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► **To cite this version:**

Gaël Collinet. Homology stability for unitary groups over S-arithmetic rings. *Journal of K-theory*, 2010, pp.30. 10.1017/is010010004jkt123 . hal-00574020

HAL Id: hal-00574020

<https://hal.science/hal-00574020>

Submitted on 7 Mar 2013

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HOMOLOGY STABILITY FOR UNITARY GROUPS OVER S -ARITHMETIC RINGS

G. COLLINET

ABSTRACT. We prove that the homology of unitary groups over rings of S -integers in number fields stabilizes. Results of this kind are well known to follow from the high acyclicity of *ad-hoc* polyhedra. Given this, we exhibit two simple conditions on the arithmetic of hermitian forms over a ring A relatively to an anti-automorphism which, if they are satisfied, imply the stabilization of the homology of the corresponding unitary groups. When R is a ring of S -integers in a number field K , and A is a maximal R -order in an associative composition algebra F over K , we use the strong approximation theorem to show that both of these properties are satisfied. Finally we take a closer look at the case of $\mathcal{O}_n(\mathbb{Z}[\frac{1}{2}])$.

INTRODUCTION

- *The result in the general case.*

Let R be a commutative ring with unit, and C an associative R -algebra together with an anti-automorphism $c \mapsto \bar{c}$ centralizing R . An h -module over C is a pair $M = (M, h)$ consisting of a finitely generated projective left C -module M and a hermitian form $h : M \times M \rightarrow C$ (i.e. $h(y, x) = \overline{h(x, y)}$ and $h(ax, by) = ah(x, y)\bar{b}$) that is non-degenerate. When M is an h -module over C we denote by $U(M)$ the group of automorphisms of M and when M and N are two h -modules over C , and $n \geq 0$ is an integer we denote by $M \perp N^{\perp n}$ the orthogonal sum of one copy of M and n copies of N , and $i(M, N, n)$ the natural inclusion

$$U(M \perp N^{\perp n}) \rightarrow U(M \perp N^{\perp n} \perp N) = U(M \perp N^{\perp n+1}) .$$

Our aim in this work is to give a proof of the following

Theorem. *Let K be a global field of characteristic 0 and let C_K be an associative composition algebra over K together with its canonical anti-automorphism. Let Ω be a finite subset of the set \mathcal{V} of all places of K , containing all archimedean places and at least one non-archimedean place and let S be its complement in \mathcal{V} . Let A be the ring of S -integers in K , and let C be a maximal A -order in C_K . Let M and N be h -modules over C (resp. C_K) of respective ranks μ and ν .*

There exists two integers $\alpha \leq 16 + 64\nu$ and $\beta \leq 69 + 64\nu$ such that the morphism $H_k(U(M \perp N^n); \mathbb{Z}) \rightarrow H_k(U(M \perp N^{n+1}); \mathbb{Z})$ induced in k -dimensional homology by $i(M, N, n)$ is an isomorphism for $n \geq \alpha k + \beta$ and an epimorphism for $n + \alpha \geq \alpha k + \beta$.

- *Examples.*

Let $N \geq 2$ be an integer and take $A = \mathbb{Z}[\frac{1}{N}]$, the subring of $K = \mathbb{Q}$ whose elements are irreducible fractions with denominator dividing N^k for k large enough.

◦ Taking $C_K = K$ with trivial involution, $M = 0$ the trivial h -module and $N = (A, h)$ defined by $h(x, y) = xy$, the theorem says that the homology of $\mathcal{O}_n(A)$ stabilizes, where $\mathcal{O}_n(A)$ is the subgroup of the compact Lie group \mathbf{O}_n of $n \times n$ orthogonal matrices with entries in A .

◦ Taking $C_K = K[i]$ with complex conjugation, $M = 0$ the trivial h -module and $N = (A[i], h)$ defined by $h(x, y) = x\bar{y}$, the theorem says that the homology of $U_n(A)$ stabilizes, where $U_n(A)$ is the subgroup of the compact Lie group \mathbf{U}_n of $n \times n$ unitary matrices with entries in $A[i]$.

2010 *Mathematics Subject Classification.* 11F75, 19B14, 51F25.

Key words and phrases. Homology stability, unitary groups, S -arithmetic groups, adjacency relations, strong approximation.

Partially supported by project ANR blanc BLAN08-2.338236, HGRT. The author also acknowledges support from the Swiss National Science Foundation.

◦ Taking $C_K = K[1, i, j, ij]$, the standard Hamilton quaternion algebra with quaternionic conjugation, $M = 0$ the trivial \mathfrak{h} -module, $C = A[1, i, j, \frac{1+i+j+ij}{2}]$ and $N = (C, h)$ defined by $h(x, y) = x\bar{y}$, the theorem says that the homology of $\mathrm{Sp}_n(A)$ stabilizes, where $\mathrm{Sp}_n(A)$ is the subgroup of the compact Lie group \mathbf{Sp}_n of $n \times n$ unitary matrices with entries in C .

◦ Taking $C_K = K \times K$ with $\overline{(a, b)} = (b, a)$, $M = 0$ the trivial \mathfrak{h} -module and $N = (A \times A, h)$ defined by $h(x, y) = x\bar{y}$, the theorem says that the homology of $\mathrm{GL}_n(A)$ stabilizes, where $\mathrm{GL}_n(A)$ is the subgroup of the rank n Lie group $\mathbf{GL}_n(\mathbb{R})$ of $n \times n$ invertible matrices with entries in A .

◦ Taking $C_K = M_2(K)$ with $\overline{\begin{bmatrix} a & b \\ c & d \end{bmatrix}} = \begin{bmatrix} d & -b \\ -c & a \end{bmatrix}$, $M = 0$ the trivial \mathfrak{h} -module and $N = (M_2(A), h)$ defined by $h(x, y) = x\bar{y}$, the theorem says that the homology of $\mathrm{Sp}_{2n}^s(A)$ stabilizes, where $\mathrm{Sp}_{2n}^s(A)$ is the subgroup of the rank n Lie group $\mathbf{Sp}_{2n}^s(\mathbb{R})$ of $2n \times 2n$ symplectic matrices with entries in A .

• This result takes place in the large family of homology stability theorems for linear algebraic groups over nice rings, the genealogy of which may be traced back to the early seventies with the birth of D. Quillen's K-theory. Significant results were soon obtained by Quillen himself for GL_n over fields (unpublished), by K. Vogtmann in her thesis [V1977] for $\mathrm{O}_{n,n}$ over fields, by R. Charney [Ch1979] for GL_n over Dedekind domains, by H. Maazen and W. van der Kallen [vdK1980] for GL_n over rings satisfying one of the properties known under Bass's stable range conditions and by K. Vogtmann [V1982] for O_n over fields with finite pythagorean number. More recently, B. Mirzaii and van der Kallen [MvdK2002] proved stability for unitary groups of hyperbolic spaces over rings satisfying a unitary version of Bass's stable range conditions, and J.-L. Cathelineau [Ca2007] gave very sharp information for O_n over pythagorean fields.

• *Reduction to two conditions on the arithmetic of \mathfrak{h} -modules over C .*

The strategy to prove all these results is the same. We summarize it in the following theorem whose proof (essentially a spectral sequence argument) may be recovered from each of the references above (see also [HW 5.1]).

Stability theorem. *Let $\Gamma_0 \subset \Gamma_1 \subset \Gamma_2 \subset \dots \subset \Gamma_s \subset \dots$ be an increasing sequence of groups, each of which acts on a triangulated space¹ X_s of dimension at least $s - 1$. Assume there exists an increasing sequence f of integers $f_k \geq 2k + 1$ such that*

(A): *for all $k \geq 0$, the space X_s is k -acyclic for all $s \geq f_k$ (i.e. $\tilde{H}_l(X)$ is trivial for $l \leq k$).*

Assume moreover that the action of Γ_s on X_s satisfies

(C): *it is transitive on the set $(X_s)_t$ of t -dimensional simplices of X_s , for $t \leq s - 1$,*

(R): *for $t \leq s - 1$, the stabilizer $\Gamma_s(\sigma)$ of a t -dimensional simplex σ is conjugate (in Γ_s) to Γ_{s-t-1} ,*

(S): *an element of Γ_s that stabilizes globally a simplex stabilizes it pointwise,*

(T): *for each 1-simplex σ joining two 0-simplices a and b , there exists an element γ of Γ_s that commutes with $\Gamma_s(\sigma)$ such that the equalities $\gamma(a) = b$ and $\gamma(b) = a$ hold. (Note that property (S) then implies $\gamma(\sigma) \neq \sigma$.)*

Then for $s \geq f_k$, the inclusion of Γ_{s-1} in Γ_s induces an isomorphism (resp. an epimorphism) in l -dimensional homology for $l \leq k$ (resp. $l = k + 1$).

(Letters A and C stand respectively for the acyclicity condition and cancellability condition).

Let us come back to our situation: let R be a ring, C an associative R -algebra with anti-automorphism $c \mapsto \bar{c}$, and let M, N be \mathfrak{h} -modules over C . We want to apply this theorem with $\Gamma_s = \mathrm{U}(M \perp N^{s+1})$. In order to do so we need to introduce *ad-hoc* spaces X_s .

Let $P = (P, h_P)$ be an \mathfrak{h} -module over C , and let us call h_P^* the restriction of h_P to a given submodule of P . We introduce the set $\mathrm{Conf}(F, P)$ of linear isometries $\varphi : N \rightarrow (\varphi(N), h_P^*) \subset P$.

¹In fact the geometric realization of a pre-simplicial set (see 1.1)

This set comes with a natural adjacency (*i.e.* a symmetric anti-reflexive) relation \perp defined by

$$\varphi \perp \varphi' \Leftrightarrow h_P(\varphi(n), \varphi'(n')) = 0 \quad \forall (n, n') \in \mathbb{N}^2 .$$

To a set E endowed with such an adjacency relation \perp is naturally associated a geometric realization; roughly speaking, this is the triangulated space $|(E, \perp)|$ whose vertices are elements of E , and whose k -simplices are sequences (x_0, \dots, x_k) of mutually adjacent elements (see section 1).

We put $X_s = |\text{Conf}(F, M \perp \mathbb{N}^{s+1}), \perp|$. Clearly, in this situation, if condition (C) of the theorem holds, then so do conditions (R), (S) and (T). Thus we just need to check the acyclicity condition (A) and the cancellation condition (C).

We shall give in section 1 a simple criterion to ensure that the geometric realization of a set E endowed with an adjacency relation is highly acyclic: let us say that a sequence (P_1, \dots, P_r) of subsets of E such that

$$\text{card}(P_i) \geq 2 \quad (\forall i) , \quad P_i \perp P_j \quad (\forall i \neq j) , \quad \sum_{i=1}^r (\text{card}(P_i) - 1) \leq k + 1$$

is a k -test sequence.

Acyclicity criterion. *Let (E, \perp) be a set together with an adjacency relation. Assume for any k -test sequence (P_1, \dots, P_r) of subsets of E the intersection $\cap_i P_i^\perp$ is non-empty. Then $|(E, \perp)|$ is k -acyclic.*

(In particular, if for any sequence (x_1, \dots, x_{2k+2}) of elements of E there exists an element y adjacent to each one of the x_i 's, then $|(E, \perp)|$ is k -acyclic.)

Now consider the set $\text{Rep}(\mathbb{N}, P)$ of representations of \mathbb{N} by P , *i.e.* the set of submodules N' of P such that there exist an isomorphism $\mathbb{N} \simeq (N', h_P^*)$, together with the natural adjacency relation \perp given by orthogonality. Of course, if the criterion is satisfied for $(\text{Rep}(\mathbb{N}, P), \perp)$, then it is satisfied for $(\text{Conf}(F, P), \perp)$. Thus for two hermitian modules M and N over \mathbb{C} , and for a sequence $f = (f_k)_{k \geq 0}$ of integers such that $f_k \geq 2k + 1$, we introduce the following properties:

$\mathcal{A}(M, N, f)$: For all $n \geq f_k$, for all k -test sequences (P_1, \dots, P_s) of $\text{Rep}(\mathbb{N}, M \perp \mathbb{N}^{n+1})$ there exists an element T in $\text{Rep}(\mathbb{N}, M \perp \mathbb{N}^{n+1})$ such that T is orthogonal to all elements of each P_i .

$\mathcal{C}(M, N)$: Let B be an h -module over \mathbb{C} . The existence of an isomorphism $B \perp \mathbb{N} \simeq M \perp \mathbb{N}^k \perp \mathbb{N}$ ($k \in \mathbb{N}$) implies the existence of an isomorphism $B \simeq M \perp \mathbb{N}^k$.

When both of these conditions are satisfied, we can use the stability theorem. Indeed $\mathcal{A}(M, N, f)$ (together with the acyclicity criterion) implies that condition (A) holds, and $\mathcal{C}(M, N)$ implies that property (C) holds.

For a sequence $f = (f_k)_{k \geq 0}$ of integers, let us say property $\mathcal{P}(M, N, f)$ holds if for all $k \geq 0$ and for all $n \geq f_k$, the morphism induced in l -dimensional homology by the inclusion $i(M, N, n)$

$$H_l(\text{U}(M \perp \mathbb{N}^n); \mathbb{Z}) \rightarrow H_l(\text{U}(N \perp \mathbb{N}^{n+1}); \mathbb{Z})$$

is an epimorphism (*resp.* an isomorphism) for $l \leq k + 1$ (*resp.* for $l \leq k$). The following proposition summarizes the above discussion.

Proposition. *Let R be a ring, let C be an associative R -algebra with an anti-automorphism centralizing R , and let M and N be h -modules over C . Assume both conditions $\mathcal{A}(M, N, f)$ and $\mathcal{C}(M, N)$ hold. Then property $\mathcal{P}(M, N, f)$ holds.*

- *Dealing with the two conditions when C is one of the algebras considered in the theorem.*

Thus the problem is reduced to the verification of properties \mathcal{A} and \mathcal{C} .

In the case of a field where 2 is invertible, the cancellation property \mathcal{C} is implied by Witt's theorem, so only the acyclicity property \mathcal{A} needs to be verified. The latter is shown to hold for any M and N over local fields as a consequence of the fact that a 4-dimensional quadratic space over such a field is universal, and is easily checked for archimedean fields. The case of global fields follows by the Hasse principle (see subsection 2.2).

In the case of a local ring R , the cancellability condition holds when R is non-dyadic, but fails to hold in general when R is dyadic. Nevertheless, $\mathcal{C}(M, N)$ is satisfied for all M when N is a sum of hyperbolic planes, so we restrict, when necessary, our attention to hyperbolic N 's (see 2.4) during the verification of property \mathcal{A} .

The case of a ring of S -integers is slightly more delicate since, as in the case of local rings, neither property \mathcal{A} nor \mathcal{C} holds in general. We will nevertheless prove in section 2 that if N is hyperbolic at every dyadic place, then for a certain sequence f and for s big enough, properties $\mathcal{C}(M \perp N^s, N)$ and $\mathcal{A}(M \perp N^s, N, f)$ hold. In all this section, Kneser's strong approximation theorem will be a crucial tool.

Finally, we prove that in the case of a dyadic local ring (resp. of an S -arithmetic ring as in the theorem), the case of hyperbolic N 's (resp the case of N 's that are hyperbolic at each dyadic place) implies the general case (see subsection 2.6).

As the referee pointed out to the author, the method we use cannot cover the totally real arithmetic case (*i.e.* all archimedean places are real, and Ω contains only these real places) except in some particular instances (*e.g.* when $N \otimes_{\sigma} \mathbb{R}$ is indefinite for at least one imbedding $A \rightarrow \mathbb{R}$). An interesting open case is when $A = \mathbb{Z}$, $M = (\mathbb{Z}, h_M)$ defined by $h_M(x) = -x^2$ and $N = (\mathbb{Z}, h_N)$ defined by $h_N(x) = x^2$. The theorem would then say that the homology of $O_{1,n}(\mathbb{Z})$ stabilizes. Let us briefly explain why our method cannot be used to check property \mathcal{A} in that case. We would have to prove that for any $k \geq 1$ there exists an s big enough so that for any choice of subspaces N_1, \dots, N_k of $U_s = M \perp N^s$, each of which is isomorphic to N , the orthogonal Q of $\sum_{i=1}^k N_i$ contains a copy of N . Our method consists in proving that this holds locally, and then using the strong approximation theorem to deduce that it holds globally. One of the hypotheses of this theorem is that Q be isotropic at at least one place in Ω (which in our case is reduced to the real place of \mathbb{Q}). Now the module $M \perp N^{\perp 2}$ can be generated by 3 copies of N , and its orthogonal Q in U_s is positive definite positive, so we cannot use the strong approximation theorem in this situation. In fact, for any positive definite unimodular h -module T of rank u , there exists an isomorphism $M \perp N^{\perp 2} \perp T \simeq M \perp N^{\perp u+2}$, and it is well known that for any $u \geq 14$ there exists such T 's that do not represent N .

- *The particular case $R = \mathbb{Z}[\frac{1}{2}]$.*

Better sequences f than the coarse one given in our theorem can be obtained in a number of particular cases. We will not try to get systematically the sharpest bounds our method can give, except in the following very particular case, which in fact motivated this work. Let R be either a field or a Dedekind domain. We denote by $I_n(R)$ the standard h -module over R (the one whose underlying module is R^n and whose bilinear form has the identity matrix as a Gram matrix in the canonical basis) and $O_n(R)$ the group of automorphisms of $I_n(R)$. The properties

C1(R): $O_n(R)$ is generated by reflections with respect to hyperplanes,

C2(R, γ): for $n \geq \gamma$, the commutator subgroup of $O_n(R)$ is the subgroup $SO'_n(R)$ of elements with trivial determinant and spinor norm,

C3(R, γ): for $n \geq \gamma$, $SO'_n(R)$ is perfect,

are well known to hold for γ big enough in a number of cases (*e.g.* when R is a finite field with $\gamma = 5$). When they do, we denote by $BO_{\infty}^+(R)$ the result of Quillen's + construction on $BO_{\infty}(R)$ relative to the group $SO'_{\infty}(R)$.

In the last section, we shall see these three properties hold with $\gamma = 7$ when R is the ring $\mathbb{Z}[\frac{1}{2}]$. By taking a closer look at this special case, we shall prove the following result.

Theorem (special case). *For any integer k and for any integer $n \geq 3k + 5$, the inclusion $O_n(\mathbb{Z}[\frac{1}{2}]) \rightarrow O_{n+1}(\mathbb{Z}[\frac{1}{2}])$ induces an epimorphism (resp. isomorphism) in l -dimensional homology as soon as $l \leq k + 1$ (resp. $l \leq k$).*

Our interest in this case comes from a work of H.-W. Henn and J. Lannes. Indeed, these authors have shown that for $n \leq 14$, the map

$$\rho_n : H_*(O_n(\mathbb{Z}[\frac{1}{2}]); \mathbb{Z}_{(2)}) \rightarrow H_*(O_n(\mathbb{Z}/3\mathbb{Z}); \mathbb{Z}_{(2)})$$

is an isomorphism. We will use this result in order to prove (in the last subsection) the following

Corollary. *There are isomorphisms*

$$\pi_1(\mathrm{BO}_\infty^+(\mathbb{Z}[\frac{1}{2}])) \simeq (\mathbb{Z}/2)^2, \quad \pi_2(\mathrm{BO}_\infty^+(\mathbb{Z}[\frac{1}{2}])) \simeq \mathbb{Z}/2, \quad \pi_3(\mathrm{BO}_\infty^+(\mathbb{Z}[\frac{1}{2}])) \otimes \mathbb{Z}_{(2)} \simeq \mathbb{Z}/8.$$

In fact, we conjecture that ρ_n (which is known to be onto) is an isomorphism in the stable range.

1. ON THE TOPOLOGY OF AN ADJACENCY RELATION

1.1. Pre-simplicial sets and their geometric realization.

1.1.1. Pre-simplicial objects.

For a natural integer k , we put $[k] = \{0, \dots, k\}$ and call Δ the category whose objects $\mathrm{Ob}(\Delta)$ are the sets $[k]$ and whose morphisms $\mathrm{Mor}(\Delta)$ are the strictly increasing maps. As is well known, such a morphism is a composite of the elementary maps d_i^{k+1} defined by

$$d_i^{k+1} : \begin{array}{ccc} \{0, \dots, k\} & \rightarrow & \{0, \dots, k+1\} \\ j & \mapsto & \begin{cases} j & \text{if } j \leq i \\ j+1 & \text{if } j > i \end{cases} \end{array}.$$

When \mathcal{H} is a category, one says that a contravariant (resp. covariant) functor $\Delta \rightarrow \mathcal{H}$ is a pre-simplicial (resp. pre-cosimplicial) object in \mathcal{H} .

Example: Let $E = (E, \leq)$ be a poset. A flag in E is a strictly increasing sequence. Let S_k be the set of flags of length k in E , and let d_i^{k+1} be the map $S_{k+1} \rightarrow S_k$ defined by sending a flag $(e_0 < \dots < e_{i-1} < e_i < e_{i+1} < \dots < e_{k+1})$ to the flag $(e_0 < \dots < e_{i-1} < e_{i+1} < \dots < e_{k+1})$. The functor $\mathrm{PS}(E)$ defined on objects by $[k] \rightarrow S_k$ and on morphisms by $d_i^{k+1} \mapsto d_i^{k+1}$ is a pre-simplicial set.

The empty pre-simplicial set is written ϕ .

1.1.2. Geometric realization.

Let E be a finite set. The standard simplex on E is the subspace $\underline{\Delta}(E)$ of \mathbb{R}^E defined by

$$\underline{\Delta}(E) = \left\{ \sum_{e \in E} x_e \cdot e, \quad x_e \in [0, 1], \quad \sum_{e \in E} x_e = 1 \right\}.$$

One obtains a pre-cosimplicial topological space $\underline{\Delta}$ by taking for $\underline{\Delta}(d_i^k)$ the natural inclusion $\underline{\Delta}([k]) \rightarrow \underline{\Delta}(d_i^k([k])) \rightarrow \underline{\Delta}[k+1]$.

Let $S : \Delta \rightarrow \mathcal{E}ns$ be a pre-simplicial (discrete) set, and consider on the topological space $\coprod_k S_k \times \underline{\Delta}^{[k]}$ the equivalence relation

$$[(x, y) \sim (x', y')] \Leftrightarrow [\exists m \in \mathrm{Mor}(\Delta), \quad x = S(m)(x'), \quad y' = \underline{\Delta}(m)(y)].$$

The geometric realization $|S|$ of S is the CW-complex $\coprod_k S_k \times \underline{\Delta}^{[k]} / \sim$. A pre-simplicial set S is said to be n -acyclic ($n \in \mathbb{Z}$) if its geometric realization is (in particular, S is -1 -acyclic iff it is not the empty pre-simplicial set, and all pre-simplicial sets are n -acyclic for $n \leq -2$).

1.1.3. Coverings.

Let $X : \Delta \rightarrow \mathcal{E}ns$ be a pre-simplicial set. A sub-pre-simplicial set S of X is a pre-simplicial set such that S_k is contained in X_k for all k 's and such that the restriction $X(m)|_{S_k}$ and $S(m)$ coincide for any $m \in \text{Mor}_\Delta([k], [k'])$.

A covering of X is a family $\mathcal{C} = (X_i)_{i \in I}$ of sub-pre-simplicial sets indexed by a totally ordered set I , such that for all n the equality $\cup_I X_i([n]) = X([n])$ holds. The nerve of such a covering is the geometric realization $N(\mathcal{C}) = |N(\mathcal{C})|$ where $N(\mathcal{C})$ is the pre-simplicial set defined by

$$N(\mathcal{C})([n]) = \{\text{subfamilies } (i_0 < \dots < i_n) \text{ of } I \text{ such that } \cap_{k=0}^n X_{i_k} \neq \emptyset\}$$

with the obvious face maps.

The following result is not surprising (a proof is obtained by mimicking [Brown, VII-4-4]).

Proposition 1. *Let n be an integer, X be a pre-simplicial set and $\mathcal{C} = (X_i)_{i \in I}$ be a covering of X .*

If for all non-empty finite $J \subset I$, the sub-pre-simplicial set $\cap_{j \in J} X_j$ is $n - \text{card}(J) + 1$ -acyclic, then the pre-simplicial set X is n -acyclic.

1.2. The criterion.

1.2.1. Adjaset.

Let E be a set. An adjacency relation on E is a symmetric relation \perp such that $x \perp y$ implies $x \neq y$. For convenience, we introduce the neologism *adjaset* for a datum $\mathbb{E} = (E, \perp)$.

Let $\mathbb{E} = (E, \perp)$ be an adjaset and let $P \subset E$ be a subset. We write $\text{Ad}_{\mathbb{E}}(P) = (\{x \in E, \forall p \in P, x \perp p\}, \perp)$.

1.2.2. Geometric realizations.

To an adjaset \mathbb{E} we associate the sets $S(\mathbb{E})[k]$ of maps $x : [k] \rightarrow E$ satisfying the property

$$\forall s, t \in [k], s \neq t \Rightarrow x(s) \perp x(t)$$

and the union $S(\mathbb{E})$ of all $S(\mathbb{E})[k]$'s.

On one hand, we may turn $k \mapsto S(\mathbb{E})[k]$ into a pre-simplicial set (which we denote by $S(\mathbb{E})$) by associating to an element $m \in \text{Mor}_\Delta([k'], [k])$ the map $S(\mathbb{E})(m)$ defined by $S(\mathbb{E})(m)(x) = x \circ m$. We put $|\mathbb{E}| = |S(\mathbb{E})|$.

On the other hand, we may define on $S(\mathbb{E})$ the following order relation:

$$x \leq y \Leftrightarrow \exists m \in \text{Mor}(\Delta), x = y \circ m .$$

This makes of $(S(\mathbb{E}), \leq)$ a poset, the geometric realization $|\text{PS}(S(\mathbb{E}), \leq)|$ of which we write $\|\mathbb{E}\|$.

We note that $\|\mathbb{E}\|$ is nothing but the barycentric subdivision of $|E|$, so that these two topological spaces are homotopy equivalent.

1.2.3. Strong acyclicity.

We say that a non-empty adjaset \mathbb{E} is strongly n -acyclic if the adjaset $\text{Ad}_{\mathbb{E}}(P)$ is $(n - \text{card}(P) + 1)$ -acyclic for all subsets $P \subset E$ satisfying $\text{card}(P) \geq 2$, and extend this definition by saying that a non-empty adjaset is -1 -acyclic.

Proposition 2. *Let $\mathbb{E} = (E, \perp)$ be a strongly n -acyclic adjaset.*

- \mathbb{E} is n -acyclic ;
- $\text{Ad}_{\mathbb{E}}(x)$ is $(n - 1)$ -acyclic for all $x \in E$.

Proof:

◦ Let us begin with some generalities. For two posets $X_1 = (X_1, \leq_1)$ and $X_2 = (X_2, \leq_2)$, the Hopf construction² $H(X_1, X_2)$ is the poset whose underlying set is the disjoint union $X_1 \sqcup X_2 \sqcup X_1 \times X_2$ and whose order is defined by

$$x \leq y \quad \text{if and only if}$$

$$\begin{array}{llll} \text{either} & x \in X_1, & y \in X_1 & \text{and } x \leq_1 y \\ \text{or} & x \in X_2, & y \in X_2 & \text{and } x \leq_2 y \\ \text{or} & x \in X_1, & y = (s, t) \in X_1 \times X_2 & \text{and } x \leq_1 s \\ \text{or} & x \in X_2, & y = (s, t) \in X_1 \times X_2 & \text{and } x \leq_2 t \\ \text{or} & x = (s, t) \in X_1 \times X_2, & y = (u, v) \in X_1 \times X_2 & \text{and } s \leq_1 u, t \leq_2 v \quad . \end{array}$$

The geometric realization $|\text{PS}(H(X_1, X_2))|$ is then homeomorphic to the topological join $|\text{PS}(X_1)| \star |\text{PS}(X_2)|$. By the way, recall that if T_1 is a k_1 -acyclic CW-complex, and if T_2 is a k_2 -acyclic CW-complex, then the join $T_1 \star T_2$ is $(k_1 + k_2 + 2)$ -acyclic.

◦ We associate to $x \in E$ the sub-poset U_x of $(S(E), \leq)$ defined by

$$U_x = S(\text{Ad}_E(x)) \cup \{s \in S(E), s(0) = x\} \quad ,$$

and to a subset $P \subset E$ the sub-poset

$$U_P := \bigcap_{x \in P} U_x \quad .$$

Then the family of pre-simplicial sets $(\text{PS}(U_x, \leq))_{x \in E}$ is a covering of $\text{PS}(S(E), \leq)$. Given a subset $P \subset E$, let us call P_0 the (discrete) poset $\{x \in P, x \in \text{Ad}_{(P, \perp)}(P - x)\}$; then the natural map

$$H(P_0, S(\text{Ad}_E(P))) \rightarrow U_P$$

is an isomorphism of posets.

◦ In order to deduce the first point from proposition 1, it is enough to show that for any subset $P \subset E$, the space $\|U_P\|$ is $n - \text{card}(P) + 1$ -acyclic. If $\text{card}(P) = 1$, then the homeomorphism $\|U_x\| \simeq \|x\| \star \|\text{Ad}_E(x)\|$ implies that $\|U_x\|$ is contractible. If $\text{card}(P) \geq 2$, $\|U_P\|$ is homeomorphic to the join of $\|P_0\|$ and $\|\text{Ad}_E(P)\|$. Since by hypothesis, $\|\text{Ad}_E(P)\|$ is $(n - \text{card}(P) + 1)$ -acyclic, so is $\|U_P\|$.

◦ A similar argument proves the second point. □

In particular, for E to be strongly n -acyclic, it is enough that $\text{Ad}_E(P)$ be $(n - \text{card}(P) + 1)$ -strongly acyclic for any subset P such that $\text{card}(P) \leq n + 2$. From this remark, one immediately deduces the

Acyclicity criterion: *Let $E = (E, \perp)$ be an adjasets and k an integer. If for any family (P_1, \dots, P_u) of finite subsets of E such that*

$$\text{card}(P_i) \geq 2 \quad , \quad i \neq j \Rightarrow P_i \perp P_j \quad \text{and} \quad \sum_i (\text{card}(P_i) - 1) \leq k + 1$$

the set $(\cup_i P_i)^\perp$ is not empty, then E is k -acyclic.

2. ON PROPERTIES \mathcal{C} AND \mathcal{A} FOR HERMITIAN MODULES

2.1. Hermitian modules over composition algebras.

²According to the referee, this construction has been introduced and studied by Hendrik Maazen in his thesis, and so christened to avoid confusion with the classical join construction for posets.

2.1.1. Composition algebras over fields.

If R is a (commutative) field, a composition algebra $C = (C, N)$ over R is the data of a finite dimensional R -algebra C with unit e together with a non-degenerate quadratic form $N : C \rightarrow R$ such that

$$N(xy) = N(x)N(y) \quad (\forall x, y \in C) .$$

Here, non-degenerate means that the associated bilinear form

$$b(x, y) = N(x + y) - N(x) - N(y)$$

induces an isomorphism

$$\begin{aligned} \psi : C &\rightarrow \text{Hom}_R(C, R) \\ x &\mapsto [y \mapsto b(x, y)] \end{aligned} .$$

Such a composition algebra comes with a natural anti-involution (called the conjugation in C) $x \mapsto \bar{x} = b(x, e)e - x$. Put $\text{Tr}(x) = x + \bar{x}$. Then one has the properties

- $x\bar{x} = \bar{x}x = N(x)e$,
- $x = \bar{x} \Leftrightarrow x \in R.e$,
- the polynomial $\chi_x(Z) = Z^2 - \text{Tr}(x)Z + N(x)e$ is characteristic for x (i.e. $\chi_x(x) = 0$).

Here are the possibilities for composition algebras over fields:

(D1): C is 1-dimensional over R , $C = R$, $N(x) = x^2$, and $\bar{x} = x$,

(D2S): C is 2-dimensional split over R , $C = R \times R$, $N((\alpha, \beta)) = \alpha\beta$, and $\overline{(\alpha, \beta)} = (\beta, \alpha)$,

(D2NS): C is 2-dimensional non-split over R , $C = R[X]/X^2 - \theta$ for some non-square $\theta \in R$, $N(\alpha + \beta X) = \alpha^2 - \theta\beta^2$, and $\overline{\alpha + \beta X} = \alpha - \beta X$,

(D4S): C is 4-dimensional split over R , $C = M_2(R)$, $N(x) = \det(x)$ and $\overline{\begin{bmatrix} \alpha & \beta \\ \gamma & \delta \end{bmatrix}} = \begin{bmatrix} \delta & -\beta \\ -\gamma & \alpha \end{bmatrix}$,

(D4NS): C is 4-dimensional non-split over R , and is an anisotropic quaternion algebra $C = (C, N) = \left(\frac{u, v}{K}\right)$ defined by

$$\begin{aligned} Ce = R + Ri + Rj + Rij \text{ with } i^2 = -ue, \quad j^2 = -ve, \quad ij = -ji \\ \overline{\alpha e + \beta i + \gamma j + \delta k} = \alpha e - \beta i - \gamma j - \delta k \text{ and } N(a)e = a.\bar{a} . \end{aligned}$$

(D8): C is 8-dimensional and non-associative.

In what follows, we will always assume our composition algebras to be associative.

2.1.2. Composition algebras over local rings and rings of (S) -integers.

Let K be a number field with ring of integers \mathcal{O}_K , \mathcal{V} (resp. \mathcal{V}^f , resp. \mathcal{V}^∞) its set of places (resp. non-archimedean places, resp. archimedean places), Ω a finite subset of \mathcal{V} containing \mathcal{V}^∞ , and $S = \mathcal{V} - \Omega$.

For \mathfrak{p} in \mathcal{V} , let $K_{\mathfrak{p}}$ be the completion of K at \mathfrak{p} . If \mathfrak{p} is non-archimedean (resp. archimedean), let $\mathcal{O}_{K_{\mathfrak{p}}}$ be the ring of integers of $K_{\mathfrak{p}}$ (resp. put $\mathcal{O}_{K_{\mathfrak{p}}} = K_{\mathfrak{p}}$). We also use the notation \mathfrak{p} for the maximal ideal in $\mathcal{O}_{K_{\mathfrak{p}}}$.

The ring of (S) -integers of K is the subset $\mathcal{O}_{K, S}$ of elements that are in $\mathcal{O}_{K_{\mathfrak{p}}}$ for all $\mathfrak{p} \in S$.

In this paper, we define a composition algebra $C = (C, N)$ over $\mathcal{O}_{K_{\mathfrak{p}}}$ to be a maximal $\mathcal{O}_{K_{\mathfrak{p}}}$ -order C in a composition algebra $(C_{K_{\mathfrak{p}}}, N)$ over $K_{\mathfrak{p}}$. Note that the form of such a maximal order is quite restricted:

- if $C_{K_{\mathfrak{p}}}$ is 1-dimensional, then $C = \mathcal{O}_{K_{\mathfrak{p}}}$.
- if $C_{K_{\mathfrak{p}}}$ is 2-dimensional non split, then it is a (commutative) field and $C = \mathcal{O}_{C_{K_{\mathfrak{p}}}}$ is its ring of integers, which is a local ring. If $\mathfrak{p}C$ is the maximal ideal of C , then we say C is of type D2NSI (I stands for inert). If $\mathfrak{p}C$ is not the maximal ideal \mathfrak{q} of C , then we have $\mathfrak{q}^2 = \mathfrak{p}C$, and we say C is of type D2NSR (R stands for ramified).
- if $C_{K_{\mathfrak{p}}} = K_{\mathfrak{p}} \times K_{\mathfrak{p}}$ is 2-dimensional split, then $C_{\mathfrak{p}} = \mathcal{O}_{K_{\mathfrak{p}}} \times \mathcal{O}_{K_{\mathfrak{p}}}$.
- if $C_{K_{\mathfrak{p}}} = M_2(K_{\mathfrak{p}})$ is 4-dimensional split, then $C_{\mathfrak{p}} \simeq M_2(\mathcal{O}_{K_{\mathfrak{p}}})$.

◦ if $C_{K_{\mathfrak{p}}}$ is 4-dimensional non split, then $C_{K_{\mathfrak{p}}} = (\frac{\pi, \Delta}{K_{\mathfrak{p}}})$, where π is a uniformiser for \mathfrak{p} and Δ is a unit of maximal quadratic defect (see [O'Meara 63:17]), is a skew field and $C_{\mathfrak{p}} = \mathcal{O}_{C_{K_{\mathfrak{p}}}}$ is its ring of integers (i.e. the subset of elements $x \in C_{K_{\mathfrak{p}}}$ whose characteristic polynomial χ_x have coefficients in $\mathcal{O}_{K_{\mathfrak{p}}}$).

Finally, we define a composition algebra $C = (C, N)$ over $\mathcal{O}_{K, S}$ to be a maximal $\mathcal{O}_{K, S}$ -order C in a composition algebra (C_K, N) over K . As is well known, this is equivalent to requiring that $C_{\mathfrak{p}} := \mathcal{O}_{K_{\mathfrak{p}}} \otimes_{\mathcal{O}_{K, S}} C$ be a maximal order in $(C_K)_{\mathfrak{p}}$ at each place $\mathfrak{p} \in S$.

2.1.3. Hermitian modules over composition algebras.

Let R be a commutative ring with unit, and C an associative R -algebra together with an anti-automorphism $c \mapsto \bar{c}$ centralizing R .

Let M be a left C -module. The dual module $\text{Hom}_C(M, C)$ is made into a left C -module by putting

$$(\lambda \cdot \varphi)(x) = \varphi(x) \bar{\lambda} .$$

A hermitian module is the datum $M = (M, h)$ of a projective left C -module M of finite type, together with a hermitian form relative to C , i.e. a function $h : M \times M \rightarrow C$ such that $h(x, y) = \overline{h(y, x)}$ and $h(ax, by) = ah(x, y)\bar{b}$. In particular, one has $h(x, x) \in R$ and $h(\lambda x, \lambda x) = N(\lambda)h(x, x)$ for all $x \in M$ and $\lambda \in C$.

When k is a (commutative) R -algebra, we write $M_k = (M_k, h_k)$ for the left C_k -module $M_k = k \otimes_R M$ together with the hermitian form h_k obtained from h by extension of scalars.

We will say that the hermitian module M is an h -module (resp. an \tilde{h} -module) if the associated morphism of left C -modules

$$\begin{aligned} \Psi_h : M &\rightarrow \text{Hom}_C(M, C) \\ y &\mapsto [x \mapsto h(x, y)] \end{aligned}$$

is an isomorphism (resp. is injective).

Of course, when R is a field, both notions coincide. Moreover, we have

Lemma 3. *Let R be a field, let $C = (C, N)$ be a composition algebra over R and let $M = (M, h)$ be an h -module over C . Then M is a free C -module, and if C is split, M admits an orthonormal basis. Moreover, a C -submodule of M that can be generated by m vectors is contained in an h -submodule of M of rank no larger than $2m$.*

Proof of the first point: Assume C is of type D2S. Write $C = R \times R$, put $\varepsilon_1 = (1, 0)$, $\varepsilon_2 = (0, 1)$, and consider $M_1 = \varepsilon_1 M$, $M_2 = \varepsilon_2 M$. Then M_1 and M_2 are totally isotropic (i.e. $h(x, y) = 0$ for $x, y \in M_i$), and M decomposes as a direct sum $M_1 \oplus M_2$. Let x be an element of M_1 . There exists an element y in M_2 such that $h(x, y) = \varepsilon_1$. Thus $h(x + y, x + y) = e$. The submodule $C \cdot (x + y)$ is free, and splits. An induction argument furnishes an orthonormal basis for M .

Assume C is of type D4S. Write $C = M_2(R)$ is of type D4S, put $\varepsilon_1 = \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix} \in C$ and $\varepsilon_2 = \begin{bmatrix} 0 & 0 \\ 0 & 1 \end{bmatrix} \in C$.

Let x be a non-trivial vector of $\varepsilon_1 M$. Then $h(x, x)$ is null, since it has to be a scalar multiple of $e = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$ that lies in $\begin{bmatrix} 0 & * \\ 0 & 0 \end{bmatrix}$. Now the restriction $h : \varepsilon_1 M \times \varepsilon_2 M \rightarrow R$ is R -bilinear, with values in $\begin{bmatrix} * & 0 \\ 0 & 0 \end{bmatrix}$ and is non-degenerate. Thus one can find a vector y in $\varepsilon_2 M$ such that $h(x, y) = \varepsilon_1$, and one has $h(x + y, x + y) = e$. An induction argument furnishes an orthonormal basis for M . The second point admits a similar proof. \square

When R is either a local ring or a ring of (S) -integers with field of fractions K , and $C = (C, N)$ is a composition algebra over R , we define the rank of M to be the dimension over C_K of the free module M_K . We associate to M its dual M^{\sharp} which is defined by

$$M^{\sharp} = \{x \in M_K, h(x, m) \in C \forall m \in M\} .$$

◦ M^{\sharp} is a C -module that contains M ,

- M is an \tilde{h} -module iff M_K is an h -module, and then $M^\sharp = (\Psi_{h_K})^{-1} [\text{Hom}_C(M, C)]$ is a C -lattice in M_K ,
- M is an h -module iff $M^\sharp = M$.

Examples: Let R be either a field K , a local ring with field of fractions K , or an (S) -arithmetic ring with field of fractions K and let $C = (C, N)$ be a composition algebra over R .

◦ For any element $r \in R$, the hermitian module $\langle r \rangle_C = (C, h)$ defined by $h(x, y) = rx\bar{y}$ is an h -module when r is invertible in R and an \tilde{h} -module when r is non-zero.

◦ For any element $\varepsilon \in R^\times$, the h -module $\langle 1 \rangle^{\perp n-1} \perp \langle \varepsilon \rangle$ will be denoted by $I_n^{(\varepsilon)}(C)$. For $\varepsilon = 1$, we denote it by $I_n(C)$.

◦ Let c be an element of C . The hermitian module $H^{(c)}(C) = (C \times C, h)$ defined by $h((x, x'), (y, y')) = xc\bar{y}' + x'\bar{c}y$ is an \tilde{h} -module when c becomes invertible in $K \otimes_R C$ and is an h -module when c is invertible in C . For $c = 1$, we denote it by $H(C)$ and call it the hyperbolic plane. An h -module over C is said to be hyperbolic if it decomposes as an orthogonal sum of hyperbolic planes.

◦ Let $M = (M, h)$ be a hermitian module over C , and r an element of R . We write $\langle r \rangle \otimes M$ for the hermitian module (M, h') defined by $h'(x, y) = r.h(m, n)$. More generally, if N is a hermitian module over R , we denote by $N \otimes M$ the hermitian module $(N \otimes M, h_N \otimes h_M)$ defined by $h_N \otimes h_M(n \otimes m, n' \otimes m') = h_N(n, n')h_M(m, m')$.

◦ When the context makes it superfluous, we omit mention of C and write I_n^ε and I_n, H and so on.

Let C be a composition algebra over R and let $M = (M, h)$ be a hermitian module over C . The elements of $\text{Hom}_C(M, M)$ act on the right on M (so that $(\lambda x).g = \lambda(x.g)$). The group of invertible elements g in $\text{Hom}_C(M, M)$ such that $h(x.g, y.g) = h(x, y)$ for all $x, y \in M$ will be written $U(M)$. The following remark is classical.

Remark: if C is of type D2S, and M is of rank n , then the projection $U(M) \rightarrow \text{Aut}_R(\varepsilon_1 M) \simeq \text{GL}_n(R)$ is an isomorphism, where $\varepsilon_1 = (1, 0)$ as above.

If C is of type D4S and M is of rank n , then on the R -vector space $\varepsilon_1 M$, where $\varepsilon_1 = \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix}$ as above, h is R -bilinear and alternating with values in $\begin{bmatrix} 0 & * \\ 0 & 0 \end{bmatrix}$ and the projection $U(M) \rightarrow \text{Aut}(\varepsilon_1 M, h) \simeq \text{Sp}_{2n}^s(R)$ furnishes an isomorphism between the unitary group of M and the split form over R of the symplectic group.

2.1.4. Properties \mathcal{C} and \mathcal{A} .

Let R be either a field, a local ring or an (S) -arithmetic ring, and let C be a composition algebra over R . Recall from the introduction that we are interested in the following properties, defined for two h -modules M and N over C , and a sequence $f = (f_k)_{k \geq 0}$ of integers such that $f_k \geq 2k + 1$.

Property $\mathcal{C}(M, N)$: let B be an h -module over C . If there exists an isomorphism $B \perp N \simeq M \perp N^{k+1}$ for some integer k , then there exists an isomorphism $B \simeq M \perp N^k$.

Property $\mathcal{A}(M, N, f)$: for all $n \geq f_k$, for all k -test sequences (P_1, \dots, P_s) of elements of $\text{Rep}(N, M \perp N^{n+1})$ there exist an element T in $\text{Rep}(N, M \perp N^{n+1})$ such that T is orthogonal to all elements of each P_i .

Let μ and ν be respectively the ranks of M and N . Let

$$\{N_1^1, \dots, N_{u_1}^1\} \perp \{N_1^2, \dots, N_{u_2}^2\} \perp \dots \perp \{N_1^s, \dots, N_{u_s}^s\}$$

be such a k -test sequence in $M \perp N^{\perp n+1}$; then $P = \sum_{i,j} N_i^j$ is contained in an \tilde{h} -module Q of rank no larger than $3(k+1)\nu$ that contains at least $s \geq 1$ direct summands isomorphic to N . We just have to ensure that N is represented by Q^\perp , which is an \tilde{h} -module of rank at least $\mu + (n - 3k - 2)\nu$. In all situations we shall encounter, the sequence $(f_k)_k$ will be linear in k . Moreover we will always work in the presence of property $\mathcal{C}(M, N)$. Thus we introduce the following property, for $\alpha \geq 2$ and $\beta \geq 1$ (that, in presence of $\mathcal{C}(M, N)$, implies $\mathcal{A}(M, N, f_k)$ with $f_k = \alpha k + \beta$):

Property $\mathcal{A}(M, N, \alpha, \beta)$: If $n \geq \alpha k + \beta$, the orthogonal complement of any sub- \tilde{h} -module of $M \perp N^n$ of rank no larger than $(3k + 2)\nu$ represents N .

In many cases, property $\mathcal{A}(M, N, \alpha, \beta)$ (resp. $\mathcal{C}(M, N)$) holds for all modules M and N over a given C . In that case, we say that property $\mathcal{A}(\alpha, \beta)$ (resp. \mathcal{C}) holds over C .

2.2. Properties \mathcal{C} and \mathcal{A} when R is a field.

2.2.1. t -universal composition algebras.

Note that when R is a field where 2 is invertible, and C is a composition algebra over R that is non-split, property \mathcal{C} holds by Witt's theorem. It also follows from lemma 3 that property \mathcal{C} holds over a split algebra C over any field.

A composition algebra C over a field R will be said to be t -universal if any h -module over C admits an orthogonal basis, and if any h -module over C of rank t represents all h -modules of rank 1.

For example,

- C/C is 1-universal.
- a split composition algebra over a field is 1-universal by lemma 3.
- if R is a finite field of odd characteristic, then R/R is 2-universal, and if C/R is of type D2NS, C is 1-universal.
- If R is a complete local field, then C/R is 4-universal (resp. 2-universal, resp. 1-universal) if C is of type D1 (resp. D2NS, resp. D4NS).

Now the following remark is obvious:

Proposition 4. *If C is t -universal, property $\mathcal{A}(M, N, 3, 3 + \frac{t-1-\mu}{\nu})$ holds, and in particular, $\mathcal{A}(3, t+2)$ holds over C .*

Thus in all the examples listed above, properties \mathcal{C} and \mathcal{A} hold.

2.2.2. C of type D1 or D2NS over a finite field of characteristic 2.

Let M be an h -module over C . Then if u is a non-trivial isotropic vector of M , there exists a vector $y \in M$ such that $h(x, y)$ equal 1. If y is isotropic, then the submodule $\langle x, y \rangle$ is hyperbolic. If y is non-isotropic, then the submodule $\langle y \rangle$ is an h -submodule. Since the map $C^\times \xrightarrow{-N} R^\times$ is onto, it follows that there exists an isomorphism

$$N \simeq H^s \perp \langle 1 \rangle^t .$$

Now if C/R is an extension $\mathbb{F}_{q^2}/\mathbb{F}_q$, then there exists $\varepsilon \in \mathbb{F}_q$ such that $\mathbb{F}_{q^2} = \mathbb{F}_q[X]/(X^2 + X + \varepsilon)$. The trace of an element $a + bX$ is then b . In particular, $X + \bar{X} = 1$. This furnishes an isomorphism

$$N \simeq \langle 1 \rangle^{2s+t}$$

and C is 1-universal. In particular, properties \mathcal{C} and $\mathcal{A}(3, 3)$ hold over C .

If $C = R$, then there exists an isomorphism $\langle 1 \rangle^{\perp 3} \simeq H \perp \langle 1 \rangle$, already defined over \mathbb{F}_2 . Thus for any h -module N , there exists a decomposition

$$N \simeq H^s \perp \langle 1 \rangle^t$$

for a unique integer $t \in \{0, 1, 2\}$ (note that since any vector of the hyperbolic plane over R is isotropic, there exists no isomorphism $\langle 1 \rangle^{\perp 2} \rightarrow H$). It follows that

- property $\mathcal{C}(M, N)$ holds for any M when N is hyperbolic,
- $N^{\perp 3}$ is isomorphic to $N' \perp N$ with N' hyperbolic for any N ,
- property $\mathcal{A}(M, N, 3, 4)$ holds for any M when N is hyperbolic.

2.2.3. $R = \mathbb{R}$ and C non-split.

In this situation, there exists for any \mathfrak{h} -module M an isomorphism

$$M \simeq \langle 1 \rangle^{\perp s} \perp \langle -1 \rangle^{\perp t} .$$

The integers s and t are uniquely determined, and the couple (s, t) is called the signature of M .

Proposition 5. *If $R = \mathbb{R}$ and C/R is non-split, then for all \mathfrak{h} -modules M and N of respective ranks μ and ν over C , $\mathcal{A}(M, N, \nu + 2, \nu + 2)$ holds. If moreover M and N are both positive (or both negative) definite, $\mathcal{A}(M, N, f)$ holds, with $f_k = 2k + 2$.*

Proof: Let (μ^+, μ^-) be the signature of M and let (ν^+, ν^-) be the signature of N . The signature of Q^\perp is at worst $(\mu^+ + (n - 2k - 1)\nu^+ - (k + 1)\nu, \mu^- + (n - 2k - 1)\nu^- - (k + 1)\nu)$. Thus N will be represented by Q^\perp as soon as $n \geq (\max(\frac{\nu^-}{\nu^+}, \frac{\nu^-}{\nu^-}) + 2)k + (\max(\frac{\nu^-}{\nu^+}, \frac{\nu^-}{\nu^-}) + 2)$. \square

2.2.4. R a global field.

The following result, known as the Hasse principle, is obvious when C is split, and follows immediately from the well-known Hasse-Minkowski theorem [O'Meara 66:3] in the other cases.

Theorem 6. *Let R be a global field, C a composition algebra over R , M and N two \mathfrak{h} -modules over C . Then M represents N iff $M_{\mathfrak{p}}$ represents $N_{\mathfrak{p}}$ at each place \mathfrak{p} of R .*

Corollary 7. *Let R be a global field, C a composition algebra over R , M and N two \mathfrak{h} -modules over C of respective ranks μ and ν . Then property $\mathcal{A}(M, N, \nu + 2, \max(\nu + 2, 6))$ holds. If there exists a real place at which M and N are both positive (or both negative) definite, then property $\mathcal{A}(M, N, f)$ holds with $f_k = 2k + 2 + 3/\nu$.*

2.3. The residue of an $\tilde{\mathfrak{h}}$ -module.

Let R be either a local ring or a ring of (S) -integers, with fraction field K . Let $C = (C, N)$ be a composition algebra over R . We have seen that the dual M^\sharp of an $\tilde{\mathfrak{h}}$ -module $M = (M, h)$ is a C -lattice in $M_K = (M_K, h)$ that contains M . The quotient M^\sharp/M is a left C -torsion module and inherits a form, that we still write h , defined by

$$h(x + M, y + M) = h(x, y) + C \in C_K/C .$$

The pair $(M^\sharp/M, h)$ is called the residue of M and is denoted by $\text{Res}(M)$.

Lemma 8. *Under the notation above, the following hold:*

- i) *Let x be an element of M^\sharp/M . If $h(x, y)$ is trivial for all $y \in M^\sharp/M$, then $x = 0$.*
- ii) *Assume M is an \mathfrak{h} -module over C . Let $V_1 \perp V_2$ be a decomposition of M_K and let p_i be the projection of M_K on V_i . Let M_i be the C - $\tilde{\mathfrak{h}}$ -modules $M \cap V_i$. Then*
 - $M_i^\sharp = p_i(M)$,
 - $\text{Res}(M_1 \perp M_2)$ contains $I = M/(M_1 \perp M_2)$, and I is self orthogonal,
 - the projections $M_1^\sharp/M_1 \xleftarrow{p_1} I \xrightarrow{p_2} M_2^\sharp/M_2$ are isomorphisms (of C -modules) and induce an isomorphism $\text{Res}(M_1) \simeq \langle -1 \rangle \otimes \text{Res}(M_2)$.

Recall that property $\mathcal{A}(M, N, \alpha, \beta)$ over C (in the presence of property $\mathcal{C}(M, N)$) says that for $n \geq \alpha k + \beta$, the orthogonal complement in $M \perp N^{\perp n}$ of any $\tilde{\mathfrak{h}}$ -submodule Q of rank no larger than $(3k + 2)\nu$ contains a copy of N . The lemma above shows that the following property implies $\mathcal{A}(M, N, \alpha, \beta)$:

$\mathcal{A}'(M, N, \alpha, \beta)$: For all $n \geq \alpha k + \beta$, any $\tilde{\mathfrak{h}}$ -submodule P of $M \perp N^{\perp n}$ of rank at least $\mu + (n - 3k - 2)\nu$, whose residue $\text{Res}(P)$ admits a system of l generators (over C) with $l \leq (2k + 2)\nu$, represents N .

2.4. Properties \mathcal{C} and \mathcal{A} when R is a local ring.

2.4.1. R a local ring and C of type $D2S$, $D2NSI$, $D4$, or R a non-dyadic local ring.

Let R be a local ring with maximal ideal \mathfrak{p} and uniformiser π_R . Let $C = (C, R)$ be a composition algebra over R . Let M be an \mathfrak{h} -module. In the situations studied in this paragraph, M admits a canonical form. More precisely

i) If R is non-dyadic and C is of type $D1$, there exists a unique sequence of integers n_i and a unique sequence of elements $\varepsilon_i \in R^\times / N(C^\times)$ for which one has a decomposition

$$M \simeq \perp_i \langle \pi_R^i \rangle \otimes I_{n_i}^{\varepsilon_i}(C) .$$

ii) If C is of type $D2S$, $D4S$, $D2NSI$, there exists a unique sequence of integers n_i for which one has a decomposition

$$M \simeq \perp_i \langle \pi_R^i \rangle \otimes I_{n_i}(C) .$$

iii) If C is of type $D4NS$, or if R is non-dyadic and C is of type $D2NSR$, with uniformiser π_C , then there exists a unique sequence of integers n_i , and a unique sequence of elements $\varepsilon_i \in R^\times / N(C^\times)$ for which one has a decomposition

$$M \simeq \sum_i M_i \quad \text{with} \quad \begin{cases} M_i = \langle \pi_R^{i/2} \rangle \otimes I_{n_i}^{\varepsilon_i}(C) & \text{if } i \text{ is even} , \\ M_i = \left(H^{(\pi_C^i)}(C) \right)^{\perp n_i} & \text{if } i \text{ is odd} . \end{cases}$$

Proofs of these results may be found in [O'Meara 92:11 & 92:2] for i), in [S1964 3.1] for ii) $D2S$, in [S1964 6.11] for ii) $D4S$, in [J1962 7] for ii) $D2NSI$, in [J1962 6.1] for iii) $D4NS$ and in [J1962 8.1] for iii) $D2NSR$. We immediately deduce from these facts and from lemma 8:

Proposition 9. *Properties \mathcal{C} and $\mathcal{A}'(5, 6)$ hold over all composition algebras C considered above.*

2.4.2. R a dyadic local ring and C is of type $D1$ or $D2NSR$.

In this situation, there are no canonical forms, at least not as simple as above. Moreover, property \mathcal{C} does not hold in general. Nevertheless, the following hold:

i) Assume N is hyperbolic. Then for all \mathfrak{h} -modules M , property $\mathcal{C}(M, N)$ holds.

ii) Let M be an \mathfrak{h} -module. If C is of type $D1$ and if $\text{rk}(M) \geq 5$, or if C is of type $D2NSR$ and if $\text{rk}(M) \geq 3$, then M represents a hyperbolic plane.

Proofs may be found in [O'Meara 93:14 & 93:18] for the $D1$ case and in [J1962 9.3 & 10.3] for the $D2NSR$ case.

Proposition 10. *Let R be a dyadic local ring, C a composition algebra over R and M and N two \mathfrak{h} -modules over C . If N is hyperbolic, then*

- property $\mathcal{C}(M, N)$ holds,
- if C is of type $D1$, then property $\mathcal{A}'(M, N, 5, 7)$ holds,
- if C is of type $D2NSR$, then property $\mathcal{A}'(M, N, 5, 6)$ holds.

We will have to use the following consequence of proposition 10.

Proposition 11. *Let R be a dyadic local ring, let C be a composition algebra of type $D1$ (resp. $D2NS$) over R and let M and N be two \mathfrak{h} -modules over C . If N is hyperbolic, and $n \geq 8$ (resp. $n \geq 7$), then the group $U(M \perp N^{\perp n})$ is generated by conjugates of the subgroup $U(M \perp N^{\perp 7})$ (resp. $U(M \perp N^{\perp 6})$).*

2.5. The case of a ring of (S) -integers.

2.5.1. The rings to be considered.

- F is a number field with ring of integers \mathcal{O} , and \mathcal{V}^f (resp. \mathcal{V}^∞) is its set of non-archimedean (resp. archimedean) places and \mathcal{V} is the union $\mathcal{V} = \mathcal{V}^\infty \cup \mathcal{V}^f$. For \mathfrak{p} in \mathcal{V} , the field $F_{\mathfrak{p}}$ is the completion of F with respect to \mathfrak{p} , and for $\mathfrak{p} \in \mathcal{V}^f$ (resp. $\mathfrak{p} \in \mathcal{V}^\infty$), the ring $\mathcal{O}_{\mathfrak{p}}$ is the ring of integers in $F_{\mathfrak{p}}$ (resp. we put $\mathcal{O}_{\mathfrak{p}} = F_{\mathfrak{p}}$).
- S is a subset of \mathcal{V} with finite complement Ω containing \mathcal{V}^∞ and at least one non-archimedean place \mathfrak{p}_0 , and S_2 is the subset of dyadic elements of S .
- A is the ring of S -integers in F : $A = F \cap \bigcap_{\mathfrak{p} \in S} \mathcal{O}_{\mathfrak{p}}$, and, for any $\mathfrak{p} \in \mathcal{V}$, we put $A_{\mathfrak{p}} = A \otimes_{\mathcal{O}} \mathcal{O}_{\mathfrak{p}}$.
- \mathbb{A} is the product $\prod_{\mathfrak{p} \in \mathcal{V}} A_{\mathfrak{p}}$ and \mathbb{F} is the tensor product $F \otimes_A \mathbb{A}$.
- $C = (C, N)$ is a composition algebra over A of dimension d .

2.5.2. The genus and the Ω -genus of an \tilde{h} -module.

- Let $V = (V, h)$ be a hermitian module over a composition algebra $D = (D, n)$ over a field R where 2 is invertible. We define a subgroup $U'(V)$ of $U(V)$ as follows.

◦ if D is 4-dimensional, then we put $U'(V) = U(V)$,

◦ if D is 2-dimensional, then the determinant δ is defined on $U(V)$, and we put $U'(V) = SU(V) = \ker(\delta)$; the quotient $U(V)/U'(V)$ is identified via δ with the abelian group

$$S^1(C_F) := \{x \in F, x\bar{x} = 1\} .$$

◦ if D is 1-dimensional, then $U(V)$ is generated by reflections $\tau_x : y \mapsto y - 2\frac{h(x,y)}{h(x,x)}x$ (with x non-isotropic). On $U(V)$ is defined the spinor norm $\Theta : U(V) \rightarrow R^\times/R^{\times 2}$, characterized by $\Theta(\tau_x) = h(x, x)$. The subgroup $U'(V)$ is then the kernel of Θ restricted to $SU(V)$. It is also the image of the natural morphism $\text{Spin}(V) \rightarrow U(V)$.

For a subset E of V , and $G = U(V)$ (resp. $G = U'(V)$), we denote by $U(E)$ (resp. $U'(E)$) the set of elements $\gamma \in G$ such that $E \cdot \gamma = E$. For a group morphism $\varphi : U(V) \rightarrow H$ and a subset E of V , we put $\varphi(E) = \varphi(U(E))$.

- Let $V = (V, h)$ be an h -module over C_F of rank n . Let M be a C -lattice on V . The genus $\text{gen}(M)$ of M is the set of all C -lattices M' on V such that M and M' are isometric at each place \mathfrak{p} (*i.e.* there exists an element $\gamma_{\mathfrak{p}}$ of $U(V_{\mathfrak{p}})$ such that $M'_{\mathfrak{p}} = M_{\mathfrak{p}} \cdot \gamma_{\mathfrak{p}}$ for each \mathfrak{p} ; note that for almost all \mathfrak{p} , one has an equality $M_{\mathfrak{p}} = M'_{\mathfrak{p}}$ so that $\gamma_{\mathfrak{p}}$ lies in $U(M_{\mathfrak{p}})$).

On $\text{gen}(M)$, we define the following equivalence relation: $M' \sim M''$ if $M'_{\mathfrak{p}}$ and $M''_{\mathfrak{p}}$ are isometric *via* an element of $U'(V_{\mathfrak{p}})$ at each place \mathfrak{p} . The set of equivalence classes for \sim is written $\Omega\text{gen}(M)$. (A justification for this notation is that the inclusion of the commutator subgroup $\Omega U(V)$ in $U'(V)$ is almost always an equality.) Note that the group $U(V)$ still acts on $\Omega\text{gen}(M)$. Let $\overline{\Omega\text{gen}(M)}$ be the quotient of $\Omega\text{gen}(M)$ by this action. We denote by $\overline{M'}$ the class of an element M' in this quotient. Then

- The set $\text{gen}(M)$ is parametrized by the quotient $U(M_{\mathbb{A}}) \setminus U(V_{\mathbb{F}})$.
- The set $\Omega\text{gen}(M)$ is parametrized by the quotient $U(M_{\mathbb{A}}) \setminus U(V_{\mathbb{F}})/U'(V_{\mathbb{F}})$.
- The set $\overline{\Omega\text{gen}(M)}$ is parametrized by the quotient

$$Q(M) := U(M_{\mathbb{A}}) \setminus U(V_{\mathbb{F}}) / [U'(V_{\mathbb{F}}) \cdot U(V)] .$$

This is an abelian group, that acts simply transitively on $\overline{\Omega\text{gen}(M)}$. This action is natural in the following sense: if M (resp. N) is an A -lattice in an h -module V (resp. W) over C_F , then the map

$$a(M, N) : \begin{array}{ccc} \overline{\Omega\text{gen}(M)} & \rightarrow & \overline{\Omega\text{gen}(M \perp N)} \\ M' & \mapsto & M' \perp N \end{array}$$

is equivariant with respect to the morphism $Q(M) \rightarrow Q(M \perp N)$.

Proposition 12. *Let M (resp. N) be a C -lattice on an h -module $V = (V, h)$ (resp. $W = (W, h')$) over C_F . If C is of type D1 (resp. D2 or D4), assume V has dimension greater than 3 (resp. 1). Then the map $a(M, N)$ is onto.*

Proof: First, note that if C_F is of type D4, then there is nothing to prove. When C_F is of type D2, the isomorphism

$$Q(M) \simeq \delta(M_{\mathbb{A}}) \backslash S^1(C_{\mathbb{F}})/S^1(C_F)$$

immediately implies the result. When C_F is of type D1, the natural map $SU(M_A) \backslash SU(V_{\mathbb{F}}) \rightarrow U(M_A) \backslash U(V_{\mathbb{F}})$ is onto. This furnishes an epimorphism $\Theta(M_{\mathbb{A}}) \backslash \Theta(V_{\mathbb{F}})/\Theta(V) \rightarrow Q(M)$. The result is then implied by the fact that $\Theta(V_{\mathbb{F}}) \rightarrow \Theta(V_{\mathbb{F}} \perp W_{\mathbb{F}})$ is onto, which follows from the equality $\Theta(V_{\mathfrak{p}}) = F_{\mathfrak{p}}^{\times}$, that is valid at any non-archimedean place \mathfrak{p} (under the assumption $\dim(V) \geq 3$, see [O'Meara 91.6]). \square

The following result follows from Kneser's strong approximation theorem [K 1966] (see [O'Meara 104:4] for the D1 case).

Theorem 13. *Let M be a C -lattice in an h -module V over C_F of rank $n > 1$. If C is of type D1, assume $n \geq 5$. If $V_{\mathfrak{p}}$ is isotropic for some $\mathfrak{p} \in \Omega$, then two lattices M' and M'' in $\text{gen}(M)$ have the same image in $\overline{\Omega\text{gen}}(M)$ if and only if they are isometric via an element of $U(V)$.*

2.5.3. Properties \mathcal{C} and \mathcal{A} .

An h -module M is said to be locally cancellable if it is hyperbolic at each place $\mathfrak{p} \in S_2$ (so that $\mathcal{C}(L_{\mathfrak{p}}, M_{\mathfrak{p}})$ holds for any \tilde{h} -module L over C , at each place \mathfrak{p}).

An h -module M is said to be initial if it is at least of rank $4 - d$ over C , if there exists a place $\mathfrak{p} \in \Omega$ such that $M_{\mathfrak{p}}$ be isotropic, and if $a(M, N)$ is bijective for each locally cancellable h -module N .

Proposition 14. *Let M be an initial h -module over C , let N be a locally cancellable h -module over C , and let P be any sub- h -module of $M \perp N$ such that there exists an isomorphism $P \simeq N$. Then the modules P^{\perp} and M are isomorphic.*

Proof: Let R be the orthogonal complement of P in $M \perp N$, and put $V = R_F$ (resp $W = (M)_F$). Since N is locally cancellable, there exists an isomorphism $R_{\mathfrak{p}} \simeq (M)_{\mathfrak{p}}$ at each place \mathfrak{p} . In particular, the Hasse principle shows that V and W are isomorphic. So we can consider R and M as C -lattices in V , that are in the same genus. Now since the map $a(M, N)$ is bijective, R and M are in the same class in $\overline{\Omega\text{gen}}(M)$. It follows from theorem 13 that R and $M \perp N$ are isometric. \square

Proposition 15. *Let M be an \tilde{h} -module over C that is isotropic at some place $\mathfrak{p} \in \Omega$ and let N be a locally cancellable h -module over C such that $M_{\mathfrak{p}}$ represent $N_{\mathfrak{p}}$ at each place \mathfrak{p} . Assume the inequality $\text{rk}(M) \geq \text{rk}(N) + 4 - d$ holds. If C is of type D1, assume moreover that M has rank at least 5. Then the module M represents N .*

Proof: Let us write $V = M_F$ and $W = N_F$. By the Hasse principle, we can think of W as a sub- h -module of V . Let X be the orthogonal complement of W in V . For each $\mathfrak{p} \in \mathcal{V}$, let $N(\mathfrak{p})$ be any representation of $N_{\mathfrak{p}}$ in $M_{\mathfrak{p}}$, and let $L(\mathfrak{p})$ be the orthogonal complement of $N(\mathfrak{p})$ in $M_{\mathfrak{p}}$. Let L' be any A -lattice in X . Then at all places, there is an equality $M_{\mathfrak{p}} = L(\mathfrak{p}) \perp N(\mathfrak{p})$, and at almost all places, there is an equality $M_{\mathfrak{p}} = (L' \perp N)_{\mathfrak{p}}$. In particular, since N is locally cancellable, at almost all places, there is an isometry $L'_{\mathfrak{p}} \simeq L(\mathfrak{p})$. From [O'Meara 81:14] it follows that there exists a lattice L on X such that $L_{\mathfrak{p}}$ and $L(\mathfrak{p})$ are isometric at each place \mathfrak{p} . Finally, the map $a(L, N)$ is onto by proposition 12, and this together with theorem 13 proves the proposition. \square

Corollary 16. *Let M be an initial h -module over A and let N be a locally cancellable h -module over A of rank ν . Then properties $\mathcal{C}(M, N)$ and $\mathcal{A}(M, N, \alpha, \beta)$ hold with $\alpha = \max(5, 2 + \nu)$ and $\beta = \max(10, 5 + \nu)$.*

Proof: From 2.4 it follows that if $n \geq 5k + 10$ (resp. $n \geq (\nu + 2)k + (\nu + 5)$), then $Q_{\mathfrak{p}}^{\perp}$ represents $N_{\mathfrak{p}} \perp U(\mathfrak{p})$ at each non-archimedean place \mathfrak{p} (resp. at each archimedean place \mathfrak{p}) with $U(\mathfrak{p})$ of rank at least 4. It follows that Q^{\perp} and N satisfy the hypotheses of proposition 15 since $Q_{\mathfrak{p}_0}$ is at least 5-dimensional and hence isotropic. \square

2.6. The proof of the theorem in the general case.

Theorem 17. *Let R be a ring, C a composition algebra over R , M and N two h -modules over C . Then in the following cases, property $P(M, N, f)$ holds with $f_k = \alpha k + \beta$:*

R	α	β
\mathbb{C}	3	1
\mathbb{R}	$\nu + 2$	$\nu + 2$
finite field of odd char.	3	2
finite field of char. 2	6	10
local field	3	4
global number field	$\nu + 2$	$\max(\nu + 2, 6)$
non-dyadic local ring	5	6
dyadic local ring	40	64
ring of S -integers	$16+64\nu$	$69+64\nu$

We will use the following lemmas.

Lemma 18. *Let R be a local ring, $C = (C, N)$ a composition algebra over R and M an h -module over C .*

- a) *If R is a local field, or a non-dyadic local ring, and if C is 1-dimensional, then $M^{\perp 4}$ is hyperbolic.*
- b) *If R is a local field, or a non-dyadic local ring, and if C is 2-dimensional or 4-dimensional, then $M^{\perp 2}$ is hyperbolic.*
- c) *If R is a dyadic local ring and if C is of type $D2S$, $D2NSI$, or $D4$, then $M^{\perp 2}$ is hyperbolic.*
- d) *If R is a dyadic local ring and C is of any type, there exists an isomorphism $M^{\perp 9} \simeq E \perp M$ with E hyperbolic.*

Proof: Of course, if M is the trivial h -module, everything is true. Assume M is non-trivial.

a) In the case of local fields, it suffices to prove the result for a 1-dimensional $M = \langle \alpha \rangle$. Then $M^{\perp 8}$ is 8-dimensional, has determinant $\alpha^8 = 1 \in R^\times/R^{\times 2}$ and Hasse invariant $(\alpha, \alpha)^{28} = 1 \in \{\pm 1\}$. So $M^{\perp 8}$ is isomorphic to $H(R)^4$ since these modules have the same invariants. In the case of a non-dyadic local ring, we know from 2.4.1 i) that all unimodular lattices on M_K are isomorphic; since $M_K^{\perp 8}$ is hyperbolic, it supports a hyperbolic unimodular lattice. The proofs of b) and c) are the same, *mutatis mutandis*.

d) The existence of $\sqrt{-7}$ in \mathbb{Z}_2 furnishes an isomorphism $I_4(\mathbb{Z}_2) \simeq \langle -1 \rangle \otimes I_4(\mathbb{Z}_2)$. Moreover, there exists an isomorphism $I_3^{(-1)}(\mathbb{Z}_2) \simeq H(\mathbb{Z}_2) \perp I_1(\mathbb{Z}_2)$. We deduce an isomorphism $I_9(\mathbb{Z}_2) \simeq H(\mathbb{Z}_2)^{\perp 4} \perp I_1(\mathbb{Z}_2)$ which implies, once tensored with M , an isomorphism $M^{\perp 9} \simeq E \perp M$ with $E = H^{\perp 4}(\mathbb{Z}_2) \otimes_{\mathbb{Z}_2} M$ hyperbolic. \square

Lemma 19. *Let M be an h -module over C that is isotropic at some place $\mathfrak{p} \in \Omega$ and let N be any h -module over C . There exists an isomorphism $M \perp N^{\perp 9} \simeq M \perp N \perp E$ with E locally cancellable, and then $M \perp N^u \perp E \perp E \simeq M \perp N^{\perp 16+u}$ is initial for $u \geq 1$.*

Proof: We have seen that there exists, at any dyadic place \mathfrak{p} a hyperbolic lattice $H(\mathfrak{p})$ on $W_{\mathfrak{p}}^{\perp 8}$ such that $H(\mathfrak{p}) \perp N_{\mathfrak{p}}$ and $N_{\mathfrak{p}}^{\perp 9}$ are isometric. Let E be a lattice on $W^{\perp 8}$ such that $E_{\mathfrak{p}}$ is isometric to $H(\mathfrak{p})$ if \mathfrak{p} is an element of \mathcal{S}_2 , and such that $E_{\mathfrak{p}}$ is isometric to $N_{\mathfrak{p}}^{\perp 8}$ if \mathfrak{p} is an element of $\mathcal{V} - \mathcal{S}_2$ (note that $N_{\mathfrak{p}}^{\perp 8}$ is hyperbolic if \mathfrak{p} is in $\mathcal{V} - \mathcal{S}_2$). Such a lattice exists, see [O'Meara 81:14], and is locally cancellable. Since $E \perp N$ and $N^{\perp 9}$ are in the same genus and the natural map $Q(E) \rightarrow Q(M \perp N \perp E)$ is onto, it follows from theorem 13 that E can be chosen so that $M \perp E \perp N$ and $M \perp N^{\perp 9}$ are isometric. That $M \perp N \perp E \perp E$ is initial follows directly from 11. \square

Proof of the theorem: recall from the introduction that in order that $P(M', N', \alpha, \beta)$ holds, it suffices that $\mathcal{C}(M', N')$ and $\mathcal{A}(M', N')$ hold. Now assume $P(M', N', \alpha, \beta)$ holds with $M' \simeq M \perp N^s$ and $M' \perp (N')^{\perp n} \simeq M \perp N^{\perp s+nt}$ for a given t and all $s \geq s_0$. Then, since the morphism $i(M', N', n)$ factorizes through $U(M' \perp N \perp N')$, one easily checks that $P(M, N, \alpha t, s_0 + (\beta + 1)t - 1)$ holds. In the case of an (S) -arithmetic ring, for example if

(M, N) is indefinite, then $M \perp N^{\perp 5}$ is isotropic at some place \mathfrak{p} , and it follows from lemma 19 that $M' = M \perp N^s$ is initial for $s \geq 22$, and that there exists a locally cancellable N' such that $M' \perp (N')^{\perp n} \simeq M \perp N^{s+8n}$. Let ν be the rank of N . Corollary 16 implies that properties $\mathcal{C}(M', N')$ and $\mathcal{A}(M', N', 2 + 8\nu, 5 + 8\nu)$ hold. It follows that $P(M, N, \alpha, \beta)$ holds with $\alpha = 16 + 64\nu$ and $\beta = 69 + 64\nu$. \square

3. THE CASE OF $O_n(\mathbb{Z}[\frac{1}{2}])$

We shall now concentrate on the case $R = \mathbb{Z}[\frac{1}{2}]$, and consider the algebraic group O_n defined over $\mathbb{Z}[\frac{1}{2}]$ by $O_n(k) = U(I_n(k))$.

3.1. The stability theorem in the special case.

Proposition 20. *Let M and N be \tilde{h} -modules over R . Assume that at each place \mathfrak{p} , $M_{\mathfrak{p}}$ represents an h -module of rank 2, and that M is at least of rank 5. Then there exists an isomorphism $M \simeq N$ iff $M_{\mathfrak{p}}$ and $N_{\mathfrak{p}}$ are isomorphic at each place \mathfrak{p} (of \mathbb{Q}).*

Proof: We may consider M and N as lattices in the same genus in $V := M_{\mathbb{Q}}$. From the hypothesis on M and the fact that $\mathbb{Z}[\frac{1}{2}]$ is a principal ideal ring it follows that the group $Q(M)$ is trivial. Theorem 13 then implies the proposition. \square

Corollary 21. *Let M be an n -dimensional positive definite h -module over R . Then there exists an isomorphism $M \simeq I_n^{(d)}$ with $d \in \{1, 2\}$. In particular, property $\mathcal{C}(M, I_1)$ holds for all positive definite h -modules M over R .*

Proof: this follows from the proposition when $n \geq 5$. For $n \leq 4$, it is already true that a positive definite \tilde{h} -module of determinant $d \in \{1, 2\}$ is isomorphic to $I_n^{(d)}(\mathbb{Z})$ (see [K1957]). \square

Proposition 22. *Let M be an \tilde{h} -module of rank at least 5 over R and let d be either 1 or 2; if $M_{\mathfrak{p}}$ represents $I_1^{(d)}$ at each place \mathfrak{p} , then M represents $I_1^{(d)}$. In particular, property $\mathcal{A}(0, I_1, \max(3k + 4, 2k + 6))$ holds.*

Corollary 23. *Property $P(0, I_1, \max(3k + 4, 2k + 6))$ holds.*

3.2. On the structure of the groups $O_n(\mathbb{Z}[\frac{1}{2}])$.

3.2.1. $O_n(\mathbb{Z}[\frac{1}{2}])$ is generated by reflections ($n \geq 1$).

Let us denote by $I_n(\mathbb{Z}) \subset I_n(\mathbb{Z}[\frac{1}{2}])$ the \mathbb{Z} -lattice whose vectors have integral coefficients in the canonical basis. We put

$$D_4 \perp I_{n-4}(\mathbb{Z}) := \{x \in I_n(\mathbb{Z}), x_1 + \dots + x_4 \equiv 0 \pmod{2}\} .$$

Note that there are inclusions $c_n : O_n(\mathbb{Z}) \subset O_n(\mathbb{Z}[\frac{1}{2}])$, and $d_n : \text{Aut}(D_4 \perp I_{n-4}(\mathbb{Z})) = \text{Aut}(D_4) \times O_{n-4}(\mathbb{Z}) \subset O_n(\mathbb{Z}[\frac{1}{2}])$.

$\circ n \leq 3$: The inclusion c_n is an equality. This follows immediately from the remark that the set of vectors of length 1 in $I_n(\mathbb{Z})$ and the set of vectors of length 1 in $I_n(\mathbb{Z}[\frac{1}{2}])$ coincide for these values of n .

$\circ n = 4$: The inclusion d_n is an equality. This follows from the well known fact that D_4^{\sharp} is the set of integral vectors in $I_4(\mathbb{Z}[\frac{1}{2}])$.

$\circ n \geq 5$: Let Y_n be the geometric realization of the poset of \mathbb{Z} -lattices on $I_n(\mathbb{Z}[\frac{1}{2}])$ which are either isometric to $I_n(\mathbb{Z})$ or to $D_4 \perp I_{n-4}(\mathbb{Z})$ (ordered by inclusion). This is a graph acted upon by $O_n(\mathbb{Z}[\frac{1}{2}])$ with fundamental domain

$$D_4 \perp I_{n-4}(\mathbb{Z}) \subset I_n(\mathbb{Z}) .$$

Thus we have a natural map

$$O_n(\mathbb{Z}) \star_{O_4(\mathbb{Z}) \times O_{n-4}(\mathbb{Z})} \text{Aut}(D_4) \times O_{n-4}(\mathbb{Z}) \rightarrow O_n(\mathbb{Z}[\frac{1}{2}])$$

which is onto if Y_n is connected. Since $O_n(\mathbb{Z})$ and $\text{Aut}(D_4)$ are both generated by reflections the result follows from the following considerations.

For $n \leq 7$, the graph Y_n is nothing but the graph considered by M. Kneser in [K1957], so it is connected (see *loc. cit.*).

For $n \geq 8$, we prove by induction on n that Y_n is connected. Thus assume Y_{n-1} is connected. Let us pick two lattices L and L' that are isometric with $I_n(\mathbb{Z})$ with respective orthonormal bases (b_1, \dots, b_n) and (b'_1, \dots, b'_n) . Then by corollary 21 there exists a unitary vector f that is both orthogonal to b_1 and b'_1 . Let K (resp. K') be a lattice generated by an orthonormal basis beginning with (b_1, c) (resp. (b'_1, c)). By using the induction hypothesis in b_1^\perp (resp. in $(b'_1)^\perp$, resp. in c^\perp), we see that there exists a path from L to K (resp. from L' to K' , resp. from K to K').

3.2.2. *The equality* $[\mathrm{O}_n(\mathbb{Z}[\frac{1}{2}]), \mathrm{O}_n(\mathbb{Z}[\frac{1}{2}])] = \mathrm{SO}'_n(\mathbb{Z}[\frac{1}{2}])$ ($n \geq 1$).

Recall that $\mathrm{SO}'_n(\mathbb{Z}[\frac{1}{2}])$ is the subgroup of $\mathrm{O}_n(\mathbb{Z}[\frac{1}{2}])$ whose elements are products $\tau_{u_1} \circ \dots \circ \tau_{u_s}$ of reflections

$$\tau_{u_k} : x \mapsto x - 2 \frac{(x, u_k)}{q(u_k)} u_k$$

with s even and $\prod_{k=1}^s q(u_k) \in \mathbb{Z}[\frac{1}{2}]^{\times 2}$.

Clearly, there is an inclusion $[\mathrm{O}_n(\mathbb{Z}[\frac{1}{2}]), \mathrm{O}_n(\mathbb{Z}[\frac{1}{2}])] \subset \mathrm{SO}'_n(\mathbb{Z}[\frac{1}{2}])$. The other inclusion follows, as in [Dieudonné p.72], from the fact that in the decomposition $\tau_{u_1} \circ \dots \circ \tau_{u_s}$ of an element of $\mathrm{SO}'_n(\mathbb{Z}[\frac{1}{2}])$, each u_k may be chosen to have norm $q(u_k) = 1$ or $q(u_k) = 2$, i.e. to be in the orbit of one of the vectors e_1 or $e_1 + e_2$ of $I_2(\mathbb{Z})$.

3.2.3. *The equality* $[\mathrm{SO}'_n(\mathbb{Z}[\frac{1}{2}]), \mathrm{SO}'_n(\mathbb{Z}[\frac{1}{2}])] = \mathrm{SO}'_n(\mathbb{Z}[\frac{1}{2}])$ ($n \geq 8$).

Only the inclusion $\mathrm{SO}'_n(\mathbb{Z}[\frac{1}{2}]) \subset [\mathrm{SO}'_n(\mathbb{Z}[\frac{1}{2}]), \mathrm{SO}'_n(\mathbb{Z}[\frac{1}{2}])]$ needs to be proved. First we note that the equality $\mathrm{SO}'_n(\mathbb{Z}[\frac{1}{2}]) = [\mathrm{O}_n(\mathbb{Z}[\frac{1}{2}]), \mathrm{O}_n(\mathbb{Z}[\frac{1}{2}])]$ and the fact that the set of reflections is closed under conjugation (by elements of $\mathrm{O}_n(\mathbb{Z}[\frac{1}{2}])$) shows that $\mathrm{SO}'_n(\mathbb{Z}[\frac{1}{2}])$ is generated by the commutators of reflections. Now if $\tau_{u_1} \tau_{u_2} \tau_{u_1} \tau_{u_2}$ is an element of $\mathrm{SO}'_n(\mathbb{Z}[\frac{1}{2}])$, then by proposition 22 there exists two vectors x and y in $\mathrm{span}(u_1, u_2)^\perp$ such that

$$q(x) = q(u_1) \quad , \quad q(y) = q(u_2) \quad , \quad x \perp y \quad .$$

Finally, the equalities $\tau_{u_1-x}(x) = u_1$ and $\tau_{u_2-y}(y) = u_2$ furnish the identity

$$\tau_{u_1} \tau_{u_2} \tau_{u_1} \tau_{u_2} = [\tau_{u_1-x} \tau_x \tau_{u_1-x} \tau_x, \tau_{u_2-y} \tau_y \tau_{u_2-y} \tau_y] \quad .$$

3.3. **On the homotopy groups of $\mathrm{BO}_\infty^+(\mathbb{Z}[\frac{1}{2}])$.** Let Γ be a group with commutator subgroup Γ_0 . Recall from [Rosenberg] that if Γ_0 is perfect, then it admits a (unique) universal central extension

$$0 \rightarrow S \rightarrow \tilde{\Gamma}_0 \rightarrow \Gamma_0 \rightarrow 1$$

where the abelian group S is called the Schur multiplier of Γ_0 , and we have

- a) $\pi_1(\mathrm{B}\Gamma^+) = \Gamma/\Gamma_0 = \mathrm{H}_1(\Gamma; \mathbb{Z})$ and $\mathrm{H}_1(\Gamma_0; \mathbb{Z}) = 0$;
- b) $\pi_2(\mathrm{B}\Gamma^+) = \mathrm{H}_2(\Gamma_0; \mathbb{Z}) = S$ and $\mathrm{H}_2(\tilde{\Gamma}_0; \mathbb{Z}) = 0$;
- c) $\pi_3(\mathrm{B}\Gamma^+) = \mathrm{H}_3(\tilde{\Gamma}_0; \mathbb{Z})$;
- d) $\mathrm{H}_n(\mathrm{B}\Gamma^+) = \mathrm{H}_n(\Gamma)$ for all n .

In our case, it follows from a) that there is an isomorphism $\pi_1(\mathrm{BO}_\infty^+) \xrightarrow{\det, \theta} \mathbb{Z}/2 \times \mathbb{Z}/2$.

3.3.1. Computation of $\pi_2(BO_\infty^+(\mathbb{Z}[\frac{1}{2}]))$.

Proposition 24. *The Schur multiplier $H_2(SO_8'(\mathbb{Z}[\frac{1}{2}]))$ is isomorphic to $\mathbb{Z}/2$.*

Proof: First the isomorphism $H_*(BSO_8'(\mathbb{Z}[\frac{1}{2}]), \mathbb{Z}_{(2)}) \rightarrow H_*(BSO_8'(\mathbb{Z}/3), \mathbb{Z}_{(2)})$ due to Henn and Lannes, the isomorphism $H_2(BSO_8'(\mathbb{Z}/3), \mathbb{Z}) \simeq \mathbb{Z}/2$ (see the ATLAS, p. xvi), the nullity of $H_1(BSO_8'(\mathbb{Z}[\frac{1}{2}]))$, and the universal coefficients theorem, show that $H_2(BSO_8'(\mathbb{Z}[\frac{1}{2}]); \mathbb{Z}_{(2)}) \simeq \mathbb{Z}/2$. Thus it suffices to prove that $H_2(BSO_8'(\mathbb{Z}[\frac{1}{2}]); \mathbb{Z}[\frac{1}{2}])$ is trivial. In order to do so, we need to use a nice space acted upon by $SO_8'(\mathbb{Z}[\frac{1}{2}])$. Such a space has been studied in detail by W. Frisch [F].

◦ Let $D_8 \subset I_8(\mathbb{Z}[\frac{1}{2}])$ be the \mathbb{Z} -sublattice of index 2 in $I_8(\mathbb{Z})$ whose vectors x that satisfy the congruence $x_1 + \cdots + x_8 \equiv 0 \pmod{2}$.

◦ Put $v^\pm = \frac{e_1 + \cdots + e_7 \pm e_8}{2}$. Then D_8 is contained in $(I_8(\mathbb{Z}))$ and the two even unimodular lattices $E_8^\pm = D_8 + \mathbb{Z}v^\pm$.

◦ Let $D_4 \perp D_4$ be the \mathbb{Z} -sublattice of index 2 in D_8 consisting of vectors x that satisfy the congruence $x_1 + \cdots + x_4 \equiv 0 \pmod{2}$.

◦ Let $'D_8$ be the sublattice of index 2 in $D_4 \perp D_4$ consisting of vectors x that satisfy the congruence $x_3 + x_4 + x_5 + x_6 \equiv 0 \pmod{2}$. Note that there exists an isomorphism $'D_8 \simeq 2 \otimes (D_8^\#)$.

◦ Let $'E_8^+$ be the sublattice of index 2 in $'D_8$ consisting of vectors x that satisfy the congruence $x_1 + x_4 + x_5 + x_8 \equiv 0 \pmod{2}$. Note that there exists an isomorphism $'E_8^+ \simeq 2 \otimes (E_8^{\pm\#})$. Similarly, let $'E_8^-$ be the sublattice of index 2 in $'D_8$ consisting of vectors x that satisfy the congruence $x_1 + x_3 + x_6 + x_7 \equiv 0 \pmod{2}$. Once again there exists an isomorphism $'E_8^- \simeq 2 \otimes (E_8^{\pm\#})$.

Let \mathcal{O} be the geometric realization of the simplicial set whose set of vertices is the set K of \mathbb{Z} -lattices in $I_8(\mathbb{Z}[\frac{1}{2}])$ that are isometric to E_8^\pm or $'E_8^\pm$, and whose set of maximal vertices are subsets $\{L_a, L_b, L_c, L_d\}$ for which the following properties hold

$$L_a \simeq 'E_8^\pm \simeq L_b, \quad L_c \simeq E_8^\pm \simeq L_d, \quad 'D_8 \simeq L_a + L_b \subset L_c \cap L_d \simeq D_8.$$

It follows almost directly from [F]

◦ that \mathcal{O} is 3-acyclic,

◦ that if an element of $SO_8'(\mathbb{Z}[\frac{1}{2}])$ stabilizes globally a simplex of \mathcal{O} , it stabilizes it pointwise,

◦ that the action of $SO_8'(\mathbb{Z}[\frac{1}{2}])$ is transitive on maximal simplices of \mathcal{O} ,

◦ that the stabilizer of any vertex is isomorphic to an extension of $SO_8'(\mathbb{F}_2)$ by an elementary abelian 2-group (in particular has trivial $H_1(-; \mathbb{Z}[\frac{1}{2}])$ since $SO_8'(\mathbb{F}_2)$ is simple, and trivial $H_2(-; \mathbb{Z}[\frac{1}{2}])$ since the Schur multiplier of $SO_8'(\mathbb{F}_2)$ is 2-torsion (see [ATLAS, p. xvi])).

◦ that the stabilizer of the edges $('E_8^-, 'E_8^+)$ and (E_8^-, E_8^+) are isomorphic to an extension of the alternating group \mathfrak{A}_8 by an elementary abelian 2-group, (in particular have trivial $H_1(-; \mathbb{Z}[\frac{1}{2}])$).

◦ that the stabilizer of the edges $('E_8^\pm, E_8^\pm)$ are isomorphic to an extension of the group $SL_4(\mathbb{F}_2)$ by an elementary abelian 2-group (in particular have trivial $H_1(-; \mathbb{Z}[\frac{1}{2}])$).

By injecting these pieces of information into the stabilizer spectral sequence (see [Brown Chap. VII]), we obtain the triviality of $H_2(SO_8'(\mathbb{Z}[\frac{1}{2}]), \mathbb{Z}[\frac{1}{2}])$. \square

Corollary 25. *For $n \geq 8$, the Schur multiplier of $SO_n'(\mathbb{Z}[\frac{1}{2}])$ is isomorphic to $\mathbb{Z}/2$.*

Proof: The action of $SO_n'(\mathbb{Z}[\frac{1}{2}])$ on the space X_n is transitive on k -simplices for $k \leq 2$. Since $H_1(SO_n'(\mathbb{Z}[\frac{1}{2}]))$ is trivial for $n \geq 7$, it follows that the natural morphism $H_2(SO_n'(\mathbb{Z}[\frac{1}{2}])) \rightarrow H_2(SO_{n+1}'(\mathbb{Z}[\frac{1}{2}]))$ is onto. Since the Spin extension is central and non-trivial, $H_2(SO_{n+1}'(\mathbb{Z}[\frac{1}{2}]))$ projects onto $\mathbb{Z}/2$. \square

3.3.2. Computation of $\pi_3(\mathrm{BO}_\infty^+(\mathbb{Z}[\frac{1}{2}])) \otimes \mathbb{Z}_{(2)}$.

Let R be either $\mathbb{Z}[\frac{1}{2}]$ or $\mathbb{Z}/3$. From the fact that the action of $\mathrm{SO}'_n(R)$ on the space $X_n(R)$ is transitive on k -simplices for $k \leq n - 2$, that $\mathrm{H}_1(\mathrm{Spin}_n(R))$ and $\mathrm{H}_2(\mathrm{Spin}_n(R))$ are trivial for $n \geq 8$, and that X_n is 2-acyclic for $n \geq 15$, it follows that $\mathrm{H}_3(\mathrm{Spin}_n(R)) \rightarrow \mathrm{H}_3(\mathrm{Spin}_{n+1}(R))$ is an isomorphism for $n \geq 14$. Now on one hand, Henn and Lannes proved that the natural map $\mathrm{H}_*(\mathrm{Spin}_{14}(\mathbb{Z}[\frac{1}{2}]); \mathbb{Z}_{(2)}) \rightarrow \mathrm{H}_*(\mathrm{Spin}_{14}(\mathbb{Z}/3); \mathbb{Z}_{(2)})$ is an isomorphism, and on the other hand, [Fiedorowicz-Priddy] have computed $\pi_3(\mathrm{BO}_\infty^+(\mathbb{Z}/3)) \otimes \mathbb{Z}_{(2)} = \mathbb{Z}/8$. Summarizing, we obtain isomorphisms

$$\begin{array}{ccc} \mathrm{H}_3(\mathrm{Spin}_{14}(\mathbb{Z}[\frac{1}{2}]), \mathbb{Z}_{(2)}) & = & \mathrm{H}_3(\mathrm{Spin}_{14}(\mathbb{Z}/3), \mathbb{Z}_{(2)}) = \pi_3(\mathrm{BO}_\infty^+(\mathbb{Z}/3)) \otimes \mathbb{Z}_{(2)} \\ \parallel & & \parallel \\ \pi_3(\mathrm{BO}_\infty^+(\mathbb{Z}[\frac{1}{2}])) \otimes \mathbb{Z}_{(2)} & & \mathbb{Z}/8 \end{array} .$$

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Received: January 7, 2009.