations levels found in virgin PET. On the other hand, new developments in functional additives for PET, e.g. additives reducing the concentration of acetaldehyde in the bottle wall, UV absorption additives or oxygen scavenging agents, are increasing the concentration of PET untypical compounds in the bottle wall, which leads in addition to an increase of such compounds in the closed-loop recycling of PET. Knowledge about the migration of organic compounds from the PET bottle wall into contact media is therefore of interest for the use of postconsumer recyclates in new bottles applications. This may simplify in the future the usual challenge test regimen which are applied today to determine the cleaning efficiency of super-clean recycling processes (Franz 2004a) in order to establish safety for recycled PET containing food containers.

Due to their higher diffusion rates small molecules like solvents are absorbed into the bottles to a higher amount than high molecular weight compounds. Therefore the concentration of low molecular weight compounds are found to be much higher compared to larger molecules (Franz 1998, 1999, 2002, 2003, Bayer 1994, 2002, Welle 2007). On the other hand, migration of a chemical compound into the foodstuff

is directly proportional to the concentration of the migrant in the bottle wall (Piringer 2000, 2001, 2007). Furthermore, for any given specific migration limit or maximum target concentration for organic chemical compounds in the bottled foodstuff, the maximum allowable concentrations in the polymer $C_{P,0}$ can be calculated when the kinetic parameter for migration modelling, the so-called A_P value is reliably known (Begley 2005). The A_P value defines the basic diffusivity in a certain polymer at a given contact temperature. Such a calculation is extremely useful for the fast and economic evaluation of trace compounds in food packaging materials. Regarding PET recycling, the maximum concentration of post-consumer compounds in the foodstuff is generally accepted to be 10 µg/kg (FDA 1992, ILSI 1998, BgVV 2000, FDA 2006). On the other hand, in France a migration limit of 1.5 µg/kg is applied for post-consumer compounds in PET (AFFSA 2006).

Since PET has very low diffusion characteristics and is generally produced without huge amounts of additives there are by far not so much migration data available as for other plastics such as polyolefins. As a consequence, the migration modelling parameters which are today established and usually applied are based on fairly small data sets. Therefore, the recognised migration model (Begley 2005) for PET had to be designed such that it is sufficiently conservative. In fact, recent findings indicated (i) that for PET the model might be over-conservative and (ii) that 95% ethanol as an alternative fatty food simulant would cause significant interactions with the PET material thus leading to too exaggerated migration values compared to the fat simulant olive oil (Begley 2004). In conclusion, for PET there was not enough knowledge available concerning a satisfying answer to the question which food simulant would simulate at best foodstuffs, in particular fatty ones. Even more, the guestion was raised whether different food simulants would make sense at all for PET from which practically only diffusion controlled migration takes place and from which effective migration rates are therefore largely independent from the food simulant as long as a certain solubility is given (Franz 2005).

One objective of the study was the kinetic determination of the specific migration behaviour of low molecular weight compounds such as solvents with relatively high diffusion rates and therefore with high migration potential from the PET bottle wall into food simulants in comparison with real beverages. For this purpose model contaminants were introduced into the bottle wall during pre-form production. It was expected that in this way reliable migration data from PET would be accessible as a basis for better deriving the polymer specific parameters (A_P values) from mathematical migration modelling to allow prediction of migration into PET packed beverages. Furthermore, an other objective was to establish data which would allow to conclude on the most appropriate food simulant for PET packed foods. In addition, in relation to PET recycling safety aspects, maximum concentrations in the bottle wall were established for migrants / contaminants with different molecular weights, which correspond with a migration limit of 10 µg/kg.

Materials and Methods

Model contaminants for spiking PET test bottles

The contaminants or surrogates chosen for this migration study are in accordance with US FDA (FDA 1992, FDA 2006) and in agreement with EU-relevant criteria (ILSI 1998, BgVV 2000, Franz et al 2004a, AFFSA 2006) such that they covered the whole spectrum of physical / chemical properties. The surrogates are in compliance with the four general categories of organic compounds: high volatile and polar, high volatile and non-polar, low volatile and polar as well as low volatile and non-polar. The following surrogates were used for spiking of the test bottles: Toluene (CAS No. 108-88-3), chlorobenzene (CAS No. 108-90-7), phenyl cyclohexane (CAS No. 827-52-1), benzophenone (CAS No. 119-61-9) and methyl stearate (CAS No. 112-61-8).

Manufacturing of spiked PET test bottles

The neat surrogates were given to thoroughly dried virgin PET pellets. The contaminated batches were kept under nitrogen atmosphere for 38 °C for 7 d with periodical agitation. The contaminated material was then used without further predrying for manufacturing of 24.5 g PET preforms. The preforms were shipped to a

bottle manufacturing plant where they were blown to 0.3 I PET bottles. Subsequently the bottle wall concentrations of the surrogates in the spiked test bottles were determined.

Determination of the bottle wall concentrations of the spiked PET test bottles

The PET bottles were cut into pieces of about 0.5 x 0.5 cm. 1.0 g of the PET sample was placed in a 5 ml glass vial. 1.0 ml 1,1,1,3,3,3-hexafluoro-*iso*-propanol (HFIP) was given to the PET material and stored for 1 d at 60 °C. The swollen polymer was extracted with 2.0 ml *iso*-propanol for 1 d at 60 °C. The extract was decanted from the polymer and stored for overnight at 4 °C. Subsequently the extracts were decanted from the precipitate and analysed by GC/FID. Gas chromatograph: HP 5890II, column: SE 10, length: 30 m inner diameter: 0.32 mm, film thickness: 0.32 μ m, temperature program: 40 °C (5 min), rate 15 °C min⁻¹, 240 °C (15 min), pressure: 50 kPa hydrogen, split: 10 ml min⁻¹. Quantification was achieved by external calibration using standard solutions of different concentrations of the surrogate.

Softdrinks, juices and food simulants used for the migration kinetics

The migration of the surrogates was determined into the food simulants 3% acetic acid, 10% ethanol, 50% ethanol and 95% ethanol. In addition to the food simulants the migration of the model compounds into real beverages and juices was determined. The following beverages and juices were used for the migration tests: Orange juice (with pulp), apple juice (cloudy), apple juice (clear), vitamin ACE juice, flavoured water 1 (apple kiwi), flavoured water 2 (peach) and a soft drink (cola). The beverages were purchased in local supermarkets and filled into the spiked test bottles (volume: 300 ml, calculated food contact area 0.28 dm²). In order to avoid microbiological contamination the beverages were spiked with sodium azide at a level of 0.01%. It can be expected that this sodium azide concentration would not affect the migration behaviour of the test systems.

Specific migration testing

For migration testing, the bottles were filled with the food simulants. Two bottles were filled for each surrogate bottle wall concentration level and food simulant / beverage. The bottles were sealed with HDPE closures with an aluminum film on the contact surface in order to prevent sorption of the surrogates into the closures. Subsequently the bottles were stored in a climate chamber at 40 °C. After 3 d, 6 d, 10 d, 20 d and 30 d an aliquot of 50 ml of the food simulant and beverages were drawn. After drawing the aliquots, the bottles were refilled with the same amount of food simulant or beverage. The inherent dilution effect was considered in the calculation of the effective concentration of the surrogates from the measured values.

Determination of the model contaminants in the migrations solutions

The concentration of the volatile and medium volatile surrogates toluene, chlorobenzene, phenyl cyclohexane in the food simulants was determined using purge and trap gas chromatography with flame ionization detection (FID). Sample preparation: a) 3% acetic acid: 5 ml of the 3% acetic acid migration solution was neutralized with 1 ml of 20% caustic soda (NaOH) solution in water. b) 10% ethanol: 10 ml of the 10% ethanol migration solution. c) 50% ethanol: 5 ml of the 50% ethanol migration solution was diluted with 5 ml of distilled water. d) 95% ethanol: 2 ml of the 95% ethanol migration solution was diluted with 8 ml of distilled water. e) real beverages (juices, cola): 1 ml of the migration solution was diluted with 9 ml of distilled water. f) real beverages (flavoured water): 10 ml of the migration solution was transferred without dilution. Subsequently all solutions were analysed by purge and trap gas chromatography (FID). Method: Gas chromato-graph: Carlo Erba 5300 Mega, column: ZB 624, length 60 m, inner diameter 0.32 mm, film thickness 1.8 µm, carrier gas: 120 kPa helium, Temperature program: 35 °C (6 min), rate 5 °C min⁻¹, 90 °C (0 min) rate 10 °C min⁻¹, 260 °C (15 min). Purge and trap conditions (PTA 3000): Sample temperature 40 °C, purge time: 20 min, purge flow: 20 ml min⁻¹, trap temperature: -65 °C, desorption temperature: 200 °C, desorption time: 7 min, water

trap: MWT, Tenax® trap. Quantification was achieved by external calibration using standard solutions of the surrogates.

The concentrations of benzophenone were determined in the food simulants 3% acetic acid and 10% ethanol. The migration solutions were analysed directly by HPLC (UV). HPLC Equipment Dionex: Column: Phenomenex Synergi Fusion RP $150 \times 2.0 \text{ mm}$, 4 µm particle size, mobile phase: 60% acetonitrile and 40% water, isocratic elution, flow: 0.3 ml min⁻¹, oven temperature: 25 °C, UV detection at 254 nm, injection volume: 10 µl. Quantification was achieved by external calibration using standard solutions of benzophenone.

The concentrations of methyl stearate were determined after extraction of the migration solutions with *n*-hexane. Subsequently the extracts were analysed by gas chromatography with mass spectrometry detection in the single ion mode. GC/MS system: Shimadzu QP 5000, column: DB VRX, length: 30 m, inner diameter: 0.32 mm, film thickness: 1.8 μ m. Temperature program: 40 °C (5 min), rate 15 °C min-1, 250 °C (15 min), pressure: 64 kPa hydrogen, splitless, interface temperature: 260 °C, injector temperature: 250 °C. Quantification ion: m/z = 74, verification ion: m/z = 87. Quantification was achieved by external calibration using standard solutions of methyl stearate in *n*-hexane.

Detection limits

The detection limits were determined according to DIN 32645 (DIN 1994). The detection limits for the determination of the surrogates in the bottle wall and in the migration solutions are given in Table 1.

Place here Table 1

Headspace screening of the spiked test bottles for verification purposes

1.0 g of the PET was weighed into a headspace vial and analysed via headspace gas chromatography (FID). Gas chromatograph: Perkin Elmer AutoSystem XL,

column: ZB 1, length: 30 m, inner diameter: 0.25 mm, film thickness: 0.32 μ m, temperature program: 50 °C (4 min), rate 20 °C min⁻¹, 320 °C (15 min), pressure: 50 kPa helium, split: 10 ml min⁻¹. Headspace autosampler: Perkin Elmer HS 40 XL, oven temperature: 200 °C, needle temperature: 210 °C, transfer line: 210 °C, equilibration time: 1 h, pressurization time: 3 min, injection time: 0.02 min, withdrawal time: 1 min. The identification / characterisation was achieved by coupling the headspace gas chromatography to a mass spectrometer. Gas chromatograph: Hewlett Packard 6890, column: Optima 1 MS, length: 30 m, inner diameter: 0.25 mm, film thickness: 0.25 μ m, temperature program: 50 °C (4 min), rate 20 °C min⁻¹, 320 °C (15 min), full scan, m/z 40-500. Headspace autosampler: Perkin Elmer HS 40 XL, oven temperature: 200 °C, needle temperature: 210 °C, transfer line: 210 °C, transfer line: 210 °C, equilibration time: 1 h, pressurization time: 3 min, injection time: 0.04 min, withdrawal time: 1 min. The mass spectra that were obtained were identified by comparison with spectra in the NIST spectral library.

Experimental determination of diffusion coefficients

The diffusion coefficients for the applied surrogates were calculated from the experimental migration kinetics data for the infinite case according to equation 1 (Piringer 2007).

Place here equation 1

Migration modelling

In addition to the experimental migration test, a migration model based on diffusion coefficient estimation of organic chemical substances in polymers has been used (Piringer 2000). This model has been validated within the EU project SMT-CT98-7513 (Piringer 2001, Begley 2005). The calculation of the migration was performed using the MIGRATEST® Lite 2001 software (Fabes GmbH, Munich, Germany).

Results

Spiking levels of test bottles

The five model compounds were spiked into the test bottles at three concentration levels. This was achieved by introducing the model compounds on the PET pellet level before preform manufacture. After contamination of the virgin PET pellets, the spiked material was introduced directly into the preform production process. In this way a homogeneous distribution of the model compounds in the bottle wall was ensured. Application of different contamination levels allows an extrapolation of the experimental migration data to other concentration levels, due to the fact that migration of a compound into a contact media is directly linked to the concentration of the compound in the bottle wall (Piringer 2000, 2001, 2007). After the bottle manufacturing process, the concentrations of the model compounds in the bottle wall were determined by gas chromatographic analysis. The volatile compounds toluene and chlorobenzene were established at concentrations from about 20 to 30 mg/kg up to 300 to 350 mg/kg. Phenyl cyclohexane was present at concentrations of 35 mg/kg. 262 mg/kg and 782 mg/kg, respectively. The low volatile compounds benzophenone and methyl stearate have bottle wall concentrations of about 100 mg/kg in the low spiking level up to 974 mg/kg and 1029 mg/kg, respectively, in the highly spiked test bottle.

In addition to the quantitative determination by solvent extraction, the spiked PET test bottles were analysed by headspace gas chromatography for verification purposes. The applied method is generally used for screening of post-consumer PET recyclates (Franz 2004b). In the virgin PET reference sample only PET typical compounds like acetaldehyde (retention time $R_t = 1.7 \text{ min}$), 2-methyl-1,3-dioxolane ($R_t = 2.4 \text{ min}$) and ethylene glycol ($R_t = 2.7 \text{ min}$) could be determined (Figure 1). Acetaldehyde is a thermal degradation product of poly(ethylene terephthalate) whereas ethylene glycol is one of the monomers. 2-Methyl-1,3-dioxolane is the condensation product of acetaldehyde and ethylene glycol, which was generated during the preform production process in the PET melt phase. In the spiked samples

the artificially introduced surrogates were identified by mass spectrometry as well as by comparison of the retention time of neat standard substances: toluene ($R_t = 3.6 \text{ min}$), chlorobenzene ($R_t = 4.4 \text{ min}$), phenyl cyclohexane ($R_t = 10.7 \text{ min}$), benzophenone ($R_t = 12.8 \text{ min}$) and methyl stearate ($R_t = 15.7 \text{ min}$).

place here Figure 1

Specific migration of the surrogates into softdrinks, juices and food simulants

The specific migration of the model compounds toluene, chlorobenzene and phenyl cyclohexane into the food simulants as well as the investigated beverages and juices were determined by purge and trap gas chromatography .The great advantage of this method is that the migration solutions can be directly and extremely sensitively analysed without additional sample preparation. However, high alcoholic simulants (50% and 95% ethanol) have to be diluted with distilled water prior to the purging the analytes. This method enables detection limits in the range of approximately 1 μ g/kg (Table 1).

Table 2 to Table 4 summarize the specific migration results of the surrogates toluene, chlorobenzene and phenyl cyclohexane for the different applied bottle wall concentrations into the food simulants. For the highest concentration level of the surrogates in the spiked PET test bottles, the specific migration was also measured into real beverages. These results for toluene and chlorobenzene are given in Table 5 and Table 6. The concentrations for phenyl cyclohexane was below the analytical detection limit of 1.2 μ g/kg up to a storage time of 30 d at 40 °C. The migration kinetics for the highest spiking levels are visualised in Figure 2 to Figure 6 for toluene and chlorobenzene as a function of the square root of time to better visualize the relationships. Since the vitamin ACE juice shows the highest migration among all investigated beverages, the migration into the ACE juice is shown for comparison reasons in the graphs for the food simulants as well.

The migration kinetics into the beverages followed in every case the expected linear behaviour between the square root of time and the concentration. For the low

molecular weight surrogates toluene and chlorobenzene the highest migration rates were observed. The vitamin ACE juice shows the highest migration, followed by orange juice and the flavoured water samples. The migration of toluene and chlorobenzene into cola and the two apple juices was determined to be lower than for the other investigated beverages. One reason for the higher migration into ACE juice might be the pulp fraction. For example Paseiro et al (2008) found a 2.5 times higher migration of diphenylbutadiene from LDPE into orange juice if the orange juice contains pulp.

Place here Table 2

Place here Table 3

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Place here Figure 2

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Place here Figure 6

Measured values versus migration modelling

The experimental results of this study represent valuable data which can be used to refine current migration models. These migration models which are based on the estimation of the diffusion coefficient D_P in the polymer as the migration rate limiting step (Begley 1993, 2005, Baner 1996, Piringer 2001, 2007) are currently used for the conservative calculation of the migration of compounds from the bottle wall into liquid contact media, in particular into liquid food simulants. Starting from the known or

(comfortably) measurable concentration of a given compound in the bottle wall, the migration can be calculated under consideration of the application conditions such as the storage time and temperature, fat or alcohol concentration of the product, contact area of the bottle and volume of the bottled drink. With the current model, the crucial parameter for modelling is the polymer specific A_P value which characterizes the polymer typical diffusion behaviour and which can be used to comfortably calculate the diffusion coefficient D_P of a given organic molecule in a given polymer as a function of the molecular weight of the molecule and the temperature (Equation 2)

place here Equation 2

In general, soft polymers such as low density polyethylene (LDPE) with higher diffusion constants are characterised by higher A_P values whereas stiff chain polymers such as polyesters with lower diffusion constants have lower A_P values. Where A_P varies with temperature, A_P is introduced as a temperature independent term. It should also be noted that this concept was designed statistically such that it estimates upper-bound values and therefore leads to an over-estimative migration calculation. An over-estimative model is necessary from a consumer protection and legislation point of view. Both, the parameter τ and the constant 10454, contribute to the diffusion activation energy $E_A [kJ mol^{-1}] = R (10454 + \tau)$. From literature data it was concluded that $\tau = 0$ K for many polymers. When, as an example, setting $\tau = 0$ K as a first approximation for LDPE than follows $E_A = 86.92 \text{ kJ mol}^{-1}$. This is in good agreement with the mean value of $E_A = 87 \text{ kJ mol}^{-1}$ found from literature data (Mercea 2000a, 2000b). For other important groups of plastics relevant to food packaging, e.g. high-density polyethylene (HDPE) and polyethylene terephthalate (PET), a higher activation energy is generally observed. For $\tau = 1577$ K which is currently applied in the migration model in these cases, a good mean value for these matrices $E_A = 100 \text{ kJ mol}^{-1}$ is obtained. Nevertheless, it is known that in a given polymer and temperature range different migrants has different diffusion activation energies E_A (Feigenbaum 2005, Dole 2006a, 2006b). For simplifying reasons, in migration modelling the polymer related mean values are used with the consequence that over-estimation occurs and varies depending on the real activation energy. The

margin of overestimation is finally a function of the polymer and is generally higher in cases of lower diffusion e.g. high molecular weights migrants or non polyolefins.

Concerning migration modelling from PET, currently $A_P' = 6$ in combination with $\tau = 1577$ K with an application temperature up to 175 °C has been established (Begley 2005) and is generally recognised for worse case migration modelling. Recent activities coordinated by the European Commission to update and refine migration models have proposed to adapt $A_P' = 3.1$ ($\tau = 1577$ K) for temperatures below of 70 °C and $A_P' = 6.4$ ($\tau = 1577$ K) for temperatures above 70 °C (EU JRC 2006):

The above described migration model was applied to each of the migrants used in this study such that for three different A_{P} values (6, 4 and 1) migration curves were established and plotted against the measured values. This comparison of the experimental migration results and the calculated migration for the three different A_{P} values are shown exemplaric for toluene, chlorobenzene and phenyl cyclohexane in Figure 7 to Figure 9. In all cases it gets evident that $A_{\rm P}' = 6$ leads to a high overestimation of the real situation. 95% ethanol which from its polarity can be expected to be the most aggressive contact medium for PET (out of the ones applied in this study) shows a good correlation with $A_{P}' = 4$ for toluene and chlorobenzene until 10 days contact time. Thereafter, the experimental data show a higher slope which indicates that ethanol solvent penetrates into the PET surface quicker than the migrants in the food direction. This effect has been described in the literature by Piringer (Piringer 1990, Franz 2000) thus generating some swelling effects and accelerating the migration of the test migrants. The crossing with the linear correlation at $A_{P}' = 4$ demonstrates therefore that the diffusion coefficient of the surrogate in the PET polymer is not constant and is increasing with storage time when in contact with 95% ethanol. Indeed, looking at short contact times up to 10 days the slope of the $A_{P}' = 4$ line correlates linearly with the square root of time.

Compared to the migration into the liquid foods the curve established with $A_{P}' = 1$ represents in all cases an upper limit model. Since the ACE juice which is covered by $A_{P}' = 1$ was found to be the worst case food out of all applied beverages in this study,

the $A_{P}' = 1$ line can be considered to be a worse case line for soft drinks, juices and other drinks. 10% ethanol, a legally recognised food stimulant for these products is also covered by the $A_{P}' = 1$ line. The extend of coverage or with other words the margin of safety depends obviously on the nature of the substance. It should be noted here that 10% ethanol would not cover ACE juice itself and disqualifies for being a generally suitable food simulant for beverages, soft drinks and juices. Although lacking in some cases good solubility for lipophilic substances (see the phenyl cyclohexane discussion below) 50% ethanol appears to be the most suitable simulant for these and other liquid foods. Solubility aspects were the reason to amend recently European legislation and to introduce 50% ethanol, which replaces 10% ethanol as a more appropriate food simulant for milks and milk products (European Commission 2007).

Compared to toluene and chlorobenzene, the more lipophilic substance phenyl cyclohexane shows a significant lower migration behaviour. For phenyl cyclohexane the $A_P' = 1$ line correlates with the migration into 95% ethanol and the migration into the liquid foods and into 10% ethanol is here clearly over-estimated. The reason for that is not quite clear but it can assumed that the polarity may be one important reason: low solubility, even in 95% ethanol and much more in 10% ethanol and the liquid foods may influence migration rates down to not detectable levels. On the other hand, activation energy for diffusion in PET may be different to toluene so that further differentiation between these molecules in migration modelling may be necessary, for instance by application of a more appropriate τ value.

Place here Figure 7

Place here Figure 8

Place here Figure 9

Discussion and conclusions

Migration into liquid foods versus food simulants

Food simulants, in principle, should simulate the migration of a specific compound into the foodstuff. However, since a food simulant cannot exactly simulate the real migration into the foodstuff or beverages, a worse case simulation behaviour is the intention. Regarding the model migrant chlorobenzene, the food simulant 10% ethanol over-estimatively simulates the migration into the beverages except the vitamin ACE juice where it underestimates. On the other hand, for the model migrant toluene, only the migration into cola drink and both apple juices were overestimatively simulated by 10% ethanol. Migration into the other beverages was underestimated by the food simulant 10% ethanol. The food simulant 3% acetic acid was found to be almost fully unsuitable as a food simulant for the investigated beverages. Only the softdrink cola was appropriately simulated by this acidic simulant. The surrogate phenyl cyclohexane shows a very low migration into the investigated beverages as well as into 10% ethanol and 3% acetic acid even at a concentration of 782 mg/kg of this surrogate in the bottle wall. Migration levels were in all cases below or at the analytical detection limit of approximately 1.2 μ g l⁻¹ (ppb). Only after 30 d at 40 °C the concentration of phenyl cyclohexane in 10% ethanol was determined slightly above the detection limit to 1.6 µg/kg. The determination of the migration of the higher molecular weight compounds benzophenone and methyl stearate with analytical detection limits in the low µg/kg range was much more difficult than for the above mentioned compounds due sample preparation and analytical reasons. In fact, the achieved analytical detection limits in the migration solutions (Table 1) were considerably higher. The migration of methyl stearate was below the detection limits of approximately 13 µg/kg and 25 µg/kg for 3% acetic acid and 10% ethanol, respectively. Benzophenone was determined at a concentration of about 20 μ g/kg in 10% ethanol after storage of 30 d at 40 °C.

As already mentioned above, the food simulant 95% ethanol gave the highest migration values and with increasing diffusion coefficient which is caused by an interaction between ethanol and the polar PET matrix. This leads to a swelling effect and to an accelerated mass transfer into the simulant. Other researchers confirm this swelling effect by ethanol by their own studies, for instance when comparing the migration of benzaldehyde, limonene, anethole and benzophenone from a 1.5 I PET

bottle into the simulants 3% acetic acid, 95% ethanol and a softdrink (cola) over the storage time of about one year at 40 °C as well as at 20 °C (Widen 2004). 95% ethanol was found to be responsible for a 20-times higher migration of the applied model compounds in comparison to 3% acetic acid or cola beverage. In conclusion 95% ethanol appears to be a too strong food simulant in relation to foods, but could be used as worse case scenario if more appropriate from an analytical point of view as long as the obtained migration data are compliant with a given migration limit. 50% ethanol as food simulant gave migration values more or less in between of 95% ethanol and 10% ethanol. Taking the discussion on the comparative migration of the beverages in relation to 10% ethanol into account then one can conclude that 50% ethanol would represent the most suitable food simulant for all investigated beverages because it overestimates in all cases but not at a too exaggerated level.

Migration modelling from PET

The results show, that the migration into aqueous foodstuffs and food simulants of the low molecular weight compounds e.g. solvents like toluene and chlorobenzene are significantly higher than for high molecular compounds which was expectable from migration theory. However, the observed very low migration of phenyl cyclohexane is not satisfyingly explained by the migration model which largely overestimates the measured migration into food simulants. The reasons for that can be seen in the too low τ value of 1577 K generally applied for PET in the migration model which corresponds to an activation energy of approximately 100 kJ mol⁻¹. It seems that such a low τ value is not consistent with really underlying activation energies for the diffusion in PET determined for surrogates as used here. More realistic activation energies occurring in PET have been found to range higher and up to approximately 150 kJ mol⁻¹ which would correspond to a value for $\tau = 7500$ K (Feigenbaum. 2005; Dole 2006a). It is remarkable that the diffusion coefficient measured in these studies at 40 °C for phenyl cyclohexane was $D_P = 8 x$ 10⁻¹⁹ cm² s⁻¹. This is extremely low and supports as a trend our migration experimental findings for phenyl cyclohexane for which we found very low migration

under non-swelling conditions such as the food simulants 3% acetic acid and 10% ethanol as well as the foods themselves (Figure 11). For better explanation: when applying equation (1) with $A_P' = 3.1$ or $A_P' = 1$ and in both cases with $\tau = 1577$ K then the migration model would predict for phenyl cyclohexane $D_P = 1.4 \times 10^{-13}$ cm² s⁻¹ or $D_P = 1.7 \times 10^{-14}$ cm² s⁻¹, respectively. However, when using an activation energy with 126 kJ mol⁻¹ ($\tau = 4700$ K) from the reported more realistic range then the migration model would predict $D_P = 6.4 \times 10^{-18}$ cm² s⁻¹ or $D_P = 7.9 \times 10^{-19}$ cm² s⁻¹, respectively. And these values coincide with the reported D_P value as measured by Feigenbaum (2005) and Dole (2006a).

For toluene: From the experimental migration kinetics of this study the diffusion coefficient D_P (mean values from three concentration levels) for toluene at 40 °C into 10% ethanol, 50% ethanol and 95% ethanol could be derived to be 2.0 x 10^{-14} cm² s⁻¹, 6.1 x 10^{-13} cm² s⁻¹ and 4.1 x 10^{-12} cm² s⁻¹, respectively. The respective D_P values for chlorobenzene were 3.8 x 10^{-14} cm² s⁻¹, 1.1 x 10^{-12} cm² s⁻¹ and 2.6 x 10^{-12} cm² s⁻¹. As a trend it can be observed that with increasing ethanol concentration the D_P value is also increasing which is the numerical description of what has been said in the above discussion on observable swelling effects caused by ethanol.

For validation purposes of our measurements, a comparison can be made with published D_P values measured by the so-called Moisan method (Feigenbaum 2005; Dole 2006a) in PET and without the possibility of having swelling effects. These authors report D_P values for toluene and chlorobenzene in PET at 40 °C of 3.8 x 10^{-14} cm² s⁻¹ and 4.4 x 10^{-14} cm² s⁻¹. These values are within the measurement precision identical with our values obtained for 10% ethanol. This simulant does not cause swelling in PET and represents therefore the matrix to be compared with. When applying migration modelling according to equation (1) then for A_P' = 3.1 or A_P' = 1 and in both cases with $\tau = 1577$ K follows for toluene: D_P = 3.8 x 10^{-13} cm² s⁻¹ and D_P = 3.4 x 10^{-14} cm² s⁻¹, respectively. For chlorobenzene D_P = 2.7 x 10^{-13} cm² s⁻¹ and D_P = 3.4 x 10^{-14} cm² s⁻¹, respectively 80 to 85 kJ mol⁻¹ by the same authors (Feigenbaum 2005; Dole 2006a) which means that $\tau = 0$ K and that A_P' = 1 is much

more likely to be the appropriate parameters for the PET diffusion behaviour than A_P ' = 3.1 and τ = 1577 K. From Sadler et al. (Sadler 1996), for toluene D_P values in PET were determined to be 4 x 10⁻¹⁴ cm² s⁻¹ at 40 °C and 2 x 10⁻¹⁵ cm² s⁻¹ at 20 °C. For comparison: for toluene at 20 °C, equation (1) leads for A_P ' = 1 to D_P = 3.4 x 10⁻¹⁵ cm² s⁻¹. Furthermore, similar D_P values are reported by Patton (Patton 1984) for benzene by a sorption experiment into a PET film: they measured D_P = 6.7 x 10⁻¹⁴ cm² s⁻¹ and 3 x 10⁻¹⁵ cm² s⁻¹ at 40 °C and 20 °C, respectively.

The results of another study (Begley 2004) can be considered and exploited to conclude on the most appropriate A_{P} parameter. In this study the migration of Tinuvin 234 was determined. Tinvin 234 is a UV stabilizer used in PET bottles for fruit juices with a molecular weight of 447 Dalton. The migration was determined from PET into food simulants iso-octane, miglyol (fractionated coconut oil) and 95% ethanol. The authors found that 95% ethanol caused by a factor 34 accelerated migration compared to non-swelling conditions as was the case for *iso*-octane and miglyol. The authors measured for Tinuvin 234 in PET at 60 °C under non-swelling conditions $D_P = 2.4 \times 10^{-16} \text{ cm}^2 \text{ s}^{-1}$. For $A_P = 1$ and $\tau = 1577 \text{ K}$ (activation energy of 100 kJ mol⁻¹) or $\tau = 2750$ K (110 kJ mol⁻¹) the model would predict D_P = 8.0 x 10^{-15} cm² s⁻¹ or D_P = 2.4 x 10^{-16} cm² s⁻¹, respectively. The latter corresponds exactly to the experimental data. At 40 °C, Begley reports a measured $D_P = 1 \times 10^{-18} \text{ cm}^2 \text{ s}^{-1}$. Again for $A_P' = 1$ and $\tau = 1577$ K (100 kJ mol⁻¹) or $\tau = 2750$ K (110 kJ mol⁻¹) or $\tau =$ 3670 K (117 kJ mol⁻¹) the model would predict $D_P = 8.0 \times 10^{-16} \text{ cm}^2 \text{ s}^{-1}$ or D' = 1.9 x 10^{-17} cm² s⁻¹ or exactly D_P = 1.0 x 10^{-18} cm² s⁻¹. These data, also support, that the appropriate parameter for the basic diffusivity of PET is more $A_P' = 1$ than $A_P' = 3.1$ and that the correct calculation of D_P requires implementation of good data for the activation energy.

An important conclusion from the side of the kinetical contribution to migration is that migration under non-swelling conditions such as PET in contact with miglyol, *iso*-octane and 10% ethanol can be homogeneously described by a common A_P ' value which is rather 1 than 3.1. 50% ethanol is already borderline and 95% ethanol would need consideration of a change in the A_P ' value over time. On the other hand,

regarding the thermodynamic contribution to migration the solubility of an organic migrant is higher in high ethanolic solutions which could influence the partition coefficient of the surrogates between the PET matrix and the food simulant, $K_{P/F}$ = $C_{P,\infty}/C_{F,\infty}$. This partition coefficient, understood as the ratio of the migrant's concentration in polymer (P) at equilibrium to the equilibrium concentration in the food stimulant (F) is, according to the above mentioned migration model (Begley 2005, Piringer 2007), set to $K_{P/F} = 1$ for high solubility and $K_{P/F} = 1000$ for low solubility of a migrant in the food stimulant (assuming high or good solubility in the polymer). When, however, using the migration model it can be shown, that the partition coefficient plays a minor role with regard to the migration levels from PET (Figure 10). The reason for that is the low diffusivity characteristic of PET. As a consequence in practically in all migration cases it can be stated: (i) the mass transport from PET is controlled by the very low diffusion in the polymer and (ii) partitioning, at least for $K_{P/F}$ values up to 1000, does not influence the migration levels in the very early, kinetically controlled phase of migration. Increasing storage time up to 30 d reduces the bottle wall concentration corresponding to the 10 μ g/kg threshold limit by a factor of approximately 1.7 in the case of good solubility (K = 1). For K = 1000 the bottle wall concentration was reduced by a factor of 1.2 (low molecular weight compounds) and 1.7 (high molecular weight compounds), respectively. In the latter case, the partition coefficient has a slight influence for low molecular weight compounds.

The minor influence of the partition coefficient has important implications regarding PET as well as PET recyclates as a food contact material. Due to the fact, that most of the PET recyclates are used for softdrink bottles, water soluble surrogates to be used for challenge tests were always discussed. Migration modelling, and the results of this study show that surrogates with high water solubility are in fact not needed. Currently used surrogates (Franz 2004a and 2004b) such as the test migrants of this study are very suitable and furthermore it gets evident from this study that the discussion on appropriate surrogates should focus on surrogates with low molecular weights which are indeed the most critical ones and the best target analytes when it comes to validated challenge test and analytical determination procedures.

Place here Figure 10

Realistic migration from PET into foods for exposure estimation

Due to the inherent low diffusivity of PET material and the consequential implications regarding partitioning effects (as discussed above) PET as a food contact material offers a possibility to model migration generally such that the estimate is valid for nearly any type food where non-swelling contact conditions occur. Of course and as usual, there are exemptions from the general rule, for instance, when spirituous beverages such as a brandy or whiskey are packed. These would need then to be treated separately because of the interaction with ethanol. But for the overwhelming food types which could be packed in PET one can draw advantage of the fact that migration from PET is diffusion controlled and not significantly influenced by the partition coefficient as shown in Figure 10. This conclusion has already been addressed recently (Franz 2005) in an overview article on the "Foodmigrosure" project (EU contract QLK1-CT2002-2390, www.foodmigrosure.org). The conclusion of this project was: together with information on food consumption and packaging usage general migration modelling would allow an easy and quick access to exposure estimates. Figure 11 provides a master curve which allows migration estimation in two ways: using $A_{P}' = 3.1$ as a sufficient degree of overestimation is achieved so that this curve can be used for food law compliance evaluation where migration estimation needs urgently to be over-estimative. Using $A_{P}' = 1$ a more realistic migration estimation is achieved in particular when τ values can be defined or assumed according to the respective activation energy. In both cases $\tau = 1577$ K is assumed and $K_{P/F} = 1$ for high solubility in the food which is the worst case. Again, these curves would indicate migration into any type of food regardless to its fatty or aqueous character. For high alcoholic contents from 50% to 90% up these curves are not valid but could be established easily using correspondingly higher A_P' values, which are over-estimatively $A_P' = 6$ or more realistically $A_P' = 4$. The curves in Figure 11 have been established for a $C_{P,0}$ value of 100 mg/kg in PET material. It should be noted that migration is directly proportional to C_{P.0} which allows an easy estimate for

other $C_{P,0}$ values. Our experimental data demonstrates this concentration effect. The migration data for 10% ethanol for toluene in Table 2 and for chlorobenzene (Table 3) show migration increases with initial concentration in the polymer.

From the results and discussions of this paper, finally, one important conclusion can be drawn concerning the safety of recycled PET plastics: only relatively small molecules up to approximately 250 to 300 Dalton with sufficient volatility are relevant for the discussion and consideration in challenge tests. The migration potential of higher molecular weight compounds can be almost neglected even if the concentrations of these compounds have been (artificially) established for the purpose of the challenge test in the range of several hundred up to 1000 mg/kg in the bottle wall.

Place here Figure 11

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Table 1: Detection limits of the surrogates in the PET bottle wall and migration solutions according to DIN 32645 (DIN 1994)

Surrogate	PET material [mg/kg]	Migration solutions [µg/kg]						
		juices, soft drinks	3% acetic acid	10% ethanol	50% ethanol	95% ethanol		
Toluene	0.24	0.5	1.0	0.4	0.4	0.4		
Chlorobenzene	0.08	0.7	0.7	0.3	0.3	0.3		
Phenyl cyclohexane	0.06	1.2	1.1	0.9	0.9	0.9		
Benzophenone	0.09	not determined	8.8	8.8	not determined	not determined		
Methyl stearate	0.23	13.0	13.0	25.4	25.4	25.4		
				'en	0,			

Table 2: Migration kinetics of toluene from spiked PET bottle into food simulants at 40 °C

	Concentration in food simulant [µg/kg]											
	Bottle wall concentration [mg/kg]											
	17.6 ±0).6			70.6 ±0.6				297.5 ±1.2			
time [d]	3% acetic acid	10% ethanol	50% ethanol	95% ethanol	3% acetic acid	10% ethanol	50% ethanol	95% ethanol	3% acetic acid	10% ethanol	50% ethanol	95% ethanol
3	1.1	1.3	2.6	30.4	3.8	6.2	21.2	53.3	14.5	24.7	82.2	169.7
6	1.4	1.9	8.4	41.9 🧲	5.0	7.9	35.9	133.5	22.0	38.6	146.5	242.8
10	1.4	2.3	11.5	61.1	2.3	8.6	49.8	122.3	14.5	43.4	205.5	385.9
20	n.d.	2.3	17.9	53.0	n.d.	15.7	87.1	189.5	n.d.	63.0	323.4	583.1
30	n.d.	4.4	25.1	80.2	n.d.	27.8	123.1	250.7	n.d.	98.5	437.7	923.3
30 11.0. 4.4 2.5.1 60.2 11.0. 27.0 12.5.1 2.50.7 11.0. 98.5 437.7 923.3 n.d. not determined												

Table 3: Migration kinetics of chlorobenzene from spiked PET bottle into food simulants at 40 °C

	Concentration in food simulant [µg/kg]												
	Bottle wall concentration [mg/kg]												
	26.2 ±0).5			101.3 ±0.	101.3 ±0.8				357.8 ±1.5			
time [d]	3% acetic acid	10% ethanol	50% ethanol	95% ethanol	3% acetic acid	10% ethanol	50% ethanol	95% ethanol	3% acetic acid	10% ethanol	50% ethanol	95% ethanol	
3	1.9	2.2	4.5	12.5	7.6	9.9	37.1	61.6	23.5	32.7	119.5	173.7	
6	2.8	3.5	14.5	21.5 🧲	10.7	14.3	63.9	119.0	37.8	53.8	209.6	273.4	
10	3.2	4.5	20.6	37.1	5.7	17.1	91.4	153.6	32.6	65.4	298.9	497.3	
20	n.d.	5.3	34.8	58.3	n.d.	30.0	161.7	285.0	n.d.	99.0	495.0	790.1	
30	n.d.	8.8	49.1	75.8	n.d.	51.2	228.9	384.1	n.d.	146.4	678.6	1242.8	
n.d. not determined													

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Table 4: Migration kinetics of phenyl cyclohexane from spiked PET bottle into food simulants at 40 °C

	Concentration in food simulant [µg/kg]												
	Bottle wall concentration [mg/kg]												
	35.3 ±	1.0			261.7 ±1.	261.7 ±1.7				782.3 ±1.4			
time [d]	3% acetic acid	10% ethanol	50% ethanol	95% ethanol	3% acetic acid	10% ethanol	50% ethanol	95% ethanol	3% acetic acid	10% ethanol	50% ethanol	95% ethanol	
3	<1.1	<0.9	<0.9	1.3	<1.1	<0.9	5.6	18.3	<1.1	<0.9	17.2	50.4	
6	<1.1	<0.9	<0.9	1.4	<1.1	<0.9	8.3	32.4	<1.1	<0.9	29.5	52.0	
10	<1.1	<0.9	1.3	4.0	<1.1	<0.9	12.3	32.9	<1.1	<0.9	40.7	125.1	
20	n.d.	<0.9	2.4	4.1	n.d.	<0.9	25.7	66.3	n.d.	<0.9	82.5	180.8	
30	n.d.	<0.9	3.4	5.4	n.d.	<0.9	38.7	77.2	n.d.	1.6	121.2	258.3	
n.d. not determined													

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Table 5: Migration kinetics of toluene from spiked PET bottle into soft drinks and juices at 40 $\,^{\circ}\mathrm{C}$

	concentration in beverage [µg/kg]										
	Bottle wall concentration: 297.5 ±1.2 mg/kg										
time [d]	Orange juice	Apple juice (cloudy)	Apple juice (clear)	Vitamins ACE juice	Flavoured water (apple kiwi)	Flavoured water (peach tea)	Soft drink (cola)				
3	10.0	6.3	3.4	26.1	15.5	14.6	5.7				
6	18.9	13.0	10.7	44.5	30.2	27.3	9.2				
10	38.4	24.6	18.6	63.4	49.4	41.1	10.8				
20	75.7	32.8	16.7	116.6	80.9	80.9	37.6				
30	109.0	26.8	32.5	146.7	116.9	120.3	48.4				

Table 6: Migration kinetics of chlorobenzene from spiked PET bottle into soft drinks and juices at 40 °C

	concentration in beverage [µg/kg]										
	Bottle wall concentration: 357.8 ±1.5 mg/kg										
time [d]	Orange juice	Apple juice (cloudy)	Apple juice (clear)	Vitamins ACE juice	Flavoured water (apple kiwi)	Flavoured water (peach tea)	Soft drink (cola)				
3	9.8	10.2	5.1	21.8	22.3	22.0	12.8				
6	20.2	20.0	15.8	40.7	43.2	40.8	22.2				
10	44.9	36.5	30.3	62.7	70.6	60.6	32.5				
20	99.5	56.2	31.3	124.9	116.1	117.2	72.7				
30	141.2	56.5	57.0	183.0	141.5	162.4	95.8				

Equation 1:

$$\frac{m_t}{A} = \frac{2}{\sqrt{\pi}} c_{P,0} \sqrt{D_P t}$$

Equation 2:

Equation 2:

$$D_{p} = 10^{4} \exp\left[A_{p} - 0.1351M_{r}^{2/3} + 0.003M_{r} - \frac{R \cdot 10454}{R \cdot T}\right] \quad [\text{cm}^{2}/\text{s}]$$

where: $A_P = A_P - \frac{\tau}{T}$

with : *M_r*: relative molecular mass of migrant [Dalton]

T: temperature [K]

- *A*′_p: an upper limit polymer specific diffusion parameter
- a polymer specific "activation energy" parameter [K] τ.
- gas constant [8.3145 J mol⁻¹ K⁻¹] R:
- R 10454: reference activation energy E_{A,ref} [K] for the diffusion in polymer



Figure 1: Headspace gas chromatogram of a reference PET bottle (without artificial contamination) and a PET bottle spiked at the lowest contamination level



Figure 2: Migration kinetics of toluene from spiked PET bottle (initial toluene concentration 298 mg/kg) into food simulants and the vitamin ACE juice at 40 °C







Figure 4: Migration kinetics of chlorobenzene from spiked PET bottle (initial chlorobenzene concentration 358 mg/kg) into food simulants and the vitamin ACE juice at 40 °C



Figure 5: Migration kinetics of chlorobenzene from spiked PET bottle (initial chlorobenzene concentration 358 mg/kg) into various beverages at 40 °C



Figure 6: Migration kinetics of phenyl cyclohexane from spiked PET bottle (initial phenyl cyclohexane concentration 782 mg/kg) into food simulants at 40 ℃











Figure 9: Migration modelling versus experimental migration of phenyl cyclohexane (initial concentration in bottle: 782 mg/kg) at 40 $^{\circ}$ C



Figure 10: Maximum bottle wall concentrations for substances which corresponds to a migration limit of 10 μ g/kg (storage time 10 d, temperature 40 °C)



Figure 11: Calculated migration for 10 d at 40 °C at a bottle wall concentration ($C_{P,0}$) of 100 ppm for the "EU cube" (600 cm², 1000 ml) and $A_P' = 4$, $A_P' = 3.1$ and $A_P' = 1$ (K = 1, $\tau = 1577$ K)